

## 55. On Families of Effective Divisors on Algebraic Manifolds

By Makoto NAMBA<sup>\*)</sup>

Tohoku University

(Communicated by Kunihiko KODAIRA, M. J. A., Nov. 12, 1977)

1. By an algebraic manifold, we mean a connected compact complex manifold imbedded in a complex projective space. Let  $V$  be an algebraic manifold. We denote by  $\text{Pic}^0(V)$  the set of all holomorphic line bundles on  $V$  whose Chern classes vanish. It is well known that  $\text{Pic}^0(V)$  is an abelian variety of dimension  $g = \dim H^1(V, \mathcal{O})$ , the irregularity of  $V$ . Let  $c \in H^2(V, \mathbf{Z})$  be a cohomology class of type  $(1, 1)$ . We put

$$\mathcal{D}^c(V) = \{D \mid D \text{ is an effective divisor on } V \text{ with } c([D]) = c\},$$

where  $[D]$  is the line bundle determined by  $D$  and  $c([D])$  is the Chern class of  $[D]$ . According to Weil [7] (see also Kodaira [3]),  $\mathcal{D}^c(V)$  is a projective variety (i.e., a complex space imbedded in a complex projective space) and the Jacobi mapping

$$\Phi: D \in \mathcal{D}^c(V) \rightarrow [D - D_0] \in \text{Pic}^0(V)$$

is holomorphic, where  $D_0 \in \mathcal{D}^c(V)$  is a fixed effective divisor.

In this note, we state the following theorems. Details will be published elsewhere.

**Theorem 1.** *Assume that there is an effective divisor  $D \in \mathcal{D}^c(V)$  such that*

$$\dim H^0(V, \mathcal{O}([D])) > \dim H^1(V, \mathcal{O}([D])).$$

*Then the Jacobi mapping  $\Phi$  is surjective and each fiber of  $\Phi$  has dimension at least  $\dim H^0(V, \mathcal{O}([D])) - \dim H^1(V, \mathcal{O}([D])) - 1$ .*

In general, we put  $W_c = \Phi(\mathcal{D}^c(V))$ . It is a closed subvariety of  $\text{Pic}^0(V)$ .

**Theorem 2.** *For an effective divisor  $D \in \mathcal{D}^c(V)$ , put  $a = \dim H^0(V, \mathcal{O}([D]))$  and  $b = \dim H^1(V, \mathcal{O}([D]))$ . Assume that  $a \leq b$ . Then there are an open neighbourhood  $U$  of  $x = \Phi(D)$  in  $\text{Pic}^0(V)$  and a  $(a \times b)$ -matrix valued holomorphic function  $A(y)$ ,  $y \in U$ , on  $U$  such that  $W_c \cap U$  is the set of zeros of all  $a \times a$  minors of  $A(y)$ .*

**Remark.** If  $V$  is a non-singular curve, then Theorem 1 reduces to Jacobi inversion problem and Theorem 2 reduces to Kempf's theorem [5].

2. Theorem 1 and Theorem 2 are easy consequences of the fol-

---

<sup>\*)</sup> Supported by Alexander von Humboldt Foundation.

lowing theorems. In the sequel, by a complex space, we mean a reduced, Hausdorff, complex analytic space.

**Theorem 3.** *Let  $\{V_s\}_{s \in S}$  be a family of compact complex manifolds with the parameter space  $S$ , a complex space. Let  $\{F_s\}_{s \in S}$  be a family of holomorphic vector bundles over  $\{V_s\}_{s \in S}$ . Then, for each point  $o \in S$ , there are an open neighbourhood  $S'$  of  $o$  in  $S$  and a vector bundle homomorphism*

$$u: H^0(V_o, \mathcal{O}(F_o)) \times S' \rightarrow H^1(V_o, \mathcal{O}(F_o)) \times S'$$

such that the union  $\bigcup_{s \in S'} H^0(V_s, \mathcal{O}(F_s))$  is identified with the kernel of  $u$ .

The following Theorem 4 and Theorem 5 are considered as special cases of Schuster [6].

**Theorem 4.** *Let  $\{V_s\}_{s \in S}$  and  $\{F_s\}_{s \in S}$  be as in Theorem 3. Then the union  $H = \bigcup_{s \in S} H^0(V_s, \mathcal{O}(F_s))$  admits a complex space structure so that  $(H, \lambda, S)$  is a complex linear space in the sense of Grauert [1], where  $\lambda: H \rightarrow S$  is the canonical projection.*

**Theorem 5.** *Let  $\{V_s\}_{s \in S}$  and  $\{F_s\}_{s \in S}$  be as in Theorem 3. Let  $P(F_s)$  be the projective space associated with  $H^0(V_s, \mathcal{O}(F_s))$ . ( $P(F_s)$  is empty if  $H^0(V_s, \mathcal{O}(F_s)) = 0$ .) Then the union  $P = \bigcup_{s \in S} P(F_s)$  admits a complex space structure so that the canonical projection  $\mu: P \rightarrow S$  is a proper holomorphic mapping.*

By Theorem 3, we easily get

**Theorem 6.** *Let  $\{V_s\}_{s \in S}$ ,  $\{F_s\}_{s \in S}$  and  $\mu: P \rightarrow S$  be as in Theorem 5. For a point  $o \in S$ , assume that  $\dim H^0(V_o, \mathcal{O}(F_o)) > \dim H^1(V_o, \mathcal{O}(F_o))$ . Then there is an open neighbourhood  $S'$  of  $o$  in  $S$  such that  $\mu' = \mu|_{\mu^{-1}(S')}: \mu^{-1}(S') \rightarrow S'$  is surjective and each fiber of  $\mu'$  has dimension at least  $\dim H^0(V_o, \mathcal{O}(F_o)) - \dim H^1(V_o, \mathcal{O}(F_o)) - 1$ .*

