

118. A Remark on the Arithmetic in a Subfield.

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Let K be a (commutative) field and k be its subfield over which K has a finite degree. It is well known that if k is a quotient field of a certain integrity-domain in which the usual arithmetic¹⁾ holds then the same is the case in the integrity-domain in K consisting of the totality of relatively integral elements. The present small remark is however concerned with the converse situation. Suppose namely K be a quotient field of an integrally closed integrity-domain \mathfrak{D} . Does then the integrity-domain

$$\mathfrak{o} = \mathfrak{D} \cap k$$

in k have the usual arithmetic if we have it in \mathfrak{D} ? The answer is of course negative in general.²⁾ So we want to obtain a condition that the usual arithmetic prevail in \mathfrak{o} . And, to do so we can, and shall, assume without any essential loss in generality that K/k be normal, since we know that the usual arithmetic is preserved by any finite extension.

Theorem 1. In order that $\mathfrak{o} = \mathfrak{D} \cap k$ possess the usual arithmetic it is necessary and sufficient that the intersection $\mathfrak{D}^* = \mathfrak{D} \cap \mathfrak{D}' \cap \dots \cap \mathfrak{D}^{(n-1)}$ ($n = (K:k)$) of all the conjugates (with respect to K/k) of \mathfrak{D} in K have it. And, if this is the case then \mathfrak{D}^* is the totality of the elements in K relatively integral with respect to \mathfrak{o} .

Theorem 2. If in particular \mathfrak{D} coincides with all its conjugates and if we have the usual arithmetic in \mathfrak{D} then we have it in \mathfrak{o} too.

We begin with a proof of this special case: First, k is the quotient field of \mathfrak{o} . For, if $a \in k$ then $aa \in \mathfrak{D}$ for a suitable $a \in \mathfrak{D}$ and so $aN(a) \in \mathfrak{o}$, where $N(a)$ is the norm $aa' \dots a^{(n-1)}$ of a and lies in $\mathfrak{o} = \mathfrak{D} \cap k$ since a, a', \dots are all in \mathfrak{D} .

Let \mathfrak{a} be an (integral or fractional) \mathfrak{o} -ideal in k . $\mathfrak{a}\mathfrak{D}$ has the inverse $(\mathfrak{a}\mathfrak{D})^{-1}$ and $\mathfrak{a}(\mathfrak{a}\mathfrak{D})^{-1} = (\mathfrak{a}\mathfrak{D})(\mathfrak{a}\mathfrak{D})^{-1} = \mathfrak{D}$. Hence

$$1 = a_1 a_1 + a_2 a_2 + \dots + a_r a_r \quad \text{with } a_\mu \in \mathfrak{a}, \quad a_\mu \in (\mathfrak{a}\mathfrak{D})^{-1},$$

and

$$1 = \prod_{i=0}^{n-1} (a_1 a_1^{(i)} + \dots + a_r a_r^{(i)}) = \sum c_{\nu_1 \dots \nu_r} a_1^{\nu_1} \dots a_r^{\nu_r},$$

where $c_{\nu_1 \dots \nu_r}$ are homogeneous of degree n in $a_1, \dots, a_r, a_1', \dots, a_r', \dots$. Now, let \mathfrak{P} be a prime ideal in \mathfrak{D} , and let $\mathfrak{D}_{\mathfrak{P}}$ be the ring of integers for \mathfrak{P} , that is, the valuation ring for \mathfrak{P} . Then $\mathfrak{o}_{\mathfrak{P}} = \mathfrak{D}_{\mathfrak{P}} \cap k$ is the valuation ring of the valuation in k induced by \mathfrak{P} . We set $\mathfrak{a}_{\mathfrak{P}} = \mathfrak{a}\mathfrak{o}_{\mathfrak{P}}$,

1) Unique factorization into prime ideals = Group condition.

2) See an example below.

$\mathfrak{D}_1 = \mathfrak{D} \circ \mathfrak{P}$. Then $\mathfrak{D}_1 \subseteq \mathfrak{D}_{\mathfrak{P}}$ and $\mathfrak{D}_1 \cap k = \mathfrak{D}_{\mathfrak{P}} \cap k = \mathfrak{o}_{\mathfrak{P}}$. The inverse $\alpha_{\mathfrak{P}}^{-1}$ of $\alpha_{\mathfrak{P}}$ with respect to $\mathfrak{o}_{\mathfrak{P}}$ exists; $\alpha_{\mathfrak{P}}^{-1} \alpha_{\mathfrak{P}} = \mathfrak{o}_{\mathfrak{P}}$. Further

