

## LETTER TO THE EDITOR

GENERAL NÉRON DESINGULARIZATION AND APPROXIMATION

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This letter concerns our papers [4], [5] and its aim is to give a simplification to the proof of the General Néron Desingularization (see [5] (2.4) or here below) together with a small reparation; as T. Ogoma pointed out in [3], our Lemma (9.5) from [4] does not hold in the condition  $\text{iii}_2$ ) (this is true because the “changing” from line 5 from down the page 123 [4] may not preserve  $\text{iii}_2$ )). However our results were not affected in characteristic zero (they use just  $\text{iii}_1$ ) from [4] (9.5)). In [3] Ogoma gives a nice simplification of our proof. Though completely based on our papers his simplification contains two new ideas:

1) a procedure to pass from a system of elements which is regular in a localization to a “good enough” system (see [3] (4.3), (4.5) or here Lemma 6 and Corollary 7).

2) the so called “residual smoothing”.

First idea is very important and should be part of all possible simplifications. The second idea is a difficult notion which hides a lot of details. Our simplification does not use such hard notions or hard results from characteristic  $p > 0$  as the Nica-Popescu Theorem [2] (1.1) but certainly it is inspired by [3], [4] and [5]. Moreover we believe that our simplification preserves better the flavour of the old Néron desingularization (compare our Step 4 and [4] Section 6).

Let  $u: A \rightarrow A'$  be a morphism of Noetherian rings,  $B$  a finite type  $A$ -algebra and  $f: B \rightarrow A'$  an  $A$ -morphism. A *desingularization of  $(B, f)$  with respect to  $u$*  is a standard smooth  $A$ -algebra  $B'$  together with two  $A$ -morphisms  $g: B \rightarrow B'$ ,  $h: B' \rightarrow A'$  such that  $f = hg$ .

*General Néron desingularization* ([5] (2.4)). If  $u$  is regular then  $(B, f)$  has a desingularization with respect to  $u$ .

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The proof follows by Noetherian induction on  $\sqrt{f(H_{B/A})A'}$  from the following Theorem (see e.g. [4] (5.2)), where  $H_{B/A}$  is the ideal defining the nonsmooth locus of  $B$  over  $A$ .

**THEOREM 1.** *Suppose that  $A_{u^{-1}q} \rightarrow A'_q$  is formally smooth for a minimal prime over-ideal  $q$  of  $\alpha := \sqrt{f(H_{B/A})A'}$  such that  $u^{-1}q$  is a minimal prime over-ideal of  $u^{-1}\alpha$ . Then there exist a finite type  $A$ -algebra  $B'$  and two  $A$ -morphisms  $g: B \rightarrow B'$ ,  $h: B' \rightarrow A'$  such that  $hg = f$  and*

$$f(H_{B/A})A' \subset \sqrt{h(H_{B'/A})A'} \not\subset q.$$

*Remark.* i) The condition “ $u^{-1}q$  is a minimal prime over-ideal of  $u^{-1}\alpha$ ” does not appear in [4], [5]. There we have another more complicated condition concerning the flatness of  $u$ . However for our Noetherian induction (see above) does not matter the order in which we choose for desingularization the minimal prime over-ideals of  $\alpha$  (if somebody insist to prove Theorem 1 without the above condition then Step 1 will be much more complicated; an idea is given at the end of Step 4 namely to pass from  $d$  to  $\delta d$  where  $\delta \notin q$  belongs to all minimal prime over-ideals  $\neq q$  of  $\alpha$ ).

ii) The Question [4] (1.3) seems to be older than we expect it (see e.g. [6]).

The proof of Theorem is based on [4] (9.1), (9.2) and some preliminaries which we present below.

**LEMMA 2.** *Let  $q \subset A'$ , be a prime ideal and  $j: A' \rightarrow A'_q$  the canonical map. If  $(B, f)$  has a desingularization with respect to  $ju$  then there exist a finite type  $B$ -algebra  $B'$  and a  $B$ -morphism  $h: B' \rightarrow A'$  such that  $h(H_{B'/A}) \not\subset q$ .*

The Lemma is quite elementary. Given a desingularization  $(C, \alpha, \beta)$  of  $(B, f)$  with respect to  $ju$  let us say  $C \cong B[X]/(F)$ ,  $\beta: C \rightarrow A'_q$ ,  $X \rightarrow y/t$ ,  $y, t \in A'$  then we may take  $B' := B[Y, T]/(G)$ ,  $h: B' \rightarrow A'$ ,  $(Y, T) \rightarrow (y, t)$ , where  $G = T^s F(Y/T)$  for a certain high enough positive integer  $s$ .

