

### 34. *A New Elementary Proof of a Theorem of Minkowski.*

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In the following Note, No. 35, Fukasawa extends Klein's geometrical interpretation of continued fraction and proves Minkowski's theorem<sup>1)</sup> in a more precise form. I will here add another simple proof based on the same standpoint as in my previous paper on the approximation of an irrational number by rational numbers.<sup>2)</sup>

Let  $\alpha$  be any positive irrational number,  $\beta$  any real number between 0 and 1, for which there is no pair of integers  $(x, y)$  which satisfies  $\alpha x - y + \beta = 0$ . Further let  $L$  be the straight line  $\alpha x - y + \beta = 0$  and  $A_0 = (0, 0)$ ,  $B_0 = (-1, 0)$ . Then construct two polygonal lines  $(A) = A_0 A_1 A_2 \dots$ ,  $(B) = B_0 B_1 B_2 \dots$ , convex towards  $L$ , such that their vertices are all lattice points, that is points whose coordinates are integers, and that there is no lattice point in the domain  $D$  enclosed by the  $x$ -axis,  $(A)$  and  $(B)$ .

Let  $C_{n+1}$  be any lattice point on  $(A)$  or  $(B)$ , say  $(B)$ , and  $C_n, C_{n+2}$  two consecutive lattice points on  $(A)$ , such that the abscissa of  $C_{n+1}$  lies between those of  $C_n$  and  $C_{n+2}$ . If we construct the parallelogram  $C_{n-1} C_n C_{n+1} C_{n+2}$ , then  $C_{n-1}$  must lie below the  $x$ -axis; for, the straight line passing through  $C_{n+1}$ , parallel to  $C_n C_{n+2}$  cuts the  $x$ -axis at a point  $M$  between  $A_n$ ,  $B_n$ , and if  $C_{n+1} M < C_n C_{n+1}$ , then there will be a lattice point on the segment  $C_{n+1} M$ , which lies in the domain  $D$ , contrary to the assumption.

Let the coordinates of  $C_k$  be  $(Q_k, P_k)$ , and  $M_k$  be the intersection of  $L$  with the line passing through  $C_k$  parallel to the  $y$ -axis, then  $\alpha Q_k - P_k + \beta$  is equal to  $C_k M_k$  with the sign + or - according as  $C_k$  lies above or below  $L$ . Therefore from the assumption we have

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1) Minkowski, Diophantische Approximationen. See also Remak, Neuer Beweis eines Minkowskischen Satzes, Journal f. Math., 142 (1913); Scherrer, Zur Geometrie der Zahlen, Math. Annalen, 89 (1923).

2) These Proceedings, 2, 1 - 3.

$$\begin{aligned}
S_{n+2} &= Q_{n+2}(aQ_{n+2} - P_{n+2} + \beta) \\
- S_{n+1} &= Q_{n+1}(aQ_{n+1} - P_{n+1} + \beta) \\
S_n &= Q_n (aQ_n - P_n + \beta), \\
\text{where } S_k &= |Q_k(aQ_k - P_k + \beta)|.
\end{aligned} \tag{1}$$

where

Eliminating  $\alpha, \beta$  and observing that the area of the triangle  $C_n C_{n+1} C_{n+2}$  is equal to  $1/2$ , we get

$$\frac{Q_{n+2} - Q_{n+1}}{Q_n} S_n + \frac{Q_{n+2} - Q_n}{Q_{n+1}} S_{n+1} + \frac{Q_{n+1} - Q_n}{Q_{n+2}} S_{n+2} = 1. \tag{2}$$

On the other hand we have the relation

$$\frac{S_{n+2}}{Q_{n+2}} + \frac{S_{n+1}}{Q_{n+1}} = \frac{S_n}{Q_n} + \frac{S_{n-1}}{Q_{n-1}}, \tag{3}$$

when  $C_{n-1}$  lies below the line  $L$ , for,  $C_n M_n - C_{n+2} M_{n+2} = C_{n+1} M_{n+1} + C_{n-1} M_{n-1}$ ,  $Q_{n-1} < 0$ . This relation is also deducible from (1) and  $-S_{n-1} = Q_{n-1}(aQ_{n-1} - P_{n-1} + \beta)$ .

