

# Positive linear maps of Banach algebras with an involution

By

Seiji WATANABE

(Received Nov. 30, 1970)

## 1. Introduction

A linear map  $T: A \rightarrow B$  is called a *positive linear map* if  $T(A^+) \subset B^+$ , where  $A$  and  $B$  are complex Banach  $*$ -algebras, and,  $A^+$  and  $B^+$  are the sets of all finite sums of the form  $x^*x$  ( $x \in A$  or  $x \in B$ .) In [7], we investigated some properties of positive linear maps of Banach  $*$ -algebras. In this paper, we shall also consider some properties of positive linear maps of complex  $*$ -Banach algebras with an identity (namely, Banach  $*$ -algebras with an isometric involution and an identity of norm one)

Let  $A$  be a complex  $*$ -Banach algebra with an identity  $e_A$ . By  $\|x\|$ , we denote the norm of  $x \in A$ . Moreover, we denote the well known pseud-norms on  $A$  as follows:

$$\|x\|_{1,A} = \sup \{ |f(x)| ; f \text{ is positive linear functional on } A \text{ such that } f(e_A) \leq 1 \},$$
$$\|x\|_{2,A} = \sup \{ (f(x^*x))^{1/2} ; f \text{ is positive linear functional on } A \text{ such that } f(e_A) \leq 1 \}.$$

Then we have  $\|x\|_{1,A} \leq \|x\|_{2,A} \leq \|x\|$ . If  $A$  is a  $C^*$ -algebra, we have  $\|x\|_{1,A} = \|x\|_{2,A} = \|x\|$  for every hermitian element  $x$  of  $A$ . Moreover  $\{x \in A; \|x\|_{1,A} = 0\}$  and  $\{x \in A; \|x\|_{2,A} = 0\}$  coincide with the  $*$ -radical  $R^{(*)}_A$  of  $A$ . We recall that, if  $A$  has an identity, any positive linear map is self-adjoint (namely,  $T(x^*) = (T(x))^*$ ). The notations given in [7] will be quoted without notice.

## 2. Operator norm of positive linear map

In [7], we discussed the continuity of positive linear maps of Banach  $*$ -algebras. In this section, we consider the operator norm of positive linear map of  $*$ -Banach algebras with an identity.

We need the following definition.

**DEFINITION 2.1.** *Let  $A$  and  $B$  be a  $*$ -Banach algebra and a  $C^*$ -algebra respectively, and  $T$  be a positive linear map of  $A$  into  $B$ . Then  $T$  is said to satisfy the stronger form of generalized Schwarz inequality provided  $T(x^*)T(x) \leq \|T\|T(x^*x)$  for every  $x \in A$ .*

If  $T(x)$  is of the form  $V^*\rho(x)V$  for every  $x \in A$ , where  $\rho$  is a  $*$ -representation of  $A$  on a complex Hilbert space  $K$ , and  $H$  is a complex Hilbert space on which  $B$  acts, and  $V$  is a

bounded linear operator of  $H$  into  $K$ , then  $T$  satisfies the stronger form of generalized Schwarz inequality. Indeed, let  $e_A$  be the identity element of  $A$ , then  $\|Te_A\| \leq \|T\|$ ,  $\|(\rho(e_A)V)^*(\rho(e_A)V)\| \leq \|T\|$ . Then, we have  $\|(\rho(e_A)V)(\rho(e_A)V)^*\| \leq \|T\|$ . Thus, we have  $(\rho(e_A)V)(\rho(e_A)V)^* \leq \|T\| \cdot I$ , where  $I$  is the identity operator on  $K$ . Then, we have

$$\begin{aligned} T(x^*)T(x) &= V^*\rho(x)^*VV^*\rho(x)V \\ &= V^*\rho(x)^*(\rho(e_A)V)(\rho(e_A)V)^*\rho(x)V \\ &\leq V^*\rho(x)^*\|T\| \cdot I\rho(x)V \\ &= \|T\|V^*\rho(x^*x)V = \|T\|T(x^*x). \end{aligned}$$

