

# Derivations Commuting with Abelian Gauge Actions on Lattice Systems

Ola Bratteli\* and Palle E. T. Jørgensen\*\*

1 Mathematics Institute, University of Warwick, Coventry CV4 7AL, England

2 Mathematics Institute, Aarhus University, Ny Munkegade, DK-8000 Aarhus C, Denmark

**Abstract.** Let  $\tau$  be an action of a compact abelian group  $G$  on a  $C^*$ -algebra  $\mathcal{A}$ , and assume that the fixed-point subalgebra  $\mathcal{A}^\tau$  is an AF-algebra. We show that if  $\delta$  is a closed  $*$ -derivation on  $\mathcal{A}$  commuting with  $\tau$ , and the restriction of  $\delta$  to  $\mathcal{A}^\tau$  generates a one-parameter group of  $*$ -automorphisms, then  $\delta$  itself is a generator. In particular, the result applies if  $\tau$  is an infinite product action of  $G$  on a UHF algebra. Furthermore, if in this situation  $\delta_1$  and  $\delta_2$  are two derivations both satisfying the hypotheses on  $\delta$ , and  $\delta_1$  and  $\delta_2$  have the same restriction to  $\mathcal{A}^\tau$ , then there exists a one-parameter subgroup of the action  $\tau$  with generator  $\delta_0$  such that  $D(\delta_1) \cap D(\delta_2) \cap D(\delta_0)$  is a joint core for the three derivations, and  $\delta_2 = \delta_1 + \delta_0$  on this core.

## 1. Introduction

Let  $\delta$  be a closed  $*$ -derivation with dense domain  $D(\delta)$  in a  $C^*$ -algebra  $\mathcal{A}$ . Assume that  $\delta$  commutes with a strongly continuous action  $\tau$  of a compact abelian group  $G$  as  $*$ -automorphisms on  $\mathcal{A}$ . It was shown in [4] that if  $\delta$  vanishes identically on the fixed-point algebra  $\mathcal{A}^\tau = \{A \in \mathcal{A} : \tau(g)(A) = A, g \in G\}$ , then  $\delta$  is the infinitesimal generator of a strongly continuous one-parameter group of  $*$ -automorphisms of  $\mathcal{A}$ . Briefly, we say that  $\delta$  is a generator. By a simple perturbation argument, it follows that the assumption  $\delta|_{\mathcal{A}^\tau} = 0$  may be weakened to the condition that  $\delta|_{\mathcal{A}^\tau}$  is inner. An example in [4] showed that it is not enough to assume that  $\delta|_{\mathcal{A}^\tau}$  is a generator on  $\mathcal{A}^\tau$ . In this example,  $\mathcal{A}$  is abelian, and there is a geometric obstruction preventing  $\delta$  from being a generator: Along the integral curves of the propagator, points burst into fibres, and conversely, fibres merge into points, in a finite time, [1].

On the other hand, Kishimoto and Robinson [15] showed that if one adds the assumption that  $\mathcal{A}$  has an identity, and  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma) = \mathcal{A}^\tau$  for all  $\gamma \in \hat{G}$ , where  $\mathcal{A}^\tau(\gamma) =$

\*Permanent address: Institute of Mathematics, N-7034 Trondheim–NTH, Norway

\*\*Address from July 15, 1982: Department of Mathematics, University of Pennsylvania, Philadelphia, PA 19104, USA

$\{X \in \mathcal{A} : \tau(g)(X) = \langle \gamma, g \rangle X \text{ for all } g \in G\}$ , then  $\delta$  is a generator if  $\delta|_{\mathcal{A}^\tau}$  is so. This spectral condition is, however, not satisfied in interesting examples like the standard gauge action of  $\mathbb{T}^1$  on the CAR-algebra, [5]. This paper was motivated by this example, but we prove the slightly more general result that Kishimoto–Robinson’s spectral condition can be replaced by the condition that each of the ideals  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$  in  $\mathcal{A}^\tau$  has an approximate identity consisting of projections. If, in particular,  $\mathcal{A}^\tau$  is an AF algebra, then this condition is satisfied for all the ideals, [5]. It is interesting to note that if  $\mathcal{A}^\tau$  is abelian and AF, then the geometric obstruction referred to above is ruled out for trivial reasons: all the closed  $*$ -derivations of  $\mathcal{A}^\tau$  are zero, [3]. Also note that a small modification of [4, Example 6.1], where the string in the pinched torus is contracted to a point, shows that Kishimoto–Robinson’s condition cannot be replaced by the condition that each of the ideals  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$  in  $\mathcal{A}^\tau$  is essential in the sense that it intersects any other non-zero ideal. Thus, when  $\mathcal{A}$  is abelian, it is the total disconnectedness of the spectrum of the fixed point algebra which prevents geometric obstructions and make our proof work.

Our results are applicable to a slightly larger class of  $C^*$ -dynamical systems than the CAR algebra with the standard gauge action. Let  $L$  be an index set, and for each  $i \in L$ , let  $M_i$  be the full  $n \times n$  matrix algebra. Let  $\mathcal{A} = \bigotimes_{i \in L} M_i$ , and let  $H$  be a compact abelian group acting on  $M_i$  by an action  $\tau^i$  which is independent of  $i$ . One can then associate a gauge action of first or second kind on  $\mathcal{A}$ . For the first kind,  $G = H$  and  $\tau(g) = \bigotimes_{i \in L} \tau^i(g)$ , for the second kind,  $G$  is the unrestricted direct product  $\prod_{i \in L} H$ , and  $\tau((g)_i) = \bigotimes_{i \in L} \tau^i(g_i)$ . In both cases, the canonical projection  $P = \int_G dg \tau(g)$  onto the fixed point algebra  $\mathcal{A}^\tau$  maps the finite sub-tensor products of  $\mathcal{A}$  into themselves, and hence  $\mathcal{A}^\tau$  is an AF-algebra.

