# PROJECTIVE MAPPINGS AND DISTORTION THEOREMS

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## 1. Introduction

Distance- and volume-decreasing theorems have been investigated since Ahlfors [1] extended Schwarz's Lemma. In the complex domain, the results were distortion theorems for various holomorphic (see [9]) and even almostcomplex mappings [5]. In the real domain, the theorems were obtained for certain classes of harmonic mappings, mainly by Chern [2], Goldberg [2], [6], [7], T. Ishihara [7], Petridis [7] and the present author [6], [8].

Although the notion of a projective change of a linear connection is classical, the notion of a projective mapping has not been investigated until recently. Two different notions were investigated, a weaker one by Yano and S. Ishihara [14] and a stronger by Kobayashi. The former, discussed in §2, requires the preservation of paths, while the latter, discussed in §4, requires, in addition, the preservation of the projective parameters of Whitehead [12].

In a recent paper [10], Kobayashi showed that projective mappings of an interval into a Riemannian manifold whose Ricci curvature is negative and bounded away from zero are distance decreasing up to a constant. This is generalized in §5 for mappings of a complete Riemannian manifold whose Ricci curvature is bounded below. In particular, this is valid for the hyperbolic open ball, which is the n-dimensional analog of Kobayashi's interval.

For projective mappings in the sense of Yano, we prove in §3 a volume-decreasing theorem, in the equidimensional case, under the same curvature requirements as above. We also show that the two notions of a projective mapping agree if the mapping is a diffeomorphism.

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## 2. Projective mappings and transformations

Let  $(M, \nabla)$  and  $(M', \nabla')$  be differential manifolds with symmetric linear connections. A curve  $\gamma: I \to M$  with velocity vector  $\dot{\gamma}$  is mapped by a

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smooth mapping  $f: M \to M'$  to a curve  $f \circ \gamma : I \to M'$  with velocity vector  $f_*\dot{\gamma}$ .  $\gamma$  is called a *path* in  $(M, \nabla)$  if its acceleration vector  $\nabla_D \dot{\gamma}$  is tangent to  $\gamma$ , that is,  $\dot{\gamma}$  satisfies the differential equation  $\nabla_D \dot{\gamma} = h\dot{\gamma}$  with a certain smooth function h on I, where D is the differentiation operator in  $\mathbf{R}$ . If an arbitrary path in  $(M, \nabla)$  is mapped into a path in  $(M', \nabla')$ , f is said to be a *projective mapping* (see [14]). If M' coincides with M (in the non-Riemannian case,  $\nabla'$  does not coincide with  $\nabla$  necessarily), and f is a diffeomorphism, f is called a *projective transformation* of M. It is well known, (see [4]), that the identity transformation is projective if and only if there exists a smooth 1-form  $\sigma$  on M with the property that for any two vector fields, X, Y on M,  $\nabla'_X Y - \nabla_X Y = \sigma(X)Y + \sigma(Y)X$ . In this case,  $\nabla'$  and  $\nabla$  are called *projectively related connections*. More generally, let  $M_f$  be the dense open submanifold of M on which rank f attains its maximum (if f has a constant rank,  $M_f = M$ ). We prove:

**Proposition 1.** Let  $f: (M, \nabla) \to (M', \nabla')$  be a smooth mapping, the connections  $\nabla$ ,  $\nabla'$  being symmetric. If f is projective, then there exists a smooth 1-form  $\sigma$  on  $M_f$  such that

(1) 
$$\nabla'_X f_* Y - f_* \nabla_X Y = \sigma(X) f_* Y + \sigma(Y) f_* X.$$

Conversely, if (1) holds with  $\sigma$  defined on M, f is projective.

 $(f_*Y)$  is differentiated as a vector field along f, i.e., a section of the vector bundle  $f^{-1}TM'$  with the connection induced from M'.)

