# On the Iwasawa Invariants of the Cyclotomic $\mathbb{Z}_2$ -Extensions of Certain Real Quadratic Fields

#### Yoshinori NISHINO

Waseda University
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**Abstract.** We study some conditions that the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the the cyclotomic  $\mathbb{Z}_2$ -extension of  $k = \mathbb{Q}(\sqrt{pq})$  with  $p \equiv 7 \pmod 8$ ,  $q \equiv 1 \pmod 8$ ,  $p \pmod 8$ , p

### 1. Introduction

Let k be a finite extension of the field  $\mathbf{Q}$  of rational numbers, l any prime number, and  $k_{\infty}$  the cyclotomic  $\mathbf{Z}_l$ -extension of k, where  $\mathbf{Z}_l$  is the ring of l-adic integers. Then  $k_{\infty}$  has the unique subfield  $k_n$  which is a cyclic extension of degree  $l^n$  over k for any integer  $n \geq 0$ . Let  $e_n$  be the highest power of l dividing the class number of  $k_n$ . The following theorem about  $e_n$  is well-known as Iwasawa's class number formula.

THEOREM 1 (Iwasawa) (cf. [4], [9]). There exist integers  $\lambda_l(k)$ ,  $\mu_l(k) \geq 0$ ,  $\nu_l(k)$ , all independent of n, and an integer  $n_0$  such that

$$e_n = \lambda_l(k)n + \mu_l(k)l^n + \nu_l(k)$$

*for all*  $n \ge n_0$ .

 $\lambda_l(k)$ ,  $\mu_l(k)$ , and  $\nu_l(k)$  are called Iwasawa  $\lambda$ -,  $\mu$ -, and  $\nu$ -invariants of  $k_\infty$ , respectively. Greenberg conjectured that if k is a totally real number field, then  $\lambda_l(k) = \mu_l(k) = 0$  for any prime number l (cf. [2]).Many authors have studied the conditions that Iwasawa  $\lambda$ -,  $\mu$ -invariants are zero. In this paper, we prove the following theorem related to the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the cyclotomic  $\mathbf{Z}_2$ -extensions of certain real quadratic fields.

THEOREM 2. Let p, q be prime numbers such that

$$p \equiv 7 \pmod{8}$$
,  $q \equiv 1 \pmod{8}$ ,  $\left(\frac{p}{q}\right) = -1$ ,

where  $(\frac{*}{*})$  is Legendre's symbol. Let  $k = \mathbf{Q}(\sqrt{pq})$  or  $\mathbf{Q}(\sqrt{2pq})$ , and  $\lambda_2(k)$ ,  $\mu_2(k)$ , the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the cyclotomic  $\mathbf{Z}_2$ -extension  $k_{\infty}$  of k, respectively.

- (1) If  $q \equiv 9 \pmod{16}$ , then  $\lambda_2(k) = \mu_2(k) = 0$ .
- (2) If  $q \equiv 1 \pmod{16}$ ,  $p \equiv 7 \pmod{16}$ , and  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ , then  $\lambda_2(k) = \mu_2(k) = 0$ .

## 2. Known results

There are many results about the Iwasawa invariants of the cyclotomic  $\mathbb{Z}_2$ -extensions of real quadratic fields. We refer to some of them in this section.

Let n be a non-negative integer,  $a_n = 2\cos(\frac{2\pi}{2^{n+2}})$  and  $\mathbf{Q}_n = \mathbf{Q}(a_n)$ . Then  $\mathbf{Q}_n \subset \mathbf{Q}_{n+1}$  by  $a_{n+1} = \sqrt{2+a_n}$ .  $\mathbf{Q}_n$  is a cyclic extension of  $\mathbf{Q}$  of degree  $2^n$  and  $\mathbf{Q}_\infty = \bigcup_{n=0}^\infty \mathbf{Q}_n$  is the unique  $\mathbf{Z}_2$ -extension of  $\mathbf{Q}$ . Weber proved that  $\lambda_2(\mathbf{Q}) = \mu_2(\mathbf{Q}) = \nu_2(\mathbf{Q}) = 0$  (cf. [3], Satz 6, p.29).

