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# ON THE DOUADY SPACE OF A COMPACT COMPLEX SPACE IN THE CATEGORY *%*

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### Introduction

Let X be a complex space. Let  $D_X$  be the Douady space of compact complex subspaces of X [6] and  $\rho_X: Z_X \to D_X$  the corresponding universal family of subspaces of X. Thus there is a natural embedding  $Z_x \subseteq D_x$  $\times X$  such that  $\rho_X$  is induced by the projection  $D_X \times X \rightarrow D_X$ . Let  $\pi_X : Z_X$  $\rightarrow X$  be induced by the other projection  $D_X \times X \rightarrow X$ . For any irreducible component  $D_{\alpha}$  of  $D_{X, \text{red}}$  we denote by  $\rho_{\alpha} \colon Z_{\alpha} \to D_{\alpha}$  the universal family restricted to  $D_{\alpha}$ , and set  $\pi_{\alpha} = \pi_{X | Z_{\alpha}} \colon Z_{\alpha} \to X$ , where  $D_{X, \text{ red}}$  is the underlying reduced subspace of  $D_x$ . On the other hand, we have introduced in [9] a category C of compact complex spaces as follows (cf. also [10]). A compact complex space X is in  $\mathscr{C}$  if and only if there exist a compact Kähler manifold Y and a generically surjective meromorphic map  $h: Y \rightarrow$  $X_{\rm red}, X_{\rm red}$  being as above. Then the main purpose of this paper is to prove the following theorem: Let X be a compact complex space in  $\mathscr{C}$ . Then for every irreducible component  $D_{\alpha}$  of  $D_{X, \text{ red}}$  such that  $Z_{\alpha}$  is reduced,  $D_{\alpha}$  is compact and again belongs to  $\mathscr{C}$ . The proof also shows that if X is Moishezon, then  $D_{\alpha}$  also is Moishezon, which is a special case of a theorem of Artin [1]. Moreover since the Barlet space B(X) of compact cycles of X [4] is a proper holomorphic image of the union of those irreducible components of  $D_{X, \text{ red}}$  for which  $Z_{\alpha}$  are reduced and of pure fiber dimension, the result also implies that every irreducible component of B(X) is again in  $\mathscr{C}$  if X is in  $\mathscr{C}$ . Here we note that the same result as above was also obtained by Campana [5] independently.

The arrangement of this article is as follows. In §1 and §2 we define respectively the notion of a Moishezon morphism and of a morphism in the category  $\mathscr{C}/S$ , which is a relative version of the category  $\mathscr{C}$  above, and summarize some functorial properties of these morphisms. In §3 we

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make some general study on the irreducibility of general fiber of a morphism, in part to be used in §5. Then in §4 we give the main ingredient of the proof of our theorem, Proposition 4, which states that if the general fiber of  $\rho_{\alpha}: \mathbb{Z}_{\alpha} \to D_{\alpha}$  is reduced and irreducible, then  $\pi_{\alpha}$  defined above is Moishezon. In fact, combining this with the results in §§1 and 2 we obtain the theorem immediately in this special case. The reduction of the general case to this special case will then be given in §5, thus completing the proof of the theorem. Actually our theorem is expected to be true for any irreducible component of  $D_{x, \text{ red}}$ . Presupposing the future investigation of this problem along the line of [9] and in view of an application [11] also, we have developed our exposition in the relative form as in [9] so that the above theorem is also true in this generalized form (see Theorem in §5 for the precise statement). Finally in the Appendix we give a direct proof of Lemma 2.

Notation. Let  $f: X \to S$  be a morphism of complex spaces. Then for any morphism  $\alpha: T \to S$  we often write  $X_T = X \times_s T$  and  $f_T: X_T \to T$  for the natural projection. For instance if  $U \subseteq S$  is open,  $f_U$  is the induced morphism  $X_U = f^{-1}(U) \to U$ . In particular if  $T = \{s\}$  is a point of S we write  $X_s$  instead of  $X_{\{s\}}$ . For a complex space  $X, X_{red}$  denotes the underlying reduced analytic subspace.

### §1. Moishezon morphisms

(1.1) We fix notation and terminology for meromorphic maps. Let  $f: X \to S$  and  $g: Y \to S$  be morphisms of reduced complex spaces. Then a *meromorphic* S-map  $\alpha: X \to Y$  from X to Y is a reduced analytic subspace  $\Gamma \subseteq X \times_s Y$  such that the natural projection  $p: \Gamma \to X$  is a proper bimeromorphic morphism in the sense that p is proper and that there is a dense Zariski open subset U (resp. V) of  $\Gamma$  (resp. X) such that p induces an isomorphism of U and V. We call  $\alpha$  a (proper) bimeromorphic S-map, or being S-bimeromorphic, if the natural projection  $q: \Gamma \to Y$  also is a proper bimeromorphic morphic. We say that f and g are bimeromorphic if there is a bimeromorphic S-map of X to Y.

If f is proper in the above definition,  $q(\Gamma)$  is an analytic subspace of Y and is called the image of X by  $\alpha$ . On the other hand,  $\alpha$  is called generically surjective (resp. generically finite) if  $q(\Gamma)$  contains a dense Zariski open subset of Y (resp. q is generically finite). When f is proper, the generic surjectivity is equivalent to saying that  $Y = q(\Gamma)$ .

Given a meromorphic S-map  $\alpha: X \to Y$  as above we often identify  $\alpha$ with the induced S-morphism  $\alpha' = qp^{-1}|_{\nu}: V \to Y$ . Then the subspace  $\Gamma$ above is recovered from  $\alpha'$  as the closure in  $X \times_s Y$  of the graph  $\Gamma_{\alpha'} \subseteq V \times_s Y$  of  $\alpha'$  and is called the graph of  $\alpha'$ . Then an S-morphism is nothing but the meromorphic S-map  $\alpha$  for which we can take V = X.

Let  $f: X \to S$  and  $g_i: Y_i \to S$ ,  $1 \leq i \leq m$ , be morphisms of complex spaces and  $\alpha_i: X \to Y_i$  be meromorphic S-maps. Then we can define naturally a meromorphic S-map  $\prod_i s \alpha_i: X \to Y_1 \times_s \cdots \times_s Y_m$  called the product of  $\alpha_i$  over S; one verifies readily that the closure of the graph of the S-morphism  $\alpha'_1 \times_s \cdots \times_s \alpha'_m$  is analytic in  $X \times_s Y_1 \times_s \cdots \times_s Y_m$ where  $\alpha'_i$  for  $\alpha_i$  has the same meaning as  $\alpha'$  for  $\alpha$  as above.

For later reference we recall here the analytic Chow lemma due to Hironaka [14], [15].

(1.1.1) Let  $\alpha: X \to Y$  be a meromorphic S-map as above. Then there exist a complex manifold  $X^*$ , and a projective bimeromorphic morphism  $h: X^* \to X$  such that the composition  $\alpha h: X^* \to Y$  is a morphism.

(1.2) Let  $f: X \to S$  be a proper morphism of complex spaces. We call f locally projective if for every relatively compact open subset Q of S there is an invertible sheaf  $\mathscr{L} = \mathscr{L}(Q)$  defined on X such that  $\mathscr{L}|_{X_Q}$  is  $f_Q$ -ample (cf. Notation). (In this case we simply say that  $\mathscr{L}$  is  $f_Q$ -ample.) Thus if f is locally projective, then  $f_Q$  is projective for every relatively compact open subset  $Q \subseteq S$ .

(1.2.1) A composition of two locally projective morphisms is again locally projective.

Proof. Let  $f: X \to Y$ ,  $g: Y \to Z$  be locally projective. Let  $h = gf: X \to Z$ . Let Q be any relatively compact open subset of Z. Take another relatively compact open subset Q' of Z with  $Q \subset Q'$ . Then  $\tilde{Q}' = g^{-1}(Q')$  is a relatively compact open subset of Y. Take an invertible sheaf  $\mathscr{L}$  on X (resp.  $\mathscr{F}$  on Y) which is  $f_{\bar{Q}'}$ -ample (resp.  $g_{Q'}$ -ample). Then it is easy to see that  $\mathscr{L} \otimes_{\mathfrak{o}_X} f^* \mathscr{F}^m$  is  $h_Q$ -ample for all sufficiently large m (cf. EGA II, 4.6.13 (ii)). Thus h is locally projective.

(1.3) Let  $f: X \to S$  be a locally projective morphism.

(1.3.1) If X has only a finite number of irreducible components, then f is S-bimeromorphic to a projective morphism.

*Proof.* Let Q be any relatively compact open subset of S such that

 $X_q$  meets every irreducible component of X. Let  $\mathscr{L}$  be an invertible sheaf on X which is  $f_q$ -ample. Restricting Q and replacing  $\mathscr{L}$  by its high power  $\mathscr{L}^n$ ,  $n \gg 0$ , we may assume that  $\mathscr{L}$  is even  $f_q$ -very ample. Let  $\alpha: X \rightarrow P(f_*\mathscr{L})$  be the natural meromorphic S-map of X into the projective fiber space  $P(f_*\mathscr{L})$  over S associated to the coherent analytic sheaf  $f_*\mathscr{L}$  on S (cf. [3], [13]). By our assumption  $\alpha$  is an embedding on  $X_q$ . Since  $X_q$ meets every irreducible component of X, this implies that  $\alpha$  is bimeromorphic onto its image. Hence f is bimeromorphic to a projective morphism. Q.E.D.

From the above proof follows also the following:

(1.3.2) Let  $f: X \to S$  be as in (1.3.1). Then there exist an invertible sheaf  $\mathscr{L}$  on X and a dense Zariski open subset W of S such that  $\mathscr{L}$  is  $f_{W}$ -(very) ample.

(1.4) DEFINITION. Let  $f: X \to S$  be a proper morphism of reduced complex spaces. We call f Moishezon if f is bimeromorphic to a locally projective morphism  $g: Y \to S$ . By (1.3.1) when X has only a finite number of irreducible components, f is Moishezon if and only if f is bimeromorphic to a projective morphism.

Remark. In [17] Moishezon introduced the notion of an A-space over another complex space, and stated some of their fundamental properties. From his definition it follows readily that for a proper morphism  $f: X \to S$  of reduced complex spaces X is an A-space over S if and only if f is locally Moishezon in the sense that for each point  $s \in S$  there is a neighborhood  $s \in U$  such that the induced morphism  $f_U: X_U \to U$  is Moishezon in the sense defined above.

(1.5) Clearly the Moishezon property of a morphism is invariant under S-bimeromorphic equivalence. We now list some fundamental properties of Moishezon morphisms.