**Proof.** Let  $\overline{\nabla}$  be the covariant differentiation of tensor fields on M with values in the vector fields along f, i.e., the connection in the vector bundle  $(\otimes TM) \otimes f^{-1}TM'$  induced from  $\nabla$  and  $\nabla'$ . Consider  $f_*$  as a section of  $(TM)^* \otimes f^{-1}TM'$ , we have

$$(\overline{\nabla}f_*)(X, Y) = (\overline{\nabla}_X f_*)Y = \nabla'_X f_*Y - f_*\nabla_X Y.$$

If both connections are symmetric,  $\overline{\nabla} f_*$  is a symmetric bilinear form on M (with values in the vector fields along f), and it is sufficient to show that

$$(\overline{\nabla}f_*)(X, X) = 2\sigma(X)f_*X,$$

or even

(2) 
$$(\overline{\nabla}f_*)(\dot{\gamma},\dot{\gamma}) = \nabla'_D f_* \dot{\gamma} - f_* \nabla_D \dot{\gamma} = 2\sigma(\dot{\gamma}) f_* \dot{\gamma},$$

where  $\gamma$  is an arbitrary path in M, is equivalent to the projectiveness of f. Evidently, (2) implies the projectiveness. The converse is also obvious, except the linearity of  $\sigma$ . ( $\sigma(\dot{\gamma})$  is not determined by (2) if  $f_*\dot{\gamma} = 0$ , a situation which does not happen if f is a transformation.) Suppose f is projective;  $f_*: M_p \to M'_{f(p)}, p \in M_f$ , induces a splitting  $M_p = \ker f_* \oplus N_p$ , where N is a smooth distribution on  $M_f$ . Define  $\sigma: N_p \to \mathbb{R}$  by

(3) 
$$\left(\overline{\nabla}f_{*}\right)(v,v) = 2\sigma(v)f_{*}v$$

for  $v \in N_p$ . If  $v, w \in N_p$  are linearly independent, so are  $f_*v$  and  $f_*w$ , thus

$$\begin{aligned} 2\sigma(v)f_*v + 2\sigma(w)f_*w &= \left(\overline{\nabla}f_*\right)(v,v) + \left(\overline{\nabla}f_*\right)(w,w) \\ &= \frac{1}{2}\left(\overline{\nabla}f_*\right)(v+w,v+w) + \frac{1}{2}\left(\overline{\nabla}f_*\right)(v-w,v-w) \\ &= \sigma(v+w)\big(f_*v+f_*w\big) + \sigma(v-w)\big(f_*v-f_*w\big) \\ &= (\sigma(v+w) + \sigma(v-w))f_*v \\ &+ (\sigma(v+w) - \sigma(v-w))f_*w, \end{aligned}$$

which yields  $\sigma(v \pm w) = \sigma(v) \pm \sigma(w)$ , and  $\sigma$  is linear on  $N_p$  ( $\sigma(av) = a\sigma(v)$  evidently). Now, extend  $\sigma$  to  $M_p$  linearly by setting  $\sigma|_{\ker f_*} = 0$ . As N is smooth,  $\sigma$  is a smooth 1-form on  $M_f$ . To show that (3) holds for all  $v \in M_p$ , set  $v = v_1 + v_0$  with  $v_1 \in N_p$ ,  $f_*v_0 = 0$ . Then the symmetry of  $\overline{\nabla}f_*$  implies

$$\begin{split} \left(\overline{\nabla}f_{*}\right)(v,v) &= \left(\overline{\nabla}f_{*}\right)(v_{1},v_{1}) + 2\left(\overline{\nabla}f_{*}\right)(v_{0},v_{1}) + \left(\overline{\nabla}f_{*}\right)(v_{0},v_{0}) \\ &= 2\sigma(v_{1})f_{*}v_{1} = 2\sigma(v)f_{*}v, \end{split}$$

where  $(\overline{\nabla}f_*)(v_0, w) = 0$  for any  $w \in M_p$ .  $(f_*v_0 = 0$  implies  $\nabla'_{v_0}f_*Y = 0$  for any vector field Y on M, because  $\nabla'_{v_0}(Y' \circ f) = \nabla'_{f_*v_0}Y' = 0$  for any field Y' on M', and  $f_*Y$  is locally a combination of vector fields along f with the form Y'  $\circ f$ . Also, a proper extension Y of w may be chosen so that  $\nabla_{v_0}Y = 0$ .) q.e.d.

