

## *Note on $F$ -operators in Locally Convex Spaces*

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The theory of  $F$ -operators in Banach spaces has been developed by several authors (cf. the references in [5], [7]). According to [5], a closed, normally solvable, linear mapping with finite  $d$ -characteristic is called an  $F$ -operator. It is the purpose of this paper to generalize this notion of  $F$ -operator to locally convex spaces so that we may maintain a number of the basic results known in the case of Banach spaces. For the continuous  $F$ -operators, such an attempt has been made by H. Schaefer [9] and then by A. Deprit [4]. Our main concern here is the discussion of a general theory of  $F$ -operators: characterization of  $F$ -operators, the index theorem for a product, and so on.

§ 1. Let  $E$  and  $F$  be locally convex Hausdorff spaces (denoted by LCS). Let  $u$  be a linear mapping with domain  $\mathfrak{D}_u$  in  $E$  and rang  $\mathfrak{R}_u$  in  $F$ . We denote by  $\mathfrak{N}_u$  the null space of  $u$ . If  $u$  is closed,  $\mathfrak{N}_u$  is a closed subspace of  $E$ .  $u$  is called *open* if  $u(A)$  is an open subset of  $\mathfrak{R}_u$  for each open subset  $A$  of  $\mathfrak{D}_u$ .

A linear mapping  $k$  of  $E$  into  $F$  is called *compact* if there is a neighbourhood  $U$  of 0 in  $E$  such that the set  $k(U)$  is relatively compact.

We shall say that  $u$  is an  $F$ -operator when (i)  $\mathfrak{N}_u$  and  $F/\mathfrak{R}_u$  are finite dimensional; (ii)  $\mathfrak{N}_u$  is closed; (iii)  $u$  is open. Moreover if  $u$  is continuous and  $\mathfrak{D}_u = E$ , we shall say that  $u$  is a continuous  $F$ -operator of  $E$  into  $F$  (According to [9],  $u$  is called a  $\sigma$ -homomorphism). The *index* of  $u$  is defined as  $\text{ind } u = \dim \mathfrak{N}_u - \text{codim } \mathfrak{R}_u$ .

We understand by  $\tilde{\mathfrak{D}}_u$  the space  $\mathfrak{D}_u$  with the weakest locally convex topology which makes the identical mapping  $\mathfrak{D}_u \rightarrow \mathfrak{D}_u$  and the mapping  $u$  continuous. Then  $u$  becomes a continuous mapping of  $\tilde{\mathfrak{D}}_u$  into  $F$  which we shall denote by  $\tilde{u}$ . As shown by F. E. Browder ([3], p. 66),  $\tilde{u}$  is open if and only if  $u$  is open. Therefore  $u$  is an  $F$ -operator if and only if  $\tilde{u}$  is an  $F$ -operator. With this in mind, we can show

PROPOSITION 1 ([6], Prop. 2.1.). *Let  $u$  be a closed mapping with dense domain such that the injections  $\tilde{\mathfrak{D}}_u \rightarrow E$  and  $\tilde{\mathfrak{D}}_u \rightarrow F'$  are compact. Then  $u$  is an  $F$ -operator.*

PROOF. We have only to show that  $\tilde{u}$  is an  $F$ -operator. Let  $v, k$  be the mappings of  $\tilde{\mathfrak{D}}_u$  into  $E \times F$  defined by  $v(e) = \{e, u(e)\}$  and  $k(e) = \{e, 0\}$ . Then  $v$  is a monomorphism with closed range and, by assumption,  $k$  is compact.

Owing to a theorem of L. Schwartz ([10], A-16, p. 197), the mapping  $v-k: e \rightarrow \{0, u(e)\}$  is an open mapping with closed range and with finite dimensional null space. Similarly,  $\mathfrak{R}_u$  is finite dimensional. Consequently,  $\tilde{u}$  is an  $F$ -operator. The proof is complete.

