

## *Lie Algebras in which Every Ascendant Subalgebra is a Subideal*

Shigeaki TÔGÔ and Haruo MIYAMOTO

(Received May 10, 1978)

### Introduction

The class  $\mathfrak{D}$  of Lie algebras in which every subalgebra is a subideal and the class  $\mathfrak{T}$  of Lie algebras in which every subideal is an ideal were investigated by Stewart and Amayo ([1], [2], [3]). In connection with these, it seems interesting to know the properties of Lie algebras  $L$  satisfying each of the following conditions:

(M) Every ascendant subalgebra of  $L$  is a subideal.

(M') Every ascendant subalgebra of  $L$  is an ideal.

Let  $\mathfrak{M}$  and  $\mathfrak{M}'$  denote the classes consisting of all Lie algebras which satisfy the conditions (M) and (M') respectively. Then it is immediate that  $\mathfrak{N} \leq \mathfrak{D} \leq \mathfrak{M}$  and  $\mathfrak{N} \leq \mathfrak{M}' \leq \mathfrak{T}$ . In this paper we shall investigate the classes  $\mathfrak{M}$ ,  $\mathfrak{M}'$  and present several properties of Lie algebras belonging to these classes.

We shall show that  $\text{Max} \leq \text{Max-asc} \leq \mathfrak{M}$  (Theorem 2.1). For a Lie algebra  $L$  over a field of characteristic 0 satisfying Min-asc, we shall obtain certain conditions which are equivalent to the condition (M) (Theorem 3.4). This will be applied to showing that  $\text{NL}\mathfrak{F} \cap \text{Min-asc} \leq \mathfrak{M}$  (Theorem 4.4). We shall finally show that every solvable  $\mathfrak{M}'$ -algebra is either abelian or the split extension of an abelian Lie algebra by the 1-dimensional algebra of scalar multiplications and conversely (Theorem 5.2).

### 1.

In this preliminary section, we fix the notations and terminology, and recall a few fundamental results on locally nilpotent radicals.

Let  $L$  be a Lie algebra over a field  $\Phi$ . When  $H$  is a subalgebra (resp. an ideal) of  $L$ , we write  $H \leq L$  (resp.  $H \triangleleft L$ ). For an ordinal  $\sigma$ ,  $H \leq L$  is a  $\sigma$ -step ascendant subalgebra of  $L$  if there is a series  $(H_\alpha)_{\alpha \leq \sigma}$  of subalgebras of  $L$  such that

- (1)  $H_0 = H$ ,  $H_\sigma = L$ ,
- (2)  $H_\alpha \triangleleft H_{\alpha+1}$  for any ordinal  $\alpha < \sigma$ ,
- (3)  $H_\lambda = \bigcup_{\alpha < \lambda} H_\alpha$  for any limit ordinal  $\lambda \leq \sigma$ .

We then write  $H \triangleleft^\sigma L$ . When  $\sigma$  is finite,  $H$  is a  $\sigma$ -step subideal of  $L$ .  $H$  is a subideal (resp. an ascendant subalgebra) of  $L$  when  $H \triangleleft^n L$  (resp.  $H \triangleleft^\sigma L$ ) for some  $n \in \mathbb{N}$  (resp.  $\sigma$ ). We then write  $H \text{ si } L$  (resp.  $H \text{ asc } L$ ).

The Fitting radical  $\nu(L)$  of  $L$  is the sum of all nilpotent ideals of  $L$ . The Hirsch-Plotkin radical  $\rho(L)$  of  $L$  is the unique maximal locally nilpotent ideal of  $L$ . Evidently  $\nu(L) \leq \rho(L)$ . If the basic field is of characteristic 0, the Baer radical  $\beta(L)$  of  $L$  is the subalgebra generated by all nilpotent subideals of  $L$  and the Gruenberg radical  $\gamma(L)$  of  $L$  is the subalgebra generated by all nilpotent ascendant subalgebras of  $L$ . Obviously  $\nu(L) \leq \beta(L) \leq \gamma(L)$ .  $\beta(L)$  is a characteristic ideal of  $L$ , but  $\gamma(L)$  is not an ideal of  $L$  generally.