**PROPOSITION 2.2.** *Let  $A$  and  $B$  be complex  $*$ -Banach algebras with an identity  $e_A$  and  $e_B$  respectively, and  $T$  be a positive linear map of  $A$  into  $B$ . If  $B$  is  $*$ -semi-simple, then the operator bound of  $T$  with respect to the norm  $\|\cdot\|_{1,B}$  coincides with the norm  $\|T(e_A)\|_{1,B}$ . In particular, if  $B$  is a  $C^*$ -algebra and  $T$  satisfies the stronger form of generalized Schwarz inequality, then the operator norm  $\|T\|$  of  $T$  coincides with  $\|T(e_A)\|$ .*

**PROOF.** It is clear that we have, for every  $x \in A$ ,

$$\|Tx\|_{1,B} \leq \|Te_A\|_{1,B}\|x\|.$$

Since  $\|e_A\|=1$ , the first part of proposition follows.

Next, suppose  $B$  is a  $C^*$ -algebra, and  $T$  satisfies the stronger form of generalized Schwarz inequality. Since  $T(H_A) \subset H_B$  ( $H_A$  and  $H_B$  mean the sets of all hermitian elements of  $A$  and  $B$  respectively), it follows, for every  $x \in H_A$ ,

$$\|Tx\| = \|Tx\|_{1,B} \leq \|Te_A\|_{1,B}\|x\| = \|Te_A\|\|x\|.$$

Then, for every  $x \in A$ , we have

$$\|Tx\|^2 = \|(Tx)^*(Tx)\| \leq \|T\|\|Tx^*x\| \leq \|T\|\|Te_A\|\|x\|^2.$$

Thus we have  $\|T\| \leq \|Te_A\|$  which implies that  $\|T\| = \|Te_A\|$  and completes the proof.

If  $A$  and  $B$  be  $C^*$ -algebras, any positive linear map  $T$  satisfy the stronger form of Generalized Schwarz inequality for unitary operators. Hence we have  $\|T\| = \|Te_A\|$ . (see. [4], [5])

### 3. Extreme positive linear maps

In this section, we investigate the extreme points of a certain convex set consisting of positive linear maps. We define  $P_0(A, B)$  as follows:

$$P_0(A, B) = \{T: A \rightarrow B: \text{positive linear map such that } \|T\|_0 \leq 1\},$$

where  $\|T\|_0$  is the operator bound with respect to the pseud-norm  $\|\cdot\|_{2,A}$ . We shall show that if  $B$  is symmetric and semi-simple, any multiplicative positive linear map in  $P_0(A, B)$

is the extreme point of  $P_0(A, B)$ . A useful tool in the proof is the generalized Schwarz inequality due to R. V. Kadison.

We need the following lemmas.

LEMMA 3. 1. *Let  $A$  and  $B$  be complex  $*$ -Banach algebras with an identity  $e_A$  and  $e_B$  respectively and  $T$  be a positive linear map of  $A$  into  $B$ . Then we have  $T(R^{(*)}A) \subset R^{(*)}B$ .*

PROOF. For every  $x \in A$ , we have

$$\begin{aligned} & \|T(x)\|_{1, B} \\ &= \sup \{ |f(T(x))|; f \text{ is positive linear functional on } B \text{ such that } f(e_B) \leq 1 \} \\ &\leq \|T(e_A)\|_{1, B} \cdot \sup \{ |g(x)|; g \text{ is positive linear functional on } A \text{ such that } g(e_A) \leq 1 \} \\ &= \|T(e_A)\|_{1, B} \|x\|_{1, A}. \end{aligned}$$

Therefore we have  $T(R^{(*)}A) \subset R^{(*)}B$ . q. e. d.

In his paper [2], Kadison has proved the following tool in study of positive linear maps.

LEMMA 3. 2. (Generalized Schwarz inequality) *Let  $A$  be a  $C^*$ -algebra, and  $T$  be a linear order-preserving map of  $A$  into the algebra of all bounded operators on some Hilbert space such that  $\|T\| \leq 1$ . Then we have  $T(a^2) \leq (T(a))^2$  for every  $a \in H_A$ .*

Now we have the following two lemmas.

