# 86. Oscillatory Integrals of Symbols of Pseudo-Differential Operators on $\mathrm{R}^{n}$ and Operators of Fredholm Type 

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(Comm. by Kôsaku Yosida, m. J. A., June 12, 1973)

Introduction. In this paper we shall introduce the oscillatory integral of the form $O_{s}-\iint e^{-i x \cdot \xi} p(\xi, x) d x d \xi$ for a $C^{\infty}$-function $p(\xi, x)$ of class $\mathcal{A}$ (defined in Section 1), and by using this integral study the algebra of pseudo-differential operators of class $S_{\lambda, \rho, \delta}^{m}, 0 \leqq \delta \leqq \rho \leqq 1, \delta<1$, whose basic weight function $\lambda=\lambda(x, \xi)$ varies even in $x$ and may increase in polynomial order.*) The Friedrichs part $P_{F}$ of the operator $P$ of class $S_{\lambda, \rho, \delta}^{m}$ will be defined as in Kumano-go [6]. Then, the $L^{2}$ boundedness for the operator $P$ of class $S_{2,, \rho, \delta}^{0}$ for $\delta<\rho$, can be proved by using $P_{F}$ and the Calderon-Vaillancourt theorem in [1]. We have to note that all the results obtained there hold even for operator-valued symbols as in Grushin [3].

Next we shall give a sufficient condition in order that an operator of class $S_{\lambda, \rho, \delta}^{m}$ is Fredholm type. Finally we shall derive a similar inequality to that of Grushin [3] for an operator with polynomial coefficients and with mixed homogeneity in ( $x, \xi$ ), and give a theorem on hypoellipticity at the origin.

All the theorems are stated without proofs and the detailed description will be published elsewhere.
§1. Oscillatory integrals.
Definition 1.1. We say that a $C^{\infty}$-function $p(\xi, x)$ in $R_{\xi, x}^{2_{n}}$ belongs to a class $\mathcal{A}_{o}^{m},-\infty<m<\infty, 0 \leqq \delta<1$, when for any multi-index $\alpha, \beta$ we have

$$
\begin{equation*}
\left|p_{(\beta \beta}^{(\alpha)}(\xi, x)\right| \leqq C_{\alpha, \beta}\langle x\rangle^{l_{\beta}\langle\xi\rangle^{m+8|\beta|}} \tag{1.1}
\end{equation*}
$$

for constants $C_{\alpha, \beta}$ and $l_{\beta}$, where $p_{(\beta)}^{(\alpha)}=\partial_{\xi}^{\alpha} D_{x}^{\beta} p, D_{x_{j}}=-i \partial / \partial x_{j}, \partial_{\xi_{j}}=\partial / \partial \xi_{j}$, $j=1, \cdots, n,\langle x\rangle=\sqrt{1+|x|^{2}},\langle\xi\rangle=\sqrt{1+|\xi|^{2}}$. We set

(cf. [8]).
Definition 1.2. For a $p(\xi, x) \in \mathcal{A}$ we define the oscillatory integral $O_{s}[p]$ by
*) R. Beals and C. Fefferman have reported to us that they discovered a new class $S_{\varnothing, \phi}^{M, \phi_{i}}$ of pseudo-differential operators, which is defined by basic weight functions $\Phi(x, \xi)$ and $\phi(x, \xi)$ depending on $x$ and $\xi$, and covers Hörmander's class $S_{\rho, \delta}^{m}$ in [4].

$$
\begin{align*}
O_{s}[p] & \equiv O_{s}-\iint e^{-i x \cdot \xi} p(\xi, x) d x d \xi \\
& =\lim _{\epsilon \rightarrow 0} \iint e^{-i x \cdot \xi} \chi_{s}(\xi, x) p(\xi, x) d x d \xi \tag{1.2}
\end{align*}
$$

where $d \xi=(2 \pi)^{-n} d \xi, x \cdot \xi=x_{1} \xi_{1}+\cdots+x_{n} \xi_{n}$ and $\chi_{\epsilon}(\xi, x)=\chi(\varepsilon \xi, \varepsilon x), 0<\varepsilon \leqq 1$, for a $\chi(\xi, x) \in \mathcal{S}$ (the class of rapidly decreasing functions of Schwartz) in $R_{\xi, x}^{2_{n}}$ such that $\chi(0,0)=1$.