The class  $\mathfrak{A}$  consists of all abelian Lie algebras, the class  $\mathfrak{F}$  consists of all finite-dimensional Lie algebras, and the class  $\mathfrak{N}$  (resp.  $\mathfrak{E}\mathfrak{N}$ ) consists of all nilpotent (resp. solvable) Lie algebras. The class  $\mathfrak{Z}$  consists of all hypercentral Lie algebras, and the class  $\mathfrak{T}$  consists of all Lie algebras in which the relation  $\triangleleft$  is transitive.  $\text{LF}$  is the class of all locally finite Lie algebras. For a class  $\mathfrak{X}$ ,  $\text{N}\mathfrak{X}$  (resp.  $\text{N}\mathfrak{X}$ ) consists of all Lie algebras generated by their  $\mathfrak{X}$ -subideals (resp. ascendant  $\mathfrak{X}$ -subalgebras).

Max-asc (resp. Max, Max- $\triangleleft^\sigma$ ) is the maximal condition for ascendant subalgebras (resp. subalgebras,  $\sigma$ -step ascendant subalgebras). Min-asc, Min and Min- $\triangleleft^\sigma$  are similarly defined. Furthermore the same notations are used for the classes of Lie algebras satisfying the corresponding chain conditions.

## 2.

For a Lie algebra  $L$  over a field  $\Phi$  and for an ordinal  $\sigma \geq \omega$ , we introduce the following conditions:

(M) Every ascendant subalgebra of  $L$  is a subideal.

(M $_\sigma$ ) Every  $\sigma$ -step ascendant subalgebra of  $L$  is a subideal.

We denote by  $\mathfrak{M}$  and  $\mathfrak{M}_\sigma$  the classes of Lie algebras satisfying the conditions (M) and (M $_\sigma$ ) respectively. Then for any ordinals  $\rho \geq \sigma \geq \omega$ , we have

$$\mathfrak{D} \leq \mathfrak{M} \leq \mathfrak{M}_\rho \leq \mathfrak{M}_\sigma \leq \mathfrak{M}_\omega.$$

First we show the following

THEOREM 2.1. (1) Max- $\triangleleft^\sigma \leq \mathfrak{M}_\sigma$  for any  $\sigma \geq \omega$ .

(2) Max  $\leq$  Max-asc  $\leq \mathfrak{M}$ .

PROOF. (1) Assume that  $L \notin \mathfrak{M}_\sigma$ . Then there is a subalgebra  $H$  of  $L$  such that  $H \triangleleft^\sigma L$  but  $H$  is not a subideal of  $L$ . Let  $(H_\alpha)_{\alpha \leq \sigma}$  be an ascending series for  $H$  in  $L$ . Since  $\sigma \geq \omega$ , we may assume that

$$H = H_0 \triangleleft H_1 \triangleleft \cdots \triangleleft H_n \triangleleft H_{n+1} \triangleleft \cdots.$$

It is obvious that  $H_n \triangleleft^\sigma L$  for each  $n$ . Therefore  $L \notin \text{Max-}\triangleleft^\sigma$ .

(2) Assume that  $L \in \text{Max-asc}$ . Suppose that  $H \text{ asc } L$ . Then  $H \triangleleft^\sigma L$  for some ordinal  $\sigma$ . Since  $\text{Max-asc} \leq \text{Max-}\triangleleft^\sigma$ , we have  $L \in \text{Max-}\triangleleft^\sigma$ . If  $\sigma \geq \omega$ ,  $L \in \mathfrak{M}_\sigma$  by the statement (1). It follows that  $H \text{ si } L$ . Therefore  $L \in \mathfrak{M}$ .

