# Vertex-Disjoint Copies of $K_1 + (K_1 \cup K_2)$ in Graphs

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(Received November 11, 2002)

**Abstract.** Let S denote the graph obtained from  $K_4$  by removing two edges which have an endvertex in common. Let k be an integer with  $k \ge 2$ . Let G be a graph with  $|V(G)| \ge 4k$  and  $\sigma_2(G) \ge |V(G)|/2 + 2k - 1$ , and suppose that G contains k vertex-disjoint triangles. In the case where |V(G)| = 4k + 2, suppose further that  $G \ncong K_{4t+3} \cup K_{4k-4t-1}$  for any t with  $0 \le t \le k - 1$ . Under these assumptions, we show that G contains k vertex-disjoint copies of S.

AMS 1991 Mathematics Subject Classification. 05C70.

Key words and phrases. Vertex-disjoint, subgraph.

### §1. Introduction

In this paper, we consider only finite, simple, undirected graphs with no loops and no multiple edges. For a graph G, we denote by V(G), E(G)and  $\delta(G)$  the vertex set, the edge set and the minimum degree of G, respectively. For a vertex x of a graph G, the neighborhood of x in G is denoted by  $N_G(x)$ , and we let  $d_G(x) := |N_G(x)|$ . For a noncomplete graph G, let  $\sigma_2(G) := \min\{d_G(x) + d_G(y) | xy \notin E(G)\}$ ; if G is a complete graph, let  $\sigma_2(G) := \infty$ . For a subset L of V(G), the subgraph induced by L is denoted by  $\langle L \rangle$ . For a subset M of V(G), we let  $G - M = \langle V(G) - M \rangle$  and, for a vertex x of G, we let  $G - x = \langle V(G) - \{x\} \rangle$ . For subsets L and M of V(G), we let E(L, M) denote the set of edges of G joining a vertex in L and a vertex in M. When L or M consists of a single vertex, say  $L = \{x\}$  or  $M = \{y\}$ , we write E(x, M) or E(L, y) for E(L, M).

Let  $K_n$  denote the complete graph of order n, and let  $K_n^-$  be the graph obtained from  $K_n$  by removing one edge. Also let S be the graph obtained from  $K_4$  by removing two edges which have an endvertex in common; thus  $S = K_1 + (K_1 \cup K_2)$ .

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In this paper, we are concerned with conditions on  $\sigma_2(G)$  for the existence of vertex-disjoint subgraphs. As examples of results concerning such conditions, we mention that it is proved in Justesen [2] that a graph G of order at least 3k with  $\sigma_2(G) \ge |V(G)| + k$  has k vertex-disjoint triangles, and it is proved in Enomoto [1] that a graph G of order at least 3k with  $\sigma_2(G) \ge 4k - 1$  has k vertex-disjoint cycles. This paper is concerned with the following theorem proved by Kawarabayashi in [3].

**Theorem 1.** Let k be an integer with  $k \ge 2$ , and let G be a graph with  $|V(G)| \ge 4k$  and  $\sigma_2(G) \ge |V(G)| + k$ . Then G contains k vertex-disjoint copies of S.

In Theorem 1, the bound on  $\sigma_2(G)$  is sharp. But this is simply because there exists a graph G with  $|V(G)| \ge 4k$  and  $\sigma_2(G) = |V(G)| + k - 1$  such that G does not even contain k vertex-disjoint triangles (see [2]). Based on this observation, Kawarabayashi and Ota [4] suggested the possibility of lowering the bound on  $\sigma_2(G)$  by adding the assumption that G contains k vertex-disjoint triangles. Along this line, we prove the following theorem.

**Theorem 2.** Let k be an integer with  $k \ge 2$ . Let G be a graph with  $|V(G)| \ge 4k$  and  $\sigma_2(G) \ge |V(G)|/2 + 2k - 1$ , and suppose that G contains k vertexdisjoint triangles. In the case where |V(G)| = 4k + 2, suppose further that  $G \ncong K_{4t+3} \cup K_{4k-4t-1}$  for any t with  $0 \le t \le k - 1$ . Then G contains k vertex-disjoint copies of S.

