

A Structure Theorem for Complete Quasi-Unitary Algebras

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A *-algebra \mathbf{A} with an inner product $\langle x, y \rangle$ is called unitary [4] provided it is a pre-Hilbert space and the following axioms are satisfied:

- (AU1) $\langle xy, z \rangle = \langle y, x^*z \rangle$ for $x, y, z \in \mathbf{A}$;
- (AU2) $\langle x, x \rangle = \langle x^*, x^* \rangle$ for $x \in \mathbf{A}$;
- (AU3) for every $x \in \mathbf{A}$, the operator $U_x: y \rightarrow xy$ is continuous;
- (AU4) the elements of the form xy , $x, y \in \mathbf{A}$, are everywhere dense in \mathbf{A} .

Furthermore if \mathbf{A} is complete, that is, a Hilbert space, then (AU1)–(AU4) are equivalent to (AU1), (AU2) and

$$(A5)' \quad x^*x = 0 \text{ implies } x = 0 \text{ for } x \in \mathbf{A}.$$

And then \mathbf{A} is merely a (proper) H^* -algebra of Ambrose [1] in which the inner product has been replaced by the one obtained from \langle , \rangle multiplied by a positive constant in such a way that the multiplicative property $\|xy\| \leq \|x\|\|y\|$ holds. He determined the structure of H^* -algebras.

In his investigations on group algebras on locally compact groups, J. Dixmier [2] introduced the concept of quasi-unitary algebras, generalizing unitary ones. A *-algebra \mathbf{A} with an inner product $\langle x, y \rangle$ is called quasi-unitary provided it is a pre-Hilbert space and an automorphism $J: x \rightarrow x^j$ is defined in \mathbf{A} and the following axioms are satisfied:

- (A1) $\langle x, x^j \rangle \geq 0$ for $x \in \mathbf{A}$;
- (A2) $\langle x, x \rangle = \langle x^*, x^* \rangle$ for $x \in \mathbf{A}$;
- (A3) $\langle xy, z \rangle = \langle y, x^{*j}z \rangle$ for $x, y, z \in \mathbf{A}$;
- (A4) for every $x \in \mathbf{A}$, the operator $U_x: x \rightarrow xy$ is continuous;
- (A5) the elements of the form $xy + (xy)^j$ are everywhere dense in \mathbf{A} .

In case \mathbf{A} is finite-dimensional, he determined its structure ([2], Cor. 2, p. 287). The purpose of this paper is to generalize this result for the case where \mathbf{A} is complete. To this end we first show that \mathbf{A} is then equivalent to an H^* -algebra with an automorphism $J: x \rightarrow x^j$ shared with a certain property described in Theorem 1 below. Then along the line of his proof for the finite-dimensional case we determine the structure of complete quasi-unitary algebras (Theorem 2). Throughout this paper we shall assume the elementary results obtained in [2].