**LEMMA 3.** *Let  $q \subset A'$  be a minimal prime ideal and  $j: A' \rightarrow A'_q$  the canonical map. If  $(B, f)$  has a desingularization with respect to  $ju$  then there exists a finite type  $B$ -algebra  $B'$  and a  $B$ -morphism  $h: B' \rightarrow A'$  such that*

$$f(H_{B/A}) \subset \sqrt{h(H_{B'/A})A'} \not\subset q.$$

*Proof.* By Lemma 2 there exist a finite type  $B$ -algebra  $C \cong B[X]/(F)$ ,  $X = (X_1, \dots, X_r)$ ,  $F = (F_1, \dots, F_m)$  and a  $B$ -morphism  $\alpha: C \rightarrow A'$  such that  $\alpha(H_{C/A}) \not\subset q$ . We may suppose that  $q \supset \alpha := \sqrt{f(H_{B/A})A'}$ , otherwise  $B' := B$ ,  $h := f$  work. If  $\alpha$  is a nil ideal then  $B' := C$ ,  $h := \alpha$  work. Otherwise choose an element  $z$  in  $\bigcap_{\substack{p \supset \alpha \\ p \in \text{Min } A'}} p$  which is not in  $q$ ,  $\text{Min } A'$  being the set of minimal prime ideals of  $A'$ . Then  $z\alpha$  is a nil ideal. Let  $y = (y_1, \dots, y_n)$  be a system of elements from  $\alpha$  such that  $\alpha = \sqrt{yA'}$ . We have  $(zy_i)^s = 0$ ,  $1 \leq i \leq n$  for a certain positive integer. Changing  $z, y$  by  $z^s, y^s$  we may suppose  $zy_i = 0$ ,  $1 \leq i \leq n$ .

Let  $B' := B[X, Y, Z, T]/(F - \sum_{i=1}^n Y_i T_i, ZY)$ ,  $T_i = (T_{i1}, \dots, T_{im})$ ,  $T = (T_i)_{i1}$ ,  $ZY = (ZY_1, \dots, ZY_n)$ ,  $\dots$  and  $h: B' \rightarrow A'$  the  $B$ -morphism given by  $X \rightarrow \alpha(X)_A$ ,  $Y \rightarrow y$ ,  $Z \rightarrow z$ ,  $T \rightarrow 0$ . Note that  $B'_{Y_i} \cong B[X, Y, (T_j)_{j \neq i}, Y_i^{-1}]$  is smooth over  $B$  and so  $\alpha = \sqrt{yA'} \subset \sqrt{h(H_{B'/B})A'}$ . Thus  $\alpha \subset \sqrt{h(H_{B'/A})A'}$  (see [4] (2.2)). On the other hand  $B'_Z \cong B[X, Z^{\pm 1}, T]/(F) = C[T, Z^{\pm 1}]$  is smooth over  $C$ . Thus  $B'_{h^{-1}q}$  is smooth over  $A$  and so  $h(H_{B'/A}) \not\subset q$ .

LEMMA 4 (see e.g. [2] (3.7)). *Let  $q \subset A'$  be a prime ideal,  $r = \text{ht } q - \text{ht } u^{-1}$  and  $x = (x_1, \dots, x_r)$  a system of elements from  $q$ . Suppose that the map  $A_{u^{-1}q} \rightarrow A'_q$  induced by  $u$  is flat,  $R := A'_q/(u^{-1}q)A'_q$  is regular and  $x$  induces a regular system of parameters in  $R$ . Then the  $A$ -morphism  $v: A[X] \rightarrow A'$ ,  $X = (X_1, \dots, X_r) \rightarrow x$  induces a flat map  $v_q: A[X]_{v^{-1}q} \rightarrow A'_q$  and  $(v^{-1}q)A'_q = qA'_q$ .*

For the proof note that  $A/u^{-1}q \otimes_A v_q$  is flat (see e.g. [1] (36.B)) and so  $v_q$  is also by [1] (20.G) applied to  $A_{u^{-1}q} \rightarrow A[X]_{v^{-1}q} \rightarrow A'_q$ .