Let m be a positive square-free integer, let  $k = \mathbf{Q}(\sqrt{m})$ , and  $k_n = k\mathbf{Q}_n$ . Then the cyclotomic  $\mathbf{Z}_2$ -extension  $k_\infty$  of k is given by  $\bigcup_{n=0}^\infty k_n = k\mathbf{Q}_\infty$ . If m > 2,  $k_1 = \mathbf{Q}(\sqrt{2}, \sqrt{m})$  contains just three real quadratic subfields  $\mathbf{Q}_1$ , k,  $k' = \mathbf{Q}(\sqrt{2m})$ . Hence k and k' have the same cyclotomic  $\mathbf{Z}_2$ -extension, which means the Iwasawa invariants are also the same.

Iwasawa proved that for each prime number l, if a Galois l-extension K/k of number fields has at most one (finite or infinite) ramified prime and the class number of k is not divisible by l, then the class number of K is also not divisible by l (cf. [5]). This implies if a real quadratic field k with odd class number has only one prime ideal above the prime number 2, then the class number of  $k_n$  is also odd for each  $n \ge 0$ , i.e.,  $\lambda_2(k) = \mu_2(k) = \nu_2(k) = 0$ . Moreover, by genus theory and the theorem of Rédei and Reichardt (cf. [8]), we can determine the real quadratic fields which have odd class number and only one prime ideal above the prime number 2. Hence we obtain the following:

THEOREM 3. Let  $k = \mathbf{Q}(\sqrt{m})$  or  $\mathbf{Q}(\sqrt{2m})$  and let  $\lambda_2(k)$ ,  $\mu_2(k)$ ,  $\nu_2(k)$  be the Iwasawa  $\lambda$ -,  $\mu$ -, and  $\nu$ -invariants of the cyclotomic  $\mathbf{Z}_2$ -extension  $k_{\infty}$  of k, respectively. Suppose that m is one of the following:

- (1) m=2,
- (2) m = p  $p \equiv 5 \pmod{8}$ ,
- (3) m = q  $q \equiv 3 \pmod{4}$ ,
- (4) m = pq  $p \equiv 3, q \equiv 7 \pmod{8}$ ,

where p and q are prime numbers. Then we have  $\lambda_2(k) = \mu_2(k) = \nu_2(k) = 0$ .

These cases are often called trivial cases.

On the other hand, Ozaki and Taya, Fukuda and Komatsu proved the following theorems which are non-trivial.

THEOREM 4 (Ozaki-Taya) (cf. [7]). Let  $k = \mathbf{Q}(\sqrt{m})$  or  $\mathbf{Q}(\sqrt{2m})$  and let  $\lambda_2(k)$ ,  $\mu_2(k)$  be the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the cyclotomic  $\mathbf{Z}_2$ -extension  $k_{\infty}$  of k, respectively. Suppose that m is one of the following:

- (1) m = p  $p \equiv 1 \pmod{8}$  and  $2^{\frac{p-1}{4}} \not\equiv (-1)^{\frac{p-1}{8}} \pmod{p}$ ,
- (2) m = pq  $p \equiv q \equiv 3 \pmod{8}$ ,
- (3) m = pq  $p \equiv 3, q \equiv 5 \pmod{8}$ ,
- (4) m = pq  $p \equiv 5, q \equiv 7 \pmod{8}$ ,
- (5) m = pq  $p \equiv q \equiv 5 \pmod{8}$ ,

where p and q are distinct prime numbers. Then we have  $\lambda_2(k) = \mu_2(k) = 0$ .

THEOREM 5 (Fukuda-Komatsu) (cf. [1]). Let  $k = \mathbf{Q}(\sqrt{m})$  or  $\mathbf{Q}(\sqrt{2m})$  and let  $\lambda_2(k)$ ,  $\mu_2(k)$  be the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the cyclotomic  $\mathbf{Z}_2$ -extension  $k_{\infty}$  of k, respectively. Suppose that

$$m=pq$$
  $p\equiv 3$ ,  $q\equiv 1\pmod 8$ ,  $\left(\frac{p}{q}\right)=-1$  and  $2^{\frac{q-1}{4}}\equiv -1\pmod q$ ,

where p and q are prime numbers and  $(\frac{*}{*})$  is Legendre's symbol. Then we have  $\lambda_2(k) = \mu_2(k) = 0$ .