REMARK 1. Any open linear mapping  $u$  with closed range and finite dimensional null space is closed. Consequently an  $F$ -operator is closed. Indeed, let  $u_1$  be the restriction of  $u$  to a topological supplement  $E_1$  of  $\mathfrak{R}_u$  in  $\mathfrak{D}_u$ . Then  $u_1$  is one-to-one and open. Hence the inverse mapping  $u_1^{-1}$  of  $\mathfrak{R}_u$  onto  $E_1$  is continuous. Now the graph  $\mathfrak{G}_u$  of  $u$  can be written as

$$\begin{aligned}\mathfrak{G}_u &= \{(e, u(e)); e \in E_1\} + \{(e, 0); e \in \mathfrak{R}_u\} \\ &= \{(u_1^{-1}(f), f); f \in \mathfrak{R}_u\} + \{(e, 0); e \in \mathfrak{R}_u\}.\end{aligned}$$

Since  $u_1^{-1}$  is continuous and  $\mathfrak{R}_u$  is closed, the subset  $\{(u_1^{-1}(f), f); f \in \mathfrak{R}_u\}$  is closed in  $E \times F$ . It is known that if  $M$  is a closed linear subspace of an LCS  $G$  and  $N$  is a finite dimensional subspace of  $G$ , then  $M+N$  is closed in  $G$  ([2], p. 28). Therefore it follows that  $\mathfrak{G}_u$  is closed.

We note that if  $E$  and  $F$  are Banach spaces, owing to the closed graph theorem the notion of  $F$ -operator coincides with the one defined by I. C. Gohberg and M. G. Krein in [5] (p. 195).

For our later purpose we need the following lemma (cf. [4] and [8]). The proof goes along the same line with modifications as in the corresponding proof given in A. P. Robertson and W. Robertson ([8], p. 144).

For two mappings  $u_1, u_2$ , we shall use the notation  $u_1 \leq u_2$  if  $u_2$  is an extension of  $u_1$ .

LEMMA 1. *Let  $E$  and  $F$  be LCS's. Suppose that  $u$  is a closed linear mapping with domain in  $E$  and range in  $F$ , that  $v$  is a continuous linear mapping of  $F$  into  $E$  and that  $k$  is a compact linear mapping of  $E$  into itself such that*

$$v \circ u \leq I_E - k,$$

where  $I_E$  denotes the identity mapping of  $E$ .

Then (i)  $\mathfrak{R}_u$  is finite dimensional; (ii)  $u$  is open; (iii)  $\mathfrak{R}_u$  is closed in  $F$ .

PROOF. Since  $k$  is compact, there exist a disked neighbourhood  $U$  of 0 in  $E$  and a compact set  $K$  of  $E$  such that  $k(U) \subset K$ .

(i) If  $e \in U \cap \mathfrak{R}_u$ ,  $v(u(e)) = 0$  and so  $e = k(e) \in k(U) \subset K$ . Hence  $U \cap \mathfrak{R}_u \subset K$ . Thus  $\mathfrak{R}_u$  has a precompact neighbourhood and so is finite dimensional ([2], p. 30).

(ii) We shall consider the continuous linear mapping  $\tilde{u}$  of  $\mathfrak{D}_u$  into  $F$ . Now it is sufficient to show that  $\tilde{u}$  is open. If  $\tilde{u}$  is not open, there exists some disked

neighbourhood  $W$  of 0 in  $\mathfrak{D}_u$ , which we may clearly suppose contained in  $U$ , such that  $\tilde{u}(W)$  is not a neighbourhood of 0 in  $\mathfrak{R}_u$ . Let  $\mathcal{O}$  be a base of disked neighbourhoods of 0 for  $\mathfrak{R}_u$ . Then each  $V \in \mathcal{O}$  meets  $\mathfrak{R}_u \setminus \tilde{u}(W) = \tilde{u}(\mathfrak{D}_u \setminus (W + \mathfrak{N}_u))$ ; if  $e$  is a common element, there is a  $\lambda$  with  $0 < \lambda \leq 1$  and  $\lambda e \in \tilde{u}(2W) \setminus \tilde{u}(W)$ . Putting  $A = 2W \setminus (W + \mathfrak{N}_u)$ ,  $V$  also meets  $\tilde{u}(A)$ . Let  $\mathcal{F}$  be an ultrafilter on  $\mathfrak{D}_u$  containing the sets  $A \cap \tilde{u}^{-1}(V)$ ,  $V \in \mathcal{O}$ . The set  $A$  belongs to  $\mathcal{F}$  and  $\tilde{u}(\mathcal{F}) =$