§1. The relation to H^* -algebras

First we note that, when \mathbf{A} is complete, (A1)–(A5) are equivalent to (A1)–(A3) and (A5)'. (A5)' holds for every quasi-unitary algebra. For suppose that $x^*x = 0$. $\langle xy, x^j y \rangle = \langle y, (x^*x)^j y \rangle = 0$ implies, by letting V_y (the operator $x \rightarrow xy$) converge strongly to the identity operator I , $\langle x, x^j \rangle = 0$ and in turn $x = 0$. (cf. [2], p. 278). Suppose that (A1)–(A3) and (A5)' hold. (A4) follows from (A3) via the closed graph theorem and therefore by (A2) xy is a continuous function of x and y , that is, $\|xy\| \leq k\|x\|\|y\|$, k being a positive constant, where we may assume that $k=1$ since $k^2\langle x, y \rangle$ can be taken as an inner product instead of $\langle x, y \rangle$. Then \mathbf{A} is a Banach *-algebra. Let $|x|$ stand for the operator norm of U_x . $x \neq 0$ implies $|x| > 0$ since $xx^* \neq 0$ by (A5)'. Clearly $\|xy\| \leq |x|\|y\|$. $|x|^2 = l.u.b.\langle xy, xy \rangle \leq \frac{1}{\alpha}l.u.b.\langle xy, x^j y^j \rangle$
 $= \frac{1}{\alpha}l.u.b.\langle x^*xy, y^j \rangle \leq \frac{1}{\alpha}|x^*x|l.u.b.\frac{\|y^j\|}{\|y\|} \leq \frac{\beta}{\alpha}|x^*x|$, where positive constant α, β are taken such that $\alpha I \leq J \leq \beta I$. Owing to a theorem ([5], Theorem 16, p. 30) \mathbf{A} is a dual A^* -algebra of the 1st kind. Then the closure of $x\mathbf{A}$ contains x and therefore the elements of the form xy are everywhere dense in \mathbf{A} . Since $I+J$ has a bounded inverse it follows that (A5) holds.

Remark. Let \mathbf{A} be a *-algebra with an inner product $\langle x, y \rangle$ under which \mathbf{A} is a Hilbert space. The following axioms are assumed: (i) $\langle xy, z \rangle = \langle x, zy^* \rangle$; (ii) for every $x \in \mathbf{A}$, the operator U_x is continuous; (iii) $x^*x = 0$ implies $x = 0$. Then V_y is continuous by (i) via the closed graph theorem. We may assume $\|xy\| \leq \|x\|\|y\|$ by the same reason as above. Then \mathbf{A} is a right H^* -algebra of Smiley [8]. Let $|x|$ stand for the operator norm of V_x . Then the above reasoning shows that \mathbf{A} is a dual A^* -algebra of the 1st kind. The result was obtained by Smiley [8] following the argument of Ambrose

[1]. It is noted that in every A^* -algebra $x \rightarrow x^*$ is continuous ([7], Lemma 5.3).

Lemma 1. A complete quasi-unitary algebra \mathbf{A} can be renormed in such a way that it becomes an H^* -algebra with the same involution.

Proof. If we introduce the inner product $\langle x, y \rangle' = \langle x^{j^{-1}}, x \rangle$, then \mathbf{A} with this inner product will satisfy (i)–(iii) of the remark above, and the lemma follows from the result of Smiley [8]. For the sake of completeness, using the fact that \mathbf{A} is a dual A^* -algebra of the 1st kind, we sketch the proof of the lemma. \mathbf{A} is the closure of the direct sum of simple closed *-ideals P_i . We show that $JP_i = P_i$, that is, each P_i is a simple complete quasi-unitary algebra. To this end it is sufficient to show that $x^j x \neq 0$ for a non-zero self-adjoint element $x \in P_i$. Suppose the contrary. $\langle xy, xy \rangle = \langle x, x^j xy \rangle = 0$. Then $x\mathbf{A} = 0$. Since \mathbf{A} is dual, we have $x = 0$, a contradiction. P_i are orthogonal to each other. For $x \in P_i$, $y \in P_\kappa$, $i \neq \kappa$, we have $\langle xz, y \rangle = \langle z, x^{*j} y \rangle = 0$ for every $z \in \mathbf{A}$. Since the closure of $x\mathbf{A}$ contains x , we have $\langle x, y \rangle = 0$. Therefore \mathbf{A} is the Hilbert space sum of P_i .

