

p -TORSION POINTS ON ELLIPTIC CURVES DEFINED OVER QUADRATIC FIELDS

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Let p be a prime number and k an algebraic number field of finite degree d . Manin [14] showed that there exists an integer $n = n(k, p) (\geq 0)$ which satisfies the condition

$$E(k)_{p^\infty} \subseteq \ker(p^n: E \longrightarrow E)$$

for all elliptic curves E defined over k . Here, $E_{p^\infty} = \bigcup_{m \geq 1} E_{p^m}$ and $E_{p^m} = \ker(p^m: E \rightarrow E)$. We denote by $n = n(k, p)$ the least non-negative integer satisfying the above condition. For $k = \mathbf{Q}$, we know that $n(\mathbf{Q}, 2) = 3$, $n(\mathbf{Q}, 3) = 2$, $n(\mathbf{Q}, 5) = n(\mathbf{Q}, 7) = 1$ and $n(\mathbf{Q}, p) = 0$ for $p \geq 11$ (cf. [10], [16, 17], [20], [22]). For quadratic fields k , Kenku [6, 8, 9] showed that $n(k, 2) \leq 4$, $n(k, 3) = 2$, $n(k, 5) = n(k, 7) = 1$, $n(k, 17) = n(k, 19) = n(k, 23) = 0$ and $n(k, p) = 0$ for the primes p ; $p \geq 181$, $p \neq 191$ and $\# J_0^-(p)(\mathbf{Q}) < \infty$. Here $J_0(p)$ is the jacobian variety of the modular curve $X_0(p)$, w_p is the automorphism of $J_0(p)$ induced by the fundamental involution $w_p: (E, A) \mapsto (E/A, E_p/A)$ of $X_0(p)$ and $J_0^-(p) = J_0(p)/(1 + w_p)J_0(p)$ (see [17]). Our result for quadratic fields k is the following.

THEOREM A. *Let k be any quadratic field and $n = n(k, p)$ as above. Then*

$$n(k, 11) \leq 1$$

$$n(k, 13) \leq 1$$

and $n(k, p) = 0$ for the primes $p \geq 17$ satisfying the condition $\# J_0^-(p)(\mathbf{Q}) < \infty$.

For $p = 2, 11$ and 13 , $n(k, p)$ depends on k (see (3.3)). For the primes p , $17 \leq p < 300$, except for $p = 151, 199, 227$ and 277 , the condition $\# J_0^-(p)(\mathbf{Q}) < \infty$ is satisfied ([17] p. 40, [35] Table 5 pp. 135-141). We conjecture $n(k, p) = 0$ for $p \geq 17$. Our method used for quadratic fields can

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be applied to some other number fields. For example we get the following.

THEOREM B. *Let k be any cubic field and $n = n(k, p)$ as above. Then*

$$n(k, 2) \leq 5$$

$$n(k, 3) = 2$$

$$n(k, 17) \leq 1$$

and $n(k, p) = 0$ for $p = 19, 23, 41, 47, 59, 71$ and the primes p ; $p \geq 79$, $p \neq 79, p \neq 109$ and $\#J_0^-(p)(\mathbf{Q}) < \infty$.

We here give a sketch of the proof of Theorem A above for the case $p \geq 23$, $p \neq 37$. Suppose that there exists a non cuspidal k -rational point x on $X_1(p)$. Under the condition as in Theorem A, one gets a rational function g on $X_0(p)$ defined over \mathbf{Q} such that

$$(g) = (x) + (x^\sigma) + 2(\infty) - (w_p(x)) - (w_p(x^\sigma)) - 2(0),$$

where $1 \neq \sigma \in \text{Gal}(k/\mathbf{Q})$ and $0, \infty$ are the cusps on $X_0(p)$, Section 2. For $p \geq 181$ ($p \neq 191$), Kenku [9] proved that such function g does not exist, using an Ogg's idea [22, 24]: The upper semicontinuity gives a non constant rational function $h/(F_2)$ on $\mathcal{X}_0(p) \otimes F_2$ with $(h)_\infty < \text{an effective divisor of degree 4}$, which leads the inequality $\#\mathcal{X}_0(p)(F_p) \leq 10$. For the remaining p , we use the following two methods: (1) The condition $(w_p^*g) = -(g)$ ($\neq 0$) shows that $w_p^*(g) = a/g$ for $a \in \mathbf{Q}^\times$. Let y_i be the fixed points of w_p on $X_0(p)$ and put $D = \sum_i (y_i)$. Then one sees that $(g - \sqrt{a})_0 > \sum' (y_i)$ and $(g + \sqrt{a})_0 > \sum'' (y_i)$ with $D = \sum' (y_i) + \sum'' (y_i)$. This notion and a study on y_i give the inequality that the degree of $D \leq 4$, (2.3). This criterion gives the proof, except for $p = 43, 67, 73, 97$ and 163 . (2) The upper semicontinuity and a study on the action of w_p on $\mathcal{X}_0(p) \otimes F_2$ give a non constant rational function $h/(F_2)$ on $\mathcal{X}_0^+(p) \otimes F_2$ with $(h)_\infty < 2(\text{cusp})$ (2.4), where $\mathcal{X}_0^+(p) = \mathcal{X}_0(p)/\langle w_p \rangle$. Then $\#\mathcal{X}_0^+(p)(F_2) \leq 5$ and $\#\mathcal{X}_0^+(p)(F_4) \leq 9$, which complete the remaining case. For $p = 13$ and 37 , we apply other methods.

For the case $p < 300$, we get an estimate of $n = n(k, p)$ by an integer which depends only on k and p (see § 2). We add the table in Section 4.

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Notation. For a prime number q , \mathbf{Q}_q^{ur} denotes the maximal unramified extension of \mathbf{Q}_q . Let K be a finite extension of \mathbf{Q} , \mathbf{Q}_q or \mathbf{Q}_q^{ur} , and A an

abelian variety defined over K . Then \mathcal{O}_K denotes the ring of integers of K , $A_{/\mathcal{O}_K}$ denotes the Néron model of A over the base \mathcal{O}_K .

§1. Preliminaries

Let p be a prime number, $X_1(p^r)$ (resp. $X_0(p^r)$) the modular curve (defined over \mathbf{Q}) which corresponds to the modular group $\Gamma_1(p^r)$ (resp. $\Gamma_0(p^r)$). For $p^r \geq 5$, $X_1(p^r)$ is the coarse moduli space ($/\mathbf{Q}$) of the isomorphism classes of the generalized elliptic curves E with a torsion point P of order p^r up to the isomorphism $(-1)_E: E \simeq E$. We denote by $Y_1(p^r)$, $Y_0(p^r)$ the affine open subschemes $X_1(p^r) \setminus \{\text{cusps}\}$ and $X_0(p^r) \setminus \{\text{cusps}\}$, respectively. Let k be a number field and x a k -rational point on $Y_1(p^r)$ (resp. $Y_0(p^r)$). Then there exists an elliptic curve E defined over k with a torsion point P of order p^r (resp. a cyclic subgroup A of rank p^r) defined over k (see [2] VI Proposition (3.2)). Let $f: X_1(p^r) \rightarrow X_0(p^r)$ be the natural morphism: $(E, \pm p) \rightarrow (E, \langle P \rangle)$, where $\langle P \rangle$ is the cyclic subgroup generated by P . Then f is a Galois covering with the Galois group $\bar{\Gamma}(p^r) = \Gamma_0(p^r)/\pm \Gamma_1(p^r) (\simeq (\mathbf{Z}/p^r\mathbf{Z})^\times/\pm 1)$. For an integer i prime to p , $[i]$ ($= [-i]$) denotes the element of $\bar{\Gamma}(p^r)$ represented by $g \in \Gamma_0(p^r)$, $g \equiv \begin{pmatrix} i & * \\ 0 & * \end{pmatrix} \pmod{p^r}$. The action of $[i]$ is defined by $(E, \pm P) \rightarrow (E, \pm i \cdot P)$. Let $w = w_p$ be the fundamental involution of $X_0(p^r)$: $(E, A) \mapsto (E/A, E_p/A)$ and $X_0^+(p^r)$ the quotient $X_0(p^r)/\langle w \rangle$. For a point on a modular curve, $\rightarrow X_0(1)$ ($=$ the projective j -line/ \mathbf{Q}), $j(x)$ denotes the modular invariant of x . We here explain the fixed points of w_p on $X_0(p)$ and add a table of the Mordell-Weil groups of subcoverings $X: X_1(p^r) \rightarrow X \rightarrow X_0(p^r)$. Further we discuss the fixed points of w_p on $\mathcal{X}_0(p) \otimes \mathbf{Z}_2$ and prepare some lemmas on curves, which will be used in Section 2.

(1.1) The ramification points of $Y_1(p^r) \longrightarrow Y_0(p)$ ($p^r \geq 5$).

$j(x)$	# {ramification points}	
1728	2	if $p \equiv 1 \pmod{4}$
0	2	if $p \equiv 1 \pmod{3}$.

(1.2) The ramification points of $X_0(p) \longrightarrow X_0^+(p)$ ($p \geq 5$) and $X_0(11^2) \longrightarrow X_0^+(11^2)$.

Let $h = h(-p)$ be the class number of $\mathbf{Q}(\sqrt{-p})$, and $h' = h'(p)$ the class number of the order $\mathbf{Z}[\sqrt{-p}]$ for $p \equiv 1 \pmod{4}$. Then $h' = h$ if $p \equiv -1 \pmod{8}$, $h' \equiv 3h$ if $p \equiv 3 \pmod{8}$ (see e.g., [12] Part 8). Denote by $s = s(p)$

the number of the ramification points of $X_0(p) \rightarrow X_0^+(p)$ ($p \geq 5$). Then

$$s = \begin{cases} h & \text{if } p \equiv 1 \pmod{4} \\ h + h' & \text{if } p \equiv 1 \pmod{4} \end{cases}$$

(loc. cit.). Let H (resp. H') be the Hilbert class field of $\mathbf{Q}(\sqrt{-p})$ (resp. of the order $Z[\sqrt{-p}]$ if $p \equiv 1 \pmod{4}$) and $x_1, \dots, x_h, \dots, x_s$ the ramification points. Let $H \xrightarrow{\iota} C$ (resp. $H' \xrightarrow{\iota'} C$) be an embedding, ρ the complex conjugation of H (resp. H') induced by this embedding, and H^+ (resp. H'^+) the fixed field by ρ . For i , $1 \leq i \leq h$, x_i is defined over H and conjugate over $\mathbf{Q}(\sqrt{-p})$. One of them, say x_1 , is defined over H^+ . (Under the embedding ι of H into C , x_1 is represented by the elliptic curve C/α for an ideal α of the ring of integers of $\mathbf{Q}(\sqrt{-p})$ which satisfies $(\alpha^\rho) \sim (\alpha)$ in the ideal class group of $\mathbf{Q}(\sqrt{-p})$. If $p \equiv -1 \pmod{4}$, x_{h+i} ($1 \leq i \leq h'$) are defined over H' and conjugate over $\mathbf{Q}(\sqrt{-p})$. One of them, say x_{h+1} , is defined over H'^+ . (Under the embedding ι' of H' into C , x_{h+1} is represented by the elliptic curve $C/Z + Z\sqrt{-p}$).