Lemma 1.3. i) For a $p(\xi, x) \in \mathcal{A}_{\dot{\delta}}^{m}$ we choose positive integers $l$ and $l^{\prime}$ such that $-2 l(1-\delta)+m<-n$ and $-2 l^{\prime}+\operatorname{Max}_{|\beta| \leqq 2 l}\left\{l_{\beta}\right\}<-n$. Then we can write $O_{s}[p]$ as

$$
O_{s}[p]=\iint e^{-i x \cdot \xi}\langle x\rangle^{-2 l^{\prime}}\left\langle D_{\xi}\right\rangle^{2 l^{\prime}}\left\{\langle\xi\rangle^{-2 l}\left\langle D_{x}\right\rangle^{2 l} p(\xi, x)\right\} d x d \xi,
$$

and we have for $l_{0}=2\left(l+l^{\prime}\right)\left|O_{s}[p]\right| \leqq C|p|_{t_{0}}^{(m)}$ with a constant $C$ independent of $p(\xi, x)$, where $|p|_{i_{0}}^{(m)}=\operatorname{Max}_{|\alpha+\beta| \leq l_{0}} \inf \left\{C_{\alpha, \beta}\right.$ of (1.1) $\}$.
ii) For $p_{j}(\xi, x) \in \mathcal{A}, j=1,2$, we have

$$
\begin{aligned}
& O_{s}\left[\partial_{\xi_{\xi}} p_{1} \cdot p_{2}\right]=O_{s}\left[p_{1}\left(i x_{j} p_{2}-\partial_{\xi_{\xi}} p_{2}\right)\right], \\
& O_{s}\left[\partial_{x_{j}} p_{1} \cdot p_{2}\right]=O_{s}\left[p_{1}\left(i \xi_{j} p_{2}-\partial_{x_{j}} p_{2}\right)\right] .
\end{aligned}
$$

§2. Class $S_{\lambda, \rho, \delta}^{m}$ of pseudo-differential operators.
Definition 2.1. We say that a $C^{\infty}$-function $\lambda(x, \xi)$ is a basic weight function when $\lambda(x, \xi)$ satisfies for constants $A_{0}, A_{\alpha, \beta}$ and $A_{1}$

$$
\begin{array}{cc}
1 \leqq \lambda(x, \xi) \leqq A_{0}\langle x\rangle^{\gamma_{0}}\langle\xi\rangle & \left(\tau_{0} \geqq 0\right), \\
\left|\lambda_{(\beta)}^{(\alpha)}(x, \xi)\right| \leqq A_{\alpha, \beta} \lambda(x, \xi)^{1+\delta|\beta|-|\alpha|} & (0 \leqq \delta<1),  \tag{2.2}\\
\lambda(x+y, \xi) \leqq A_{1}\langle y\rangle^{\gamma_{1}} \lambda(x, \xi) & \left(\tau_{1} \leqq 0\right) .
\end{array}
$$

Definition 2.2. We say that a $C^{\infty}$-function $p(x, \xi)$ belongs to a class $S_{\lambda, \rho, \delta}^{m}, 0 \leqq \delta \leqq \rho \leqq 1$, when

$$
\begin{equation*}
\left.\left|p_{(\beta)}^{(\alpha)}(x, \xi)\right| \leqq C_{\alpha, \beta} \lambda(x, \xi)^{m+\delta|\beta|-\rho|\alpha|} \quad \text { (cf. [4] }\right) \tag{2.4}
\end{equation*}
$$