LEMMA 3. 3. *Suppose that  $A$  is a  $*$ -Banach algebra and  $B$  is a  $C^*$ -algebra. Let  $T$  be a positive linear map of  $A$  into  $B$  such that  $\|T\|_0 \leq 1$ . Then  $T(a^2) - (T(a))^2$  is contained in  $B^+$  for every  $a \in H_A$ .*

PROOF. Suppose that  $A$  is  $*$ -semi-simple. Let  $\|\cdot\|_{2, A}$  be the  $C^*$ -norm of  $A$  and  $C^*(A)$  be the completed  $C^*$ -algebra of  $A$  with respect to  $\|\cdot\|_{2, A}$ , that is, the enveloping  $C^*$ -algebra of  $A$ . Since  $T$  is continuous on  $A$  with respect to the  $C^*$ -norm  $\|\cdot\|_{2, A}$ ,  $T$  may be extended to a positive linear map  $\tilde{T}$  of the  $C^*$ -algebra  $C^*(A)$  into the  $C^*$ -algebra  $B$  such that  $\|\tilde{T}\|_0 \leq 1$ . From lemma 3. 2, we have  $T(a^2) - (T(a))^2 \in B^+$  for every  $a \in H_A$ .

Next suppose that  $A$  is non  $*$ -semi-simple. Let  $R^{(*)}A$  be the  $*$ -radical of  $A$ . Then the quotient  $*$ -Banach algebra  $A/R^{(*)}A$  is  $*$ -semi-simple. Let  $\pi$  be the canonical  $*$ -homomorphism of  $A$  onto  $A/R^{(*)}A$ . Since  $C^*$ -algebra is  $*$ -semi-simple,  $T$  vanishes on  $R^{(*)}A$  from lemma 3. 1. Thus we may define a linear map  $\hat{T}$  of  $A/R^{(*)}A$  into  $B$  by  $\hat{T}(\pi(x)) = \hat{T}(x)$  for every  $x \in A$ . It is clear that  $T$  is a positive linear map of  $A/R^{(*)}A$  into  $B$  such that  $\|\hat{T}\|_0 \leq 1$ . Therefore we have  $T(a^2) - (T(a))^2 = \hat{T}(\pi(a^2)) - (\hat{T}(\pi(a)))^2 \in B^+$  which completes the proof.

LEMMA 3. 4. *Let  $A$  and  $B$  be complex  $*$ -Banach algebras and  $T$  be a positive linear map of  $A$  into  $B$  such that  $\|T\|_0 \leq 1$ . If  $B$  is symmetric,  $T(a^2) - (T(a))^2$  is contained in the norm closure of  $B^+$  for every  $a \in H_A$ .*

PROOF. Let  $\pi$  be any  $*$ -representation of  $B$  on a complex Hilbert space  $H$ . Then  $\pi \circ T$

is a positive linear map of  $A$  into  $B(H)$  (the  $C^*$ -algebra of all bounded linear operators on  $H$ ) such that  $\|\pi \circ T\|_0 \leq 1$ . From lemma 3.2, we have

$$\pi(T(a^2) - (T(a))^2) = (\pi \circ T)(a^2) - ((\pi \circ T)(a))^2 \in (B(H))^+.$$

Now let  $f$  be any positive linear functional on  $B$ . We denote the  $*$ -representation and the cyclic vector associated to  $f$  by  $\pi_f$  and  $\xi_f$  respectively. Then we have

$$f(T(a^2) - (T(a))^2) = (\pi_f(T(a^2) - (Ta)^2) \xi_f | \xi_f) \geq 0.$$

Therefore  $T(a^2) - (T(a))^2$  has a non-negative real spectrum. This implies that  $T(a^2) - (T(a))^2 \in H^+_{B=B^+}$  and so completes the proof.

**DEFINITION 3.5.** Let  $A$  and  $B$  be complex  $*$ -Banach algebras. By a  $C^*$ -homomorphism we mean a positive linear map  $T$  such that  $T(a^2) = (T(a))^2$  whenever  $a$  is an element of  $H_A$ . Of course any multiplicative element of  $P(A, B)$  is  $C^*$ -homomorphism.

We have the following

**THEOREM 3.6.** Let  $A$  and  $B$  be complex  $*$ -Banach algebras. If  $B$  is symmetric and semi-simple, all  $C^*$ -homomorphisms in  $P_0(A, B)$  are extreme points of  $P_0(A, B)$ .