Let $\{e_{i,\alpha}\}$ be a maximal family of orthogonal self-adjoint primitive idempotents of P_i . Let $\{e_{i,\alpha\beta}\}$ be a system of matrix units associated with $\{e_{i,\alpha}\}$, that is, $e_{i,\alpha\alpha} = e_{i,\alpha}$, $e_{i,\alpha\beta} = e_{i,\beta\alpha}^*$, $e_{i,\alpha\beta} e_{i,\gamma\delta} = e_{i,\alpha\gamma}$, and $e_{i,\alpha\beta} e_{i,\gamma\delta} = 0$ for $\beta \neq \gamma$. Let $z = \sum'_{i,\alpha,\beta} \lambda_{i,\alpha\beta} e_{i,\alpha\beta}$ be any finite linear combination of $e_{i,\alpha\beta}$. Then for some constants c, c', c'', c''' such that $\frac{1}{\alpha} \geq c$, $c'' \geq \frac{1}{\beta}$ and $\alpha \leq c'$, $c'' \leq \beta$, we have

$$\begin{aligned}
 (1) \quad \|z\|^2 &= \langle z, z \rangle = c \langle z, z^j \rangle \\
 &= c \sum'_i \sum'_\alpha \left\langle \sum'_\beta \lambda_{i,\alpha\beta} e_{i,\alpha\beta}, \sum'_\beta \lambda_{i,\alpha\beta} e_{i,\alpha\beta}^j \right\rangle \\
 &= c c' \sum'_i \sum'_\alpha \left\langle \sum'_\beta \lambda_{i,\alpha\beta} e_{i,1\beta}, \sum'_\beta \lambda_{i,\alpha\beta} e_{i,1\beta} \right\rangle \\
 &= c c' \sum'_i \sum'_\alpha \left\langle \sum'_\beta \bar{\lambda}_{i,\alpha\beta} e_{i,\beta 1}, \sum'_\beta \bar{\lambda}_{i,\alpha\beta} e_{i,\beta 1} \right\rangle \\
 &= c c' c'' c''' \sum'_{i,\alpha,\beta} |\lambda_{i,\alpha\beta}|^2 \|e_{i,1}\|^2.
 \end{aligned}$$

For $x, y \in \mathbf{A}$ we put $e_{i,\alpha} x e_{i,\beta} = \lambda_{i,\alpha\beta} e_{i,\alpha\beta}$, $e_{i,\alpha} y e_{i,\beta} = \mu_{i,\alpha\beta} e_{i,\alpha\beta}$. Then $\sum \lambda_{i,\alpha\beta} e_{i,\alpha\beta}$ is summable to x . Then the equation (1) shows that

$$(2) \quad \frac{\alpha^2}{\beta^2} \|x\|^2 \leq \sum_{i,\alpha,\beta} |\lambda_{i,\alpha\beta}|^2 \|e_{i,1}\|^2 \leq \frac{\beta^2}{\alpha^2} \|x\|^2.$$

If we put $\langle\langle x, y \rangle\rangle = \sum \lambda_{i,\alpha\beta} \bar{\mu}_{i,\alpha\beta} \|e_{i,1}\|^2$, (2) shows that \mathbf{A} is a Hilbert space

with an inner product $\langle\langle x, y \rangle\rangle$. Owing to $\|e_{i,1}\| \geq 1$ simple computation will show that \mathbf{A} is an H^* -algebra for $\langle\langle x, y \rangle\rangle$, as desired.

Theorem 1. (i) Let \mathbf{A} be a complete quasi-unitary algebra, and $\langle\langle x, y \rangle\rangle$ be an inner product under which \mathbf{A} is an H^* -algebra with the same involution. Then a positive definite operator $M \in \mathbf{R}^d$ (right ring of \mathbf{A}) is uniquely determined in such a way that

$$(\alpha) \quad \langle x, y \rangle = \langle\langle x, MM'y \rangle\rangle, \quad J = MM'^{-1},$$

where $M' = SMS$ and S is the involution $Sx = x^*$.

Conversely (ii) if \mathbf{A} is an H^* -algebra with an inner product $\langle\langle x, y \rangle\rangle$, and M is a positive definite operator $\in \mathbf{R}^d$, then J defined by (α) is an automorphism of \mathbf{A} , and \mathbf{A} is a complete quasi-unitary algebra with an inner product $\langle x, y \rangle$ defined by (α) and the automorphism J .

