# Invariants of two dimensional projectively Anosov diffeomorphisms

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**Abstract:** We define invariants of two dimensional projectively Anosov diffeomorphisms. More precisely, we show the space of circles tangent to the invariant subbundle has a kind of Morse decomposition and the homotopy type of its one point compactification is preserved under any homotopy of projectively Anosov diffeomorphisms. We also calculate the invariants for some examples.

Key words: Projectively Anosov systems; dominated splittings.

1. Introduction. Let M be a manifold and  $\|\cdot\|$  a norm on the tangent bundle TM. For a diffeomorphism f on M and its invariant set  $\Lambda$ , we call a continuous splitting  $TM \mid_{\Lambda} = E^u \oplus E^s$  a dominated splitting associated to f on  $\Lambda$  if Df preserves both  $E^u$  and  $E^s$  and there exist two constants C > 0 and  $\lambda \in (0, 1)$  such that

$$||Df^{n}|_{E^{s}(z)}|| \cdot ||(Df^{n}|_{E^{u}(z)})^{-1}|| < C\lambda^{n}$$

for all  $z \in \Lambda$  and  $n \geq 1$ . A non-trivial dominated splitting  $TM = E_f^u \oplus E_f^s$  on the whole manifold is called a *projectively Anosov splitting* (or a simply **P**A splitting) associated to f. We say a diffeomorphism f is *projectively Anosov* (or simply **P**A) when f possesses a **P**A splitting. Let **P**A<sup>r</sup>(M) be the set of all **P**A diffeomorphisms on M. It is known that the **P**A splitting  $E_f^u \oplus E_f^s$  is unique for every two dimensional **P**A diffeomorphism f.

A continuous family  $\{f_t\}_{t\in[0,1]}$  of  $C^1$  diffeomorphisms is called a **P**A homotopy if all  $f_t$  is contained in **P**A<sup>1</sup>(M). The main aim of this paper is to define invariants of two dimensional **P**A diffeomorphisms which are preserved under **P**A homotopies and to investigate their properties. Since the two dimensional torus **T**<sup>2</sup> is the only orientable surface which admits **P**A diffeomorphisms, we focus on **P**A diffeomorphisms on **T**<sup>2</sup>.

Originally, Mitsumatsu [4] and Eliashberg and Thurston [2] have introduced the concept of **P**A (or conformally Anosov) systems for three dimensional flows in order to investigate contact structures. They have given a natural correspondence between three dimensional **P**A flows and bi-contact structures, which are pairs of mutually transverse positive and negative contact structures. Their correspondence induces a one-to-one correspondence between homotopy classes of them. In this view point, the study of **P**A homotopy invariants of two dimensional **P**A diffeomorphisms is a first step to classify three dimensional **P**A flows and bi-contact structures.

The simplest invariant of **P**A diffeomorphisms is the homotopy class as a continuous map. Since  $E_f^u$ is continuous with respect to f, the homotopy class of  $E_f^u$  as a line field on  $\mathbf{T}^2$  is also an invariant. One of the natural problem is to find other non-trivial invariants. As we see later, the invariants defined here distinguish two **P**A diffeomorphisms of which subbundles  $E^u$  are contained in a same homotopy class of line fields.

To state our results, we introduce some definitions. We refer [3] for the standard terms on dynamical systems. A diffeomorphism f is called *non-degenerate* if all periodic points are hyperbolic in the sense of dynamical systems. Note that nondegenerate diffeomorphisms are generic in the space of  $C^r$  **P**A diffeomorphisms by Kupka-Smale's theorem. We say an integer homology class  $a \in$  $H_1(\mathbf{T}^2, \mathbf{Z})$  is prime when  $a \neq na'$  for all  $n \geq 2$  and  $a' \in H_1(\mathbf{T}^2, \mathbf{Z})$ . For every topological space X, let  $X \cup \{\infty\}$  denote the one point compactification of X. We say **P**A diffeomorphism f is orientable when both subbundles of the **P**A splitting are orientable and Df preserves orientations of them.