and the pseudo-differential operator $P=p\left(X, D_{x}\right)$ is defined by

$$
\begin{equation*}
P u(x)=\int e^{i x \cdot \xi} p(x, \xi) \hat{u}(\xi) d \xi \quad \text { for } u \in \mathcal{S} \tag{2.5}
\end{equation*}
$$

where $\hat{u}(\xi)=\int e^{-i x^{\prime} \cdot \xi} u\left(x^{\prime}\right) d x^{\prime}$ is the Fourier transform of $u \in \mathcal{S}$.
Remark $1^{\circ}$. $S_{\lambda, \rho, \delta}^{m}$ makes a Fréchet space by semi-norms $|p|_{l}^{(m)}$, $l=0,1,2, \cdots$ defined by

$$
|p|_{i}^{(m)}=\operatorname{Max}_{|\alpha+\beta| \leq l} \sup _{(x, \xi)}\left\{\left|p_{(\beta)}^{(\alpha)}(x, \xi)\right| \lambda(x, \xi)^{-m-\delta|\beta|+\rho|\alpha|}\right\} .
$$

$2^{\circ}$. It is easy to see that $P$ is a continuous map of $\mathcal{S}$ into $\mathcal{S}$, so that from Theorem $2.5 P$ can be extended uniquely to the map of $\mathcal{S}^{\prime}$ into $\mathcal{S}^{\prime}$ by $(P u, v)=\left(u, P^{(*)} v\right)$ for $u \in \mathcal{S}^{\prime}, v \in \mathcal{S}$.

Theorem 2.3. Let $P_{j}=p_{j}\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{m_{j}}, j=1,2$. Then, $P=P_{1} P_{2}$ $\in S_{\lambda, \rho, \delta}^{m_{1}+m_{2}}$ and setting

$$
\left\{\begin{array}{l}
p_{\alpha}(x, \xi)=p_{1}^{(\alpha)}(x, \xi) p_{2(\alpha)}(x, \xi) \quad\left(\in S_{\lambda, \rho, \delta}^{m_{1}+m_{2}-(\rho-\delta)|\alpha|}\right), \\
r_{r, \theta}(x, \xi)=O_{s}-\iint e^{-i y \cdot \eta} p_{1}^{(\gamma)}(x, \xi+\theta \eta) p_{2(\gamma)}(x+y, \xi) d y d \eta
\end{array}\right.
$$

we have for any integer $N>0$

$$
\begin{equation*}
\sigma(P)(x, \xi)=\sum_{|\alpha|<N} \frac{1}{\alpha!} p_{a}(x, \xi)+N \sum_{|\gamma|=N} \int_{0}^{1} \frac{(1-\theta)^{N-1}}{\gamma!} \gamma_{\gamma, \theta}(x, \xi) d \theta . \tag{2.6}
\end{equation*}
$$

The set $\left\{\gamma_{r, \theta}(x, \xi)\right\}_{|\theta| \leq 1}$ is bounded in $S_{\lambda, \rho, \delta}^{m_{1}+m_{2}-(\rho-\delta)|r|}$.
Lemma 2.4. Define a class $S_{\lambda, \rho, o_{i}^{\prime}}^{m, m^{\prime}}$ of double symbols $p\left(\xi, x^{\prime}, \xi^{\prime}\right)$ by $\mid p_{(\beta)^{\left(\alpha, \alpha^{\prime}\right)}}^{\left(\xi, x^{\prime}, \xi^{\prime}\right) \mid \leqq C_{\alpha, \alpha^{\prime}, \beta} \lambda\left(x^{\prime}, \xi\right)^{m-\rho|\alpha|}\left(\lambda\left(x^{\prime}, \xi\right)+\lambda\left(x^{\prime}, \xi^{\prime}\right)\right)^{\delta|\beta|} \lambda\left(x^{\prime}, \xi^{\prime}\right)^{m^{\prime}-\rho\left|\alpha^{\prime}\right|} . ~ . ~ . ~ . ~}$
Then, the operator $P=p\left(D_{x}, X^{\prime}, D_{x^{\prime}}\right)$ defined by