Proof. Ad (i). Define J_1 and K by $\langle x, Jy \rangle = \langle\langle x, J_1 y \rangle\rangle$ and $\langle x, y \rangle = \langle\langle x, Ky \rangle\rangle$. It is clear that J_1, K are positive operators. We show that K is positive definite and $SKS = K$. Define L by $\langle x, Ly \rangle = \langle\langle x, y \rangle\rangle$. $\langle x, LKy \rangle = \langle\langle x, Ky \rangle\rangle = \langle x, y \rangle$ implies that $LK = I$. Similarly $KL = I$. Therefore K and L are positive definite with respect to both inner products $\langle x, y \rangle$ and $\langle\langle x, y \rangle\rangle$, and $K = L^{-1}$. $\langle\langle x, SKSy \rangle\rangle = \overline{\langle\langle Sx, KSy \rangle\rangle} = \overline{\langle\langle Sx, Sy \rangle\rangle} = \langle x, y \rangle = \langle\langle x, Ky \rangle\rangle$ implies $SKS = K$. $\langle\langle x, J_1 y \rangle\rangle = \langle x, Jy \rangle = \langle\langle x, KJy \rangle\rangle$ implies $J_1 = KJ$. Hence J_1 has a bounded inverse and $SJ_1S = SKSSJS = KJ^{-1} = J_1J^{-2}$. Since $\langle\langle x, zJ_1y \rangle\rangle = \langle\langle z^*x, J_1y \rangle\rangle = \langle z^*x, Jy \rangle = \langle x, J(zy) \rangle = \langle\langle x, J_1(zy) \rangle\rangle$, it follows that $J_1 \in \mathbf{R}^s$ and $J_1J^{-2} \in \mathbf{R}^s$, and therefore $J_1(J_1J^{-2}) = (J_1J^{-2})J_1$, that is, J_1 and J^{-2} are commutative, and so also for J_1 and J . Therefore K, J and J_1 are commutative with each other. Put $M = J_1^{\frac{1}{2}}$, and $M' = SMS = (SJ_1S)^{-\frac{1}{2}}$, then $J = (J_1SJ_1^{-1}S)^{\frac{1}{2}} = MM'^{-1}$, and $K = J_1J^{-1} = MM'$. Thus (α) holds. That M is uniquely determined is clear from $M^2 = KJ$.

Ad (ii). Since $\langle x, x^j \rangle = \langle x, Jx \rangle = \langle\langle x, M^2x \rangle\rangle$, J is positive definite and has a bounded inverse. $J(xy) = MM'^{-1}(xy) = M'^{-1}M(U_x y) = M'^{-1}U_x My$ since $M \in \mathbf{R}^d$. On the other hand, $\langle\langle (MM'^{-1}x)M'^{-1}z, y \rangle\rangle = \langle\langle M'^{-1}z, (SMM'^{-1}x)y \rangle\rangle = \langle\langle (M'^{-1}z)y^*, SMM'^{-1}x \rangle\rangle = \langle\langle M'^{-1}(zy^*), M'M^{-1}x^* \rangle\rangle = \langle\langle zy^*, M^{-1}x^* \rangle\rangle = \langle\langle (M'^{-1}x)z, y \rangle\rangle$. This yields $M'^{-1}U_x = U_{M'^{-1}x} = U_{MM'^{-1}x}M'^{-1}$. Hence $J(xy) = M'^{-1}U_x My = (MM'^{-1}x)(M'^{-1}My) = (Jx)(Jy)$. Thus J is an automorphism of \mathbf{A} .