$u(\mathcal{F}) \xrightarrow{F} 0$ . Now  $k(\mathcal{F})$  contains  $k(A) \subset k(2W) \subset 2K$ , hence converges in  $E$  to an element  $e_0 \in 2K$ . Since  $I_E = k + v \circ \tilde{u}$  on  $\mathfrak{D}_u$ ,  $\mathcal{F} \xrightarrow{E} e_0 + v(0) = e_0$ . Since  $u$  is closed, we see that  $e_0 \in \mathfrak{D}_u$ ,  $u(e_0) = 0$  and  $\mathcal{F} \xrightarrow{\mathfrak{D}_u} e_0$ . But  $A \in \mathcal{F}$  and so  $e_0 \in \bar{A}$ , the closure of  $A$  under the topology of  $\mathfrak{D}_u$ . Thus  $e_0 \in \mathfrak{N}_u$  and so  $e_0 \in \mathfrak{N}_u \cap \bar{A}$ . But  $(\mathfrak{N}_u + W) \cap A = \emptyset$ , and this contradiction proves that  $\tilde{u}$  is open.

(iii) If  $f_0 \in \bar{\mathfrak{N}}_u$ , the sets  $\mathfrak{N}_u \cap (f_0 + W)$  with  $W \in \mathcal{O}$  form the base of a Cauchy filter on  $\mathfrak{R}_u$ , where  $\mathcal{O}$  is a base of disked neighbourhoods of 0 for  $F$ . Since  $\tilde{u}(U)$  is a neighbourhood of 0 in  $\mathfrak{R}_u$ , there exists an element  $e_0$  such that  $(e_0 + U) \cap \tilde{u}^{-1}(f_0 + W) \neq \emptyset$  for each  $W \in \mathcal{O}$ . Let  $\mathcal{Q}$  be an ultrafilter on  $\mathfrak{D}_u$

containing the sets  $(e_0 + U) \cap \tilde{u}^{-1}(f_0 + W)$  with  $W \in \mathcal{O}$ . Then  $\tilde{u}(\mathcal{Q}) = u(\mathcal{Q}) \xrightarrow{F} f_0$ . Now  $k(\mathcal{Q})$  contains  $k(e_0 + U) \subset k(e_0) + K$ , a compact set in  $E$ , and so  $k(\mathcal{Q})$  converges in  $E$  to an element  $e_1 \in k(e_0) + K$ . Since  $I_E = k + v \circ \tilde{u}$  on  $\mathfrak{D}_u$ ,  $\mathcal{Q} \xrightarrow{E} e_1 + v(f_0)$ . It follows since  $u$  is closed that  $e_1 + v(f_0) \in \mathfrak{D}_u$  and  $u(e_1 + v(f_0)) = f_0 \in \mathfrak{N}_u$ . Therefore  $\mathfrak{N}_u$  is closed. This proves the lemma.

Now we shall show a theorem concerning the characterizations of  $F$ -operator, which is a generalization of the corresponding result of F. V. Atkinson ([1], p. 4).

**THEOREM 1.** *Let  $E$  and  $F$  be LCS's and  $u$  be a linear mapping with domain in  $E$  and range in  $F$ . Then the following statements on  $u$  are equivalent:*

- (i)  $u$  is an  $F$ -operator;
- (ii)  $u$  is closed and there exist a continuous linear mapping  $v$  of  $F$  into  $E$  and compact linear mappings  $k_1$  and  $k_2$  of  $E$  and of  $F$  into themselves respectively satisfying the relations:

$$v \circ u \leq I_E - k_1, \quad u \circ v = I_F - k_2;$$

- (iii) *there exist a continuous linear mapping  $v$  of  $F$  into  $\mathfrak{D}_u$  and compact linear mappings  $k_1$  and  $k_2$  of  $\mathfrak{D}_u$  and of  $F$  into themselves respectively satisfying the relations:*

$$v \circ \tilde{u} = I_{\mathfrak{D}_u} - k_1, \quad \tilde{u} \circ v = I_F - k_2.$$