$$
\widehat{P u}(\xi)=O_{s}-\iint e^{-i x^{\prime} \cdot\left(\xi-\xi^{\prime}\right)} p\left(\xi, x^{\prime}, \xi^{\prime}\right) \hat{u}\left(\xi^{\prime}\right) d \xi^{\prime} d x^{\prime} \quad \text { for } u \in \mathcal{S}
$$

belongs to $S_{\lambda, o, c_{i}^{\prime}}^{m+m^{\prime}}$, and setting

$$
\left\{\begin{array}{l}
p_{\alpha}(x, \xi)=p_{(\alpha)}^{(\alpha, 0)}(\xi, x, \xi) \quad\left(\in S_{\lambda, \rho, \delta}^{m+m^{\prime}-(\rho-\delta)|\alpha|}\right), \\
r_{r, \theta}(x, \xi)=O_{s}-\iint e^{-i y \cdot \eta} p_{(r \gamma)}^{(r, 0)}(\xi+\theta \eta, x+y, \xi) d y d \eta
\end{array}\right.
$$

we can write $\sigma(P)(x, \xi)$ in the form (2.6) for any $N>0$. The set $\left\{r_{r, \theta}(x, \xi)\right\}_{|\theta| \leq 1}$ is bounded in $S_{\lambda, \rho, \delta, \delta}^{m+m^{\prime}-(\rho-\delta)|r|}$.

Theorem 2.5. For $P=p\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{m}$ the operator $P^{(*)}$ defined by $(P u, v)=\left(u, P^{(*)} v\right)$ for $u, v \in \mathcal{S}$ belongs to $S_{\lambda, \rho, \delta}^{m}$, and setting

$$
\left\{\begin{array}{l}
p_{\alpha}^{(*)}(x, \xi)=(-1)^{|\alpha|} \overline{p_{(\alpha)}^{(\alpha)}(x, \xi)} \quad\left(\in S_{2, \rho, \delta}^{m-(\rho-\delta)|\alpha|}\right), \\
r_{r, \theta}^{* *)}(x, \xi)=O_{s}-\iint e^{i y \cdot \eta}(-1)^{|r|} \overline{p_{(\gamma)}^{(r)}(x+y, \xi+\theta \eta)} d y d \eta
\end{array}\right.
$$

we have for any $N>0$

$$
\sigma\left(P^{(*)}\right)(x, \xi)=\sum_{|\alpha|<N} \frac{1}{a!} p_{\alpha}^{(*)}(x, \xi)+N \sum_{|r|=N} \int_{0}^{1} \frac{(1-\theta)^{N-1}}{\gamma!} r_{r, \theta}^{(*)}(x, \xi) d \theta
$$

The set $\left\{r_{r, \theta}^{(*)}(x, \xi)\right\}_{|\theta| \leqq 1}$ is bounded in $S_{\lambda, \rho, \delta, \delta}^{m-(\rho)-\delta|r|}$.
Let $q(\sigma)$ be a $C^{\infty}$ - and even-function such that $\int q(\sigma)^{2} d \sigma=1$ and $\operatorname{supp} q \subset\left\{\sigma \in R^{n} ;|\sigma| \leqq 1\right\}$ and set

$$
F(x, \xi ; \zeta)=\lambda(x, \xi)^{-n \tau / 2} q\left((\zeta-\xi) / \lambda(x, \xi)^{\tau}\right) \quad \text { for } \tau=(\rho+\delta) / 2 .
$$

Theorem 2.6. For $P=p\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{m}(\delta<\rho)$ define the Friedrichs part $P_{F}=p_{F}\left(D_{x}, X^{\prime}, D_{x^{\prime}}\right)$ by

$$
p_{F}\left(\xi, x^{\prime}, \xi^{\prime}\right)=\int F\left(x^{\prime}, \xi ; \zeta\right) p\left(x^{\prime}, \zeta\right) F\left(x^{\prime}, \xi^{\prime} ; \zeta\right) d \zeta
$$