There are six ramification points of $X_0(11^2) \rightarrow X_0^+(11^2)$, which are conjugate over \mathbf{Q} , and the set of the ramification points is a disjoint union of two orbits of $\text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q}(\sqrt{-1}))$ of length three.

(1.3) The cuspidal sections of $X_0(p^r)$ ([2]).

For integers k , $1 \leq k \leq r$, and i prime to p , let $\begin{pmatrix} i \\ p^k \end{pmatrix}$ be the cuspidal section of $X_0(p^r)$ represented by the pair $(G_m \times Z/p^{r-k}Z, Z/p^rZ(\zeta^i, p^k))$. Here, $Z/p^rZ(\zeta^i, p^k)$ is the cyclic subgroup of $\mu_{p^r} \times Z/p^rZ$ generated by (ζ^i, p^k) , $\zeta = \zeta_{p^r}$ is a primitive p^r -th root of 1. We denote $0 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ and $\infty = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$. The ramification index of the covering $X_1(p^r) \rightarrow X_0(p^r)$ at $\begin{pmatrix} i \\ p^k \end{pmatrix}$ is $\min\{p^k, p^{r-k}\}$. Let 0_i , $1 \leq i \leq p^{r-1}(p-1)$, be the cuspidal sections of $X_1(p^r)$ lying over $0 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$, which are \mathbf{Q} -rational. We call them the 0-cusps.

(1.4) We will use the following coverings. Here γ is the generator of $\bar{\Gamma}_0(p^r) \simeq (Z/p^rZ)^\times / \pm 1$, $s = s(p)$ is the number of the ramification points of $X \rightarrow Y$, and $g(X)$ and $g(Y)$ are respectively the genres of X and Y . If $X = X_0(p)$ and $Y = X_0^+(p)$, put $g_0(p) = g(X)$, $g_+(p) = g(Y)$.

Table 1.

prime p	covering	s	$g(X)$	$g(Y)$
2	$X = X_1(32)/\langle r^4 \rangle \xrightarrow{2} Y = X_1(32)/\langle r^2 \rangle$	8	5	1
3	$X = X_1(27) \xrightarrow{3} Y = X_1(27)/\langle r^3 \rangle$	12	13	1
5	$X = X_1(25)/\langle r^5 \rangle \xrightarrow{5} Y = X_0(25)$	4	4	0
(7	$X = X_1(49)/\langle r^3 \rangle \xrightarrow{3} Y = X_0(49)$	2	3	1)
11	$X = X_1(121) \xrightarrow{2} Y = X_0^+(121)$	6	6	2
13	$X = X_1(13) \xrightarrow{2} Y = X_1(13)/\langle r^3 \rangle$	6	2	0
17	$X = X_1(17) \xrightarrow{2} Y = X_1(17)/\langle r^4 \rangle$	8	5	1
19	$X = X_1(19) \xrightarrow{3} Y = X_1(19)/\langle r^3 \rangle$	6	7	1
23	$X = X_1(23) \xrightarrow{11} Y = X_0(23)$	0	12	2
$p \geq 29$ $\neq 37$	or $X = X_0(23) \xrightarrow{2} Y = X_0^+(23)$	6	2	0
	$X = X_0(p) \xrightarrow{2} Y = X_0^+(p)$			

For $p = 37$, let $(X_1(37) \xrightarrow{9} X \xrightarrow{2} Y = X_0(37))$ be the double covering. Then $s = 2$, $g(X) = 4$ and $g(Y) = 2$.

(1.5) Let $J = J(X)$ be the jacobian variety of the modular curve X above. On the Mordell-Weil groups of J or $J_0^-(p)$ ($p \geq 11$), we know the following (Kenku [6, 8, 9], Mazur and Tate [20], Mazur [17], [35] Table 1, 3, 5).

Table 2.

p	$\# J(\mathbf{Q})$ or $\# J_0^+(p)(\mathbf{Q})_{\text{tor}}$
2	$2 \cdot 5 \mid \# J(\mathbf{Q}) \mid 2^3 \cdot 5^2$
3	$3 \cdot 19 \mid \# J(\mathbf{Q}) \mid 3^4 \cdot 19 \cdot 307$
5	$J(\mathbf{Q}) \simeq \mathbf{Z}/71\mathbf{Z}$
7	$J(\mathbf{Q}) \simeq \mathbf{Z}/14\mathbf{Z}$
11	$2 \cdot 5 \mid \# J_0^-(121)(\mathbf{Q}) \mid 2^a \cdot 5^2$ for an integer $a \geq 1$
13	$J(\mathbf{Q}) \simeq \mathbf{Z}/19\mathbf{Z}$
17	$2 \cdot 73 \mid \# J(\mathbf{Q}) \mid 2^3 \cdot 73$
19	$3 \mid \# J(\mathbf{Q}) \mid 3^2 \cdot 387$
23	$11 \mid \# J_1(23)(\mathbf{Q}) \mid 11 \cdot 37181$
$p \geq 11$	$J_0^-(p)(\mathbf{Q})_{\text{tor}} \simeq \mathbf{Z}/m\mathbf{Z}$, where $m = \text{num}((p-1)/12)$.

For $p = 37$, we will see that the Mordell-Weil group of Coker ($J_0(37) \rightarrow J(X)$) is isomorphic to $Z/5Z$. (The double covering $X \rightarrow X_0(37)$ has two ramification points with the modular invariant $j = 1728$).

- (1.6) Let $\mathcal{X}_1(p^r)$, $\mathcal{X}_0(p^r)$ be the normalizations of the projective j -line $\mathcal{X}_0(1) \simeq \mathbf{P}^1_Z$ in $X_1(p^r)$ and $X_0(p^r)$, respectively. These are smooth over $Z[1/p]$ ([2]VI Proposition (6.7)). The special fibre $\mathcal{X}_1(p^r) \otimes F_p$ (also $\mathcal{X}_0(p^r) \otimes F_p$) has $r + 1$ irreducible components E_0, \dots, E_r . The 0-cusps $\otimes F_p$ are the sections of the smooth component $E_0^h = E_0 \setminus \{\text{supersingular points on } \mathcal{X}_1(p^r) \otimes F_p\}$. Put $\mathcal{V}_1(p^r) = \mathcal{X}_1(p^r) \setminus \sum_{i=0}^{r-1} E_{i+1}$, which is smooth over Z . The 0-cusps are the sections of $\mathcal{V}_1(p^r)$ ([2] V § 2, § 4, VI).

N.B. (loc. cit.). Let $\mathcal{C}' = \mathcal{C}'_1(p^r)$ be the algebraic stack which represents the functor: for a scheme S/Z , $\mathcal{C}'(S)$ is the set of the isomorphism classes of the generalized elliptic curves C with a S -section P of order p^r such that $\langle P \rangle \simeq (Z/p^r Z)/S$, isomorphic locally for the étale topology. Here $\langle P \rangle$ is the finite étale subgroup generated by the section P (see loc. cit. V § 2, § 4). Let $\mathcal{V}_1(p^r)$ be the scheme induced by $\mathcal{C}' (= \text{“schéma grossier”}$, loc. cit. VI, VII p. 300). Then $\mathcal{V}_1(p^r)$ is an open subscheme of $\mathcal{X}_1(p^r)$ and smooth over Z (see loc. cit. V § 2, § 4, I (8.22)). The 0-cusps are the sections of $\mathcal{V}_1(p^r)$ represented by the pairs $(G_m \times Z/p^r Z, \pm P)$ for $P \in Z/p^r Z$.

Let k be an algebraic number field of degree d , \tilde{k} the smallest Galois extension of \mathbf{Q} containing k . For a rational prime q , let \mathfrak{q} be a prime of \tilde{k} lying over q . We denote by $f_{\mathfrak{q}}$, $e_{\mathfrak{q}}$ the degree of \mathfrak{q} and the ramification index of q in \tilde{k} , respectively. Let $C = C(k, p)$ be the set of rational primes q as follows:

$$(1.7) \quad \begin{aligned} C(k, p) = & \{q \neq 2, p\} \cup \{q = p \quad \text{if } e_p < p - 1\} \\ & \cup \{q = 2 \quad \text{if } p \neq 2, 11, 17 \text{ or } p \equiv 1 \pmod{8}\}. \end{aligned}$$

Define an integer $n' = n'(k, p)$ as the least non-negative integer subjects to

$$(1.8) \quad p^{n'} > \min_{q \in C(k, p)} \{1 + q^{f_{\mathfrak{q}}} + 2\sqrt{q^{f_{\mathfrak{q}}}}\}$$

and

$$n' > 4 \quad \text{if } p = 2, \quad n' > 2 \quad \text{if } p = 3, \quad n' > 1 \quad \text{if } p = 5, 7.$$

For $p \geq 23$, let $n'' = n''(k, p)$ be the least integer such that $n'' \geq n'$ and $p^{n''} > 1 + 2^{f_2} + 2\sqrt{2^{f_2}}$. For the prime $p \equiv 1 \pmod{8}$ ($p \geq 23$), $n' = n''$ (see (1.7)).

For a \tilde{k} -rational point x on $X_1(p^r)$ (resp. $X_0(p^r)$), by x we denote the $\mathcal{O}_{\tilde{k}}$ -section: $\text{Spec } \mathcal{O}_{\tilde{k}} \rightarrow \mathcal{X}_1(p^r)$ (resp. $\rightarrow \mathcal{X}_0(p^r)$) which is the unique extension of x . Let E be an elliptic curve defined over k with a k -rational point P of order p^r , and x the point on $Y_1(p^r)$ represented by the pair $(E, \pm P)$.