$$\begin{aligned} \langle x, x \rangle &= \langle\langle x, MM'x \rangle\rangle = \langle\langle SMM'x, x^* \rangle\rangle \\ &= \langle\langle M'Mx^*, x^* \rangle\rangle = \langle x^*, x^* \rangle. \end{aligned}$$

$$\begin{aligned}\langle x y, z \rangle &= \langle\langle x y, M M' y \rangle\rangle = \langle\langle y, x^* M M' y \rangle\rangle = \langle\langle y, x^* M^2 J^{-1} z \rangle\rangle \\ &= \langle\langle y, M^2 J^{-1} ((J x^*) z) \rangle\rangle = \langle\langle y, M M'^{-1} x^{*j} z \rangle\rangle = \langle y, x^{*j} z \rangle.\end{aligned}$$

These equations show that (A2) and (A3) hold. That (A5)' holds follows from the property of H^* -algebras. The proof is completed.

§2. The structure of complete quasi-unitary algebras.

Let \mathfrak{H} be a Hilbert space and let S_P stand for the ordinary trace of operators on \mathfrak{H} . An operator T is of Hilbert-Schmidt type if and only if $S_P(T T^*) < +\infty$. Let $\mathbf{H}_{\mathfrak{H}}$ be the set of such operators. $\mathbf{H}_{\mathfrak{H}}$ is a simple H^* -algebra with an inner product $(T, T_1) = S_P(T T_1^*)$. Every simple H^* -algebra with an inner product $\langle\langle x, y \rangle\rangle$ is *-isomorphic with an $\mathbf{H}_{\mathfrak{H}}$ and $\langle x, y \rangle = \alpha(T, T_1)$ if T and T_1 correspond to x and y respectively under this *-isomorphism where α is a positive constant ≥ 1 . An H^* -algebra \mathbf{A} is the closure of a direct sum of simple ideals \mathbf{A}_i where \mathbf{A}_i are mutually orthogonal H^* -algebras and \mathbf{A} is the Hilbert space sum of \mathbf{A}_i . To each \mathbf{A}_i correspond $\mathbf{H}_{\mathfrak{H}_i}$ and α_i . We may take \mathfrak{H}_i mutually orthogonal. Let \mathfrak{H} be the Hilbert space sum of \mathfrak{H}_i . Take $T_i \in \mathbf{H}_{\mathfrak{H}_i}$ such that $\sum \alpha_i S_P(T_i T_i^*) < +\infty$. Then there exists an operator T on \mathfrak{H} such that the restriction of T on \mathfrak{H}_i is T_i . The set \mathbf{H} of such T is an H^* -algebra with an inner product $(T, T_1) = \sum \alpha_i S_P(T_i T_{1i}^*)$. \mathbf{A} and \mathbf{H} are *-isomorphic under the obvious correspondence and $\langle\langle x, y \rangle\rangle = (T, T_1)$ if x and y correspond to T and T_1 respectively. Thus \mathbf{A} has the same structure as \mathbf{H} . This is a result of Ambrose [1]. Let \mathbf{M}_i be the set of operators on \mathfrak{H}_i . Take C_i from each \mathbf{M}_i in such a way that $\{\|C_i\|\}$ is bounded. There exists a unique operator C on \mathfrak{H} such that the restriction on \mathfrak{H}_i is C_i . Such C is characterized as an operator commutative with each projection P_i on \mathfrak{H}_i . The set of such operators is a ring of operators in the sense of J. v. Neumann. Let U_C be the operator on \mathbf{H} defined by $U_C T = C T$. It is easy to see that the correspondence U is *-isomorphic and normal in the sense of Dixmier [3]. The image of U is contained in the left ring of \mathbf{H} and contains the left multiplications by the elements of \mathbf{H} . Owing to a theorem due to Dixmier [3] the image of U coincides with the left ring of \mathbf{H} . $S U_C S T = T C^*$. Let C be a positive definite operator $\in \mathbf{M}$. Then $T \rightarrow C^{-1} T C$ is an automorphism J of \mathbf{H} . Put $\langle T, T_1 \rangle = (T, C T_1 C)$. It follows from Theorem 1 that \mathbf{H} with an inner product $\langle T, T_1 \rangle$ and an automorphism J is a complete quasi-unitary algebra and every complete quasi-unitary algebra has the same structure as such an \mathbf{H} .