LEMMA 5. *Let  $q \subset A'$  be a prime ideal,  $k \subset K$  the residue field extension of  $u_q: A_{u^{-1}q} \rightarrow A'_q$ ,  $E/k$  a finite type field subextension of  $K/k$  and  $y = (y_1, \dots, y_s)$  a system of elements from  $A'$  inducing a  $p$ -basis  $\bar{y}$  of  $E$  over  $k$ . Suppose that  $u_q$  is formally smooth. Then the  $A$ -morphism  $w: A[Y] \rightarrow A'$ ,  $Y = (Y_1, \dots, Y_s) \rightarrow y$  induces a flat map  $w_q: A[Y]_{w^{-1}q} \rightarrow A'_q$  and the ring  $A'_q/(w^{-1}q)A'_q$  is regular of dimension  $r - \text{rank } \Gamma_{E/k}$ , where  $\Gamma_{E/k}$  is the imperfection module of  $E$  over  $k$  (see e.g. [1] (39.B)).*

*Proof.* Applying [1] (20.G) to  $A_{u^{-1}q} \rightarrow A[Y]_{w^{-1}q} \rightarrow A'_q$  we reduce to the case when  $A$  is a field. Now it is enough to apply [5] (7.1).

LEMMA 6 (Ogoma [3] (4.3)). *Let  $z, x$  be two elements in  $A$  and  $s, t$  two positive integers such that  $\text{Ann}_{A_z} x^s = \text{Ann}_{A_z} x^{s+1}$  and  $\text{Ann}_A z^t = \text{Ann}_A z^{t+1}$ .*

Then  $\text{Ann}_A(z^t x)^s = \text{Ann}_A(z^t x)^{s+1}$ .

COROLLARY 7 (Ogoma [3]). *Let  $q \subset A'$  be a prime ideal,  $x = (x_1, \dots, x_r)$  a system of elements from  $A'$  which induces a regular system of elements in  $A'_q$  and  $s$  a positive integer. Then there exists a system of elements  $z = (z_1, \dots, z_r)$  in  $A' \setminus q$  such that*

$$((z_1^s x_1^s, \dots, z_{i-1}^s x_{i-1}^s): z_i x_i) = ((z_1^s x_1^s, \dots, z_{i-1}^s x_{i-1}^s): z_i^2 x_i^2)$$

for all  $1 \leq i \leq r$ , where  $x_0 := 0$ .

*Proof.* Applying induction on  $r$  we reduce to the case  $r = 1$ . Then  $x = x_1$  induces a nonzero divisor in  $A'_q$ . Since  $(\text{Ann}_{A'} x)A'_q = \text{Ann}_{A'_q} x = 0$  there exists an element  $z \in A' \setminus q$  such that  $z \text{Ann}_{A'} x = 0$  and so  $x$  induces a nonzero divisor in  $A'_z$ . We have  $\text{Ann}_{A'_z} x = \text{Ann}_{A'_z} x^2$  and by Noetherianity  $\text{Ann}_{A'} z^t = \text{Ann}_{A'} z^{t+1}$  for a certain positive integer  $t$ . Changing  $z$  by  $z^t$  we get  $\text{Ann}_{A'} z x = \text{Ann}_{A'} (z x)^2$  by Lemma 6.

LEMMA 8. *Suppose that  $u$  is a morphism of Artinian local rings such that the residue field extension  $k \subset K$  induced by  $u$  has rank  $\Gamma_{K/k} < \infty$ . Then  $A'$  is a filtered inductive union of its local sub- $A$ -algebras  $C \subset A'$  essentially of finite type such that the inclusion  $C \hookrightarrow A'$  is faithfully flat.*

The proof is given at the end.

Let  $b = (b_1, \dots, b_\mu)$  be a system of generators of  $H_{B/A}$ ,  $d \in A$  an element and  $s$  a positive integer. The  $B$ -algebra  $B_1 := B[Z]/(d^s - \sum_{i=1}^\mu b_i Z_i)$ ,  $Z = (Z_1, \dots, Z_\mu)$  is called the *containerizer of  $B$  over  $A$  with respect to  $d, b, s$* . Given a system of elements  $d_1, \dots, d_r$  in  $A$  we may speak by recurrence of the *containerizer of  $B$  over  $A$  with respect to  $d_1, \dots, d_r, b, s$* .