It is easy to verify that if a graph G with  $|V(G)| \ge 4k$  and  $\delta(G) \ge 4k - 1$  contains k vertex-disjoint triangles, then it contains k vertex-disjoint copies of S. Thus as an immediate corollary of Theorem 2, we obtain the following theorem.

**Theorem 3.** Let k be an integer with  $k \ge 2$ . Let G be a graph with  $|V(G)| \ge 4k$  and  $\delta(G) \ge \min\{|V(G)|/4 + k - 1/2, 4k - 1\}$ , and suppose that G contains k vertex-disjoint triangles. In the case where |V(G)| = 4k + 2 and k is odd, suppose further that  $G \ncong K_{2k+1} \cup K_{2k+1}$ . Then G contains k vertex-disjoint copies of S.

In the remainder of this section, we discuss the sharpness of conditions in Theorem 2 and 3. We first show that in Theorem 2, the bound on  $\sigma_2(G)$  is sharp. For reference in the discussion of the sharpness of Theorem 3, we

construct three families of examples.

**Example 1.** Let k, n be integers with  $k \ge 2$  and  $n \ge 4k$ , and let s be an integer with  $0 \le s \le k - 1$ . We construct a graph F(n, k, s) of order n as follows. Let A, B, C, D be vertex-disjoint graphs with  $|V(A)| = \lceil (n+1)/2 \rceil - 2k$ ,  $|V(B)| = \lfloor (n+1)/2 \rfloor - 2k + s, |V(C)| = s$  and |V(D)| = 4k - 2s - 1 such that A, B and C have no edge and D is a complete graph. Join A completely to B, i.e., join each vertex of A to all vertices of B. Further join B completely to C, and C completely to D. Let F(n, k, s) denote the resulting graph. Then F(n, k, s) satisfies  $\sigma_2(F(n, k, s)) = \lfloor (n-1)/2 \rfloor + 2k - 1 (= \lceil n/2 \rceil + 2k - 2)$  and contains k vertex-disjoint triangles, but does not contain k vertex-disjoint copies of S.

**Example 2.** Let k, n be integers with  $k \ge 2$  and  $n \ge 4k$ , and let r be an integer with  $0 \le r \le k-1$ . We construct a graph G(n,k,r) of order n as follows. Let A, B, C, D, E be vertex-disjoint graphs with  $|V(A)| = \lceil (n-2r-3)/2 \rceil - (2k-r-2), |V(B)| = \lfloor (n-2r-3)/2 \rfloor, |V(C)| = 2(k-1-r), |V(D)| = r, |V(E)| = 2r+3$  such that A and B have no edge and C, D and E are complete graphs. Join A completely to B, B completely to  $C \cup D$ , and  $C \cup D$  completely to E. Let G(n,k,r) denote the resulting graph. Then G(n,k,r) satisfies  $\sigma_2(G(n,k,r)) = \lceil n/2 \rceil + 2k-2$  and contains k vertex-disjoint triangles, but does not contain k vertex-disjoint copies of S.

**Example 3.** Let k, n be integers with  $k \ge 2$  and  $n \ge 4k$  such that n is even, and let q be an integer with  $0 \le q \le k-2$ . We construct a graph H(n, k, q)of order n as follows. Let A, B, C, D, E, F be vertex-disjoint graphs with |V(A)| = n/2 - 2k + 2, |V(B)| = n/2 - q - 2, |V(C)| = 2k - 2q - 4, |V(D)| = q, |V(E)| = 2q + 3 and |V(F)| = 1 such that A, B and D have no edge and Cand E are complete graphs. Join A completely to B, B completely to  $C \cup D$ ,  $C \cup D$  completely to E, and  $A \cup B \cup C \cup D \cup E$  completely to F. Let H(n, k, q)denote the resulting graph. Then H(n, k, q) satisfies  $\sigma_2(H(n, k, r)) = n/2 + 2k - 2$  and contains k vertex-disjoint triangles, but does not contain k vertexdisjoint copies of S.

