A note on the dimension of global sections of adjoint bundles for polarized 4-folds

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Abstract: Let (X, L) be a polarized manifold defined over the field of complex numbers. In this paper, we consider the case where dim X = 4 and we prove that the second Hilbert coefficient $A_2(X, L)$ of (X, L), which was defined in our previous paper, is non-negative. Furthermore we consider a question proposed by H. Tsuji for dim X = 4.

Key words: Polarized manifold; adjoint bundle; sectional geometric genus.

1. Introduction. Let X be a projective variety of dimension n defined over the field of complex numbers, and let L be an ample line bundle on X. Then (X, L) is called a *polarized variety*. If X is smooth, then we say that (X, L) is a polarized manifold.

In [2, Conjecture 7.2.7], Beltrametti and Sommese proposed the following conjecture.

Conjecture 1.1. Let (X, L) be a polarized manifold of dimension n. Assume that $K_X + (n-1)L$ is nef. Then $h^0(K_X + (n-1)L) > 0$.

At present, there are some answers for Conjecture 1.1. For example, it is known that this conjecture is true if dim $X \leq 4$ ([2, Theorem 7.2.6], [8, Theorem 2.4], [4] and [12, Theorem 3.1]) or $h^0(L) > 0$ ([14, 1.2 Theorem]). But it is unknown whether this conjecture is true or not in general. The following conjecture is a generalization of Conjecture 1.1.

Conjecture 1.2 (Ionescu [16, Open problems, p. 321], Ambro [1] and Kawamata [15]). Let (X, L) be a polarized manifold of dimension n. Assume that $K_X + L$ is nef. Then $h^0(K_X + L) > 0$.

At present, there are some partial answers for this conjecture (for example, [9, Theorem 3.2], [3, Théorème 1.8]). Höring [14, 1.5 Theorem] gave a proof of Conjecture 1.2 for the case of n = 3. But we do not know whether this conjecture is true or not for the case of $n \ge 4$.

These conjectures motivated the author to begin investigating $h^0(K_X + tL)$ for a positive

integer t. Our aim is not only to know the positivity of $h^0(K_X + tL)$ but also to evaluate a lower bound for $h^0(K_X + tL)$. In [10], in order to investigate $h^0(K_X + tL)$ systematically, we introduced an invariant $A_i(X, L)$ for every integer i with $0 \le i \le n$, which is called the *i*-th Hilbert coefficient of (X, L)(see Definition 2.2 (ii) below). From the following theorem which shows a relationship between $h^0(K_X + tL)$ and $A_i(X, L)$, we see that it is important to study the value of $A_i(X, L)$ in order to know the value of $h^0(K_X + tL)$.

Theorem 1.1 ([10, Corollary 3.1]). Let (X, L) be a polarized manifold of dimension n, and let t be a positive integer. Then we have

$$h^{0}(K_{X} + tL) = \sum_{j=0}^{n} {\binom{t-1}{n-j}} A_{j}(X, L).$$

So it is interesting and important to study the non-negativity of $A_i(X, L)$. In general, there is the following conjecture.

Conjecture 1.3 (see [10, Conjecture 5.1]). Let (X, L) be a polarized manifold of dimension n. Then $A_i(X, L) \ge 0$ holds for every integer i with $0 \le i \le n$.

In [10] we studied the invariant $A_i(X, L)$ in the case where L is ample and spanned by global sections. In particular we proved that $A_i(X, L) \ge 0$ for every integer i with $0 \le i \le n$ for the case where L is ample and spanned.

And we obtained a lower bound of $h^0(K_X + tL)$ by using some properties of $A_i(X, L)$ (see [10]). In [11, Theorem 3.1.1], we proved that this conjecture for i = 2 is true if either (i) $n \leq 3$ or (ii) $n \geq 4$ and $\kappa(X) \geq 0$. Finally we studied the following question

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Problem 1.1. Let (X, L) be a polarized manifold of dimension n. Then is it true that

(1)
$$h^0(K_X + mL) \ge h^0(K_X + (m-1)L)$$

for every integer m with $m \ge 2$?