LEMMA 9 ([4] (2.4)). *Then the following conditions hold:*

- i)  $d \in H_{B_1/A}$ ,
- ii)  $H_{B/A} \subset H_{B_1/B}$  (in particular  $H_{B/A} \subset H_{B_1/A}$ ).
- iii) if  $u(d)^s \in f(H_{B/A})A'$  then  $f$  extends to an  $A$ -morphism  $f_1: B_1 \rightarrow A'$  by  $Z \rightarrow z$ , where  $z$  is chosen by  $u(d)^s = \sum_{i=1}^\mu f(b_i)z_i$ .

Let  $B \cong A[Y]/(F)$  be a presentation of  $B$  over  $A$  and  $S$  the symmetric  $A$ -algebra associated to  $(F)/(F)^2$ . We call  $S$  the *standardizer of  $B$  over  $A$*  (this notion and the "containerizer" appeared in [4] but Ogoma gave them names [3]). An element  $x \in H_{B/A}$  is a *standard element* for the above presentation of  $B$  over  $A$  if there exists a system of polynomials  $G = (G_i,$

$\dots, G_s)$  in the ideal  $(F)$  such that  $x \in \sqrt{\Delta_G((G): (F))}$ , where  $\Delta_G$  is generated by all  $s \times s$ -minors of  $(\partial G / \partial Y)$ .

LEMMA 10 ([4] (3.4)). *The following conditions hold:*

- i)  $H_{B/A} \subset H_{S/B}$  (in particular  $H_{B/A} \subset H_{S/A}$ ),
- ii) *there exists a presentation of  $S$  over  $A$  for which all elements from  $H_{B/A}$  are standard,*
- iii)  *$f$  extends to an  $A$ -morphism  $\alpha: S \rightarrow A'$  in a trivial way (by construction  $S = B[Z']/(F')$  where  $F'$  is a homogeneous linear system of polynomials, then  $\alpha$  is given by  $Z' \rightarrow 0$ ).*

*Proof of Theorem 1.* We divide the proof in four steps.

*Step 1. Reduction to the case when  $\text{ht}(u^{-1}q) = 0$ .*

Let  $d_1, \dots, d_t$  be a system of elements from  $u^{-1}\alpha$  which forms a system of parameters in  $A_{u^{-1}q}$ ,  $t = \text{ht}(u^{-1}q)$  (by hypothesis  $(u^{-1}\alpha)A'_{u^{-1}q} = (u^{-1}q)A_{u^{-1}q}$ ). Apply induction on  $t$ . The case  $t = 0$  remains for the next steps. If  $t > 0$  then by Lemmas 9, 10 there exist a finite type  $B$ -algebra  $\hat{B}$  and an  $A$ -morphism  $\beta: \hat{B} \rightarrow A'$  extending  $f$  such that

- 1)  $d_t$  is a standard element for  $\hat{B}$  over  $A$ ,
- 2)  $H_{B/A} \subset H_{\hat{B}/A}$ .

Changing  $(B, f)$  by  $(\hat{B}, \beta)$  we may suppose that  $d_t$  is a standard element for  $B$  over  $A$ . Let  $n$  be the positive integer associated to  $d_t$  by [4] (9.2). Then it is enough to show our Lemma for  $\tilde{A} := A/(d_t^n)$ ,  $\tilde{A} \otimes_A A'$ ,  $\tilde{A} \otimes_A B, \dots$ . But this follows by induction hypothesis.

*Step 2. Case when  $\text{ht } q = 0$ .*

Then  $A_{u^{-1}q} \rightarrow A'_q$  is a regular morphism of Artinian local rings. Thus  $A'_q$  is a filtered inductive limit of standard smooth  $A_{u^{-1}q}$  algebras by [4] (3.3) and it is enough to apply Lemma 3.

Let  $k \subset K$  be the residue field extension induced by  $A_{u^{-1}q} \rightarrow A'_q$ .