PROOF. (i) $\Rightarrow$ (ii). Let  $E_1$  be a topological supplement of  $\mathfrak{R}_u$  in  $E$ . We put  $u_1 = u|_{(E_1 \cap \mathfrak{D}_u)}$ , the restriction of  $u$  to  $E_1 \cap \mathfrak{D}_u$ , which is open and one-to-one and so that  $v_1 = u_1^{-1}$  is a continuous linear mapping of  $\mathfrak{R}_u$  onto  $E_1 \cap \mathfrak{D}_u$ . By  $i$  and  $p$  we denote the injection of  $E_1 \cap \mathfrak{D}_u$  into  $E$  and a continuous projection of  $F$  onto  $\mathfrak{R}_u$  respectively. We put  $v = i \circ v_1 \circ p$ , a continuous linear mapping of  $F$  into  $E$ . Since  $\mathfrak{R}_u$  is finite dimensional, the projection  $k_1$  with  $k_1(E_1) = 0$  of  $E$  onto  $\mathfrak{R}_u$  is of finite rank and so compact. An arbitrary element  $e$  in  $\mathfrak{D}_u$  can be written uniquely in the form:  $e = e_1 + e_2$ ,  $e_1 \in E_1 \cap \mathfrak{D}_u$ ,  $e_2 \in \mathfrak{R}_u$ . Thus we have for any  $e \in \mathfrak{D}_u$

$$v \circ u(e) = v \circ u(e_1 + e_2) = v_1 \circ u_1(e_1) = e_1 = I_E(e) - k_1(e).$$

Consequently,  $v \circ u \leq I_E - k_1$ .

Let  $F_1$  be a topological supplement of  $\mathfrak{R}_u$  in  $F$ .  $F_1$  is finite dimensional. Let  $k_2$  be a continuous projection of  $F$  onto  $F_1$  such that  $k_2(\mathfrak{R}_u) = 0$ .  $k_2$  is of finite rank and so compact. An arbitrary element  $f$  in  $F$  can be written uniquely as the sum of  $f_1 \in \mathfrak{R}_u$  and  $f_2 \in F_1$ . Thus we have for any  $f \in F$

$$u \circ v(f) = u \circ v(f_1 + f_2) = u_1 \circ v_1(f_1) = f_1 = I_F(f) - k_2(f),$$

which means that  $u \circ v = I_F - k_2$ .

Since an  $F$ -operator is closed, (i) implies (ii).

(i) $\Rightarrow$ (iii). Now, if  $u$  is an  $F$ -operator, as remarked already,  $\tilde{u}$  is also an  $F$ -operator of  $\mathfrak{D}_u$  into  $F$ . Therefore we can infer in a similar way as in the above proof that (i) implies (iii).

(ii) $\Rightarrow$ (i). By virtue of Lemma 1, it follows from  $v \circ u \leq I_E - k_1$  that  $\mathfrak{R}_u$  is finite dimensional,  $\mathfrak{R}_u$  is closed and  $u$  is open. Therefore we have only to show that  $F/\mathfrak{R}_u$  is finite dimensional. Since  $u \circ v = I_F - k_2$ , it follows that  $\mathfrak{R}_u \supset \mathfrak{R}_{I_F - k_2}$ . But it is known that  $F/\mathfrak{R}_{I_F - k_2}$  is finite dimensional ([8], p. 144). Therefore  $F/\mathfrak{R}_u$  is finite dimensional. Consequently,  $u$  is an  $F$ -operator. Hence (ii) implies (i).

The implication (iii) $\Rightarrow$ (i) may be proved in a similar manner as in the case (ii) $\Rightarrow$ (i). The proof is omitted.

Thus the proof of the theorem is complete.

REMARK 2 ([1], Theorem 1). Theorem 1 remains true if we assume that  $k_1$  and  $k_2$  are of finite rank. In fact, a continuous mapping of finite rank is compact and  $k_1$ ,  $k_2$  constructed in the proof of (i) $\Rightarrow$ (ii) are of finite rank.  $v$  being continuous, so it is known that  $v$  is also continuous when we impose on  $E$  and  $F$  another topology such as weak topology, or Mackey topology. Therefore if  $u$  is an  $F$ -operator, then  $u$  is also an  $F$ -operator in weak topology or in Mackey topology.