In [11, Theorem 4.3.1] we proved that this inequality (1) holds for the following cases; (i) $n \leq 3$, (ii) n = 4 and $\kappa(X) \geq 0$.

Main purposes of this paper are (i) to prove $A_2(X, L) \ge 0$ for n = 4 and (ii) to prove that (1) in Problem 1.1 is true for n = 4 and every integer $m \ge 3$.

In this paper, varieties are always assumed to be defined over the field of complex numbers. We use the standard notation from algebraic geometry.

2. Preliminaries.

Notation 2.1. Let X be a projective variety of dimension n and let L be a line bundle on X. Then $\chi(tL)$ is a polynomial in t of degree at most n, and we can write $\chi(tL)$ as $\chi(tL) = \sum_{j=0}^{n} \chi_j(X,L) {t \choose j}$. Definition 2.1 ([7, Definition 2.1]). Let X

Definition 2.1 ([7, Definition 2.1]). Let X be a projective variety of dimension n and let L be a line bundle on X. For every integer i with $0 \le i \le n$, the *i*th sectional geometric genus $g_i(X, L)$ of (X, L) is defined by the following

$$g_i(X,L) = (-1)^i (\chi_{n-i}(X,L) - \chi(\mathcal{O}_X)) + \sum_{j=0}^{n-i} (-1)^{n-i-j} h^{n-j}(\mathcal{O}_X).$$

Remark 2.1. (i) Since $\chi_{n-i}(X, L) \in \mathbb{Z}$, we see that $g_i(X, L)$ is an integer.

(ii) If
$$i = n$$
, then $g_n(X, L) = h^n(\mathcal{O}_X)$.

- (iii) If i = 0, then $g_0(X, L) = L^n$.
- (iv) If i = 1, then $g_1(X, L) = g(X, L)$, where g(X, L) is the sectional genus of (X, L). If X is smooth, then the sectional genus g(X, L) is written as $g(X, L) = 1 + \frac{1}{2}(K_X + (n 1)L)L^{n-1}$.

Theorem 2.1. Let X be a smooth projective variety with dim X = n and let L be a nef and big line bundle on X. Then for any integer i with $0 \le i \le n-1$, we have

$$g_i(X,L) = \sum_{j=0}^{n-i-1} (-1)^j {\binom{n-i}{j}} h^0(K_X + (n-i-j)L) + \sum_{k=0}^{n-i} (-1)^{n-i-k} h^{n-k}(\mathcal{O}_X).$$

Proof. See [7, Theorem 2.3]. \Box

Definition 2.2 ([10, Definitions 3.1 and 3.2]). Let (X, L) be a polarized manifold of dimension n. (i) Let t be a positive integer. Then we set

$$F_0(t) := h^0(K_X + tL),$$

$$F_i(t) := F_{i-1}(t+1) - F_{i-1}(t)$$

for every integer *i* with $1 \le i \le n$.

(ii) For every integer *i* with $0 \le i \le n$, the *i*th Hilbert coefficient $A_i(X, L)$ of (X, L) is defined by $A_i(X, L) = F_{n-i}(1)$.

Remark 2.2. (i) If $1 \le i \le n$, then $A_i(X, L)$ can be written as follows (see [10, Proposition 3.2]):

$$A_i(X,L) = g_i(X,L) + g_{i-1}(X,L) - h^{i-1}(\mathcal{O}_X).$$

(ii) By Definition 2.2 and [10, Proposition 3.1 (2)], we have the following

(ii.1) $A_i(X,L) \in \mathbf{Z}$ for every integer i with $0 \le i \le n$,

(ii.2)
$$A_0(X,L) = L^n$$
,

(ii.3)
$$A_1(X,L) = g_1(X,L) + g_0(X,L) - h^0(\mathcal{O}_X) = \frac{1}{2}K_XL^{n-1} + \frac{n+1}{2}L^n,$$

(ii.4)
$$A_n(X,L) = h^0(K_X + L).$$

Theorem 2.2. Let (X, L) be a polarized manifold of dimension n and let t be a positive integer. Then for every integer i with $0 \le i \le n$ we have

$$F_{n-i}(t) = \sum_{j=0}^{i} {\binom{t-1}{i-j}} A_j(X, L).$$

Proof. See [10, Theorem 3.1]. Here we note that if i = n, then this result is Theorem 1.1 in Introduction.