For a gauge action of the second kind, Kishimoto–Robinson’s (K–R) spectral condition is not satisfied unless it is satisfied on each factor  $M_i$  for the group  $H$ , and this never happens if  $\tau^i$  is implemented by a unitary action, i.e. the condition cannot be fulfilled if  $H = \mathbb{T}^d$ . For a gauge action of the first kind the situation is more complicated. If the index set  $L$  is infinite, the fixed point algebra  $\mathcal{A}^\tau$  is always prime, and if in addition  $G$  is finite, then  $\mathcal{A}^\tau$  is simple, i.e. the K–R spectral condition is satisfied. If however  $G$  is connected, then  $\mathcal{A}^\tau$  is never simple, and the K–R-condition is not valid [6], [19], see [21], [13] for further results in this direction.

This paper is organized as follows. In Sect. 2 we prove some results on smoothness of 1-cocycles. In Sect. 3 these results are combined with Kishimoto–Robinson’s techniques from [15] to prove the main theorem. In Sect. 4, gauge actions of the first kind are analyzed in more detail, and Powers–Price’s techniques from [17] are used to prove the result announced in the abstract.

## 2. Automatic Smoothness of 1-cocycles

Let  $\delta$  be the generator of a strongly continuous one-parameter group  $e^{t\delta}$  of  $*$ -automorphisms on a unital  $C^*$ -algebra  $\mathcal{A}$ . If  $P$  is a skew-adjoint element in  $\mathcal{A}$ , define

$$\delta^P(X) = \delta(X) + PX - XP = \delta(X) + \delta_P(X)$$

for all  $X \in D(\delta)$ . Then  $\delta^P$  is the generator of a one-parameter group  $e^{t\delta^P}$  of

\*-automorphisms. There exists a map  $t \rightarrow \Gamma_t^P$  from  $\mathbb{R}$  into the unitary group of  $\mathcal{A}$  such that

$$e^{t\delta^P}(X) = \Gamma_t^P e^{t\delta}(X) \Gamma_t^{P*}$$

for all  $X \in \mathcal{A}$ ,  $t \in \mathbb{R}$ . The map can be taken to satisfy the 1-cocycle property  $\Gamma_{t+s}^P = \Gamma_t^P e^{t\delta}(\Gamma_s^P)$ . Here  $\Gamma^P$  can be taken to be the unique solution of the differential equation

$$\frac{d}{dt} \Gamma_t^P = \Gamma_t^P e^{t\delta}(P)$$

with initial condition  $\Gamma_0^P = \mathbb{1}$ . Then  $\Gamma^P$  also satisfy the equation

$$\frac{d}{dt} \Gamma_t^P = e^{t\delta^P}(P) \Gamma_t^P,$$

and  $\Gamma^P$  is given by the perturbation expansions

$$\begin{aligned} \Gamma_t^P &= \mathbb{1} + \sum_{n \geq 1} \int_0^t dt_1 \int_0^{t_1} dt_2 \dots \int_0^{t_{n-1}} dt_n e^{t_n \delta}(P) \dots e^{t_1 \delta}(P) \\ &= \mathbb{1} + \sum_{n \geq 1} \int_0^t dt_1 \int_0^{t_1} dt_2 \dots \int_0^{t_{n-1}} dt_n e^{t_1 \delta^P}(P) \dots e^{t_n \delta^P}(P), \end{aligned}$$

when  $t \geq 0$ , see [8, Proposition 5.4.1.].

Each of the terms of these expansions involves a smoothing operation on  $P$ . This smoothing suffices to ensure that  $\Gamma_t^P$  is always contained in the domain  $D(\delta)$  of the generator  $\delta$ , even when  $P$  is not contained in this domain. More precisely

**Lemma 2.1.** *Adopt the assumptions and notation before this lemma. It follows that  $\Gamma_t^P \in D(\delta) = D(\delta^P)$  and*

$$\begin{aligned} \delta(\Gamma_t^P) &= (e^{t\delta^P}(P) - P) \Gamma_t^P, \\ \delta^P(\Gamma_t^P) &= \Gamma_t^P (e^{t\delta}(P) - P). \end{aligned}$$

Hence one has

$$\begin{aligned} \frac{d\Gamma_t^P}{dt} &= \delta(\Gamma_t^P) + P \Gamma_t^P \\ &= \delta^P(\Gamma_t^P) + \Gamma_t^P P. \end{aligned}$$

*Proof.* By [15, Theorem A1],  $\mathcal{A}$  may be represented on a Hilbert space  $\mathcal{H}$  such that  $e^{t\delta}$  is covariant, i.e. there exists a strongly continuous unitary group  $t \mapsto e^{tH}$  such that  $e^{t\delta}(X) = e^{tH} X e^{-tH}$  for all  $X \in \mathcal{A}$ . Here  $H$  is the skew-adjoint generator of the unitary group. The cocycle  $\Gamma_t^P$  is then given by  $\Gamma_t^P = e^{t(H+P)} e^{-tH}$ , [8, Corollary 5.4.2].

