# On generalized $\ell_p$ -spaces

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**ABSTRACT.** In [8], we characterized absolute normalized norms on  $\mathbb{C}^n$  by using a continuous convex function with some appropriate conditions on a certain convex subset of  $\mathbb{R}^n$ . In this paper, we introduce the notion of generalized  $\ell_p$ -spaces, that is,  $\ell_{\psi}$ -spaces, and study their structures.

#### 1. Introduction and preliminaries

The study of the geometrical structure of Banach spaces is the main line of the theory of functional analysis. In this paper, we present the systematic examples of certain Banach spaces and study the geometrical structure.

Let  $\ell_0$  denote the set of all infinite sequences of complex numbers with only finitely many non-zero elements. A norm  $\|\cdot\|$  on  $\ell_0$  is called absolute if

$$\|\{x_n\}_{n=1}^{\infty}\| = \|\{|x_n|\}_{n=1}^{\infty}\|$$

for all  $\{x_n\}_{n=1}^{\infty} \in \ell_0$ , and normalized if  $||e_n|| = 1$  for all  $n = 1, 2, \ldots$ , where  $e_n = (0, 0, \ldots, 0, 1, 0, \ldots)$ . As in [8], to every absolute normalized norm  $||\cdot||$  on  $\ell_0$ , there corresponds a unique continuous convex function  $\psi$  satisfying some appropriate conditions on the convex subset

$$\Delta_{\infty} = \left\{ s = \{ s_n \}_{n=1}^{\infty} \in \ell_0 : \sum_{n=1}^{\infty} s_n = 1, s_n \ge 0 \ (\forall n) \right\}$$

under the equation  $\psi(s) = ||s||$ . Using this, we introduce the following spaces. For a corresponding convex function  $\psi$ , we define the space  $\ell_{\psi}$  by

$$\ell_{\psi} = \Big\{ \{x_n\}_{n=1}^{\infty} \in \ell_{\infty} : \lim_{n \to \infty} \|(x_1, \dots, x_n, 0, 0, \dots)\|_{\psi} < \infty \Big\},$$

where  $\ell_{\infty}$  is the Banach space of all bounded infinite sequences of complex numbers. Then  $\ell_{\psi}$  is a Banach space with the norm

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$$\|\{x_n\}_{n=1}^{\infty}\|_{\psi} = \lim_{n \to \infty} \|(x_1, \dots, x_n, 0, 0, \dots)\|_{\psi}.$$

Further, we define  $c_{\psi}$  by the closure of  $\ell_0$  in  $(\ell_{\psi}, \|\cdot\|_{\psi})$ . This is a generalization of the  $\ell_p$ -spaces. Also, this space is concerned with the infinite sequence spaces which are given by symmetric norming functions as in [3] (cf. [10]). Our norm is not necessarily symmetric. However, we believe that our theory gives many interesting examples of Banach spaces to study their geometrical structure.

In this paper, we study the norm structure of  $\ell_{\psi}$ -spaces and characterize the separability and the geometrical structure using the corresponding convex function. In section 2, we consider the norm structure of  $\ell_{\psi}$ -spaces using the convex functions in  $\Delta_{\infty}$ . In section 3, we introduce the notion of the absolute ideal  $\mathscr{U}$  of  $\ell_{\infty}$ , and study the separability of  $\mathscr{U}$  and  $\ell_{\psi}$ -spaces. Namely, we show that if  $\mathscr{U}$  is an absolute ideal of  $\ell_{\infty}$ , then  $c_{\psi} = \mathscr{U}$  if and only if  $\mathscr{U}$  is separable. In particular, if  $c_{\psi} \neq \ell_{\psi}$ , then  $\ell_{\psi}$  is not separable. In section 4, we consider the strict convexity and uniform convexity of  $\ell_{\psi}$ . As in [8],  $(\ell_{0}, \|\cdot\|_{\psi})$  is strictly convex if and only if  $\psi$  is strictly convex on  $\Delta_{\infty}$ . However we present an example of strictly convex functions  $\psi$  such that  $\ell_{\psi}$  is not strictly convex. On the other hand, we show that  $\ell_{\psi}$  is uniformly convex if and only if  $(\ell_{0}, \|\cdot\|_{\psi})$  is uniformly convex. In section 5, we consider the absolute normalized norms on  $\ell_{0}$  which are equivalent to  $\ell_{1}$ - and  $\ell_{\infty}$ -norms, respectively.