Definition 2.3. (i) Let X (resp. Y) be an *n*-dimensional smooth projective variety, and L (resp. H) an ample line bundle on X (resp. Y). Then (X, L) is called a *simple blowing up of* (Y, H) if there exists a birational morphism $\pi : X \to Y$ such that π is a blowing up at a point of Y and $L = \pi^*(H) - E$, where E is the π -exceptional effective reduced divisor.

(ii) Let X (resp. M) be an *n*-dimensional smooth projective variety, and L (resp. A) an ample line bundle on X (resp. M). Then we say that (M, A) is a *reduction of* (X, L) if there exists a birational morphism $\mu : X \to M$ such that μ is a composition of simple blowing ups and (M, A) is not obtained by a simple blowing up of any other polarized manifolds. **Remark 2.3.** Let (X, L) be a polarized manifold and let (M, A) be a reduction of (X, L). Let $\mu: X \to M$ be the reduction map, and let γ be the number of simple blowing ups of its reduction. Then by [7, Proposition 2.6]

$$g_i(X,L) = \begin{cases} g_i(M,A) & \text{if } 1 \le i \le n, \\ A^n - \gamma & \text{if } i = 0. \end{cases}$$

Hence

$$A_i(X,L) = \begin{cases} A_i(M,A) & \text{if } 2 \le i \le n, \\ A_i(M,A) - \gamma & \text{if } i = 0,1. \end{cases}$$

3. Main results. First we prove the following

Theorem 3.1. Let (X, L) be a polarized manifold of dimension 4. Then $A_2(X, L) \ge 0$.

Proof. (A) Assume that $h^0(K_X + L) > 0$. Then by [13, Claim 2.1] we obtain that $\Omega_X \langle L \rangle$ is generically nef. So by [14, 2.11 Corollary] we have

(2) $c_2(X)L^2 \ge -3K_XL^3 - 6L^4.$

Hence by [12, Remark 2.3 (iii)]

$$\begin{aligned} A_2(X,L) \\ &= \frac{25}{12}L^4 + K_X L^3 + \frac{1}{12}(K_X^2 + c_2(X))L^2 \\ &\geq \frac{25}{12}L^4 + K_X L^3 + \frac{1}{12}K_X^2 L^2 \\ &- \frac{1}{12}(3K_X L^3 + 6L^4) \\ &= \frac{1}{12}(K_X + L)(K_X + 8L)L^2 + \frac{11}{12}L^4 > 0. \end{aligned}$$

(B) Assume that $h^0(K_X + L) = 0$. By [13, Remark 2.4] we may assume that $\kappa(K_X + 2L) \ge 0$. Moreover, by Remark 2.3, we may assume that (X, L) is the reduction of itself. Then we note that $K_X + 2L$ is nef by the adjunction theory (see [2, Proposition 7.2.2 and Theorem 7.2.4]). In particular, $K_X + 3L$ is ample. In this case, we take the MRCfibration of X. (For the definition of the MRCfibration, see, e.g., [12, Theorem 2.3 and Definition 2.4].) Then there exist smooth projective varieties Y and B, a birational morphism $\pi: Y \to$ X and a surjective morphism with connected fibers $f: Y \to B$ such that B is not uniruled and the fiber of f is rationally connected. Let b be the dimension of the base space B of the MRC-fibration.

(B.i) Assume that $b \ge 3$. Then by [12, Remark 2.4 (2)] and the argument of [14, Step 2, p. 741]

$$A_2(X,L) = \frac{1}{24} L^2 (2(K_X^2 + c_2(X)) + 24K_X L + 50L^2)$$

> 0.