We now show that in Theorem 3, the bound on  $\delta(G)$  is sharp. First we consider the case where  $n \ge 8k-1$ . In this case, let s = 0 or  $3k - \lfloor (n+1)/4 \rfloor - 1$  in Example 1, according as  $n \ge 12k-5$  or  $8k-1 \le n \le 12k-6$ . Then F(n,k,s) has minimum degree 4k-2 or  $\lfloor (n+1)/4 \rfloor + k-1 (= \lceil (n-2)/4 \rceil + k-1)$  according as  $n \ge 12k-5$  or  $8k-1 \le n \le 12k-6$ , which means that the bound on  $\delta(G)$  in Theorem 3 is sharp. Next we consider the case where  $4k+1 \le n \le 8k-2$ . In this case, let  $r = \lfloor (n-1)/4 \rfloor - k$  in Example 2. Then G(n,k,r) has minimum degree  $\lfloor (n-3)/2 \rfloor - \lfloor (n-1)/4 \rfloor + k (= \lceil (n-2)/4 \rceil + k-1)$ . Finally we consider the case where  $4k \le n \le 8k-6$  and n is even (this includes the case where n = 4k, which is excluded from the preceding case). In this

case, let  $q = \lfloor n/4 \rfloor - k$  in Example 3. Then H(n, k, q) has minimum degree  $\lfloor n/4 \rfloor + k - 1$ .

### §2. Preparation for the proof of Theorem 2

Let k, G be as in Theorem 2. Write |V(G)| = 4k + l. By assumption, G has k vertex-disjoint triangles. Let  $S_1, \ldots, S_k$  be k vertex-disjoint induced subgraphs of G such that for each i, either  $|V(S_i)| = 4$  and  $S_i$  contains S as a spanning subgraph, or  $S_i \cong K_3$ . We may assume that there exists k' such that  $S_i \supset S$  and  $|V(S_i)| = 4$  for each i with  $1 \le i \le k'$  and  $S_i \cong K_3$  for each i with  $k' + 1 \le i \le k$ . We choose  $S_1, \ldots, S_k$  so that k' is maximum and, subject to the condition that k' is maximum,  $\sum_{i=1}^k |E(S_i)|$  is maximum. If k' = k, then the desired conclusion holds. Hence we may assume that  $k' \le k - 1$ . Let L :=  $\cup_{i=1}^{k'}V(S_i)$  and  $M := \bigcup_{i=k'+1}^{k-1}V(S_i)$ . Let v be a vertex in  $G - L - M - V(S_k)$ . For a subgraph N of G, let  $d_N = 3|E(v, V(N))| + \sum_{x \in V(S_k)}|E(x, V(N))|$ . Note that  $d_G = \sum_{x \in V(S_k)}(|E(v, V(G))| + |E(x, V(G))|) \ge 3\sigma_2(G)$  because  $E(v, V(S_k)) = \emptyset$ . Let  $Z := G - L - M - V(S_k) - v$ . For each i with  $1 \le i \le k'$ , write  $V(S_i) = \{a_i, b_i, c_i, d_i\}$  so that  $d_{S_i}(b_i) \ge d_{S_i}(c_i) \ge d_{S_i}(d_i) \ge d_{S_i}(a_i)$ ; thus  $d_{S_i}(a_i) = 1, d_{S_i}(b_i) = 3, d_{S_i}(c_i) = d_{S_i}(d_i) = 2$  if  $S_i \cong S$ , and  $d_{S_i}(b_i) = d_{S_i}(c_i) = 3$ and  $d_{S_i}(a_i) = d_{S_i}(d_i) = 2$  if  $S_i \cong K_4^-$ .

The main aim of this section is to prove that  $d_{S_i} \leq 13$  for each  $1 \leq i \leq k'$  (see Lemma 2.4). We start with easy lemmas.