LEMMA (1.9). *Let q be a rational prime such that $q \neq p$, or $q = p$ and $e_q < p - 1$, and \mathfrak{q} a prime of \tilde{k} lying over q . If $p^r > 1 + q^{f_q} + 2\sqrt{q^{f_q}}$, then $x^\sigma \otimes \mathcal{O}/\mathfrak{p}$ is a 0-cusp for any $\sigma \in \text{Isom}_{\mathcal{O}}(k, \mathbb{Q})$, where \mathcal{O} is the ring of integers of \tilde{k} .*

Proof. We denote f_q by f , and \mathcal{O}^{ur} the ring of integers of $\tilde{k} \otimes \mathbb{Q}_q^{\text{ur}}$. The point x^σ is represented by (E^σ, P^σ) which is defined over \tilde{k} . By the universal property of the Néron model $E_{/\sigma}$, there exists a homomorphism $f: (Z/p^r Z)_{/\sigma} \rightarrow E_{/\sigma}$ such that $f \otimes \tilde{k}$ is an isomorphism into E . Let A be the flat closure of $f((Z/p^r Z)_{/\sigma} \otimes \tilde{k})$ in the Néron model $E_{/\sigma}$, which is a finite flat group scheme of rank p^r . If $q \neq p$, f is an isomorphism. If $q = p$ and $e_p < p - 1$, by the fundamental property of the finite flat group schemes ([26] §3 Proposition (3.3.2)), f is also an isomorphism ($: f \otimes \mathcal{O}^{\text{ur}}$ is an isomorphism, then $\ker(f \otimes F_{q^f}) = \{0\}$). Since $p^r > 1 + q^f + 2\sqrt{q^f}$ (≥ 5), E has semistable reduction at \mathfrak{q} (Tate [35] p. 46), and has multiplicative reduction (e.g., [16] Lemma 2). Fix an embedding of \tilde{k} into $\bar{\mathbb{Q}}_q$. Then the connected component $(E_{/\sigma}^\sigma \otimes F_{q^f})^0$ of the unity is a torus T and $T \otimes_{F_{q^f}} F_{q^{2f}} \simeq G_{m/F_{q^{2f}}}$. So if $x^\sigma \otimes F_{q^f}$ is not a 0-cusp, then $Z/p^r Z \subset T(F_{q^f})$, $\simeq Z/(q^f - 1)Z$ or $\simeq Z/(q^f + 1)Z$. Therefore the condition $p^r > 1 + q^f + 2\sqrt{q^f}$ shows that $x^\sigma \otimes F_{q^f}$ is a 0-cusp. \square

(1.10) Now we describe the fixed points of $w = w_p$ of $\mathcal{X}_0(p) \otimes Z[1/p]$ ($p \geq 5$).

Let $\mathcal{X}_0^\dagger(p)$ be the quotient $\mathcal{X}_0(p)/\langle w \rangle$, which is smooth over $Z[1/p]$. ($\mathcal{X}_0(p)$ is smooth over Z_2 and the action of w on $\mathcal{X}_0(p) \otimes F_2$ is generically étale of degree two, see [2] VI Proposition (6.7)). Let $q, \neq p$, be a rational prime, y a fixed point of w on $\mathcal{X}_0(p) \otimes F_q$. Then y is represented by an elliptic curve (\bar{E}_q) with a subgroup A of rank p such that $(E, A) \simeq (E/A, E_p/A)$ (see [2]). There exists an endomorphism α of E such that

$\alpha(A) = \{0\}$ and $\alpha^2 = -p$. The pair (E, α) is lifted to characteristic zero (over a finite extension of \mathbf{Q}_q^{nr}), see e.g., [12] Part 12 §5 Theorem 14). Thus y is the special fibre of a fixed point x_i for an integer i , $1 \leq i \leq s = s(p)$ (see (1.2)). Let x be a fixed point of w on $X_0(p)$ and \mathcal{O} the ring of integers of \mathbf{Q}_q^{nr} . Let $\widehat{\mathcal{O}_{x_0(p), x}} = \mathcal{O}[[t]]$ be the completion along the \mathcal{O} -section x ([2] VI Proposition (6.7)). Then, $\sigma = w^*$ is of the form $\sigma(t) = -t + a_2 t^2 + \cdots$ for $a_i \in \mathcal{O}$ and $a_j \in \mathcal{O}^\times$ for some j if $q = 2$. If $q \neq 2$, p , it is easily seen that $x_i \otimes \bar{F}_q \neq x_j \otimes \bar{F}_q$ for $x_i \neq x_j$.

Now assume $q = 2$ ($p \geq 5$). The double covering $\mathcal{X}_0(p) \otimes F_2 \rightarrow \mathcal{X}_0^+(p) \otimes F_2$ has wild ramifications at the fixed points of $w = w \otimes F_2$ (see e.g., [29] Chapitre IV). By the Riemann-Hurwitz formula, $2g_0(p) - 2 = 2(2g_+(p) - 2) + \sum_y (1 + i(y))$, where y are the ramification points and $i(y)$ is the index of wild ramification at y (see loc. cit., [17] Chapter II). Therefore, there are at most $s(p)/2$ ramification points on $\mathcal{X}_0(p) \otimes \bar{F}_2$. Let $v = v_2$ be the normalized valuation of $\bar{\mathbf{Q}}_2$ such that $v(2) = 1$.

SUBLEMMA. *Let x , σ and \mathcal{O} be as above, and π a prime element of \mathcal{O} . Let \mathcal{O}' be the ring of integers of the cyclic extension of $\mathbf{Q}_2^{\text{nr}}(x)$ of degree three, and π' a prime element of \mathcal{O}' .*

(i) *If $v(\pi) = 1$ ($\mathcal{O} \simeq W(\bar{F}_2)$), there are at most two solutions $t = \alpha \in \pi\mathcal{O}$ of $t = \sigma(t)$, and at most three solutions $t = \alpha \in \pi'\mathcal{O}'$ of the same equation.*

(ii) *If $v(\pi) = 1/2$, $t = \sigma(t)$ has at most two solutions in $\pi\mathcal{O}$.*

Proof. The relation $\sigma^2 = 1$ implies $a_3 = -a_2^2$. The remaining part is elementary. \square

Case $p \equiv 1 \pmod{8}$. The ramification index of the rational prime 2 in H is 2 (see (1.2)). By (ii) above we see that the map $\{x_i\} \rightarrow \{x_i \otimes \bar{F}_2\}$ is two to one. Two of $x_i \otimes \bar{F}_2$ are F_2 -rational (see [24] Theorem 3).

Case $p \equiv 5 \pmod{8}$. By the same reason as above, the map $\{x_i\} \rightarrow \{x_i \otimes \bar{F}_2\}$ is two to one. One of $x_i \otimes \bar{F}_2$ is F_2 -rational (loc. cit.).

Case $p \equiv -1 \pmod{8}$. In this case $H = H'$ (see (1.2)), the rational prime 2 splits in $\mathbf{Q}(\sqrt{-p})$ and $x_i \otimes \bar{F}_2$ are not the supersingular points (e.g., [13] Chapter 8, [30]). By the uniqueness of the Deuring lifting (e.g., [12] Part 13, §4 Theorem 13), $\{x_i\}_{1 \leq i \leq h} \rightarrow \{x_i \otimes \bar{F}_2\}$ is injective. Hence (i) above shows that the map $\{x_i\}_{1 \leq i \leq 2h} \rightarrow \{x_i \otimes \bar{F}_2\}$ is two to one. Let $\mathfrak{p} = \mathfrak{p}_2$ be a prime of H lying over 2. Then these $\{x_i \otimes \bar{F}_2\}$ is the disjoint union of orbits of the action of $\text{Gal}(H_{\mathfrak{p}}/\mathbf{Q}(\sqrt{-p})) \simeq \text{Gal}(\kappa(\mathfrak{p})/F_2)$. Here $H_{\mathfrak{p}}$ is the

\mathfrak{p} -adic completion of H and $\kappa(\mathfrak{p}) = \mathcal{O}_H/\mathfrak{p}$. Note that the degree of \mathfrak{p} is odd ≥ 3 for $p \geq 23$, $p \equiv -1 \pmod{8}$.

Case $p \equiv 3 \pmod{8}$. The rational prime 2 does not ramify in H and the degree of the prime $\mathfrak{p}|2$ of H is two. H' is a cyclic extension of H of degree 3, which ramifies totally at the primes lying over 2 (e.g., [12] Part 8 Theorem 7). Then $x_i^\sigma \otimes \kappa(\mathfrak{p}') = x_i \otimes \kappa(\mathfrak{p}')$ for a prime $\mathfrak{p}'|2$ of H' and $\sigma \in \text{Gal}(H'/H)$, where $\kappa(\mathfrak{p}') = \mathcal{O}_{H'}/\mathfrak{p}'$. Let E/F_2 be a supersingular elliptic curve. Then $x_i \otimes \bar{F}_2$ is represented by the pair (E, A) for $A = \ker(\alpha: E \rightarrow E)$, $\alpha^2 = -p$. Under the isomorphism

$$\text{End}(E) \xrightarrow{\sim} \left\{ \frac{a + bi + cj + dk}{2} \mid a, b, c, d \in \mathbb{Z}, a \equiv b \equiv c \equiv d \pmod{2} \right\}$$

(e.g., [35] § 7), α is represented by $ai + bj + ck$ for $a, b, c \in \mathbb{Z}$. Then, as $p \equiv 3 \pmod{8}$, a, b, c must be odd. Therefore A is invariant under the action of $(1 + \alpha)/2 \in \text{End}(E)$. Let $(\tilde{E}, \tilde{\beta})$ be a lifting of $(E, (1 + \alpha)/2)$ (e.g., [12] Part 13, § 5 Theorem 14). Then $x_i \otimes \bar{F}_2$ is the special fibre of x_j for a j , $1 \leq j \leq h$, see (1.2). x_j is represented by $(\tilde{E}, \ker(2\tilde{\beta} - 1))$. Thus we see that the map $\{x_i\}_{1 \leq i \leq h} \rightarrow \{x_i \otimes \bar{F}_2\}$ is one to one (see (i) above), and $\{x_{i+h}\}_{1 \leq i \leq 3h} \rightarrow \{x_i \otimes \bar{F}_2\}$ is three to one. One of $x_i \otimes \bar{F}_2$ is F_2 -rational ([24] Theorem 3).

Let y_j be the fixed point of $w = w \otimes F_2$ on $\mathcal{X}_0(p) \otimes F_2$ ($p \geq 5$), $i(y)$ be the index of the wild ramification at y_j of the natural morphism $\mathcal{X}_0(p) \otimes F_2 \rightarrow \mathcal{X}_0^+(p) \otimes F_2$.

Table 3.

$p \pmod{8}$	$i(y_j)$	$\#\{F_2\text{-rational fixed points}\}$	$\#\{\text{non } F_2\text{-rational fixed points}\}$
1	1	2	$h/2 - 2$
5	1	1	$h/2 - 1$
-1	1	0 ($p \geq 23$)	h ($p \geq 23$)
3	3	1	$h - 1$

Let K be a field, X a proper smooth curve defined over K . Let $\sigma \neq 1$ be an automorphism of X defined over K , $\{x_i\}_{1 \leq i \leq s}$ the set of the fixed points of σ , and set $D = \sum_{i=1}^s (x_i)$ a divisor of X . It is easy to see the following.

LEMMA (1.11). *If g is a rational function on X of degree m defined over K such that $(\sigma^*g) \neq (g)$ (= the divisor of g) and $g(x_i) \neq 0, \infty$. Then*

$$(\sigma^*g/g - 1)_0 > D.$$

In particular, $s \leq 2m$. If, moreover, $\sigma^2 = 1$,

$$(\sigma^*g/g - 1)_0 = \sum_{i=1}^s m_i(x_i) + \sum_j \{(y_j) + (\sigma y_j)\}$$

for some positive integers m_i such that $\sum_{i=1}^s m_i(x_i)$ is K -rational and $y_j \neq \sigma y_j$.