Then, we have $P_{F} \in S_{\lambda, \rho, \delta}^{m}$ and $P-P_{F} \in S_{\lambda, \rho, \delta}^{m-(\rho-\delta)}$, and

$$
\sigma\left(P_{F}\right)(x, \xi) \sim p(x, \xi)+\sum_{\alpha, \beta, r} \Psi_{\alpha, \beta, r}(x, \xi) p_{(\beta)}^{(\alpha)}(x, \xi),
$$

where $\Psi_{\alpha, \beta, \gamma}(x, \xi) \in S_{\lambda, 1, \delta}^{\tau(|\alpha|-|\beta|)-(\rho-\delta| | r \mid / 2}$ and the summation is taken over $(\alpha, \beta, \gamma)$ such that $-(\rho-\delta)|\alpha+\beta+\gamma| / 2 \leqq-(\rho-\delta)$, i.e., $|\alpha+\beta+\gamma| \geqq 2$. Moreover, if $p(x, \xi)$ is real valued and non-negative, we have

$$
\left(P_{F} u, v\right)=\left(u, P_{F} v\right) \quad \text { and } \quad\left(P_{F} u, u\right) \geqq 0 \quad \text { for } u, v \in \mathcal{S} .
$$

Theorem 2.7. Let $P=p\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{0}(\delta<\rho)$. Then, we have for some $l$ and a constant $C$

$$
\|P u\|_{L^{2}} \leqq\left. C|p|\right|_{i} ^{(0)}\|u\|_{L^{2}} \quad \text { for } u \in L^{2}\left(R^{n}\right) .
$$

§3. Operators of Fredholm type. In what follows we assume that

$$
\begin{equation*}
c_{0}\langle\xi\rangle^{a_{0}} \leqq \lambda(x, \xi) \quad \text { for some } 0<a_{0} \leqq 1,0<c_{0} . \tag{3.1}
\end{equation*}
$$

Consider $P=p\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{m}$ as the closed operator of $L^{2}=L^{2}\left(R^{n}\right)$ into itself with the domain $\mathscr{D}(P)=\left\{u \in L^{2} ; P u \in L^{2}\right\}$.

We say that $p(x, \xi) \in S_{\lambda, \rho, \delta}^{m}$ is slowly varying if we have (2.4) for a bounded function $C_{\alpha, \beta}(x)$ such that $C_{\alpha, \beta}(x) \rightarrow 0$ as $|x| \rightarrow \infty$ for $\beta \neq 0$ (cf. [2]). Then we have

Theorem 3.1. Let $P=p\left(X, D_{x}\right) \in S_{\lambda, \rho, \delta}^{m}$ for $m \geqq 0$ and $\delta<\rho$. Suppose that $p(x, \xi)$ is slowly varying and satisfies conditions:

$$
\left\{\begin{array}{l}
\left|p_{(\beta)}^{(\alpha)}(x, \xi) p(x, \xi)^{-1}\right| \leqq C_{\alpha, \beta}^{\prime}(x) \lambda(x, \xi)^{\Delta|\beta|-\rho|\alpha|} \\
\left.p(x, \xi) \mid \geqq C_{0} \lambda(x, \xi)^{m \tau} \quad 0<C_{0}, 0 \leqq \tau \leqq 1\right)
\end{array}\right.
$$