**LEMMA 2.2.** *Let  $L$  be a hypercentral Lie algebra over  $\Phi$  of central height  $\leq \sigma$ . If  $H \leq L$ , then  $H \triangleleft^\sigma L$ .*

**PROOF.** Let  $(\zeta_\alpha(L))_{\alpha \leq \sigma}$  be the transfinite upper central series of  $L$  such that  $\zeta_\sigma(L) = L$ . If  $H \leq L$ , put  $H_\alpha = H + \zeta_\alpha(L)$  for any  $\alpha \leq \sigma$ . Then the series  $(H_\alpha)_{\alpha \leq \sigma}$  is an ascending series for  $H$  in  $L$ . Hence  $H \triangleleft^\sigma L$ .

As a consequence of the lemma we have the following

**PROPOSITION 2.3.**  $\mathfrak{Z} \cap \mathfrak{M} = \mathfrak{Z} \cap \mathfrak{D}$ .

**PROOF.** Let  $L \in \mathfrak{Z} \cap \mathfrak{M}$ . Assume that  $H \leq L$ . Since  $L \in \mathfrak{Z}$ , by Lemma 2.2  $H \text{ asc } L$ . Since  $L \in \mathfrak{M}$ , it follows that  $H \text{ si } L$ . Hence  $L \in \mathfrak{D}$ . Thus  $\mathfrak{Z} \cap \mathfrak{M} \leq \mathfrak{D}$  and therefore  $\mathfrak{Z} \cap \mathfrak{M} = \mathfrak{Z} \cap \mathfrak{D}$ .

### 3.

In order to investigate the condition (M), we further consider the following conditions for a Lie algebra  $L$  over a field of characteristic 0:

- (A) For any  $I, H$  such that  $I \triangleleft H \text{ asc } L$ ,  $\beta(H/I) = \gamma(H/I)$ .
- (B) For any  $I, H$  such that  $I \triangleleft H \text{ asc } L$ ,  $\gamma(H/I) \triangleleft H/I$ .
- (C) For any  $I, H$  such that  $I \triangleleft H \text{ asc } L$ ,  $\gamma(H/I) \text{ si } H/I$ .
- (A<sub>0</sub>) For any  $I \triangleleft L$ ,  $\beta(L/I) = \gamma(L/I)$ .

Similarly we define (B<sub>0</sub>) and (C<sub>0</sub>).

Then we have the following

**LEMMA 3.1.** *For a Lie algebra  $L$  over a field of characteristic 0,*

$$\begin{array}{ccccc} (M) & \implies & (A) & \implies & (B) & \implies & (C) \\ & & \Downarrow & & \Downarrow & & \Downarrow \\ & & (A_0) & \implies & (B_0) & \implies & (C_0) \end{array}$$

**PROOF.** (M) $\implies$ (A). Assume that  $L$  satisfies the condition (M) and  $I \triangleleft H \text{ asc } L$ . Suppose that  $K/I \text{ asc } H/I$  and  $K/I \in \mathfrak{N}$ . Then  $K \text{ asc } H$ . Hence  $K \text{ asc } L$ . By the condition (M) for  $L$ ,  $K \text{ si } L$ . It follows that  $K \text{ si } H$ . Hence  $K/I \text{ si } H/I$ . This shows that  $\gamma(H/I) \leq \beta(H/I)$  and therefore  $\gamma(H/I) = \beta(H/I)$ .

(A) $\implies$ (B). This follows from the fact that  $\beta(M) \triangleleft M$  for any Lie algebra  $M$

over a field of characteristic 0.

The other implications are now evident.

Let us study the converse of some of the above implications under a certain assumption. To this end, we need the following

**LEMMA 3.2.** *Let  $L$  be a Lie algebra over a field  $\Phi$ . If  $L \in \text{Min-}\triangleleft^2$ , then  $\rho(L) \in \mathfrak{F} \cap \mathfrak{N}$ .*

**PROOF.** It is shown in [2, Lemma 8.1.3] that  $\rho(L) \in \mathfrak{F}$ . Hence  $\rho(L) \in \mathfrak{F} \cap \mathfrak{L}\mathfrak{N} = \mathfrak{F} \cap \mathfrak{N}$ .