Theorem 2 deals with non-trivial cases. We prove it according to the idea of Theorem 5.

#### 3. Preparation

To prove Theorem 2 we need some preparation which were also used in the proof of Theorem 5.

Let p and q be prime numbers such that  $p \equiv 7 \pmod 8$ ,  $q \equiv 1 \pmod 8$ ,  $(\frac{p}{q}) = -1$ , and  $k = \mathbf{Q}(\sqrt{pq})$ ,  $k_n = k\mathbf{Q}_n$ ,  $k_\infty = \bigcup_{n=0}^\infty k_n$ .

Since  $k_n = k(a_n) = k_{n-1}(\sqrt{2+a_{n-1}})$ , we have  $N_{k_n/k_{n-1}}(2-a_n) = (2-a_n)(2+a_n) = 2-a_{n-1}$ , where  $N_{k_n/k_{n-1}}$  is the norm. Thus  $N_{k_n/k}(2-a_n) = 2$ . Since  $a_n$  is an algebraic integer of  $\mathbf{Q}_n$ , it means  $2\mathfrak{D}_{\mathbf{Q}_n} = (2-a_n)^{2^n}\mathfrak{D}_{\mathbf{Q}_n} = (2+a_n)^{2^n}\mathfrak{D}_{\mathbf{Q}_n}$ , where  $\mathfrak{D}_{\mathbf{Q}_n}$  is the integer ring of  $\mathbf{Q}_n$ . So the ideal  $(2-a_n)\mathfrak{D}_{\mathbf{Q}_n} = (2+a_n)\mathfrak{D}_{\mathbf{Q}_n}$  is the unique prime ideal of  $\mathbf{Q}_n$  lying above 2. Therefore the square of the unique prime ideal  $\mathfrak{L}_n$  of  $k_n$  lying above 2 is  $(2-a_n)\mathfrak{D}_{k_n}$ , where  $\mathfrak{D}_{k_n}$  is the integer ring of  $k_n$ .

First, we show the following important proposition.

PROPOSITION 1. Let k be as above and  $\lambda_2(k)$ ,  $\mu_2(k)$  the Iwasawa  $\lambda$ -,  $\mu$ -invariants of the cyclotomic  $\mathbb{Z}_2$ -extension  $k_{\infty}$  of k, respectively. If there exists a non-negative integer  $n_0$  such that  $\mathfrak{L}_{n_0}$  is non-principal in  $k_{n_0}$ , then  $\lambda_2(k) = \mu_2(k) = 0$ .

PROOF. Let  $A_n$  be the 2-Sylow subgroup of the ideal class group of  $k_n$ ,  $B_n$  the subgroup of  $A_n$  consisting of ideal classes invariant under the action of  $Gal(k_n/k)$  and  $B'_n$  the subgroup of  $B_n$  consisting of ideal classes containing ideals invariant under the action of  $Gal(k_n/k)$ . Then by genus formula, we have