for large $|x|+|\xi|$, where $C_{\alpha, \beta}^{\prime}(x)$ are bounded functions such that $C_{\alpha, \beta}^{\prime}(x)$ $\rightarrow 0$ as $|x| \rightarrow \infty$ for $\beta \neq 0$. Then, $P$ is Fredholm type in $L^{2}$, and there exist parametrices $Q$ and $Q^{\prime}$ in $S_{\lambda, \rho, \delta}^{-m \tau}$ such that
(3.2) $\quad Q P=I+K$ and $Q^{\prime} P^{(*)}=I+K^{\prime}$,
where $K$ and $K^{\prime}$ belong to $S_{\lambda,,, \delta \delta}^{-\infty}$ and are compact in $L^{2}$. (cf. [4], [7], [9])
Remark. When $\lambda(x, \xi) \rightarrow \infty$ as $|x| \rightarrow \infty$, symbols of class $S_{\lambda, \rho, \delta}^{m}(\delta<\rho)$ are always slowly varying in $S_{\lambda, \rho, \delta^{\prime}}^{m}$, for $\delta<\delta^{\prime}<\rho$.
§4. Examples. Let $\mathfrak{m}=\left(m_{1}, \cdots, m_{n}, m_{1}^{\prime}, \cdots, m_{k}^{\prime}\right)$ be a multi-index of positive integers $m_{j}$ and $m_{l}^{\prime}$. Consider an operator $L\left(x, \tilde{y}, D_{x}, D_{y}\right)$ in $R_{x}^{n} \times R_{y}^{k}$ with polynomial coefficients and of the form

$$
\begin{equation*}
L(x, \tilde{y}, \xi, \eta)=\sum_{|\alpha: \mathrm{m}| \leq 1} a_{\alpha, \gamma}(x, \tilde{y})^{r}(\xi, \eta)^{\alpha}, \tag{4.1}
\end{equation*}
$$

and set

$$
\begin{equation*}
L_{0}(x, \tilde{y}, \xi, \eta)=\sum_{|\alpha: m|=1} a_{\alpha, r}(x, \tilde{y})^{r}(\xi, \eta)^{\alpha}, \tag{4.2}
\end{equation*}
$$

where $y=(\widetilde{y}, \widetilde{\tilde{y}}), \widetilde{y}=\left(y_{1}, \cdots, y_{s}\right), \widetilde{\tilde{y}}=\left(y_{s+1}, \cdots, y_{k}\right)$ for $s \leqq k$,

$$
\alpha=\left(\alpha_{1}, \cdots, \alpha_{n}, \alpha_{1}^{\prime}, \cdots, \alpha_{k}^{\prime}\right), \gamma=\left(\gamma_{1}, \cdots, \gamma_{n}, \gamma_{1}^{\prime}, \cdots, \gamma_{s}^{\prime}, 0, \cdots, 0\right),
$$

$|\alpha: \mathfrak{m}|=\alpha_{1} / m_{1}+\cdots+\alpha_{n} / m_{n}+\alpha_{1}^{\prime} / m_{1}^{\prime}+\cdots+\alpha_{k}^{\prime} / m_{k}^{\prime}$,
$(x, \tilde{y})^{r}=x_{1}^{\gamma_{1}} \cdots x_{n}^{\gamma_{n}} y_{1}^{\gamma_{1}^{\prime}} \cdots y_{s}^{\gamma_{s}^{\prime}},(\xi, \eta)^{\alpha}=\xi_{1}^{\alpha_{1}} \cdots \xi_{n}^{\alpha_{n}} \eta_{1}^{\alpha_{1}^{\alpha_{1}}} \cdots \eta_{k}^{\alpha_{k}^{\prime}}$.
Now setting $m=\operatorname{Max}\left\{m_{j}, m_{l}^{\prime}\right\}$ we assume that there exist two real vectors $\rho=\left(\rho_{1}, \cdots, \rho_{n}, \rho_{1}^{\prime}, \cdots, \rho_{k}^{\prime}\right), \sigma=\left(\sigma_{1}, \cdots, \sigma_{n}, \sigma_{1}^{\prime}, \cdots, \sigma_{s}^{\prime}, 0, \cdots, 0\right)$ such that
(i) $\rho_{j}=\sigma_{j}=m / m_{j}, \quad j=1, \cdots, n$,
(ii) $\quad \rho_{j}^{\prime}>\sigma_{j}^{\prime} \geqq 0, \quad \rho_{j}^{\prime} m_{j}^{\prime} \geqq m, \quad j=1, \cdots, k$,
and
(4.4)

