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# MODULAR REPRESENTATIONS OF ABELIAN GROUPS WITH REGULAR RINGS OF INVARIANTS

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# §1. Introduction

Let k be a field of characteristic p and G a finite subgroup of GL(V)where V is a finite dimensional vector space over k. Then G acts naturally on the symmetric algebra k[V] of V. We denote by  $k[V]^{g}$  the subring of k[V] consisting of all invariant polynomials under this action of G. The following theorem is well known.

THEOREM 1.1 (Chevalley-Serre, cf. [1, 2, 3]). Assume that p = 0 or (|G|, p) = 1. Then  $k[V]^{g}$  is a polynomial ring if and only if G is generated by pseudo-reflections in GL(V).

Now we suppose that |G| is divisible by the characteristic p(>0). Serre gave a necessary condition for  $k[V]^{a}$  to be a polynomial ring as follows.

THEOREM 1.2 (Serre, cf. [1, 3]). If  $k[V]^{a}$  is a polynomial ring, then G is generated by pseudo-reflections in GL(V).

But the ring  $k[V]^{G}$  of invariants is not always a polynomial ring, when G is generated by pseudo-reflections in GL(V) (cf. [1, 3]).

In this paper we shall completely determine abelian groups G such that  $\mathbf{F}_p[V]^a$  are polynomial rings ( $\mathbf{F}_p$  is the field of p elements). Our main result is

THEOREM 1.3. Let V be a vector space over  $\mathbf{F}_p$  and G an abelian group generated by pseudo-reflections in GL(V). Let  $G_p$  denote the p-part of G and assume that  $G_p \neq \{1\}$ . Then the following statements on G are equivalent:

(1)  $\mathbf{F}_{p}[V]^{G}$  is a polynomial ring.

(2) The natural  $\mathbf{F}_{p}G_{p}$ -module V defines a couple  $(V, G_{p})$  which decomposes to one dimensional subcouples (for definitions, see § 2).

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The computation of invariants of elementary abelian *p*-groups *G* plays an essential role in the proof of this theorem. Therefore we need to study the structure of  $\mathbf{F}_p G$ -modules *V* such that  $\mathbf{F}_p [V]^a$  are polynomial rings under some additional hypothesis (see § 3). In § 4 our main result shall be reduced to (3.2).

Hereafter k stands for the prime field of characteristic p > 0 and without specifying we assume that all vector spaces are defined over k.

# §2. Preliminaries

An element  $\sigma$  of GL(V) is said to be a pseudo-reflection if dim  $(1-\sigma)V \leq 1$ . We say that a graded ring  $R = \bigoplus_{n\geq 0} R_n$  is defined over a field K, when  $R_0 = K$  and R is a finitely generated K-algebra. It is well known that R is a polynomial ring over K if R is regular at the homogeneous maximal ideal  $\bigoplus_{n>0} R_n$ . For a subset A of a ring R,  $\langle A \rangle_R$  denotes the ideal of R generated by A. To simplify our notation we put  $\langle A \rangle = \langle A \rangle_{k[V]}$  if A is a subset of the fixed k-space V (for a subset B of a group,  $\langle B \rangle$  means the subgroup generated by B).

PROPOSITION 2.1. Let G be an abelian group generated by pseudoreflections in GL(V) and let  $G_p$  denote the p-part of G. Then  $k[V]^{G}$  is a polynomial ring if and only if  $k[V]^{G_p}$  is a polynomial ring.

*Proof.* Let  $\overline{k}$  be the algebraic closure of k and let  $G_{p'}$  be the p'-part of G. Since G is an abelian group generated by pseudo-reflections in  $GL(\overline{k} \otimes_k V)$ , we can immediately find a  $\overline{k}G_p$ -submodule  $V_p$  and a  $\overline{k}G_{p'}$ -submodule  $V_{p'}$  such that  $V_p \subseteq (\overline{k} \otimes_k V)^{\sigma_{p'}}$ ,  $V_{p'} \subseteq (\overline{k} \otimes_k V)^{\sigma_p}$  and  $\overline{k} \otimes_k V = V_p \oplus V_{p'}$ . Therefore

$$\overline{k} \otimes_{k} k[V]^{\scriptscriptstyle G} \cong \overline{k}[\overline{k} \otimes_{k} V]^{\scriptscriptstyle G} \cong \overline{k}[V_{\scriptscriptstyle p}]^{\scriptscriptstyle G_p} \otimes_{\overline{k}} \overline{k}[V_{\scriptscriptstyle p'}]^{\scriptscriptstyle G_{p'}}$$

and  $\overline{k}[V_{p'}]^{a_{p'}}$  is a polynomial ring. The assertion follows from these facts, because  $k[V]^{a}$  and  $\overline{k}[V_{p}]^{a_{p}}$  are graded algebras defined over fields.

PROPOSITION 2.2. If G is an abelian p-group generated by pseudoreflections in GL(V), then  $V/V^{G}$  is a trivial kG-module (i.e. G acts trivially on  $V/V^{G}$ ).

*Proof.* Let  $\sigma \in G - \{1\}$  be a pseudo-reflection and choose  $Z \in V$  to satisfy  $(1 - \sigma)V = kZ$ . Clearly it suffices to prove that  $Z \in V^{g}$ . Since G

is abelian,  $\tau(kZ) = (1 - \sigma)\tau(V) = kZ$  for any element  $\tau$  of G. Hence the map  $\chi: G \to k^*$  defined by

$$\tau \longmapsto \frac{\tau^{-1}(Z)}{Z}$$

is a group homomorphism, where  $k^*$  is the unit group of k. But we have Hom  $(G, k^*) = \{1\}$ , as G is a p-group. This implies that  $Z \in V^{c}$ .

(V, G), which is called a *couple*, stands for a pair of a group G and a G-faithful kG-module V such that  $V/V^{a}$  is a nonzero trivial kG-module (in this case G is an elementary abelian p-group). The *dimension* of (V, G)is defined to be dim  $V/V^{a}$ . We say (U, H) is a *subcouple* of (V, G) if H is a subgroup of G and U is a kH-submodule of V. Let us associate (V, G)with the subspace

$$\mathscr{A}(V,G) = \sum_{\sigma \in G} (1-\sigma)V$$

of  $V^{c}$  and the subring  $\mathcal{Q}(V, G)$  which is the image of the canonical ring homomorphism

$$k[V]^{\scriptscriptstyle G}/\langle V^{\scriptscriptstyle G}
angle^{\scriptscriptstyle G} \longrightarrow k[V/V^{\scriptscriptstyle G}]$$
 .

LEMMA 2.3. For any couple (V, G) the k-algebra  $\mathcal{Q}(V, G)$  is a polynomial ring.

Proof. Putting

$$R = ar{k} [ar{k} \otimes_{\scriptscriptstyle k} V]^{\scriptscriptstyle G} / (\langle ar{k} \otimes_{\scriptscriptstyle k} V^{\scriptscriptstyle G} 
angle_{\scriptscriptstyle k[ar{k} \otimes_{\scriptscriptstyle k} V]})^{\scriptscriptstyle G} \; ,$$

we see that

$$R \cong \bar{k} \otimes_k \mathscr{Q}(V, G)$$

as graded algebras defined over  $\bar{k}$ . Let  $\mathfrak{M}_i$  (i = 1, 2) be maximal ideals of  $\bar{k}[\bar{k} \otimes_k V]$  which contain the ideal  $\langle \bar{k} \otimes_k V^{\sigma} \rangle_{\bar{k}[\bar{k} \otimes_k V]}$ . Then, by the definition of a couple, we can select a coordinate transform

$$\rho\colon \bar{k}[\bar{k}\otimes_{k}V] \longrightarrow \bar{k}[\bar{k}\otimes_{k}V]$$

sending  $\mathfrak{M}_1$  to  $\mathfrak{M}_2$  which commutes with the action of G. The contractions of  $\mathfrak{M}_i$  (i = 1, 2) to  $\overline{k}[\overline{k} \otimes_k V]^c$  define maximal ideals  $\mathfrak{N}_i$  of R respectively and the transform  $\varphi$  induces  $R_{\mathfrak{N}_1} \cong R_{\mathfrak{N}_2}$ . Hence we conclude that R is regular, because it is an affine domain. From this  $\mathscr{Q}(V, G)$  is a polynomial ring.

We say that (V, G) decomposes to subcouples  $(V_i, G_i)$   $(1 \le i \le m)$  if  $G = \bigoplus_{1 \le i \le m} G_i, V^a \subseteq V_i \subseteq V^{a_j}$  for all  $1 \le i, j \le m$  with  $i \ne j$  and

$$V/V^{\scriptscriptstyle G} igg( = \sum\limits_{1 \leq i \leq m} V_i/V^{\scriptscriptstyle G} igg) = \bigoplus\limits_{1 \leq i \leq m} V_i/V^{\scriptscriptstyle G} \; .$$

The set consisting of these subcouples is called a *decomposition* of (V, G). Further (V, G) is defined to be *decomposable*, when it has a decomposition  $\{(V_i, G_i): 1 \leq i \leq m\}$  with  $m \geq 2$ .