$$o(B_n) = 2\text{-part of } h_k/(E_k : E_k \cap N_{k_n/k}(k_n^{\times})),$$
  
 $o(B'_n) = 2\text{-part of } h_k/(E_k : N_{k_n/k}(E_{k_n})),$ 

where  $o(B_n)$  is the order of  $B_n$ ,  $h_k$  the class number of k,  $E_k$  the unit group of k,  $k_n^\times$  the group of invertible elements of  $k_n$ ,  $(E_k:E_k\cap N_{k_n/k}(k_n^\times))$  the index of  $E_k\cap N_{k_n/k}(k_n^\times)$  in  $E_k$ ,  $o(B_n')$  the order of  $B_n'$ ,  $E_{k_n}$  the unit group of  $k_n$ ,  $(E_k:N_{k_n/k}(E_{k_n}))$  the index of  $N_{k_n/k}(E_{k_n})$  in  $E_k$ . By genus fomula, we can also show that  $k(\sqrt{q})$  is the 2-genus field of  $k/\mathbb{Q}$ . Let G be  $Gal(k/\mathbb{Q})$ ,  $\sigma$  a generator of G,  $A_0^G$  the subgroup of  $A_0$  consisting of ideal classes invariant under the action of G. Then  $A_0/A_0^{1-\sigma}\cong Gal(k(\sqrt{q})/k)$  by Artin map. Since  $(\frac{p}{q})=-1$ , we have  $A_0=A_0^GA_0^{1-\sigma}$ , which shows  $A_0=A_0^G$ . It follows that the 2-Hilbelt class field of k is  $k(\sqrt{q})$  and we obtain  $o(B_n)=2/(E_k:E_k\cap N_{k_n/k}(k_n^\times))$ ,  $o(B_n')=2/(E_k:N_{k_n/k}(E_{k_n}))$ . Hence by the assumption, we have  $B_n=B_n'=\langle cl(\mathfrak{L}_n)\rangle\cong \mathbb{Z}/2\mathbb{Z}$  for all  $n\geq n_0$ , where  $cl(\mathfrak{L}_n)$  is the ideal class of  $k_n$  containing  $\mathfrak{L}_n$ ,  $\langle cl(\mathfrak{L}_n)\rangle$  the group generated by  $cl(\mathfrak{L}_n)$ , and  $\mathbb{Z}$  the ring of rational integers. Since  $N_{k_n/k_{n_0}}(\mathfrak{L}_n)=\mathfrak{L}_{n_0}$ , the norm map  $N_{k_n/k_{n_0}}$  of  $B_n$  to  $B_{n_0}$  is an isomorphism, which shows that the intersection of  $B_n$  and the kernel  $C_n$  of the norm map  $A_n$  to  $A_{n_0}$  is trivial. It means  $C_n$  is also trivial. Therefore, since  $N_{k_n/k_{n_0}}(A_n)=A_{n_0}$ ,  $A_n$  is isomorphic to  $A_{n_0}$ , which implies  $\lambda_2(k)=\mu_2(k)=0$ .

REMARK 1. Since the 2-Hilbelt class field of k is  $k(\sqrt{q})$  and  $q \equiv 1 \pmod 8$ ,  $\mathfrak{L}_0$  is principal in k.

Since  $q\equiv 1\pmod 8$ , q splits completely in  $\mathbf{Q}_1$ . Moreover, the class number of  $\mathbf{Q}_1$  is 1 and  $N_{\mathbf{Q}_1/\mathbf{Q}}(1+\sqrt{2})=-1$ . Hence there exist positive integers r,s such that  $q=(r+s\sqrt{2})(r-s\sqrt{2})$ . Let  $q_1=r+s\sqrt{2}$ ,  $q_2=r-s\sqrt{2}$  (Note that  $q_1,q_2$  are totally positive.). Then there exist integers a,b,c,d with  $q_1=a+b\sqrt{2}+4\sqrt{2}(c+d\sqrt{2})$ ,  $0\leq a\leq 8$ ,  $0\leq b\leq 3$  and we have  $q=q_1q_2\equiv a^2-2b^2\pmod {16}$ . Thus if  $q\equiv 1\pmod {16}$ , then

$$q_i \equiv \pm 1, \pm (1 + \sqrt{2})^2 \pmod{4\sqrt{2}} - (i)$$

and if  $q \equiv 9 \pmod{16}$ , then

$$q_i \equiv \pm 3, \pm (1 + 2\sqrt{2}) \pmod{4\sqrt{2}}$$
. — (ii)

On the other hand, since  $p \equiv 7 \pmod 8$ , p also splits completely in  $\mathbb{Q}_1$ . So there exist positive integers t,u such that  $p = (t + u\sqrt{2})(t - u\sqrt{2})$ . Let  $p_1 = t + u\sqrt{2}$ ,  $p_2 = t - u\sqrt{2}$  (Note that  $p_1$ ,  $p_2$  are also totally positive.). In the same way as above, we can show that if  $p \equiv 7 \pmod {16}$ , then

$$p_i \equiv 3 \pm \sqrt{2}, -3 \pm \sqrt{2}$$
 (mod  $4\sqrt{2}$ ). – (iii)

By class field theory, we can show the following lemma.

LEMMA 1. (1) Suppose that  $q \equiv 1 \pmod{16}$ .

If  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ , then the ray class field  $\mathbf{Q}_1(mod\,q_i)$  of  $\mathbf{Q}_1 \mod q_i$  does not contain any quadratic extension of  $\mathbf{Q}_1$ . If  $2^{\frac{q-1}{4}} \equiv 1 \pmod{q}$ , then  $\mathbf{Q}_1(mod\,q_i)$  contains a quadratic extension of  $\mathbf{Q}_1$ .