**THEOREM 3.3.** *Let  $L$  be a Lie algebra over a field of characteristic 0.*

- (1) *If  $L \in \text{Min-}\triangleleft^\omega$  and satisfies the condition  $(C_0)$ , then  $L \in \mathfrak{M}_\omega$ .*
- (2) *If  $L \in \text{Min-}\triangleleft^\sigma$  ( $\sigma \geq \omega$ ) and satisfies the condition  $(C)$ , then  $L \in \mathfrak{M}_\sigma$ .*

**PROOF.** (1) Assume that  $L \in \text{Min-}\triangleleft^\omega$  and satisfies the condition  $(C_0)$ . Suppose that  $H \triangleleft^\omega L$ . Then  $H^k \triangleleft H$  for any  $k \in \mathbb{N}$ . Hence  $H^k \triangleleft^\omega L$ . Since  $L \in \text{Min-}\triangleleft^\omega$ , there is an  $m \in \mathbb{N}$  such that  $H^m = H^{m+1} = \dots$ . Therefore  $H^\omega = \bigcap_{k=1}^{\infty} H^k = H^m$ . On the other hand, it is obvious that  $H^\omega \triangleleft L$ . Hence  $H/H^\omega \triangleleft^\omega L/H^\omega$  and  $H/H^\omega \in \mathfrak{N}$ . It follows that  $H/H^\omega \leq \gamma(L/H^\omega)$ . By the condition  $(C_0)$  for  $L$ ,  $\gamma(L/H^\omega) \text{ si } L/H^\omega$ . Since  $L/H^\omega \in \text{Min-}\triangleleft^\omega$ ,  $\gamma(L/H^\omega) \in \mathfrak{L}\mathfrak{N} \cap \text{Min-}\triangleleft^2$ . By Lemma 3.2, it follows that  $\gamma(L/H^\omega) \in \mathfrak{F} \cap \mathfrak{N}$ . If we write  $\gamma(L/H^\omega) = I/H^\omega$ , then we have  $I^n \leq H^\omega$  for some  $n$ . Hence  $I^n \leq H \leq I$ . Since  $I/I^n \in \mathfrak{N} \leq \mathfrak{D}$ ,  $H/I^n \text{ si } I/I^n$ . Therefore  $H \text{ si } I$ . Since  $I \text{ si } L$ ,  $H \text{ si } L$ . Thus  $L \in \mathfrak{M}_\omega$ .

(2) Assume that  $L \in \text{Min-}\triangleleft^\sigma$  and satisfies the condition  $(C)$ . Suppose that  $L \notin \mathfrak{M}_\sigma$ . Then there is a subalgebra  $H$  of  $L$  such that  $H \triangleleft^\sigma L$  but  $H$  is not a subideal of  $L$ . Let  $(H_\alpha)_{\alpha \leq \sigma}$  be an ascending series for  $H$  in  $L$ . Since  $\sigma \geq \omega$ , we may assume that  $H$  is not a subideal of  $H_\omega$ . Then we assert that  $H_\omega \in \text{Min-}\triangleleft^\omega$  and satisfies  $(C_0)$ . In fact, if  $K_1 \geq K_2 \geq \dots$  and  $K_n \triangleleft^\omega H_\omega$  for any  $n \in \mathbb{N}$ , then  $K_n \triangleleft^\sigma L$ . Since  $L \in \text{Min-}\triangleleft^\sigma$ , there is an  $m \in \mathbb{N}$  such that  $K_m = K_{m+1} = \dots$ . If  $I \triangleleft H_\omega$ , then  $I \triangleleft H_\omega \text{ asc } L$ . Since  $L$  satisfies  $(C)$ ,  $\gamma(H_\omega/I) \text{ si } H_\omega/I$ . Thus we can apply the statement (1) for  $H_\omega$  to see that  $H \text{ si } H_\omega$ , which is a contradiction.