REMARK 3 ([1], Theorem 1). Let  $u$  be a closed linear mapping with

domain in  $E$  and range in  $F$ . Then  $u$  is an  $F$ -operator if and only if there exist continuous linear mappings  $v_1$  and  $v_2$  of  $F$  into  $G$  and of  $H$  into  $E$  respectively such that  $v_1 \circ u$  and  $u \circ v_2$  are  $F$ -operators. In fact, the proof of "only if" part is a direct consequence of Theorem 1. Conversely, suppose  $v_1 \circ u$  and  $u \circ v_2$  are  $F$ -operators. By Theorem 1, there exist continuous linear mappings  $w_1$  and  $w_2$  of  $G$  into  $E$  and of  $F$  into  $H$  respectively such that

$$w_1 \circ v_1 \circ u \leq I_E - k_1, \quad u \circ v_2 \circ w_2 = I_F - k_2,$$

where  $k_1, k_2$  are compact. Similar arguments used in the proof of (ii)  $\Rightarrow$  (i) show that  $u$  is an  $F$ -operator.

As an application of Theorem 1, we show

**PROPOSITION 2.** *Let  $E$  and  $F$  be LCS's. Let  $u$  be a closed linear mapping with dense domain in  $E$  and range in  $F$ . Then  $u'$  is an  $F$ -operator if  $u$  is an  $F$ -operator. If  $E, F$  have  $\gamma$ -topology and if  $u'$  as a mapping with domain in  $F'_c$  and range in  $E'_c$  is an  $F$ -operator, then  $u$  is also an  $F$ -operator. In any case,  $\text{ind } u = -\text{ind } u'$ .*

**PROOF.** From Remark 2 after Theorem 1, there exists a continuous linear mapping  $v$  of  $F$  into  $E$  such that

$$v \circ u \leq I_E - k_1, \quad u \circ v = I_F - k_2,$$

where  $k_1$  and  $k_2$  are of finite rank. Then we have for any  $f' \in \mathfrak{D}_{u'}$  and any  $f \in F$

$$\langle v' \circ u'(f'), f \rangle = \langle f', u \circ v(f) \rangle = \langle f', (I_F - k_2)(f) \rangle = \langle (I_{F'} - k'_2)f', f \rangle,$$

which means that  $v' \circ u' \leq I_{F'} - k'_2$ . Putting  $f' = v'(e')$  for any  $e' \in E'$ , we have for any  $e \in \mathfrak{D}_u$

$$\begin{aligned} \langle u(e), f' \rangle &= \langle u(e), v'(e') \rangle = \langle v \circ u(e), e' \rangle \\ &= \langle (I_E - k_1)(e), e' \rangle = \langle e, (I_{E'} - k'_1)(e') \rangle. \end{aligned}$$

Hence we see that  $u'(f') = (I_{E'} - k'_1)(e')$  and so  $u' \circ v' = I_{E'} - k'_1$ . Now,  $k'_1$  and  $k'_2$  are also of finite rank and  $u'$  is closed. Therefore by Theorem 1 it follows that  $u'$  is an  $F$ -operator. Moreover,  $\text{ind } u = \dim \mathfrak{R}_u - \text{codim } \mathfrak{R}_u = \dim (\mathfrak{R}_u)^\perp - \text{codim } (\mathfrak{R}_u)^\perp = \text{codim } \mathfrak{R}_u - \dim \mathfrak{R}_u = -\text{ind } u'$ . As made in Remark 2,  $u'$  is also an  $F$ -operator if we impose on  $E', F'$  the topology of uniform convergence on compact disks.

To prove the second part of the proposition, let  $u'$  be an  $F$ -operator in the indicated sense, then  $u'' = u$  becomes an  $F$ -operator from the preceding discus-

sion. The proof is complete.

**COROLLARY.** *If  $E$  and  $F$  are Banach spaces, a closed linear mapping with dense domain in  $E$  and range in  $F$  is an  $F$ -operator if and only if  $u'$  is an  $F$ -operator.*

**PROOF.** We have only to show that if  $u'$  is an  $F$ -operator, then  $u$  is also an  $F$ -operator. Then  $\mathfrak{R}_{u'}$  is closed, so  $u$  is an open mapping with closed range ([3], p. 57), and  $\dim \mathfrak{R}_{u'} < +\infty$  and  $\text{codim } \mathfrak{R}_{u'} < +\infty$  imply that  $\dim \mathfrak{R}_u < +\infty$  and  $\text{codim } \mathfrak{R}_u < +\infty$ . Consequently,  $u$  is an  $F$ -operator.