Assume first that  $H$  is bounded, and then  $\delta = \text{ad}(H)$  extends to all of  $\mathcal{L}(\mathcal{H})$ . One has

$$\begin{aligned} \delta(\Gamma_t^P) &= \delta(e^{t(H+P)}) e^{-tH} \\ &= \int_0^t ds e^{s(H+P)} \delta(P) e^{(t-s)(H+P)} e^{-tH}, \end{aligned}$$

see [18], or [7, Lemma 3.2.31]. Thus

$$\begin{aligned}\delta(\Gamma_t^P) &= \int_0^t ds e^{s(H+P)} \delta(P) e^{-s(H+P)} e^{t(H+P)} e^{-tH} \\ &= \int_0^t ds e^{s\delta^P}(\delta(P)) \Gamma_t^P \\ &= \int_0^t ds e^{s\delta^P}(\delta^P(P)) \Gamma_t^P.\end{aligned}$$

But  $e^{s\delta^P}(\delta^P(P)) = (d/ds) e^{s\delta^P}(P)$ , and hence  $\delta(\Gamma_t^P) = (e^{t\delta^P}(P) - P) \Gamma_t^P$ .

Assume next that  $H = -H^*$  is unbounded. Using spectral theory, we may approximate  $H$  by a sequence  $(H_n)$  of bounded functions of  $H$ , i.e. each  $H_n$  is bounded and skew-adjoint, and  $H_n\psi \rightarrow H\psi$  for all  $\psi \in D(H)$ . It follows from the Trotter–Kato theorem in Kurtz’ form [7, Theorem 3.1.28], that  $e^{tH_n}$  and  $e^{t(H_n+P)}$  converges strongly to  $e^{tH}$  and  $e^{t(H+P)}$ . Hence

$$(e^{t(H_n+P)} P e^{-t(H_n+P)} - P) e^{t(H_n+P)} e^{-tH_n}$$

converges strongly to

$$(e^{t\delta^P}(P) - P) \Gamma_t^P.$$

It follows from the formula for  $\delta(\Gamma_t^P)$  above that

$$[H_n, e^{t(H_n+P)} e^{-tH_n}]$$

converges strongly to  $(e^{t\delta^P}(P) - P) \Gamma_t^P$ , but at the same time it is clear from direct inspection that this expression converges as a bilinear form on  $D(H) \times D(H)$  to  $[H, \Gamma_t^P]$ . It follows from [7, Proposition 3.2.55] that  $\Gamma_t^P \in D(\delta)$  and

$$\delta(\Gamma_t^P) = (e^{t\delta^P}(P) - P) \Gamma_t^P.$$

The remaining assertions of the lemma follows from the formulæ

$$\frac{d}{dt} \Gamma_t^P = e^{t\delta^P}(P) \Gamma_t^P = \Gamma_t^P e^{t\delta}(P),$$

and  $\delta^P = \delta + \delta_P$ .

### 3. Derivations Commuting with Compact Abelian Actions

**Theorem 3.1.** *Let  $\mathcal{A}$  be a  $C^*$ -algebra,  $G$  a compact abelian group, and  $\tau$  a continuous action of  $G$  as  $*$ -automorphisms of  $\mathcal{A}$ . Assume that the fixed point algebra  $\mathcal{A}^\tau$  for this action is an AF-algebra.*

*Let  $\delta$  be a closed  $*$ -derivation on  $\mathcal{A}$  satisfying*

1.  $\delta\tau(g) = \tau(g)\delta$  for all  $g \in G$ .
2. *The restriction  $\delta_0$  of  $\delta$  to  $\mathcal{A}^\tau$  is a generator.*

*It follows that  $\delta$  is a generator.*

*Remark.* The assumption that  $\mathcal{A}^\tau$  is an AF-algebra could be replaced by the

hypothesis that each of the ideals  $\overline{\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)}$  in  $\mathcal{A}^\tau$  has an approximate identity consisting of projections. This is the only property of AF-algebras used in the proof. In particular the proof applies if  $\mathcal{A}^\tau$  is simple with unit, but this is already a consequence of [15, Theorem 1].

*Proof.* If  $\mathcal{A}$  does not have an identity, adjoin one and extend  $\delta$  and  $\tau$  in the obvious manner. The hypotheses in Theorem 3.1 are then still fulfilled and we may assume from now that  $\mathcal{A}$  has an identity.

The strategy of the proof is as follows: We prove that the restriction of  $\delta$  to each of the spectral subspaces  $\mathcal{A}^\tau(\gamma)$  is a generator of a group of isometries. Actually, we restrict  $\delta$  to subspaces of  $\mathcal{A}^\tau(\gamma)$  of the form  $\mathcal{A}^\tau(\gamma)P$ , where  $P$  are suitable smooth projections in an approximate identity for  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$ . We then perturb  $\delta$  by an inner derivation  $\delta_{-Q} = -\text{ad}(Q)$  implemented by an element  $Q \in \mathcal{A}^\tau$  to obtain a derivation  $\delta^{-Q} = \delta + \delta_{-Q}$  such that  $\delta^{-Q}(P) = 0$ , and hence  $\delta^{-Q}$  leaves  $\mathcal{A}^\tau(\gamma)P$  invariant. The derivation  $\delta^{-Q}$  still satisfies the same hypotheses as  $\delta$ , in particular  $\delta^{-Q}|_{\mathcal{A}^\tau}$  is a generator. Kishimoto–Robinson introduced in [15] an explicit isometry between  $\mathcal{A}^\tau(\gamma)P$  and a closed subspace of  $\mathcal{A}^\tau$ . (Actually this is a simplified account, and we have to work in a tensor product.) Transporting  $\delta^{-Q}$  by this isometry, we get an operator on the closed subspace of  $\mathcal{A}^\tau$  which is a bounded perturbation of  $\delta^{-Q}$ , and therefore is a generator there. It follows that  $\delta^{-Q}|_{\mathcal{A}^\tau(\gamma)P}$  is a generator. Perturbing back with a suitable 1-cocycle in  $\mathcal{A}$ , we obtain the group generated by  $\delta$ .