By using Theorem 3.3, we now show the following

**THEOREM 3.4.** *Let  $L$  be a Lie algebra over a field of characteristic 0. If  $L \in \text{Min-asc}$ ,*

$$(M) \iff (A) \iff (B) \iff (C).$$

**PROOF.** Assume that  $L \in \text{Min-asc}$  and satisfies the condition  $(C)$ . Suppose that  $H \text{ asc } L$ . Then  $H \triangleleft^\sigma L$  for some ordinal  $\sigma$ . Since  $\text{Min-asc} \leq \text{Min-}\triangleleft^\sigma$ ,  $L \in \text{Min-}\triangleleft^\sigma$ . If  $\sigma \geq \omega$ ,  $L \in \mathfrak{M}_\sigma$  by Theorem 3.3. Hence  $H \text{ si } L$ . Therefore

$L$  satisfies the condition (M). The statement now follows from Lemma 3.1.

#### 4.

In this section by using Theorem 3.4 we shall show that certain subclasses of  $\text{Min-}\triangleleft^\omega$  are contained in  $\mathfrak{M}$  and  $\mathfrak{M}_\omega$ .

LEMMA 4.1. *Let  $L$  be a Lie algebra over a field of characteristic 0. If  $L \in \text{NL}\mathfrak{F}$ , then  $\gamma(L) \triangleleft L$ .*

This is [2, Corollary 6.3.5] and can be shown by using the fact that  $\gamma(L)$  is invariant under every locally finite derivation of  $L$  and by observing that  $\text{ad}_L x$  is a locally finite derivation of  $L$  for any element  $x$  of an ascendant  $\text{L}\mathfrak{F}$ -subalgebra of  $L$ .

LEMMA 4.2. *Let  $L$  be a Lie algebra over a field of characteristic 0. If  $L \in \text{NL}\mathfrak{F} \cap \text{Min-}\triangleleft^2$ , then*

$$\nu(L) = \beta(L) = \gamma(L) = \rho(L) \in \mathfrak{F} \cap \mathfrak{N}.$$

PROOF. By Lemma 4.1  $\gamma(L)$  is a locally nilpotent ideal of  $L$ . Hence

$$\nu(L) \leq \beta(L) \leq \gamma(L) \leq \rho(L).$$

But by Lemma 3.2  $\rho(L) \in \mathfrak{F} \cap \mathfrak{N}$ . Therefore we have  $\rho(L) \leq \nu(L)$ .

LEMMA 4.3. *Let  $L$  be a Lie algebra over a field of characteristic 0.*

(1) *If  $L \in \text{NL}\mathfrak{F} \cap \text{Min-}\triangleleft^2$ , then  $L$  satisfies the condition  $(A_0)$ .*

(2) *If  $L \in \text{NL}\mathfrak{F} \cap \text{Min-asc}$ , then  $L$  satisfies the condition (A).*

PROOF. (1) Assume that  $L \in \text{NL}\mathfrak{F} \cap \text{Min-}\triangleleft^2$ . If  $I \triangleleft L$ , then  $L/I \in \text{QNL}\mathfrak{F} = \text{NL}\mathfrak{F}$ . Evidently  $L/I \in \text{Min-}\triangleleft^2$ . Therefore by Lemma 4.2 we have  $\beta(L/I) = \gamma(L/I)$ .

(2) Assume that  $L \in \text{NL}\mathfrak{F} \cap \text{Min-asc}$  and that  $I \triangleleft H \text{ asc } L$ . From the fact that  $\text{L}\mathfrak{F}$  is locally coalescent, it follows that  $\text{NL}\mathfrak{F}$  is s-closed. Hence  $H \in \text{NL}\mathfrak{F} \leq \text{NL}\mathfrak{F}$  and therefore  $H/I \in \text{NL}\mathfrak{F}$ . It is immediate that  $H \in \text{Min-}\triangleleft^2$  and therefore  $H/I \in \text{Min-}\triangleleft^2$ . Hence by Lemma 4.2 we have  $\beta(H/I) = \gamma(H/I)$ .

We are now in a position to show the following

THEOREM 4.4. *For fields of characteristic 0,*

(1)  $\text{NL}\mathfrak{F} \cap \text{Min-}\triangleleft^\omega \leq \mathfrak{M}_\omega$ .

(2)  $\text{NL}\mathfrak{F} \cap \text{Min-asc} \leq \mathfrak{M}$ .