#### 2. $\ell_{\psi}$ -spaces

In this section, we introduce the notion of the  $\ell_{\psi}$ -spaces. Let  $AN_{\infty}$  be the family of all absolute normalized norms on  $\ell_0$ . The  $\ell_p$ -norm  $\|\cdot\|_p$  is a basic example:

$$\|\{x_n\}_{n=1}^{\infty}\|_p = \begin{cases} \left(\sum_{n=1}^{\infty} |x_n|^p\right)^{1/p} & \text{if } 1 \le p < \infty, \\ \max_{1 \le n < \infty} |x_n| & \text{if } p = \infty. \end{cases}$$

We summarize some basic properties about the absolute norms on  $\ell_0$ . As in the proof of [8, Lemmas 2.1 and 2.2], we have

Lemma 2.1. (i) Let  $\|\cdot\| \in AN_{\infty}$ . If  $x = \{x_n\}_{n=1}^{\infty}$ ,  $y = \{y_n\}_{n=1}^{\infty} \in \ell_0$ , then  $\|x\| \le \|y\|$ , whenever  $|x_n| \le |y_n|$  for all n.

(ii) For every  $\|\cdot\| \in AN_{\infty}$ , we have

$$\|\cdot\|_{\infty} \leq \|\cdot\| \leq \|\cdot\|_1$$
.

As in Saito, Kato and Takahashi [8], we can characterize an absolute normalized norm on  $\ell_0$  by using a continuous convex function on  $\Delta_{\infty}$  (see also [2]).

Theorem 2.2. (i) For every  $\|\cdot\| \in AN_{\infty}$ , we define

$$\psi(s) = ||s|| \qquad (s \in \Delta_{\infty}). \tag{1}$$

Then  $\psi$  is a continuous convex function on  $\Delta_{\infty}$  satisfying the following conditions:

$$\psi(e_n) = 1 \tag{A_0}$$

$$\psi(s) \ge (1 - s_n)\psi\left(\frac{s_1}{1 - s_n}, \dots, \frac{s_{n-1}}{1 - s_n}, 0, \frac{s_{n+1}}{1 - s_n}, \dots\right) \tag{A_n}$$

for all n = 1, 2, ... and every  $s = \{s_n\}_{n=1}^{\infty} \in \Delta_{\infty}$  with  $s_n \neq 1$ .

(ii) Let  $\Psi_{\infty}$  denote the family of all continuous convex functions  $\psi$  on  $\Delta_{\infty}$  satisfying  $(A_n)$  for all  $n = 0, 1, 2, \ldots$  For any  $\psi \in \Psi_{\infty}$ , we define

$$\|\{x_n\}_{n=1}^{\infty}\|_{\psi} = \begin{cases} (\sum_{i=1}^{\infty} |x_i|)\psi\left(\frac{|x_1|}{\sum_{i=1}^{\infty} |x_i|}, \dots, \frac{|x_n|}{\sum_{i=1}^{\infty} |x_i|}, \dots\right), & \text{if } \{x_n\}_{n=1}^{\infty} \neq 0, \\ 0, & \text{if } \{x_n\}_{n=1}^{\infty} = 0. \end{cases}$$

Then  $\|\cdot\|_{\psi} \in AN_{\infty}$  and satisfies (1). Therefore  $AN_{\infty}$  and  $\Psi_{\infty}$  are in a one-to-one correspondence under the equation (1).

PROOF. (i) For every  $\|\cdot\| \in AN_{\infty}$ , we define  $\psi(s) = \|s\|$  ( $s = \{s_n\} \in \Delta_{\infty}$ ). Clearly,  $\psi$  is a continuous convex function on  $\Delta_{\infty}$ . By Lemma 2.1, we have for every n

$$\psi(s) = \|(s_1, s_2, \dots, s_n, \dots)\|$$

$$\geq \|(s_1, s_2, \dots, s_{n-1}, \overset{(n)}{0}, s_{n+1}, \dots)\|$$

$$= (1 - s_n) \left\| \left( \frac{s_1}{1 - s_n}, \frac{s_2}{1 - s_n}, \dots, \frac{s_{n-1}}{1 - s_n}, \overset{(n)}{0}, \frac{s_{n+1}}{1 - s_n}, \dots \right) \right\|$$

$$= (1 - s_n) \psi \left( \frac{s_1}{1 - s_n}, \dots, \frac{s_{n-1}}{1 - s_n}, \overset{(n)}{0}, \frac{s_{n+1}}{1 - s_n}, \dots \right).$$

Thus, we have  $\psi \in \Psi_{\infty}$ .