Let  $\widetilde{\mathcal{C}}(E^u)$  denote the set of all  $C^1$  maps  $\gamma$ 

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from the circle  $S^1$  to  $\mathbf{T}^2$  which satisfy that  $\dot{\gamma}(t) \in E^u(\gamma(t)) \setminus \{0\}$  for all  $t \in S^1$ . The group  $\operatorname{Diff}_+^1(S^1)$  of the orientation preserving diffeomorphism on the circle acts on  $\widetilde{\mathcal{C}}(E^u)$  by the composition from right. Let  $\mathcal{C}(E^u)$  denote the quotient space  $\widetilde{\mathcal{C}}(E^u)/\operatorname{Diff}_+^1(S^1)$  and  $\pi_c$  the quotient map. The space  $\mathcal{C}(E^u)$  is metrizable, and hence, is a Hausdorff topological space. For every  $a \in H_1(\mathbf{T}^2, \mathbf{Z})$ , we let  $\mathcal{C}_a(E^u) = \pi_c(\widetilde{\mathcal{C}}(E^u) \cap a)$ .

**Theorem A** (The invariance of cohomology). Let  $f_0$  and  $f_1$  be  $C^2$  non-degenerate orientable **P**A diffeomorphisms on  $\mathbf{T}^2$ ,  $E_i^u \oplus E_i^s$  the **P**A splitting associated to  $f_i$  for each i = 0, 1, and  $a \in H_1(\mathbf{T}^2, \mathbf{Z})$ a prime homology class. Suppose that  $f_0$  and  $f_1$  are **P**A homotopic. Then, the pairs  $(\mathcal{C}_a(E_0^u) \cup \{\infty\}, \infty)$ and  $(\mathcal{C}_a(E_1^u) \cup \{\infty\}, \infty)$  are homotopy equivalent. In particular, the compactly supported cohomology groups  $H^*_{\mathsf{C}}(\mathcal{C}_a(E_0^u))$  and  $H^*_{\mathsf{C}}(\mathcal{C}_a(E_1^u))$  are isomorphic.

A **P**A-diffeomorphism f induces a natural action C(f) on the space  $C(E^u)$  by  $C(f)(\pi_c(\gamma)) = \pi_c(f \circ \gamma)$ . We define the index ind c of a periodic point  $c = \pi_c(\gamma)$  of C(f) by the number of  $t \in S^1$ such that  $\gamma(t)$  is a repelling periodic point. It is easy to see that the index of c does not depend on the choice of  $\gamma \in \pi_c^{-1}(c)$ .

**Theorem B** (The Morse decomposition). Let f be a  $C^2$  non-degenerate orientable **P**A diffeomorphism on  $\mathbf{T}^2$ ,  $TM = E^u \oplus E^s$  the **P**A splitting associated to f, and  $a \in H_1(\mathbf{T}^2, \mathbf{Z})$  a prime homology class. Then,  $W^u(c; \mathcal{C}(f))$  is homeomorphic to the open disk of dimension ind c for all  $c \in \operatorname{Per}(\mathcal{C}(f))$ and the decomposition

$$\mathcal{C}_a(E^u) = \bigcup W^u(c; \mathcal{C}(f))$$

gives a CW complex structure on  $C_a(E^u)$ , where  $\operatorname{Per}(\mathcal{C}(f))$  denotes the set of periodic points of  $\mathcal{C}(f)$ ,  $W^u(c; \mathcal{C}(f))$  denotes the unstable set of c for  $\mathcal{C}(f)$ , and the union runs over  $\mathcal{C} \in \operatorname{Per}(\mathcal{C}(f)) \cap C_a(E^u)$ .

In Section 2, we give some examples of **P**A diffeomorphisms on  $\mathbf{T}^2$  and calculate the invariants. Section 3 is devoted to the outline of proofs of Theorem A and Theorem B. The details of the proofs and some applications of the invariants will be given in [1].