(B.ii) Assume that $b \leq 2$. Then we note that $h^i(\mathcal{O}_X) = 0$ for $i \geq 3$. Hence by Theorem 2.1 and the assumption that $h^0(K_X + L) = 0$ we have

(3) $g_2(X,L) = h^0(K_X + 2L) + h^2(\mathcal{O}_X) \ge 0.$

(B.ii.1) Assume that b = 2. Then since $h^2(\mathcal{O}_X) \ge h^2(\mathcal{O}_B)$ and $h^1(\mathcal{O}_X) = h^1(\mathcal{O}_B)$ we have

(4)
$$g_2(X,L) - h^1(\mathcal{O}_X) = h^0(K_X + 2L) + h^2(\mathcal{O}_X) - h^1(\mathcal{O}_X) \\ \ge h^2(\mathcal{O}_X) - h^1(\mathcal{O}_X) \\ \ge \chi(\mathcal{O}_B) - 1.$$

Here we note that we may assume that $g_1(X,L) \ge 2$ because we see from [5, (12.1) Theorem and (12.3) Theorem] and [13, Remark 2.4] that $A_2(X,L) \ge 0$ holds for any (X,L) with $g_1(X,L) \le$ 1. Since $A_2(X,L) = g_2(X,L) + g_1(X,L) - h^1(\mathcal{O}_X)$ and $\kappa(B) \ge 0$, by (4) we have

$$A_2(X,L) \ge \chi(\mathcal{O}_B) + g_1(X,L) - 1$$

> $\chi(\mathcal{O}_B) \ge 0.$

(B.ii.2) Assume that b = 1. In this case, $h^1(\mathcal{O}_X) = g(B)$ and $g_1(X,L) - h^1(\mathcal{O}_X) = g_1(X,L) - g(B) \ge 0$ by [6, Theorem 1.2.1], where g(B) is the genus of B. Hence by (3) we have $A_2(X,L) = g_2(X,L) + g_1(X,L) - h^1(\mathcal{O}_X) \ge g_2(X,L) \ge 0$.

(B.ii.3) Assume that b = 0. Then $h^1(\mathcal{O}_X) = 0$. Hence by (3) and [5, (12.1) Theorem] we get $A_2(X, L) = g_2(X, L) + g_1(X, L) - h^1(\mathcal{O}_X) = g_2(X, L) + g_1(X, L) \ge 0$.

These complete the proof of Theorem 3.1. \Box Next we consider Problem 1.1 for dim X = 4and $m \geq 3$.

Theorem 3.2. Let (X, L) be a polarized manifold of dimension 4. Then for every integer m with $m \geq 3$, we have

$$h^{0}(K_{X} + mL) - h^{0}(K_{X} + (m-1)L) \ge 0.$$

Proof. In this case, by using Theorem 1.1, we have

(5)
$$h^{0}(K_{X} + mL) - h^{0}(K_{X} + (m-1)L)$$

= $\binom{m-2}{3}A_{0}(X,L) + \binom{m-2}{2}A_{1}(X,L)$

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$$+\binom{m-2}{1}A_2(X,L) + \binom{m-2}{0}A_3(X,L)$$

(I) Assume that $h^0(K_X + L) > 0$. Then we see from [13, Claim 2.1] that $\Omega_X \langle L \rangle$ is generically nef. We note that $\kappa(K_X + 3L) \geq 0$. Therefore $K_X + 3L$ is nef by the adjunction theory ([2, Proposition 7.2.2, Theorems 7.2.3 and 7.2.4]). Hence $K_X + (2m - 1)L$ is nef for every integer $m \geq 2$. So by [14, 2.11 Corollary] we have

(6)
$$c_2(X)(K_X + (2m-1)L)L$$

 $\geq -(3K_XL + 6L^2)(K_X + (2m-1)L)L$
 $= -3K_X^2L^2 - (6m+3)K_XL^3$
 $- 6(2m-1)L^4.$

We note that by Remark 2.2 (ii.2), (ii.3) and [12, Remark 2.3 (iii)]

(7)
$$A_0(X,L) = L^4$$
,

(8)
$$A_1(X,L) = \frac{1}{2}K_XL^3 + \frac{5}{2}L^4,$$

(9)
$$A_{2}(X,L) = \frac{25}{12}L^{4} + K_{X}L^{3} + \frac{1}{12}(K_{X}^{2} + c_{2}(X))L^{2},$$

