

## MATROID LATTICES OF INFINITE LENGTH

By

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1. In a lattice  $L$ , consider the following conditions:

L7R. Given  $a \leq x \leq b$ ,  $y$  exists with  $x \cup y = b$ ,  $x \cap y = a$ .

L7R'. Every element of  $L$  is the join of points.

( $\xi'$ ) If  $a$  and  $b$  cover  $c$ , and  $a \neq b$ , then  $a \cup b$  covers  $a$  and  $b$ .

( $\eta'$ ) If  $p, q$  are points, and  $a < a \cup q \leq a \cup p$ , then  $a \cup p = a \cup q$ .

( $\eta''$ ) If  $p$  is a point, then either  $p \leq a$  or  $a \cup p$  covers  $a$ .

( $\delta$ ) For points  $p_1, \dots, p_n$ , the condition that  $(p_1 \cup \dots \cup p_k) \cup p_{k+1} = 0$  for  $k=1, \dots, n-1$  is invariant under all permutations of the  $p_i$ .

A *relatively complemented* lattice is a lattice which satisfies L7R, and a lattice is called *semi-modular* if it satisfies ( $\xi'$ ).<sup>1)</sup> A relatively complemented (or relatively atomic<sup>2)</sup>) semi-modular lattice of finite length is called a *matroid lattice*. Then the following theorem is already known.<sup>3)</sup>

**THEOREM.** *A lattice  $L$  of finite length is a matroid lattice, if and only if it satisfies (A) L7R or L7R', and (B) either ( $\xi'$ ) or ( $\eta'$ ) or ( $\eta''$ ) or ( $\delta$ ).*

The object of this paper is to extend this theorem to the case of infinite length. That is, defining a *matroid lattice* as a relatively atomic, upper continuous, semi-modular lattice, the above theorem holds also for an atomic, upper continuous lattice  $L$ . And MacLane's [1] "exchange lattices" are equivalent to this "matroid lattices".

2. In this section, we give some definitions and lemmas which are already known.

**DEFINITION 1.** For any element  $a(\neq 0)$  of a lattice  $L$  with 0, if there exists a point  $p$  such that  $p \leq a$ , then  $L$  is called *atomic*. If  $a < b$  implies  $a < a \cup p \leq b$  for some point  $p$ , then  $L$  is called *relatively atomic*. It is evident that an atomic, relatively complemented lattice  $L$  is relatively atomic.

1) In Birkhoff [1, p. 100], a semi-modular lattice is defined as a lattice of finite length which satisfies ( $\xi'$ ). But we shall delete the condition "of finite length". The numbers in square brackets refer to the list of references at the end of this paper.

2) Cf. Lemma 1.

3) Cf. Birkhoff [1] 106 Theorem 7.

LEMMA 1. A lattice with 0 is relatively atomic, if and only if  $L$  satisfies L7R'.

PROOF. Cf. Maeda [1] 88 Lemma 1·1.

DEFINITION 2. Let  $\{a_\delta; \delta \in D\}$  be a directed set of a complete lattice  $L$ . When

$$a_\delta \uparrow a \text{ implies } a_\delta \wedge b \uparrow a \wedge b,$$

we say that  $L$  is an upper continuous lattice.

LEMMA 2. In a relatively atomic, compete lattice  $L$ , the following two propositions ( $\alpha$ ) and ( $\beta$ ) are equivalent:

( $\alpha$ )  $L$  is upper continuous.

( $\beta$ ) Let  $p$  be a point and  $P$  a set of points in  $L$ . Then  $p \leq \vee(P)$  implies  $p \leq q_1 \cup \dots \cup q_n$  where each  $q_i$  is in  $P$ .

PROOF. Cf. Maeda [1] 90 Lemma 1·3.

LEMMA 3. Let  $p, r_i$  are points in a semi-modular lattice  $L$  with 0.

Then

$$(\eta_0''') p \not\leq \bigvee_{i=1}^n r_i \cup b \text{ implies } \bigvee_{i=1}^n r_i \wedge b = (\bigvee_{i=1}^n r_i \cup p) \wedge b.$$

PROOF. Cf. Sasaki and Fujiwara [1] Lemma 1.