(ii) As in the proof of [8, Theorem 3.4], all properties of an absolute norm are clear except the triangular inequality. Let  $x, y \in \ell_0$ . Then there exists some  $m \in \mathbb{N}$  such that  $x = (x_1, \dots, x_m, 0, 0, \dots)$  and  $y = (y_1, \dots, y_m, 0, 0, \dots)$ . We can consider that x and y are elements in  $\mathbb{C}^m$ . By [8, Theorem 3.4], we have  $||x + y||_{\psi} \le ||x||_{\psi} + ||y||_{\psi}$ . This completes the proof.

Let  $\psi_p$  be the corresponding convex function in  $\Psi_\infty$  for  $\|\cdot\|_p$ . Using this characterization, we introduce the following spaces. Let  $\{x_n\}_{n=1}^\infty$  be an infinite sequence of complex numbers. By Lemma 2.1,  $\{\|(x_1,\ldots,x_n,0,0,\ldots)\|_\psi\}_{n=1}^\infty$  is an increasing sequence. Thus we have

Definition 2.3. For  $\psi \in \Psi_{\infty}$ , we define the space  $\ell_{\psi}$  by

$$\ell_{\psi} = \left\{ \{x_n\}_{n=1}^{\infty} \in \ell_{\infty} : \lim_{n \to \infty} \|(x_1, \dots, x_n, 0, 0, \dots)\|_{\psi} < \infty \right\}.$$

Further, we define  $c_{\psi}$  by the closure of  $\ell_0$  in  $(\ell_{\psi}, \|\cdot\|_{\psi})$ .

Proposition 2.4.  $\ell_{\psi}$  and  $c_{\psi}$  are Banach spaces with the norm

$$\|\{x_n\}_{n=1}^{\infty}\|_{\psi} = \lim_{n\to\infty} \|(x_1,\ldots,x_n,0,0,\ldots)\|_{\psi}.$$

PROOF. Since  $c_{\psi}$  is closed in  $\ell_{\psi}$ , we only show that  $\ell_{\psi}$  is a Banach space. Let  $\{y_k\}_{k=1}^{\infty}$  be any Cauchy sequence of  $\ell_{\psi}$ . We put  $y_k = \{x_n^k\}_{n=1}^{\infty}$  for every k. By Lemma 2.1,  $\{x_n^k\}_{k=1}^{\infty}$  is a Cauchy sequence of  ${\bf C}$  for each n. Thus there exists  $x_n \in {\bf C}$  such that  $x_n^k \to x_n$  for every n. By Lemma 2.1,

$$\|(x_1^k - x_1, \dots, x_n^k - x_n, 0, 0, \dots)\|_{\psi} = \lim_{m \to \infty} \|(x_1^k - x_1^m, \dots, x_n^k - x_n^m, 0, 0, \dots)\|_{\psi}$$

$$\leq \lim_{m \to \infty} \|y_k - y_m\|_{\psi}.$$

As  $n \to \infty$ , we have  $y = \{x_n\}_{n=1}^{\infty} \in \ell_{\psi}$  and  $||y_k - y||_{\psi} \to 0$ . This completes the proof.

If  $1 \le p < \infty$ , then  $\ell_{\psi_p} = c_{\psi_p} = \ell_p$ , and if  $p = \infty$ , then  $\ell_{\psi_\infty} = \ell_\infty$  and  $c_{\psi_\infty} = c_0$ . Also, this space is concerned with the sequence spaces which are given by symmetric norming functions in [3] (cf. [10]). We next consider some norm properties of the  $\ell_{\psi}$ -spaces.

PROPOSITION 2.5. Let  $\psi \in \Psi_{\infty}$ . Then we have for every  $x = \{x_n\}_{n=1}^{\infty} \in c_{\psi}$ ,  $\lim_{n \to \infty} \|(0, 0, \dots, 0, x_n, x_{n+1}, \dots)\|_{\psi} = 0.$ 

PROOF. We put  $x = \{x_n\}_{n=1}^{\infty} \in c_{\psi}$ . Fix any  $\varepsilon > 0$ . Then there exists some  $y = (y_1, \dots, y_{n_0-1}, 0, 0, \dots)$ 

in  $\ell_0$  such that  $||x-y||_{\psi} < \varepsilon$ . By Lemma 2.1, we have, for every n with  $n \ge n_0$ ,

$$\begin{aligned} \|(0,\ldots,0,x_n,x_{n+1},\ldots)\|_{\psi} &\leq \|(0,\ldots,0,x_{n_0},x_{n_0+1},\ldots)\|_{\psi} \\ &\leq \|(x_1-y_1,\ldots,x_{n_0-1}-y_{n_0-1},x_{n_0},x_{n_0+1},\ldots)\|_{\psi} \\ &= \|x-y\|_{\psi} < \varepsilon. \end{aligned} \square$$

Definition 2.6. Let  $\psi \in \Psi_{\infty}$ . Then  $\psi$  is called *regular* if  $\ell_{\psi} = c_{\psi}$ .