*Step 3. Case when  $k \subset K$  is separable.*

The ring  $R := A'_q/(u^{-1}q)A'_q$  is regular by formal smoothness and as  $\alpha A'_q = qA'_q$  we may choose in  $\alpha$  a system of elements  $x = (x_1, \dots, x_r)$ ,  $r := \dim R$  which induces in  $R$  a regular system of parameters. By Lemma 4 the  $A$ -morphism  $v: A[X] \rightarrow A'$ ,  $X = (X_1, \dots, X_r) \rightarrow x$  induces a flat map  $v_q: A[X]_{v^{-1}q} \rightarrow A'_q$  and  $(v^{-1}q)A[X]_{v^{-1}q} \supset (v^{-1}\alpha)A[X]_{v^{-1}q} \supset (u^{-1}\alpha, X)A[X]_{v^{-1}q} = (u^{-1}q, X)A[X]_{v^{-1}q} = (v^{-1}q)A[X]_{v^{-1}q}$  since  $(u^{-1}\alpha)A_{u^{-1}q} = (u^{-1}q)A_{u^{-1}q}$ . Thus  $v^{-1}q$  is a minimal prime over-ideal of  $v^{-1}\alpha$  and  $v_q$  is formally smooth

because  $k \otimes_{A_{u^{-1}q}} v_q$  is exactly the separable (i.e. formally smooth) extension  $k \subset K$  (see [1] 43).

Clearly it is enough to show our Lemma for  $v: A[X] \rightarrow A'$ ,  $B[X]$ ,  $f': B[X] \rightarrow A'$  being given by  $f$  and  $v$  because  $A[X]$  is smooth over  $A$  and  $H_{B[X]/A[X]} \supset H_{B/A}$ . By Step 1 it is enough to treat the case when  $u^{-1}q$  (and so  $q$ ) is minimal. But this was done in Step 2.

*Step 4. General case*

Using Step 1 we suppose additionally that  $u^{-1}q$  is minimal in  $A$  and so  $(u^{-1}q)^\lambda A_{u^{-1}q} = 0$  for a certain positive integer  $\lambda$ . Choose a positive integer  $\tau$  such that  $q^\tau A'_q \subset f(H_{B/A})A'_q$  and let  $b = (b_1, \dots, b_\mu)$  be a system of generators of  $H_{B/A}$ . Consider the containerizer  $B_1$  of  $B[X]$ ,  $X = (X_1, \dots, X_r)$  over  $A[X]$  with respect to  $X_1, \dots, X_r, b, \tau$  and let  $B_2$  be the standardizer of  $B_1$  over  $A[X]$ . Then there exists a positive integer  $c'$  such that for every  $i$ ,  $X_i^{c'} \in \mathcal{A}_{F_i}((F_i): I_i)$  for some representation  $B_2 = A[X, U]/I_i$  and some system  $F_i$  from  $I_i$ . Applying Lemma 8 to  $A_{u^{-1}q} \rightarrow \tilde{A}' := A'_q/q^n A'_q$ ,  $n := \sup\{\tau, \lambda + rc\}$ ,  $c := 10c'$  we find an essentially of finite type, local sub- $A$ -algebra  $\tilde{D}$  of  $\tilde{A}'$  containing the image of the composite map  $B \xrightarrow{f} A' \rightarrow \tilde{A}'$  and such that  $\tilde{D} \hookrightarrow \tilde{A}'$  is flat.

Let  $k \subset L$  be the residue field extension given by  $A_{u^{-1}q} \rightarrow \tilde{D}$  and  $y = (y_1, \dots, y_s)$  a system of elements from  $A'$  which induces a  $p$ -basis  $\bar{y}$  of  $L$  over  $k$ . Clearly we may suppose that  $y$  belongs modulo  $q^n A'_q$  to  $\tilde{D}$ . By Lemma 5 the  $A$ -morphism  $\tilde{w}: A[Y] \rightarrow A'$ ,  $Y = (Y_1, \dots, Y_s) \rightarrow y$  induces a flat map  $A[Y] \rightarrow A'_q$  such that  $R' := A'_q/(\tilde{w}^{-1}q)A'_q$  is a regular local ring of dimension  $t := r - \text{rank } \Gamma_{L/k}$ . Choose a system of elements  $y' = (y'_1, \dots, y'_t)$  in  $q$  which induces a regular system of parameters in  $R'$ . By Lemma 4 the  $A[Y]$ -morphism  $w: A[Y, Y'] \rightarrow A'$ ,  $Y' = (Y'_1, \dots, Y'_t) \rightarrow y'$  induces a flat map  $w_q: C_{w^{-1}q} \rightarrow A'_q$ ,  $C := A[Y, Y']$  such that  $(w^{-1}q)A'_q = qA'_q$ . We may choose  $y'$  such that it belongs modulo  $q^n A'_q$  to  $\tilde{D}$ . Then  $w_q$  induces a map  $\eta: \tilde{C} \rightarrow \tilde{D}$ , where  $\tilde{C} := C/(w^{-1}q)^n C$ .