To end this section, we pose a question. As we see in Section 2, if f is an Anosov diffeomorphism, then  $H^*_{\mathbb{C}}(\mathcal{C}_a(E^1_f))$  vanishes for all  $a \in H_1(\mathbf{T}^2, \mathbf{Z})$ . By Theorem A,  $H^*_{\mathbb{C}}(\mathcal{C}_a(E^u_g))$  vanishes for any  $C^2$  nondegenerate **P**A diffeomorphism g which is **P**A homotopic to f. Our question is the converse of this observation.

**Question.** If  $H^*_{c}(\mathcal{C}_a(E^u_f))$  vanishes for all  $a \in H_1(\mathbf{T}^2, \mathbf{Z})$ , then is the map f **P**A homotopic to an Anosov diffeomorphism?

# 2. Examples.

**2.1.** Anosov diffeomorphisms. Let f be an Anosov diffeomorphism on  $\mathbf{T}^2$  and  $TM = E^u \oplus$  $E^s$  the Anosov splitting. It is easy to see that  $E^u \oplus$  $E^s$  is the **P**A splitting, and hence, f is a **P**A diffeomorphism. Since no circle tangent to  $E^u$  exists, we obtain that  $\mathcal{C}_a(E^u) = \emptyset$  for all  $a \in H_1(\mathbf{T}^2; \mathbf{Z})$ .

### 2.2. Eliashberg-Thurston's example.

The following example is given by Eliashberg and Thurston [2].

We identify  $\mathbf{T}^2$  with  $(\mathbf{R}^2 \setminus \{0\})/((x,y) \sim (2x,2y))$ . Fix  $\alpha > 1$ . We define a diffeomorphism  $f_{\alpha}$  on  $\mathbf{T}^2$  by  $f_{\alpha}(x,y) = (\alpha x, \alpha^{-1}y)$  for all  $(x,y) \in \mathbf{T}^2$ . Let  $E^u$  and  $E^s$  be the subbundles of  $\mathbf{T}^2$  parallel to the *x*-axis and the *y*-axis respectively. It is easy to see that  $E^u \oplus E^s$  is the **PA** splitting associated to  $f_{\alpha}$ .

Note that  $\operatorname{Per}(f_2)$  is the union of four circles given by the *x*-axis and the *y*-axis and  $\operatorname{Per}(f_\alpha)$  is empty if  $\alpha^n \notin \mathbb{Z}$  for all *n*. It implies that  $f_\alpha$  changes its dynamical properties at  $\alpha = 2$ . In particular, the dynamics is not invariant under **P**A homotopy.

Fix  $\alpha > 1$  so that  $\alpha^n \notin \mathbf{Z}$  for all n. Since Per $(f_\alpha)$  is empty, the map  $f_\alpha$  is non-degenerate. We define two  $C^1$  maps  $\gamma$  and  $\gamma'$  from  $S^1 = \mathbf{R}/\mathbf{Z}$  to  $\mathbf{T}^2$  by  $\gamma(t) = (2^t, 0)$  and  $\gamma'(t) = (-2^t, 0)$ . Let  $a \in$  $H_1(\mathbf{T}^2, \mathbf{Z})$  be the homology class represented by  $\gamma$ . It is easy to see that  $\mathcal{C}_a(E^u)$  consists of two index zero fixed points  $\pi_c(\gamma)$  and  $\pi_c(\gamma')$  of  $\mathcal{C}(f_\alpha)$ . Hence, we obtain that  $H^0_c(\mathcal{C}_a(E^u)) \cong \mathbf{Z}^2$  and  $H^+_c(\mathcal{C}_a(E^u)) \cong$  $\{0\}$  for  $* \neq 0$ . We could also see that  $\mathcal{C}_{a'}(E^u) = \emptyset$ , and hence,  $H^+_c(\mathcal{C}_{a'}(E^u)) \cong \{0\}$  for all  $a' \neq \pm a$ .