From (2), (3) it follows immediately, taking  $Q_{n+2} - Q_{n+1} = Q_n - Q_{n-1}$ ,  $Q_{n-1} < 0$  into account

$$\begin{aligned}
1 &= \frac{Q_{n+2}}{Q_{n+1}} S_{n+1} + \frac{Q_{n+1}}{Q_{n+2}} S_{n+2} + \frac{Q_n}{|Q_{n-1}|} S_{n-1} + \frac{|Q_{n-1}|}{Q_n} S_n \\
&\geq \left( \frac{Q_{n+2}}{Q_{n+1}} + \frac{Q_{n+1}}{Q_{n+2}} + \frac{Q_n}{|Q_{n-1}|} + \frac{|Q_{n-1}|}{Q_n} \right) \text{Mini.}(S_{n-1}, S_n, S_{n+1}, S_{n+2}) \\
&\geq 4 \text{Mini.}(S_{n-1}, S_n, S_{n+1}, S_{n+2}),
\end{aligned} \tag{4}$$

for  $\mu + \frac{1}{\mu} \geq 2$ , if  $\mu > 0$ .

In the case where  $C_{n-1}, C_{n+1}, C_{n+2}$  lie below  $L$  and  $C_n$  above  $L$ , we have

$$\frac{Q_{n+2} - Q_{n+1}}{Q_n} S_n + \frac{Q_{n+2} - Q_n}{Q_{n+2}} S_{n+1} - \frac{Q_{n-1} - Q_n}{Q_{n+2}} S_{n+2} = 1, \tag{2'}$$

$$\frac{S_{n+2}}{Q_{n+2}} + \frac{S_n}{Q_n} = \frac{S_{n+1}}{Q_{n+1}} + \frac{S_{n-1}}{Q_{n-1}}, \tag{3'}$$

whence follows

$$\begin{aligned}
1 &= \frac{Q_{n+2}}{Q_n} S_n + \frac{Q_n}{Q_{n+2}} S_{n+2} + \frac{Q_{n+1}}{|Q_{n-1}|} S_{n-1} + \frac{|Q_{n-1}|}{Q_{n+1}} S_{n+1}, \\
&\geq 4 \text{Mini.}(S_{n-1}, S_n, S_{n+1}, S_{n+2}).
\end{aligned} \tag{4'}$$

The equality sign in (4), (4') holds good when and only when  $C_{n-1}, C_n, C_{n+1}, C_{n+2}$  coincide all together. Thus we have

$$\text{Mini.}(S_{n-1}, S_n, S_{n+1}, S_{n+2}) > \frac{1}{4},$$

which is nothing but Minkowski's theorem in Fukasawa's form.

If  $C_{n-1}$  lie above  $L$ , then we take instead of  $C_n, C_{n+2}$  two consecutive

lattice points  $C'_n, C'_{n+2}$  on the prolonged portion of  $C_n C_{n+2}$ , which intercept  $L$ . In this case  $C'_n C'_{n+2} C_{n+1} C_{n-1}$  is also a parallelogram whose area is equal to 1, and

$$\frac{S'_{n+2}}{Q'_{n+2}} + \frac{S'_n}{Q'_n} = \frac{S_{n+1}}{Q_{n+1}} + \frac{S_{n-1}}{Q_{n+1}},$$

whence we get

$$\text{Mini. } (S_{n-1}, S'_n, S_{n+1}, S'_{n+2}) < \frac{1}{4}.$$

If we make use of the fact  $Q_{n+2} - Q_{n+1} > Q_n$  only, we have from (2)

$$1 > \frac{Q_{n+2} - Q_{n+1}}{Q_n} S_n + \frac{Q_{n+2} - Q_n}{Q_{n+1}} S_{n+1} > S_n + S_{n+1},$$

whence

$$\text{Mini. } (S_n, S_{n+1}) < \frac{1}{2};$$

this is a precise form of Tehebycheff's theorem, which corresponds to Vahlen's theorem in the theory of continued fraction.

In the second case above treated, where  $C_{n-1}, C_{n+1}, C_{n+2}$  lie below  $L$ , while  $C_n$  above  $L$ , we can obtain  $\text{Mini. } (S_{n-1}, S_n, S_{n+1}, S_{n+2}) < \frac{1}{4\frac{1}{2}}$ , if

we make use of the fact  $Q_{n+2} = Q_{n+1} + Q_n + |Q_{n-1}| > 2Q_n$ .