

## Essential self-adjointness of Schrödinger operators with potentials singular along affine subspaces

Mikio MAEDA

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### 1. Introduction

The aim of this paper is to study the essential self-adjointness of a Schrödinger operator  $-\Delta + q(x)$  acting in  $L^2(\mathbf{R}^m)$ ,  $m \geq 1$ , with the domain  $C_0^\infty(\mathbf{R}^m \setminus F)$ , where  $F$  is the union of at most countable number of  $k_\alpha$ -dimensional ( $0 \leq k_\alpha \leq m-1$ ) affine subspaces  $S_\alpha$  ( $\alpha \in A$ ) in  $\mathbf{R}^m$  which satisfy

$$r = \inf \{ \text{dist}(S_\alpha, S_\beta); \alpha, \beta \in A, \alpha \neq \beta \} > 0.$$

Here  $\text{dist}(S_\alpha, S_\beta)$  denotes the distance from  $S_\alpha$  to  $S_\beta$ .

This study is motivated by a theorem proved by B. Simon [6], which is a generalization of the results of H. Kalf and J. Walter [1] and U. W. Schmincke [5]. In this theorem of Simon, which corresponds to the case of  $F = \{0\}$ , it is assumed that the potential  $q = q_1 + q_2$  is a real-valued function with  $q_1 \in L_{\text{loc}}^2(\mathbf{R}^m \setminus \{0\})$  and  $q_2 \in L^\infty(\mathbf{R}^m)$  such that

$$q_1(x) \geq -(1/4)m(m-4)|x|^{-2} \quad (x \in \mathbf{R}^m \setminus \{0\}).$$

We extend this result to the case of the general  $F$  as stated above. The following is our theorem.

**THEOREM.** *Set  $\Omega = \mathbf{R}^m \setminus F$  and let  $a_j \in C^1(\Omega)$  ( $1 \leq j \leq m$ ),  $q_1 \in L_{\text{loc}}^2(\Omega)$  and  $q_2 \in L^\infty(\mathbf{R}^m)$  be real-valued functions. Assume that for some  $\varepsilon$  ( $0 < \varepsilon < r/2$ ),  $q_1$  satisfies the following conditions:*

(C.1) *For each  $\alpha \in A$*

$$q_1(x) \geq -(1/4)(m - k_\alpha)(m - k_\alpha - 4) [\text{dist}(x, S_\alpha)]^{-2}$$

*whenever  $0 < \text{dist}(x, S_\alpha) < \varepsilon$ .*

(C.2)  *$q_1$  is bounded from below on*

$$\bigcap_{\alpha \in A} \{x \in \mathbf{R}^m; \varepsilon \leq \text{dist}(x, S_\alpha)\}.$$

*Let  $q = q_1 + q_2$ . Then the symmetric operator  $T$  acting in  $L^2(\mathbf{R}^m)$  defined by*

$$T = -\sum_{j=1}^m (\partial/\partial x_j - ia_j(x))^2 + q(x), \quad D(T) = C_0^\infty(\Omega),$$

is essentially self-adjoint.

For the proof of this theorem, we apply the method given in Simon [6] and Kalf-Walter [2].

## 2. Basic lemmas

Let us first recall Kato's inequality. Set  $L = \sum_{j=1}^m (\partial/\partial x_j - ia_j(x))^2$ . If  $u \in L^1_{loc}(\Omega)$  and  $Lu \in L^1_{loc}(\Omega)$ , then we have the following distributional inequality (see [3], [4], [7], [8]):

$$\Delta|u| \geq \operatorname{Re}[(\operatorname{sgn} \bar{u})Lu].$$

By the aid of this inequality, we obtain the following lemma as in [6] and [2].