Let R be the curvature tensor on  $(M, \nabla)$ , defined by  $R(X, Y)Z = \nabla_X \nabla_Y Z$ -  $\nabla_Y \nabla_X Z - \nabla_{[X,Y]} Z$ . Then a straightforward computation together with a use of (1) shows (cf. [13, Chapter 1, Formula 4.6]; R' is defined similarly on M')

(4) 
$$R'(f_*Y, f_*Y)f_*Z = f_*\{R(X, Y)Z + (d\sigma)(X, Y)Z + (\Box\sigma)(X, Z)Y - (\Box\sigma)(Y, Z)X\}$$

on  $M_f$ , where

(5) 
$$(\Box \sigma)(X, Y) = (\nabla \sigma - \sigma \otimes \sigma)(X, Y) = (\nabla_X \sigma)(Y) - \sigma(X)\sigma(Y),$$

and

$$(d\sigma)(X, Y) = (\nabla \sigma)(X, Y) - (\nabla \sigma)(Y, X) = (\nabla_X \sigma)(Y) - (\nabla_Y \sigma)(X)$$

as  $\nabla$  is symmetric. If f is a projective transformation, we have

$$f_{*}^{-1}R'(f_{*}X, f_{*}Y)f_{*}Z = R(X, Y)Z + (d\sigma)(X, Y)Z + (\Box\sigma)(X, Z)Y - (\Box\sigma)(Y, Z)X$$

Let Ric be the Ricci tensor on  $(M, \nabla)$ , defined by Ric $(Y, Z) = tr(X \rightarrow R(X, Y)Z)$ , then we get (Ric' is defined similarly on M')

$$\operatorname{Ric}'(f_*Y, f_*Z) = \operatorname{Ric}(Y, Z) + (d\sigma)(Y, Z) + (\Box\sigma)(Y, Z) - n(\Box\sigma)(Y, Z)$$

on  $M_f = M$ , or

(6) 
$$f^* \operatorname{Ric}' = \operatorname{Ric} - d\sigma - (n-1) \Box \sigma$$

The relation is equally true for a projective mapping of equidimensional manifolds, except at the singularities of  $f_*$ , i.e., at the points where f is *degenerate*.

### 3. A volume-decreasing theorem

Let  $f: M \to M'$  be a projective mapping of equidimensional Riemannian manifolds, with the metrics  $\langle , \rangle$  and  $\langle , \rangle'$  and the Levi-Civita connections  $\nabla$  and  $\nabla'$  respectively. Let V be the unit frame field of  $\Lambda^n TM$ , dual to the volume *n*-form on M, and set

$$u = \langle f_* V, f_* V \rangle',$$

where  $f_*$  and  $\langle , \rangle'$  are naturally extended to  $\Lambda^n TM$  and  $f^{-1}\Lambda^n TM'$  respectively. f is volume decreasing (up to a constant C) if and only if  $u \leq 1$  ( $\leq C^2$  respectively), and f is degenerate at p if and only if u(p) = 0. (Note that u is globally defined even if M is nonorientable.)

Let  $\gamma$  be a geodesic in  $M_f$ , and  $(X_i)_{i=1}^n$  a parallel frame field along  $\gamma$  such that  $V \circ \gamma = X_1 \wedge \cdots \wedge X_n$  and  $\dot{\gamma} = X_1$ . As f is projective,

$$\nabla'_D f_* X_i = \sigma(\dot{\gamma}) f_* X_i + \sigma(X_i) f_* \dot{\gamma},$$

so

$$\nabla'_{D}f_{*}V \circ \gamma = \sum_{i=1}^{n} f_{*}X_{1} \wedge \cdots \wedge \nabla'_{D}f_{*}X_{i} \wedge \cdots \wedge f_{*}X_{n}$$
$$= \sum_{i=1}^{n} \sigma(\dot{\gamma})f_{*}V \circ \gamma + \delta_{1i}\sigma(\dot{\gamma})f_{*}V \circ \gamma$$
$$= (n+1)\sigma(\dot{\gamma})f_{*}V \circ \gamma.$$