1) A composition of two Moishezon morphisms are again Moishezon.

2)  $f: X \to S$  is Moishezon if and only if for each irreducible component  $X_i$  of X the restriction  $f = f|_{X_i}: X_i \to S$  is Moishezon.

3) If f is Moishezon, there are a locally projective morphism  $g: X^* \to S$  with  $X^*$  nonsingular and a bimeromorphic S-morphism  $h: X^* \to X$ .

4) Suppose that there exist a locally projective morphism  $g: Y \to S$ and a generically finite meromorphic S-map  $h: X \to Y$ . Then f is Moishezon.

*Proof.* 1) and 3) follows from (1.1.1) and (1.2.1). Let  $\mu: \tilde{X} \to X$  be the

normalization of X. Since  $\mu$  is bimeromorphic, f is Moishezon if and only if  $f\mu$  is Moishezon. From this 2) follows readily. 4) Changing f under bimeromorphic equivalence we may assume that h is a morphism. Let  $h = h_2h_1$  with  $h_1: X \to X^*$  and  $h_2: X^* \to Y$  be the Stein factorization of h, where  $h_1$  is a bimeromorphic, and  $h_2$  is a finite, S-morphisms. Since a finite morphism is projective,  $gh_2: X^* \to S$  is locally projective by (1.2.1), and hence 4).

(1.6) Less trivial to prove is the following:

PROPOSITION 1. Let  $f: X \to S$  be a Moishezon morphism, and  $g: Y \to S$  a proper morphism, of reduced complex spaces. Suppose that there is a generically surjective meromorphic S-map  $h: X \to Y$ . Then g also is Moishezon.

*Proof.* By (1.5) 2) we may assume that Y, and then X and S also, are irreducible. By (1.1.1) and (1.5) 3) we may further assume that f is locally projective, X is nonsingular and h is a morphism. Then there is a dense Zariski open subset  $V_0$  of Y such that  $V_0$  is nonsingular and  $h_{V_0}: X_{V_0} \to V_0$  is smooth. Let  $\mathscr{L}$  be an invertible sheaf on X which is  $f_{W^*}$ ample for some dense Zariski open subset W of S (1.3.2). Restricting  $V_0$ we may assume that  $V_0 \subseteq Y_w$ . Then if n is sufficiently large, say,  $n \ge n_0$ for some  $n_0 > 0$ , there is a dense Zariski open subset  $V_n$  of Y such that  $V_n \subseteq V_0$  and  $H^1(X_y, \mathscr{L}_y^n) = 0$  for all  $y \in V_n$  where  $\mathscr{L}_y^n = \mathscr{L}^n \otimes_{\mathscr{O}_X} \mathscr{O}_{X_y}$ . Let  $\mathscr{E}_n = h_* \mathscr{L}^n$ . Then  $\mathscr{E}_n$  is a coherent analytic sheaf on Y which is locally free of rank, say  $r_n$ , on  $V_n$  (cf. [3, p. 122, Cor. 3.9]). Moreover taking  $n_0$ larger if necessary we may assume that  $r_n > 0$  for  $n \ge n_0$ . On the other hand, by [20] we can find a proper surjective bimeromorphic morphism  $\sigma_n \colon \tilde{Y}_n \to Y$  such that  $\tilde{\mathscr{E}}_n = \sigma_n^* \mathscr{E} / \mathscr{T}_n, \mathscr{T}_n$  being the torsion part of  $\sigma_n^* \mathscr{E}$ , is locally free of rank  $r_n$  on  $\tilde{Y}_n$ . Moreover we can assume that  $\sigma_n$  gives an isomorphism of  $\tilde{V}_n = \sigma_n^{-1}(V_n)$  onto  $V_n$ . Let  $\tilde{g}_n = \sigma_n g \colon \tilde{Y}_n \to S$  and set  ${\mathscr M}_n=\wedge^{r_n}\,\widetilde{\mathscr E}_n,$  where  $\wedge^{r_n}$  denotes the  $r_n$ -th exterior product. Then  ${\mathscr M}_n$ is an invertible sheaf on  $\tilde{Y}_n$ . Let  $\alpha_n \colon \tilde{Y}_n \to P(\tilde{g}_{n*}\mathcal{M}_n)$  be the natural meromorphic S-map from  $\tilde{Y}_n$  to the projective fiber space  $P(\tilde{g}_{n*}\mathcal{M}_n)$  over S associated to the coherent analytic sheaf  $\tilde{g}_{n*}\mathcal{M}_n$  on S (cf. [3, IV, § 1]). Then we show that for a sufficiently large  $n, \alpha_n$  is generically finite; then by (1.5) d) the proposition would follow.

For this purpose it is enough to show that for some  $n \ge n_0$ , for some  $\tilde{y} \in \tilde{Y}_n$  and for some neighborhood U of  $s = \tilde{g}_n(y)$  in S, the following holds

true; there are sections  $\varphi_1, \dots, \varphi_d \in \Gamma(\tilde{Y}_{n,U}, \mathcal{M}_n)$  such that the meromorphic U-map  $\Phi: \tilde{Y}_{n,U} \to U \times CP^{d-1}$  associated to  $\varphi_a$  is holomorphic and locally biholomorphic at  $\tilde{y}$ , where  $\tilde{Y}_n$  is over S by  $\tilde{g}_n$ . (Note that  $\tilde{Y}_n$  is irreducible.) First we take  $n, \tilde{y}$  and U in such a way that  $\tilde{y} \in \tilde{V}_n, U$  is a sufficiently small Stein open neighborhood of s, and that  $H^1(X_U, m_y^2 \mathcal{L}^n) = 0$ , where  $y = \sigma_n(\tilde{y})$  and  $m_y$  is the maximal ideal of  $\mathcal{O}_Y$  at y. Clearly this is possible since  $\mathcal{L}$  is  $f_W$ -ample and  $y \in V_n \subseteq Y_W$ . Then in particular the restriction map  $\beta_n: \Gamma(X_U, \mathcal{L}^n) \to \Gamma(X_U, \mathcal{L}^n_Q)$  is surjective, as follows from the long exact sequence associated to the short one

$$0 \longrightarrow m_y^2 \mathscr{L}^n \longrightarrow \mathscr{L}^n \longrightarrow \mathscr{L}_{(2)}^n \longrightarrow 0$$

where we have put  $\mathscr{L}_{(2)}^n = \mathscr{L}^n \otimes_{\mathscr{O}_X} \mathscr{O}_X / m_y^2 \mathscr{O}_X$ . Further since

$$H^1(X_U, m_y \mathscr{L}^n/m_y^2 \mathscr{L}^n) \cong H^1(X_U, \mathscr{L}^n \otimes_{\sigma_Y} m_y/m_y^2) \cong H^1(X_y, \mathscr{L}_y^n) \otimes_{\boldsymbol{C}} m_y/m_y^2 = 0$$
,

from the short exact sequence  $0 \to m_y \mathcal{L}^n/m_y^2 \mathcal{L}^n \to \mathcal{L}_{(2)}^n \to \mathcal{L}_y^n \to 0$  we have the exact sequence

$$0 \longrightarrow \Gamma(X_{y}, \mathscr{L}_{y}^{u}) \otimes_{\mathcal{C}} m_{y}/m_{y}^{2} \xrightarrow{\gamma_{n}} \Gamma(X_{U}, \mathscr{L}_{(2)}^{n}) \xrightarrow{o_{n}} \Gamma(X_{y}, \mathscr{L}^{n}) \longrightarrow 0 .$$

Fix *n* and write  $r = r_n$ . Then take and fix a base  $(\bar{\psi}_1^0, \dots, \bar{\psi}_r^0)$  of  $\Gamma(X_y, \mathscr{L}_y^n)$ . Let  $(y_1, \dots, y_m)$ ,  $m = \dim Y$ , be a local coordinate system around *y* of *Y* and  $\bar{y}_i$  the residue classes of  $y_i$  in  $\mathcal{O}_r/m_y^2\mathcal{O}_r$ . Then we take any base  $(\bar{\psi}_1, \dots, \bar{\psi}_d)$ , d = r(m+1), of  $\Gamma(X_U, \mathscr{L}_{(2)}^n)$  satisfying the following conditions;  $\delta_n(\bar{\psi}_i) = \bar{\psi}_i^0$ ,  $1 \leq i \leq r$ , and  $\bar{\psi}_{kr+j} = \gamma_n(\bar{y}_k\bar{\psi}_j)$ ,  $1 \leq k \leq m$ ,  $1 \leq j \leq r$ , where  $\bar{y}_k\bar{\psi}_j = \bar{\psi}_j \otimes \bar{y}_k \in \Gamma(X_V, \mathscr{L}_Y) \otimes_C m_y/m_y^2$ . For each  $1 \leq k \leq d$  take and fix  $\psi_k \in \Gamma(X_U, \mathscr{L}^n)$  with  $\beta_n(\psi_k) = \bar{\psi}_k$ . With respect to the natural identification  $\Gamma(X_U, \mathscr{L}^n) \cong \Gamma(Y_U, \mathscr{E}_n) \subseteq \Gamma(\tilde{Y}_{n,U}, \tilde{\mathscr{E}}_n)$ , we consider  $\psi_i$  naturally as sections of  $\tilde{\mathscr{E}}_n$  on  $\tilde{Y}_{n,U}$ . Then for any  $1 \leq i_1 \cdots \leq i_r \leq d$  define  $\varphi_{i_1 \cdots i_r} \in \Gamma(\tilde{Y}_{n,U}, \mathscr{M}_n)$  by  $\varphi_{i_1 \cdots i_r} = \psi_{i_1} \wedge \cdots \wedge \psi_{i_r}$ . We claim that these  $\varphi_a = \varphi_{i_1 \cdots i_r}$  have the desired properties.