Since  $\ell_{\psi_p} = c_{\psi_p} = \ell_p$  for  $1 \le p < \infty$ ,  $\psi_p$  is regular. Next, we consider the dual spaces of  $c_{\psi}$  and  $\ell_{\psi}$ . Let  $\psi \in \Psi_{\infty}$  and let  $\|\cdot\|_{\psi}^*$  be the dual norm on  $(\ell_0, \|\cdot\|_{\psi})$ . That is, for any  $y = \{y_n\}_{n=1}^{\infty} \in \ell_0$ ,

$$||y||_{\psi}^{*} = \sup\{|\langle \{x_{n}\}_{n=1}^{\infty}, \{y_{n}\}_{n=1}^{\infty} \rangle| : x = \{x_{n}\}_{n=1}^{\infty} \in \ell_{0}, ||x||_{\psi} = 1\}$$
$$= \sup\left\{\left|\sum_{n=1}^{\infty} x_{n} y_{n}\right| : x = \{x_{n}\}_{n=1}^{\infty} \in \ell_{0}, ||x||_{\psi} = 1\right\}.$$

Then it is clear that  $\|\cdot\|_{\psi}^* \in AN_{\infty}$  and the corresponding convex function is given by

$$\psi^*(s) = \sup_{t \in \Delta_{\infty}} \frac{\sum_{n=1}^{\infty} s_n t_n}{\psi(t)}$$

for every  $s = \{s_n\}_{n=1}^{\infty} \in \mathcal{A}_{\infty}$ . We note that  $\psi^* \in \mathcal{\Psi}_{\infty}$  and  $\|\cdot\|_{\psi}^* = \|\cdot\|_{\psi^*}$ . Then we easily have

Proposition 2.7 (Generalized Hölder Inequality). Let  $\psi \in \Psi_{\infty}$ . Then we have

$$|\langle x, y \rangle| \le ||x||_{\psi} ||y||_{\psi^*}$$

for any  $x \in \ell_{\psi}$  and any  $y \in \ell_{\psi^*}$ .

As in [10], we have

Proposition 2.8. Let  $\psi \in \Psi_{\infty}$ . Then

- (i)  $(c_{\psi})^* = \ell_{\psi^*}$ . In particular, if  $\psi$  is regular, then  $(\ell_{\psi})^* = \ell_{\psi^*}$ .
- (ii)  $\ell_{\psi}$  (resp.  $c_{\psi}$ ) is reflexive if and only if  $\psi$  and  $\psi^*$  are regular.

PROOF. For every  $y \in \ell_{\psi^*}$ , we define  $F_v$  on  $c_{\psi}$  by

$$F_{y}(x) = \sum_{n=1}^{\infty} x_{n} y_{n}.$$

By Proposition 2.7,  $F_y$  is a bounded linear functional on  $c_{\psi}$  and  $\|F_y\| \leq \|y\|_{\psi^*}$ . For every n, let  $x = (x_1, \dots, x_n, 0, 0, \dots) \in \ell_0$ . Then  $|\sum_{k=1}^n x_k y_k| = |F_y(x)| \leq \|F_y\| \|x\|_{\psi}$ . Since  $\ell_0$  is dense in  $c_{\psi}$ , we have

$$||(y_1,\ldots,y_n,0,0,\ldots)||_{\psi^*} \leq ||F_y||$$

for every n. Thus we have  $\|y\|_{\psi^*} \leq \|F_y\|$  and so  $\|y\|_{\psi^*} = \|F_y\|$ . Thus we only prove that any  $F \in (c_{\psi})^*$  has the requisite form. For every  $n \in \mathbb{N}$ , we put  $\|(x_1, \ldots, x_n)\|_n = \|(x_1, \ldots, x_n, 0, 0, \ldots)\|_{\psi}$  and  $\|(x_1, \ldots, x_n)\|_{n^*} = \|(x_1, \ldots, x_n, 0, 0, \ldots)\|_{\psi^*}$ , respectively. Since  $(\mathbf{C}^n, \|\cdot\|_n)^* = (\mathbf{C}^n, \|\cdot\|_{n^*})$  for every n, we can find a sequence  $\{y_n\}_{n=1}^{\infty}$  with  $F(x) = \sum_{n=1}^{\infty} x_n y_n$  for any  $x \in \ell_0$ . For any n, we have

$$||(y_1, \dots, y_n, 0, 0, \dots)||_{\psi^*} = \sup \left\{ \left| \sum_{k=1}^n x_k y_k \right| : ||x||_{\psi} = 1 \right\}$$
  
$$\leq \sup \{ |F(x)| : ||x||_{\psi} = 1 \} \leq ||F||.$$

Since  $y \in \ell_{\psi^*}$ , we have  $F = F_y$ . This implies  $(c_{\psi})^* = \ell_{\psi^*}$ . This completes the proof.