3. DEFINITION 3. Let  $S$  be a system of elements of a complete lattice  $L$ . If  $\vee(S_1) \wedge \vee(S_2) = 0$  for any two disjoint subsets  $S_1$  and  $S_2$  of  $S$ , then we say that  $S$  is an independent system and we write  $(a; a \in S) \perp$ . Similarly in any lattice  $L$  with 0, we can define an independent system for finite subsets  $\{a_1, \dots, a_n\}$ , and we write  $(a_1, \dots, a_n) \perp$ .

An independent system  $P$  of points with  $\vee(P) = a$  is called a basis of the element  $a$  of  $L$ .

LEMMA 4. In an upper continuous lattice  $L$ , a set  $S$  of elements of  $L$  is an independent system, if and only if every finite subset  $\nu$  of  $S$  is an independent system.

PROOF. Necessity is evident. To prove the sufficiency, let  $S_1, S_2$  be any two disjoint subsets  $S$ . Let  $\nu_1$  and  $\nu_2$  be any finite subsets of  $S_1$  and  $S_2$  respectively. If we put  $a_{\nu_1} = \vee(\nu_1)$ ,  $a_{\nu_2} = \vee(\nu_2)$ ,  $a_{\nu_1} \uparrow \vee(S_1)$ ,  $a_{\nu_2} \uparrow \vee(S_2)$ . Since the set sum  $\nu_1 \cup \nu_2$  is an independent system, we have  $a_{\nu_1} \wedge a_{\nu_2} = 0$  for all  $\nu_2$ .  $L$  being upper continuous, we have  $a_{\nu_1} \wedge \vee(S_2) = 0$ . Similarly we have  $\vee(S_1) \wedge \vee(S_2) = 0$ , and  $S$  is an independent system.

LEMMA 5. Let  $p_1, \dots, p_n$  be points of a semi-modular lattice  $L$  with 0. Then  $(p_1, \dots, p_n) \perp$  if and only if

$$(p_1 \cup \dots \cup p_k) \wedge p_{k+1} = 0 \text{ for } k = 1, \dots, n-1.$$

PROOF. Cf. Sasaki and Fujiwara [1] Lemma 2.

LEMMA 6. If  $P$  is an independent system of points in an upper continuous, semi-modular lattice  $L$ , and if  $q$  is a point with  $q \sim \vee(p; p \in P) = 0$ , then the set obtained by adjoining  $q$  to  $P$  is an independent system.<sup>1)</sup>

PROOF. For any finite subset  $\nu$  of  $P$ , by Lemma 5 the set union  $\nu \cup \{q\}$  is an independent system. Hence by Lemma 4  $P \cup \{q\}$  is an independent system.

LEMMA 7. If  $L$  is a relatively atomic, upper continuous, semi-modular lattice and if  $P$  is any independent system of points with  $\vee(P) \leq a$ , then there is a set  $Q \supset P$  which is a basis of  $a$ . In particular, every element of  $L$  has a basis.<sup>2)</sup>

PROOF. Let  $S$  be the set of all points contained in  $a$ . Then by Lemma 1  $a = \vee(S)$ . By Zorn's lemma there exists a maximal independent subset  $Q$  of  $S$  with  $Q \supset P$ . If there exists a point  $p \in S$  such that  $\vee(Q) \sim p = 0$ , then by Lemma 6  $Q \cup \{p\}$  is an independent subset of  $S$  such that  $Q \cup \{p\} \supset P$ , which contradicts the property of  $Q$ . Hence  $p \leq \vee(Q)$  for all  $p \in S$ . Therefore  $\vee(Q) = a$ .

LEMMA 8. Let  $P$  be a finite independent system of points  $p_i$  in a semi-modular lattice  $L$  with 0. Then the  $p_i$  generate a sublattice isomorphic with the field of all subsets of  $P$ .<sup>3)</sup>

PROOF. Let  $S$  and  $T$  be any subsets of  $P$ . Then it is evident

$$\vee(S) \cup \vee(T) = \vee(S \cup T).$$

To prove

$$\vee(S) \cap \vee(T) = \vee(S \cap T), \quad (1)$$

let  $S - S \cap T$  be a set of points  $p_1, \dots, p_n$ . When  $n=0$ , since  $S \subset T$ , (1) is evident. Now assume that (1) holds when  $n=k$ , that is

$$\{\vee(S \cap T) \cup p_1 \cup \dots \cup p_k\} \cap \vee(T) = \vee(S \cap T). \quad (2)$$

Put  $a = \vee(S \cap T) \cup p_1 \cup \dots \cup p_k$ ,  $b = \vee(T)$ , since  $p_{k+1} \not\leq a \cup b$ , by Lemma 3  $a \cap b = (a \cup p_{k+1}) \cap b$ . Hence from (2) we have

$$\vee(S \cap T) = \{\vee(S \cap T) \cup p_1 \cup \dots \cup p_{k+1}\} \cap \vee(T).$$

That is, (2) holds for  $n=k+1$ . Therefore by induction we have (1). Associate with each subset  $S$  of  $P$ ,  $\vee(S)$ , then  $\vee(S)$  form a sublattice

1) Cf. MacLane [1] 458 Corollary.