PROPOSITION 2.4. Let (V, G) be a couple which decomposes to subcouples  $(V_i, G_i)$   $(1 \leq i \leq m)$ . Then the following conditions are equivalent:

- (1)  $k[V]^{a}$  is a polynomial ring.
- (2)  $k[V_i]^{G_i}$   $(1 \leq i \leq m)$  are polynomial rings.

*Proof.* Suppose that  $k[V]^{a}$  is a polynomial ring. Since  $k[V]^{a}$  contains  $k[V_{i}]^{a_{i}}$ , the canonical  $kG_{i}$ -epimorphism  $V \rightarrow V_{i}$  induces a graded epimorphism

$$\psi_i \colon k[V]^{\scriptscriptstyle G} \longrightarrow k[V_i]^{\scriptscriptstyle G_i}$$

Clearly  $V^{\scriptscriptstyle G} = V_i^{\scriptscriptstyle G_i}$  and  $\psi_i(\langle V^{\scriptscriptstyle G} \rangle^{\scriptscriptstyle G}) = (\langle V^{\scriptscriptstyle G} \rangle_{k[V_i]})^{\scriptscriptstyle G_i}$ . Hence  $\langle V^{\scriptscriptstyle G} \rangle^{\scriptscriptstyle G} = \langle V^{\scriptscriptstyle G} \rangle_{k[V]^{\scriptscriptstyle G}}$  implies

$$(\langle V_i^{G_i} \rangle_{k[V_i]})^{G_i} = \langle V_i^{G_i} \rangle_{k[V_i]^{G_i}}.$$

By (2.3) we see that  $\mathcal{Q}(V_i, G_i)$  are polynomial rings and therefore  $k[V_i]^{\sigma_i}$  $(1 \leq i \leq m)$  are also polynomial rings. Conversely we assume the condition (2). Denote by  $n_i$  the dimension of  $(V_i, G_i)$   $(1 \leq i \leq m)$  and let  $f_{ij}$   $(1 \leq j \leq n_i)$ be homogeneous polynomials in  $k[V_i]$  such that  $k[V_i]^{\sigma_i} = k[V_i^{\sigma_i}][f_{ij}, \dots, f_{in_i}]$  $(1 \leq i \leq m)$ . Then it follows easily that  $k[V]^{\sigma} = k[V^{\sigma}][f_{ij}: 1 \leq i \leq m,$  $1 \leq j \leq n_i]$ .

For a one dimensional couple ( $V^{a} \oplus kX, G$ ) we call

$$F(X) = \prod_{\sigma \in G} \sigma(X)$$

the canonical ( $V^{a} \oplus kX$ , G)-invariant on X. F(X) satisfies the identity

$$F(Y_1 + Y_2) = F(Y_1) + F(Y_2)$$
.

Clearly we must have  $k[V^{a} \oplus kX]^{a} = k[V^{a}][F(X)]$  and hence

COROLLARY 2.5. If a couple (V, G) decomposes to one dimensional subcouples, then  $k[V]^{a}$  is a polynomial ring.

**PROPOSITION 2.6.** Let G be a subgroup of GL(V) and let H be the

inertia group of a prime ideal  $\mathfrak{P}$  of k[V] under the natural action of G. If  $k[V]^{\mathfrak{g}}$  is a polynomial ring, then  $k[V]^{\mathfrak{g}}$  is also a polynomial ring.

This proposition is almost evident.

LEMMA 2.7. Let (V, G) be a couple with dim  $V^{a} = 1$  and suppose that  $\{X_{i}: 0 \leq i \leq m\}$  is a k-basis of V with  $V^{a} = kX_{0}$ . Further, for non-negative integers t(i)  $(1 \leq i \leq m)$ , let R be the graded polynomial subalgebra  $k[X_{0}, X_{1}^{p^{t(i)}}, \dots, X_{m}^{p^{t(m)}}]$  of k[V]. Then  $\mathbb{R}^{a}$  is a polynomial ring and we can effectively determine a regular system of homogeneous parameters of  $\mathbb{R}^{a}$ .

*Proof.* We prove this by induction on |G| and may assume that

$$t(1) = \cdots < \cdots = t(m_{i-1}) < t(m_{i-1} + 1)$$
  
=  $t(m_{i-1} + 2) \cdots = t(m_i) < \cdots < \cdots = t(m_n)$ 

where  $m_n$  is equal to m. Let us put

$$U_i = \bigoplus_{0 \leq j \leq m_1} k X_j^{p^{t(m_i)}}$$

and

$$U_i' = U_i \oplus \bigoplus_{m_{i-1} < j \leq m_i} k X_j^{p^{t(m_i)}}$$

respectively and moreover define  $G_1$  to be the stabilizer of G at  $U_1$ . Then there is a subgroup  $G_2$  such that  $G = G_1 \oplus G_2$ . Because  $U_i$  is a  $G_2$ -faithful  $kG_2$ -module with  $(G_2 - 1)U_i = kX_0^{p^{t(m_i)}}$ , we deduce that the natural short exact sequence

$$0 \longrightarrow U_i \longrightarrow U'_i \longrightarrow \bigoplus_{m_{i-1} < j \le m_i} k X_j^{p^t(m_i)} \bmod U_i \longrightarrow 0$$

of kG-modules is  $G_2$ -split. Therefore we may suppose that  $X_j^{p^t(m_i)}$   $(2 \leq i \leq n; m_{i-1} < j \leq m_i)$  are invariants of  $G_2$ . On the other hand we can effectively determine homogeneous polynomials  $f_i$   $(1 \leq i \leq m_1)$  which satisfy  $k[U_1]^{G_2} = k[X_0^{p^t(m_1)}, f_1, \dots, f_{m_1}]$ . Hence it follows that  $R^d = S^{G_1}[f_1, \dots, f_{m_1}]$  where  $S = k[X_0][X_j^{p^t(m_i)}: 2 \leq i \leq n, m_{i-1} < j \leq m_i]$ . Then the assertion is shown from the induction hypothesis.

When W is a kH-submodule of U for a subgroup H of GL(U), we denote by H(W) the kernel of the canonical homomorphism  $H \to GL(U/W)$ .

PROPOSITION 2.8. Let (V, G) be a couple such that  $k[V]^{a}$  is a polynomial ring. Then we can effectively determine a regular system of homogeneous parameters of  $\mathcal{Q}(V, G)$ .

Proof. Let

$$0 = W_0 \subseteq W_1 \subseteq \cdots \subseteq W_d = V^d$$

be an ascending chain of subspaces with  $\dim W_i/W_{i-1} = 1$ . Put  $R_0 = k[V]$  and define

$$R_i = R^{\scriptscriptstyle G_i}_{\scriptscriptstyle i-1} / W_i R^{\scriptscriptstyle G_i}_{\scriptscriptstyle i-1} \qquad (1 \leqq i \leqq d)$$

inductively where  $G_i$  denotes  $G(W_i)$ . Then obviously the natural map

$$\mathscr{Q}(V, G) \longrightarrow R_d$$

is an isomorphism, because, by (2.6),  $k[V]^{\sigma_i}$   $(1 \leq i \leq d)$  are polynomial rings. Hence this proposition follows from (2.7).

LEMMA 2.9. Let (V, G) be a one dimensional couple and suppose that  $\{X, T_1, \dots, T_d\}$  is a k-basis of V with  $V^G = \bigoplus_{1 \leq i \leq d} kT_i$ . Further let F(X) denote the canonical (V, G)-invariant on X. If  $\bigoplus_{i \neq 1} kT_i \supseteq \mathscr{A}(V, G)$  and  $\bigoplus_{i \neq 2} kT_i \supseteq \mathscr{A}(V, G)$ , then we have  $F(T_1) \in \langle T_2, T_3, \dots, T_d \rangle$  and

$$F(X)\equiv X^{p^u}-T_1^{p^u-p^{u-1}}X^{p^{u-1}} \operatorname{mod} \langle T_3, T_4, \cdots, T_d 
angle$$

where  $p^u = |G|$ .

*Proof.* Choose a k-basis  $\{Z_j: 1 \leq j \leq u\}$  of  $\mathscr{A}(V, G)$  such that  $Z_i \equiv T_1 \mod \bigoplus_{i \neq 1} kT_i$  and  $\bigoplus_{i \neq 1} kT_i \supseteq \{Z_2, Z_3, \dots, Z_u\}$ . Putting  $F_1(X) = X^p - Z_u^{p-1}X$ , we inductively define

$$F_{i+1}(X) = F_i(X)^p - F_i(Z_{u-i})^{p-1}F_i(X)$$
  $(i < u)$ .