**2.3.** Noda's example. The following is a small modification of the example given by Noda [5].

Define two contact forms  $\alpha$  and  $\beta$  on the three dimensional torus  $\mathbf{R}^3/(2\pi \mathbf{Z})^3$  by  $\alpha(x, y, z) =$  $dx + \epsilon \sin x \cdot dy - \cos x \cdot dz$  and  $\beta(x, y, z) =$  $dy + \epsilon \sin y \cdot dx - \cos y \cdot dz$ . The vector field vgiven by  $v_{\epsilon}(x, y, z) = (\sin x - \epsilon \cos x \sin y, \sin y - \epsilon \cos y \sin x, 1 - \epsilon^2 \cos x \cos y)$  is contained in Ker  $\alpha \cap$ Ker  $\beta$ . Note that the flow generated by  $v_{\epsilon}$  has a cross section  $\mathbf{T}^2 \times \{0\}$  for any  $\epsilon \in (-1, 1)$ . Since (Ker  $\alpha$ , Ker  $\beta$ ) is a bi-contact structure for any  $\epsilon \in$ (0, 1), the result of Mitsumatsu [4] and Eliashberg and Thurston [2] implies that the flow is projectively

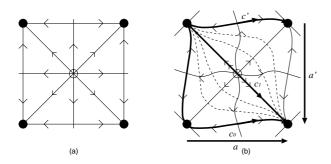


Fig. 1. Phase portrait of  $g_0$  and  $g_{\epsilon}$ .

Anosov, and hence, the return map  $g_{\epsilon}$  on  $\mathbf{T}^2 \times \{0\}$  is a **P**A diffeomorphism.

Since  $v_0(x, y, z) = (-\sin x, -\sin y, 1)$ , the return map  $g_0$  has the phase portrait as in Fig. 1 (a). Hence, we obtain the phase portrait of  $g_{\epsilon}$ , which is a perturbation of  $g_0$ , as in Fig. 1 (b).

In the Fig. 1, black balls and a white ball mean an attracting fixed point and a repelling fixed point respectively. Let  $c_0$ ,  $c_1$  and c' are the elements of  $\mathcal{C}(E^u)$  and a and a' are homology class given in Fig. 1. It is easy to see that  $\operatorname{Per}(\mathcal{C}_a(E^u))$  consists of the index zero fixed point c'. By Theorem B, we obtain that  $\mathcal{C}_a(E^u) = \{c'\}$ . In particular,  $H^0_{\mathrm{c}}(\mathcal{C}_a(E^u)) \cong \mathbb{Z}$  and  $H^*_{\mathrm{c}}(\mathcal{C}_a(E^u)) \cong \{0\}$  for any  $* \neq 0$ . It is also easy to see that  $\operatorname{Per}(\mathcal{C}_{a+a'}(g_{\epsilon}))$ consists of  $c_0$  and  $c_1$  and ind  $c_i = i$  for each i =0, 1. By Theorem B, the space  $\mathcal{C}'_{a+a}(E^u)$  is a CW complex with a one dimensional cell and a zero dimensional cell. Hence,  $\mathcal{C}_{a+a'}(E^u)$  is homeomorphic to  $S^1$ . In particular,  $H^*_{\mathrm{C}}(\mathcal{C}_{a+a'}(E^u)) \cong \mathbb{Z}$  for \* = 0, 1and  $H^*_{\mathrm{c}}(\mathcal{C}_{a+a'}(E^u)) \cong \{0\}$  otherwise.

Define a covering map  $\pi_{m,n}$  on  $\mathbf{T}^2$  by  $\pi_{m,n}(x,y) = (mx,ny)$  for every  $m, n \geq 1$ . Let  $g_{m,n}$  be a lift of  $g_{\epsilon}$  by  $\pi_{m,n}$  and  $E^u_{m,n} \oplus E^s_{m,n}$  the **P**A splitting associated to  $g_{m,n}$ . We can see that  $\mathcal{C}_a(E^u_{m,n})$  and  $\mathcal{C}_{a'}(E^u_{m,n})$  consist of n and m elements of index 0 respectively. Hence, we obtain that  $H^0_c(\mathcal{C}_a(E^u_{m,n})) \cong \mathbf{Z}^n$  and  $H^0_c(\mathcal{C}_{a'}(E^u_{m,n})) \cong \mathbf{Z}^m$ . By Theorem A, the maps  $g_{m,n}$  and  $g_{m',n'}$  are **P**A-homotopic if and only if (m,n) = (m',n'). However, all  $E^u_{m,n}$  are homotopic as plane fields.