2) Cf. MacLane [1] 458 Theorem 3.

3) This lemma is proved by Birkhoff [1, p. 104 Theorem 5], when  $L$  is a semi-modular lattice of finite length using the dimension function  $d[a]$ .

homomorphic to the field of all subsets of  $P$ . If there exists a point  $p \in P$  such that  $p \in S, p \notin T$ , then  $p \leq \vee(S)$  and  $p \wedge \vee(T) = 0$ , hence  $S \neq T$  implies  $\vee(S) \neq \vee(T)$ . Therefore the above homomorphism is an isomorphism.

**THEOREM 1.** *Let  $P$  be a (finite or infinite) independent system of points  $p_i$  in a relatively atomic, upper continuous, semi-modular lattice  $L$ . Then the  $p_i$  generate a sublattice isomorphic with the field of all subsets of  $P$ .*

**PROOF.** Let  $S$  and  $T$  be any two subsets of  $P$ . Then it is evident

$$\vee(S) \cup \vee(T) = \vee(S \cup T).$$

When  $p \leq \vee(S) \wedge \vee(T)$ , since  $p \leq \vee(S)$  and  $p \leq \vee(T)$ , by Lemma 2 there are finite subsets  $\nu$  and  $\mu$  of  $S$  and  $T$  respectively, and  $p \leq \vee(\nu)$  and  $p \leq \vee(\mu)$ . Hence  $p \leq \vee(\nu) \wedge \vee(\mu)$ . Then by lemma 8, we have  $p \leq \vee(\nu \cap \mu)$ . Since  $\nu \cap \mu$  is a finite subset of  $S \cap T$ , we have  $\vee(S) \wedge \vee(T) \leq \vee(S \cap T)$ . But  $\vee(S) \wedge \vee(T) \geq \vee(S \cap T)$  is evident, we have  $\vee(S) \wedge \vee(T) = \vee(S \cap T)$ . Hence associating with each subset  $S$  of  $P$ ,  $\vee(S)$ , as Lemma 8,  $\vee(S)$  form a sublattice isomorphic to the field of all subsets of  $P$ .

**4. THEOREM 2.** *In a lattice  $L$  with 0, consider the following propositions:*

- ( $\xi'$ ) *If  $a$  and  $b$  cover  $c$ , and  $a \neq b$ , then  $a \cup b$  covers  $a$  and  $b$ .*
- ( $\xi''$ ) *If  $a$  covers  $a \wedge b$ , then  $a \cup b$  covers  $b$ .*
- ( $\eta'$ ) *If  $p, q$  are points, and  $a < a \cup q \leq a \cup p$ , then  $a \cup p = a \cup q$ .*
- ( $\eta''$ ) *If  $p$  is a point, then either  $p \leq a$  or  $a \cup p$  covers  $a$ .*
- ( $\eta'''$ ) *If  $p$  is a point and  $p \not\leq a \cup b$ , then  $a \wedge b = (a \cup p) \wedge b$ .*
- ( $\delta$ ) *For any points  $p_1, \dots, p_n$  the condition that  $(p_1 \cup \dots \cup p_k) \wedge p_{k+1} = 0$  for  $k=1, \dots, n-1$  is invariant under all permutations of the  $p_i$ .*

*In any lattice  $L$ ,  $(\xi'') \rightarrow (\xi') \rightarrow (\delta)$ ,  $(\xi'') \rightarrow (\eta'') \rightarrow (\eta')$ ,  $(\eta'') \rightleftarrows (\eta''')$ .*

*In a relatively atomic lattice  $L$ ,  $(\xi''), (\eta''), (\eta''), (\eta''')$  are equivalent.*

*In a relatively atomic, upper continuous lattice  $L$ ,  $(\xi''), (\xi''), (\eta''), (\eta''), (\eta'''), (\delta)$  are all equivalent.*