(10)
$$A_{3}(X,L) = \frac{5}{8}L^{4} + \frac{7}{12}K_{X}L^{3} + \frac{1}{8}K_{X}^{2}L^{2} + \frac{1}{24}c_{2}(X)(K_{X} + 3L)L.$$

By (5), (6), (7), (8), (9) and (10), we have

(11)
$$h^{0}(K_{X} + mL) - h^{0}(K_{X} + (m-1)L) \\= \left(\frac{1}{6}m^{3} - \frac{1}{4}m^{2} + \frac{1}{6}m - \frac{1}{24}\right)L^{4} \\+ \left(\frac{1}{4}m^{2} - \frac{1}{4}m + \frac{1}{12}\right)K_{X}L^{3} \\+ \left(\frac{1}{12}m - \frac{1}{24}\right)K_{X}^{2}L^{2} \\+ \frac{1}{24}c_{2}(X)(K_{X} + (2m-1)L)L \\\geq \left(\frac{1}{6}m^{3} - \frac{1}{4}m^{2} - \frac{1}{3}m + \frac{5}{24}\right)L^{4} \\+ \left(\frac{1}{4}m^{2} - \frac{1}{2}m - \frac{1}{24}\right)K_{X}L^{3} \\+ \left(\frac{1}{12}m - \frac{1}{6}\right)K_{X}^{2}L^{2}$$

$$= \frac{m-2}{12} (K_X + L)(K_X + 3L)L^2 + \left\{ \frac{1}{12} (3m-1)(m-3) + \frac{9}{24} \right\} (K_X + 2L)L^3 + \left\{ \frac{1}{12} m(2m-6) \left(m - \frac{3}{2}\right) + \frac{1}{3} m - \frac{13}{24} \right\} L^4.$$

If $m \geq 3$, we have

$$\begin{split} &\frac{m-2}{12} > 0, \\ &\frac{1}{12} \left(3m-1 \right) (m-3) + \frac{9}{24} \ge \frac{9}{24}, \\ &\frac{1}{12} m (2m-6) \left(m - \frac{3}{2} \right) + \frac{1}{3} m - \frac{13}{24} \ge \frac{11}{24}. \end{split}$$

Here we note that $(K_X + L)(K_X + 3L)L^2 \ge 0$ since $h^0(K_X + L) > 0$ and $K_X + 3L$ is nef. Moreover $(K_X + 2L)L^3 > 0$ since $h^0(K_X + L) > 0$ and L is ample. Hence, for every integer m with $m \ge 3$, we have $h^0(K_X + mL) - h^0(K_X + (m-1)L) > 0$.

(II) Assume that $h^0(K_X + L) = 0$. First we note that in this case $A_3(X, L) \ge 0$ because $A_4(X, L) = h^0(K_X + L) = 0$ and $0 \le h^0(K_X + 2L) = A_4(X, L) + A_3(X, L)$. Since $A_2(X, L) \ge 0$ by Theorem 3.1, we get $h^0(K_X + mL) - h^0(K_X + (m-1)L) \ge 0$ for every integer $m \ge 3$ by [11, Remark 2.2 (2.2) and Theorem 3.1.1 (1)] and (5).

Next we consider the case of $\dim X = 4$ and m = 2 in Problem 1.1.

Theorem 3.3. Let (X, L) be a polarized manifold of dimension 4 such that (X, L) does not have the following structure (*). Then $h^0(K_X + 2L) \ge h^0(K_X + L)$.

(*) There exist smooth projective varieties \tilde{X} and Y with dim $\tilde{X} = 4$ and dim Y = 3, a birational morphism $\mu: \tilde{X} \to X$, and a fiber space $f: \tilde{X} \to Y$ such that $F \cong \mathbf{P}^1$ and $(\mu^* L)_F = \mathcal{O}_{\mathbf{P}^1}(2)$, where F is a general fiber of f.

Proof. If $h^0(K_X + L) = 0$, then $h^0(K_X + 2L) - h^0(K_X + L) = h^0(K_X + 2L) \ge 0$. So we may assume that $h^0(K_X + L) > 0$. Then we can prove the following

Claim 3.1. $\Omega_X \langle \frac{3}{4}L \rangle$ is generically nef.