Since the problem is local around y and  $\sigma_n$  gives a natural isomorphism of  $\tilde{V}_n$  and  $V_n$ , in what follows we identify  $\tilde{V}_n$  and  $V_n$  by  $\sigma_n$  and therefore  $\tilde{y}$  with y and  $\tilde{\mathscr{E}}_n|_{\tilde{P}_n}$  with  $\mathscr{E}_n|_{V_n}$ . Further we consider  $\mathscr{M}_n|_{\tilde{P}_n}$  as an invertible sheaf on  $V_n$  and  $\psi_i$  as sections of  $\mathscr{E}_n$  on  $Y_U \cap V_n$ . Now  $\psi_1, \dots, \psi_r$  define a trivialization  $\mathscr{E}_n \cong \mathscr{O}_Y^r$  of  $\mathscr{E}_n$ , and hence also  $\mathscr{M}_n \cong \mathscr{O}_Y$  of  $\mathscr{M}_n$ , in some neighborhood N of y. In particular we may consider each  $\psi_i$  (resp.  $\varphi_{i_1...i_r}$ ) as an *r*-tuple of holomorphic functions (resp. a holomorphic function) on N. Then we have by construction  $\psi_i = (0, \dots, 0, 1, 0, \dots, 0)$ 

for  $1 \leq i \leq r$  where 1 is on the *i*-th place and  $\psi_{kr+j} \equiv (0, \dots, 0, y_k, 0, \dots, 0)$ modulo  $m_y^2$ , where  $y_k$  is on the *j*-th place. Hence we have  $\varphi_{1\dots r}(y) = \psi_1$  $\wedge \dots \wedge \psi_r(0) \neq 0$  and  $\varphi_{1\dots \hat{k}\dots r(kr+k)} = \psi_1 \wedge \dots \wedge \hat{\psi}_k \wedge \dots \wedge \psi_r \wedge \psi_{kr+k} \equiv y_k$  modulo  $m_y^2$  where  $\hat{u}$  implies the absense of *u*. The former implies that  $\Phi$  is holomorphic at *y* and the latter implies that  $\Phi$  is locally biholomorphic at *y*. Hence our claim is verified. Q.E.D.

(1.7) Let  $f: X \to S$  be a Moishezon morphism. Then:

5) For every reduced analytic subspace  $X' \subseteq X$  the induced morphism  $f' = f|_{X'} \colon X' \to S$  is Moishezon.

6) Let  $\mu: \tilde{S} \to S$  be a morphism of reduced complex spaces. Then the induced map  $f_{\tilde{S}, \text{ red}}: X_{\tilde{S}, \text{ red}} \to \tilde{S}$  is Moishezon.

7) Let  $g: Y \to S$  be another Moishezon morphism. Then  $f \times_s g: X \times_s Y \to S$  also is Moishezon.

*Proof.* Let  $g: X^* \to S$  and  $h: X^* \to X$  be as in (1.5) 3). Let  $Z = h^{-1}(X')$  with reduced structure. Then  $g|_Z: Z \to S$  is locally projective and  $h|_Z: Z \to X'$  is surjective. Hence by the above proposition f is Moishezon. This proves 5). We show 6). Let g and h be as above. Then h induces a surjective morphism  $h_{\bar{s}, \text{ red}}: X^*_{\bar{s}, \text{ red}} \to X_{\bar{s}, \text{ red}}$  over S. Since  $g_{\bar{s}, \text{ red}}: X^*_{\bar{s}, \text{ red}} \to \bar{S}$  is locally projective, 6) also follows from the above proposition. Since  $f \times_S g$  is the composition of the natural projection  $X \times_S Y \to Y$  and g, 7) follows from (1.5) 1) and 6) above.

### § 2. Morphisms in $\mathscr{C}/S$

(2.1) DEFINITION. Let  $g: Y \to S$  be a proper morphism of complex spaces. Then: 1) ([9, Def. 4.1]) g is called Kähler if there exist an open covering  $\{U_{\alpha}\}$  of Y and a  $C^{\infty}$  function  $p_{\alpha}$  defined on each  $U_{\alpha}$  such that for each  $\alpha, p_{\alpha}$  is strictly plurisubharmonic when restricted to each fiber of  $g|_{U_{\alpha}}: U_{\alpha} \to S$  and that  $p_{\alpha} - p_{\beta}$  is pluriharmonic on each  $U_{\alpha} \cap U_{\beta}$ . 2) gis called *locally Kähler* if for every relatively compact open subset Q of S there exist  $\{U_{\alpha}\}$  and  $\{p_{\alpha}\}$  satisfying the condition as above except that  $p_{\alpha}$  is assumed to be strictly plurisubharmonic only when restricted to each fiber of  $g|_{U_{\alpha}\cap g^{-1}(Q)}: U_{\alpha} \cap g^{-1}(Q) \to Q$ .

In the above definition the real closed (1, 1)-form  $\omega_a = \sqrt{-1}\partial\bar{\partial}p_a$ , each defined on  $U_a$ , patch together to give a global real closed (1, 1)-form  $\omega$  on Y, which we call a relative Kähler form for g (resp. for g over Q).

(2.2) In the following all the morphisms considered are proper.

1) Every (locally) projective morphism is (locally) Kähler.

2) Let  $g: Y \to S$  be a (locally) Kähler morphism and  $\alpha: \tilde{S} \to S$  a morphism of complex spaces. Then the induced morphism  $g_{\tilde{S}}: Y_{\tilde{S}} \to \tilde{S}$  is (locally) Kähler.

3) Let  $f: X \to Y$  and  $g: Y \to S$  be locally Kähler morphism of complex spaces. Then the composition  $gf: X \to S$  is again locally Kähler. Conversely if gf is (locally) Kähler, then f also is (locally) Kähler.

4) Let  $f: X \to S$  and  $g: Y \to S$  be locally Kähler morphisms. Then  $f \times_s g: X \times_s Y \to S$  is locally Kähler.

*Proof.* See [9. Lemma 4.4] for 1). We show the former half of 3). Let  $Q \subset Q' \subset S$  and  $\tilde{Q} = g^{-1}(Q')$  be as in the proof of (1.2.1) (with Z replaced by S). Let  $\omega_{q'}$  (resp.  $\omega_{\bar{q}}$ ) be a relative Kähler form for g over Q' (resp. f over  $\tilde{Q}$ ). Then for all sufficiently large n > 0,  $\omega_{\bar{q}} + nf^*\omega_{Q'}|_{(gf)^{-1}(Q)}$  gives a relative Kähler form for the morphism gf over Q (cf. the proof of [9, Lemma 4.4]). Hence gf is locally Kähler. Since  $f \times_s g$  is a composite of the natural projection  $X \times_s Y \to Y$  and g, 4) follows from this and 2). The other assertions follow immediately from the definition.

(2.3) DEFINITION. Let S be a reduced complex space. Then we define the category  $\mathscr{C}/S$  as follows: An object of  $\mathscr{C}/S$  is a proper morphism  $f: X \to S$  of reduced complex spaces for which there exist a proper and locally Kähler morphism  $g: Y \to S$  and a generically surjective meromorphic S-map  $h: Y \to X$  (Notation:  $f \in \mathscr{C}/S$ ); and a morphism in  $\mathscr{C}/S$  is a morphism  $u: X_1 \to X_2$  of complex spaces with  $f_2 u = f_1$  where  $f_i: X_i \to S \in \mathscr{C}/S$ , i = 1, 2.

Remark. 1) Note the deviation from the notation adopted in [9, p. 51]; there we used the notation  $\mathscr{C}/S$  for the category  $\operatorname{loc}-\mathscr{C}/S$  which is defined as follows: An object of  $\operatorname{loc}-\mathscr{C}/S$  is a proper morphism  $f: X \to S$  of complex spaces for which there exists an open covering  $\{U_{\alpha}\}$  of S such that  $f_{U_{\alpha}}: X_{U_{\alpha}} \to U_{\alpha} \in \mathscr{C}/U_{\alpha}$  for each  $\alpha$ , with morphisms defined as above. 2) When S is a point, we write  $\mathscr{C}$  instead of  $\mathscr{C}/S$ . In this case the definition coincides with that given in [9, 4.3] except that we consider only reduced spaces here.

(2.4) We shall give some functorial properties of morphisms in  $\mathscr{C}/S$  analogous to Moishezon morphisms.

1) Every Moishezon morphism belongs to  $\mathscr{C}/S$ .

Let  $f: X \to S$  and  $g: Y \to S$  be proper morphisms of reduced complex spaces. Suppose that  $g \in \mathscr{C}/S$ . Then:

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2)  $f \in \mathscr{C}/S$  if and only if there exist a proper and locally Kähler morphism  $g^*: Y^* \to S$  with  $Y^*$  nonsingular, and a surjective S-morphism  $h^*: Y^* \to S$ .

3) For every analytic subspace Y' of Y the induced morphism  $g|_{Y'}: Y' \to S$  is again in  $\mathscr{C}/S$ .

4) Suppose that there is a generically surjective meromorphic S-map  $h: Y \to X$ . Then  $f \in \mathscr{C}/S$ .

5) Suppose that there is an S-morphism  $h: X \to Y$  with  $h \in \mathcal{C}/Y$ . Then  $f \in \mathcal{C}/S$ .

6) For any reduced complex space  $\tilde{S}$  over S the induced morphism  $g: Y_{\tilde{S}, \text{red}} \to \tilde{S}$  is in  $\mathscr{C}/\tilde{S}$ .

7) Suppose that  $f \in \mathscr{C}/S$ . Then  $f \times_s g: X \times_s Y \to S$  is again in  $\mathscr{C}/S$ .

*Proof.* 1) follows from (2.2) 1) and the definition of a Moishezon morphism. The proofs of 2), 3) and 4) are the same as those of 1), 2) and 3) of [9, Lemma 4.6] respectively, using (2.2) instead of [9, Lemma 4.4], and will be omitted.

5) By assumption and by 2) there exist a locally Kähler morphism  $\tilde{g}: \tilde{Y} \to S$  (resp.  $\tilde{h}: \tilde{X} \to Y$ ) and a surjective S-(resp. X-)morphism  $\alpha: \tilde{Y} \to Y$  (resp.  $\beta: \tilde{X} \to X$ ). Then the natural map  $\gamma: \tilde{X} \times_r \tilde{Y} \to S$  is locally Kähler by (2.2) 4). Moreover there is a natural surjective S-morphism  $\tilde{X} \times_r \tilde{Y} \to X$ , which proves 5). Let  $\tilde{g}: \tilde{Y} \to S$  and  $\alpha: \tilde{Y} \to Y$  be as above. Then  $\tilde{Y}_{\tilde{s}} \to \tilde{S}$  is locally Kähler by (2.2) 2) and there is a natural surjective  $\tilde{S}$ -morphism  $\tilde{Y}_{\tilde{s}} \to Y_{\tilde{s}}$ . This proves 6). 7) then follows from 5) and 6) as in the proof of (2.2) 4).

### §3. Irreducibility of the general fiber of a morphism

(3.1) Let  $f: X \to Y$  be a finite surjective morphism of reduced complex spaces. Then we call f a *finite* (*ramified*) covering if each irreducible component of X is mapped surjectively onto some irreducible component of Y.