**LEMMA 1.** *Let  $\Omega$  and  $T$  be as in the theorem, and suppose that there exist functions  $Q$ ,  $\Phi$  and  $\Phi_n$  ( $n=1, 2, \dots$ ) which satisfy the following conditions:*

(P.1)  $Q \in C^0(\Omega)$ ,  $\Phi \in C^2(\Omega) \cap L^2(\Omega)$ ,  $(-\Delta + Q)\Phi \in L^2(\Omega)$  and  $\Phi_n \in C^2_0(\Omega)$  ( $n=1, 2, \dots$ ).

(P.2)  $\Phi_n \rightarrow \Phi$  weakly in  $L^2(\Omega)$  and  $(-\Delta + Q)\Phi_n \rightarrow (-\Delta + Q)\Phi$  weakly in  $L^2(\Omega)$  as  $n \rightarrow \infty$ .

(P.3)  $q_1 \geq Q$  on  $\Omega$ ,  $\Phi_n \geq 0$  on  $\Omega$  ( $n=1, 2, \dots$ ) and  $(-\Delta + Q + \delta)\Phi > 0$  on  $\Omega$  for some  $\delta \in \mathbf{R}$ .

Then the assertion of the theorem holds.

Before stating Lemma 2 we introduce some functions.

Let  $\alpha(t)$  be a non-increasing function in  $C^\infty(\mathbf{R})$  such that

$$(2.1) \quad \begin{aligned} \alpha(t) &= 1 \quad \text{for } t \leq 0, & \alpha(t) &= 0 \quad \text{for } t \geq 1, \\ 0 < \alpha(t) < 1 & \quad \text{for } 0 < t < 1, \end{aligned}$$

$$\sup_{0 < t < 1} |\alpha'(t)| < 3 \quad \text{and} \quad \sup_{0 < t < 1} |\alpha''(t)| < 5.$$

Let  $f$  and  $f_n$  ( $n=1, 2, \dots$ ) be functions which satisfy the following conditions (1)~(4):

(1)  $f \in \mathcal{S}(\mathbf{R}^m)$  and  $f_n \in C^\infty_0(\mathbf{R}^m)$  ( $n=1, 2, \dots$ ), where  $\mathcal{S}(\mathbf{R}^m)$  is the Schwartz space of  $C^\infty$ -functions of rapid decrease.

(2)  $f(x) > 0$  and  $0 \leq f_n(x) \leq f_{n+1}(x) \leq f(x)$  for any  $x \in \mathbf{R}^m$  and  $n=1, 2, \dots$ .

(3) If we set  $D_n = \{x \in \mathbf{R}^m; f_n(x) = f(x)\}$  ( $n=1, 2, \dots$ ), then  $D_n \subseteq \operatorname{Int} D_{n+1}$  ( $n=1, 2, \dots$ ) and  $\bigcup_{n=1}^\infty D_n = \mathbf{R}^m$ , where  $\operatorname{Int} D_{n+1}$  is the interior of  $D_{n+1}$ .

(4) For any  $r > 0$ ,  $x, y, \sigma, \tau \in \mathbf{R}^m$  with  $|x - y| < r$  and  $|\sigma| = |\tau| = 1$ , the following estimates hold:

$$(2.2) \quad |D_\sigma f(x)| \leq f(x) \leq e^r f(y), \quad |D_\sigma D_\tau f(x)| \leq 3f(x),$$

$$|D_\sigma f_n(x)| \leq 4f(x) \quad \text{and} \quad |D_\sigma D_\tau f_n(x)| \leq 20f(x) \quad (n = 1, 2, \dots),$$

where  $D_\sigma$  denotes the directional derivative in the direction  $\sigma$ .

An example of a set of  $f$  and  $f_n$  is given by (cf. [2])

$$f(x) = \exp(- (1 + |x|^2)^{1/2}), \quad f_n(x) = \alpha(|x|/n - 1) \cdot \exp(- (1 + |x|^2)^{1/2}).$$

Let  $f$  and  $f_n$  satisfy (1)~(4),  $P$  be an orthogonal transformation acting in  $\mathbf{R}^m$ , and  $a \in \mathbf{R}^m$ . If we define  $\tilde{f}$  and  $\tilde{f}_n$  ( $n=1, 2, \dots$ ) by  $\tilde{f}(x) = f(Px + a)$  and  $\tilde{f}_n(x) = f_n(Px + a)$ , then  $\tilde{f}$  and  $\tilde{f}_n$  also satisfy (1)~(4). We use this fact in the proof of Lemma 2.