Proof. Assume that $\Omega_X \langle \frac{3}{4}L \rangle$ is not generically nef. By [14,3.1 Theorem] there exist a smooth

projective variety \widetilde{X} of dimension 4, a smooth projective variety Y of dimension m with $m \leq 3$, a birational morphism $\mu : \widetilde{X} \to X$, and a surjective morphism $f : \widetilde{X} \to Y$ with connected fibers such that the following (#) holds:

(#) Any general fiber F of f is rationally connected and $h^0(D) = 0$ for any Cartier divisor D on F such that $D \sim_{\mathbf{Q}} K_F + j\mu^*(\frac{3}{4}L)_F$ for any $j \in [0, n-m] \cap \mathbf{Q}$, where $\sim_{\mathbf{Q}}$ denotes the linear equivalence of \mathbf{Q} -divisors.

(a) Assume that dim $Y \leq 2$. Then we see from (#) that $h^0(K_F + \mu^*(L)_F) = h^0(K_F + \frac{4}{3}\mu^*(\frac{3}{4}L)_F) = 0$ for any general fiber F of f. But since $h^0(K_{\widetilde{X}} + \mu^*(L)) = h^0(K_X + L) > 0$, we have $h^0(K_F + \mu^*(L)_F) > 0$ holds for any general fiber F. Hence this is a contradiction.

(b) Assume that dim Y = 3. In this case $F \cong \mathbf{P}^1$. If deg $\mu^*(L)_F \ge 3$, then there exists $j \in [0,1] \cap \mathbf{Q}$ such that $K_F + j\mu^*(\frac{3}{4}L)_F$ is a Cartier divisor with deg $(K_F + j\mu^*(\frac{3}{4}L)_F) \ge 0$. Hence $h^0(K_F + j\mu^*(\frac{3}{4}L)_F) > 0$ and this contradicts (#). So we have deg $(\mu^*(L)_F) \ge 2$ because $h^0(K_F + \mu^*(L)_F) > 0$. Therefore deg $(\mu^*(L)_F) = 2$. But this case is excluded by the assumption that (X, L) does not have the structure (*). Therefore we get the assertion of Claim 3.1.

We note that $K_X + 3L$ is nef because $h^0(K_X + L) > 0$ (see (I) in the proof of Theorem 3.2). By the same argument as in the proof of Theorem 3.2, we see from Claim 3.1 and [14, 2.11 Corollary] that

(12)
$$c_2(X)(K_X + 3L)L$$

 $\geq -\frac{81}{8}L^4 - \frac{81}{8}K_XL^3 - \frac{9}{4}K_X^2L^2.$

On the other hand by (11) in the proof of Theorem 3.2, we have

(13)
$$h^{0}(K_{X} + 2L) - h^{0}(K_{X} + L)$$
$$= \frac{5}{8}L^{4} + \frac{7}{12}K_{X}L^{3} + \frac{1}{8}K_{X}^{2}L^{2}$$
$$+ \frac{1}{24}c_{2}(X)(K_{X} + 3L)L.$$

Hence, by noting that $h^0(K_X + L) > 0$, L is ample and $K_X + 3L$ is nef, we see from (12) and (13) that

$$h^{0}(K_{X} + 2L) - h^{0}(K_{X} + L)$$

$$\geq \frac{5}{8}L^{4} + \frac{7}{12}K_{X}L^{3} + \frac{1}{8}K_{X}^{2}L^{2}$$

$$-\frac{3}{64}(9L^4 + 9K_XL^3 + 2K_X^2L^2)$$

= $\frac{13}{64}L^4 + \frac{31}{192}K_XL^3 + \frac{1}{32}K_X^2L^2$
= $\frac{1}{32}(K_X + L)(K_X + 4L)L^2$
+ $\frac{1}{192}(K_X + 15L)L^3 > 0.$

This completes the proof of Theorem 3.3. □ Acknowledgements. This work is supported by JSPS KAKENHI Grant Number 16K05103. The author would like to thank the referee for giving a comment.

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