**Lemma 2.1.** Let i be an integer with  $1 \le i \le k'$ . Then the following statements hold:

- (i) Suppose that there exists a subgraph X of S<sub>i</sub> such that X ≅ K<sub>3</sub> and N<sub>G</sub>(v) ⊃ V(X). Then S<sub>i</sub> ≅ K<sub>4</sub>.
- (ii) Suppose that there exists a subgraph X of  $S_i$  such that  $X \cong K_3$  and  $|N_G(v) \cap V(X)| \ge 2$ . Then  $S_i \not\cong S$ .
- (iii) If  $S_i \cong K_4^-$ , then  $|E(v, V(S_i))| \leq 3$ .
- (iv) If  $S_i \cong K_4^-$  and  $|E(v, V(S_i))| = 3$ , then  $|N_G(v) \cap \{b_i, c_i\}| = 1$ .
- (v) If  $S_i \cong S$ , then  $|E(v, V(S_i))| \leq 2$ .
- (vi) If  $S_i \cong S$  and  $|E(v, V(S_i))| = 2$ , then  $a_i v \in E(G)$ .

**Proof.** If  $S_i \not\cong K_4$ , and there exists a subgraph X of  $S_i$  such that  $X \cong K_3$  and  $N_G(v) \supset V(X)$ , then by replacing  $S_i$  by  $\langle V(X) \cup \{v\} \rangle$ , we get a contradiction

to the maximality of  $\sum_{i=1}^{k} |E(S_i)|$  because  $\langle V(X) \cup \{v\} \rangle \cong K_4$ . Thus (i) holds, and we can similarly prove (ii). Now (iii) and (iv) immediately follow from (i), and (v) and (vi) follow from (ii).

**Lemma 2.2.** Let  $x \in V(S_k)$ , and let *i* be an integer with  $1 \le i \le k'$ . Then the following statements hold:

- (i) If  $S_i \cong K_4$ , then there exist no independent edges  $xy, vz \in E(G)$  with  $y, z \in V(S_i)$ ; in particular,  $|E(\{x, v\}, V(S_i))| \le 4$ .
- (ii) If  $S_i \cong K_4^-$ , then  $|E(\{x, v\}, V(S_i))| \le 4$ .

**Proof.** Suppose that  $S_i \cong K_4$  and there exist two independent edges  $xy, vz \in E(G)$  with  $y, z \in V(S_i)$ . Then each of  $\langle \{y\} \cup V(S_k) \rangle$  and  $\langle \{v\} \cup V(S_i - y) \rangle$  contains a copy of S, and these two copies of S are vertex-disjoint, which contradicts the maximality of k'. Thus (i) follows. Next suppose that  $S_i \cong K_4^-$  and  $|E(\{v,x\},V(S_i))| \geq 5$ . Then there exist independent edges  $xy, vz \in E(G)$  with  $y, z \in V(S_i)$ . If  $y \in \{a_i, d_i\}$ , then  $\langle \{v\} \cup V(S_i - y) \rangle \supset S$  and  $\langle \{y\} \cup V(S_k) \rangle \supset S$ , which contradicts the maximality of k'. Thus there are no independent edges xy, vz with  $y, z \in V(S_i)$  such that  $y \in \{a_i, d_i\}$ . Since  $|E(\{x, v\}, V(S_i))| \geq 5$ , this implies  $N_G(x) \cap V(S_i) \subseteq \{b_i, c_i\}$  and  $|N_G(v) \cap V(S_i)| \geq 3$ . In view of Lemma 2.1(ii), this forces  $N_G(x) \cap V(S_i) = \{a_i, b_i, d_i\}$ . But then each of  $\langle \{c_i\} \cup V(S_k) \rangle$  and  $\langle \{v\} \cup V(S_i - c_i) \rangle$  contains S, which contradicts the maximality of k'.  $\Box$ 

**Lemma 2.3.** Let *i* be an integer with  $1 \le i \le k'$ . If  $S_i \cong S$ , then  $|E(a_i, V(S_k))| \le 1$ , and equality holds only if  $E(v, V(S_i - a_i)) = \emptyset$ .