Then there exist elements  $\sigma_i$   $(1 \leq i \leq u)$  in G which satisfy  $(\sigma_i - 1)X = Z_i$ and therefore we must have  $F(X) = F_u(X)$ . From this we deduce that

$$egin{aligned} F(T_1) &= F_{u-1}(T_1)^p - F_{u-1}(Z_1)^{p-1}F_{u-1}(T_1) \ &\equiv 0 \ \mathrm{mod} \ ig< T_2, \ T_3, \ \cdots, \ T_d ig> \end{aligned}$$

and

$$egin{aligned} F(X) &= F_{u-1}(X)^p - F_{u-1}(Z_1)^{p-1}F_{u-1}(X) \ &\equiv X^{p^u} - T_1^{p^{u-p^{u-1}}}X^{p^{u-1}} \, \mathrm{mod} \, ig< T_3, \, T_4, \, \cdots, \, T_d ig> \,, \end{aligned}$$

since  $Z_1 \equiv T_1 \mod \bigoplus_{3 \leq i \leq d} kT_i$  and  $F_{u-1}(X) \equiv X^{p^{u-1}} \mod \langle T_3, T_4, \cdots, T_d \rangle$ .

Let  $\mathscr{D} = \{(V^a \oplus W_i, G_i): 1 \leq i \leq m\}$  be a decomposition of (V, G) and put  $\operatorname{supp}_{\mathscr{D}} L = \{i_0: V^a \oplus \bigoplus_{i \neq i_0} W_i \not\supseteq L\}$  for a subset L of V. Let us consider an element  $\theta$  of GL(V) with the property that  $V^{\langle \theta \rangle} \supseteq V^{\mathfrak{G}}$ . We say  $\theta$  is

 $\mathscr{D}$ -admissible if G contains some subgroups  $G'_i$   $(1 \leq i \leq m)$  which give another decomposition  $\mathscr{D}' = \{(V^G \oplus \theta(W_i), G'_i): 1 \leq i \leq m\}$  of (V, G). In the case of dim  $W_i = 1$  the transform  $\theta$  is characterized by

PROPOSITION 2.10. If  $W_i = kX_i$   $(1 \le i \le m)$  then the following conditions are equivalent:

(1)  $\theta$  is  $\mathcal{D}$ -admissible.

(2) There is a permutation  $\pi$  on  $\{1, 2, \dots, m\}$  such that  $|G_i| = |G_{\pi(i)}|$ ,  $\mathscr{A}(V^a \oplus W_{\pi(i)}, G_{\pi(i)}) \supseteq \mathscr{A}(V^a \oplus W_j, G_j) \ (j \in \operatorname{supp}_{\mathscr{P}} \theta(W_i)) \ and \ \pi(i) \in \operatorname{supp}_{\mathscr{P}} \theta(W_i)$ for  $1 \leq i \leq m$ .

*Proof.* Suppose that the condition (2) is satisfied and let  $G'_{i_0}$  be

$$\{ au\in GL(V)\colon V^{\langle au
angle}\supseteq V^{\scriptscriptstyle G}\oplus \bigoplus_{i= au_0} heta(W_i) ext{ and } \mathscr{A}(V^{\scriptscriptstyle G}\oplus W_{\pi(i_0)},G_{\pi(i_0)})\supseteq (1\!-\! au)V\}$$

for  $1 \leq i_0 \leq m$ . Furthermore set

$$J = \{i \colon \mathscr{A}(V^{\scriptscriptstyle G} \oplus W_i,\,G_i) \supseteq \mathscr{A}(V^{\scriptscriptstyle G} \oplus W_{\pi(i_0)},\,G_{\pi(i_0)})\}$$

and

$$J'=\{i\colon \mathscr{A}(V^{\scriptscriptstyle G}\oplus W_i,\,G_i)=\mathscr{A}(V^{\scriptscriptstyle G}\oplus W_{{}_{\pi(i_0)}},\,G_{{}_{\pi(i_0)}})\}\;.$$

Since  $G'_{i_0} \neq \{1\}$ , we pick up any element  $\sigma$  from  $G'_{i_0} - \{1\}$ . Then, for each  $j \in J$ , we can choose  $\tau_j \in G_j$  with  $(1 - \tau_j)V = (1 - \sigma)V$ . Clearly there are integers  $0 \leq \mu(j) < p$   $(j \in J')$  such that

$$\left(1-\prod_{j\in J'}\tau_j^{\mu(j)}\right) heta(X_i)=(1-\sigma) heta(X_i)$$

for  $\pi(i) \in J'$ . Further let us define integers  $0 \leq \mu(j) < p$   $(j \in J - J')$  to satisfy

$$\prod\limits_{j \in J} au_j^{\mu(j)} heta(X_i) = heta(X_i) \qquad (\pi(i) \in J - J') \;.$$

Consequently we see that

$$\Big(1-\prod\limits_{j\in J} au_j^{\mu(j)}\Big) heta(X_i)=(1-\sigma) heta(X_i)\qquad (1\leq i\leq m)\ ,$$

which yields

$$\sigma = \prod_{j \in J} \tau_j^{\mu(j)}$$
 .

Thus the couple (V, G) decomposes to  $(V^a \oplus \theta(W_i), G'_i)$   $(1 \le i \le m)$  since  $G \supseteq G'_i$  and  $|G_i| = |G'_i|$   $(1 \le i \le m)$ .

Conversely assume that (V, G) has another decomposition  $\mathscr{D}' = \{(V^{q} \oplus \theta(W_{i}), G'_{i}): 1 \leq i \leq m\}$  and let  $f_{i}(\theta(X_{i}))$  be the canonical  $(V^{q} \oplus \theta(W_{i}), G'_{i})$ -invariant on  $\theta(X_{i})$ . If

$$\theta(X_i) = \sum_{1 \leq j \leq m} a_{ij} X_j$$

for some  $a_{ij} \in k$ , we have

$$f_i(\theta(X_i)) = \sum_{1 \leq j \leq m} a_{ij} f_i(X_j)$$
.

Select a subgroup  $H_{ij}$  of  $GL(V^{a} \oplus W_{j})$  such that  $k[V^{a} \oplus W_{j}]^{H_{ij}} = k[V^{a}][f_{i}(X_{j})]$ . Then the natural  $kH_{ij}$ -module  $V^{a} \oplus W_{j}$  defines a couple which satisfies that  $\mathscr{A}(V^{a} \oplus W_{j}, H_{ij}) = \mathscr{A}(V^{a} \oplus \theta(X_{i}), G_{i})$ . On the other hand  $f_{i}(\theta(X_{i}))$  can be expressed as

$$f_i(\theta(X_i)) = \sum_{1 \leq j \leq m} a_{ij} h_{ij} + g_i$$

for  $g_i \in \langle V^c \rangle_{k[V]^d}$  and  $h_{ij} \in k[V^c \oplus W_j]^{a_j}$  where each  $h_{ij}$  is monic as a polynomial of  $X_j$ . Therefore the canonical  $(V^c \oplus W_j, G_j)$ -invariant  $F_j(X_j)$  on  $X_j$  divides  $f_i(X_j)$  in  $k[V^c \oplus W_j]$   $(j \in \operatorname{supp}_{\mathfrak{g}} \theta(X_i))$ . From this we must have  $\mathscr{A}(V^c \oplus \theta(W_i), G'_i) \supseteq \mathscr{A}(V^c \oplus W_j, G_j)$   $(j \in \operatorname{supp}_{\mathfrak{g}} \theta(X_i))$  for  $1 \leq i \leq m$ . The remainder of (2) follows directly from the equality

$$k[V^{\scriptscriptstyle G}][F_{\scriptscriptstyle 1}(X_{\scriptscriptstyle 1}),\,\cdots,\,F_{\scriptscriptstyle m}(X_{\scriptscriptstyle m})] = k[V^{\scriptscriptstyle G}][f_{\scriptscriptstyle 1}( heta(X_{\scriptscriptstyle 1})),\,\cdots,f_{\scriptscriptstyle m}( heta(X_{\scriptscriptstyle m}))] \;.$$

We say that (V, G) is homogeneous when  $\mathcal{Q}(V, G)$  is homogeneous concerning the natural graduation induced from that of k[V] (i.e.  $\mathcal{Q}(V, G)$  is generated by some homogeneous part as a k-algebra). A couple (V, G) is defined to be quasi-homogeneous if there is a subspace W of  $V^{G}$  with  $\operatorname{codim}_{V^{G}} W = 1$  such that  $G(W) = \{1\}$  or (V, G(W)) is a homogeneous subcouple which satisfies dim  $(V, G) = \dim (V, G(W))$ .