Now let K be a finite extension of \mathbf{Q}_2 , R the ring of integers of K with the residue field F_q for $q = 2^r$. Suppose that X is the generic fibre of a smooth projective curve $\mathcal{X} \rightarrow \text{Spec } R$, and σ an involution of \mathcal{X} defined over R such that $\mathcal{Y}_{\text{dftn}} = \mathcal{X}/\langle \sigma \rangle \rightarrow \text{Spec } R$ is smooth and that the natural morphism $f: \mathcal{X} \otimes F_q \rightarrow \mathcal{Y} \otimes F_q$ is not radicial. Let $E = \sum m_i(z_i)$, $m_i > 0$, be a K -rational divisor of X such that $1 < \dim_K H^0(X, \mathcal{O}(E))$. Then we have

LEMMA (1.12). *Assume further that $\sigma = \sigma \otimes F_q$ has fixed points, $z_i \otimes F_q$ are not fixed points and that $\sigma^*(\sum m_i(z_i \otimes F_q)) = \sum m_i(z_i \otimes F_q)$. Then there exists a covering $g: \mathcal{Y} \otimes F_q \rightarrow P^1_{/F_q}$ defined over F_q such $f^*((g)_\infty) > \sum m_i(z_i \otimes F_q)$.*

Proof. Let K' be a finite extension of K over which the z_i 's are defined, and $R', F_{q'}$ the ring of integers of K' and the residue field of R' , respectively. Let $\mathcal{L} = \bigotimes^i \mathcal{O}(z_i)^{\otimes m_i}$ be the Cartier divisor of $\mathcal{X} \otimes R'$. Then $\dim_{F_{q'}} H^0(\mathcal{X} \otimes F_{q'}, \mathcal{L}) > 1$ by the upper semicontinuity ([34] (7.7.5)1). Then $\dim_{F_q} H^0(\mathcal{X} \otimes F_q, \mathcal{O}(E)) > 1$, because $\mathcal{L} \simeq \mathcal{O}(E) \otimes R'$ over $\mathcal{X} \otimes R'$. By the assumption $\sigma^*(E \otimes F_q) = E \otimes F_q$, there exists a non-constant section h of $H^0(\mathcal{X} \otimes F_q, \mathcal{O}(E))$ such that $F_q \oplus F_q h$ is a σ -invariant subspace. So $\sigma^*h = h + a$ for an $a \in F_q$. The proof is completed if $a = 0$ is shown (because $\mathcal{X} \otimes F_q \rightarrow \mathcal{Y} \otimes F_q$ is generically étale of degree 2). Suppose $a \neq 0$. For each point x on $\mathcal{X} \otimes F_q \setminus \text{Supp}(E \otimes F_q)$, $h \in \mathcal{O}_{\mathcal{X} \otimes F_q, x}$. The covering $\mathcal{X} \otimes F_q \rightarrow \mathcal{Y} \otimes F_q$ is then factored by $\text{Spec } \mathcal{O}_{\mathcal{Y} \otimes F_q, f(x)}[h]$ at x :

$$\begin{array}{ccc} \text{Spec } \mathcal{O}_{\mathcal{X} \otimes F_q, x} & \xrightarrow{f} & \text{Spec } \mathcal{O}_{\mathcal{Y} \otimes F_q, f(x)} \\ & \searrow \quad \swarrow & \\ & \text{Spec } \mathcal{O}_{\mathcal{Y} \otimes F_q, f(x)}[h] & \end{array}$$

The morphism f is finite of degree 2, and $\text{Spec } \mathcal{O}_{\mathcal{Y} \otimes F_q, f(x)}[h] \rightarrow \text{Spec } \mathcal{O}_{\mathcal{Y} \otimes F_q, f(x)}$ is étale of degree 2, since $\sigma^*h(z) \neq h(z)$ for any point z on $\mathcal{X} \otimes F_q \setminus \text{Supp}(E \otimes F_q)$. Therefore f is étale at any point $x \in \mathcal{X} \otimes F_q \setminus \text{Supp}(E \otimes F_q)$.

This contradicts to our assumption. \square

§2. The rational points on $Y_1(p^r)$

Let k be an algebraic number field of degree d ; $\tilde{k}, e_q, f_q, C = C(k, p), n' = n'(k, p)$ and $n'' = n''(k, p)$ be as in the last section. Assuming the existence of a k -rational point on $Y_1(p^r)$ with $r > n(k, p)$, we here introduce a rational function g on a modular curve whose divisor is determined by the k -rational point as above. Further we prepare propositions which concern g and the fixed points of w_p ($p \geq 23$). Let x be a k -rational point on $Y_1(p^r) = X_1(p^r) \setminus \{\text{cusps}\}$ for $r \geq n'(k, p)$. By x we denote also the image of x by the natural morphism $X_1(p^r) \rightarrow X$, see (1.4). We consider only the primes p with $p \leq 23$ or ($p \geq 29$ and) $\#J_0^-(p)(\mathbf{Q}) < \infty$. For each $\sigma \in \text{Isom}_k(k, \bar{\mathbf{Q}})$, Lemma (1.9) shows that $x^\sigma \otimes \kappa(q) = 0_{i_\sigma} \otimes \kappa(q)$ for an integer i_σ and a prime q of \tilde{k} lying over the rational prime $q \in C = C(k, p)$ which attains the minimal value of $1 + q^{f_q} + 2\sqrt{q^{f_q}}$, where $\kappa(q) = \mathcal{O}_{\tilde{k}}/q$. Consider the \mathbf{Q} -rational section

$$i(x) = \text{cl}(\sum_{\sigma} (x^\sigma) - \sum_{\sigma} (0_{i_\sigma}))$$

of $A = J(X)$ for $p \leq 23, p \neq 11$; of $A = \text{Coker}(J_0(37) \rightarrow J(X))$ for $p = 37$; of $A = J_0^-(121)$ for $p = 11$; and of $A = J_0^-(p)$ for $p \geq 29$ (see (1.4)). Let \mathcal{X} be the normalization of the projective j -line $\mathcal{X}_0(1)$ in X (see (1.4)). Let $Z_{(q)}$ be the localization of Z at the prime q and $\mathcal{O}_{(q)} = \mathcal{O}_{\tilde{k}} \otimes Z_{(q)}$. Then $x^\sigma \otimes \mathcal{O}_{(q)}, 0_{i_\sigma} \otimes \mathcal{O}_{(q)}$ are the sections of the smooth part of $\mathcal{X} \otimes Z_{(q)}$, see (1.6), (1.9). Let

$$i(x^\sigma): \text{Spec } \mathcal{O}_{(q)} \xrightarrow{x^\sigma} \mathcal{X}^{\text{smooth}} \otimes Z_{(q)} \longrightarrow A_{/Z_{(q)}} \quad z \longrightarrow \text{cl}((z) - (0_{i_\sigma})).$$

Then by our assumptions on q and r (see (1.8), (1.9)), $i(x_\sigma) \otimes \kappa(q) = 0$. Then $i(x) \otimes \kappa(q) = (\sum_{\sigma} i(x^\sigma)) \otimes \kappa(q) = 0$, i.e., $i(x) \otimes F_q = 0$. The \mathbf{Q} -rational section $i(x) \otimes Z_{(q)}$ is of finite order for $p \neq 37$, see (1.5). The specialization lemma of the finite flat group schemes ([26] Proposition (3.3.2), [18] Proposition (1.2)) leads that $i(x) = 0$ for $p \neq 37$ (, note: $1 < 3 - 1 \leq q - 1$, (1.7)). Then there is a rational function g on X such that (see (1.4))

$$(2.1) \quad (g) = \begin{cases} \sum (x^\sigma) - \sum (0_{i_\sigma}) & \text{for } p \leq 23, p \neq 11 \\ \sum (x^\sigma) - d(0) & \text{for } p = (23), 29, 31, 41, 47, 59, 71 \\ & \text{(Case } X_0^+(p) \simeq P^1); \\ \sum (x^\sigma) + d(\infty) - \sum (\gamma(x^\sigma)) - d(0) & \text{for } p = 11, p > 29 \\ & \text{with } \#J_0^-(p)(\mathbf{Q}) < \infty. \end{cases}$$

For $p = 37$, we will show $\text{Coker}(J_0(37) \rightarrow J(X))(\mathbf{Q}) \simeq \mathbf{Z}/5\mathbf{Z}$, see (3.4.2). Then we get a rational function g on X such that

$$(2.1)' \quad (g) = \sum (x^\sigma) + \sum (\gamma(0_{i_\sigma})) - \sum (\gamma(x^\sigma)) - \sum (0_{i_\sigma}),$$

where $1 \neq \gamma \in \text{Aut}(X/X_0(37))$, see (1.4). As (g) is \mathbf{Q} -rational, we may assume that g is defined over \mathbf{Q} . If $p = 11$ or p is the last case in (2.1), $(w^*g) = -(g)$ ($\neq 0$); and if $p = 37$, $(\gamma^*g) = -(g)$. So we may assume

$$(2.2) \quad \begin{cases} w^*g = \frac{a}{g} \\ \gamma^*g = \frac{a}{g} \end{cases} \quad (\text{for } p = 37)$$

for a square free integer a ($\neq 0$). For $p \neq 37$, as $\mathbf{Q}(x_i)$ is not totally imaginary (see (1.2)), $a > 0$.

PROPOSITION (2.3). *Let x be a k -rational point on $Y_1(p^r)$, g the rational function as above and $p = 2, 3, 11, 17$, or $p \geq 23, \neq 37$, with $\# J_0^-(p)(\mathbf{Q}) < \infty$. In the case $p \equiv 5 \pmod{8}$ and the class number $h = h(-p)$ of $\mathbf{Q}(\sqrt{-p})$ is divisible by 4, we further assume $p^r > 1 + q^{f_q} + 2\sqrt{q^{f_q}}$ for an odd prime $q \neq p$. Then we have*

$$s = s(p) \leq 2d.$$

Proof. Case $p \geq 23$ and $X_0^+(p) \simeq \mathbf{P}^1$.

The rational function g is of degree d and $(g) \neq (w^*g)$. So the conditions of Lemma (1.11) are satisfied.

Case $p \geq 23, \neq 37$, and $X_0^+(p) \neq \mathbf{P}^1$.

Let $x_1, \dots, x_h, \dots, x_s$ be the fixed points of $w = w_p$. Then $g(x_i) = \pm \sqrt{a}$ (see (2.2)). We may assume $g(x_1) = +\sqrt{a}$. First, we consider the case $p \equiv -1 \pmod{4}$. Then $s = s(p) = h + h'$ (see (1.4)) is even, and $h(\leq h')$ is odd. x_1 is defined over H^+ (see (1.4)) and $[H^+ : \mathbf{Q}]$ is odd, so that $a = 1$ (by our choice of a , see (2.2)). The points x_1, \dots, x_h (resp. $x_{h+1}, \dots, x_{h+h'}$) are conjugate to each other over \mathbf{Q} , so that

$$(g - 1)_0 > \sum_{i=1}^h (x_i)$$

and

$$(g - 1)_0 > \sum_{i=1}^{h'} (x_{h+i}) \quad \text{or} \quad (g + 1)_0 > \sum_{i=1}^{h'} (x_{h+i}).$$

In the first case $s = h + h' \leq 2d$. In the second case, Lemma (1.11) and

and the fact that h and h' are odd integers show

$$(g-1)_0 > 2 \sum_{i=1}^h (x_i)$$

and

$$(g+1)_0 > 2 \sum_{i=1}^{h'} (x_{h+i}).$$

Thus $2d \geq 2h' \geq s$.