- (2) Suppose that  $q \equiv 9 \pmod{16}$ .
- If  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ , then  $\mathbf{Q}_1(modq_i)$  contains a quadratic extension of  $\mathbf{Q}_1$ . If  $2^{\frac{q-1}{4}} \equiv 1 \pmod{q}$ , then  $\mathbf{Q}_1(modq_i)$  does not contain any quadratic extension of  $\mathbf{Q}_1$ .
- (3) Suppose that  $p \equiv 7 \pmod{8}$ . Then the ray class field  $\mathbf{Q}_1(mod p_i)$  of  $\mathbf{Q}_1 \mod p_i$  does not contain any quadratic extension of  $\mathbf{Q}_1$ .

PROOF. At first we show (1), (2). Note that

$$(2+\sqrt{2})^{\frac{q-1}{2}} = (\sqrt{2}(1+\sqrt{2}))^{\frac{q-1}{2}} = 2^{\frac{q-1}{4}}(1+\sqrt{2})^{\frac{q-1}{2}}.$$

If  $q \equiv 1 \pmod{16}$ , then q splits completely in  $\mathbb{Q}_2/\mathbb{Q}_1$ , which implies  $(2 + \sqrt{2})^{\frac{q-1}{2}} \equiv 1 \pmod{q}$ . Hence if  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ , then  $(1 + \sqrt{2})^{\frac{q-1}{2}} \equiv -1 \pmod{q}$ , and if  $2^{\frac{q-1}{4}} \equiv 1 \pmod{q}$ , then  $(1 + \sqrt{2})^{\frac{q-1}{2}} \equiv 1 \pmod{q}$ .

If  $q\equiv 9\pmod{16}$ , then  $(2+\sqrt{2})^{\frac{q-1}{2}}\equiv -1\pmod{q}$ . Hence if  $2^{\frac{q-1}{4}}\equiv -1\pmod{q}$ , then  $(1+\sqrt{2})^{\frac{q-1}{2}}\equiv 1\pmod{q}$ , and if  $2^{\frac{q-1}{4}}\equiv 1\pmod{q}$ , then  $(1+\sqrt{2})^{\frac{q-1}{2}}\equiv -1\pmod{q}$ . Let  $J_{\mathbf{Q}_1}^{q_i}=\{\mathfrak{a}:ideal\ of\ \mathbf{Q}_1\mid \mathfrak{a}\ is\ relatively\ prime\ to\ q_i\}$ , and  $P_{\mathbf{Q}_1}^{q_i}=\{(\alpha):principal\ ideal\ of\ \mathbf{Q}_1\mid \alpha\equiv 1\pmod{q_i}\}$ . Then we have  $J_{\mathbf{Q}_1}^{q_i}/P_{\mathbf{Q}_1}^{q_i}\cong Gal(\mathbf{Q}_1(modq_i)/\mathbf{Q}_1)$  by class field theory. There is a surjection such that

$$(\mathbf{Z}[\sqrt{2}]/q_i\mathbf{Z}[\sqrt{2}])^{\times} \to J_{\mathbf{Q}_1}^{q_i}/P_{\mathbf{Q}_1}^{q_i}$$

$$\alpha \mod q_i \mapsto (\alpha) \mod P_{\mathbf{Q}_1}^{q_i}$$

Since the kernel of this morphism is  $\langle -1 \mod q_i, 1+\sqrt{2} \mod q_i \rangle$  and -1 is a quadratic residue mod  $q_i$ , we obtain (1) and (2).