**Proof.** Otherwise, we can easily get a contradiction to the maximality of k' or  $\sum_{i=1}^{k} |E(S_i)|$ .

**Lemma 2.4.** Let  $1 \leq i \leq k'$ . Then  $d_{S_i} \leq 13$ , and equality holds only if  $S_i \cong S$ ,  $c_i$  or  $d_i$ , say,  $c_i$ , is adjacent to v,  $E(v, V(S_i)) = \{a_i v, c_i v\}, N_G(a_i) \cap V(S_k) = \emptyset, N_G(d_i) \supset V(S_k), N_G(b_i) \cap V(S_k) = N_G(c_i) \cap V(S_k) \text{ and } |N_G(b_i) \cap V(S_k)| = 2.$ 

**Proof.** If  $S_i \cong K_4$  or  $K_4^-$ , then by Lemma 2.2,  $|E(\{v, x\}, V(S_i))| \leq 4$  for any  $x \in V(S_k)$ , which implies  $d_{S_i} \leq 12$ . Thus we may assume  $S_i \cong$ 

S. If  $E(v, V(S_i)) = \emptyset$ , then  $d_{S_i} = \sum_{x \in V(S_i)} |E(x, V(S_i))| \le 12$ . Hence by Lemma 2.1(v), we may assume  $1 \leq |E(v, V(S_i))| \leq 2$ . Suppose that  $|E(v, V(S_i))| = 1$ . If  $a_i v \notin E(G)$ , then by Lemma 2.3,  $E(a_i, V(S_k)) = \emptyset$ , and hence  $d_{S_i} = 3|E(v, V(S_i))| + \sum_{x \in V(S_k)} |E(x, V(S_i))| \le 3 + 9 = 12$ . Thus we may assume  $a_i v \in E(G)$ . If  $|E(V(S_i), V(S_k))| \ge 10$ , then it follows from Lemma 2.3 that there exists  $x \in V(S_k)$  such that  $N_G(x) \supset V(S_i)$ , and we have  $N_G(y) \supset V(S_i - a_i)$  for each  $y \in V(S_k - x)$ , and hence  $\langle \{x, v, a_i, b_i\} \rangle \supset S$ and  $\langle V(S_k - x) \cup \{c_i, d_i\} \rangle \supset S$ , a contradiction. Thus  $|E(V(S_i), V(S_k))| \leq 9$ , and hence  $d_{S_i} \leq 12$ . Consequently we may assume  $|E(v, V(S_i))| = 2$ . If  $|E(V(S_i), V(S_k))| \leq 6$ , then  $d_{S_i} \leq 12$ . Thus we may assume  $|E(V(S_i), V(S_k))| \geq 6$ 7. Note that by Lemma 2.1(vi) and Lemma 2.3,  $a_i v \in E(G)$  and  $E(a_i, V(S_k)) =$  $\emptyset$ . Hence  $|E(y, V(S_i))| \leq 3$  for each  $y \in V(S_k)$ , and there exists  $x \in V(S_k)$ such that  $|E(x, V(S_i))| = 3$  and  $N_G(x) \cap V(S_i) = \{b_i, c_i, d_i\}$ . If  $vb_i \in E(G)$ , then  $\langle \{v, a_i, b_i, c_i\} \rangle \supset S$  and  $\langle \{d_i\} \cup V(S_k) \rangle \supset S$ , a contradiction. Thus we may assume  $N_G(v) \cap V(S_i) = \{a_i, c_i\}$ . If  $|E(b_i, V(S_k))| = 3$ , then  $\{\{a_i, b_i\} \cup \}$  $V(S_k - x) \ge S, \langle V(S_i - \{a_i, b_i\}) \cup \{x, v\} \ge S, \text{ a contradiction; similarly, if}$  $|E(c_i, V(S_k))| = 3$ , then  $\langle \{v, c_i\} \cup V(S_k - x) \rangle \supset S$  and  $\langle V(S_i - c_i) \cup \{x\} \rangle \supseteq S$ , a contradiction. Thus  $|E(b_i, V(S_k))| \leq 2$  and  $|E(c_i, V(S_k))| \leq 2$ . Since  $|E(V(S_i), V(S_k))| \geq 7$ , this forces  $|E(b_i, V(S_k))| = 2$ ,  $|E(c_i, V(S_k))| = 2$ and  $|E(d_i, V(S_k))| = 3$ , and hence  $d_{S_i} = 13$ . Now if  $(N_G(b_i) \cap V(S_k)) \neq 1$  $(N_G(c_i) \cap V(S_k))$ , say,  $N_G(b_i) \cap V(S_k) = \{x, y\}$  and  $N_G(c_i) \cap V(S_k) = \{x, z\}$ , then  $\langle \{a_i, b_i, x, y\} \rangle \supset S$  and  $\langle \{v, z, c_i, d_i\} \rangle \supset S$ , a contradiction. Thus the lemma follows. 