### 3. Outline of proof.

**3.1.** Theorem B. First, we give the outline of the proof of Theorem B. The following is a deep result of Pujals and Sambarino on surface dynamics.

**Proposition 3.1** (Pujals-Sambarino, [6]). Let f be a  $C^2$  non-degenerate diffeomorphism on a com-

pact surface M. Suppose that there exists a dominated splitting associated to f on the non-wandering set  $\Omega(f)$ . Then, there exists a disjoint decomposition  $\Omega(f) = \Omega_0(f) \sqcup \Omega_1(f) \sqcup \Omega_2(f)$  such that

- 1.  $\Omega_1(f)$  is a hyperbolic set of saddle-type,
- 2.  $\Omega_0(f)$  is the union of finite number of attracting periodic orbits and normally attracting embedded circles with no periodic points, and
- 3.  $\Omega_2(f)$  is the union of finite number of repelling periodic orbits and normally repelling embedded circles with no periodic points.

Let  $\operatorname{Per}_{h}^{k}(f)$  be the set of all hyperbolic periodic points with the k dimensional unstable manifold. The following is a consequence of Proposition 3.1 and a variation of the  $C^{1}$  regularity theorem for codimension one hyperbolic splittings.

**Proposition 3.2.** The subbundle  $E^u$  defines  $C^1$  foliation  $\mathcal{F}^u$  on  $\mathbf{T}^2 \setminus \Omega_0(f)$ . Moreover,  $\mathcal{F}^u$  contains no closed leaves and if a leaf  $\mathcal{F}^u(z)$  has finite length then the boundary  $\partial \mathcal{F}^u(z)$  is contained in  $\operatorname{Per}_h^0(f)$ .

For every  $f \in \mathbf{PA}^1(\mathbf{T}^2)$ , we say a pair  $(e^u, e^s)$ of non-singular continuous vector fields is an *orientation* of f when  $\mathbf{R}e^u \oplus \mathbf{R}e^s$  is the **PA** splitting associated to f. We call a triple  $(f, e^u, e^s)$  an *oriented* **PA** diffeomorphism on  $\mathbf{T}^2$  if  $(e^u, e^s)$  is an orientation of f.

Fix  $(f, e^u, e^s) \in \mathbf{P}A^2(\mathbf{T}^2)$  such that f is nondegenerate. We define the space  $\mathcal{S}(e^u)$  by

$$\mathcal{S}(e^u) = \left\{ \xi \in C^1([0,1], \mathbf{T}^2) \mid \\ \dot{\xi}(t) = a \cdot e^u(\xi(t)) \text{ for some } a > 0 \right\}$$

with  $C^1$ -topology. Remark that the space  $\mathcal{S}(e^u)$  is locally equi-continuous. Hence, it is locally compact by the Ascoli-Arzelá theorem. The space  $\mathcal{S}(e^u)$  has a natural composition \* of two paths and a natural action  $\mathcal{S}(f)$  of f.

For every compact subset  $\Lambda$  of  $\mathbf{T}^2$ , let  $\mathcal{S}(e^u, \Lambda)$ be the subset of  $\mathcal{S}(e^u)$  consisting of the elements  $\xi$ such that  $\xi(0), \xi(1) \in \Lambda$ . By Proposition 3.2, if  $\mathcal{F}^u(z)$ has finite length for a point  $z \in \mathbf{T}^2 \setminus \Omega_0(f)$  then there exists the unique element  $(z)_u$  of  $\mathcal{S}(e^u, \operatorname{Per}^0_h(f))$  such that  $(z)_u((0,1)) = \mathcal{F}^u(z)$ . The followings are the key lemmas to show Theorem B.