LEMMA 1. Let  $\beta: X \to Y$  be a finite covering of reduced complex spaces with Y irreducible. Then there are a normal complex space  $\tilde{X}$  and a finite covering  $\gamma: \tilde{X} \to Y$  such that the induced morphism  $\beta_{\tilde{X}}^*: (X \times_Y \tilde{X})^* \to \tilde{X}$  is biholomorphic to the natural projection  $E \times \tilde{X} \to \tilde{X}$ , where  $(X \times_Y \tilde{X})^*$  is the normalization of  $X \times_Y \tilde{X}$ , and E is a finite set considered as a 0dimensional reduced complex space.

*Proof.* Replacing X and Y by their normalizations X' and Y' respectively, and then considering separately the finite coverings  $\beta_i \colon X_i \to Y'$ induced by  $\beta$  between the irreducible components  $X'_i$  of X' and Y', we infer readily that we may assume that both X and Y are normal and irreducible. Then by the argument in [21, p. 62] we can find a normal complex space X, a finite group G of biholomorphic automorphisms of X and a subgroup H of G such that we have the natural isomorphisms  $h: X \cong \tilde{X}/H$  and  $g: Y \cong \tilde{X}/G$  with  $\beta = g^{-1}\pi h$ , where  $\tilde{X}/H$  and  $\tilde{X}/G$  are the quotients of X by H and G respectively endowed with their natural structures of normal complex spaces, and  $\pi: X/H \to X/G$  is the natural projection. Then identifying  $\pi$  with  $\beta$  by the above isomorphisms, this implies the lemma as follows. Let  $\varDelta$  be the diagonal of  $\tilde{X} imes_{\tilde{X}/G} \tilde{X}$  and let G act on  $\tilde{X} imes_{\tilde{X}/G} \tilde{X}$  by  $(x_1, x_2) \to (gx_1, x_2)$  for each  $g \in G$ . Then  $\tilde{X} imes_{\tilde{X}/G} \tilde{X} =$  $\bigcup_{g \in G} g \varDelta$  so that  $(\tilde{X} \times_{\tilde{X}/G} \tilde{X})^* \cong \coprod_{g \in G} g \varDelta$  and each  $g \varDelta$  is mapped isomorphically onto  $\tilde{X}$  by the second projection. Accordingly, we have  $(\tilde{X}/H imes_{\tilde{X}/G} \tilde{X})^* \cong \coprod_{g \in E} (\hat{\pi} imes \operatorname{id}_X)(g\varDelta) \cong \coprod_{g \in E} g\varDelta \cong E imes \tilde{X} imes \hat{\pi} \colon \tilde{X} o \tilde{X}/H$ is the natural projection and E is any complete set of representatives of G/H in G. Q.E.D.

(3.2) Let  $f: X \to S$  be a proper surjective morphism of reduced complex spaces. In what follows the 'general' fiber of f is always considered with respect to the Zariski topology of S. For example 'the general fiber of f is reduced and irreducible' means that  $X_s$  is reduced and irreducible for every  $s \in U$  for some dense Zariski open subset U of S.

PROPOSITION 2. Let  $f: X \to S$  be as above. Then there exist a finite surjective morphism  $\beta: \tilde{S} \to S$  with  $\tilde{S}$  reduced, and a reduced analytic subspace  $\tilde{X}$  of  $X \times_s \tilde{S}$  such that if  $f: \tilde{X} \to \tilde{S}$  and  $\alpha: \tilde{X} \to X$  are the naturally induced morphisms, then 1) the irreducible components of  $\tilde{X}$  are mutually disjoint, 2)  $\alpha$  is bimeromorphic, and in particular every irreducible component of  $\tilde{X}$  is mapped bimeromorphically onto an irreducible component of X and 3) the general fiber of  $\tilde{f}$  is reduced and irreducible. Moreover if f is flat, then we can take  $\beta$  to be a finite covering.

Proof. Let  $\nu: X' \to X$  be the normalization of X and let  $f\nu = \beta g$  with  $g: X' \to \tilde{S}$  and  $\beta: \tilde{S} \to S$  be the Stein factorization of  $f\nu: X' \to S$ . Then we set  $\tilde{X} = (\nu \times g)(X') \subseteq X \times_s \tilde{S}$ , and define  $\alpha$  and  $\tilde{f}$  as above. Then clearly  $\beta$  is finite surjective and 2) is satisfied. We shall show 1). Suppose that  $\tilde{X}_i \cap \tilde{X}_j \neq \emptyset$  for some distinct irreducible components  $\tilde{X}_i$  and  $\tilde{X}_j$  of  $\tilde{X}$ .

Let  $\tilde{x} \in \tilde{X}_i \cap \tilde{X}_j$  be any point and  $\tilde{s} = g(\tilde{x})$ . Then if  $X'_i$  and  $X'_j$  are the irreducible components of X' with  $(\nu \times g)(X'_i) = \tilde{X}_i$  and  $(\nu \times g)(X'_j) = \tilde{X}_j$  respectively, then we have  $X'_{i,\tilde{s}} \neq \emptyset$  and  $X'_{j,\tilde{s}} \neq \emptyset$ . Since  $X'_{\tilde{s}}$  is connected by the definition of Stein factorization, this implies that there is some irreducible component  $X'_k \neq X'_i$  of X' such that  $X'_i \cap X'_k \neq \emptyset$ . This is a contradiction since X' is normal. Hence 1) is proved. Then the reducedness of the general fiber of  $\tilde{f}$  follows from [9, Lemma 1.5]. So it remains to show that the general fiber of  $\tilde{f}$  is irreducible. This in turn follows from that of g, and the latter can be seen as follows. Let  $r: X^* \to X'$  be a resolution of X' and  $g^* = gr: X^* \to S$ . Then there is a dense Zariski open subset V of S such that  $g''_V: X''_V \to V$  is smooth, and hence irreducible since each fiber of  $g^*$  is connected as well as that of g, X' being normal. Hence  $X'_s = r(X^*_s)$  are also irreducible for all  $\tilde{s} \in V$ . Q.E.D.

*Remark.* In the above proof, to show the irreducibility of the general fiber of g, instead of resolution we can also use the fact that if  $h: X \to S$  is a proper morphism with X normal, then the set  $\{s \in S; X_s \text{ is normal} and f \text{ is flat at each point of } X_s\}$  is dense and Zariski open in S, which can be shown as in [9, Lemmas 1.4, 1.5] starting from a result of [2].

(3.3) We shall show that a general fiber of a proper flat morphism is irreducible if at least one fiber is reduced and irreducible. Though the result is not absolutely necessary for the proof of Theorem, it provides us with a useful criterion for the applicability of Proposition 4 in §4. First we need some lemmas.

LEMMA 2. Let  $f: X \to Y$  be a proper morphism of complex spaces and  $y \in Y$ . Then f is flat at each point of X if and only if for any morphism  $h: D \to Y$  with h(0) = y, the induced morphism  $f_D: X_D \to D$  is flat at each point of  $X_{D,0}$  where  $D = \{t \in C; |t| < 1\}$  is the unit disc and  $0 \in D$  is the origin.

*Proof.* This is an immediate consequence of the existence of 'platificateur' in [16, Th. 1'] (cf. also [14, Th. 2.4]). We shall also give a direct proof of the lemma in the Appendix.

COROLLARY. Let  $f: X \to Y$  be a proper surjective morphism of reduced and irreducible complex spaces. Let  $y \in Y$ . Suppose that  $X_y$  is reduced and irreducible, and that dim  $X_y = \dim X - \dim Y$ . Then f is flat at every point of  $X_y$ .

Proof. It suffices to show that for any  $h: D \to Y$  with  $h(0) = y, f_D: X_D \to D$  is flat along  $X_{D,0}$ . Since  $X_{D,0} \cong X_y$  is reduced and irreducible, by Nakayama we may assume that  $X_D$  is reduced. Let  $X_{Di}, 1 \leq i \leq m$ , be the irreducible components of  $X_D$ . Restricting D smaller we may further assume that  $f_D(X_{Di}) = D$  or  $\{0\}$  for each i. Since  $X_{D,0}$  is reduced and irreducible, if  $f_D(X_{Di}) = \{0\}$  for some i, we must have  $X_{Di} = X_{D,0}$ , and i is unique, say i = m. Note that since  $f_D$  is surjective, m > 1. Then for  $1 \leq i < m$  we have dim  $X_{Di,i} \leq \dim X_{Di,0} < \dim X_{D,0}$ , and hence dim  $X_{D,i} <$ dim  $X_{D,0}$ , or dim  $X_{h(i)} \leq \dim X_y$ , for  $t \neq 0$ . On the other hand, our dimensional assumption implies that dim  $X_y = \dim X_{y'}$  for all y' sufficiently near to y since X is irreducible. This is a contradiction. Hence  $f(X_{Di}) = D$ for all i so that  $f_D$  is flat along  $X_{D,0}$ .

LEMMA 3. Let  $f: X \to S$  be a proper flat morphism of complex spaces. Suppose that S is reduced and irreducible. Suppose further that for some  $o \in S, X_o$  is reduced and pure dimensional. Then X also is reduced and pure dimensional.

Proof. Since  $X_o$  is reduced, by Nakayama and the flatness of f we infer readily that X is reduced (cf. the proof of [9, Lemma 1.4]). To show the pure dimensionality it suffices to show that there is no irreducible component, say  $X_1$ , of X such that if  $q_1$  is the dimension of the general fiber of the induced map  $X_1 \to S$ , then  $q_1 < q_o = \dim X_o$ . Suppose that such an  $X_o$  exists. Let  $S_k(f) = \{x \in X; \operatorname{codh}_x X_{f(x)} \leq k\}$ . Then  $S_k(f)$  is an analytic subset of X by [2]. Hence  $S_{q_1}(f) \supseteq X_1, X_1$  being reduced, and so dim  $S_{q_1}(f)_o \geq q_1$ . Since  $S_{q_1}(f)_o = \{x \in X_o; \operatorname{codh}_x X_o \leq q_1\}$ , this implies that on  $X_o$  there is a nonzero holomorphic function  $\varphi$  with support of dimension  $\leq q_1$  (cf. [3, p. 76, Cor. 5.2 d)  $\rightarrow$  b)] applied to  $\mathscr{F} = \mathscr{O}_X$  and  $d = q_1$ ). This is a contradiction to the reducedness and pure dimensionality together of  $X_o$ . Hence X is pure dimensional. Q.E.D.

PROPOSITION 3. Let  $f: X \to S$  be a proper flat and surjective morphism of complex spaces. Suppose that S is reduced and irreducible. Suppose further that for some  $o \in S$  the fiber  $X_o$  is reduced and irreducible. Then the general fiber of f is irreducible.