*Observation 1.* If  $\gamma \in \hat{G}$ , there is a net  $(P_\alpha)$  of projections in  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$  such that:

1. Each  $P \in (P_\alpha)$  is a finite sum  $P = \sum_k X_k^* X_k$ , where  $X_k \in \mathcal{A}^\tau(\gamma) \cap D(\delta)$ .
2. If  $X \in \mathcal{A}^\tau(\gamma)$ , then  $\lim_\alpha X P_\alpha = X$ , where the limit exists in norm.

*Proof.*  $\overline{\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)}$  is an ideal in  $\mathcal{A}^\tau$ , and as  $\mathcal{A}^\tau$  is an AF-algebra, it follows that  $\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$  has an approximate identity  $(P'_\beta)$  consisting of projections. There is a canonical projection from  $\mathcal{A}$  onto  $\mathcal{A}^\tau(\gamma)$  commuting with  $\delta$ , and hence  $\mathcal{A}^\tau(\gamma) \cap D(\delta)$  is dense in  $\mathcal{A}^\tau(\gamma)$ . It follows, [11], [16], that for any  $\varepsilon > 0$  there are a finite number of elements  $Y_k \in \mathcal{A}^\tau(\gamma) \cap D(\delta)$  such that

$$\|P'_\beta - \sum_k Y_k^* Y_k\| < \varepsilon.$$

If  $Y = \sum_k Y_k^* Y_k$ , then  $Y \in \mathcal{A}^\tau \cap D(\delta)$ , and as  $P'_\beta$  is a projection, the spectrum of  $Y$  is contained in a small neighbourhood of the set  $\{0, 1\}$ . Let  $f \in C^\infty(\mathbb{R})$  be a function which is 0 in a suitable neighbourhood of 0 and  $f(x) = x^{-1/2}$  in a suitable neighbourhood of 1, such that  $P_\alpha = P_{\beta, \varepsilon} = f(Y)Yf(Y)$  is a projection. Then  $f(Y) \in D(\delta)$  by [20], or [7, Corollary 3.2.33] and hence  $P_\alpha \in D(\delta)$ . Furthermore,  $\|P'_\beta - P_{\beta, \varepsilon}\|$  is dominated by a constant, only depending on  $\varepsilon$ , which vanishes as  $\varepsilon \rightarrow 0$ .

Thus, if the set of  $(\beta, \varepsilon)$  is ordered by  $(\beta, \varepsilon) < (\beta', \varepsilon')$  if  $\beta < \beta'$  and  $\varepsilon' \leq \varepsilon$ , the net  $P_\alpha$  has the property that  $\lim_\alpha X P_\alpha = X$ , for all  $X \in \overline{\mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)}$ . But then  $\lim_\alpha Y X P_\alpha = YX$ , for  $X \in \mathcal{A}^\tau(\gamma)$ ,  $Y \in \mathcal{A}^\tau(\gamma)^* \mathcal{A}^\tau(\gamma)$ . But if  $g$  is a non-negative real function such that

$g(0) = 0$  and  $g(x) = 1$  for  $x \geq \delta$ , then  $Y = g(XX^*) \in \mathcal{A}^\tau(\gamma) \mathcal{A}^\tau(\gamma)^*$  and

$$\begin{aligned} \|g(XX^*)X - X\| &\leq \| (g(XX^*)X - X)(X^*g(XX^*) - X^*) \|^{1/2} \\ &= \| (g(XX^*)^2 - 2g(XX^*) + \mathbb{I})XX^* \|^{1/2} \\ &\leq 2\delta^{1/2}. \end{aligned}$$

It follows from the relation above, by letting  $\delta \rightarrow 0$ , that  $\lim_{\alpha} XP_{\alpha} = X$ , for all  $X \in \mathcal{A}^\tau(\gamma)$ .

If  $X_k = Y_k f(Y)$ , then  $X_k \in \mathcal{A}^\tau(\gamma) \cap D(\delta)$  and  $P_{\alpha} = \sum_k X_k^* X_k$ . This ends the proof of Observation 1.

From now we fix a  $\gamma \in \hat{G}$  and a projection  $P = \sum_{k=1}^N X_k^* X_k$ , where each  $X_k \in \mathcal{A}^\tau(\gamma) \cap D(\delta)$ . Put  $Q = \delta(P)P - P\delta(P)$ ,  $\delta_Q(X) = QX - XQ$ , and  $\delta^{-Q}(X) = \delta(X) - \delta_Q(X)$  for  $X \in D(\delta)$ .

Then  $Q$  is a skew-adjoint element in  $\mathcal{A}^\tau$ , and it follows that  $\delta^{-Q}$  is a closed \*-derivation satisfying the same hypotheses as  $\delta$  in Theorem 3.1. Furthermore  $\delta^{-Q}(P) = 0$ , [14].

Let  $\delta'$  be the restriction of  $\delta^{-Q}$  to  $D(\delta') = \mathcal{A}^\alpha(\gamma)P \cap D(\delta)$ . As  $\delta^{-Q}(P) = 0$  it follows that  $\delta'$  is a densely defined closed operator on  $\mathcal{A}^\alpha(\gamma)P$ .