Next, we consider the case $p \equiv 1 \pmod{4}$. If $2d < h = s$, then

$$\begin{aligned} (g - \sqrt{a})_0 &> \sum' (x_i) \\ (g + \sqrt{a})_0 &> \sum'' (x_i) \end{aligned}$$

where $\sum' + \sum'' = \sum_{i=1}^s$ and $a > 1$ (because x_i are conjugate to each other over \mathbf{Q}). If $h \not\equiv 0 \pmod{4}$, our assumption and Lemma (1.11) show $(g - \sqrt{a})_0 > 2 \sum' (x_i)$ and $(g + \sqrt{a})_0 > 2 \sum'' (x_i)$. This contradicts that $s > 2d$. If $h \equiv 0 \pmod{4}$, $a = p$. Set $D' = \sum' (x_i)$. D' is a divisor of degree $s/2$ and

$$(g - \sqrt{p}) = D' + E - \sum_{\sigma} (w(x^{\sigma})) - d(0),$$

for an effective divisor E . We have $w^*E = E$. By the assumption, there is an odd prime $q \neq p$ such that $p^r > 1 + q^{f_q} + 2\sqrt{q^{f_q}}$. Using the upper semicontinuity ([34] (7.7.5), 1), we get a rational function f on $\mathcal{X}_0(p) \otimes \bar{\mathbf{F}}_q$ such that

$$(f) = D' + E - d(\infty) - d(0).$$

Then $(w^*f) = (f)$ so $w^*f = \pm f$. If $w^*f = +f$, $E > D'$. If $w^*f = -f$, $(f)_0 > D = \sum_{i=1}^s (x_i)$ (see (1.11)). Thus $s \leq 2d$.

Case $p = 11$. The number of the fixed points of $w = w_{121}$ on $X_0(121)$ is six. Using g in (2.1), (2.2), we get $d \geq 3 = s/2$ by the same way as above.

Case $p = 2, 17$. Let $f = \gamma^*g/g$ for $1 \neq \gamma \in \text{Gal}(X/Y)$ (see (1.4)). Then $\gamma^*f = 1/f$ and $(f) = \sum (\gamma(x^{\sigma})) + \sum (0_{i_{\sigma}}) - \sum (x^{\sigma}) - \sum (\gamma(0_{i_{\sigma}}))$. If $(\gamma^*g) = (g)$, then $\gamma(x^{\sigma}) = x^{\sigma}$ for an $\iota \in \text{Isom}_{\mathbf{Q}}(k, \bar{\mathbf{Q}})$ and any $\sigma \in \text{Isom}_{\mathbf{Q}}(k, \bar{\mathbf{Q}})$. If $d = 2$, we see that $\{x, x^{\sigma} = \gamma(x)\}$ defines a \mathbf{Q} -rational point on Y . But we know that the \mathbf{Q} -rational points on $X_0(32)$, and on $X_0(17)$ are the cuspidal points ([35] table 1). If $d = 3$, one of the x^{σ} becomes a fixed point of γ . But we know that a ramification point of $X \rightarrow Y$ is either a cuspidal point or a point with the modular invariant $j = 1728$, see (1.1), (1.4). Therefore

$(\gamma^*g) \neq (g)$ for $d = 2$ and 3 . The rest then follows from Lemma (1.11).

Case $p = 3$. Let $f = \gamma^*g/g$ for $1 \neq \gamma \in \text{Gal}(X/Y)$ (see (1.4)). Then $(f) = \sum (\gamma(x^\sigma)) + \sum (0_{i_\sigma}) - \sum (x^\sigma) - \sum (\gamma(0_{i_\sigma}))$. For $d < 6 = s/2$, if $(\gamma^*g) = (g)$, $\gamma(x^\sigma) = x^\sigma$ for an $\iota \in \text{Isom}_Q(k, \bar{Q})$ and any $\sigma \in \text{Isom}_Q(k, \bar{Q})$. Any fixed point of γ is a cuspidal point or a point with the modular invariant $j = 0$, so that $\gamma(x^\sigma) \neq x^\sigma$ for any $\sigma \in \text{Isom}_Q(k, \bar{Q})$, see (1.4). Then $\{x^\sigma\}_\sigma$ is a disjoint union of $\langle \gamma \rangle$ -orbits of length 3. If $d = 3$, $\{x^\sigma\}_\sigma = \{x, \gamma(x), \gamma^2(x)\}$ defines a Q -rational point on Y . But a Q -rational point on $X_0(27)$ is a cuspidal point or a point with the modular invariant $j = 0$ (see (1.4), [35] table 1). Therefore $(\gamma^*g) \neq (g)$ for $d < 6$. Then by Lemma (1.11) we get the result. \square

Let $\mathcal{X}^+ = \mathcal{X}_0^+(p)$ be the quotient $\mathcal{X}_0(p)/\langle w_p \rangle$, which is smooth over $Z[1/p]$ (see (1.10)).

PROPOSITION (2.4). *Let $p \geq 23$, $\neq 37$ be a prime number satisfying the condition $\#J_0^-(p)(Q) < \infty$, g the rational function on $X_0(p)$ in (2.2). If $p^r > 1 + 2^{t_2} + 2\sqrt{2^{t_2}}$, then there is a covering f defined over F_2 ,*

$$\mathcal{X}^+ \otimes F_2 \xrightarrow{f} P^1_{/F_2}$$

such that $(f)_\infty = d'$ (cusp) for an integer d' , $1 \leq d' \leq d$.

Proof. Let $\mathcal{L} = (\otimes^\sigma \mathcal{O}(x^\sigma)) \otimes \mathcal{O}(d(\infty))$ be the Cartier divisor on $\mathcal{X}_0(p) \otimes \mathcal{O}_{\tilde{k}}$, where $\mathcal{O}_{\tilde{k}}$ is the ring of integers of \tilde{k} . By our assumption, $\dim_{\bar{F}_2} H^0(\mathcal{X}_0(p) \otimes \bar{F}_2, \mathcal{L}) > 1$ (see [34] (7.7.5), 1), and $\mathcal{L} \otimes \bar{F}_2 = \mathcal{O}(d(0) + d(\infty))$, see (1.9). The cusps $0 = 0 \otimes F_2$ and $\infty = \infty \otimes F_2$ are not the fixed points of $w = w \otimes F_2$, while $\mathcal{X}_0 \otimes F_2 \rightarrow \mathcal{X}^+ \otimes F_2$ has ramifications points. The divisor $d(0) + d(\infty)$ is F_2 -rational, and is w -invariant. So Lemma (1.12) yields the desired covering f . \square

COROLLARY (2.5). *Under the assumption of (2.4),*

$$\begin{aligned} \# \mathcal{X}_0^+(p)(F_{2^m}) &< 1 + 2^m d \\ \# \mathcal{X}_0(p)(F_4) &\leq 2 + 8d - s(p). \end{aligned}$$

PROPOSITION (2.6). *Let $p \geq 23$, $\neq 37$, be a prime number such that $\#J_0^-(p)(Q) < \infty$. Assume that $r \geq n'' = n''(k, p)$ (see (1.8)) and let g be the rational function on $X_0(p)$ in (2.2). Then we get the following estimates of $\# \mathcal{X}_0^+(p)(F_{2^m})$.*

$$(i) \quad p \equiv 1 \pmod{8}; \quad \# \mathcal{X}_0^+(p)(F_2) \leq 2 + 2d - h/4.$$

(ii) $p \equiv 5 \pmod{8}$ and $h = h(-p) \not\equiv 0 \pmod{4}$;

$$\# \mathcal{X}_0^+(p)(F_2) \leq 2 + 2d - h/2$$

or

$$\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - (h - 2)/4.$$

(iii) $p \equiv -1 \pmod{8}$; $\# \mathcal{X}_0^+(p)(F_2) \leq 1 + 2d - h$

and

$$\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - h.$$

(iv) $p \equiv 3 \pmod{8}$; $\# \mathcal{X}_0^+(p)(F_2) \leq 2 + 2d - 2h$

and

$$\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - h.$$

Proof. Let x_1, \dots, x_s (resp. $y_1 = x_1 \otimes F_2, y_2, \dots, y_{h/2}$ if $p \equiv 1 \pmod{4}$; y_1, y_2, \dots, y_h if $p \equiv -1 \pmod{4}$) be the fixed points of $w = w_p$ on $X_0(p)$ (resp. $\mathcal{X}_0(p) \otimes F_2$), see (1.10). Then $g(x_i) = \pm \sqrt{a}$ (see (2.3)). We may assume $g(x_1) = +\sqrt{a} \in H^+$ (see (1.2)). As in the proof of (2.3), $a = 1$, or $a = p$ if $p \equiv 1 \pmod{4}$. Set $D = \sum_{i=1}^s (x_i)$.

Case $p \equiv 1 \pmod{4}$ and $a = 1$. The divisor of $g - 1$ is

$$(g - 1) = D + E - \sum (w(x^o)) - d(0),$$

for a w -invariant \mathbf{Q} -rational divisor $E > 0$ (see (1.11)). Let $\mathcal{L} = \mathcal{O}(D + E) \otimes \mathcal{O}(\sum (wx^o) + d(0))^{\otimes (-1)}$ be the invertible sheaf on $\mathcal{X}_0(p) \otimes \mathcal{O}_K$ for a finite extension K of \mathbf{Q} . By the upper semicontinuity ([34] (7.7.5), 1), there is a rational function f on $\mathcal{X}_0(p) \otimes \bar{F}_2$ such that

$$(f) = 2 \sum_{i=1}^{h/2} (y_i) + E - d(0) - d(\infty) (\neq 0)$$

for the effective divisor $E = E \otimes F_2$ (see (1.10)). The divisor (f) is F_2 -rational and w -invariant. Then $w^*f = f$ and we may assume that f is defined over F_2 . Then we get a covering f^+ defined over F_2 :

$$\mathcal{X}_0^+(p) \otimes F_2 \xrightarrow{f^+} \mathbf{P}^1_{/F_2}$$

such that $(f^+) = \sum_{i=1}^{h/2} (y_i) + E' - d'(\text{cusp})$ for an effective divisor E' and an integer d' , $1 \leq d' \leq d$. Here by y_i we denote the images of y_i by the natural morphism of $\mathcal{X}_0(p) \otimes F_2$ to $\mathcal{X}_0^+(p) \otimes F_2$. Then $\# \mathcal{X}_0^+(p)(F_2) \leq 3 + 2d - h/2$ if $p \equiv 1 \pmod{8}$; $\leq 2 + 2d - h/2$ if $p \equiv 5 \pmod{8}$ (see (1.9)).