$$(\alpha \mathfrak{D})^{-1} \mathfrak{o}_{\mathfrak{P}} = (\alpha \mathfrak{D})^{-1} \alpha_{\mathfrak{P}} \alpha_{\mathfrak{P}}^{-1} = \mathfrak{D} \circ \mathfrak{P} \alpha_{\mathfrak{P}}^{-1} = \alpha_{\mathfrak{P}}^{-1} \mathfrak{D}_1$$

and so

$$\alpha_{\mu} \in (\alpha \mathfrak{D})^{-1} \subseteq \alpha_{\mathfrak{P}}^{-1} \mathfrak{D}_1, \quad \alpha_{\mu}^{(i)} \in \alpha_{\mathfrak{P}}^{-1} \mathfrak{D}_1.$$

Therefore

$$c_{\nu_1 \dots \nu_r} \in (\alpha_{\mathfrak{P}}^{-1} \mathfrak{D}_1)^n = \alpha_{\mathfrak{P}}^{-n} \mathfrak{D}_1 \quad \text{whence} \quad c_{\nu_1 \dots \nu_r} \in \alpha_{\mathfrak{P}}^{-n} \mathfrak{D}_1 \cap k = \alpha_{\mathfrak{P}}^{-n}.$$

Since this is the case for every \mathfrak{P} , we have $c_{\nu_1 \dots \nu_r} \in \bigcap \alpha_{\mathfrak{P}}^{-n}$, and thus $1 \in (\bigcap \alpha_{\mathfrak{P}}^{-n}) \alpha^n$. But $\alpha_{\mathfrak{P}}^{-n} \alpha^n \subseteq \mathfrak{o}_{\mathfrak{P}}$ and $(\bigcap \alpha_{\mathfrak{P}}^{-n}) \alpha^n \subseteq \mathfrak{o}_{\mathfrak{P}} = \mathfrak{o}$. So $(\bigcap \alpha_{\mathfrak{P}}^{-n}) \alpha^n = \mathfrak{o}$ and α has an inverse. This proves our theorem 2.

A second proof: That an integrity-domain \mathfrak{D} in K has K as its quotient field and possesses the usual arithmetic is equivalent to the existence of a system $\{\phi_{\sigma}\}$ of non-archimedian valuations ϕ_{σ} in K satisfying the condition:¹⁾

- 1) \mathfrak{D} is the intersection $\bigcap \mathfrak{D}_{\sigma}$ of valuation rings \mathfrak{D}_{σ} for ϕ_{σ} ,
- 2) every ϕ_{σ} is discrete,
- 3) given a finite number of indices, say $\sigma_1, \sigma_2, \dots, \sigma_m$, and given correspondingly $a_1, a_2, \dots, a_m \in K$, there exists an element a in K which is, for every $i=1, 2, \dots, m$, near to a_i with respect to ϕ_{σ_i} to any pre-assigned degree and which is integral for all other ϕ_{σ} .

Further, in case such a system exists any non-archimedian valuation in K whose valuation ring contains \mathfrak{D} is equivalent to one (and only one) of ϕ_{σ} .

Now, let k be, as before, a subfield of K over which K is finite and normal. On supposing the existence of $\{\phi_{\sigma}\}$ in K as above, we want to derive a system of valuations in k satisfying the similar conditions. For this, we simply consider those valuations induced in k by ϕ_{σ} and take representatives of the classes of mutually equivalent ones among them. Denote the system thus obtained by $\{\varphi_{\tau}\}$. It is evident that $\mathfrak{o} = \mathfrak{D} \cap k$ coincides with the intersection $\bigcap \mathfrak{o}_{\tau}$ of the valuation rings \mathfrak{o}_{τ} for φ_{τ} . Further, every φ_{τ} is discrete. To verify the third condition, we first assume K/k to be *separable*. Then every a in k is a trace of an element α in K ; $a = S(\alpha) = \alpha + \alpha' + \dots + \alpha^{(n-1)}$. Now, suppose φ_{τ} is induced by ϕ_{σ} . A valuation conjugate to ϕ_{σ} with respect to K/k is equivalent to a certain ϕ , since \mathfrak{D} coincides with its conjugates. Moreover, the ϕ 's conjugate to ϕ_{σ} (up to equivalence) and only those divide φ_{τ} . So if an element β is close, sufficiently, to α at all those conjugate valuations then $S(\beta) = \beta + \beta' + \dots + \beta^{(n-1)}$ is near to a with respect to φ_{τ} . When $\varphi_{\tau_1}, \varphi_{\tau_2}, \dots, \varphi_{\tau_m}$ and a_1, a_2, \dots, a_m are given, where $a_i = S(\alpha_i)$, we let α_i be simultaneously approximated by β at the ϕ 's dividing φ_{τ_i} . The β can be chosen to be integral for all other ϕ 's. But then $b = S(\beta)$ has the desired property. Let next K/k be *purely inseparable*. Denote by p the characteristic of k , and let $K^q \subseteq k$ where q is a power of p . Given a_1, a_2, \dots, a_m , we consider the field