*Observation 2.* The operator  $\delta'$  is the generator of a strongly continuous one-parameter group  $S_t^P$  of bounded operators on  $\mathcal{A}^\alpha(\gamma)P$ .

*Proof.* We follow closely an argument from [15]. Recall that  $P = \sum_{k=1}^N X_k^* X_k$ . Consider the  $C^*$ -dynamical system  $(\mathcal{A}_N = \mathcal{A} \otimes M_N, G, \bar{\tau})$ , where  $M_N$  is the  $N \times N$  matrix algebra, and  $\bar{\tau}(g) = \tau(g) \otimes \iota$ , where  $\iota$  is the trivial action. Let  $\bar{\delta} = \delta^{-Q} \otimes \iota$  with  $D(\bar{\delta}) = D(\delta) \otimes \iota$ . Then  $\bar{\tau}$  and  $\bar{\delta}$  satisfy the same properties as  $\tau$  and  $\delta$ . Define

$$V = \begin{bmatrix} X_1 & 0 & \dots & 0 \\ X_2 & 0 & \dots & 0 \\ \vdots & & & \\ \vdots & & & \\ X_N & 0 & \dots & 0 \end{bmatrix} \in \mathcal{A}_N^{\bar{\tau}}(\gamma) \cap D(\bar{\delta}).$$

Then

$$V^*V = \begin{bmatrix} P & 0 & \dots & 0 \\ 0 & 0 & \dots & 0 \\ \vdots & & & \vdots \\ 0 & 0 & \dots & 0 \end{bmatrix},$$

and hence  $V$  is a partial isometry, and  $VV^*$  is a projection in  $\mathcal{A}_N^{\bar{\tau}} \cap D(\bar{\delta})$ . Furthermore

$$\mathcal{A}_N^{\bar{\tau}}(\gamma) V^*V = \begin{bmatrix} \mathcal{A}^\tau(\gamma)P & 0 & \dots & 0 \\ \mathcal{A}^\tau(\gamma)P & 0 & \dots & 0 \\ \vdots & \vdots & & \vdots \\ \mathcal{A}^\tau(\gamma)P & 0 & \dots & 0 \end{bmatrix}$$

Thus Observation 2 follows once we can show that the restriction  $\bar{\delta}'$ , of  $\bar{\delta}$  to  $\mathcal{A}_N^\tau(\gamma)V^*V$  is a generator.

To this end, we define an isometric isomorphism  $\phi$  from the Banach space  $\mathcal{A}_N^\tau(\gamma)V^*V$  onto the Banach space  $\mathcal{A}_N^\tau VV^*$  by  $\phi(A) = AV^*$ , for  $A \in \mathcal{A}_N^\tau(\gamma)V^*V$ . Then  $\phi^{-1}(B) = BV$  for  $B \in \mathcal{A}_N^\tau VV^*$ , and hence

$$\phi\bar{\delta}'\phi^{-1}(B) = \bar{\delta}'(BV)V^* = \bar{\delta}(BV)V^*.$$

But as  $B = BVV^*$ , we have by the derivation property  $\bar{\delta}(B) = \bar{\delta}(BV)V^* + BV\bar{\delta}(V^*)$ . Thus  $\phi\bar{\delta}'\phi^{-1}(B) = \bar{\delta}(B) - BV\bar{\delta}(V^*)$ , for all  $B \in \mathcal{A}_N^\tau VV^*$ . Define an operator  $\rho$  on  $\mathcal{A}_N^\tau$ , by  $\rho(B) = \bar{\delta}(B) - BV\bar{\delta}(V^*)$ , for  $B \in D(\bar{\delta}) \cap \mathcal{A}_N^\tau$ . Since  $\bar{\delta}$  is the generator of a one-parameter group of automorphisms on  $\mathcal{A}_N^\tau$ , and the operation of right multiplication by  $-V\bar{\delta}(V^*)$  is a bounded operator on  $\mathcal{A}_N^\tau$ , it follows that  $\rho$  is the generator of a strongly continuous one-parameter group, [7, Theorem 3.1.33]. The restriction  $\phi\bar{\delta}'\phi^{-1}$  of  $\rho$  to  $\mathcal{A}_N^\tau VV^*$  is then a generator, and as  $\phi$  is an isometry,  $\bar{\delta}'$  is a generator. This completes the proof of Observation 2.

Next, define a unitary cocycle  $\Gamma_t^Q$  in  $\mathcal{A}^\tau$  by

$$\Gamma_t^Q = \mathbb{I} + \sum_{n \geq 1} \int_0^t dt_1 \int_0^{t_1} dt_2 \cdots \int_0^{t_{n-1}} dt_n e^{t_n \delta^{-Q}}(Q) \cdots e^{t_1 \delta^{-Q}}(Q),$$

where  $e^{t\delta^{-Q}}$  here denotes the one-parameter group of  $*$ -automorphisms generated by  $\delta^{-Q} = \delta - \delta_Q$  on  $\mathcal{A}^\tau$ . Then  $t \rightarrow \Gamma_t^Q$  is a continuous map into the unitaries in  $\mathcal{A}^\tau$  satisfying the cocycle relation

$$\Gamma_{t+s}^Q = \Gamma_t^Q e^{t\delta^{-Q}}(\Gamma_s^Q),$$

and the differential equation

$$\frac{d\Gamma_t^Q}{dt} = \Gamma_t^Q e^{t\delta^{-Q}}(Q),$$

see Sect. 2.