Similarly, let  $J_{\mathbf{Q}_1}^{p_i} = \{\mathfrak{a} : ideal \ of \ \mathbf{Q}_1 \mid \mathfrak{a} \ is \ relatively \ prime \ to \ p_i\},$   $P_{\mathbf{Q}_1}^{p_i} = \{(\alpha) : principal \ ideal \ of \ \mathbf{Q}_1 \mid \alpha \equiv 1 \pmod{p_i}\}.$  Then we also have  $J_{\mathbf{Q}_1}^{p_i}/P_{\mathbf{Q}_1}^{p_i} \cong Gal(\mathbf{Q}_1(modp_i)/\mathbf{Q}_1)$  and  $\langle -1 \mod p_i, 1 + \sqrt{2} \mod p_i \rangle$  is the kernel of the surjection

$$(\mathbf{Z}[\sqrt{2}]/p_i\mathbf{Z}[\sqrt{2}])^{\times} \to J_{\mathbf{Q}_1}^{p_i}/P_{\mathbf{Q}_1}^{p_i}$$

$$\alpha \mod p_i \mapsto (\alpha) \mod P_{\mathbf{Q}_1}^{p_i}$$
,

Since  $p \equiv 7 \pmod{8}$ ,  $2 \mid p-1$  and  $2^2 \nmid p-1$ . Furthermore, the order of  $-1 \mod p_i$  is 2, which implies the order of the kernel is even. Hence we have (3).

#### 4. Proof of Theorem 2

We use the following well-known fact to prove Theorem 2.

LEMMA 2 (cf. [9], p. 183). Let a be an element of  $\mathbf{Q}_1$  which is prime to 2. Then,

- (1) there exists an element  $\alpha$  of  $\mathbf{Q}_1$  such that  $\alpha^2 \equiv a \pmod{4}$  if and only if  $\mathbf{Q}_1(\sqrt{a})/\mathbf{Q}_1$  is unramified at all primes of  $\mathbf{Q}_1$  above 2.
- (2) there exists an element  $\alpha$  of  $\mathbf{Q}_1$  such that  $\alpha^2 \equiv a \pmod{4\sqrt{2}}$  if and only if all primes of  $\mathbf{Q}_1$  above 2 split in  $\mathbf{Q}_1(\sqrt{a})/\mathbf{Q}_1$ .

PROOF OF THEOREM 2. Note that for any element  $\alpha$  in  $\mathfrak{O}_{\mathbf{Q}_1}$  which is prime to 2, we have

$$\alpha^2 \equiv 1, 3 + 2\sqrt{2} \pmod{4\sqrt{2}}. - (iv)$$

(1) Suppose that  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ . If  $q \equiv 9 \pmod{16}$ ,  $\mathbf{Q}_1(modq_i)/\mathbf{Q}_1$  has a quadratic subextension by Lemma 1 (2). First we show the quadratic extension of  $\mathbf{Q}_1$  must be  $\mathbf{Q}_1(\sqrt{q_i})/\mathbf{Q}_1$ . Let  $\mathbf{Q}_1(\sqrt{m})/\mathbf{Q}_1$  be the quadratic subextension, where  $m \in \mathfrak{D}_{\mathbf{Q}_1}$ . Since  $\mathbf{Q}_1(\sqrt{m})/\mathbf{Q}_1$  is unramified at the infinite primes, we have m > 0. Note that we can assume  $v_{\mathfrak{p}}(m) = 0$  or 1 for any prime  $\mathfrak{p}$  of  $\mathbf{Q}_1$ , where  $v_{\mathfrak{p}}$  is the  $\mathfrak{p}$ -adic additive valuation. If  $v_{\mathfrak{p}}(m) = 1$ , then  $X^2 - m$  is an Eisenstein polynomial with regard to  $\mathfrak{p}$ , which implies  $\mathfrak{p}$  is totally ramified in  $\mathbf{Q}_1(\sqrt{m})/\mathbf{Q}_1$ . Furthermore, since the relative discriminant of  $\mathbf{Q}_1(\sqrt{m})/\mathbf{Q}_1$  divides  $4m\mathfrak{D}_{\mathbf{Q}_1}$ , any prime  $\mathfrak{p}$  with  $\mathfrak{p} \nmid 4m\mathfrak{D}_{\mathbf{Q}_1}$  is unramified in  $\mathbf{Q}_1(\sqrt{m})/\mathbf{Q}_1$ . Hence m must be  $q_i$  or  $q_i \varepsilon$ , where  $\varepsilon = 1 + \sqrt{2}$ . By (ii), (iv) and Lemma 2 (1),  $\mathbf{Q}_1(\sqrt{q_i \varepsilon})/\mathbf{Q}_1$  is ramified at a prime of  $\mathbf{Q}_1$  above 2. Therefore  $\mathbf{Q}_1(\sqrt{m})$  must be  $\mathbf{Q}_1(\sqrt{q_i})$  as desired.