LEMMA 2. *Let  $v$  be an arbitrary positive constant,  $S$  be a  $k$ -dimensional affine subspace in  $\mathbf{R}^m$  ( $0 \leq k \leq m-1$ ), and  $f, f_n$  ( $n=1, 2, \dots$ ) be functions which satisfy (1)~(4) stated above. Set  $V = \{x \in \mathbf{R}^m; 0 < \text{dist}(x, S) < v\}$ .*

*Then there exist functions  $\psi$  and  $\psi_n$  ( $n=1, 2, \dots$ ) which satisfy the following conditions (i)~(v):*

- (i)  $\psi \in C^\infty(\mathbf{R}^m \setminus S)$  and  $\psi_n \in C_0^\infty(\mathbf{R}^m \setminus S)$  ( $n=1, 2, \dots$ ).
- (ii)  $\psi(x) > 0$  and  $0 \leq \psi_n(x) \leq \psi_{n+1}(x) \leq \psi(x)$  for all  $x \in \mathbf{R}^m$  and  $n=1, 2, \dots$ .
- (iii) If we set  $E_n = \{x \in V; \psi_n(x) = \psi(x)\}$  ( $n=1, 2, \dots$ ), then  $E_n \subseteq \text{Int } E_{n+1}$  ( $n=1, 2, \dots$ ) and  $\cup_{n=1}^\infty E_n = V$ .
- (iv)  $\psi(x) = f(x)$  and  $\psi_n(x) = f_n(x)$  ( $n=1, 2, \dots$ ) for  $x \in \mathbf{R}^m \setminus S \setminus V$ .
- (v) There is a constant  $c > 0$  depending only on  $v$  and  $m$  such that the following estimates (v-a), (v-b) and (v-c) hold:

$$(v-a) \quad \int_V |\psi|^2 dx \leq c \int_V |f|^2 dx.$$

$$(v-b) \quad |(-\Delta - (1/4)(m-k)(m-k-4) [\text{dist}(x, S)]^{-2})\psi(x)| < c\psi(x)$$

for any  $x \in V$ .

$$(v-c) \quad \int_V |(-\Delta - (1/4)(m-k)(m-k-4) [\text{dist}(x, S)]^{-2})\psi_n|^2 dx$$

$$\leq c \int_V |f|^2 dx \quad \text{for any } n = 1, 2, \dots$$

PROOF. We prove this lemma only for  $k \neq 0$ ; our proof is valid for  $k=0$  under some modification.

By a coordinate transformation remarked just before Lemma 2, we may assume that  $S = \mathbf{R}^k \times \{0\}$  from the beginning. Then  $\text{dist}(x, S) = |x_2|$  for any  $x = (x_1, x_2) \in \mathbf{R}^m = \mathbf{R}^k \times \mathbf{R}^{m-k}$ .

Set  $\beta(x_2) = \alpha(2 - (2/v)|x_2|)$ ,  $x_2 \in \mathbf{R}^{m-k}$  and define  $\psi$  and  $\psi_n$  ( $n=1, 2, \dots$ ) by

$$\begin{aligned}\psi(x) &= f(x)\beta(x_2) + |x_2|^{(4-m+k)/2}f(x_1, 0)(1 - \beta(x_2)), \\ \psi_n(x) &= f_n(x)\beta(x_2) + |x_2|^{(4-m+k)/2}f_n(x_1, 0)\beta(nx_2)(1 - \beta(x_2))\end{aligned}$$

for  $x = (x_1, x_2) \in \mathbf{R}^m = \mathbf{R}^k \times \mathbf{R}^{m-k}$  and  $n = 1, 2, \dots$ .