Case $p \equiv 1 \pmod{8}$ and $a = p$. Let $D = D_1 + D_2$, $D_1 > (x_i)$, be the decomposition into the sum of $\text{Gal}(H/\mathbf{Q}(\sqrt{p}))$ -orbits D_i of length $h/2$. Then

$$\begin{aligned}(g - \sqrt{p}) &= D_1 + E_1 - \sum (w(x^\sigma)) - d(0) \\ (g + \sqrt{p}) &= D_2 + E_2 - \sum (w(x^\sigma)) - d(0),\end{aligned}$$

for $\mathbf{Q}(\sqrt{p})$ -rational, w -invariant divisors $E_i > 0$. Let $1 \neq \sigma$ be an element of the inertia subgroup of a prime of H lying over 2, and $H^+ = H^{\langle \sigma \rangle}$ the fixed field of $\langle \sigma \rangle$ ($\simeq \mathbf{Z}/2\mathbf{Z}$). Then $\sigma^* D_i = D_i$ for $i = 1, 2$. There are only two fixed points of w defined over H^+ (see (1.10)). Therefore $D_2 \otimes F_2 = 2 \sum' (y_i)$. In the same way as above, we get a rational function f^+ on $\mathcal{X}_0^+(p) \otimes F_2$ defined over F_2 such that $(f^+) = \sum' (y_i) + E' - d'$ (cusp), for an effective divisor E' and an integer d' , $1 \leq d' \leq d$. So $\# \mathcal{X}_0^+(p)(F_2) \leq 2 + 2d - h/4$ (see (1.10)).

Case $p \equiv 5 \pmod{8}$ and $a = p$. Let $D = D_1 + D_2$, $D_1 > (x_1)$, be the decomposition into the sum of $\text{Gal}(H/\mathbf{Q}(\sqrt{p}))$ -orbits D_i of length $h/2$. Here we assume $h = h(-p) \not\equiv 0 \pmod{4}$. Then by Lemma (1.11)

$$\begin{aligned}(g - \sqrt{p}) &= 2D_1 + E_1 - \sum (w(x^\sigma)) - d(0) \\ (g + \sqrt{p}) &= 2D_2 + E_2 - \sum (w(x^\sigma)) - d(0),\end{aligned}$$

for $\mathbf{Q}(\sqrt{p})$ -rational, w -invariant divisors $D_i > 0$. Let $\sigma = \sigma_2$ be the Frobenius element of the rational prime 2. Then $\sigma(D_i) = D_2$, i.e., $(D_1 \otimes F_4)^{(2)} = D_2 \otimes F_4$. By (1.10), we see that $D_1 \otimes F_4 = (y_1) + \sum_{i=2}^{(h-2)/4} (y_i)$, y_1 is the F_2 -rational fixed point of w (see (1.10)). By the same way as above, we get a rational function f^+ on $\mathcal{X}_0^+(p) \otimes F_4$ such that $(f^+) = (y_1) + 2 \sum_{i=2}^{(h-2)/4} (y_i) + E' - d'$ (cusp), for an effective divisor E' and an integer d' , $1 \leq d' \leq d$ (see (1.10)). Then $\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - (h - 2)/4$.

Case $p \equiv -1 \pmod{8}$. Set $D_1 = \sum_{i=1}^h (x_i)$, $D_2 = \sum_{i=1}^h (x_{h+i})$. Then

$$(g - 1) = \begin{cases} D + E - \sum (w(x^\sigma)) - d(0) \\ \text{or} \\ 2D_1 + E_1 - \sum (w(x^\sigma)) - d(0) \end{cases}$$

for \mathbf{Q} -rational, w -invariant divisors $E > 0$, $E_1 > 0$. In both cases, by the same way as above, we get a rational function f^+ on $\mathcal{X}_0^+(p) \otimes F_2$ defined over F_2 such that $(f^+) = \sum_{i=1}^h (y_i) + E' - d'$ (cusp) for an effective divisor E' and an integer d' , $1 \leq d' \leq d$. Then $\# \mathcal{X}_0^+(p)(F_2) \leq 1 + 2d - h$ and $\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - h$ (see (1.10)).

Case $p \equiv 3 \pmod{8}$. Set $D_1 = \sum_{i=1}^h (x_i)$, $D_2 = \sum_{i=1}^{3h} (x_i)$. Then

$$(g - 1) = D + E - \sum (w(x^\sigma)) - d(0)$$

or

$$(g + 1) = 2D_2 + E_2 - \sum (w(x^r)) - d(0) ,$$

for \mathbf{Q} -rational, w -invariant divisors $E > 0$, $E_2 > 0$. In the first case, the same argument as above shows that there is a rational function f^+ on $\mathcal{X}_0^+(p) \otimes F_2$ defined over F_2 such that $(f^+) = 2 \sum_{i=1}^h (y_i) + E' - d'(\text{cusp})$, for an effective divisor E' and an integer d' , $1 \leq d' \leq d$. Then $\# \mathcal{X}_0^+(p)(F_2) \leq 2 + 2d - 2h$, and $\# \mathcal{X}_0^+(p)(F_4) \leq 1 + 4d - h$ (see (1.10)). The second case yields better estimates. \square

§3. Rational points on $Y_1(p^r)$ defined over quadratic fields

In this section we prove Theorem A in the introduction. Let k be a quadratic field, x a k -rational point on $Y_1(p^r)$ for $r \geq n' = n''(k, p)$ (see (1.8)). In this case, it is easy to see that $n'(k, p) = n''(k, p)$ (see (1.7), (1.8)). So we can apply the propositions in Section 2. Moreover, we see that we have only to show $n(k, p) < n'(k, p)$ (see Section 0). Applying Proposition (2.3), we get the result of the theorem except for $p = 13, 37, 43, 67, 97, 163$ and 193 ($p < 300$, $\neq 5, 7, 151, 199, 227, 277$). See table (4.3).

(3.1). *Proof for $p = 43, 67, 73, 97, 163$ and 193 .* We can apply (2.4), (2.5) and (2.6) in the last section to these cases. Wada [32] shows that the characteristic polynomials of the Hecke operator T_2 on the \mathbf{C} -vector space of holomorphic cusp forms of weight 2 belonging to $\langle \Gamma_0(p), \begin{pmatrix} 0 & -1 \\ p & 0 \end{pmatrix} \rangle$ for $p < 250$. According to his table, we get

Table 4.

p	characteristic polynomial of T_2	$\# \mathcal{X}_0^+(p)(F_2)$	$\# \mathcal{X}_0^+(p)(F_4)$	$h(-p)$
43	$x + 2$	5	5	1
67	$x^2 + 3x + 1$	6	6	1
73	$x^2 + 3x + 1$	6	6	4
97	$x^3 + 4x^2 + 3x - 1$	7	7	4
163	$x(x^5 + 5x^4 + 3x^3 - 15x^2 - 16x + 3)$	8	10	1
193	$(x^2 + 3x + 1) \times$ $(x^5 + 2x^4 - 5x^3 - 7x^2 + 7x + 1)$	8	12	4

With these and Proposition (2.6), we get the proof.

(3.2). *Proof for $p = 13$.*

(3.2.1). Rational points on $Y_1(13)$ defined over quadratic fields k .

Let x be a k -rational point on $Y_1(13)$. There is an elliptic curve E defined over k with a k -rational point P of order 13 such that the pair $(E, \pm P)$ represents x ([2] VI Proposition (3.2)). By (1.5), (1.7), we can apply Lemma (1.9). So there is a rational function g (defined over \mathbf{Q}) on $X_1(13)$ such that

$$(g) = (x) + (x^\sigma) - (0_i) - (0_{i_\sigma}) \quad (\neq 0)$$

for $1 \neq \sigma \in \text{Gal}(k/\mathbf{Q})$ see (2.1). g defines an involution γ of $X_1(13)$ such that $X_1(13)/\langle \gamma \rangle \simeq \mathbf{P}^1$. The automorphism $[5] \in \bar{\Gamma}(13)$ (see § 1) of $X_1(13)$ is of degree 2, and $X_1(13)/\langle [5] \rangle \simeq \mathbf{P}^1$ (see (1.4)). Hence $\gamma = [5]$, and so $x^\sigma = \gamma(x)$, $0_{i_\sigma} = \gamma(0_i) (\neq 0_i)$. (Note that if a proper smooth curve X defined over a field is hyperelliptic of genus ≥ 2 , the involution γ satisfying $X/\langle \gamma \rangle \simeq \mathbf{P}^1$ is unique.) Then $\{x, x^\sigma = \gamma(x)\}$ defines a \mathbf{Q} -rational point on $Y_1(13)/\langle \gamma \rangle$ and $0_i \otimes F_q \neq \gamma(0_i) \otimes F_q$ for any rational prime q . There exists an elliptic curve F defined over \mathbf{Q} such that the image of $G_q = \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})$ of the Galois representation on $F_{13}(\bar{\mathbf{Q}})$ is contained in $\left\{ \begin{pmatrix} \langle 5 \rangle & * \\ 0 & * \end{pmatrix} \right\} (\subset GL_2(F_{13}))$, and $F \simeq E$ over \mathbf{C} .

(3.2.2). Suppose that there is a k -rational point x on $Y_1(169)$. There is an elliptic curve E defined over k with a k -rational point P of order 13^2 such that the pair $(E, \pm P)$ represents x ([2] VI Proposition (3.2)). Let x' be a k -rational point on $Y_1(13)$ which is represented by the pair $(E', \pm P')_{/k} \stackrel{\text{def}}{=} (E/\langle 13 \cdot P \rangle, \pm P \bmod \langle 13 \cdot P \rangle)_{/k}$, and ρ' the Galois representation on $E'_{13}(\bar{k})$. Then

$$\rho'(G_k) \hookrightarrow \left\{ \begin{pmatrix} 1 & 0 \\ 0 & * \end{pmatrix} \right\}.$$

As was seen in (3.2.1), there is an elliptic curve F defined over \mathbf{Q} such that the image of G_q under the Galois representation ρ on $F_{13}(\bar{\mathbf{Q}})$ is contained in $\left\{ \begin{pmatrix} \langle 5 \rangle & * \\ 0 & * \end{pmatrix} \right\}$ and $E' \simeq F$ over \mathbf{C} . Since F has multiplicative reduction at $q = 2$ (see (1.9)), there exists a quadratic extension K of k over which $E' \simeq F$. Thus

$$\rho(G_K) \hookrightarrow \left\{ \begin{pmatrix} 1 & 0 \\ 0 & * \end{pmatrix} \right\}.$$

So $\rho(G_q) \hookrightarrow \left\{ \begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix} \right\}$, which contradicts to the fact that $X_{\text{sp, Car}}(13)(\mathbf{Q})$

consists of the cusps $0, \infty(; X_{\text{sp.Car}}(p) \simeq X_0(p^2)$, see [7], [21]).