Thus

$$du(\dot{\gamma}) = D(u \circ \gamma) = 2\langle f_*V \circ \gamma, \nabla'_D f_*V \circ \gamma \rangle' = 2(n+1)\sigma(\dot{\gamma})(u \circ \gamma),$$

or

$$du=2(n+1)u\sigma.$$

.

Hence, at all the points where f is nondegenerate,

(7) 
$$\sigma = \frac{du}{2(n+1)u}$$

(As a result we find that if f is an immersion,  $\sigma$  is exact.)

We now substitute  $\sigma$  as given by (7) in (6). We have

$$\nabla \sigma = \frac{1}{2(n+1)} \left( \frac{\nabla^2 u}{u} - \frac{du \otimes du}{u^2} \right)$$

so

$$\Box \sigma = \frac{1}{2(n+1)} \frac{\nabla^2 u}{u} - \frac{2n+3}{4(n+1)^2} \frac{du \otimes du}{u^2},$$

and also

$$d\sigma = 0.$$

Thus, at the points where  $u \neq 0$ ,

$$f^* \operatorname{Ric}' = \operatorname{Ric} - \frac{n-1}{2(n+1)} \frac{\nabla^2 u}{u} + \frac{(n-1)(2n+3)}{4(n+1)^2} \frac{du \otimes du}{u^2}$$

Taking the trace of both sides with respect to  $\langle , \rangle$ , we obtain

$$S' = S - \frac{n-1}{2(n+1)} \frac{\Delta u}{u} + \frac{(n-1)(2n+3)}{4(n+1)^2} \frac{\langle du, du \rangle}{u^2}$$

where  $\Delta$  is the Laplacian on M, S is the scalar curvature of M, and S' is the trace of  $f^*$  Ric'. We have locally

$$S' = \sum_{i=1}^{n} (f^* \operatorname{Ric})(E_i, E_i)$$

with  $(E_i)$  an arbitrary orthonormal frame field in M.

**Theorem 1.** Let  $f: M \to M'$  be a projective mapping of n-dimensional Riemannian manifolds, M being complete. If the Ricci curvature of M is bounded below by a constant -A, and the Ricci curvature of M' is bounded above by a constant -B < 0, then either f is totally degenerate, or A > 0 and f is volume decreasing up to a constant  $(A/B)^{n/2}$ .

*Proof.* By the curvature assumption we have

$$S = \sum_{n=1}^{n} \operatorname{Ric}(E_{i}, E_{i}) \geq -nA,$$

$$S' = \sum_{i=1}^{n} \operatorname{Ric}'(f_{*}E_{i}, f_{*}E_{i})$$

$$\leq -B \sum_{i=1}^{n} \langle f_{*}E_{i}, f_{*}E_{i} \rangle'$$

$$\leq -nB(\langle f_{*}E_{1} \wedge \cdots \wedge f_{*}E_{n}, f_{*}E_{1} \wedge \cdots \wedge f_{*}E_{n} \rangle')^{1/n}$$

$$= -nBu^{1/n}.$$

Thus

$$-nBu^{1/n} \geq -nA - \frac{n-1}{2(n+1)}\frac{\Delta u}{u},$$

or (B > 0)

$$u\left(u^{1/n}-\frac{A}{B}\right)\leqslant\frac{n-1}{2n(n+1)B}\Delta u,$$

wherever  $u \neq 0$ . The proof is concluded by Omori-Yau maximum principle (see Lemma below), which provides a sequence of points  $(p_{\nu})$  in M with the properties

$$\lim_{\nu\to\infty} u(p_{\nu}) = \sup u(\leq \infty), \quad \lim_{\nu\to\infty} \frac{(\Delta u)(p_{\nu})}{(u(p_{\nu}) + \delta)^{1+2\alpha}} \leq 0$$

with  $\alpha$ ,  $\delta$  arbitrary positive numbers. Hence

$$\lim_{\nu\to\infty}\frac{u(p_{\nu})\big((u(p_{\nu}))^{1/n}-(A/B)\big)}{(u(p_{\nu})+\delta)^{1+2\alpha}}\leq 0.$$