$$
L\left(t^{-o}(x, \tilde{y}), t^{o}(\xi, \eta)\right)=t^{m} L(x, \tilde{y}, \xi, \eta) \quad \text { for } t>0
$$

where $t^{-\sigma}(x, \tilde{y})=\left(t^{-\sigma_{1}} x_{1}, \cdots, t^{-\sigma_{n}} x_{n}, t^{-\sigma_{1}^{\prime}} y_{1}, \cdots, t^{-\sigma_{s}^{\prime}} y_{s}\right)$,

$$
t^{\rho}(\xi, \eta)=\left(t^{\rho_{1}} \xi_{1}, \cdots, t^{\rho_{n}} \xi_{n}, t^{\rho_{1}^{\prime}} \eta_{1}, \cdots, t^{\rho_{k}^{\prime}} \eta_{k}\right)
$$

and assume that

$$
\begin{equation*}
L_{0}(x, \tilde{y}, \xi, \eta) \neq 0 \quad \text { for } \quad|x|+|\tilde{y}| \neq 0 \quad \text { and } \quad(\xi, \eta) \neq 0, \tag{4.5}
\end{equation*}
$$

which means that $L(x, \tilde{y}, \xi, \eta)$ is semi-elliptic for $|x|+|\tilde{y}| \neq 0$. Then from (4.3)-(4.5) we have for a constant $C>0$

$$
C^{-1}\left|L_{0}(x, \tilde{y}, \xi, \eta)\right| \leqq\left\{\sum_{j=1}^{n}\left|\xi_{j}\right|^{m_{j}}+\sum_{j=1}^{n}|x, \tilde{y}|_{\sigma}^{\left(\sigma_{j}^{\prime} m_{j}^{\prime}-m\right)}\left|\eta_{j}\right|^{\mid m_{j}^{\prime}}\right\} \leqq C\left|L_{0}(x, \tilde{y}, \xi, \eta)\right|,
$$

where

$$
|x, \widetilde{y}|_{\sigma}=\left\{\sum_{j=1}^{n}\left|x_{j}\right|^{1 / \sigma_{j}}+\sum_{j=1}^{s}\left|y_{j}\right|^{1 / \sigma_{j}^{\prime}}\right\} .
$$

Using this we get a basic weight function $\lambda_{h}(x, \xi)(|\eta|=1)$ with parameter $h=(\tilde{y}, \eta)$ by $\lambda_{h}(x, \xi)=\left\{1+|L(x, \tilde{y}, \xi, \eta)|^{2}\right\}^{1 / 2 m}(|\eta|=1)$ for $\delta=0$ and $a_{0}$ $=\operatorname{Min}_{1 \leqq j \leqq n}\left\{m_{j} / m\right\}$. Setting $p_{h}(x, \xi)=L(x, \tilde{y}, \xi, \eta)$ we can check that $p_{h}(x, \xi) \in S_{\lambda_{h}, 1,0}^{m}$ and satisfies the conditions of Theorem 3.1 for $\tau=1$ and for large $|x|+|\tilde{y}|+|\xi|$. Moreover, we can replace $C_{\alpha, \beta}(x)$ by bounded functions $C_{\alpha, \beta}(x, \tilde{y})$ such that

$$
\begin{equation*}
C_{\alpha, \beta}(x, \tilde{y}) \rightarrow 0 \quad \text { as } \quad|x|+|\tilde{y}| \rightarrow \infty \quad \text { for } \beta \neq 0 . \tag{4.6}
\end{equation*}
$$

Then we have for a compact operator $K_{h}\left(X, D_{x}\right)$
(4.7) $\|u\|_{L_{x}^{2}} \leqq C\left\|L\left(X, \tilde{y}, D_{x}, \eta\right) u\right\|_{L_{x}^{2}}+\left\|K_{h}\left(X, D_{x}\right) u\right\|_{L_{x}^{2}} \quad$ for $u \in \mathcal{S}_{x}$.