## Lemma 2.5. $G - L - M - V(S_k) \not\supseteq K_3$ .

**Proof.** We see from the maximality of k' that in  $G - L - M - V(S_k)$ , there is no subgraph isomorphic to S. Thus it suffices to show that there is no triangle component in  $G - L - M - V(S_k)$ . By way of contradiction, let  $S_{k+1}$ be a triangle component in  $G - L - M - V(S_k)$ , and take  $y \in V(S_{k+1})$  and  $x \in V(S_k)$ . Note that by the maximality of k',  $E(V(S_i), V(G - L - V(S_i))) = \emptyset$ for each i with  $k' + 1 \le i \le k + 1$ . We separate the following point of the proof, and present it as a subclaim.

**Subclaim.** Let  $1 \le i \le k'$ . Then there exist no independent edges  $xu, yw \in E(G)$  such that  $u, w \in V(S_i)$ .

**Proof.** If there exist two independent edges  $xu, yw \in E(G)$  such that  $u, w \in V(S_i)$ , then by replacing  $S_i$  by  $\langle \{u\} \cup V(S_k) \rangle$  and  $\langle \{w\} \cup V(S_{k+1}) \rangle$ , we get a contradiction to the maximality of k'.

Now by the subclaim,  $|E(\{x, y\}, V(S_i))| \leq 4$  for each *i* with  $1 \leq i \leq k'$ . Consequently  $d_G(x) + d_G(y) \leq 4k' + 2 + 2 \leq 4(k-1) + 4 = 4k$ . On the other hand, since  $xy \notin E(G)$  by the maximality of k', it follows from the assumption of Theorem 2 that  $d_G(x) + d_G(y) \ge \sigma_2(G) \ge 4k + \frac{l}{2} - 1$ . Hence k' = k - 1, l = 2and, for each i with  $1 \le i \le k-1$ ,  $|E(\{x, y\}, V(S_i))| = 4$ . By the subclaim, this implies that for each i with  $1 \leq i \leq k-1$ , either  $|E(x, V(S_i))| = 4$ and  $E(y, V(S_i)) = \emptyset$  or  $|E(y, V(S_i))| = 4$  and  $E(x, V(S_i))| = \emptyset$ . We may assume there exists t such that  $E(x, V(S_i)) = \emptyset$  for each  $1 \leq i \leq t$  and  $|E(x, V(S_i))| = 4$  for each  $t + 1 \le i \le k - 1$ . Since  $y \in V(S_{k+1})$  is arbitrary, for each  $z \in V(S_{k+1})$ , we have  $|E(\{x, z\}, V(S_i))| = 4$  for each  $1 \le i \le k-1$ , and hence  $|E(z, V(S_i))| = 4$  for each  $1 \le i \le t$  and  $E(z, V(S_i)) = \emptyset$  for each  $t+1 \leq i \leq k-1$ . Thus  $N_G(z) = V(S_{k+1} - \{z\}) \cup (\bigcup_{i=1}^t V(S_i))$  for each  $z \in V(S_{k+1})$ . Now let  $1 \leq i \leq t$ . Applying Lemma 2.1(i) to y, we see that  $S_i \cong K_4$ . Take  $u \in V(S_i)$ . Then arguing as above with  $S_i$  and  $S_{k+1}$  replaced by  $\langle V(S_i-u)\cup\{y\}\rangle$  and  $\langle V(S_{k+1}-\{y\})\cup\{u\}\rangle$ , we obtain  $N_G(u)=((\cup_{i=1}^t V(S_i)) \{u\}$ )  $\cup$   $V(S_{k+1})$ . Consequently  $\langle (\cup_{i=1}^{t} V(S_i)) \cup V(S_{k+1}) \rangle$  is a component of G, and is isomorphic to  $K_{4t+3}$ . Arguing similarly with the roles of  $S_k$  and  $S_{k+1}$ replaced by each other, we also see that  $\langle \bigcup_{i=t+1}^{k} V(S_i) \rangle$  is a component of G and isomorphic to  $K_{4k-4t-1}$ . Therefore,  $G \cong K_{4t+3} \cup K_{4k-4t-1}$ , which contradicts the assumption of Theorem 2. 