PROOF. (1) Assume that  $L \in \text{NL}\mathfrak{F} \cap \text{Min-}\triangleleft^\omega$ . Then by Lemma 4.3  $L$

satisfies the condition  $(A_0)$ . It follows from Lemma 3.1 that  $L$  satisfies the condition  $(C_0)$ . Hence by Theorem 3.3 we see that  $L \in \mathfrak{M}_\omega$ .

(2) Assume that  $L \in \text{NL}\mathfrak{F} \cap \text{Min-asc}$ . Then by Lemma 4.3  $L$  satisfies the condition  $(A)$ . Theorem 3.4 now tells us that  $L$  satisfies the condition  $(M)$ , that is,  $L \in \mathfrak{M}$ .

## 5.

In this section, we introduce the following conditions for a Lie algebra  $L$  which are stronger than the conditions  $(M)$  and  $(M_\sigma)$  ( $\sigma \geq \omega$ ):

$(M')$  Every ascendant subalgebra of  $L$  is an ideal.

$(M'_\sigma)$  Every  $\sigma$ -step ascendant subalgebra of  $L$  is an ideal.

We denote by  $\mathfrak{M}'$  and  $\mathfrak{M}'_\sigma$  the classes of Lie algebras satisfying the condition  $(M')$  and  $(M'_\sigma)$  respectively. Then for any ordinals  $\rho \geq \sigma \geq \omega$ , we have

$$\mathfrak{A} \leq \mathfrak{M}' \leq \mathfrak{M}'_\rho \leq \mathfrak{M}'_\sigma \leq \mathfrak{M}'_\omega \leq \mathfrak{I}.$$

Moreover we have

$$\mathfrak{I} \cap \mathfrak{D} = \mathfrak{A}, \quad \mathfrak{I} \cap \mathfrak{M} = \mathfrak{M}', \quad \mathfrak{I} \cap \mathfrak{M}_\sigma = \mathfrak{M}'_\sigma \quad (\sigma \geq \omega).$$

In fact, let  $L \in \mathfrak{I} \cap \mathfrak{D}$ . Then every subalgebra of  $L$  is an ideal. For any  $x, y \in L$ ,  $\langle x \rangle \triangleleft L$  and  $\langle y \rangle \triangleleft L$ . If  $x$  and  $y$  are linearly independent, then  $[x, y] \in \langle x \rangle \cap \langle y \rangle = (0)$ . If  $x$  and  $y$  are linearly dependent, then obviously  $[x, y] = 0$ . Therefore  $L \in \mathfrak{A}$ . Thus we have  $\mathfrak{I} \cap \mathfrak{D} = \mathfrak{A}$ . The other formulas are evident.

It can be shown as in Lemma 3.1 that every  $\mathfrak{M}'$ -algebra  $L$  over a field of characteristic 0 satisfies the condition:

$(A')$  For any  $I, H$  such that  $I \triangleleft H \text{ asc } L$ ,  $\nu(H/I) = \gamma(H/I)$ .

We shall determine the structure of Lie algebras belonging to some special subclasses of  $\mathfrak{M}'$ .

**PROPOSITION 5.1.**  $\mathfrak{Z} \cap \mathfrak{M}' = \mathfrak{A}$ .

**PROOF.** Let  $L \in \mathfrak{Z} \cap \mathfrak{M}'$ . Then by using Lemma 2.2 we see that every subalgebra of  $L$  is an ideal. It follows that  $L$  is abelian. Therefore  $\mathfrak{Z} \cap \mathfrak{M}' \leq \mathfrak{A}$ .

**THEOREM 5.2.** Every  $\mathfrak{E}\mathfrak{A} \cap \mathfrak{M}'$ -algebra is either abelian or the split extension of an abelian Lie algebra by the 1-dimensional algebra of scalar multiplications, and conversely. Furthermore

$$\mathfrak{E}\mathfrak{A} \cap \mathfrak{M}' = \mathfrak{E}\mathfrak{A} \cap \mathfrak{M}'_\sigma = \mathfrak{E}\mathfrak{A} \cap \mathfrak{I}.$$