Let us verify that  $\psi$  and  $\psi_n$  defined as above satisfy the conditions (i)~(v). Since by definition (i), (ii), (iii) and (iv) hold evidently, we have only to prove (v). In what follows we use  $c_j$  ( $j = 1, 2, 3, 4$ ) to denote constants depending only on  $v$  and  $m$ .

First we remark that for any integer  $s > -m + k$

$$(2.3) \quad \int_V |x_2|^s |f(x_1, 0)|^2 dx = (m-k)(m-k+s)^{-1} v^s \int_V |f(x_1, 0)|^2 dx \\ \leq m v^s e^{2v} \int_V |f|^2 dx.$$

By this inequality we have

$$\begin{aligned}\int_V |\psi|^2 dx &\leq 2 \int_V |f|^2 dx + 2 \int_V |x_2|^{4-m+k} |f(x_1, 0)|^2 dx \\ &\leq 2(1 + m v^{4-m+k} e^{2v}) \int_V |f|^2 dx,\end{aligned}$$

which implies (v-a).

We proceed to prove (v-b). Let us set

$$\begin{aligned}I(x) &= (-\Delta - (1/4)(m-k)(m-k-4)|x_2|^{-2})\psi(x), \\ \Delta_1 &= \sum_{i=1}^k \partial^2 / \partial x_i^2 \quad \text{and} \quad \Delta_2 = \Delta - \Delta_1.\end{aligned}$$

We first note that

$$(2.4) \quad (\Delta_2 + (1/4)(m-k)(m-k-4)|x_2|^{-2})|x_2|^{(4-m+k)/2} = 0.$$

If  $0 < |x_2| \leq v/2$ , then  $\psi(x) = |x_2|^{(4-m+k)/2}f(x_1, 0)$ , so that

$$\begin{aligned}|I(x)| &\leq |x_2|^{(4-m+k)/2} |(\Delta_1 f)(x_1, 0)| \\ &\quad + |(\Delta_2 + (1/4)(m-k)(m-k-4)|x_2|^{-2})|x_2|^{(4-m+k)/2} \cdot f(x_1, 0) \\ &= |x_2|^{(4-m+k)/2} |(\Delta_1 f)(x_1, 0)|\end{aligned}$$

by (2.4). Since

$$|(\Delta_1 f)(x_1, 0)| \leq 3kf(x_1, 0) < 3mf(x_1, 0),$$

in view of condition (2.2), it follows that  $|I(x)| < 3m\psi(x)$  for  $0 < |x_2| \leq v/2$ . We next consider the case  $v/2 < |x_2| < v$ . Noting that

$$(2.5) \quad |(\partial\beta/\partial x_i)(x_2)| < 6/v \quad \text{and} \quad |(\partial^2\beta/\partial x_i^2)(x_2)| < 44/v^2$$

for  $k + 1 \leq i \leq m$  and using (2.2) we can see that there is a constant  $c_1$  such that  $|I(x)| < c_1 f(x)$ . Combining this with the fact that

$$f(x) = f(x)\beta(x_2) + f(x)(1 - \beta(x_2)) \leq (1 + e^v \sup_{v/2 < t < v} t^{(m-k-4)/2})\psi(x),$$

we obtain  $|I(x)| < c_2 \psi(x)$  for  $v/2 < |x_2| < v$ . Thus (v-b) is satisfied.