Choose  $0 < \alpha < \frac{1}{2n}$ . Then the degree of the denominator is lower than the degree of the numerator, thus  $\sup u$  is finite, and either  $u \equiv 0$  or  $0 < \sup u < (A/B)^n$ . q.e.d.

The above proof uses the following version of the maximum principle, which is proved in [6].

**Lemma.** Let M be a complete Riemannian manifold with Ricci curvature bounded below, and let u be a  $C^2$  function on M. Then, for any  $\alpha > 0$  and  $\delta > -\sup u$ , there exists a sequence  $(p_{\nu})$  in M such that

$$\lim_{\nu \to \infty} u(p_{\nu}) = \sup u, \quad \lim_{\nu \to \infty} \frac{\|du(p_{\nu})\|}{|u(p_{\nu}) + \delta|^{1+\alpha}} = 0, \quad \lim_{\nu \to \infty} \frac{(\Delta u)(p_{\nu})}{|u(p_{\nu}) + \delta|^{1+2\alpha}} \le 0.$$

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## 4. Strongly projective mappings

The discussion in §3 assumed the validity of (6), which is not true in the general situation. We shall now show that a similar formula can be proven even if dim  $M \neq \dim M'$ , for a restricted class of projective mappings.

We first discuss the classical situation, in which  $\nabla'$  and  $\nabla$  are projectively related connections in M, i.e., the identity transformation id:  $(M, \nabla) \rightarrow$  $(M, \nabla')$  is projective. If  $\gamma: I \rightarrow M$  is a  $\nabla$ -geodesic, we have

$$(\nabla \sigma)(\dot{\gamma}, \dot{\gamma}) = (\nabla_{\dot{\gamma}} \sigma)(\dot{\gamma}) = \nabla_D(\sigma \circ \gamma)(\dot{\gamma}) = D(\sigma(\dot{\gamma})) - \sigma(\nabla_D \dot{\gamma}) = D(\sigma(\dot{\gamma})),$$
  
or

(8) 
$$(\Box \sigma)(\dot{\gamma}, \dot{\gamma}) = D(\sigma(\dot{\gamma})) - (\sigma(\dot{\gamma}))^2.$$

Let  $\phi: I \to \tilde{I}$  be a reparameterization of  $\gamma$ , such that  $\tilde{\gamma} = \gamma \circ \phi^{-1} : \tilde{I} \to M$  is a  $\nabla'$ -geodesic. ( $\phi$  is called an *affine parameter* with respect to  $\nabla'$ .) Then

$$\dot{\tilde{\gamma}} = (D\phi^{-1})\dot{\gamma} \circ \phi^{-1} = \frac{\dot{\gamma}}{D\phi} \circ \phi^{-1}$$

implies

$$\nabla'_D \dot{\tilde{\gamma}} = \left[ \frac{\nabla'_D \dot{\gamma}}{\left( D \phi \right)^2} - \frac{\left( D^2 \phi \right) \dot{\gamma}}{\left( D \phi \right)^3} \right] \circ \phi^{-1} = 0,$$

or

(9) 
$$\nabla'_D \dot{\gamma} = \frac{D^2 \phi}{D \phi} \dot{\gamma}.$$

Thus, by (2), if  $\gamma$  is not constant, we get  $2\sigma(\dot{\gamma}) = (D^2 \phi)/(D\phi)$ , and