Define a strongly continuous one-parameter family  $T_t^P$  of maps from  $\mathcal{A}^\tau(\gamma)P$  into  $\mathcal{A}^\tau(\gamma)$  by

$$T_t^P(X) = \Gamma_t^Q S_t^P(X) \Gamma_t^{Q*}.$$

*Observation 3.* If  $X \in \mathcal{A}^\tau(\gamma)P \cap D(\bar{\delta})$ , then  $T_t^P(X) \in D(\bar{\delta})$  for all  $t$ ,  $t \rightarrow T_t^P(X)$  is differentiable and

$$\frac{dT_t^P(X)}{dt} = \bar{\delta}(T_t^P(X))$$

for all  $t \in \mathbb{R}$ .

*Proof.* As  $X \in D(\bar{\delta})$  we have  $X \in D(\delta^{-Q})$  and hence  $X \in D(\delta')$  and

$$\frac{d}{dt} S_t^P(X) = \delta'(S_t^P(X)) = (\delta - \delta_Q)(S_t^P(X)).$$

It follows from Lemma 2.1 that  $\Gamma_t^Q \in D(\delta^{-Q}) = D(\bar{\delta})$ , and  $(d/dt)\Gamma_t^Q = \delta^{-Q}(\Gamma_t^Q) +$

$Q\Gamma_t^Q = \delta(\Gamma_t^Q) + \Gamma_t^Q Q$ . Thus  $T_t^P(X) \in D(\delta)$ ,  $t \rightarrow T_t^P(X)$  is differentiable and

$$\begin{aligned} \frac{dT_t^P(X)}{dt} &= \frac{d\Gamma_t^Q}{dt} S_t^P(X) \Gamma_t^{Q*} + \Gamma_t^Q \frac{dS_t^P(X)}{dt} \Gamma_t^{Q*} + \Gamma_t^Q S_t^P(X) \left( \frac{d\Gamma_t^Q}{dt} \right)^* \\ &= (\delta(\Gamma_t^Q) + \Gamma_t^Q Q) S_t^P(X) \Gamma_t^{Q*} + \Gamma_t^Q (\delta(S_t^P(X)) - Q S_t^P(X) \\ &\quad + S_t^P(X) Q) \Gamma_t^{Q*} \Gamma_t^Q S_t^P(X) (\delta(\Gamma_t^{Q*}) - Q \Gamma_t^{Q*}) \\ &= \delta(\Gamma_t^Q) S_t^P(X) \Gamma_t^{Q*} + \Gamma_t^Q \delta(S_t^P(X)) \Gamma_t^{Q*} + \Gamma_t^Q S_t^P(X) \delta(\Gamma_t^{Q*}) \\ &= \delta(T_t^P(X)). \end{aligned}$$

This proves Observation 3.

*Observation 4.* If  $X \in \mathcal{A}^\tau(\gamma)P$ , then  $\|T_t^P(X)\| = \|X\|$  for all  $t \in \mathbb{R}$ .

*Proof.* Let  $e^{t\delta}$  again denote the automorphism group generated by the restriction of  $\delta$  to  $\mathcal{A}^\tau$ . We first argue that

$$T_t^P(X)^* T_t^P(X) = e^{t\delta}(X^* X)$$

for all  $t \in \mathbb{R}$ . Assume first  $X \in \mathcal{A}^\tau(\gamma)P \cap D(\delta)$ . It follows from Observation 3 and the derivation property that the following calculation is valid

$$\begin{aligned} T_t^P(X)^* T_t^P(X) - e^{t\delta}(X^* X) &= \int_0^t ds \frac{d}{ds} \{ e^{(t-s)\delta} (T_s^P(X)^* T_s^P(X)) \} \\ &= \int_0^t ds e^{(t-s)\delta} ( -\delta(T_s^P(X)^* T_s^P(X)) + \delta(T_s^P(X)^*) T_s^P(X) \\ &\quad + T_s^P(X)^* \delta(T_s^P(X)) ) \\ &= \int_0^t ds e^{(t-s)\delta} (0) = 0. \end{aligned}$$

Thus

$$\|T_t^P(X)\|^2 = \|T_t^P(X)^* T_t^P(X)\| = \|e^{t\delta}(X^* X)\| = \|X^* X\| = \|X\|^2.$$

As  $P \in D(\delta)$ ,  $\mathcal{A}^\tau(\gamma)P \cap D(\delta)$  is dense in  $\mathcal{A}^\tau(\gamma)P$ , and as each  $T_t^P$  is bounded, Observation 4 follows.

*Observation 5.* The restriction  $\delta_\gamma$  of  $\delta$  to  $\mathcal{A}^\tau(\gamma)$  is the generator of a strongly continuous one-parameter group of isometries on  $\mathcal{A}^\tau(\gamma)$ .

*Proof.* The operators  $\pm \delta_\gamma$  are dissipative by [4, Lemma 4.3], and by the Lumer–Phillips theorem it suffices to prove that  $(\lambda - \delta)(D(\delta_\gamma))$  is dense in  $\mathcal{A}^\tau(\gamma)$  for a positive and a negative real number  $\lambda$ , see [7, Theorem 3.1.16]. This follows from the differential equation in Observation 3 as in [9, page 360–361], or as follows: Assume



that  $X \in \mathcal{A}^\tau(\gamma)P \cap D(\delta)$ , where  $P$  is as in Observation 1, and assume that  $\lambda > 0$ . We will show that  $X \in R(\lambda - \delta_\gamma)$ . Define

$$Y = \int_0^\infty dt e^{-\lambda t} T_t^P(X),$$

where  $T^P$  is defined before, Observation 3. The integral converges because of Observation 4, and Observation 3 implies that  $Y \in D(\delta)$  with

$$\begin{aligned} \delta(Y) &= \int_0^\infty dt e^{-\lambda t} \delta(T_t^P(X)) = \int_0^\infty dt e^{-\lambda t} \frac{d}{dt}(T_t^P(X)) \\ &= \int_0^\infty dt \frac{d}{dt}(e^{-\lambda t} T_t^P(X)) + \lambda \int_0^\infty dt e^{-\lambda t} T_t^P(X) \\ &= -X + \lambda Y. \end{aligned}$$

Thus  $X = (\lambda - \delta)Y$  and  $X \in R(\lambda - \delta)$ . It now follows from Observation 1 that  $R(\lambda - \delta)$  is dense. This ends the proof of Observation 5.