Remarks (3.3). (3.3.1). The modular curve $X = X_1(16)$ is of genus 2 and $\# J_1(16)(\mathbf{Q}) = 20$ (see [6]). Let $X \xrightarrow{2} Y \xrightarrow{2} X_0(16)$ be the natural covering, γ the generator of $\text{Gal}(X/Y)$. Then $Y = X/\langle \gamma \rangle \simeq P^1$. Let x be a k -rational point on $Y_1(16)$. If $q = 3$ (resp. $= 5$) does not remain prime in k , then $x \otimes \kappa(\mathfrak{q})$ and $x^\sigma \otimes \kappa(\mathfrak{q})$ are 0-cusps for a prime \mathfrak{q} of k lying over q (see (1.9)). Then we get a rational function g on X , defined over \mathbf{Q} , such that $(g) = (x) + (x^\sigma) - (0_i) - (0_{i_\sigma})$ (see (2.2)). Thus $x^\sigma = \gamma(x)$ and $0_{i_\sigma} = \gamma(0_i)$ ($\neq 0_i$) (see (3.2)). Therefore if $q = 3$ or 5 ramifies in k , $Y_1(16)(k) = \phi$. Let k be an imaginary quadratic field such that the class number of k is prime to 5 and that the rational prime 2 does not split in k . Then the fact that $\mathbf{Z}/5\mathbf{Z} \subset J_1(16)(\mathbf{Q})$ and the descent ([17] Chapter III) show $\# J_1(16)(k) < \infty$. Moreover, if 3 splits in k or 5 does not remain prime in k , using Mazur's idea "formal immersion" [18], we see $Y_1(16)(k) = \phi$.

(3.3.2). The modular curve $X_1(11)$ is an elliptic curve with conductor (11). The defining equation of $X_1(11)$ is

$$y^2 + y = x^3 - x^2$$

and $X_1(11)(\mathbf{Q}) \simeq \mathbf{Z}/5\mathbf{Z}$ (see [35] p. 82). The numbers of the F_q -rational points for $q = 2, 3$ of $\mathcal{X} = \mathcal{X}_1(11)$ are as follows:

$$\begin{aligned} \# \mathcal{X}(F_2) &= 5, & \# \mathcal{X}(F_4) &= 5 \\ \# \mathcal{X}(F_3) &= 5, & \# \mathcal{X}(F_9) &= 15 \end{aligned}$$

(loc. cit.). Therefore $X_1(11)(k)_{\text{tor}} \simeq \mathbf{Z}/5\mathbf{Z}$ for quadratic fields k . So we have $Y_1(11)(k) = \phi$ if and only if the rank of $X_1(11)(k)$ is 0. For example if k is an imaginary quadratic field such that the class number of k is prime to 5 and the rational prime 11 does not split in k , then $Y_1(11)(k) = \phi$. This can be shown by the descent; see [17] Chapter III.

(3.3.3). By the argument in (3.2.1), we have already known that the k -rational points on $Y_1(13)$ are parametrized by the $\mathbf{Q} \cup \{\infty\}$ -values of a rational function on $X_1(13)/\langle \gamma \rangle \simeq P^1_{\mathbf{Q}}$ of degree 1. If the rational prime $q = 2$ does not split in k or $q = 3$ ramifies in k , then $x \otimes \kappa(\mathfrak{q}) = x^\sigma \otimes \kappa(\mathfrak{q})$ for a k -rational point x on $X_1(13)$ and a prime \mathfrak{q} of k lying over q . Therefore by (3.2.1) in such a case $Y_1(13)(k) = \phi$.

(3.4). *Proof for $p = 37$.* Let $X_1(37) \xrightarrow{9} X \xrightarrow{2} X_0(37)$ be the natural coverings, $J = J(X)$ the jacobian variety of X and $A = \text{Coker}(J_0(37) \rightarrow J)$

(see (1.4)). Then A has everywhere good reduction over $\mathbf{Q}(\sqrt{37})$ ([2] V).

LEMMA (3.4.1). *Let p be a prime number congruent to 1 mod 4, $X_1(p) \xrightarrow{(p-1)/4} X \xrightarrow{2} X_0(p)$ the natural coverings, and $J = J(X)$ the jacobian variety of X . If there is a prime factor of $(1/4)B_{2,(\frac{p}{2})}$ which is prime to the class number of $\mathbf{Q}(\sqrt{p})$, then there is a factor $(\cdot/\mathbf{Q}(\sqrt{p}))$ of $\text{Coker}(J_0(p) \rightarrow J)$ with finite Mordell-Weil group $(\cdot/\mathbf{Q}(\sqrt{p}))$. Here $B_{2,(\frac{p}{2})}$ is the (second) generalized Bernoulli number associated to the quadratic residue symbol $(\frac{\cdot}{p})$ (see [13]).*

Proof. Let $0', 0''$ be the 0-cusps of X . The order of $\text{cl}((0') - (0''))$ is $(1/4)B_{2,(\frac{p}{2})}$ [11]. Let q be a prime number which is prime to the class number of $\mathbf{Q}(\sqrt{p})$ and divides $(1/4)B_{2,(\frac{p}{2})}$. Let B be a quotient (\cdot/\mathbf{Q}) of $\text{Coker}(J_0(p) \rightarrow J)$ such that B is \mathbf{Q} -simple and the order of the image $\text{cl}((0') - (0''))$ on B is divisible by q , then $\mathbf{Z}/q\mathbf{Z} \subset B$. B has everywhere good reduction over $\mathbf{Q}(\sqrt{p})$, see [2] V, and is isogenous to a product $C \times C^\sigma$ of an abelian variety C over $\mathbf{Q}(\sqrt{p})$. Further C is isogenous over $\mathbf{Q}(\sqrt{p})$ to C^σ for $1 \neq \sigma \in \text{Gal}(\mathbf{Q}(\sqrt{p})/\mathbf{Q})$, see [31] Chapter 7. Then B is isogenous over \mathbf{Q} to $\text{Re}_{\mathbf{Q}(\sqrt{p})/\mathbf{Q}}(C)$, where $\text{Re}_{\mathbf{Q}(\sqrt{p})/\mathbf{Q}}$ is the restriction of scalars (see [4], [33]). Hence $\text{rk } B(\mathbf{Q}) = \text{rk } C(\mathbf{Q}(\sqrt{p}))$. Applying the descent to $C(\cdot/\mathbf{Q}(\sqrt{p}))$ (see [17] Chapter III), we have $\# C(\mathbf{Q}(\sqrt{p})) < \infty$. \square

LEMMA (3.4.2). *Let $A = \text{Coker}(J_0(37) \rightarrow J)$ as above. Then $A(\mathbf{Q}) \simeq \mathbf{Z}/5\mathbf{Z}$.*

Proof. $(1/4)B_{2,(\frac{37}{2})} = 5$ and the class number of $\mathbf{Q}(\sqrt{37}) = 1$. A is isogenous over $\mathbf{Q}(\sqrt{37})$ to a product of two elliptic curves, so that A is \mathbf{Q} -simple. Using the table of the characteristic polynomials of the Hecke operators on the \mathbf{C} -vector space $S_2(\Gamma_0(37), (\frac{37}{\cdot}))$ of the holomorphic cusp forms of weight 2 with the neben character $(\frac{37}{\cdot})$ belonging to $\Gamma_0(37)$, p. 207 of [31], we see that $\# A(\mathbf{Q})_{\text{tor}} = 5$. Then Lemma (3.4.1) is applied to yield $A(\mathbf{Q}) \simeq \mathbf{Z}/5\mathbf{Z}$. \square

Suppose that there is a k -rational point x on $Y_1(37)$. Consider the \mathbf{Q} -rational section $i(x) = \text{cl}((x) + (x^\sigma) - (0_i) - (0_{i_\sigma}))$ of A , where $1 \neq \sigma \in \text{Gal}(k/\mathbf{Q})$, see Section 2. Then $i(x) \otimes F_q = 0$ for $q = 2, 3$ and 5 (see (1.9)), so we get $i(x) = 0$, see (3.4.2). There is a rational function g on X (defined over \mathbf{Q}) such that $(g) = (x) + (x^\sigma) + (\gamma(0_i)) + (\gamma(0_{i_\sigma})) - (\gamma(x)) - (\gamma(x^\sigma)) - (0_i) - (0_{i_\sigma})$, where $1 \neq \sigma \in \text{Aut}(X/X_0(37))$, see (2.1').

Claim. $x \neq \gamma(x), \neq \gamma(x^\sigma)$.

Proof. If $x = \gamma(x)$, then x is a fixed point of γ with the modular invariant $j(x) = 1728$. This contradicts that $x \otimes \kappa(q) = 0_i \otimes \kappa(q)$ for the primes $q|2$ of k . If $x = \gamma(x^\sigma)$, then $\{x, x^\sigma = \gamma(x)\}$ defines a \mathbf{Q} -rational point on $(Y_0(37))$. But we know that the non-cuspidal \mathbf{Q} -rational points on $X_0(37)$ have everywhere potentially good reduction, [19] Section 5, p. 32. \square

Let \mathcal{X} be the normalization of the projective j -line $\mathcal{X}_0(1) \simeq \mathbf{P}_Z^1$ in X . Then \mathcal{X} is smooth over $Z[1/37]$, see [2].

Case $0_i \neq 0_{i_\sigma}$. In this case $\gamma(0_i) = 0_i$ and $(g) = (x) + (x^\sigma) - (\gamma(x)) - (\gamma(x^\sigma)) (\neq 0)$. Let $E_\gamma = (x) + (x^\sigma)$ and E be the flat closure of E_γ on $\mathcal{X} \otimes Z_2$. Then $E \otimes F_2 = (0_i \otimes F_2) + (\gamma(0_i \otimes F_2))$. The argument similar to Lemma (1.11) shows that there is a rational function on $\mathcal{X}_0(37) \otimes F_2$ of degree one. This is a contradiction.

Case $0_i = 0_{i_\sigma}$. Let $E_\gamma = (x) + (x^\sigma) + 2(\gamma(0_i))$ and E be the flat closure of E_γ on $\mathcal{X} \otimes Z_2$. Then $E \otimes F_2 = 2(0_i \otimes F_2) + 2(\gamma(0_i \otimes F_2))$. The argument as in Lemma (1.11) shows that there is a double covering $g': \mathcal{X}_0(37) \otimes F_2 \rightarrow \mathbf{P}_{F_2}^1$, such that $(g')_\infty = 2(0 \otimes F_2)$. Then $0 = 0 \otimes F_2$ is a fixed point of the (unique) hyperelliptic involution \bar{S} of $\mathcal{X}_0(37) \otimes F_2$. The hyperelliptic involution S of $X_0(37)$ sends the cusp $0 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ to a non cuspidal \mathbf{Q} -rational point, see [19] Section 5. As noted as before, $S(0) \otimes F_2$ is not a cusp (see loc. cit.), so that $\bar{S} = S \otimes F_2$ does not fix $0 = 0 \otimes F_2$. Thus we get a contradiction.