**Lemma 3.3.** For every  $\xi \in \mathcal{S}(e^u, \Omega_0(f))$  there exist a sequence  $\{z_i\}$  in  $\mathbf{T}^2 \setminus \Omega_0(f)$  and a sequence  $\{p_i\}$  in  $\operatorname{Per}_h^1(f) \cup \operatorname{Per}_h^2(f)$  such that  $\xi = (z_1)_u * \cdots *$  $(z_n)_u$  and  $(z_i)_u \in W^u(p_i; \mathcal{S}(f))$ . In particular,  $\xi \in$  $W^u((p_1)_u * \cdots * (p_n)_u; \mathcal{S}(f))$ . **Lemma 3.4.** Let k = 1, 2 and  $p \in \operatorname{Per}_{h}^{k}(f)$ . Suppose that  $W^{u}(p; f)$  has finite length. Then,  $(z)_{u} \in W^{u}((p)_{u}; \mathcal{S}(f))$  if and only if  $z \in W^{u}(p; f)$ . Moreover, in the case of k = 2, there exists a continuous map  $\mu_{p}$  from [-1, 1] to  $\mathcal{S}(e^{u}, \Omega_{0}(f))$  such that  $\mu_{p}(0) = (p)_{u}, \mu_{p}|_{(-1,1)}$  is a homeomorphism between (-1, 1) and  $W^{u}((p)_{u}; \mathcal{S}(f))$ .

The above lemmas imply the existence of a CW complex structure on  $\mathcal{S}(e^u, \operatorname{Per}_h^0(f))$ .

**Proposition 3.5.** The space  $S(e^u, \operatorname{Per}_h^0(f))$ has a CW complex structure

$$\mathcal{S}(e^u, \operatorname{Per}_h^0(f)) = \bigcup W^u(\xi_0; \mathcal{S}(f)),$$

where the union runs over

$$\xi_0 \in \operatorname{Per}(\mathcal{S}(f)) \cap \mathcal{S}(e^u, \operatorname{Per}_h^0(f)).$$

Moreover, the dimension of  $W^u(\xi_0; \mathcal{S}(f))$  is equal to the number of  $t \in S^1$  such that  $\xi_0(t) \in \operatorname{Per}_h^2(f)$ .

Let  $\mathcal{S}_c(e^u, \Lambda)$  be the subset of  $\mathcal{S}(e^u, \Lambda)$  consisting of the elements such that  $\xi(0) = \xi(1)$ . By Propositions 3.1 and 3.2, every  $c = \pi_c(\gamma) \in \mathcal{C}(E^u)$  satisfies that either Im  $\gamma$  is an attracting embedded circle with an irrational rotation or Im  $\gamma$  contains attracting periodic points. In the former case, we can show that c is periodic, has the index zero and is isolated in  $\mathcal{C}(E^u)$ . In the latter case, c is contained in  $\pi_c(\mathcal{S}_c(\pm e^u, \operatorname{Per}_h^0(f)))$ . When  $a \in H_1(\mathbf{T}^2; \mathbf{Z})$  is prime,  $\pi_c$  is injective on  $W^u(\xi_0; \mathcal{S}(f))$  for each  $\xi_0 \in$  $\operatorname{Per}(\mathcal{S}(f)) \cap \mathcal{S}_c(\pm e^u, \operatorname{Per}_h^0(f)) \cap a$ . Hence, the CW complex structure of  $\mathcal{S}_c(\pm e^u, \operatorname{Per}_h^0(f)) \cap a$  induce that of  $\mathcal{C}_a(E^u)$ . It completes the proof of Theorem B.

**3.2. Theorem A.** Let  $\{f_t\}_{t\in[0,1]}$  be a PA homotopy. For  $t_* \in (0,1)$  and  $N \ge 1$ , we say a fixed point  $p_*$  of  $f_{t_*}^N$  exhibits a positive saddle-node bifurcation when there exist a neighborhood U of  $p_*$ , a positive number  $\delta$ , and two continuous functions  $p_s$ ,  $p_u$  from  $[t_*, t_* + \delta]$  to U such that  $p_s(t_*) = p_n(t_*) = p_*$  is the unique fixed point of  $f_t^N$  in U, there exist no fixed points of  $f_t^N$  in U for all  $t \in (t_* - \delta, t_*)$ , and  $p_s(t) \in \operatorname{Per}_h^1(f_t)$  and  $p_n(t) \in \operatorname{Per}_h^0(f_t) \cup \operatorname{Per}_h^2(f_t)$  are the only fixed points in U for all  $t \in (t_*, t_* + \delta)$ .