Theorem 3.1 is now an immediate consequence of Observation 5 and [15, Proposition 2].

#### 4. Gauge Actions of the First Kind

In this section, which is largely independent of the previous one, we will consider the following problem: Let  $\mathcal{A}$  be a  $C^*$ -algebra,  $\tau$  an action of a compact abelian group on  $\mathcal{A}$ ,  $\delta$  a generator on the fixed point algebra  $\mathcal{A}^\tau$ . Assume that  $\delta$  has closed densely defined extensions to  $\mathcal{A}$  which commute with  $\tau$ , and let  $\delta_1, \delta_2$  be two such extensions. What is the relation between  $\delta_1$  and  $\delta_2$ ?

We will actually consider this problem in a special setting.

**Theorem 4.1.** *Let  $\mathcal{A}$  be a UHF-algebra of Glimm type  $n^\infty$ , i.e.  $\mathcal{A} = \bigotimes_{i \in L} M_i$ , where the index set  $L$  is infinite and each  $M_i$  is a full  $n \times n$ -matrix algebra  $M$ . Let  $G$  be a compact abelian group acting on  $M$  and let  $\tau$  be the corresponding infinite tensor product action of  $G$  on  $\mathcal{A}$ . Let  $\alpha$  be an automorphism of  $\mathcal{A}$  such that*

$$\alpha\tau(g) = \tau(g)\alpha \quad \text{for all } g \in G,$$

and

$$\alpha(X) = X \quad \text{for all } X \in \mathcal{A}^\tau.$$

*It follows that there exists a  $g \in G$  such that  $\alpha = \tau(g)$ . In particular, if  $\delta_1, \delta_2$  are closed  $*$ -derivations of  $\mathcal{A}$  such that  $\delta_i \tau(g) = \tau(g) \delta_i$  for all  $g \in G$ ,  $i = 1, 2$ , and  $\delta_1|_{\mathcal{A}^\tau} = \delta_2|_{\mathcal{A}^\tau}$ , and  $\delta_1|_{\mathcal{A}^\tau}$  is a generator on  $\mathcal{A}^\tau$ , then there exists a one-parameter subgroup of the action  $\tau$  with generator  $\delta_0$ , such that  $D(\delta_2) \cap D(\delta_1) \cap D(\delta_0)$  is a joint core for the three derivations and  $\delta_2 = \delta_1 + \delta_0$  on this core.*

*Remark.* Actually we prove the slightly stronger result that the groups  $e^{t\delta} i$  mutually commute for  $i = 0, 1, 2$ , and the relation  $e^{t\delta_2} = e^{t\delta_0} e^{t\delta_1}$  is valid for all  $t \in \mathbb{R}$ .

**Lemma 4.2.** *Adopt the same hypotheses on  $\mathcal{A}$ ,  $\tau$  as in Theorem 4.1, but  $G$  may be any subgroup of  $\text{Aut}(\mathbf{M})$ . Let  $(\mathcal{H}, \pi, \Omega)$  be the cyclic representation of  $\mathcal{A}$  defined by the unique trace-state  $\omega$  on  $\mathcal{A}$ , [7, Definition 2.3.18]. It follows that  $\pi(\mathcal{A}^\tau)' \cap \pi(\mathcal{A})'' = \mathbb{C}\mathbb{I}$ , and in particular  $\pi(\mathcal{A}^\tau)''$  is a factor.*

*Remark.* It is known that the restriction of  $\omega$  to  $\mathcal{A}^\tau$  is a factor state; for a sharp result in this direction, see [21, Theorem 4 in Sect. III]. As the closed cyclic subspace generated by  $\mathcal{A}^\tau$  in  $\mathcal{H}$  is a proper subspace of  $\mathcal{H}$ , Lemma 4.2 requires a separate proof.

*Proof.* Although this probably could be proved using techniques from [12], we will use an argument based on an idea from [17]. Let  $S(\infty)$  be the group of all finite permutations of the index set  $L$ . There is a canonical action  $\alpha$  of  $S(\infty)$  on  $\mathcal{A} = \bigotimes_{i \in L} M_i$ , and, as shown in [17], there is a unitary representation  $s \in S(\infty) \rightarrow U(s) \in \mathcal{A}^\tau$  such that  $\alpha(s) = \text{Ad}(U(s))$  for all  $s \in S(\infty)$ .