Since  $\tilde{C} \otimes_{w_q} \tilde{D} \hookrightarrow A'$  and faithfully flat we get  $\eta$  flat and  $\tilde{D}/(w^{-1}q)\tilde{D} \cong L$ . The field extension  $k(\bar{y}) \subset L$  is finite separable because  $k \subset L$  is of finite type and  $\bar{y}$  is a  $p$ -basis in  $L/k$ . Thus  $\eta$  is formally smooth by [1] 43 and so smooth because it is essentially of finite type.

Let  $d = (d_1, \dots, d_r)$  be a system of elements from  $C$  inducing a system of parameters in  $C_{w^{-1}q}$ . But  $C_{w^{-1}q}$  is a Cohen Macaulay ring because it is a smooth algebra over an Artinian ring,  $A_{u^{-1}q}$ . Then  $d$  is regular in

$C_{w^{-1}q}$  and changing  $d_i$ ,  $1 \leq i \leq r$  by some of their multiples we may suppose by Corollary 7 that

$$((d_1^c, \dots, d_{i-1}^c): d_i) = ((d_1^c, \dots, d_{i-1}^c): d_i^2)$$

for all  $1 \leq i \leq r$ , where  $d_0 := 0$ .

The linear equation

$$(*) \quad w(d_i^c) = \sum_{j=1}^{\mu} f(b_j) Z_{ji}$$

has sure a solution  $z_i = (z_{1i}, \dots, z_{\mu i})$  in  $A'_q$  because  $q^r A'_q \subset f(H_{B/A}) A'_q$  and we claim that we may choose  $z_i$  such that it belongs modulo  $q^n$  to  $\tilde{D}$ . Indeed,  $(*)$  has a solution  $\tilde{z}_i$  in  $\tilde{D}$  because  $\tilde{D} \hookrightarrow \tilde{A}'$  is faithfully flat, let us say  $\tilde{z}_i$  is induced by a system of elements  $\hat{z}_i$  from  $A'_q$ . Changing  $Z_{ji}$  by  $\hat{Z}_{ji} + \hat{z}_{ji}$  it remains to show that

$$\rho_i := w(d_i^c) - \sum_j f(b_j) \hat{z}_{ji} = \sum_j f(b_j) \hat{Z}_{ji}$$

has a solution in  $A'_q$ . But this is trivial because  $\rho_i \in q^n A'_q \subset q^r A'_q \subset f(H_{B/A}) A'_q$ .

Let  $\delta = (\delta_1, \dots, \delta_r)$  be a system of elements in  $A' \setminus q$  such that  $\delta_i z_i \in A'^{\mu}$  for all  $i$ ,  $1 \leq i \leq r$ . By flatness  $w(d)$  induces a regular system in  $A'_q$  and so changing  $\delta_i$ ,  $1 \leq i \leq r$  by some of their multiples we may suppose by Corollary 7 that

$$((\delta_1^c w(d_1^c), \dots, \delta_{i-1}^c w(d_{i-1}^c)): \delta_i w(d_i)) = ((\delta_1^c w(d_1^c), \dots, \delta_{i-1}^c w(d_{i-1}^c)): \delta_i^2 w(d_i^2))$$

for all  $1 \leq i \leq r$ .

Consider the  $A$ -morphism  $\varepsilon: A[X] \rightarrow C[T]$ ,  $T = (T_1, \dots, T_r)$  given by  $X_i \rightarrow d'_i := T_i d_i$  and the  $C$ -morphism  $w': C[T] \rightarrow A'$ ,  $T \rightarrow \delta$ . The correspondence  $Z_i \rightarrow \delta_i z_i$ ,  $1 \leq i \leq r$  defines a  $C[T]$ -morphism  $C[T] \otimes_{A[X]} B_1 \rightarrow A'$  (see Lemma 9) which extends trivially ( $Z' \rightarrow 0$ , see Lemma 10) to a  $C[T]$ -morphism  $\beta_2: B'_2 \rightarrow A'$ , where  $B'_2 := C[T] \otimes_{A[X]} B_2$ . Note that  $\tilde{C} \otimes_{C[T]} \beta_2$  factorizes through  $\tilde{D}[T]$  because  $z$  belongs modulo  $q^n A'_q$  to  $\tilde{D}$ .