Finally we show (v-c). For simplicity we prove (v-c) only for  $n = 3, 4, \dots$ . Let us set  $\gamma_n(x_2) = \beta(nx_2)(1 - \beta(x_2))$  for  $x_2 \in \mathbf{R}^{m-k}$ . Then by (2.5) we have

$$(2.6) \quad |(\partial\gamma_n/\partial x_i)(x_2)| \leq \begin{cases} (6/v)n & \text{if } v/(2n) < |x_2| < v/n \\ 6/v & \text{if } v/2 < |x_2| < v \\ 0 & \text{elsewhere,} \end{cases}$$

$$(2.7) \quad |(\partial^2\gamma_n/\partial x_i^2)(x_2)| \leq \begin{cases} (44/v^2)n^2 & \text{if } v/(2n) < |x_2| < v/n \\ 44/v^2 & \text{if } v/2 < |x_2| < v \\ 0 & \text{elsewhere} \end{cases}$$

for  $i = k + 1, \dots, m$ . Thus we have

$$\begin{aligned} & \left\{ \int_v |(-\Delta - (1/4)(m-k)(m-k-4)|x_2|^{-2})\psi_n|^2 dx \right\}^{1/2} \\ & \leq \left\{ \int_v |\Delta(f_n(x)\beta(x_2))|^2 dx \right\}^{1/2} \\ & \quad + (1/4)(m-k)|m-k-4| \left\{ \int_v |x_2|^{-4}(f_n(x)\beta(x_2))^2 dx \right\}^{1/2} \\ & \quad + \left\{ \int_v |x_2|^{4-m+k}(\gamma_n(x_2))^2((\Delta_1 f_n)(x_1, 0))^2 dx \right\}^{1/2} \\ & \quad + \left\{ \int_v |(A_2 + (1/4)(m-k)(m-k-4)|x_2|^{-2})(|x_2|^{(4-m+k)/2}\gamma_n(x_2))^2 \right. \\ & \quad \quad \left. \times (f_n(x_1, 0))^2 dx \right\}^{1/2} \\ & = I_1 + I_2 + I_3 + I_4, \end{aligned}$$

where  $I_j$  ( $j = 1, 2, 3, 4$ ) denotes the  $j$ -th term respectively.

By virtue of (2.2), (2.3) and (2.5), we can easily check that there is a constant  $c_3$  such that

$$(2.8) \quad I_1 + I_2 + I_3 \leq c_3 \left\{ \int_v |f|^2 dx \right\}^{1/2}.$$

Now we estimate  $I_4$ . By virtue of (2.4), (2.6) and (2.7),

$$I_4 = \left\{ \int_v |2 \sum_{i=k+1}^m \partial/\partial x_i(|x_2|^{(4-m+k)/2}) \cdot (\partial\gamma_n/\partial x_i)(x_2)|^2 dx \right\}^{1/2}$$

$$\begin{aligned}
 & + |x_2|^{(4-m+k)/2} (A_2 \gamma_n)(x_2)^2 (f_n(x_1, 0))^2 dx \}^{1/2} \\
 \leq & (m-k) |4-m+k| \left\{ \int_{V_n} |x_2|^{2-m+k} (6n)^2 v^{-2} (f(x_1, 0))^2 dx \right. \\
 & + \int_{V_1} |x_2|^{2-m+k} (6/v)^2 (f(x_1, 0))^2 dx \}^{1/2} \\
 & + (m-k) \left\{ \int_{V_n} |x_2|^{4-m+k} (44n^2)^2 v^{-4} (f(x_1, 0))^2 dx \right. \\
 & \left. + \int_{V_1} |x_2|^{4-m+k} (44/v^2)^2 (f(x_1, 0))^2 dx \right\}^{1/2},
 \end{aligned}$$

where we set  $V_n = \{x = (x_1, x_2) \in \mathbf{R}^m = \mathbf{R}^k \times \mathbf{R}^{m-k}; v/(2n) < |x_2| < v/n\}$  ( $n = 1, 2, \dots$ ).  
 Since

$$\begin{aligned}
 n^2 \int_{V_n} |x_2|^{2-m+k} (f(x_1, 0))^2 dx & = \int_{V_1} |x_2|^{2-m+k} (f(x_1, 0))^2 dx, \\
 n^4 \int_{V_n} |x_2|^{4-m+k} (f(x_1, 0))^2 dx & = \int_{V_1} |x_2|^{4-m+k} (f(x_1, 0))^2 dx
 \end{aligned}$$