A **P**A homotopy  $\{f_t\}_{t\in[0,1]}$  is called *regular* if  $f_0$ ,  $f_1$  are non-degenerate,  $f_t(z)$  is  $C^2$  with respect to (z, t),  $Per(f_t)$  contains at most one non-hyperbolic periodic orbit, and it exhibits a saddle-node bifurcation. Since  $\mathbf{P}A^r(\mathbf{T}^2)$  is open in  $\text{Diff}^r(\mathbf{T}^2)$  for all r and a saddle-node bifurcation is the only generic bifurcation that an orientable **P**A diffeomorphism exhibits, every **P**A homotopy connecting two  $C^2$  non-degenerate orientable **P**A diffeomorphisms can be

approximated by a regular  $\mathbf{P}A$  homotopy. In particular, in order to show Theorem A, we have only to consider regular  $\mathbf{P}A$  homotopies.

For every  $\Lambda \subset \mathbf{T}^2$ , let  $\mathcal{C}(E^u, \Lambda)$  be the set of all  $\pi_c(\gamma) \in \mathcal{C}(E^u)$  such that  $\operatorname{Im} \gamma \cap \Lambda \neq \emptyset$ . Let  $\Lambda^u_{\operatorname{sing}}(f)$  denote the union of all *f*-periodic immersed circle tangent to  $E^u_f$  which contains no hyperbolic periodic points. We say a **P**A homotopy  $\{f_t\}_{t \in [0,1]}$  is a simple **P**A homotopy when

- 1. there exist a regular **P**A homotopy  $\{g_t\}$  and an integer  $N \ge 1$  such that  $f_t = g_t^N$  for all  $t \in [0, 1]$ ,
- 2. there exists  $t_* \in (0, 1)$  such that all fixed points of  $f_t$  are hyperbolic for any  $t \neq t_*$ ,
- 3. any non-hyperbolic fixed point of  $f_{t_*}$  exhibit a positive saddle-node bifurcation,
- 4.  $\mathcal{C}(E_{t_*}^u, \operatorname{Per}(f_{t_*})) \cap \operatorname{Per}(\mathcal{C}(f)) = \mathcal{C}(E_{t_*}^u, \operatorname{fix}(f_{t_*})) \cap \operatorname{fix}(\mathcal{C}(f))$ , and
- 5. there exists the unique continuation  $\Lambda(t)$  of  $\Lambda^{u}_{sing}(f_{t_*})$  on [0, 1].

**Proposition 3.6.** Let  $\{f_t\}_{t\in[0,1]}$  be a regular PA homotopy. For every  $t_0 \in [0,1]$ , there exist a neighborhood I of  $t_0$ , a homeomorphism h from [0,1]to I, and an integer  $N \ge 1$  such that  $\{f_{h(t)}^N\}_{t\in[0,1]}$  is a simple PA homotopy.

The proof is given by showing the boundedness of the period of periodic embedded circles. By the above proposition, we have only to consider simple **P**A homotopies.

**Proposition 3.7.** Let  $\{f_t\}_{t\in[0,1]}$  be a simple PA homotopy and  $E_t^u \oplus E_t^s$  the PA splitting associated to  $f_t$ . Then,  $(\mathcal{C}_a(E_0^u) \cup \{\infty\}, \infty)$  and  $(\mathcal{C}_a(E_1^u) \cup \{\infty\}, \infty)$  are homotopic for every prime homology class  $a \in H_1(\mathbf{T}^2; \mathbf{Z})$ .

With a careful investigation of the continuation of fixed points of  $C(f_{t_*})$ , we obtain the information on changing of the CW complex structures in Theorem B under a simple homotopy. It makes us enable to check the homotopy equivalence.

Theorem A is an immediate consequence of Propositions 3.6 and 3.7.

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