It follows that all primes of  $\mathbf{Q}_1$  above 2 are unramified in  $\mathbf{Q}_1(\sqrt{q_i})/\mathbf{Q}_1$ . Hence we have  $q_i \equiv 1$ ,  $3+2\sqrt{2} \pmod{4}$  by Lemma 2 and (iv), which shows  $q_i \equiv -3, -1+2\sqrt{2} \pmod{4\sqrt{2}}$  by (ii). On the other hand,  $k_1(\sqrt{q_i})$  is an unramified extension of  $k_1$ . Since  $\mathfrak{L}_1$  does not split in  $k_1(\sqrt{q_i})$  by Lemma 2,  $\mathfrak{L}_1$  is non-principal in  $k_1$ . Therefore we have  $k_2(k) = \mu_2(k) = 0$  by Proposition 1.

Secondly, suppose that  $2^{\frac{q-1}{4}} \equiv 1 \pmod{q}$ . If  $q \equiv 9 \pmod{16}$ , then  $\mathbb{Q}_1(\sqrt{q_i})$  is not contained in  $\mathbb{Q}_1(modq_i)$  by Lemma 1 (2), which shows  $q_i \equiv 3$ ,  $1+2\sqrt{2} \pmod{4\sqrt{2}}$  by Lemma 2 and (ii), (iv). Hence we have  $pq_i \equiv -3, -1+2\sqrt{2} \pmod{4\sqrt{2}}$ . Since  $\mathfrak{L}_1$  does not split in an unramified extension  $k_1(\sqrt{pq_i})/k_1$ ,  $\mathfrak{L}_1$  is non-principal in  $k_1$ . Therefore we also have  $\lambda_2(k) = \mu_2(k) = 0$  by Proposition 1. This completes the proof of Theorem 2 (1).

(2) Suppose that  $q \equiv 1 \pmod{16}$ ,  $p \equiv 7 \pmod{16}$ , and  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ . By Lemma 1 (1), Lemma 2, (i) and (iv), we have  $q_i \equiv -1, -3 + 2\sqrt{2} \pmod{4\sqrt{2}}$ . By (iii) we have  $p_i \varepsilon \equiv \pm 3, \pm 1 + 2\sqrt{2} \pmod{4\sqrt{2}}$ . Lemma 1 (3) implies that all primes of  $\mathbf{Q}_1$  above 2 are ramified in  $\mathbf{Q}_1(\sqrt{p_i \varepsilon})/\mathbf{Q}_1$ , which shows  $p_i \varepsilon \equiv 3, 1 + 2\sqrt{2} \pmod{4\sqrt{2}}$  by Lemma 2 and (iv). Hence we have  $p_i q_j \varepsilon \equiv -3, -1 + 2\sqrt{2} \pmod{4\sqrt{2}}$ . Since  $\mathfrak{L}_1$  does not split in an unramified extension  $k_1(\sqrt{p_i q_j \varepsilon})/k_1$ ,  $\mathfrak{L}_1$  is non-principal. Therefore we have  $\lambda_2(k) = \mu_2(k) = 0$  by Proposition 1.

REMARK 2. Suppose that  $q \equiv 1 \pmod{16}$ ,  $p \equiv -1 \pmod{16}$ , and  $2^{\frac{q-1}{4}} \equiv -1 \pmod{q}$ . Then we can show that  $\mathfrak{L}_1$  splits in an unramified extension  $k_1(\sqrt{p_iq_j\varepsilon})/k_1$ . But Kuroda's class number formula (cf. [6]) shows that the 2-Hilbelt class field of  $k_1$  is  $k_1(\sqrt{p_1q_1\varepsilon},\sqrt{p_1q_2\varepsilon})$ . Hence  $\mathfrak{L}_1$  is principal in  $k_1$ , i.e., we can not decide  $\lambda_2(k)=\mu_2(k)=0$  by using Proposition 1.

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Present Address: NEC SOFT, LTD., SHINKIBA, KOTO-KU, TOKYO, 136–8627 JAPAN. e-mail: screwpile@suou.waseda.jp