Consider the ring of operators generated by \mathbf{H} . It is easy to see that it coincides with \mathbf{M} . Put for $C \in \mathbf{M}^+$, $\Psi(C) = \sum \alpha_i S_p(C_i)$ where C_i is the restriction of C on \mathfrak{H}_i . $\psi(C)$ is evidently a faithful, normal, essential pseudo-trace of \mathbf{M} and \mathbf{H} is the set of normed operators T , that is, $\psi(TT^*) < +\infty$. Here ψ has the following property: $\psi(P) \geq 1$ for every non-zero projection $P \in \mathbf{M}$. A ring of operators (containing 1) on a Hilbert space is *-isomorphic with a direct sum of factors of type 1 if and only if it has a faithful, normal, essential pseudo-trace ψ such that $\psi(P) \geq 1$, for every non-zero projection P of the ring [6]. Clearly \mathbf{M} is a direct sum of factors of type 1. Conversely let \mathbf{M} be a ring of operators (containing 1) on a Hilbert space such that there exists a faithful, normal, essential pseudo-trace ψ such that $\Psi(P) \geq 1$, for every non-zero projection $P \in \mathbf{M}$. The set \mathbf{H} of normed operators $T \in \mathbf{M}$, that is, $\psi(TT^*) < +\infty$, is an H^* -algebra with an inner product $(T, T_1) = \psi(TT_1^*)$ [6]. And \mathbf{M} is *-isomorphic under the mapping U with the left ring of \mathbf{H} . Thus we have the following theorem.

Theorem 2. *Let \mathbf{M} be a ring of operators (containing 1) on a Hilbert space \mathfrak{H} , with a faithful, normal, essential pseudo-trace ψ such that $\psi(P) \geq 1$, for every non-zero projection $P \in \mathbf{M}$. The set \mathbf{H} of normed operators $T \in \mathbf{M}$ forms an H^* -algebra with an inner product $(T, T_1) = \psi(TT_1^*)$. Let C be a positive definite operator $\in \mathbf{M}$. Then $J: T \rightarrow C^{-1}TC$ is an automorphism of \mathbf{H} . Put $\langle T, T_1 \rangle = (T, CT_1C)$. Then \mathbf{H} is a complete quasi-unitary algebra with an inner product $\langle T, T_1 \rangle$ and an automorphism J . Every complete quasi-unitary algebra has the same structure as such an \mathbf{H} .*

We note that if \mathfrak{H} is finite-dimensional, this theorem gives the result obtained by Dixmier ([2], Cor. 2. p. 287).

Remark. For right H^* -algebras results analogous to Theorem 1 and 2 hold. To obtain the structure theorem we need only to define $\langle T, T_1 \rangle = (T, CT_1)$ and adjust C such that $\|TT_1\| \leq \|T\|\|T_1\|$ holds. For example, let \mathbf{H} be the H^* -algebra of operators of Hilbert-Schmidt type on a Hilbert space. Put $\langle T, T_1 \rangle = Sp(CTT_1^*)$, C being a positive definite operator. In order that \mathbf{H} becomes a Banach algebra with the norm $\langle T, T \rangle^{\frac{1}{2}}$, it is necessary and sufficient that $\|C\| \geq 1$. This will be proved by considering the spectral resolution of C and by noting that for primitive projections P $Sp(P) = 1$. A *-algebra \mathbf{H} with $\langle T, T_1 \rangle = Sp(CTT_1^*)$, $\|C\| \geq 1$, is a simple right H^* -algebra and conversely every simple right H^* -algebra is equivalent to such an \mathbf{H} . The result was obtained by Smiley [8] in the form of the matric right H^* -algebra.

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