# §3. Computation of invariants

Let  $(V^{G} \oplus kX_{i}, H_{i})$   $(1 \leq i \leq m)$  be subcouples of (V, G) with

$$\dim \left( V^{\scriptscriptstyle G} + \sum\limits_{1 \leq i \leq m} k X_i 
ight) = m + \dim V^{\scriptscriptstyle G}$$

such that  $V^{H_j} \ni X_i$   $(i \neq j)$  and  $G(W) = \bigoplus_{1 \leq i \leq m} H_i$  for a subspace W of  $V^g$ with  $\operatorname{codim}_{r^g} W = 1$ . We define Z,  $T_i$  and  $W_j$  to satisfy  $V^g = W \oplus kZ$ ,  $W = \bigoplus_{1 \leq i \leq d} kT_i$  and  $kX_j = W_j$   $(1 \leq j \leq m)$  respectively.  $F_i = F_i(X_i)$  denotes the canonical  $(V^g \oplus W_i, H_i)$ -invariant on  $X_i$ . For any n and  $c = (c_1, \dots, c_n) \in \mathbb{Z}^n$ , let ||c|| denote the sum  $\sum_{1 \leq i \leq n} c_i$  and  $\{e_i : 1 \leq i \leq n\}$  be the standard

basis of  $\mathbb{Z}^n$  ( $\mathbb{Z}$  is the set of all integers). Further we suppose that there are pseudo-reflections  $\sigma_j \in G - G(W)$   $(1 \leq j \leq m)$  with  $[\lambda_{ij}] \in GL_m(k)$  where

$$\lambda_{ij} = \frac{(\sigma_j - 1)X_i \mod W}{Z \mod W}$$

LEMMA 3.1. Let R be a subalgebra of  $k[V]^{\sigma}$  which contains  $k[V^{\sigma}]$ . Assume that  $F_1^{c_1}F_2^{c_2}\cdots F_m^{c_m}$   $(0 \leq c_i < p)$  are linearly independent over R and let  $g_1$  be an element of the R-module

$$\bigoplus_{c \in \Gamma} RF_1^{c_1}F_2^{c_2} \cdots F_m^{c_m}$$

where  $\Gamma = \{c = (c_1, \dots, c_m) \in \mathbb{Z}^m : 0 \leq c_i 1\}$ . Then  $g_1 = 0$  if  $g_1 + g_2 \in k[V]^a$  for a polynomial  $g_2 \in k[V]$  with  $(\sigma_j - 1)g_2 \in R$   $(1 \leq j \leq m)$ .

$$\begin{array}{ll} \textit{Proof.} & \text{For } \gamma = (\gamma_1, \cdots, \gamma_m) \in \mathbf{Z}^m \ \text{with} \ 0 \leq \gamma_i$$

denote the canonical projection. Choose an element  $\xi = (\xi_1, \dots, \xi_m) \in \Gamma$ such that  $\Psi_r(g_1) = 0$  at each  $\gamma \in \Gamma$  with  $\|\gamma\| > \|\xi\|$ . We may assume that  $\xi_1 > 0$ . Besides we define  $\eta = (\eta_1, \dots, \eta_m)$  as  $\xi - e_1$  and put  $\partial_i \eta = \eta + e_i$  $(1 \leq i \leq m)$ . Then clearly

$${{ \varPsi}_{\eta}}(({\sigma}_{j}-1)g_{_{1}})={{ \varPsi}_{\eta}}((1-{\sigma}_{j})g_{_{2}})=0\;,$$

because  $(\sigma_j - 1)g_2 \in R$  and  $\eta \neq 0$ . Further, as

$$(\sigma_j-1)F_i(X_i)=F_i((\sigma_j-1)X_i)\in k[V^G]$$

and  $k[V]^{a} \supseteq R$ , we have

$$\begin{split} (0 =) \mathscr{\Psi}_{\eta}((\sigma_{j} - 1)g_{i}) &= \sum_{\substack{\gamma \in \Gamma \\ \|\gamma\| \leq \|\eta\| + 1}} \mathscr{\Psi}_{\eta}((\sigma_{j} - 1) \mathscr{\Psi}_{\gamma}(g_{i})) \\ &= \sum_{1 \leq i \leq m} \mathscr{\Psi}_{\eta}((\sigma_{j} - 1) \mathscr{\Psi}_{\vartheta_{i}\eta}(g_{i})) \\ &= \sum_{\gamma_{i} \leq p-1} (\eta_{i} + 1) F_{i}((\sigma_{j} - 1) X_{i}) \mathscr{\Psi}_{\vartheta_{i}\eta}(g_{i}) F_{i}(X_{i})^{-1} \end{split}$$

for all  $1 \leq j \leq m$ . On the other hand the polynomials

$$F_i((\sigma_j - 1)X_i) - \lambda_{ij}F_i(Z)$$
  $(1 \leq i, j \leq m)$ 

are contained in k[W] and hence the terms of  $\Psi_{\eta}((\sigma_j - 1)g_1)$  with variables  $Z, T_i, X_j$  whose degrees are maximal on Z are also terms of

$$\sum\limits_{\eta_i < p-1} \, \lambda_{ij}(\eta_i \,+\, 1) F_i(Z) arPsi_{\,\partial_i \eta}(g_{\scriptscriptstyle 1}) F_i(X_i)^{\scriptscriptstyle -1} \;,$$

where  $X_j$  (j > m) are defined such that  $\{Z, T_i, X_j\}$  is a k-basis of V. This implies that

$${\operatorname{\mathscr{V}}}_{{\scriptscriptstyle\partial}_1{\scriptscriptstyle\eta}}(g_{\scriptscriptstyle1})(={\operatorname{\mathscr{V}}}_{{\scriptscriptstyle\varepsilon}}(g_{\scriptscriptstyle1}))=0$$
 .

Now let us study a decomposition of (V, G) in the case where  $m \ge 2$ ,  $V = V^{G} \oplus \bigoplus_{1 \le i \le m} W_i$ ,  $G(W) = \bigoplus_{1 \le i \le m} H_i$  and  $|H_i| = p^t$   $(1 \le i \le m)$  (observe that (V, G) is quasi-homogeneous). The rest of this section is devoted to the proof of the following proposition.

**PROPOSITION 3.2.** If  $k[V]^{G}$  is a polynomial ring, then (V, G) is decomposable.

 $I_s$   $(1 \leq s \leq \nu)$  stand for equivalence classes of  $I = \{1, 2, \dots, m\}$  with respect to the relation ~ induced by  $i \sim j$  when  $\mathscr{A}(V^{\sigma} \oplus W_i, H_i) = \mathscr{A}(V^{\sigma} \oplus W_j, H_j)$ . For each  $I_s$  there is a subset  $J_s$  of I with  $|I_s| = |J_s|$  such that the submatrix  $[\lambda_{ij}]_{(i,j)\in I_s\times J_s}$   $(1\leq s\leq \nu)$  is non-singular  $(J_s$   $(1\leq s\leq \nu)$  are not always disjoint). We may assume that  $[\lambda_{ij}]_{(i,j)\in I_s\times J_s}$   $(1\leq s\leq \nu)$  are monomial matrices, replacing a decomposition of (V, H) consisting of one dimensional subcouples by the use of an admissible transform.

Moreover suppose that  $k[V]^{a}$  is a polynomial ring over k. Since

$$\mathscr{Q}(V,G) \underbrace{\sim}_{\operatorname{can}} (k[V]^{G(W)} / \langle W \rangle^{G(W)})^{G/G(W)} / Z(k[V]^{G(W)} / \langle W \rangle^{G(W)})^{G/G(W)}$$

we have  $k[V]^a = k[V^a][f_1, \dots, f_m]$  for homogeneous polynomials  $f_i \in k[V]$ with  $f_i \equiv F_i^p \mod \langle V^a \rangle^{a(W)}$ . Then it follows from (3.1) that

$$f_i = F_i^p + \sum_{1 \leq j \leq m} F_j h_{ij}$$
  $(1 \leq i \leq m)$ 

where  $h_{ij}$  are homogeneous in  $k[V^{g}]$ .

We wish to claim  $h_{ij} = 0$   $(i \neq j)$  and show this only for the case of i = 1. Suppose that  $T_i$   $(1 \leq i \leq t)$  span the subspace  $\mathscr{A}(V^a \oplus W_i, H_i)$  of  $V^a$  and set

$$Z_j = Z + \sum_{1 \leq u \leq d} b_{ju} T_u \in (\sigma_j - 1) V$$

where  $b_{ju} \in k$ . For  $c = (c_1, \dots, c_d) \in N^d$  and  $g \in k[V^g]_{(p^{t+1})}$ ,  $\Phi_c(g) \in k$  is defined to be the coefficient of

$$T_1^{c_1}T_2^{c_2}\cdots T_d^{c_d}Z^{p^{t+1}-\|c\|}$$

in g which is regarded as a polynomial of  $T_i$   $(1 \leq i \leq d)$  and Z(N) is the set of all non-negative integers). Especially we denote by  $a_i(c)$  the value  $\Phi_c(Z^{p^i}h_{1i})$ .