(10) 
$$(\Box\sigma)(\dot{\gamma},\dot{\gamma}) = \frac{1}{2} \left( D \left( \frac{D^2 \phi}{D \phi} \right) - \frac{1}{2} \left( \frac{D^2 \phi}{D \phi} \right)^2 \right) = \frac{1}{2} \, \Im \, \phi,$$

where  $\mathcal{S}$  is the Schwarzian differentiation operator. We reparametrize  $\gamma$  and  $\tilde{\gamma}$  using the classical projective parameters [12], i.e., the solutions  $p: I \to \mathbb{R}$  and  $\tilde{p}: \tilde{I} \to \mathbb{R}$  of the differential equation

$$\begin{split} & \delta p = \frac{2}{n-1} \operatorname{Ric}(\dot{\gamma}, \dot{\gamma}), \\ & \delta \tilde{p} = \frac{2}{n-1} \operatorname{Ric}'(\dot{\tilde{\gamma}}, \dot{\tilde{\gamma}}) = \left[\frac{2}{n-1} \frac{1}{\left(D\phi\right)^2} \operatorname{Ric}'(\dot{\gamma}, \dot{\gamma})\right] \circ \phi^{-1}. \end{split}$$

Then, using the chain rule for S as well as (6) and (10), we get

$$\begin{split} \mathbb{S}(\tilde{p} \circ \phi) &= (D\phi)^2(\tilde{\mathbb{S}}\tilde{p}) \circ \phi + \tilde{\mathbb{S}}\phi \\ &= \frac{2}{n-1} \Big( \operatorname{Ric}'(\dot{\gamma}, \dot{\gamma}) + \frac{n-1}{2} \, \tilde{\mathbb{S}}\phi \Big) = \frac{2}{n-1} \operatorname{Ric}(\dot{\gamma}, \dot{\gamma}). \end{split}$$

This means that if  $\tilde{p}$  is a projective parameter for the  $\nabla'$ -geodesic  $\tilde{\gamma}$ , then  $\tilde{p} \circ \phi$ is a projective parameter for the  $\nabla$ -geodesic  $\gamma = \tilde{\gamma} \circ \phi$ , and if p is any other projective parameter for  $\gamma$ , then  $S(\tilde{p} \circ \phi) = Sp$  implies that  $\tilde{p} \circ \phi = (C_1p + C_2)/(C_3p + C_4)$ . This is the classical statement that a projective change of the symmetric affine connection preserves both paths and their projective parameters.

**Definition**. A smooth mapping  $f: M \to M'$  is said to be strongly projective if it maps each path in M into a path in M', preserving the projective parameters.

By (6), a projective transformation is strongly projective. In the general situation, we prove

**Proposition 2.** Let  $f: M \to M'$  be a strongly projective mapping of manifolds with symmetric linear connection. Then for each  $v \in TM$  with  $f_*v \neq 0$ ,

$$(f^*\operatorname{Ric}')(v,v) = \operatorname{Ric}(v,v) - \frac{n-1}{2} \operatorname{S} \phi|_{\circ}$$

where  $\phi$  is an affine parameter for the path  $t \mapsto (f \circ \exp)(tv)$ . In particular, if  $v \in TM_{f}$ ,

$$(f^* \operatorname{Ric}')(v, v) = \operatorname{Ric}(v, v) - (n - 1)(\Box \sigma)(v, v).$$

**Proof.** Let  $\gamma$  be the geodesic in M with  $\dot{\gamma}(0) = v$ . Then  $\tilde{\gamma} = f \circ \gamma \circ \phi^{-1}$  is a geodesic in M'. Let p,  $\tilde{p}$  be projective parameters for  $\gamma$  and  $\tilde{\gamma}$  respectively. Then

$$\begin{split} & \mathcal{S}p = \frac{2}{n-1} \operatorname{Ric}(\dot{\gamma}, \dot{\gamma}), \\ & \mathcal{S}(\tilde{p} \circ \phi) = \frac{2}{n-1} \Big( \operatorname{Ric}'(f_* \dot{\gamma}, f_* \dot{\gamma}) + \frac{n-1}{2} \mathcal{S}\phi \Big). \end{split}$$

If f is strongly projective,  $\mathcal{S}(\tilde{p} \circ \phi) = \mathcal{S}p$  implies

$$\operatorname{Ric}'(f_*\gamma, f_*\dot{\gamma}) = \operatorname{Ric}(\dot{\gamma}, \dot{\gamma}) - \frac{n-1}{2} \, \delta \, \phi,$$

and the assertion follows from (10) for the path  $f \circ \gamma$ .