#### §3. Proof of Theorem 2

We continue with the notation of the preceding section. Note that Lemmas 2.1 through 2.4 hold for any choice of  $v \in V(G - L - M - V(S_k))$ . In this section, we assume that we have chosen v so that |E(v, V(Z))| is minimum.

Lemma 3.1.  $|E(v, V(Z))| \leq \frac{|V(Z)|+1}{2}$ .

**Proof.** If  $N_{G-L-M-V(S_k)}(v) = \emptyset$ , then the assertion of the lemma obviously holds. Hence we may assume there exists an edge  $vw \in E(G-L-M-V(S_k))$ . By Lemma 2.5, it follows that  $N_{G-L-M-V(S_k)}(v) \cap N_{G-L-M-V(S_k)}(w) = \emptyset$ . Consequently  $|E(v, V(Z))| + |E(w, V(Z))| \le |V(Z)| + 1$ . Hence by the choice of v, the assertion holds.

**Lemma 3.2.** The following statements hold:

- (i) For each i with  $k' + 1 \leq i \leq k 1$ ,  $d_{S_i} \leq 9$ .
- (ii)  $d_Z \leq 3|E(v, V(Z))|$ .

**Proof.** It follows from the maximality of k' that  $E(v, V(S_i)) = \emptyset$  for i with  $k'+1 \le i \le k-1$  and  $E(V(Z), V(S_k)) = \emptyset$ . Hence the desired results obviously hold.

**Lemma 3.3.** There exists i with  $1 \le i \le k'$  such that  $d_{S_i} = 13$ .

**Proof.** Suppose that  $d_{S_i} \leq 12$  for all i with  $1 \leq i \leq k'$ . Then by Lemma 3.1 and Lemma 3.2,  $d_G = \sum_{i=1}^{k-1} d_{S_i} + d_Z + 2 + 2 + 2 \leq 12k' + 9(k-1-k') + \frac{3(|V(Z)|+1)}{2} + 6 = 3k' + 9k - 3 + \frac{3}{2}\{4k + l - 4k' - 3(k-1-k') - 4 + 1\} = 9k + \frac{3}{2}(k+k') + \frac{3}{2}l - 3 \leq 9k + \frac{3}{2}(k+k-1) + \frac{3}{2}l - 3 = 12k + \frac{3}{2}l - \frac{9}{2}$ . On the other hand, by assumption,  $d_G \geq 3\sigma_2(G) \geq 12k + \frac{3}{2}l - 3$ . This is a contradiction.  $\Box$ 

By Lemma 2.4 and Lemma 3.3, we may assume that  $d_{S_1} = 13, S_1 \cong S$ , and  $N_G(v) \cap V(S_1) = \{a_1, c_1\}$ . Write  $V(S_k) = \{a, b, c\}$ . By Lemma 2.4, we may assume  $N_{S_1}(a) = \{d_1\}$ , and  $N_{S_1}(b) = N_{S_1}(c) = \{b_1, c_1, d_1\}$ . For a subgraph N of G, let  $d'_N = 2|E(v, V(N))| + |E(a_1, V(N))| + \sum_{x \in V(S_k)} |E(x, V(N))|$ . Since  $E(\{a_1, v\}, V(S_k)) = \emptyset$ , it follows from the assumption of Theorem 2 that  $d'_G \ge 3\sigma_2(G) \ge 12k + \frac{3}{2}l - 3$ . (A)

Also, note that by the symmetry of the roles of v and  $a_1$  in  $\langle V(S_1) \cup V(S_k) \cup \{v\}\rangle$ , we can apply Lemmas 2.1 through 2.4 to  $a_1$  as well; i.e., we can apply those lemmas with  $S_1$  and v replaced by  $\langle \{v, b_1, c_1, d_1\}\rangle$  and  $a_1$ .