LEMMA 3.3. Let c be an element of  $N^d$  such that  $||c|| < p^t$ . Then we have

$$a_i(c) = egin{cases} -1 & if \quad i=1 \quad and \quad c=0 \ 0 & otherwise \ . \end{cases}$$

*Proof.* Suppose that an element  $c \in N^d$  satisfies  $||c|| < p^t$ . Then

$$arPsi_c(F_{\scriptscriptstyle 1}(Z)^p) = egin{cases} 1 & (c=0) \ 0 & (c 
eq 0) \,, \end{cases}$$

since  $p^{t+1} - ||c|| > p^t$  and

$$F_{\mathbf{i}}(Z) = Z^{p^t} + \sum_{1 \leq i \leq t} F_{1i}Z^{p^{t-i}}$$

for  $F_{1i} \in k[W]$ . On the other hand we have

$$egin{aligned} &(0=) arPhi_{ ext{c}}((\sigma_{j}-1)f_{1}) = arPhi_{ ext{c}}(F_{1}((\sigma_{j}-1)X_{1})^{p}) + \sum\limits_{1\leq i\leq m} arPhi_{ ext{c}}(F_{i}((\sigma_{j}-1)X_{i})h_{1i}) \ &= \lambda_{1j} arPhi_{ ext{c}}(F_{1}(Z)^{p} + \sum\limits_{1\leq u\leq d} b_{ju}F_{1}(T_{u})^{p}) \ &+ \sum\limits_{1\leq i\leq m} \lambda_{ij} \{arPhi_{ ext{c}}(F_{i}(Z)h_{1i}) + \sum\limits_{1\leq u\leq d} b_{ju} arPhi_{ ext{c}}(F_{i}(T_{u})h_{1u})\} \ &= \lambda_{1j} arPhi_{ ext{c}}(F_{1}(Z)^{p}) + \sum\limits_{1\leq i\leq m} \lambda_{ij} arPhi_{ ext{c}}(F_{i}(Z)h_{1i}) \;. \end{aligned}$$

Therefore this system is reduced to

$$\sum\limits_{1\leq i\leq m}\lambda_{ij}\Big\{a_i(c)+\sum\limits_{\substack{c'\in Na\ 0<|c'|<\|c\|}}lpha(c')a_i(c')\Big\}=egin{cases} -\lambda_{1j} & (c=0)\ 0 & (c
eq 0) \end{cases}$$

where  $\alpha(c') \in k$ . The assertion follows from the last equations, because the matrix  $[\lambda_{ij}]$  is non-singular.

LEMMA 3.4. Let L be the subset of

$$\{\underbrace{0\} \times \cdots \times \{0\}}_{t \ times} \times N^{d-1}$$

consisting of all non-zero elements c such that

$$\|c\| = \omega_0 p^t + \sum_{1 \leq i \leq t} \omega_i (p^t - p^{i-1})$$

for  $\omega_i \in \mathbb{Z}$  with  $\omega_i \leq 0$   $(0 \leq i \leq t-1)$  and  $0 < \omega_i < p$ . If  $c \in L$  then  $a_j(c) = 0$   $(1 \leq j \leq m)$ .

*Proof.* Let  $c = (c_1, \dots, c_d)$  be an element of L such that  $a_j(c') = 0$ 

 $(1 \leq j \leq m)$  for all  $c' \in L$  with ||c|| > ||c'||. Obviously the equalities  $\Phi_c(F_1((1 - \sigma_j)X_1)^p) = 0$  and  $\Phi_c(F_1(Z)h_{11}) = a_1(c)$  follow from  $p^{t+1} > ||c||$  and  $(c_1, \dots, c_t) = 0$ . Further we can show that

$$\Phi_{c}(F_{i}(Z)h_{1i}) - a_{i}(c) = \beta_{i}(0)a_{i}(0) + \sum_{\substack{c' \in L \\ \|c\| > \|c'\|}} \beta_{i}(c')a_{i}(c') \qquad (1 < i \leq m)$$

for some  $\beta_i(0)$ ,  $\beta_i(c') \in k$ , because

$$F_i(Z) = Z^{pt} + \sum_{1 \leq j \leq t} F_{ij} Z^{pt-j}$$

where  $F_{ij}$  are homogeneous polynomials in k[W]. According to (3.3)  $a_i(0) = 0$  ( $1 \le i \le m$ ) and therefore we must have

$${\displaystyle {\displaystyle {\displaystyle \oint_{c}}}{\left({\left({F_{i}(Z)+\sum\limits_{1\leq u\leq d}{b_{ju}F_{i}(T_{u})}
ight)}h_{1i}
ight)=a_{i}(c)}}$$

because  $||c|| \neq p^t$ . Now the system

$$\Phi_c(F_1((1-\sigma_j)X_1)^p) = \sum_{1 \le i \le m} \Phi_c(F_i((\sigma_j-1)X_i)h_{1i})$$

can be expressed as

$$\sum_{1\leq i\leq m} \lambda_{ij} a_i(c) = 0 \qquad (1\leq j\leq m) ,$$

which imply that  $a_i(c) = 0$   $(1 \le i \le m)$ .

LEMMA 3.5. If d > t,  $I_{s_0} \ni 1$  and  $I \neq I_{s_0}$ , then  $a_i(p^t e_j) = 0$   $(t + 1 \leq j \leq d)$ for each  $i \in I - I_{s_0}$ .

*Proof.* Put  $\zeta_v = \{vp^t - (v-1)p^{t-1}\}e_{t+1} \in \mathbb{Z}^t \ (1 \leq v \leq p) \text{ and let } a_i(\zeta_p) = 0 \ (1 \leq i \leq m).$  Since  $\Phi_{\zeta_v}(F_i(T_u)h_{1i}) = 0$  for  $u \neq t+1$ , by (2.9) we obtain

$$\begin{split} \varPhi_{\zeta_{v}} \Big( \sum_{1 \leq i \leq m} F_{i}((\sigma_{j} - 1)X_{i})h_{1i} \Big) &= \sum_{1 \leq i \leq m} \lambda_{ij} \varPhi_{\zeta_{v}}(F_{i}(Z)h_{1i}) \\ &+ \sum_{i \in I} \lambda_{ij} b_{jt+1} \varPhi_{\zeta_{v}}(F_{i}(T_{t+1})h_{1i}) \\ &= \sum_{i \in I} \lambda_{ij} \{a_{i}(\zeta_{v}) + b_{jt+1}a_{i}((v-1)(p^{t} - p^{t-1})e_{t+1})\} \\ &+ \sum_{i \in I-I} \lambda_{ij} \{a_{i}(\zeta_{v}) - a_{i}(\zeta_{v-1})\} \end{split}$$

where  $\tilde{I} = \{i: \oplus_{u \neq \iota+1} kT_u \supseteq \mathcal{A}(V^a \oplus W_i, H_i)\}$ . But it follows from (3.4) that

$$a_i((v-1)(p^t-p^{t-1})e_{t+1})=0$$
  $(2\leq v\leq p)$ .

Thus for  $2 \leq v \leq p$  and  $1 \leq j \leq m$  we must have

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$$\begin{aligned} (0=)\varPhi_{\zeta_v}(F_1((1-\sigma_j)X_1)^p) &= \varPhi_{\zeta_v}\left(\sum_{1\leq i\leq m}F_i((\sigma_j-1)X_i)h_{1i}\right) \\ &= \sum_{i\in I}\lambda_{ij}a_i(\zeta_v) + \sum_{i\in I-I}\lambda_{ij}\{a_i(\zeta_v) - a_i(\zeta_{v-1})\}, \end{aligned}$$

which shows  $a_i(p^t e_{t+1}) = 0$  for  $i \in I - \tilde{I}$ . Further let  $i_0$  be an element of  $(I - I_{s_0}) \cap \tilde{I}$  if it is non-empty. We may suppose  $\bigoplus_{u \neq t+2} kT_u \supseteq \mathcal{A}(V^G \oplus W_{i_0}, H_{i_0})$  and set  $\zeta'_v = p^t e_{t+1} + (v-1)(p^t - p^{t-1})e_{t+2}$   $(1 \leq v \leq p)$ . Clearly

$$\varPhi_{\zeta'_{v}} \left( \sum_{1 \le i \le m} F_{i}((\sigma_{j} - 1)X_{i})h_{1i} \right) = \sum_{1 \le i \le m} \lambda_{ij} \left\{ \varPhi_{\zeta'_{v}}(F_{i}(Z)h_{1i}) + \sum_{u = t+1, t+2} b_{ju} \varPhi_{\zeta'_{v}}(F_{i}(T_{u})h_{1i}) \right\}$$

for  $2 \leq v \leq p$ . On the other hand (2.9) implies

$$\Phi_{\zeta'_{v}}(F_{i_{0}}(Z)h_{1i_{0}}) = a_{i_{0}}(\zeta'_{v}) - a_{i_{0}}(\zeta'_{v-1}) \qquad (2 \leq v \leq p)$$

because  $\Phi_{\zeta'_v}(F_i(T_u)h_{1i})$  (u = t + 1, t + 2) are linear combinations of  $a_i(c)$  such that  $c = (0, \dots, 0, c_{t+1}, \dots, c_d)$  and  $||c|| = (v - 1)(p^t - p^{t-1})$ . But we see

$$egin{aligned} & \varPhi_{\zeta_{v}'}\!\!\left(\sum\limits_{1\leq \imath\leq m}F_{i}(\!(\sigma_{j}-1)X_{i})h_{\imath i}
ight)=\varPhi_{\zeta_{v}'}(F_{i}(\!(1-\sigma_{j})X_{i})^{p})=0\ & (2\leq v\leq p;1\leq j\leq m) \ , \end{aligned}$$

and hence this system requires

$$a_{i_0}(p^t e_{t+1}) = a_{i_0}(\zeta_1') = \cdots = a_{i_0}(\zeta_p') = 0$$
.