for any  $n = 1, 2, \dots$ , it follows from (2.3) that

$$\begin{aligned}
 I_4 & \leq (6/v)m^2 \left\{ 2 \int_{V_1} |x_2|^{2-m+k} (f(x_1, 0))^2 dx \right\}^{1/2} \\
 & \quad + (44/v^2)m \left\{ 2 \int_{V_1} |x_2|^{4-m+k} (f(x_1, 0))^2 dx \right\}^{1/2} \\
 & \leq c_4 \left\{ \int_V |f|^2 dx \right\}^{1/2}.
 \end{aligned}$$

Combining this with (2.8), we obtain

$$I_1 + I_2 + I_3 + I_4 \leq (c_3 + c_4) \left\{ \int_V |f|^2 dx \right\}^{1/2},$$

which completes the proof of (v-c).

q. e. d.

### 3. Proof of the theorem

Now we fix a set of  $f$  and  $f_n$  ( $n = 1, 2, \dots$ ) satisfying (1)~(4). For each  $\alpha \in A$  we apply Lemma 2 with  $S = S_\alpha$  and  $v = \varepsilon/2$ , and put

$$\psi^\alpha = \psi, \quad \psi_n^\alpha = \psi_n \quad \text{and} \quad E_n^\alpha = E_n, \quad n = 1, 2, \dots$$

Let  $Q$  be a real-valued function in  $C^0(\Omega)$  which satisfies the following conditions (a), (b) and (c):

- (a)  $q_1(x) \geq Q(x)$  for any  $x \in \Omega$ .

(b) For each  $\alpha \in A$

$$Q(x) = -(1/4)(m - k_\alpha)(m - k_\alpha - 4)[\text{dist}(x, S_\alpha)]^{-2},$$

whenever  $0 < \text{dist}(x, S_\alpha) < \varepsilon/2$ .

(c)  $Q$  is bounded on  $\bigcap_{\alpha \in A} \{x \in \mathbf{R}^m; \varepsilon/2 \leq \text{dist}(x, S_\alpha)\}$ .

Define  $\Phi$  and  $\Phi_n$  ( $n=1, 2, \dots$ ) by

$$\Phi(x) = \begin{cases} \psi^\alpha(x) & \text{if } 0 < \text{dist}(x, S_\alpha) < \varepsilon/2 \text{ for some } \alpha \\ f(x) & \text{elsewhere,} \end{cases}$$

$$\Phi_n(x) = \begin{cases} \psi_n^\alpha(x) & \text{if } 0 < \text{dist}(x, S_\alpha) < \varepsilon/2 \text{ for some } \alpha \\ f_n(x) & \text{elsewhere.} \end{cases}$$

We now prove that the conditions (P.1), (P.2) and (P.3) in Lemma 1 are satisfied with these  $Q$ ,  $\Phi$  and  $\Phi_n$ . Let us set  $V(\alpha) = \{x \in \mathbf{R}^m; 0 < \text{dist}(x, S_\alpha) < \varepsilon/2\}$  for each  $\alpha \in A$ ,  $W = \bigcup_{\alpha \in A} V(\alpha)$  and  $E = \Omega \setminus W$ .

To verify (P.1) we have only to examine that  $\Phi \in L^2(\Omega)$  and  $(-\Delta + Q)\Phi \in L^2(\Omega)$  since the other conditions in (P.1) are obvious. Using (v-a) and (v-b) in Lemma 2, we have

$$\int_{\Omega} |\Phi|^2 dx = \int_E |f|^2 dx + \sum_{\alpha \in A} \int_{V(\alpha)} |\psi^\alpha|^2 dx \leq \int_E |f|^2 dx + c \int_W |f|^2 dx < +\infty$$

and

$$\begin{aligned} \int_{\Omega} |(-\Delta + Q)\Phi|^2 dx &= \int_E |(-\Delta + Q)f|^2 dx \\ &+ \sum_{\alpha \in A} \int_{V(\alpha)} |(-\Delta - (1/4)(m - k_\alpha)(m - k_\alpha - 4)[\text{dist}(x, S_\alpha)]^{-2})\psi^\alpha|^2 dx \\ &\leq \int_E |(-\Delta + Q)f|^2 dx + c^2 \sum_{\alpha \in A} \int_{V(\alpha)} |\psi^\alpha|^2 dx < +\infty. \end{aligned}$$