**Lemma 3.4.** For each *i* with  $2 \le i \le k'$ ,  $d'_{S_i} \le 12$ .

**Proof.** Suppose that  $d'_{S_i} \geq 13$ . Let  $p = 3|E(a_1, V(S_i))| + |E(V(S_k), V(S_i))|$ . Applying Lemma 2.4 to v and  $a_1$ , we get  $d_{S_i} \leq 13$  and  $p \leq 13$ . Since  $d'_{S_i} = \frac{2}{3}d_{S_i} + \frac{1}{3}p$ , this implies  $d_{S_i} = 13$  and p = 13. Hence, again applying Lemma 2.4 to v or  $a_1$ , we see that  $S_i \cong S$  and  $a_i v, a_i a_1 \in E(G)$ . Consequently, by replacing  $S_1, S_i, S_k$  by  $\langle \{v, a_1, a_i, b_1\} \rangle$ ,  $\langle \{d_1, a, b, c\} \rangle$ ,  $\langle \{b_i, c_i, d_i\} \rangle$ , respectively, we get a contradiction to the maximality of  $\sum_{i=1}^k |E(S_i)|$  because  $\langle \{d_1, a, b, c\} \rangle \cong K_4$ .  $\Box$ 

**Lemma 3.5.** The following statements hold:

- (i) For each *i* with  $k' + 1 \le i \le k 1$ ,  $d'_{S_i} \le 9$ .
- (ii) For each  $z \in V(Z)$ ,  $|E(\{a_1, v\}, z)| \le 1$ .
- (iii)  $d'_Z \le \frac{3|V(Z)|+1}{2}$ .

**Proof.** It follows from the maximality of k' that  $E(v, V(S_i)) = \emptyset$  for each i with  $k' + 1 \leq i \leq k - 1$ . Also, by symmetry, we have  $E(a_1, V(S_i)) = \emptyset$  for each i with  $k' + 1 \leq i \leq k - 1$ . Hence (i) obviously holds. To show (ii), suppose that  $|E(\{a_1, v\}, z)| \geq 2$ . Then  $\langle \{a_1, b_1, v, z\} \rangle \supset S$  and  $\langle \{d_1, a, b, c\} \rangle \supset K_4$ , which contradicts the maximality of k'. Thus (ii) holds. Now by (ii),  $|E(a, V(Z))| \leq |V(Z)| - |E(v, V(Z))|$ . Since  $E(V(S_k), V(Z)) = \emptyset$ , this together with Lemma 3.1 implies  $d'_Z = 2|E(v, V(Z))| + |E(a, V(Z))| \leq |V(Z)| + |V(Z)| \leq |V(Z)| + |V(Z)|$ . This proves (iii).

By Lemma 3.4 and (i) and (iii) of Lemma 3.5, we now obtain

$$\begin{split} d'_G &\leq 12(k'-1) + 9(k-1-k') + \frac{3}{2}\{4k+l-4k'-3(k-k')-1\} \\ &\quad + \frac{1}{2} + 4 + 2 + 5 + 5 + 3 = 9k + \frac{3}{2}(k+k') + \frac{3}{2}l - 3 \\ &\quad \leq 9k + \frac{3}{2}(k+k-1) + \frac{3}{2}l - 3 = 12k + \frac{3}{2}l - \frac{9}{2}, \end{split}$$

which contradicts (A). This completes the proof of Theorem 2.

### Acknowledgment

I would like to thank Professor Katsuhiro Ota and Dr.Ken-ichi Kawarabayashi for stimulating discussions concerning the problem discussed in this paper.

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