The remainder can be proved in the same way.

Now let  $s_0$  be an integer such that  $I_{s_0} \ni 1$  and put  $\tau_j = \sigma_j \sigma_{j_0}^{n_j}$   $(1 \le j \le m)$ where  $j_0 \in J_{s_0}$  and  $n_j \in N$  satisfy  $\lambda_{1j_0} \ne 0$  and  $n_j \lambda_{1j_0} = -\lambda_{1j}$  respectively. According to (3.3)

$$\Phi_{p^{t}e_{i}}(F_{u}((\sigma_{j}-1)X_{u})h_{1u}) = \lambda_{uj}\Phi_{p^{t}e_{i}}\left(F_{u}\left(Z+\sum_{1\leq v\leq d}b_{jv}T_{v}\right)h_{1u}\right) = \lambda_{uj}a_{u}(p^{t}e_{i})$$

for  $2 \leq u \leq m$ , and therefore if  $t+1 \leq i \leq d$  we deduce from (3.5) that

$$\begin{aligned} (0 =) \varPhi_{p^t e_i} (F_i ((1 - \sigma_j) X_i)^p) &= \sum_{1 \le u \le m} \varPhi_{p^t e_i} (F_u ((\sigma_j - 1) X_u) h_{1u}) \\ &= \lambda_{1j} \{ a_i (p^t e_i) + b_{ji} a_i (0) \} + \sum_{u \in I_{s_0} - \{1\}} \lambda_{uj} a_u (p^t e_i) \;. \end{aligned}$$

Since  $[\lambda_{uv}]_{(u,v) \in I_{s_0} \times J_{s_0}}$  is a monomial matrix, these equations imply

$$a_j(p^t e_i) = 0$$
  $(t+1 \leq i \leq d; 2 \leq j \leq m).$ 

So we have

$$a_i(p^t e_i) = -b_{ji}a_i(0) = b_{ji} \qquad (t+1 \leq i \leq d)$$

for  $1 \leq j \leq m$  with  $\lambda_{1j} \neq 0$ , and then it follows from the definition of  $\tau_j$  that  $(\tau_j - 1)X_i \in \bigoplus_{1 \leq i \leq t} kT_i \ (1 \leq j \leq m)$ . By the identities  $F_i(T_i) = 0 \ (1 \leq i \leq t)$  we can see

$$egin{aligned} & au_{_j}(f_1) = au_{_j}(F_1)^p + \sum\limits_{_{1 \leq i \leq m}} au_{_j}(F_i) h_{_{1i}} \ & = F_1^p + F_1 h_{_{11}} + \sum\limits_{_{2 \leq i \leq m}} au_{_j}(F_i) h_{_{1i}} \ . \end{aligned}$$

Consequently we obtain

$$(0=)(\tau_j-1)f_1 = \sum_{2 \le i \le m} (c_{ij}F_i(Z) + g_{ij})h_{1i}$$

for some homogeneous polynomials  $g_{ij}$  in k[W] where

$$c_{ij} = rac{( au_j - 1)X_i \bmod W}{Z \bmod W} \,.$$

Then, because  $F_i(Z) \equiv Z^{p^i} \mod \langle W \rangle$ , this system requires  $h_{1i} = 0$   $(2 \leq i \leq m)$ .

For  $i \neq j$  we conclude that  $h_{ij} = 0$ . Hence G contains subgroups  $G_i$  (i = 1, 2) which satisfy  $k[V]^{G_1} = k[V^a][f_1, X_2, X_3, \dots, X_m]$  and  $k[V]^{G_2} = k[V^a][X_1, f_2, f_3, \dots, f_m]$ . The couple (V, G) has a decomposition  $\{(V^a \oplus kX_i, G_i), (V^a \oplus \bigoplus_{2 \leq i \leq m} kX_i, G_2)\}$ . We have just completed the proof of (3.2).

# §4. Proof of Theorem 1.3

We begin with

PROPOSITION 4.1. Let (V, G) be a quasi-homogeneous couple with dim  $(V, G) \ge 2$ . Suppose that (V, G(W)) decomposes to one dimensional subcouples for any proper subspace W of  $V^{a}$  with  $G(W) \ne \{1\}$ . If  $k[V]^{a}$  is a polynomial ring, then (V, G) is decomposable.

**Proof.** Since (V, G) is quasi-homogeneous, there is a subspace W of  $V^{\sigma}$  with  $\operatorname{codim}_{r^{\sigma}} W = 1$  such that  $G(W) = \{1\}$  or (V, G(W)) is a homogeneous subcouple which satisfies  $\dim(V, G(W)) = \dim(V, G) = m$ . Clearly (V, G) is decomposable if G(W) is trivial. Hence we suppose that (V, G(W)) decomposes to one dimensional subcouples  $(V^{\sigma} \oplus W_i, H_i)$   $(1 \leq i \leq m)$  with  $|H_i| = p^i$ . Denote by  $X_i$  a generator of  $W_i$  and let r be the rank of the matrix  $[(\sigma_j - 1)X_i \mod W]_{(i,j)}$  where  $\sigma_j$  runs through all pseudo-reflections in G - G(W). In the case of r = m we have already shown that (V, G) is decomposable. We may assume that r < m and that the submatrix  $[(\sigma_j - 1)X_i \mod W]_{1 \leq i,j \leq r}$  is non-singular.

Let  $F_i(X_i)$  be the canonical  $(V^{\sigma} \oplus W_i, H_i)$ -invariant on  $X_i$ . Further

choose  $Z_j$  from V with  $(1 - \sigma_j)V = kZ_j$  and put  $b_{ij} = Z_j^{-1}(\sigma_j - 1)X_i$ . Since  $\mathcal{Q}(V, G(W))$  is homogeneous, by (2.8) we see  $\mathcal{Q}(V,G) = k[\overline{X}_1^{p^{t+1}}, \cdots, \overline{X}_r^{p^{t+1}}, g_{r+1}, \cdots, g_m]$  where  $\overline{X}_i = X_i \mod V^a$  and  $g_j$   $(r+1 \leq j \leq m)$  are expressed as

$$g_j = \overline{X}_j^{pt} + \sum_{1 \leq i \leq r} a_{ij} \overline{X}_i^{pt}$$

for some  $a_{ij} \in k$ . From this the polynomials

$$F_j(X_j) + \sum_{1 \leq i \leq r} a_{ij} F_i(X_i) \qquad (r+1 \leq j \leq m)$$

belong to a regular system of homogeneous parameters of  $k[V]^{c}$ . Thus, for  $r+1 \leq j \leq m$  and  $1 \leq u \leq r$ , we have

$$egin{aligned} &-b_{ju}F_{j}(Z_{u})=(1-\sigma_{u})F_{j}(X_{j})\ &=\sum\limits_{1\leq i\leq r}a_{ij}(\sigma_{u}-1)F_{i}(X_{i})\ &=\sum\limits_{1\leq i\leq r}b_{iu}a_{ij}F_{i}(Z_{u}) \;, \end{aligned}$$

which implies that if  $a_{ij} \neq 0$ 

$$F_i(Z) = F_j(Z)$$
  $(1 \le i \le r; r+1 \le j \le m)$ 

where Z denotes a variable. Obviously this requires  $\mathscr{A}(V^{\scriptscriptstyle H} \oplus W_i, H_i) = \mathscr{A}(V^{\scriptscriptstyle H} \oplus W_i, H_j)$ . Define  $\theta \in GL(V)$  to satisfy that

$$\theta(X_j) = X_j + \sum_{1 \leq i \leq r} a_{ij} X_i$$
  $(r+1 \leq j \leq m)$ 

and  $V^{\langle\theta\rangle} \supseteq \{X_i: 1 \leq i \leq r\} \cup V^a$ . According to (2.10)  $\theta$  is a  $\{(V^a \oplus W_i, H_i): 1 \leq i \leq m\}$ -admissible transform and (V, H) decomposes to subcouples  $(V^a \oplus \theta(W_i), H'_i)$   $(1 \leq i \leq m)$  for some subgroups  $H'_i$  of H. Then (V, G) decomposes to  $(V^a \oplus \oplus_{r+1 \leq j \leq m} \theta(W_j), \oplus_{r+1 \leq j \leq m} H'_j)$  and  $(V^a \oplus \oplus_{1 \leq j \leq r} \theta(W_j), L)$  where L is the stabilizer of G at  $\bigoplus_{r+1 \leq j \leq m} \theta(W_j)$ .