#### 5. A distance-decreasing theorem

In this section we restrict ourselves again to the Riemannian case. We shall show that under the curvature conditions already discussed in §3, a strongly projective mapping is distance decreasing up to a constant.

**Theorem 2.** Let  $f: M \to M'$  be a strongly projective mapping of Riemannian manifolds, M being complete. If the Ricci curvature of M is bounded below by a constant -A, and the Ricci curvature of M' is bounded above by a constant

-B < 0, then either f is constant, or A > 0 and f is distance decreasing up to a constant  $(A/B)^{1/2}$ .

**Proof.** We show that for each  $v \in TM$  with ||v|| = 1,  $||f_*v||^2 \le A/B$ . Let  $\gamma$  be the unit-speed geodesic with  $\dot{\gamma}(0) = v$ . Set  $u = ||f_*\dot{\gamma}||^2$ . As  $f \circ \gamma$  is a path, either it is constant and  $u \equiv 0$ , or else u is nowhere zero, in which case we show that  $u \le A/B$  along  $\gamma$ . By (9) we have, for an affine parameter  $\phi$  for  $f \circ \gamma$ ,

$$\frac{D^2 \phi}{D \phi} = \frac{\langle \nabla'_D f_* \dot{\gamma}, f_* \dot{\gamma} \rangle'}{\langle f_* \dot{\gamma}, f_* \dot{\gamma} \rangle'} = \frac{Du}{2u},$$

Thus

$$\frac{1}{2} \operatorname{S} \phi = \frac{1}{4} \frac{D^2 u}{u} - \frac{5}{16} \left( \frac{D u}{u} \right)^2,$$

and by Proposition 2 (as  $f_*\dot{\gamma} \neq 0$ )

$$(f^* \operatorname{Ric})(\dot{\gamma}, \dot{\gamma}) = \operatorname{Ric}(\dot{\gamma}, \dot{\gamma}) - \frac{n-1}{4} \frac{D^2 u}{u} + \frac{5(n-1)}{16} \left(\frac{Du}{u}\right)^2.$$

Since

$$\operatorname{Ric}(\dot{\gamma}, \dot{\gamma}) \geq -A \|\dot{\gamma}\|^2 = -A, \quad (f^* \operatorname{Ric}')(\dot{\gamma}, \dot{\gamma}) \leq -B \|f_*\gamma\|^2 = -Bu,$$

we have

$$-Bu \geq -A - \frac{n-1}{4} \frac{D^2 u}{u},$$

or

$$u\left(u-\frac{A}{B}\right) \leq \frac{n-1}{4} D^2 u.$$

Finally, we take a sequence of real numbers  $(t_{\nu})$  with the properties

$$\lim_{\nu\to\infty} u(t_{\nu}) = \sup u(<\infty), \quad \lim_{\nu\to\infty} \frac{(D^2 u)(t_{\nu})}{(u(t_{\nu}) + \delta)^{1+2\alpha}} < 0,$$

where  $\alpha$ ,  $\delta$  are arbitrary positive numbers. This follows from the Lemma, or from a similar statement about  $u \in C^2(-\infty, \infty)$ . Hence

$$\lim_{\nu\to\infty}\frac{u(t_{\nu})(u(t_{\nu})-(A/B))}{(u(t_{\nu})+\delta)^{1+2\alpha}}\leq 0,$$

and since by our assumption  $u \neq 0$ , we obtain, for  $\alpha < \frac{1}{2}$ , that  $0 < \sup u \leq A/B$ .

Since a projective transformation and its inverse are strongly projective, we get

**Corollary.** A projective transformation of a negatively curved complete Einstein manifold is an isometry.

This generalizes a result of Couty [3] for infinitesimal projective transformations.

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