*Proof.* By Lemma 3 X is reduced and pure dimensional. Apply Proposition 2 to f and obtain a proper surjective morphism  $\tilde{f}: \tilde{X} \to \tilde{S}$  and finite coverings  $\alpha: \tilde{X} \to X$  and  $\beta: \tilde{S} \to S$  with  $\beta f = f\alpha$  satisfying the properties stated in the proposition. Let  $\beta^{-1}(o) = \{\tilde{o}_1, \dots, \tilde{o}_m\}$ . Then it suffices to

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show that  $\beta$  is locally biholomorphic at each  $\tilde{o}_k$  and that m = 1. In fact, then  $\beta$  must be bimeromorphic and hence the irreducibility of the general fiber of f follows from that of  $\tilde{f}$  together with the surjectivity of  $\alpha$ . Now to prove the above assertion first we note that since f is flat, X is pure dimensional and S is irreducible, every fiber of f is pure dimensional of dimension  $q = \dim X_o$ , and, further, since  $X_o$  is reduced and irreducible, every irreducible component of X contains  $X_o$ . Combining this with 2) of Proposition 2 and the fact that  $\alpha|_{\tilde{x}_{\tilde{s}}} : \tilde{X}_{\tilde{s}} \to X_{\beta(\tilde{s})}$  is an embedding for each  $\tilde{s} \in \tilde{S}$ , we get that  $\alpha$  induces the isomorphisms  $\tilde{X}_{\tilde{o}_k} \cong X_o$  for all k. This then implies that  $\beta$  is locally biholomorphic at each  $\tilde{o}_k$ , for otherwise  $\tilde{X}_{\tilde{o}_k}$ is nonreduced at each of its points since so is  $\tilde{S}$  at  $\tilde{o}_k$  already. By Corollary above it also follows from  $\tilde{X}_{\tilde{o}_k} = X_o$ , that  $\tilde{f}$  is flat in a neighborhood of  $X_{\tilde{a}_k}$  for each k. Now we need the following result from [9, Cor. 3.3]; let  $g: Y \rightarrow Z$  be a proper flat morphism of reduced complex spaces. Suppose that every fiber of g has pure dimension q which is independent of z. For any  $z \in Z$  let  $Y_{z,i}$ ,  $i = 1, \dots, n = n(z)$ , be the irreducible components of  $Y_{z, \text{ red}}$  and  $m_{z,i}$  the multiplicities of  $Y_z$  along  $Y_{z, \text{ red}}$  (cf. [9, 3.1]). Then for any continuous (q, q)-form  $\chi$  on X the function

$$\lambda_{\chi}(z) = \sum_{i=1}^{n} m_{z,i} \int_{Y_{z,i}} \chi$$

is a continuous function on Z. Using this we shall now show that m = 1. Let  $\omega$  be any Hermitian (1, 1)-form on X (cf. [9, Def. 1.2]) and set  $\lambda = \omega \wedge \cdots \wedge \omega$  (q-times) and  $\tilde{\chi} = \alpha^* \chi$ . Then  $\lambda_{\chi}(s)$  (resp.  $\lambda_{\tilde{\chi}}(\tilde{s})$ ) are functions which are defined on S (resp.  $\tilde{S}$ ) and continuous in a neighborhood of o (resp.  $\beta^{-1}(o)$ ) by the result quoted above. Let U (resp.  $\tilde{U}_k$ ) be a neighborhood of o (resp.  $\tilde{o}_k$ ) such that  $\beta$  induces isomorphisms  $\beta_k \colon \tilde{U}_k \cong U$  for each k. For any  $s \in U$  we write  $\tilde{s}_k = \beta_k^{-1}(s)$ . On the other hand, since  $\alpha$  is bimeromorphic, there is a dense Zariski open subset V of U such that for each  $s \in V$ ,  $\tilde{X}_{\tilde{s}_i}$ ,  $1 \leq i \leq m$ , are reduced and irreducible and  $\alpha(\tilde{X}_{\tilde{s}_k}) \neq \alpha(\tilde{X}_{\tilde{s}_\ell})$  if  $k \neq \ell$ . Hence noting that  $X_s = \bigcup_k \tilde{X}_{\tilde{s}_k}$  we have  $\lambda_{\chi}(s) = \sum_{k=1}^m \lambda_{\tilde{\chi}}(\tilde{s}_k)$  for every  $s \in V$ . Now take a sequence  $\{s^{(i)}\}$  of points of V converging to o in U. Then since  $\lambda_{\chi}$  (resp.  $\lambda_{\tilde{\chi}}$ ) is continuous at o (resp.  $\tilde{o}_k$ ), we get that  $\lambda_{\chi}(o) = \lim_i \lambda_{\chi}(s^{(i)}) = \lim_i \sum_{k=1}^m \lambda_{\tilde{\chi}}(\tilde{s}_k^{(i)}) = \sum_{k=1}^m \lambda_{\tilde{\chi}}(\tilde{o}_k)$ . Since

$$egin{aligned} &\lambda_{z}(o)=\int_{X_{o}}\chi=\int_{ ilde{X}_{ar{o}k}} ilde{\chi}=\lambda_{ar{z}}( ilde{o}_{k})\;, \ &=1, ext{ for }\int &\chi>0. \end{aligned}$$
 Q.E.D.

this implies that  $m=1, \,\, {
m for} \,\, \int_{X_o} \chi > 0.$ 

### § 4. Moishezonness of $\pi_A$ in a special case

(4.1) Let  $f: X \to S$  be a proper morphism of complex spaces. Let  $\beta_{X/S}: D_{X/S} \rightarrow S$  be the relative Douady space of X over S parametrizing analytic subspaces of X contained in the fibers of f (cf. [17], [9]). Let  $\rho_{X/S}: Z_{X/S} \to D_{X/S}$  be the corresponding universal family, so that there is a natural embedding  $Z_{{\scriptscriptstyle X}/{\scriptscriptstyle S}} \subseteq D_{{\scriptscriptstyle X}/{\scriptscriptstyle S}} imes_{{\scriptscriptstyle S}} X$  with  $ho_{{\scriptscriptstyle X}/{\scriptscriptstyle S}}$  induced by the natural projection  $p_1: D_{X/S} \times_S X \to D_{X/S}$ . We denote by  $\pi_{X/S}$  the natural morphism  $Z_{X/S} \to X$  induced by the projection  $p_2: D_{X/S} \times_S X \to X$ . Then  $\pi_{X/S}$ , restricted to each fiber of  $\rho_{{\scriptscriptstyle X/S}}$ , is an embedding. Let  $\alpha \colon \tilde{S} \to S$  be a morphism of complex spaces with  $\tilde{S}$  reduced and  $Z \subseteq \tilde{S} \times_s X$  a subspace. Let  $\rho: Z \to \tilde{S}$ be the natural projection. If  $\rho$  is flat, then we call  $\rho$  a flat family of subspaces of X over S parametrized by  $\tilde{S}$ . In the general case, by Frisch [8] there is a dense Zariski open subset W of  $\tilde{S}$  such that  $\rho_W: Z_W \to W$  is flat. (In what follows we use this result of Frisch without further reference.) Then there is a unique S-morphism  $\tau: W \to D_{X/S}$  such that  $\rho_W$  is isomorphic to the map induced from  $\rho_{X/S}$  via  $\tau$ , where W is over S by  $\alpha|_{W}$ . We call such a map  $\tau$  simply the universal S-map associated to  $\rho_w$ .

Now we recall the following consequence of Hironaka's flattening theorem [14] which is of frequent use in the sequel.

LEMMA 4. The universal S-map  $\tau$  extends to a meromorphic S-map  $\tau^*: \tilde{S} \to D_{X/S, \text{ red}}$ . In particular if  $\alpha$  is proper, then the closure of  $\tau(W)$  in  $D_{X/S, \text{ red}}$  is an analytic subspace of  $D_{X/S, \text{ red}}$  which is proper over S.

Proof. See [9, Lemma 5.1].

(4.2) In the case of a projective morphism a special way of constructing  $D_{x/s}$  is available by Grothendieck [12], [13]; what we need here from his construction is the following:

LEMMA 5. Let  $f: X \to S$  be a projective morphism and  $\beta_{X/S}: D_{X/S} \to S$ be the relative Douady space of X over S. Let Q be any relatively compact open subset of S and A any connected component of  $\beta_{X/S}^{-1}(Q)_{red}$ . Then the induced morphism  $h: A \to Q$  is projective.

*Proof.* Let Q' be any relatively compact open subset of S with  $Q \subset Q'$ . Let  $\mathscr{L}$  be an  $f_{Q'}$ -very ample invertible sheaf on X such that  $f_*\mathscr{L}$  is locally free on Q'. So we have an Q'-embedding  $j: X_{Q'} \to P(f_*\mathscr{L})_{Q'}$  with  $\mathscr{L} \cong j^* \mathscr{O}_P(1), P = P(f_*\mathscr{L})$ . Then replacing S by Q' we may assume that  $X = P(\mathscr{E})$  for some locally free coherent analytic sheaf  $\mathscr{E}$  on S. Now for

 $d \in D_{X/S}$  write  $Z_d = Z_{X/S,d}$  and consider  $Z_d \subseteq X_{\beta(d)} \cong CP^{r-1}$  by  $\pi_{X/S}$ , where  $\beta = \beta_{X/S}$  and  $r = \operatorname{rank} \mathscr{E}$ . For every  $d \in D_{X/S}$  define a polynomial  $P_d = P_d(n)$  in n by  $P_d = \sum_{i \ge 0} (-1)^i H^i(Z_d, \mathcal{O}_{Z_d}(n))$ . Then  $P_a$  is independent of  $a \in A$  (cf. [3]) and we set  $P_A = P_a$  for any  $a \in A$ . Set  $\tilde{A} = \{d \in D_{X/S, \operatorname{red}}; P_d = P_A\}$ . Then A is a connected component of  $\tilde{A}_q$ . Hence it suffices to show that  $\tilde{A}_q$  is projective over Q. In fact, the proof of [13, IX, Théorème 1.1] (and [12, 221, § 3]) shows that for each point  $s \in S$  there exists a neighborhood  $s \in U$  in S and an integer  $\nu_0 = \nu_0(s)$  such that for all  $\nu \ge \nu_0$  the natural map  $\beta^* f_* \mathcal{O}_X(\nu) \cong p_{1*} p_2^* \mathcal{O}_X(\nu) \to \rho_{X/S*} \mathcal{O}_{Z_{X/S}}(\nu) = \pi_{X/S}^* \mathcal{O}_X(\nu)$ , is surjective on  $\tilde{A}_U$  and the corresponding morphism  $\tilde{A}_U \to \operatorname{Grass}_m (f_* \mathcal{O}_X(\nu))_U$  is a closed embedding over U, where  $\operatorname{Grass}_m (f_* \mathcal{O}_X(\nu))$  is the Grassmann variety of locally free quotients of  $f_* \mathcal{O}_X(\nu)$  of  $\operatorname{rank} m$ , where  $m = m(\nu) = \operatorname{rank}(\rho_{X/S*} \mathcal{O}_{Z_{X/S}}(\nu))$  [13]. Hence for all sufficiently large  $\nu, \tilde{A}_q$  can be embedded in  $\operatorname{Grass}_m (f_* \mathcal{O}_X(\nu))_Q$  over Q and hence is projective over Q. Q.E.D.