(4.2) Let  $A_i = K[f_{i1}, f_{i2}, \dots, f_{in}]$  (i = 1, 2) be graded polynomial algebras with dim  $A_i = n$  over a field K where  $f_{ij}$  are homogeneous in  $A_i$ . Suppose that  $A_1$  is contained in  $A_2$  as a graded subalgebra. Then  $A_1 = A_2$  if and only if

$$\prod_{1\leq j\leq n} \deg f_{1j} = \prod_{1\leq j\leq n} \deg f_{2j} .$$

q(R) denotes the quotient field of an integral domain R.

LEMMA 4.3. For any couple (V, G) we have the following inequality;

$$[q(k[V/V^{a}]): q(\mathcal{Q}(V, G))] \ge |G|$$

and if the equality holds then  $k[V]^{a}$  is a polynomial ring.

*Proof.* We prove this by induction on |G|. Let W be a subspace of  $V^{\circ}$  such that  $\operatorname{codim}_{V^{G}} W = 1$  and  $W \supseteq \mathscr{A}(V, G)$ . Then H = G(W) is a proper subgroup of G. By the induction hypothesis we have

$$[q(k[V]/\langle W \rangle): q(k[V]^{H}/\langle W \rangle^{H})] \geq |H|$$

and if the equality holds  $k[V]^{H}$  is a polynomial ring. Putting

$$S = (ar{k}[ar{k} \otimes_{_k} V]^{_H} / (\langle ar{k} \otimes_{_k} W 
angle_{_{ar{k}[ar{k} \otimes_{_k} V]}})^{_H})^{_{G/H}}$$
 ,

as in the proof of (2.3), we can show that  $S_{\mathfrak{M}_1} \cong S_{\mathfrak{M}_2}$  for any maximal ideals  $\mathfrak{M}_i$  (i = 1, 2) of S which contain the minimal prime ideal  $((\langle \bar{k} \otimes_k V^{a} \rangle_{\bar{k}[\bar{k} \otimes_k V_{a}]})^{H}/(\langle \bar{k} \otimes_k W \rangle_{\bar{k}[\bar{k} \otimes_k V_{a}]})^{H})^{C/H}$ . On the other hand it follows easily from (2.3) that S is normal and hence S is a polynomial ring over  $\bar{k}$ . Since

$$\overline{k} \otimes_{\scriptscriptstyle k} (k[V]^{\scriptscriptstyle H} / \langle W 
angle^{\scriptscriptstyle H})^{\scriptscriptstyle G/H} \cong S$$

as graded algebras defined over  $\overline{k}$ ,  $(k[V]^{H}/\langle W \rangle^{H})^{g/H}$  is also a polynomial ring. Clearly  $\mathcal{Q}(V, G)$  can be embedded in  $(k[V]^{H}/\langle W \rangle^{H})^{g/H}/(\langle V^{g} \rangle^{H}/\langle W \rangle^{H})^{g/H}$  and so we have

$$[q(k[V/V^{\scriptscriptstyle G}]):q(\mathscr{Q}(V,G))] \ge |G| \;.$$

Now suppose that the equality of (4.3) holds and then we deduce from this

$$[q(k[V]/\langle W \rangle): q(k[V]^{H}/\langle W \rangle^{H})] = |H|.$$

Therefore  $k[V]^{\mu}$  is a polynomial ring. Moreover by the equality of (4.3) and (2.3) we see that the canonical map

$$\mathscr{Q}(V,G) \longrightarrow (k[V]^{H} | \langle W \rangle^{H})^{G/H} | (\langle V^{G} \rangle^{H} | \langle W \rangle^{H})^{G/H}$$

is an isomorphism and that there is an (n + 1)-dimensional graded polynomial subalgebra  $k[f_1, f_2, \dots, f_{n+1}]$  of  $k[V]^a/\langle W \rangle^a$  with

$$\prod_{1 \leq i \leq n+1} \deg f_i = |G| \; .$$

Here *n* denotes the dimension of (V, G) and  $f_i$   $(1 \le i \le n+1)$  are homogeneous elements in  $k[V]/\langle W \rangle$ . Then, by (4.2), we must have  $(k[V]^H/\langle W \rangle^H)^{c/H} = k[V]^{c}/\langle W \rangle^{c}$ , because  $(k[V]^H/\langle W \rangle^H)^{c/H}$  is a polynomial ring which contains  $k[V]^{c}/\langle W \rangle^{c}$  as a graded subalgebra.

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Further if dim  $W \ge 2$  let W' be a subspace of W with  $\operatorname{codim}_{W} W' = 1$ and put H' = G(W')(=H(W')). Since  $k[V]^{H}$  is a polynomial ring, by (2.6)  $k[V]^{H'}$  is also a polynomial ring. Therefore we get the commutative diagram

of kG/H-modules with exact rows. From  $(k[V]^H/\langle W \rangle^H)^{G/H} = k[V]^G/\langle W \rangle^G$  the sequence

$$(k[V]^{\scriptscriptstyle H}/\langle W' \rangle^{\scriptscriptstyle H})^{\scriptscriptstyle G/H} \longrightarrow (k[V]^{\scriptscriptstyle H}/\langle W \rangle^{\scriptscriptstyle H})^{\scriptscriptstyle G/H} \longrightarrow 0$$

is exact. Then  $(k[V]^{H'}/\langle W' \rangle^{H'})^{G/H'}$  is a polynomial ring which contains  $k[V]^{G}/\langle W' \rangle^{G}$ , because  $(\langle W \rangle^{H'}/\langle W' \rangle^{H'})^{G/H'}$  is principal. Hence we deduce similarly from the equality of (4.3) and (2.3) that  $k[V]^{G}/\langle W' \rangle^{G} = (k[V]^{H'}/\langle W' \rangle^{H'})^{G/H'}$ .

If necessary we can continue this procedure. Consequently  $k[V]^a/\langle \tilde{W} \rangle^a$  is a polynomial ring for a one dimensional subspace  $\tilde{W}$  of  $V^a$ . The assertion follows immediately from this.

By the use of (4.1) we establish

THEOREM 4.4. Let (V, G) be an indecomposable couple. Then  $k[V]^{a}$  is a polynomial ring if and only if dim (V, G) = 1.

Proof. It suffices to prove the "only if" part. Let  $\mathscr{C}$  denote the set of all indecomposable couples  $(V_0, G_0)$  with dim  $(V_0, G_0) \geq 2$  such that  $k[V_0]^{a_0}$ are polynomial rings. Assume that  $\mathscr{C}$  is non-empty and choose an element (V, G) from  $\mathscr{C}$  which is minimal with respect to the lexicographical preorder of  $\mathscr{C}$  defined by the value (dim  $(V_0, G_0)$ , dim  $V_0$ ) for  $(V_0, G_0) \in \mathscr{C}$ . From (4.1) the couple (V, G) is not quasi-homogeneous. Let W be a subspace of  $V^a$ with codim<sub>V<sup>G</sup></sub> W = 1 and put H = G(W) and  $u = \dim V^H/V^a$  respectively. Then the kH-module V defines a couple (V, H) and by (2.6)  $k[V]^H$  is a polynomial ring. Obviously V is decomposable as a kH-module, and hence (V, H) decomposes to one dimensional subcouples  $(V^H \oplus W_i, H_i)$  (u + 1 $\leq i \leq m$ ) where  $m = \dim (V, G)$ , since (V, G) is minimal in  $\mathscr{C}$ . If (V, H)is not homogeneous, we may suppose that

$$|H_{u+1}| \leq \cdots \leq |H_v| < |H_{v+1}| = \cdots = |H_m|$$

for some v < m. Otherwise set v = u (it should be noted that u > 0 in this case).

Let  $U = V^{H} \oplus \bigoplus_{u+1 \leq i \leq v} W_i$  (the empty direct sum is regarded as  $\{0\}$ ) and denote by G' the stabilizer of G at U. We can choose homogeneous polynomials  $f_i \in k[V]$   $(1 \leq i \leq m)$  such that  $f_i \in k[U]$   $(1 \leq i \leq v)$  and  $k[V]^{g}$  $= k[V^{g}][f_1, \dots, f_m]$ , calculating a regular system of parameters of  $\mathcal{Q}(V, G)$ through  $k[V]^{H}/\langle W \rangle^{H}$  as in the proof of (2.7). Because  $k[V]^{g}$  is contained in  $k[U][f_{v+1}, \dots, f_m]$ , there is a subgroup  $\tilde{G}$  of G with  $k[V]^{\tilde{g}} = k[U][f_{v+1}, \dots, f_m]$ . Clearly  $\tilde{G} = G'$  and the kG'-module V is decomposable. Therefore, from the minimality of (V, G), the couple (V, G') decomposes to one dimensional subcouples  $(V^{G'} \oplus W'_i, G'_i)$   $(v + 1 \leq i \leq m)$ .