Since  $\tilde{D}[T]$  is smooth over  $\tilde{C}[T]$  our Theorem holds for  $\tilde{B}_2 := \tilde{C} \otimes_{C[T]} B'_2$ ,  $\tilde{C} \otimes \beta_2$  with respect to  $\tilde{C} \otimes w'$  (see Lemma 2). Note that

$$q^n A'_q = (u^{-1}q, d')^n A'_q \subset (d')^e A'_q \subset (d_1^c, \dots, d_r^c) A'_q$$

and so applying Lemma 3 and by recurrence [4] (9.1) for  $d'_i$  and  $e = 2$  we get a finite type  $B'_2$ -algebra  $B'$  and a  $B'_2$ -morphism  $h: B' \rightarrow A'$  such that

$$h(H_{B/A}B_2') \subset h(H_{B_2'/C[T]}) \subset \sqrt{h(\overline{H_{B_2'/C[T]}})A_q'} \not\subset q.$$

As  $C[T]$  is smooth over  $A$  we are ready.

*Proof of Lemma 8.* Given a field  $L$  consider the Cohen ring  $R_L$  of residue field  $L$ , i.e.  $L$  if  $p := \text{char } L = 0$  or a complete DVR of residue field  $L$  which is an unramified extension of  $\mathbb{Z}_{(p)}$ . By Cohen Structure Theorem we have  $A \cong R_k[X]/\mathfrak{a}$ ,  $A' \cong R_K[Y]/\mathfrak{a}$ ,  $X = (X_1, \dots, X_r)$ ,  $Y = (Y_1, \dots, Y_s)$ . Let  $\mathcal{L}$  be the set of all subfields  $L$ ,  $k \subset L \subset K$  such that  $k \subset L$  is of finite type and  $a'$  is defined over  $R_L$ , i.e.  $a'$  is an extension of  $a'_L := a' \cap R_L[Y]$  to  $R_K[Y]$ . Then  $A'$  is a filtered inductive union of  $D_L := R_L[Y]/a'_L$ ,  $L \in \mathcal{L}$  and by base change  $D_L \hookrightarrow D_K = A'$  is flat. Since  $A$  is a finite type  $R_k$ -algebra it is enough to show that there exists  $L \in \mathcal{L}$  such that  $D_L$  contains  $u(\overline{R}_k)$ , where  $\overline{R}_k := R_k/(p')$  and  $(p') = \mathfrak{a} \cap R_k$ .

If  $k \subset K$  is separable then we may suppose that  $u$  extends the map  $\overline{R}_k \rightarrow \overline{R}_K$  and our wish is trivially fulfilled. Otherwise, by [2] (2.14) there exist two subfields  $E \subset F \subset k$  such that

- 1)  $E \subset F$  is of finite type,
- 2)  $E \subset K$  is separable,
- 3)  $F \subset k$  is etale.

As above we may suppose that  $u|_{\overline{R}_E}$  extends the map  $\overline{R}_E \rightarrow \overline{R}_K$  because of 2) and so every  $D_L$ ,  $L \in \mathcal{L}$  contains  $u(\overline{R}_E)$ . Since  $\overline{R}_F$  is essentially of finite type over  $\overline{R}_E$  we can choose  $L' \in \mathcal{L}$  such that  $D_{L'}$  contains  $u(\overline{R}_F)$ .

We claim that  $u(\overline{R}_k) \subset D_{L'}$ . Indeed as  $\overline{R}_F \hookrightarrow \overline{R}_k$  is smooth there exists a ring morphism  $v: \overline{R}_k \rightarrow D_{L'}$ , extending  $u|_{\overline{R}_F}$  which lifts the composite map  $\overline{R}_k \rightarrow k \hookrightarrow L'$ . Then  $u|_{\overline{R}_k}$  and the composite map  $v', \overline{R}_k \xrightarrow{v} D_{L'} \hookrightarrow A'$  lift both the map  $\overline{R}_k \rightarrow k \rightarrow K$ . Thus  $u = v'$  (in particular  $D_{L'} \supset u(\overline{R}_k)$ ) because  $\overline{R}_F \subset \overline{R}_k$  is also etale.

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