We proceed to verify (P.2). Since  $0 \leq \Phi_n \leq \Phi$  on  $\Omega$  ( $n=1, 2, \dots$ ) and  $\lim_{n \rightarrow \infty} \Phi_n(x) = \Phi(x)$  ( $x \in \Omega$ ), it follows from Lebesgue's convergence theorem that  $\Phi_n \rightarrow \Phi$  strongly in  $L^2(\Omega)$ . Let  $u$  be an arbitrary element of  $L^2(\Omega)$ . Then we have

$$(3.1) \quad \left| \int_{\Omega} \bar{u} \cdot (-\Delta + Q)(\Phi_n - \Phi) dx \right| \leq \left\{ \int_{\Pi(n)} |u|^2 dx \right\}^{1/2} \left\{ \int_{\Omega} |(-\Delta + Q)(\Phi_n - \Phi)|^2 dx \right\}$$

where we set  $\Pi(n) = \{x \in \Omega; (-\Delta + Q(x))(\Phi_n(x)) \neq (-\Delta + Q(x))(\Phi(x))\}$  ( $n=1, 2, \dots$ ). Since, from the condition (3) imposed on  $f$  and  $f_n$  and (iii) in Lemma 2,  $\Pi(n+1) \subseteq (\mathbf{R}^m \setminus D_n) \cup \{\bigcup_{\alpha \in A} (V(\alpha) \setminus E_n)\}$  for any  $n=1, 2, \dots$ , we obtain

$$(3.2) \quad \lim_{n \rightarrow \infty} \int_{\Omega(n)} |u|^2 dx = 0.$$

On the other hand, by (v-c) and (2.2), we see that

$$\int_{\Omega} |(-\Delta + Q)(\Phi_n - \Phi)|^2 dx \leq c' \int_{\Omega} |f|^2 dx$$

for some constant  $c'$  which is independent of  $n$ . Applying this fact and (3.2) to (3.1), we conclude that

$$\lim_{n \rightarrow \infty} \int_{\Omega} \bar{u} \cdot (-\Delta + Q)(\Phi_n - \Phi) dx = 0.$$

Finally let us verify (P.3). We define  $\delta$  by

$$\delta = 20m + c + \sup \{|Q(x)|; x \in \mathcal{E}\},$$

where  $c$  is the constant given in Lemma 2 for  $v = \varepsilon/2$ . If  $x \in \mathcal{E}$ , then

$$\begin{aligned} (-\Delta + Q(x) + \delta)\Phi(x) &= -(\Delta f)(x) + Q(x)f(x) + \delta f(x) \\ &\geq -20mf(x) - \sup \{|Q(x)|; x \in \mathcal{E}\} \cdot f(x) + \delta f(x) > 0. \end{aligned}$$

If  $x \in V(\alpha)$  for some  $\alpha \in A$ , then by (v-b) in Lemma 2

$$\begin{aligned} &(-\Delta + Q(x) + \delta)\Phi(x) \\ &= (-\Delta - (1/4)(m - k_{\alpha})(m - k_{\alpha} - 4)[\text{dist}(x, S_{\alpha})]^{-2} + \delta)\psi^{\alpha}(x) \\ &\geq (-c\psi^{\alpha}(x) + \delta\psi^{\alpha}(x)) > 0. \end{aligned}$$

This completes the proof of (P.3).

q. e. d.

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*Department of Mathematics,  
Faculty of Science,  
Hiroshima University*