We have

$$[q(k[U/V^{g}]):q(\mathscr{Q}(U,G/G'))] = |G/G'|$$

since  $f_i \in k[U]^{G/G'}$   $(1 \leq i \leq v)$  and G/G' acts faithfully on U. By (4.3)  $k[U]^{G/G'}$  is a polynomial ring and so (U, G/G') decomposes to one dimensional subcouples  $(U^{G/G'} \oplus W'_i, G'_i)$   $(1 \leq i \leq v)$ . It should be noted that  $V^{G'} = U$  and  $U^{G/G'} = V^G$ .

Let  $X_i$   $(1 \leq i \leq m)$  denote a generator of  $W'_i$  and put  $\overline{G} = G/G'$  and  $p^r = [\overline{G}: \bigoplus_{u+1 \leq i \leq v} H_i]$  respectively. Because  $k[U]^{\overline{d}} = k[V^{\sigma}][f_1, \dots, f_v]$  by (4.2), we deduce from the computation of  $\mathcal{Q}(V, G)$  (cf. (2.7)) that there exist pseudo-reflections  $\sigma_i$   $(1 \leq i \leq r)$  in G - H such that the column vectors  $[(\sigma_j - 1)X_i \mod W]_{1 \leq i \leq v}$   $(1 \leq j \leq r)$  are linearly independent. Then  $\overline{G}(W) \cap \bigoplus_{1 \leq i \leq r} \langle \sigma_i \mod G' \rangle = \{1\}$  and hence we see that  $\overline{G}(W) = \bigoplus_{u+1 \leq i \leq v} H_i$ . Putting

$$H'_i = egin{cases} G'_i \cap \bigoplus_{u+1 \leq j \leq v} H_j & (1 \leq i \leq v) \ G'_i \cap H & (v+1 \leq i \leq m) \end{cases}$$

we obtain another decomposition

$$\{(V^{\scriptscriptstyle H} \oplus W'_i, H'_i): 1 \leq i \leq m \text{ with } H'_i \neq \{1\}\}$$

of (V, H). Since  $\{i: H'_i = \{1\}\} \subseteq \{1, 2, \dots, v\}$ , it may be assumed that  $H'_i = \{1\}$   $(1 \leq i \leq u)$ .

Let  $F_i(X_i) = X_i$   $(1 \le i \le u)$  and for  $u + 1 \le i \le m$  (resp.  $1 \le i \le m$ ) let  $F_i(X_i)$  (resp.  $g_i(X_i)$ ) be the canonical  $(V^H \oplus W'_i, H'_i)$ -invariant (resp.  $(V^G \oplus W'_i, G'_i)$ -invariant) on X. Assume that  $G'_{i_0} = H'_{i_0}$  for some  $u + 1 \le i_0 \le v$ . Then (V, G) decomposes to  $(V^G \oplus W'_{i_0}, H'_{i_0})$  and  $(V^G \oplus \oplus_{i \ne i_0} W'_i, L)$ where L is the stabilizer of G at  $W'_{i_0}$ , and hence we must have  $|G'_i|H'_i|$ 

= p for all  $u + 1 \leq i \leq v$ . Because  $k[V]^{a}$  is contained in

$$k[V^{\scriptscriptstyle G} \oplus \bigoplus_{i \neq j \atop 1 \leq i \leq v} W'_i][g_{\scriptscriptstyle j}, f_{\scriptscriptstyle v+1}, \cdots, f_{\scriptscriptstyle m}] \; ,$$

there are pseudo-reflections  $\tau_j \ (1 \leq j \leq v)$  in G - H which satisfy the following condition; for  $1 \leq i \leq v \ V^{\langle \tau_i \rangle} \supseteq W'_i$  if and only if  $i \neq j$ . We may suppose that  $V^{\langle \tau_i \rangle} \supseteq W'_j \ (1 \leq i \leq u; v + 1 \leq j \leq m)$  and  $\mathscr{A}(V^{\scriptscriptstyle H} \oplus W'_j, H'_j) \supseteq$  $\mathscr{A}(V^{\scriptscriptstyle H} \oplus W'_i, H'_i) \ (u + 1 \leq i \leq v; v + 1 \leq j \leq m)$ , applying a  $\{(V^{\scriptscriptstyle H} \oplus W'_i, H'_i): u + 1 \leq i \leq m\}$ -admissible transform on V.

Clearly we may assume that  $\deg f_i = \deg g_i$   $(v + 1 \leq i \leq m)$  and

$$\deg f_{v+1} = \deg f_{v+2} = \cdots = \deg f_y < \deg f_{y+1} = \cdots = \deg f_m$$

for some y with  $v + 1 \leq y \leq m$ . Further  $f_i - g_i$   $(v + 1 \leq i \leq y)$  can be regarded as a polynomial  $h_i$  in k[U], replacing  $f_i$  with linear combinations of them. We deduce from (3.1) that

$$h_i = \sum_{1 \leq j \leq v} F_j h_{ij} \ (v+1 \leq i \leq y)$$

for some homogeneous polynomials  $h_{ij}$  in  $k[V^a]$ , since  $(\tau_j - 1)g_i \in k[V^a]$  $(v + 1 \leq i \leq y; 1 \leq j \leq v)$  and

$$k[U]^{\stackrel{\oplus}{\leq} i \leq v}_{\substack{1 \leq i \leq v \ 1 \leq j \leq v}}^{H'_i} = \mathop{\oplus}_{\substack{0 \leq i_j$$

Assume that  $h_{i_0 j_0} \neq 0$  and let  $Z_{j_0}$  be an element of V with  $(1 - \tau_{j_0})V = kZ_{j_0}$ . Then it follows from  $\tau_{j_0}(f_{i_0}) = f_{i_0}$  that

$$k^*h_{i_0j_0}F_{j_0}(Z_{j_0}) 
i rac{(1- au_{j_0})X_{i_0}}{Z_{i_0}}g_{i_0}(Z_{j_0}) \; .$$

So we have  $u + 1 \leq j_0 \leq v$  and  $\mathscr{A}(V^H \oplus W'_{i_0}, H'_{i_0}) \supseteq \mathscr{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ . Moreover we find a pseudo-reflection  $\sigma$  in  $G'_{i_0} - H'_{i_0}$  because  $F_{i_0} = g_{i_0}$  requires  $\mathscr{A}(V^H \oplus W'_{i_0}, H'_{i_0}) \supseteq \mathscr{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ , and choose  $Z_{\sigma} \in V$  such that  $(1 - \sigma)V$  $= kZ_{\sigma}$  and  $Z_{j_0} \equiv Z_{\sigma} \mod W$ . Let  $\{T_i: 1 \leq i \leq t\}$  be a k-basis of  $\mathscr{A}(V^H \oplus W'_{i_0}, H'_{i_0})$ and select  $T_j \in V$   $(t + 1 \leq j \leq d)$  to satisfy  $W = \bigoplus_{1 \leq i \leq d} kT_i$  and  $\bigoplus_{1 \leq i \leq d-1} kT_i$  $\supseteq \mathscr{A}(V^H \oplus W'_{j_0}, H'_{j_0})$ . Express  $Z_{j_0}$  as

$$Z_{j_0} = Z + \sum\limits_{1 \leq i \leq d} a_i T_i$$

for  $a_i \in k$   $(1 \leq i \leq d)$  and set  $R = k[T_1, \dots, T_{d-1}, Z]$ . If  $a_d = 0$ , by (2.9) we have  $(1 - \tau_{j_0})F_{j_0} \in R$  and  $g_{i_0}(Z_{j_0}) \in R$ . This implies that  $a_d \neq 0$ . Since  $g_{i_0}(Z_d) = g_{i_0}(Z_d) = 0$   $(1 \leq j \leq t)$ , we see

$$g_{i_0}(Z_{j_0}) = \sum_{t+1 \leq j \leq d} a_j g_{i_0}(T_j)$$

Then  $g_{i_0}(Z_{j_0})$  is a monic polynomial of  $T_a$  in  $R[T_a]$ , but from (2.9) the leading coefficient of  $F_{j_0}(Z_{j_0})$  as a polynomial of  $T_a$  is a non-unit in R, which is a contradiction. Therefore we must have  $f_i = g_i$   $(v + 1 \le i \le y)$ .

In the case of y = m it follows that  $k[V]^{a} = k[V^{a}][g_{1}, \dots, g_{m}]$  and this requires that (V, G) is decomposable. Hence we obtain y < m. Because  $G'_{i} = H'_{i} (v + 1 \leq i \leq y)$ , the couple (V, G) decomposes to  $(V^{a} \oplus \bigoplus_{v+1 \leq i \leq y} W'_{i}, \bigoplus_{v+1 \leq i \leq y} H'_{i})$  and  $(V^{a} \oplus \bigoplus_{1 \leq i \leq v} W'_{i} \oplus \bigoplus_{v+1 \leq i \leq m} W'_{i}, K)$  where K denotes the stabilizer of G at the set  $\bigoplus_{v+1 \leq i \leq y} W'_{i}$ . This conflicts with the selection of (V, G). Thus the proof is completed.

Now (1.3) can be reduced to (4.4) by (2.1), (2.2) and (2.4).

## References

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