**§ 2.** Now we are in a position to prove the following theorem concerning the product of  $F$ -operators ([5], Theorem 2.1). For bounded operators in a Banach space the theorem was first proved by F. V. Atkinson ([1], p. 8), and for unbounded operators by I. C. Gohberg and M. G. Krein (cf. [5], Theorem 2.1).

**THEOREM 2.** *Let  $E, F$  and  $G$  be LCS's. If  $u_1$  and  $u_2$  are  $F$ -operators with domain in  $E$  and range in  $F$  and with domain in  $F$  and range in  $G$  respectively, then  $u_2 \circ u_1$  is also an  $F$ -operator and*

$$\text{ind } u_2 \circ u_1 \geq \text{ind } u_1 + \text{ind } u_2,$$

where the equality holds if and only if  $F = \mathfrak{R}_{u_1} + \mathfrak{D}_{u_2}$ . The condition is satisfied if  $\mathfrak{D}_{u_2}$  is dense in  $F$ .

**PROOF.** By Theorem 1 there exist continuous linear mappings  $v_1, v_2, k_1, k_2$  such that

$$v_1 \circ \tilde{u}_1 = I_{\mathfrak{D}_{u_1}} - k_1, \quad v_2 \circ u_2 \leq I_F - k_2,$$

where  $\mathfrak{D}_{v_1} \subset F$ ,  $\mathfrak{R}_{v_1} \subset \mathfrak{D}_{u_1}$ ,  $\mathfrak{D}_{v_2} \subset G$ ,  $\mathfrak{R}_{v_2} \subset F$  and  $k_1, k_2$  are compact mappings of  $\mathfrak{D}_{u_1}$  and of  $F$  into themselves respectively. Then we have

$$\begin{aligned} v_1 \circ v_2 \circ u_2 \circ \tilde{u}_1 &\leq v_1 \circ (I_F - k_2) \circ \tilde{u}_1 = v_1 \circ \tilde{u}_1 - v_1 \circ k_2 \circ \tilde{u}_1 \\ &= I_{\mathfrak{D}_{u_1}} - k_1 - v_1 \circ k_2 \circ \tilde{u}_1. \end{aligned}$$

$u_2 \circ \tilde{u}_1$  is closed since  $\tilde{u}_1$  is continuous and  $u_2$  is closed. Therefore by Lemma 1 we see that  $\mathfrak{R}_{u_2 \circ \tilde{u}_1}$  is finite dimensional,  $\mathfrak{R}_{u_2 \circ \tilde{u}_1}$  is closed and  $u_2 \circ \tilde{u}_1$  is open. On account of the definition of  $\tilde{u}_1$ , these properties are also enjoyed by  $u_2 \circ u_1$ .

On the other hand, we have

$$\mathfrak{R}_{u_2 \circ u_1} = (u_2 \circ u_1)^{-1}(0) = u_1^{-1}(u_2^{-1}(0) \cap \mathfrak{R}_{u_1}) = u_1^{-1}(\mathfrak{R}_{u_2} \cap \mathfrak{R}_{u_1}),$$

which implies that

$$(1) \quad \dim \mathfrak{R}_{u_2 \circ u_1} = \dim \mathfrak{R}_{u_1} + \dim (\mathfrak{R}_{u_2} \cap \mathfrak{R}_{u_1})$$

and

$$(2) \quad \text{codim } \mathfrak{R}_{u_2 \circ u_1} = \text{codim } \mathfrak{R}_{u_2} + \dim \mathfrak{R}_{u_2} / \mathfrak{R}_{u_2 \circ u_1},$$

where

$$\begin{aligned} (3) \quad \dim \mathfrak{R}_{u_2} / \mathfrak{R}_{u_2 \circ u_1} &= \dim \mathfrak{D}_{u_2} / \{\mathfrak{D}_{u_2} \cap (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2})\} \\ &= \dim (\mathfrak{D}_{u_2} + \mathfrak{R}_{u_1}) / (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) \\ &\leq \dim F / (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) \\ &= \dim F / \mathfrak{R}_{u_1} - \dim (\mathfrak{R}_{u_1} + \mathfrak{R}_{u_2}) / \mathfrak{R}_{u_1} \\ &= \text{codim } \mathfrak{R}_{u_1} - \dim \mathfrak{R}_{u_2} + \dim (\mathfrak{R}_{u_1} \cap \mathfrak{R}_{u_2}). \end{aligned}$$