(4.3) Let  $f: X \to S$  be a morphism of complex spaces. Let  $\beta_{X/S}: D_{X/S} \to S$ ,  $\rho_{X/S}: Z_{X/S} \to D_{X/S}$  and  $\pi_{X/S}: Z_{X/S} \to X$  be as in (4.1). For any locally closed analytic subspace A of  $D_{X/S, \text{ red}}$  we shall denote by  $\rho_A: Z_A \to A$  the restriction of  $\rho_{X/S}$  to  $Z_A = \rho_{X/S}^{-1}(A)$  and  $\pi_A: Z_A \to X$  the S-morphism induced by  $\pi_{X/S}$ , where  $Z_A$  is over S by  $\beta_{X/S}\rho_A$ .

PROPOSITION 4. Let  $f: X \to S$  be a proper morphism of complex spaces and Q a relatively compact open subset of S. Let A be a reduced and irreducible analytic subspace of  $\beta_{X/S}^{-1}(Q)$  which is proper over Q and for which the general fiber of  $\rho_A$  is reduced and irreducible. Then  $\pi_A$  is Moishezon.

Proof. Changing the notation we set S = Q,  $X = X_Q$  and  $f = f_Q$  so that A is an analytic subspace of  $D_{X/S}$ . (The original X, S and f do not appear explicitly in the following, so no confusion may arise). We first note that from our assumption it follows immediately that  $Z_A$  is reduced and irreducible. Consider the  $Z_A$ -embedding  $j: Z_A \times_A Z_A \subseteq Z_A \times_X (X \times_S X)$ defined by  $j(z_1, z_2) = (z_1, \pi_A(z_1), \pi_A(z_2))$  where  $Z_A \times_A Z_A$  (resp.  $X \times_S X$ ) is over  $Z_A$  (resp. X) with respect to the projection to the first factor. Note that j is in fact obtained by the composition  $Z_A \times_A Z_A \subseteq Z_A \times_A (A \times_S X)$  $\cong Z_A \times_S X \cong Z_A \times_X (X \times_S X)$  where the isomorphisms are all natural ones. On the other hand, let  $\Delta = \Delta_{X/S}$  be the diagonal of  $X \times_S X$  and  $\mathscr{I}$  the sheaf of ideals of  $\Delta$  in  $X \times_S X$ . Let  $\Delta_{(n)} = (\Delta, \mathcal{O}_{X \times_S X} / \mathscr{I}^{n+1})$  be the n-th infinitesimal neighborhood of  $\Delta$  in  $X \times_S X$ , and  $\beta_n: \Delta_{(n)} \to X$  be induced by the projection  $X \times_S X \to X$  to the first factor. Then  $\beta_n$  are finite, and hence projective, morphisms. Let  $\delta_n: D_{(n)} \to X$  with  $D_{(n)} = D_{J_{(n)}/X}$  be the relative Douady spaces associated to  $\beta_n$ . Then for any connected component  $D_{(n),k}$  of  $D_{(n)}$  the induced morphism  $D_{(n),k} \to X$  is projective by Lemma 5 since f is proper.

Let  $Y_{(n)} = (Z_A \times_A Z_A) \cap (Z_A \times_X \Delta_{(n)}) \subseteq Z_A \times_X \Delta_{(n)}$  and  $\gamma_n \colon Y_{(n)} \to Z_A$  be the natural S-morphisms induced by the projections  $Z_A \times_X \Delta_{(n)} \to Z_A$ , where the intersection is taken in  $Z_A \times_X (X \times_S X)$  considering  $Z_A \times_A Z_A$  as a subspace of  $Z_A \times_X (X \times_S X)$  via j. Then  $\gamma_n$  are finite surjective morphisms, the fibers over  $z \in Z_A$  being naturally identified with the subspace  $B_{z,n} =$  $\pi_A(Z_{A,a}) \cap x_{(n)}$  of  $x_{(n)} = (x, \mathcal{O}_X/m_x^{n+1})$  where  $a = \rho_A(z), x = \pi_A(z)$  and  $m_x$  is the maximal ideal of  $\mathcal{O}_X$  at x. Now for each n there is a dense Zariski open subset  $U_n$  of  $Z_A$  such that  $\gamma_{n,U_n} \colon Y_{(n),U_n} \to U_n$  is flat, so that it may be considered as a flat family of subspaces of  $\Delta_{(n)}$  over X parametrized by  $U_n$ . Let  $\tau_n \colon U_n \to D_{(n)}$  be the universal X-map associated to  $\gamma_{n,U_n}$  (cf. (4.1)). Then by Lemma 4  $\tau_n$  extends to a meromorphic X-map  $\tau_n^* \colon Z_A \to D_{(n)}$  and the closure  $E_n$  of  $\tau_n(U_n)$  in  $D_{(n)}$  is analytic in  $D_{(n)}$  and is proper over Sas well as  $Z_A$ .

Now we shall show that (\*)  $\tau_n^*$  are bimeromorphic X-maps onto its image for all sufficiently large n. Then since  $\pi_A$  is proper and the images of  $\tau_n^*$  are contained in some  $D_{(n),k}$ ,  $Z_A$  being irreducible, this would imply that  $\pi_A: Z_A \to X$  is Moishezon by (1.7) 5), completing the proof of the proposition. To show (\*) we first observe the following: ('') If  $z, z' \in U_m$  $\cap U_n$  and if  $m \leq n$ , then  $\tau_n(z) = \tau_n(z')$  implies that  $\tau_m(z) = \tau_m(z')$ . In fact, for  $z \in U_n, \tau_n(z)$  is the point of  $D_{(n)}$  corresponding to the subspace  $B_{z,n}$  of  $x_{(n)}$  defined above, and that  $B_{z,n} = B_{z',n}$  clearly implies that  $B_{z,m} = B_{z',m}$ . This shows (''). Now since  $Z_A$  is irreducible, for each n there exist an integer  $d_n \geq 0$  and a dense Zariski open subset  $V_n$  of  $U_n$  such that  $\dim_z \tau_n^{-1} \tau_n(z) = d_n$  for all  $z \in V_n$ . Then we see that  $d_n \leq d_m$  for  $m \leq n$  by (''). Hence there are integers  $n_0 > 0$  and  $d \geq 0$  such that  $d_n = d$  for all  $n \geq n_0$ .

Next we show that d = 0. Let W be a dense Zariski open subset of A such that  $Z_{A,a}$  is reduced and irreducible for all  $a \in W$ . Let  $V = \bigcap_n V_n$ . Then V is everywhere dense in  $Z_A$ . Suppose now that d > 0. Then there exist points  $z, z' \in V \cap \rho_A^{-1}(W), z \neq z'$ , such that z' belongs to an irreducible component C of  $\tau_{n_0}^{-1}\tau_{n_0}(z)$  containing z. (In particular  $\pi_A(z) = \pi_A(z')$ .) Then since both  $Z_{A,\rho_A(z)}$  and  $Z_{A,\rho_A(z')}$  are reduced and irreducible, there is an integer  $n_1 \geq n_0$  such that  $B_{z,n_1} \neq B_{z',n_1}$ , or equivalently,  $\tau_{n_1}(z) \neq \tau_{n_1}(z')$ . Hence

 $au_{n_1,C} = au_{n_1|_{C\cap U_{n_1}}}$  is nontrivial, i.e., the fibers of  $au_{n_1,C}$  have dimension  $< d = \dim C$ . Note here that  $C \cap U_{n_1} \supseteq C \cap V \neq \emptyset$ . On the other hand, by  $('') au_{n_1,C}^{-1} au_{n_1}(z)$  is one of the irreducible components of  $au_{n_1}^{-1} au_{n_1}(z)$  at z and hence there is an irreducible component  $C' \subseteq C$  of  $au_{n_1}^{-1} au_{n_1}(z)$  containing z, so that  $\dim_{z''} au_{n_1}^{-1} au_{n_1}(z'') < d$  for some  $z'' \in C'$ . This implies that  $d_{n_1} < d$  by the upper semi-continuity of the function  $\dim_z au_{n_1}^{-1} au_{n_1}(z), z \in U_{n_1}$ , which is a contradiction. Hence we get that  $au_n$  is generically finite for  $n \geq n_0$ .

Thus for each  $n \geq n_0$ , there exist an integer  $k_n > 0$  and a dense Zariski open subset  $Q_n$  of  $E_n$  contained in  $\tau_n(U_n)$  such that  $\tau_{n,Q_n}: \tau_n^{-1}(Q_n) \rightarrow Q_n$  is an unramified covering of degree  $k_n$ . Here one needs to recall that  $\tau_n$  extends to a meromorphic X-map from  $Z_4$  to  $E_n$  which are both proper over X. Then again by (''),  $k_n \leq k_m$  if  $n \geq m$  so that  $k_n = k$  for all  $n \geq n_2$  for some  $k \geq 1$  and  $n_2 \geq n_0$ . We show that k = 1. Let  $\tilde{Q} =$  $\bigcap_n \tau_n^{-1}(Q)$  which is everywhere dense in  $Z_A$ . For  $\tilde{q} \in \tilde{Q}$ ,  $\tau_n^{-1}\tau_n(\tilde{q})$ , as a set, is independent of  $n \geq n_2$ . Suppose that k > 1. Then there are points z,  $z' \in \tilde{Q} \cap \rho_A^{-1}(W)$ ,  $z \neq z'$ , such that  $\tau_{n_2}(z) = \tau_{n_3}(z')$ . Then by the same argument as above we can find  $n > n_2$  such that  $\tau_n(z) \neq \tau_n(z')$ , implying that  $k_n < k$ , since  $z, z' \in \tilde{Q}$ . This contradicts our choice of  $n_2$ . Hence k = 1, i.e.,  $\tau_n^*$  is X-bimeromorphic onto its image for all  $n \geq n_2$ , and (\*) is proved.