**PROOF.** (1) Assume that  $L \in \mathfrak{E}\mathfrak{A} \cap \mathfrak{I}$ . It is stated without proof in

[2, p.167] that  $L$  is then either abelian, or the split extension of an abelian Lie algebra by the 1-dimensional algebra of scalar multiplications. We shall give the outline of its proof. Let  $L$  be not abelian. Then there is an integer  $k > 0$  such that  $L^{(k)} \neq (0)$  and  $L^{(k+1)} = (0)$ . For any  $x \in L^{(k)}$ ,  $\langle x \rangle$  si  $L$  and therefore  $\langle x \rangle \triangleleft L$ . It follows that  $\text{ad}_{L^{(k)}} z = \alpha 1_{L^{(k)}}$  for any  $z \in L$ , where  $\text{ad}_{L^{(k)}} z$  is the restriction of  $\text{ad}_L z$  to  $L^{(k)}$ . If  $\text{ad}_{L^{(k)}} L = 0$ , for every  $u \in L^{(k-1)}$   $\langle u \rangle$  si  $L$  and therefore  $\langle u \rangle \triangleleft L$ . It follows that  $L^{(k)} = (0)$ , which contradicts the choice of  $k$ . So  $\dim \text{ad}_{L^{(k)}} L = 1$  and

$$L = \text{Ker ad}_{L^{(k)}} + \langle z_0 \rangle, \quad \text{ad}_{L^{(k)}} z_0 = 1_{L^{(k)}}.$$

We assert that  $k=1$ . In fact, if not, for every  $u \in L^{(k-1)}$   $\langle u \rangle$  si  $L$  since  $L^{(k-1)} \leq L^{(1)} \leq \text{Ker ad}_{L^{(k)}}$ . Hence  $\langle u \rangle \triangleleft L$  and therefore  $L^{(k)} = (0)$ , contradicting the choice of  $k$ . We next assert that  $\text{Ker ad}_{L^{(1)}} = L^{(1)}$ . In fact, if not, take a subspace  $U$  of  $\text{Ker ad}_{L^{(1)}}$  complementary to  $L^{(1)}$ . For any  $u \in U$ ,  $\langle u \rangle$  si  $L$ , whence  $\langle u \rangle \triangleleft L$ . It follows that  $U$  is contained in the center of  $L$ . Take an element  $y \neq 0$  in  $L^{(1)}$  and a subspace  $V$  of  $L^{(1)}$  complementary to  $\langle y \rangle$ . Put  $H = V + \langle y + u \rangle$  with  $0 \neq u \in U$ . Then  $H$  si  $L$  but  $H$  is not an ideal of  $L$ . This contradicts the assumption that  $L \in \mathfrak{L}$ . Thus we conclude that  $L = L^{(1)} + \langle z_0 \rangle$ .

(2) We shall show that  $\mathfrak{B}\mathfrak{U} \cap \mathfrak{L} \leq \mathfrak{M}'$ . Let  $L \in \mathfrak{B}\mathfrak{U} \cap \mathfrak{L}$ . If  $L$  is abelian, then  $L \in \mathfrak{M}'$ . So assume that  $L$  is the split extension

$$L = A + \langle z \rangle \quad \text{with } A \in \mathfrak{U} \text{ and } z = 1_A.$$

Suppose that  $H$  asc  $L$  and  $H \neq L$ . Then there is an ascending series  $(H_\alpha)_{\alpha \leq \sigma}$  for  $H$  in  $L$ . Let  $\rho$  be the first ordinal such that  $z \in H_\rho$ . Then  $\rho$  is not a limit ordinal. If  $\rho < \sigma$ , then  $H_\rho \triangleleft H_{\rho+1}$ . For any element  $x = a + \beta z \in H_{\rho+1}$  ( $a \in A$ ),  $a = [x, z] \in H_\rho$  and therefore  $x \in H_\rho$ . Hence  $H_\rho = H_{\rho+1}$ . Thus  $H_\rho = H_\sigma = L$  and we may assume that  $\rho = \sigma$ . Since  $\sigma \geq 1$ ,  $H_{\sigma-1} \triangleleft L$ . If  $x = a + \beta z \in H_{\sigma-1}$  ( $a \in A$ ,  $\beta \neq 0$ ), then  $a = [x, z] \in H_{\sigma-1}$  and therefore  $z \in H_{\sigma-1}$ , which contradicts the choice of  $\rho$ . Therefore  $H_{\sigma-1} \leq A$ . It follows that  $H \leq A$  and therefore  $H \triangleleft L$ . Thus  $L \in \mathfrak{M}'$ .