Moreover, if we add an assumption that the equation $L\left(X, \tilde{y}, D_{x}, \eta\right) u(x)$ $=0(|\eta|=1)$ has no non-trivial solution in $\mathcal{S}_{x}$, then by using (4.6) and the relation: $t^{m}\left\|L\left(X, \tilde{y}, D_{x}, \eta\right) u\right\|_{L_{x}^{2}}=\left\|L\left(t^{-\sigma}(X, \tilde{y}), t^{\rho}\left(D_{x}, \eta\right)\right) u\right\|_{L_{x}^{2}}=t^{\left(\Sigma_{\left.j=1 \sigma \sigma^{j}\right)}^{n} / 2\right.}$ $\left\|L\left(X, t^{-\sigma^{\prime}} \tilde{y}, D_{x}, t^{\sigma^{\prime}} \eta\right) v\right\|_{L_{x}^{2}}$ for $v(x)=u\left(t^{\sigma_{1}} x_{1}, \cdots, t^{\sigma_{n}} x_{n}\right)$ we have (4.8) $|\eta|_{\rho^{\prime}, ~}^{m} u\left\|_{L_{x}^{2}} \leqq C^{\prime}\right\| L\left(X, \tilde{y}, D_{x}, \eta\right) u \|_{L_{x}^{2}} \quad$ for $u \in \mathcal{S}_{x}$ and $\eta \in R^{k}$, where $\sigma^{\prime}=\left(\sigma_{1}^{\prime}, \cdots, \sigma_{s}^{\prime}\right), \rho^{\prime}=\left(\rho_{1}^{\prime}, \cdots, \rho_{k}^{\prime}\right)$ and $|\eta|_{\rho^{\prime}}=\sum_{j=1}^{k}\left|\eta_{j}\right|^{1 / \rho_{j}^{\prime}}$. Finally we have

Theorem 4.1. The operator $L\left(x, \tilde{y}, D_{x}, D_{y}\right)$ which satisfies (4.4) and (4.5) is hypoelliptic at the origin, if (and only if when $\tilde{y}$ does not appear) $L\left(X, \tilde{y}, D_{x}, \eta\right) u=0$ has no non-trivial solution in $\mathcal{S}_{x}$ for $|\eta|=1$ and $\operatorname{Max}_{1 \leqq j \leq k}\left\{\sigma_{j}^{\prime}\right\}<\operatorname{Min}_{1 \leqq j, l \leqq k}\left\{m_{j}^{\prime} \rho_{j}^{\prime} / m_{l}^{\prime}\right\}$.

Example $1^{\circ} . L_{ \pm}\left(x, D_{x}, D_{y}\right)=D_{x} \pm i x D_{y}^{2}$ in $R_{x}^{1} \times R_{y}^{1}$ (cf. [5]).

$$
\mathfrak{m}=(1,2), \quad m=2, \quad \rho_{1}=\sigma_{1}=2, \quad \rho_{2}=2, \quad \sigma_{2}=0 .
$$

In this case $L_{+}\left(X, D_{x}, \pm 1\right) u=0$ has no non-trivial solution in $\mathcal{S}_{x}$ and $L_{-}\left(X, D_{x}, \pm 1\right) u=0$ has non-trivial solution $e^{-x^{2 / 2}} \in \mathcal{S}_{x}$.
$2^{\circ}$. $L_{k}\left(x, D_{x}, D_{y}\right)=D_{x}+i x^{k} D_{y}$ in $R_{x}^{1} \times R_{y}^{1}$ (cf. [10]). $\mathfrak{m}=(1,1), m=1$, $\rho_{1}=\sigma_{1}=1, \rho_{2}=k+1, \sigma_{2}=0$. In this case $L_{k}\left(X, D_{x}, \pm 1\right) u=0$ has nontrivial solution in $\mathcal{S}_{x}$ for even $k$ and $L_{k}\left(X, D_{x},-1\right) u=0$ has non-trivial solution $e^{-x^{k+1 /(k+1)}}$ for odd $k$.

## References

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