Remark. A meromorphic map  $g: Y \to Y'$  of reduced complex spaces is called generically light if there is a dense Zariski open subset  $U \subseteq \Gamma$ such that  $\dim_{\tau} q^{-1}q(\Gamma) = 0$  for every  $\gamma \in U$  where  $\Gamma$  is the graph of g and  $q: \Gamma \to Y'$  is the natural projection (cf. (1.1)). Then the above proof shows that even in the general case where A may not be proper over S, there is a generically light meromorphic X-map  $\lambda: Z_A \to B$  of complex spaces over X with B projective over X.

## §5. Reduction of the general case and proof of Theorem

(5.1) We use the notation of (4.3).

PROPOSITION 5. Let  $f: X \to S$  be a morphism of complex spaces. Let A be an irreducible component of  $D_{X/S, \text{ red}}$  which is proper over S and for which  $Z_A$  is reduced. Then there exist 1) reduced and irreducible analytic subspaces  $B_i$ ,  $i = 1, \dots, n$ , of  $D_{X/S}$  such that  $B_i$  is proper over S and the general fiber of  $\rho_{B_i}: Z_{B_i} \to B_i$  is reduced and irreducible, 2) a reduced and irreducible analytic subspace B of  $\hat{B} = B_1 \times_S \dots \times_S B_n$  and 3) a generically surjective meromorphic S-map  $h: B \rightarrow A$ .

Proof. We write  $Z = Z_A$  and  $\rho = \rho_A$ . Let  $\tilde{\rho}: \tilde{Z} \to \tilde{A}, \alpha: \tilde{Z} \to Z$  and  $\beta: \tilde{A} \to A$  be as in Proposition 2 applied to  $f = \rho$ . In particular  $\tilde{Z} \subseteq \tilde{A}$   $\times_A Z$  with  $\tilde{\rho}$  induced by the natural projection  $\tilde{A} \times_A Z \to \tilde{A}$ . Further since  $\rho$  is flat, we may assume that  $\beta$  is a finite covering. Moreover for each  $s \in A, Z_s = \bigcup_{\tilde{s} \in \tilde{\rho}^{-1}(s)} \alpha(\tilde{Z}_{\tilde{s}})$  by 2) of the proposition. Then we apply Lemma 1 to  $\beta$  and obtain a normal complex space A', a finite covering  $\gamma: A' \to A$  and an A'-isomorphism  $\lambda: (\tilde{A} \times_A A')^* \cong E \times A'$ , where  $(\tilde{A} \times_A A')^*$ is the normalization of  $\tilde{A} \times_A A'$  and E is a finite set considered as a zero dimensional analytic space. Write  $A^* = (\tilde{A} \times_A A')^*$ . Let  $\rho^*: Z^* \to A^*$  be the pull-back of  $\tilde{\rho}$  to  $A^*$  with respect to the natural projection  $A^* \to \tilde{A}$ . Identifying E with  $\{1, \dots, n\}, n = \sharp E$ , in a certain fixed way and  $A^*$  with  $E \times A'$  via  $\lambda$ , we write for each  $i, Z_i^* = \rho^{*-1}(\{i\} \times A')$ , and  $\rho_i^* = \rho^*|_{Z_i^*}: Z_i^*$   $\to \{i\} \times A' = A'$  and define  $\pi_i^*: Z_i^* \to X$  to be the natural map. We thus get the following commutative diagram

$$\lim_{i} Z_{i}^{*} = Z^{*} \longrightarrow \tilde{Z} \\
 \downarrow \amalg \rho_{i}^{*} \downarrow \rho^{*} \qquad \qquad \downarrow \tilde{\rho}^{*} Z \xrightarrow{\pi_{A}} X \\
 \coprod (A' \times \{i\}) = A^{*} \longrightarrow \tilde{A} \\
 \downarrow \swarrow \rho_{i}^{*} \downarrow \rho^{*} \qquad \qquad \downarrow \tilde{\rho} \downarrow \rho \\
 A' \xrightarrow{\tau} A \quad .$$

Let U be any dense Zariski open subset of A' such that  $\rho_{i,U}^*: Z_{i,U}^* \to U$  are flat for all *i*. Then by the definition of  $\tilde{Z}$  we may consider  $\rho_{i,U}^*$  naturally as a flat family of subspaces of X over S parametrized by U. Let  $\tau_i: U$  $\to D_{X/S}$  be the universal S-map associated to  $\rho_{i,U}^*$  and  $\tau = \prod_i \tau_i: U \to$  $D_{X/S} \times_S \cdots \times_S D_{X/S}$  (*n*-times). Let B (resp.  $B_i$ ) be the closure of  $\tau(U)$ (resp.  $\tau_i(U)$ ) in  $\hat{D} = D_{X/S} \times_S \cdots \times_S D_{X/S}$  (resp.  $D_{X/S}$ ). Then by Lemma 4 (cf. also (1.1)) B and  $B_i$  are reduced analytic subspaces of  $\hat{D}$  and  $D_{X/S}$ respectively which are proper over S. They are irreducible since so is U, and we have  $B \subseteq \hat{B} = B_1 \times_S \cdots \times_S B_n$  and dim  $B \leq \dim A$ . Moreover since by 3) of Proposition 2 together with the definition of  $\tau_i, Z_{X/S,d}$  is reduced and irreducible for each  $d \in \tau_i(U)$  (after restricting U if necessary), the general fiber of  $\rho_{B_i}: Z_{B_i} \to B_i$  is reduced and irreducible. (For instance, since  $\tau_i(U)$  is everywhere dense in  $B_i$  it follows that  $Z_{B_i}$  is reduced and irreducible. Then we can apply Proposition 3.)

Now let  $\rho_B^{(i)}: Z_B^{(i)} \to B$  be the pull-back of  $\rho_{B_i}$  with respect to the map

 $B \rightarrow B_i$  induced by the natural projection  $\hat{B} \rightarrow B_i$ . Take the union  $\dot{Z}_B =$  $\bigcup_i Z_B^{(i)}$  in  $X \times_s B$  ( $\supseteq Z_B^{(i)} = Z_{B_i} \times_{B_i} B$ ). Let  $\psi : \check{Z}_B \to B$  be the natural projection and take any dense Zariski open subset V of B such that  $\psi_{\nu}: \psi^{-1}(V) \to V$  is flat. Let  $\tau': V \to D_{X/S}$  be the universal S-map associated with  $\psi_{V}$ . Let  $\bar{\pi}: \check{Z}_{B} \to X$  be the natural map induced by the projection  $X \times_s B \to X$ . Then from the construction above we have in X the equality  $ar{\pi}(\check{Z}_{B,b})=\pi_{\scriptscriptstyle A}(Z_{\scriptscriptstyle A,\gamma(u)}) ext{ for each } b\in au(U) ext{ where } u\in U ext{ is any point with } au(u)$ = b. In fact by 2) of Proposition 2 we have  $\pi_A(Z_{A,\gamma(u)}) = \bigcup_{\tilde{a} \in \beta^{-1}\gamma(u)} \tilde{\pi}(\tilde{Z}_a)$  $= \bigcup_{a^* \in \delta^{-1}(u)} \pi^*(Z_{a^*}) = \bigcup_i \pi_i^*(Z_{i,u}^*) = \overline{\pi}(\check{Z}_{B,b})$  in X, where  $\tilde{\pi} = \pi_A \alpha$  and  $\pi^*$  is the composite of  $\tilde{\pi}$  and the natural map  $Z^* \to \tilde{Z}$ . This implies that  $\tau' \tau|_{\tau^{-1}(V)}$  $= j\gamma|_{\tau^{-1}(V)}$  where  $j: A \to D_{X/S}$  is the natural inclusion. In particular  $\tau'(V)$ contains  $\gamma(\tau^{-1}(V))$  and hence a nonempty Zariski open subset of A since  $\tau^{-1}(V) \neq \emptyset$ . Thus the closure  $\tau'(V)^-$  of  $\tau'(V)$  in  $D_{\chi/s}$ , which is an analytic subset of  $D_{X/S}$  by Lemma 4, contains A so that dim  $B \ge \dim A$ . Combining with the opposite inequality noted above we have  $\dim B = \dim A$ , and thus  $\tau'(V)^- = A$ . Hence  $h = \tau'$  is a generically surjective meromorphic S-map from B onto A. Q.E.D.

*Remark.* In fact the above h is bimeromorphic as one shows readily.

(5.2) THEOREM. Let  $f: X \to S$  be a proper morphism of reduced complex spaces, and Q a relatively compact open subset of S. Let  $\beta: D_{X/S} \to S$  be the relative Douady space of X over S. Suppose that  $f \in \mathscr{C}/S$  (resp. is Moishezon). Then for any irreducible component A of  $\beta^{-1}(Q)_{red}$  such that  $Z_A$  is reduced, the induced morphism  $\beta|_A: A \to Q$  is proper and again belongs to  $\mathscr{C}/S$  (resp. is Moishezon).

Proof. We shall write  $f \in \mathcal{M}/S$  if f is Moishezon. First we show that A is proper over S. Since  $f \in \mathcal{C}/S$  (resp.  $\mathcal{M}/S$ ), there is a proper and locally Kähler (resp. locally projective) morphism  $g: Y \to S$  of complex spaces and a surjective S-morphism  $h: Y \to X$  (cf. (2.4) 2) and (1.5) 3)). Let  $X' = f^{-1}(Q), f' = f|_{X'}: X' \to Q, Y' = g^{-1}(Q)$  and  $g' = g|_{Y'}: Y' \to Q$ . Then g' is Kähler (cf. (2.2) 1)). Hence by [9, Theorem 4.3] g' has property BP, i.e., every irreducible component of the relative Barlet space B(Y'/Q) (cf. [9]) is proper over Q. Then by [9, Prop. 4.8] f' also has property BP, which in turn implies that f' has property  $\overline{DP}$ , i.e., every irreducible components  $D_r$  of  $D_{X'/Q}$ , red such that  $Z_r = Z_{D_r}$  are reduced and pure dimensional. Then by [9, Lemma 3.5] and the remark

following it (where  $Z_{\alpha}$  and  $D_{\alpha}$  should read  $D_{\alpha}$  and S respectively), this further implies that the given A is proper over S since  $Z_A$  is reduced.