1) This formulation is due to E. Artin. In this connection cf. also M. Moriya, Journal of Hokkaido Imperial University (1940).

$$K_1 = K(\sqrt[r_1]{a_1}, \sqrt[r_2]{a_2}, \dots, \sqrt[r_m]{a_m}) \supseteq K.$$

Since K_1/K is finite we have the usual arithmetic in K_1 and the corresponding system of valuation consists of the extensions of \mathcal{O} 's. Hence we can choose an element a in K_1 which is close to $\sqrt[r_1]{a_1}, \sqrt[r_2]{a_2}, \dots, \sqrt[r_m]{a_m}$ at the extensions of $\varphi_{r_1}, \varphi_{r_2}, \dots, \varphi_{r_m}$ and which is integral at all other valuations. Then $a^q \in k$ approximates a_i at φ_{r_i} and is integral at other places. Finally, a *general* case can readily be reduced to these extreme cases.

Proof of Theorem 1. It is now easy to deduce Theorem 1. We first observe that

$$\mathfrak{o} = \mathfrak{D} \cap k = \mathfrak{D}' \cap k = \mathfrak{D}'' \cap k \dots \quad \text{whence} \quad \mathfrak{o} = \mathfrak{D}^* \cap k.$$

Hence if \mathfrak{D}^* has the usual arithmetic then so does \mathfrak{o} according to Theorem 2. Suppose conversely that \mathfrak{o} possesses the usual arithmetic. Then it satisfies in particular the maximum condition, and therefore, an element in K integral with respect to \mathfrak{o} is also integral with respect to \mathfrak{D} and thus lies in \mathfrak{D} . Similarly the same element is contained in all the conjugates $\mathfrak{D}^{(i)}$ of \mathfrak{D} , and so it is in \mathfrak{D}^* . But conversely every element in \mathfrak{D}^* is integral with respect to \mathfrak{o} , because all its conjugates are in \mathfrak{D}^* and the coefficients of the (normalized) irreducible equation in k satisfied by it are all in $\mathfrak{o} = \mathfrak{D}^* \cap k$. So \mathfrak{D}^* consists of the totality of the elements integral with respect to \mathfrak{o} , and therefore, it has the usual arithmetic along with \mathfrak{o} .

The additional remark in the theorem was proved at the same time.

Example that the usual arithmetic prevails in \mathfrak{D} but not in \mathfrak{o} : Let \mathcal{Q} be a field whose characteristic is different from 2, and x, y be two independent variables. Put

$$k = \mathcal{Q}(x, y), \quad K = k(\sqrt{x}) = \mathcal{Q}(\sqrt{x}, y), \quad \mathfrak{D} = \mathcal{Q}(\sqrt{x+y})[y],$$

where crotchets mean ring-adjunction. Then $\mathfrak{o} = \mathfrak{D} \cap k = \mathcal{Q}[x, y]$. For, if $\alpha \in \mathfrak{o}$ then

$$\alpha = F(x, y)/G(x, y) = f(\sqrt{x}, y)/g(\sqrt{x+y}),$$

where $F(x, y), G(x, y) \in \mathcal{Q}[x, y], f(\sqrt{x}, y) \in \mathcal{Q}[\sqrt{x}, y], g(\sqrt{x+y}) \in \mathcal{Q}[\sqrt{x+y}]$ and where F and G are without common factor in $\mathcal{Q}[x, y]$ and so are f and g in $\mathcal{Q}[\sqrt{x}, y]$. But then F and G have no common factor in $\mathcal{Q}[\sqrt{x}, y]$ either. Thus necessarily $F=f, G=g$ and therefore $G=g$ is simply a constant in \mathcal{Q} . So $\alpha \in \mathcal{Q}[x, y]$.

Now clearly the usual arithmetic fails to prevail in \mathfrak{o} .

It is also easy to deduce the assertion from our general criterion in Theorem 1. Namely, an argument similar to the above one shows that $\mathfrak{D}^* = \mathfrak{D} \cap \mathfrak{D}' = \mathcal{Q}[\sqrt{x}, y]; \mathfrak{D}' = \mathcal{Q}(-\sqrt{x+y})[y]$ being the conjugate of \mathfrak{D} .