**PROOF.** (i) In any lattice  $L$ , if  $a$  and  $b$  cover  $c$ , and  $a \neq b$ , then  $c \leq a \wedge b < a$  and  $c = a \wedge b$ . Hence we have  $(\xi'') \rightarrow (\xi')$ . By Lemma 5 we have  $(\xi') \rightarrow (\delta)$ . When  $p \not\leq a$ , since  $p$  covers  $p \wedge a = 0$ , by  $(\xi'')$   $a \cup p$  covers  $a$ . Thus we have  $(\xi'') \rightarrow (\eta'')$ .  $(\eta'') \rightarrow (\eta')$  is evident.  $(\eta'') \rightleftarrows (\eta''')$  is proved by Menger [1, p. 460].

(ii) In a relatively atomic lattice  $L$ , we shall prove  $(\eta') \rightarrow (\xi'')$ . Since  $a$  covers  $a \wedge b$ , there exists a point  $p$  such that  $a = (a \wedge b) \cup p$ . Assume that there exists an element  $c$  such that  $b < c < a \wedge b$ , then there exists a point  $q$  such that

$$b < b \cup q \leq c < a \wedge b = (a \wedge b) \cup p \cup b = b \cup p,$$

which contradicts  $(\eta')$ . Hence  $(\eta') \rightarrow (\xi'')$ . Consequently, by (i),  $(\xi'')$ ,  $(\eta')$ ,  $(\eta'')$ ,  $(\eta''')$  are equivalent in a relatively atomic lattice.

(iii) In a relatively atomic, upper continuous lattice  $L$ , we shall prove  $(\delta) \rightarrow (\eta')$ . Let  $a < a \cup q \leq a \cup p$ . Since  $q \leq a \cup p$ , by Lemma 1 and Lemma 2, there exist points  $r_i \leq a$ , such that

$$q \leq r_1 \cup \dots \cup r_n \cup p. \quad (1)$$

If  $r_{k+1} \leq r_1 \cup \dots \cup r_k$ , we can delete  $r_{k+1}$  in (1). Hence we may assume that

$$(r_1 \cup \dots \cup r_k) \cap r_{k+1} = 0 \quad (k = 1, \dots, n-1).$$

Since  $a \cap q = 0$ , we have  $(r_1 \cup \dots \cup r_n) \cap q = 0$ . If  $(r_1 \cup \dots \cup r_n \cup q) \cap p = 0$  by  $(\delta)$  we have  $(r_1 \cup \dots \cup r_n \cup p) \cap q = 0$ , which contradicts (1). Hence  $p \leq r_1 \cup \dots \cup r_n \cup q \leq a \cup q$ . And we have  $a \cup p = a \cup q$ .

Consequently by (i), (ii) and (iii), in a relatively atomic, upper continuous lattice  $L$ , all six propositions are equivalent.

**LEMMA 9.** *If  $L$  is a relatively atomic, upper continuous lattice which satisfies  $(\eta')$ , then  $L$  is a relatively complemented lattice.*

**PROOF.** By Lemma 2, a relatively atomic, upper continuous lattice which satisfies  $(\eta')$  is equivalent to an "exchange lattice" defined by MacLane [1, 456], hence this lemma is MacLane [1], 458 Theorem 7.

**DEFINITION 4.** A relatively atomic, upper continuous, semi-modular lattice is called a *matroid lattice*.<sup>1)</sup>

**THEOREM 3.** *An atomic, upper continuous lattice  $L$  is a matroid lattice, if and only if it satisfies (A) L7R or L7R', and (B) either  $(\xi')$  or  $(\xi'')$  or  $(\eta')$  or  $(\eta'')$  or  $(\eta''')$  or  $(\delta)$ .*

**PROOF.** By Theorem 2, in a relatively atomic (i.e. L7R'), upper continuous lattice, the six propositions in (B) are equivalent. Since an atomic, relatively complemented lattice is relatively atomic, in an atomic, relatively complemented (i.e. L7R), upper continuous lattice, the six propositions in (B) are equivalent. By Lemma 9, in an atomic, upper continuous lattice which satisfies  $(\eta')$ , L7R and L7R' are equivalent, completing the proof.

1) By Theorem 3, "matroid lattice" and "exchange lattice" are equivalent. When  $L$  is of finite length, Definition 4 coincides with the definition given by Birkhoff [1, p. 106].

**References.**

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