For an imaginary quadratic field k , $Y_1(p)(k) = \phi$ if a rational prime p remains prime in k , except for finitely many p ([18] §4). For a real quadratic field k , we use Mazur's idea "formal immersion" (loc. cit.) to show the following. \square

PROPOSITION (3.5). *Let $p \geq 17$ be a rational prime congruent to $1 \pmod{4}$. If there exists a prime factor of $(1/4)B_{2,(\frac{p}{2})}$ which is prime to the class number of $\mathbf{Q}(\sqrt{p})$, then $Y_1(p)(\mathbf{Q}(\sqrt{p})) = \phi$.*

Proof. Let $X_1(p) \xrightarrow{(p-1)/4} X \xrightarrow{2} X_0(p)$ be the natural coverings, $J = J(X)$ the jacobian variety of X , and $A = \text{Coker}(J_0(p) \rightarrow J)$. Then by Lemma (3.4.1), there exists a quotient $B(\cdot/\mathbf{Q})$ of A with finite Mordell-Weil group over $\mathbf{Q}(\sqrt{p})$. As $p > (1+3)^2$, Lemma (1.8) is applied for $q = 3$. The rest owes to [18] Section 4. \square

COROLLARY (3.6). *Let p be a prime number congruent to $1 \bmod 8$. Then $Y_1(p)(\mathbf{Q}(\sqrt{p})) = \phi$.*

Proof. $(1/4)B_2(\frac{p}{2}) \equiv 0 \bmod 2$ (see, e.g. [17] Chapter II § 12). \square

§ 4. Further results

Let k be an algebraic number field of degree d , $n = n(k, p)$ and $n'' = n''(k, p)$ as in Section 1 (1.8). Applying propositions in Section 2, we can estimate n in some cases.

THEOREM (4.1). *Let k be any cubic field. Then*

$$\begin{aligned} n(k, 2) &\leq 5, \\ n(k, 3) &= 2, \\ n(k, 17) &\leq 1. \end{aligned}$$

For $p = 19, 23, 41, 47, 59, 71$ and the primes $p \leq 79, \neq 97, \neq 109$, satisfying $\#J_0^-(p)(\mathbf{Q}) < \infty$, we have $n(k, p) = 0$.

Proof. For $p < 300$, the result follows from Proposition (2.3), (1.4), (1.8), Lemma (1.12), except for $p = 19, 23, 157, 163, 193, (277)$ (see table (4.3)). Using Corollary (2.5), we get the result for $p = 157, 163, 193$ (see (3.1)). The characteristic polynomial of the Hecke operator T_2 on $S_2\left(\left\langle \Gamma_0(157), \begin{bmatrix} 0 & -1 \\ 157 & 0 \end{bmatrix} \right\rangle\right)$ (see (3.1)) is $x^5 + 5x^4 + 5x^3 - 6x^2 - 7x + 1$ (see [32]). Thus $\#\mathcal{X}_0^+(157)(F_2) = 8$ and $\#\mathcal{X}_0^+(157)(F_4) = 10$. For $p = 19, 23$, if there exists a k -rational point x on $Y_1(p)$, then there exists a rational function g on $X = X_1(p)$, defined over \mathbf{Q} , such that $(g) = \sum (x^\sigma) - \sum (0_{i_\sigma})$, see (1.9), (2.1)). For $p = 23$, we know $\#\mathcal{X}_1(23)(F_2) = 11$ ([9] § 4). Using the upper semicontinuity (see [34] (7.7.1)1), we get a contradiction.

(4.1.1) *Proof for $p = 19$.* Let $1 \neq \gamma \in \text{Aut}(X/Y)$ (see (1.4)). If $(\gamma^*g) = (g)$, then $\gamma(x) = x^\tau$ for a $\tau \in \text{Isom}_{\mathbf{Q}}(k, \bar{\mathbf{Q}})$. Then x is a fixed point if $\tau = 1$, or $\{x^\sigma\}_\sigma = \{\gamma^i(x)\}_{i=0,1,2}$ if $\tau \neq 1$. The fixed points of γ have the modular invariant $j = 0$ (see (1.1), (1.4)). So by Lemma (1.9) the first case above does not occur. In the second case, $\{x^\sigma\}_\sigma$ defines a \mathbf{Q} -rational point on Y , hence on $X_0(19)$. But the \mathbf{Q} -rational points on $X_0(19)$ are the cusps and the points represented by the elliptic curve $C/Z(1 + \sqrt{-19})/2$. So $(\gamma^*g) \neq (g)$ is shown. Let $D = \sum_{i=1}^6 (x_i)$ be the \mathbf{Q} -rational divisor of $X_1(19)$, where x_i are the fixed points of γ on $X_1(19)$ (see (1.4)). Then by Lemma (1.11), $1/(\gamma^*g/g - 1) \in H^0(X_1(19), \mathcal{O}(D))$. The Riemann-Roch theorem and a

theorem of Clifford (see below) then show $\dim_{\mathbf{Q}} H^0(X_1(19), \mathcal{O}(D)) < 1 + 3$.

(4.1.2) **THEOREM** (Clifford; see e.g. [5]). *Let X be a proper smooth curve / \mathbf{C} of genus ≥ 1 , E an effective divisor such that $\dim_{\mathbf{C}} H^0(X, \mathcal{O}(K - E)) > 0$, where K is the canonical divisor. Then*

$$\dim_{\mathbf{C}} H^0(X, \mathcal{O}(E)) \leq 1 + \frac{1}{2} \deg(E).$$

*The equality holds if and only if $E = 0$, $E \sim K$ or $E \sim \pi^*F$ if X is hyperelliptic, where $\pi: X \rightarrow \mathbf{P}^1$ is a double covering and F is a divisor of \mathbf{P}^1 .*

It is easy to see the following.

(4.1.3). Let X be a proper smooth curve and γ an automorphism of X of degree m (≥ 1) defined over \mathbf{Q} . Let E be an effective, \mathbf{Q} -rational divisor of X such that $\gamma^*E = E$ and γ^* acts faithfully on $H^0(X, \mathcal{O}(E))$. Then $\dim_{\mathbf{Q}} H^0(X, \mathcal{O}(E)) \geq 1 + \varphi(m)$, where $\varphi(m)$ is the Euler number of m .

Let $\tilde{\gamma}$ be the generator of $\bar{\Gamma}_0(19) = \Gamma_0(19)/\pm \Gamma_1(19)$ (see (1.4)), which is of order 9. Then D is $\tilde{\gamma}^*$ -invariant and $H^0(X_1(19), \mathcal{O}(D))^{\tilde{\gamma}^*} = \mathbf{Q} \cdot 1$. If $\dim_{\mathbf{Q}} H^0(X_1(19), \mathcal{O}(D)) \geq 2$, then $\tilde{\gamma}^*$ acts faithfully on $H^0(X_1(19), \mathcal{O}(D))$ and $\dim_{\mathbf{Q}} H^0(X_1(19), \mathcal{O}(D)) \geq 1 + \varphi(9) = 7$ by (4.1.3). This is a contradiction.

(4.1.4) *Proof for $p > 300$ (e.g. $p = 383, 419, 429, 491$, cf. [17] p. 151) (and for $p = 277$ if $\# J_0^-(277)(\mathbf{Q}) < \infty$). By Corollary (2.5), if $Y_1(p)(k) \neq \phi$, then $\# \mathcal{X}_0(p)(F_4) \leq 2(1 + 4 \cdot 3) - s \leq 24$. But we know $\# \mathcal{X}_0(p)(F_4) \geq 2 + (p + 1)/12$ (see [24] Theorem 3). Hence $Y_1(p)(k) = \phi$ for $p > 300$ (, and $p = 277$) if $\# J_0^-(p) < \infty$. \square*

Remark (4.2). The above method used for $(p, d) = (19, 3)$ can be applied to some other cases. For example, it gives an alternating proof for $(p, d) = (5, 2)$. In this case, under the notation in (4.1.1), (4.1.2) and the Riemann-Roch theorem show $\dim_{\mathbf{Q}} H^0(X, \mathcal{O}(D)) \leq 1 + 2$. But if $\dim_{\mathbf{Q}} H^0(X, \mathcal{O}(D)) \geq 2$, then it must be $\geq 1 + 4$ by (4.1.3).

(4.3). Table for $p < 300$.

Let k be an algebraic number field of degree d . For the pairs (p, d) in the following table, we get $n(k, p) < n''(k, p)$. See (1.4), (1.8), [32], [35] table 5, pp. 135–141.

Table 5.

p	d	$s(p)$	$h(-p)$ (for $p \geq 23$)
2	2, 3	8	
3	2, 3, 4, 5	12	
5	2	4	
7	2	2	
11	2	6	
13	2	6	
17	2, 3	8	
19	2, 3	8	
23	2, 3	6	3
29	2	6	6
31	2	6	3
37	2	2	2
41	2, 3	8	8
43	2	4	1
47	2, 3, 4	10	5
53	2	6	6
59	2, 3, 4, 5	12	3
61	2	6	6
67	2	4	1
71	2, 3, 4, 5, 6	14	7
73	2	4	4
79	2, 3, 4	10	5
83	2, 3, 4, 5	12	3
89	2, 3, 4, 5	12	12
97	2	4	4
101	2, 3, 4, 5, 6	14	14
103	2, 3, 4	10	5
107	2, 3, 4, 5	12	3
109	2	6	6
113	2, 3	8	8
127	2, 3, 4	10	5
131	2, 3, ..., 9	20	5
137	2, 3	8	8
139	2, 3, 4, 5	12	3
149	2, 3, 4, 5, 6	14	14
151	?	14	7
157	2, 3	6	6
163	2, 3	4	1

Table 5. Continued

p	d	$s(p)$	$h(-p)$ (for $p \geq 23$)
167	2, 3, ..., 10	22	11
173	2, 3, 4, 5, 6	14	14
179	2, 3, ..., 9	20	5
181	2, 3, 4	10	10
191	2, 3, ..., 12	26	13
193	2, 3	4	4
197	2, 3, 4	10	10
199	?	18	9
211	2, 3, 4, 5	12	3
223	2, 3, 4, 5, 6	14	7
227	?	20	5
229	2, 3, 4	10	10
233	2, 3, 4, 5	12	12
239	2, 3, ..., 14	30	15
241	2, 3, 4, 5	12	12
251	2, 3, ..., 13	28	7
257	2, 3, 4, 5, 6, 7	16	16
263	2, 3, ..., 12	26	13
269	2, 3, ..., 10	22	22
271	2, 3, ..., 10	22	11
277	?	6	6
281	2, 3, ..., 9	20	20
283	2, 3, 4, 5	12	3
293	2, 3, ..., 8	18	18

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