As  $\omega$  is invariant under all automorphisms of  $\mathcal{A}$ ,  $\omega$  is  $\alpha$ -invariant. As  $\omega$  is the product of the normalized traces on  $M_i$  for all  $i$ , it follows by approximating with finite tensors that  $\omega$  is clustering with respect to the action  $\alpha$ , i.e. for any  $A, B \in \mathcal{A}$  and  $\varepsilon > 0$ , there exists an  $s \in S(\infty)$  such that  $|\omega(A\alpha(s)(B)) - \omega(A)\omega(B)| < \varepsilon$ . It follows that  $\omega$  is external among the  $\alpha$ -invariant states [7, Theorems 4.3.22 and 4.3.20]. As  $\omega$  is a trace, the cyclic vector  $\Omega$  is separating for  $\pi(\mathcal{A})''$ . If  $U_\omega$  is the unitary representation of  $S(\infty)$  on  $\mathcal{H}$ , defined by

$$U_\omega(s)\pi(A)\Omega = \pi(\alpha(s)(A))\Omega$$

for all  $A \in \mathcal{A}, s \in S(\infty)$ , then it follows from extremal invariance of  $\omega$  that

$$\pi(\mathcal{A})'' \cap U_\omega(S(\infty))' = \mathbb{C}\mathbb{I},$$

[7, Theorem 4.3.20]. As each  $U_\omega(s)$  implements the same automorphism of  $\pi(\mathcal{A})''$  as  $\pi(U(s))$ , and  $\pi$  is a factor representation, it follows that there exists a unitary operator  $j(\pi(U(s))) \in \pi(\mathcal{A})'$  such that

$$U_\omega(s) = \pi(U(s))j(\pi(U(s))),$$

and hence

$$\pi(\mathcal{A})'' \cap \pi(U(S(\infty)))' = \pi(\mathcal{A})'' \cap U_\omega(S(\infty))' = \mathbb{C}\mathbb{I}.$$

But as  $U(S(\infty)) \in \mathcal{A}^\tau$  it follows finally that

$$\pi(\mathcal{A})'' \cap \pi(\mathcal{A}^\tau)' = \mathbb{C}\mathbb{I}.$$

*Proof of Theorem 4.1.* Let  $\alpha$  be an automorphism of  $\mathcal{A}$  commuting with  $\tau$  such that  $\alpha|_{\mathcal{A}^\tau} = \text{id}$ . As the trace state  $\omega$  on  $\mathcal{A}$  is  $\alpha$ - and  $\tau$ -invariant,  $\alpha$  and  $\tau$  extends by  $\sigma$ -weak closure to  $\pi(\mathcal{A})''$ , and as the projection  $P(X) = \int_G dg \tau(g)(X)$  extends by  $\sigma$ -weak closure to  $\pi(\mathcal{A})''$ , we have that  $\pi(\mathcal{A}^\tau)''$  is the fixed point algebra for the extended  $\tau$ . Hence the extended  $\alpha$  restricts to the identity on this fixed point algebra, and the extended  $\alpha$  commutes with the extended  $\tau$ . But Lemma 4.2 implies that  $\pi(\mathcal{A}^\tau)''$  is a

factor, and it follows from Robert's version of Pontryagin's duality theorem that there exists a  $g \in G$  such that  $\alpha = \tau(g)$ . (A general version of Robert's theorem is proved in [2, Appendix C], the special version used here can also be found in [10].)

Finally, let  $\delta_1, \delta_2$  be two closed  $*$ -derivations on  $\mathcal{A}$  commuting with  $\tau$  such that  $\delta_1|_{\mathcal{A}^\tau} = \delta_2|_{\mathcal{A}^\tau}$  is a generator on  $\mathcal{A}^\tau$ . It follows from Theorem 3.1 that  $\delta_1, \delta_2$  generates groups  $e^{t\delta_1}, e^{t\delta_2}$  on  $\mathcal{A}$ , and then  $e^{t\delta_1}|_{\mathcal{A}^\tau} = e^{t\delta_2}|_{\mathcal{A}^\tau}$ . Hence, by the first part of the theorem, there exists for each  $t \in \mathbb{R}$  an element  $g(t) \in G$  such that  $e^{t\delta_2} = \tau(g(t))e^{t\delta_1}$ . By [2, Appendix B] we may assume that  $G$  acts faithfully, and hence  $g(t)$  is unique. Here  $\tau(g(s))$  commutes with  $e^{t\delta_1}$  and  $e^{t\delta_2}$  by assumption, for  $s, t \in \mathbb{R}$  and hence  $t \rightarrow g(t)$  is a one-parameter group. Also  $t \rightarrow \tau(g(t)) = e^{t\delta_2}e^{-t\delta_1}$  is strongly continuous and it has a generator  $\delta_0$  which is a closed densely defined  $*$ -derivation. As  $e^{s\delta_0}$  and  $e^{t\delta_1}$  commute for all  $s, t$ , we get a strongly continuous representation by  $\mathbb{R}^2$  by  $(s, t) \rightarrow e^{s\delta_0}e^{t\delta_1}$ . The  $C^1$ -vectors  $D(\delta_0) \cap D(\delta_1)$  for this representation are invariant under both  $e^{s\delta_0}$  and  $e^{t\delta_1}$ . It follows from  $e^{t\delta_2} = e^{t\delta_0}e^{t\delta_1}$  that these vectors are contained in  $D(\delta_2)$  and are invariant under  $e^{t\delta_2}$ . Hence these vectors are a core for both  $\delta_0, \delta_1$  and  $\delta_2$ , [7, Corollary 3.1.7]. Thus  $D(\delta_0) \cap D(\delta_1) \cap D(\delta_2)$  is a joint core for  $\delta_0, \delta_1$  and  $\delta_2$ .

It follows from  $e^{t\delta_2} = e^{t\delta_0}e^{t\delta_1}$  that  $\delta_2 = \delta_1 + \delta_0$  on this core.

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