**Theorem 7.** *Let  $\{V_s\}_{s \in S}$ ,  $\{F_s\}_{s \in S}$  and  $\mu: P \rightarrow S$  be as in Theorem 5. For a point  $o \in S$ , put  $a = \dim H^0(V_o, \mathcal{O}(F_o))$  and  $b = \dim H^1(V_o, \mathcal{O}(F_o))$ . Assume that  $a \leq b$ . Then there are an open neighbourhood  $S'$  of  $o$  in  $S$  and a  $(a \times b)$ -matrix valued holomorphic function  $A(s)$ ,  $s \in S'$ , on  $S'$  such that  $\mu(P) \cap S'$  is the set of zeros of all  $a \times a$  minors of  $A(s)$ .*

3. In order to get Theorem 1 and Theorem 2 from the theorems in §2, we consider the case

$$\begin{aligned} S &= \text{Pic}^0(V), \\ V_x &= V \text{ (fixed),} \\ F_x &= B_x \otimes [D_o], \end{aligned}$$

where  $B_x$  is the line bundle, with the Chern class 0, corresponding to the point  $x \in \text{Pic}^0(V)$ . Then we can easily prove that the complex space  $P$  in Theorem 5 is canonically biholomorphic to  $D^c(V)$ . Now, Theorem 6 and Theorem 7 reduce to Theorem 1 and Theorem 2, respectively.

4. Let  $x \in \text{Pic}^0(V)$ . Let

$$\sigma: H^0(V, \mathcal{O}(F_x)) \times H^1(V, \mathcal{O}) \rightarrow H^1(V, \mathcal{O}(F_x))$$

be the bilinear map defined by

$$\sigma(\xi, h)_{ik}(z) = \xi_i(z)h_{ik}(z),$$

where  $\xi = \{\xi_i(z)\} \in H^0(V, \mathcal{O}(F_x))$  and  $h = \{h_{ik}(z)\} \in H^1(V, \mathcal{O})$  for a suitable Stein open covering  $\{U_i\}$  of  $V$ . We put

$$\sigma(\xi, h) = \sigma_\xi(h) = \sigma(h)(\xi)$$

by abuse of notation. Let  $D = (\xi)$  be the zero divisor of  $\xi$ .  $D$  is said to be *semi-regular* if and only if the linear map  $\sigma_\xi: H^1(V, \mathcal{O}) \rightarrow H^1(V, \mathcal{O}(F_x))$  is surjective. Note that if  $V$  is a non-singular curve, then every  $D$  is semi-regular.

The semi-regularity theorem by Kodaira-Spencer [4] says that if  $D$  is semi-regular, then  $D$  is a non-singular point of  $D^c(V)$  and

$$\dim_D D^c(V) = \dim H^0(V, \mathcal{O}([D])) - \dim H^1(V, \mathcal{O}([D])) + q - 1.$$

We note that the differential at  $(\xi, x)$  of the mapping  $u$  in Theorem 3 is equal to

$$\begin{pmatrix} 0 & \sigma_\xi \\ 0 & 1 \end{pmatrix}$$

in our case. From this fact, we get the semi-regularity theorem.

Finally, we generalize Kempf's theorems [2] as follows: For a point  $x \in \text{Pic}^0(V)$ , assume that every divisor in  $\Phi^{-1}(x)$  is semi-regular. Let  $N$  and  $C$  be the normal bundle of  $D^c(V)$  along  $\Phi^{-1}(x)$  and the tangent cone of  $W_c = \Phi(D^c(V))$  at  $x$ , respectively. Let

$$\rho: N \rightarrow C$$

be the mapping induce by  $\Phi$ .

**Kempf's theorem for algebraic manifolds** (c.f. Kempf [2]). *For a point  $x \in \text{Pic}^0(V)$ , assume that every divisor in  $\Phi^{-1}(x)$  is semi-regular. Assume moreover that*

$$\dim H^0(V, \mathcal{O}(F_x)) \leq \dim H^1(V, \mathcal{O}(F_x)) + 1.$$

*Then*

- (1)  $\rho: N \rightarrow C$  is a rational resolution.
- (2) The degree of  $C$  is the binomial coefficient

$$\binom{\dim H^1(V, \mathcal{O}(F_x))}{\dim H^0(V, \mathcal{O}(F_x)) - 1}.$$

(3) *If  $\dim H^0(V, \mathcal{O}(F_x)) \leq \dim H^1(V, \mathcal{O}(F_x))$ , then the ideal defining  $C$  is generated by the maximal minors of the matrix valued function  $\sigma(h)$  on  $H^1(V, \mathcal{O})$ .*

## References

- [1] H. Grauert: Über Modifikationen und exzeptionelle analytische Mengen. Math. Ann., **146**, 331-368 (1962).

- [ 2 ] J. Kempf: On the geometry of a theorem of Riemann. *Ann. Math.*, **98**, 178–185 (1973).
- [ 3 ] K. Kodaira: Characteristic linear systems of complete continuous systems. *Amer. J. Math.*, **78**, 716–744 (1956).
- [ 4 ] K. Kodaira and D. C. Spencer: A theorem of completeness of characteristic systems of complete continuous systems. *Amer. J. Math.*, **81**, 477–500 (1959).
- [ 5 ] D. Mumford: *Curves and their Jacobians*. The University of Michigan Press, 1975.
- [ 6 ] H. Schuster: Zur Theorie der Deformationen kompakter komplexer Räume. *Inv. Math.*, **9**, 284–294 (1970).
- [ 7 ] A. Weil: On Picard varieties. *Amer. J. Math.*, **74**, 865–894 (1952).