Consequently, from the equations (1), (2) and (3) we see that  $\text{codim } \mathfrak{R}_{u_2 \circ u_1}$  is finite and

$$\text{ind } u_2 \circ u_1 \geq \text{ind } u_1 + \text{ind } u_2.$$

In view of the relations (3),  $\text{ind } u_2 \circ u_1 = \text{ind } u_1 + \text{ind } u_2$  holds if and only if  $F = \mathfrak{D}_{u_2} + \mathfrak{R}_{u_1}$ . The last statement of the theorem is almost clear. Thus the proof is complete.

**REMARK 4.** It is easy to verify that if, in the theorem 2,  $u_1$  and  $u_2$  have dense domains, then  $u_2 \circ u_1$  has also dense domain and  $(u_2 \circ u_1)' = u_1' \circ u_2'$ .

A linear mapping  $k$  with domain  $\mathfrak{D}_k$  in  $E$  and range in  $F$  will be called *u-compact* if  $\mathfrak{D}_k \supset \mathfrak{D}_u$  and there exist two neighbourhoods  $U$  and  $V$  of 0 in  $\mathfrak{D}_u$  and in  $\mathfrak{R}_u$  respectively such that  $k$  maps  $U \cap u^{-1}(V)$  into a compact subset of  $F$ , that is, the corresponding mapping  $\bar{k}$  of  $\mathfrak{D}_u$  into  $F$  is compact.

We next prove the following

**THEOREM 3.** *Let  $E$  and  $F$  be LCS's and  $u$  be an  $F$ -operator with domain in  $E$  and range in  $F$ . Let  $k$  be a  $u$ -compact linear mapping. Then  $u + k$  is an  $F$ -operator and*

$$\text{ind}(u + k) = \text{ind } u.$$

**PROOF.** By Theorem 1, there exist a continuous linear mapping  $v$  of  $F$  into  $\mathfrak{D}_u$  and compact linear mappings  $k_1$  and  $k_2$  of  $\mathfrak{D}_u$  and of  $F$  into themselves

respectively such that

$$v \circ \tilde{u} = I_{\mathfrak{D}_u} - k_1, \quad \tilde{u} \circ v = I_F - k_2.$$

We now denote by  $\bar{k}$  the restriction of  $k$  to  $\mathfrak{D}_u$ . By definition,  $\bar{k}$  is compact. We have

$$v \circ (\tilde{u} + \bar{k}) = v \circ \tilde{u} + v \circ \bar{k} = I_{\mathfrak{D}_u} - k_3,$$

$$(\tilde{u} + \bar{k}) \circ v = \tilde{u} \circ v + \bar{k} \circ v = I_F - k_4,$$

where  $k_3 = k_1 - v \circ \bar{k}$  and  $k_4 = k_2 - \bar{k} \circ v$ . Taking into account that the mappings  $k_3$  and  $k_4$  are compact, it follows from Theorem 1 that  $\tilde{u} + \bar{k}$  is an  $F$ -operator. Thus we can easily conclude that  $u + k$  is also an  $F$ -operator.

Now we note that the mapping  $v$  is an  $F$ -operator. Applying Theorem 2 to the products  $\tilde{u} \circ v$  and  $(\tilde{u} + \bar{k}) \circ v$  we have

$$(4) \quad \text{ind } \tilde{u} + \text{ind } v = \text{ind}(I_F - k_2),$$

$$(5) \quad \text{ind}(\tilde{u} + \bar{k}) + \text{ind } v = \text{ind}(I_F - k_4).$$

According to Proposition 4 in [8] (p. 151),  $\text{ind}(I_F - k_2) = \text{ind}(I_F - k_4) = 0$ . Therefore, from the equations (4) and (5) we obtain

$$\text{ind}(\tilde{u} + \bar{k}) = \text{ind } \tilde{u}.$$

Consequently,

$$\text{ind}(u + k) = \text{ind } u.$$

Thus the proof is complete.

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