Now apply Proposition 5 to our A and obtain  $B \subseteq B_1 \times_q \cdots \times_q B_n$ as in that proposition (with S replaced by Q). In particular,  $B_i$  are proper over Q, the general fiber of  $\rho_{B_i}: Z_{B_i} \to B_i$  is reduced and irreducible, and there is a generically surjective meromorphic S-map  $B \to A$ . The first two facts, together with Proposition 4, shows that  $\pi_{B_i}: Z_{B_i} \to X'$  is Moishezon,  $1 \leq i \leq n$ . Hence  $f'\rho_{B_i}: Z_{B_i} \to Q \in \mathscr{C}/Q$  (resp.  $\mathscr{M}/Q$ ) by (2.4) 5) (resp. (1.5) 1)). Then by (2.4) 3) 4) and 7) (resp. (1.6) and (1.7) 5) 7)) the natural map  $B_i \to Q$ , and hence  $B \to Q$  also, belong to  $\mathscr{C}/Q$  (resp.  $\mathscr{M}/Q$ ). Moishezon). Finally by (2.4) 4) (resp. (1.6))  $\beta|_A: A \to Q \in \mathscr{C}/Q$  (resp.  $\mathscr{M}/Q$ ). Q.E.D.

*Remark.* Taking S to be a point and then setting S = Q, we obtain the theorem stated in the introduction.

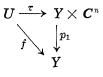
### Appendix

We shall give a direct proof of Lemma 2, in § 3.

Let  $D = \{t \in C; |t| < 1\}$  be the unit disc. For any complex space Yand  $y \in Y$  we denote by S(Y, y) the set of morphisms  $h: D \to Y$  with h(0) = y. Let  $f: X \to Y$  be a morphism of complex spaces and  $y \in Y$ . Then for any  $h \in S(Y, y)$  we write  $X_h$  for  $X \times_Y D$  and  $f_h$  (resp.  $p_h$ ) for the natural projection  $X_h \to D$  (resp.  $X_h \to X$ ). Further for any coherent analytic sheaf  $\mathscr{F}$  on X we denote by  $\mathscr{F}_h$  the  $\mathscr{O}_{X_h}$ -module  $p_h^* \mathscr{F}$ . Then Lemma 2 is a special case of the following:

PROPOSITION. Let  $f: X \to Y$  be a morphism of complex spaces and  $\mathscr{F}$ a coherent analytic sheaf on X. Let  $x \in X$  and y = f(x). Suppose that Y is reduced. Then the following conditions are equivalent: 1)  $\mathscr{F}$  is f-flat at x. 2) For every  $h \in S(Y, y)$ ,  $\mathscr{F}_h$  is  $f_h$ -flat at  $x_h = (x, 0)$ .

*Proof.* 2) is clearly a consequence of 1). So suppose that 2) is true. We use an analytic analogue of the technique due to Raynaud and Gruson [19, 2.1]. Let  $S(\mathscr{F})$  be the support of  $\mathscr{F}$  considered as the analytic subspace of X defined by the ideal sheaf of annihilators of  $\mathscr{F}$ . Then we proceed by induction on  $n = \dim_x (X_y \cap S(\mathscr{F}))$ . First replacing X by  $S(\mathscr{F})$  if necessary we may assume that  $X = S(\mathscr{F})$ , so that  $n = \dim_x X_y$ . Then there is a neighborhood U of x in X and a commutative diagram of complex spaces



such that  $\tau$  is finite at x (cf. [7, 3.3]). Then since  $\mathscr{F}_x$  and  $(\tau_*\mathscr{F})_{\tau(x)}$  are isomorphic as  $\mathscr{O}_{Y,y}$ -modules, we can replace f and  $\mathscr{F}$  by  $p_1$  and  $\tau_*\mathscr{F}$  respectively. Thus we may assume that  $X = Y \times V$  with Y Stein and V a polydisc in  $\mathbb{C}^n$  containing the origin  $0, x = (y, 0) \in Y \times \mathbb{C}^n$  and  $f: X \to Y$  is the natural projection.

SUBLEMMA.  $\mathcal{F}$  is locally free at some point of  $X_y$ .

*Proof.* For any  $a \in X$  we set  $d(a) = \dim_{c} \mathscr{F} \otimes_{\mathfrak{o}_{X}} \mathscr{O}_{X}/\mathfrak{m}_{a} \mathscr{O}_{X}$  where  $\mathfrak{m}_{a}$  is the maximal ideal of  $\mathcal{O}_x$  at a. Then d(a) is upper semicontinuous with respect to the Zariski topology. In particular, if we set  $d_0 = \min \{ d(a); a \}$  $\in X$ }, then the set  $U_0 = \{a \in A; d(a) = d_0\}$  is Zariski open in X and  $\mathscr{F}$  is locally free on  $U_0$ . We may assume that  $x \in \overline{U}_0$ , the closure of  $U_0$ . Similarly if we put  $d_{y0} = \min \{ d(a); a \in X_y \}$ , then  $U_{y0} = \{ a \in X_y; d(a) = d_{y0} \}$  is dense and Zariski open in  $X_y \cong V$ . We show that  $d_0 = d_{y0}$ . Take  $h \in$ S(Y, y) in such a way that  $p_h^{-1}(U_0) \neq \emptyset$ . By our assumption  $\mathscr{F}_h$  is  $f_h$ -flat at  $x_h$  and hence  $f_h$ -flat in some neighborhood W of  $x_h$ . Since D is smooth of dimension 1, this is equivalent to saying that  $\mathscr{H}^{0}_{\mathcal{X}_{h,0}}(\mathscr{F}_{h}) = 0$  on W. On the other hand, the latter implies that dim  $S_n(\mathcal{F}_n) < n$  (a special case of a theorem of Trautmann [3, p. 66]) where  $S_n(\mathscr{F}) = \{u \in X; \operatorname{codh}_u \mathscr{F} \leq n\},\$ codh denoting the cohomological dimension. Hence for the general point  $w \in X_{h,0}$ ,  $\operatorname{codh}_w \mathscr{F}_h = n+1$ , i.e.,  $\mathscr{F}_h$  is locally free at w. Thus if  $U_h$  is the maximal dense Zariski open subset of  $X_h$  on which  $\mathcal{F}_h$  is locally free, then  $U_h \cap X_y \neq \emptyset$ . Hence if r is the rank of  $\mathscr{F}_h$  on  $U_h$ , then taking any  $a' \in U_{\scriptscriptstyle h} \,\cap\, p_{\scriptscriptstyle h}^{\scriptscriptstyle -1}(U_{\scriptscriptstyle y0}) \subseteq X_{\scriptscriptstyle h,0} \;\; ext{and} \;\; w' \in U_{\scriptscriptstyle h} \,\cap\, p_{\scriptscriptstyle h}^{\scriptscriptstyle -1}(U_{\scriptscriptstyle 0}) \;\; ext{we have} \;\; d_{\scriptscriptstyle y0} = d(p_{\scriptscriptstyle h}(a')) =$  $d_h(a') = r = d_h(w') = d_0$ , where  $d_h$  is defined for  $\mathscr{F}_h$  in the same way as d(a). Hence  $U_{y_0} \subseteq U_0$  and  $\mathscr{F}$  is locally free at each point of  $U_{y_0} \subseteq X_y$ . Q.E.D.

By Sublemma there exists a  $v \in V$  such that  $\mathscr{F}$  is free of rank, say r, as an  $\mathcal{O}_x$ -module at x' = (y, v). We take  $e_1, \dots, e_r \in \Gamma(X, \mathscr{F})$  which give free generators of  $\mathscr{F}$  at x'. This is possible since X is Stein. Let  $\alpha : \mathcal{O}_X^{\oplus r} \to \mathscr{F}$  be the map defined by  $e_i$ , and  $\mathscr{K}$  (resp.  $\mathscr{P}$ ) the kernel (resp. cokernel) of  $\alpha$ . Since  $\alpha$  is isomorphic in a neighborhood of x',  $\mathscr{K} = \mathscr{P} = 0$  at x'. In particular they are torsion  $\mathcal{O}_x$ -modules. Hence as a subsheaf of a free

sheaf on the reduced space  $X, \mathscr{K}$  must vanish identically on X. Thus we get an exact sequence

$$(*) \qquad \qquad 0 \longrightarrow \mathcal{O}_{\mathcal{X}}^{\oplus r} \stackrel{\alpha}{\longrightarrow} \mathscr{F} \longrightarrow \mathscr{P} \longrightarrow 0$$

on X. Now we show that 2) is satisfied also for  $\mathscr{P}$ . For any  $h \in S(Y, y)$ , pulling back (\*) to  $X_h$  we obtain the following exact sequence on X

$$0 \longrightarrow \mathcal{O}_X^{\oplus r} \xrightarrow{\alpha_h} \mathscr{F}_h \longrightarrow \mathscr{P}_h \longrightarrow 0$$

In fact, by the same reasoning as above, firstly  $\alpha_h$  is isomorphic at  $x'_h = (x', 0) \in X_h$  and then injective on the whole  $X_h$  since  $X_h \cong V \times D$  is reduced. Thus to show the flatness of  $\mathscr{P}_h$  it is enough to show that for every integer  $k \ge 1$  the natural map  $\alpha_h^{(k)} : \mathscr{O}_{X_h}/n^k \mathscr{O}_{X_h} \to \mathscr{F}_h/n^k \mathscr{F}_h$  induced by  $\alpha_h$  is injective, where n is the maximal ideal of  $\mathscr{O}_{D,0}$ . In fact, by the flatness of  $\mathscr{F}_h$  this implies that  $\operatorname{Tor}_1^R(\mathscr{P}, R/a) = 0$  for all ideals a of  $R = \mathscr{O}_{D,0}$ . Since  $\alpha_h$  is isomorphic at  $x'_h$ , so are  $\alpha_h^{(k)}$  for all k > 0. Thus if  $\mathscr{H}_k = \operatorname{Ker} \alpha_h^{(k)}$ ,  $\mathscr{H}_k = 0$  at  $X'_h$ . Thus the support of  $\mathscr{H}_k$  is a proper analytic subset of  $X_{h,0}$ . Since  $\mathscr{H}_k \subseteq \mathscr{O}_{X_h}/n^k \mathscr{O}_{X_h}$ , it follows that  $\mathscr{H}_k = 0$ . Hence  $\mathscr{P}_h$  is  $f_h$ -flat, and 2) is verified for  $\mathscr{P}$ .

Now we finish the proof as follows. Recall that  $\mathscr{P}_{x'} = 0$  so that  $\dim_x (X_y \cap S(\mathscr{P})) < n$ . If n = 0, then  $\mathscr{P} = 0$  at x so that  $\mathscr{F}$  is free at x. So suppose that n > 0. Then by induction and 2) for  $\mathscr{P}, \mathscr{P}$  is f-flat at x. Then the flatness of  $\mathscr{F}$  follows from (\*). Q.E.D.

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