Since the proof is almost the same as that of Theorem 3.4 in [7], we omit.

**REMARK.** We can replace the symmetricity and semi-simplicity on  $B$  by  $*$ -semi-simplicity. Indeed, for any irreducible  $*$ -representation  $\pi$  of  $B$  on a complex Hilbert space  $H$ ,  $\pi \circ T$  is  $C^*$ -homomorphism in  $P_0(A, B(H))$ . From lemma 3.3 and the argument used in the proof of the theorem 3.4 in [7] applying to the map  $\pi \circ T$ , the desired conclusion follows.

We call that  $P_1(A, B)$  is the set of all positive linear maps of  $A$  into  $B$  which preserve the identity.

In the following, let  $A$  and  $B$  be  $C^*$ -algebras with an identity. We denote the conjugate space of  $A$  and  $B$  by  $A^*$  and  $B^*$  respectively, and the canonical injection of a Banach space into the second conjugate space by  $J$ . We may define a certain convex set similar to  $P_1(A, B)$  in  $L(B^*, A^*)$  which is the set of all bounded linear maps of  $B^*$  into  $A^*$ . In the remainder of this section, we obtain some results on the connection between the extreme point in  $P_1(A, B)$  and the extremality of its adjoint in the certain convex set.

We define the set  $Q_1(B^*, A^*)$  of linear maps of  $B^*$  into  $A^*$  as follows:

$$Q_1(B^*, A^*) = \{S: B^* \rightarrow A^*: \text{linear, bounded with respect to the functional norm and } S(E_B) \subset E_A\}$$

where  $E_A$  and  $E_B$  are the sets of all states of  $A$  and  $B$  respectively. It is clear that  $Q_1(B^*, A^*)$  is convex and  $T \in P_1(A, B)$  if and only if  $T^* \in Q_1(B^*, A^*)$ .

**PROPOSITION 3.7.** If  $T^*$  is an extreme point in  $Q_1(B^*, A^*)$ ,  $T$  is an extreme point of  $P_1(A, B)$ .

PROOF. Suppose that there exist  $T_1, T_2 \in P_1(A, B)$  such that  $T = \frac{1}{2}(T_1 + T_2)$ . Then  $T^* = \frac{1}{2}(T_1^* + T_2^*)$  with  $T_1^*, T_2^* \in Q_1(B^*, A^*)$ . The extremality of  $T^*$  implies  $T^* = T_1^* = T_2^*$ . Therefore we have  $T = T_1 = T_2$  which completes the proof.

Next, we consider the converse statement, that is, if  $T \in P_1(A, B)$  is an extreme point, is  $T^*$  the extreme point of  $Q_1(B^*, A^*)$ ? we shall show that, for any  $C^*$ -homomorphism  $T \in P_1(A, B)$  (of course such a map is an extreme point of  $P_1(A, B)$ ),  $T^*$  is an extreme point in  $Q_1(B^*, A^*)$ .

We need the following lemma.

LEMMA 3.8. *Suppose that  $A$  is a  $C^*$ -algebra and  $B$  is a von Neumann algebra acting on a complex Hilbert space. Let  $B_*$  be the predual (the set of all ultra-weakly continuous linear functionals on  $B$ ). If  $T$  is an extreme point in  $P_1(A, B)$ , the restriction of  $T^*$  on  $B_*$  is an extreme point of  $Q_1(B_*, A^*)$ .*

PROOF. It is clear that  $T^*|_{B_*}$  (the restriction of  $T^*$  on  $B_*$ ) is contained in  $Q_1(B_*, A^*)$ . Suppose that there exist  $S_1, S_2 \in Q_1(B_*, A^*)$  such that  $T^*|_{B_*} = \frac{1}{2}(S_1 + S_2)$ . Since the conjugate Banach space of the predual  $B_*$  is  $B$ , we define two linear maps  $T_1, T_2$  of  $A$  into  $B$  in the following manner:

$$J(T_1(a)) = S_1^*(J(a)), J(T_2(a)) = S_2^*(J(a)) \text{ for every } a \in A.$$

It is clear that  $T_1, T_2 \in P_1(A, B)$ . For every  $f \in B_*$  and  $a \in A$ , we have

$$S_1(f)(a) = S_1^*(J(a))(f) = J(T_1(a))(f) = f(T_1(a)) = T_1^*(f)(a).$$

Therefore, we have  $S_1 = T_1^*|_{B_*}$ . Similarly we have  $S_2 = T_2^*|_{B_*}$ .