## 6.

In this section, we shall show by examples that some of the classes of Lie algebras observed in the preceding sections are really distinct.

EXAMPLE 1. We illustrate that  $\mathfrak{M}_{\omega+1} \leq \mathfrak{M}_\omega$ . Let  $A$  be an abelian Lie algebra with basis  $e_0, e_1, \dots$ , let  $y$  be the derivation of  $A$  defined by  $e_0 \mapsto 0$  and  $e_i \mapsto e_{i-1}$  ( $i=1, 2, \dots$ ), and let  $z = 1_A$ . Let  $L = A + \langle y, z \rangle$  be a split extension. Then we show that  $L \in \mathfrak{M}_\omega \setminus \mathfrak{M}_{\omega+1}$ .

If  $H \triangleleft^\omega L$ , take an ascending series  $(H_\alpha)_{\alpha \leq \omega}$  for  $H$  in  $L$ . There is an  $n \in \mathbb{N}$

such that  $y, z \in H_n$ . It is then easy to show that  $H_n = H_{n+1}$ . Hence  $H_n = L$  and therefore  $H \triangleleft^n L$ . Thus  $L \in \mathfrak{M}_\omega$ .

Put  $K = \langle y \rangle$ . If we set

$$K_0 = K, \quad K_i = \langle e_0, e_1, \dots, e_{i-1}, y \rangle \quad (i = 1, 2, \dots),$$

$$K_\omega = A + \langle y \rangle \quad \text{and} \quad K_{\omega+1} = L,$$

then  $(K_\alpha)_{\alpha \leq \omega+1}$  is an ascending series for  $K$  in  $L$ . Hence  $K \triangleleft^{\omega+1} L$ . If  $K \triangleleft^n L$ , then  $e_0 = [e_n, {}_n y] \in K$ , which is a contradiction. Therefore  $K$  is not a subideal of  $L$ . Thus  $L \notin \mathfrak{M}_{\omega+1}$ .

EXAMPLE 2. Let  $L = A + \langle z \rangle$  with the notations in Example 1. Then in Theorem 5.2 it has been shown that  $L$  belongs to  $\mathfrak{T}$  and  $\mathfrak{M}'$ . Therefore  $L \in \mathfrak{M}$ . Since the idealizer of  $\langle z \rangle$  in  $L$  is  $\langle z \rangle$  itself,  $\langle z \rangle$  is not a subideal. Hence  $L \notin \mathfrak{D}$ . Thus  $\mathfrak{T} \not\subseteq \mathfrak{D}$ ,  $\mathfrak{M}' \not\subseteq \mathfrak{D}$  and  $\mathfrak{D} \not\subseteq \mathfrak{M}$ .

EXAMPLE 3. Let  $L = \langle e_0, e_1 \rangle + \langle y \rangle$  with the notations in Example 1. Since  $L$  is nilpotent,  $L \in \mathfrak{D}$ . However  $L \notin \mathfrak{T}$ , for  $\langle y \rangle \triangleleft^2 L$  but  $\langle y \rangle$  is not an ideal. Therefore  $\mathfrak{D} \not\subseteq \mathfrak{T}$ . Consequently  $\mathfrak{D} \not\subseteq \mathfrak{M}'$ ,  $\mathfrak{M} \not\subseteq \mathfrak{T}$ ,  $\mathfrak{M}' \not\subseteq \mathfrak{M}$  and  $\mathfrak{M}'_\sigma \not\subseteq \mathfrak{M}_\sigma$ .

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*Department of Mathematics,  
Faculty of Science,  
Hiroshima University  
and*

*Department of Mathematics,  
Faculty of Engineering,  
Tokushima University*