Now, since  $(T^*|_{B_*})^* = \frac{1}{2}(S_1^* + S_2^*)$ , we have, for every  $f \in B$  and  $a \in A$ ,

$$(T^*|_{B_*})^*(Ja)(f) = \frac{1}{2}(S_1^*(Ja)(f) + S_2^*(Ja)(f)),$$

$$T^*(f)(a) = \frac{1}{2}(J(J(T_1(a)))(f) + J(J(T_2(a)))(f)),$$

$$f(Ta - \frac{1}{2}(T_1a + T_2a)) = 0.$$

Since  $f$  is an arbitrary element of  $B_*$ , we have  $Ta = \frac{1}{2}(T_1a + T_2a)$ . Hence we have  $T = \frac{1}{2}(T_1 + T_2)$ . From the extremality of  $T$ , we have  $T = T_1 = T_2$  and therefore  $T^*|_{B_*} = S_1 = S_2$  which implies the extremality of  $T^*|_{B_*}$  in  $Q_1(B_*, A^*)$ . The proof is completed.

From the above argument, if  $B$  is a finite dimensional  $C^*$ -algebra,  $T$  is extreme if and only if  $T^*$  is extreme.

PROPOSITION 3.9. *Let  $A$  and  $B$  be  $C^*$ -algebras and  $T$  be a  $C^*$ -homomorphism in  $P_1(A, B)$ . Then  $T^*$  is an extreme point of  $Q_1(B^*, A^*)$ .*

PROOF. Let  $\pi$  and  $C$  be the universal representation of  $B$  and the enveloped von

Neumann algebra of  $B$ . Since  $\pi$  is non-degenerate,  $\pi(e_B)$  is the identity operator on  $H$  (the representation space of  $\pi$ ). Thus  $\pi \circ T$  is a  $C^*$ -homomorphism in  $P_1(A, C)$  and therefore  $T^* \circ \pi^* |_{C_*} = (\pi \circ T)^* |_{C_*}$  is an point of  $Q_1(C_*, A^*)$ . If  $T^* = \frac{1}{2}(S_1 + S_2)$  with  $S_1, S_2 \in Q_1(B^*, A^*)$ , we have

$$T^* \circ \pi^* |_{C_*} = \frac{1}{2}(S_1 \circ \pi^* |_{C_*} + S_2 \circ \pi^* |_{C_*}).$$

From the extremality of  $(\pi \circ T)^* |_{C_*}$ , we have

$$T^* \circ \pi^* |_{C_*} = S_1 \circ \pi^* |_{C_*} = S_2 \circ \pi^* |_{C_*}.$$

Consequently, we have  $T^* = S_1 = S_2$  which implies the extremality of  $T^*$  in  $Q_1(B^*, A^*)$ .

NIIGATA UNIVERSITY

### References

1. J DIXMIER: *Les  $C^*$ -algèbres et leurs représentations*, Gauthier-villars, Paris, 1964.
2. R. V. KADISON: *A generalized Schwartz inequality and algebraic invariants for operator algebras*, *Ann. of Math.*, 56 (1952), 494-503.
3. C. E. RICKART: *General theory of Banach algebras*, D. Van Nostrand, New York, 1960.
4. B. RUSSO and H. A. DYE: *A note on unitary operators in  $C^*$ -algebras*, *Duke J. Math.* 33 (1966) 413-416.
5. W. F. STEINSPRING: *Positive functions on  $C^*$ -Algebras*, *Proc. Amer. Math. Soc.* 6 (1955), 211-216.
6. E. STØRMER: *Positive linear maps of operator algebras*, *Acta Math.*, 110 (1963), 233-278.
7. S WATANABE: *Note on positive linear maps of Banach algebras with an involution*, *Sci. Rep. Niigata Univ.*, Ser. A, No. 7(1969), 17-21.