## 3. Separability

It is well known that there is a natural correspondence between all symmetric sequence spaces and all symmetric normed ideals (cf. [3, 10]). Connecting with this result, we introduce the following.

DEFINITION 3.1. Let  $\mathscr U$  be an ideal of  $\ell_\infty$  such that  $\mathscr U\supset \ell_0$ . Then  $\mathscr U$  is said to be *absolute* if there exists some norm  $\|\cdot\|$  on  $\mathscr U$  such that  $(\mathscr U,\|\cdot\|)$  is a Banach space and

$$||e_n|| = 1 \quad (\forall n) \quad \text{and} \quad ||ax|| \le ||a||_{\infty} ||x|| \quad (\forall a \in \ell_{\infty}, \forall x \in \mathcal{U}).$$
 (2)

PROPOSITION 3.2. The norm  $\|\cdot\|$  on  $\mathcal U$  satisfying (2) is an absolute normalized norm on  $\ell_0$ .

PROOF. For any  $x = (x_1, \dots, x_n, 0, 0, \dots) \in \ell_0$ , we put  $\rho_n = \arg x_n \in [0, 2\pi)$  for each n, where  $\arg 0 = 0$ . Then

$$\begin{aligned} \|(x_1, \dots, x_n, 0, 0, \dots)\| &= \|(e^{i\rho_1}|x_1|, \dots, e^{i\rho_n}|x_n|, 0, 0, \dots)\| \\ &\leq \|(e^{i\rho_1}, \dots, e^{i\rho_n}, 0, 0, \dots)\|_{\infty} \|(|x_1|, \dots, |x_n|, 0, 0, \dots)\| \\ &= \|(|x_1|, \dots, |x_n|, 0, 0, \dots)\| \end{aligned}$$

We similarly have

$$||(x_1,\ldots,x_n,0,0,\ldots)|| \ge ||(|x_1|,\ldots,|x_n|,0,0,\ldots)||.$$

Thus  $\|\cdot\|$  is an absolute norm on  $\ell_0$ .

Theorem 3.3. Let  $\mathscr{U}$  be an absolute ideal with  $\|\cdot\|$ . Then

- (i) There exists some  $\psi \in \Psi_{\infty}$  such that  $c_{\psi} \subset \mathcal{U} \subset \ell_{\psi}$  and  $\|\cdot\| = \|\cdot\|_{\psi}$ .
- (ii)  $\mathscr{U}$  is separable if and only if  $\mathscr{U} = c_{\psi}$ . In particular,  $\ell_{\psi}$  is separable if and only if  $\ell_{\psi} = c_{\psi}$ .

PROOF. (i) By Proposition 3.2, there exists a convex function  $\psi \in \Psi_{\infty}$  such that  $\|x\| = \|x\|_{\psi}$  for any  $x \in \ell_0$ . By Definition 3.1(2), we have for any  $x = (x_1, \ldots, x_n, \ldots) \in \mathscr{U}$ 

$$||(x_1, \dots, x_n, 0, 0, \dots)||_{\psi} = ||(x_1, \dots, x_n, 0, 0, \dots)||$$

$$\leq ||(1, \dots, 1, 0, 0, \dots)||_{\infty} ||(x_1, \dots, x_n, \dots)|| = ||x||.$$

Thus  $x \in \ell_{\psi}$  and so  $\mathscr{U} \subset \ell_{\psi}$ . Further, since  $\mathscr{U}$  is a Banach space and  $\ell_0 \subset \mathscr{U}$ , we have  $c_{\psi} \subset \mathscr{U}$ .

(ii) Assume that  $\mathscr{U} \supseteq c_{\psi}$ . We take an element  $b = \{b_n\}_{n=1}^{\infty} \in \mathscr{U}$  with  $b \notin c_{\psi}$ . Then there exists a  $\delta > 0$  such that for every positive integer n,

$$||(0,0,\ldots,0,b_n,b_{n+1},\ldots)||_{\psi} > \delta.$$

Hence, for each n, there exists an m(n) (>n) such that

$$\|(0,0,\ldots,0,b_n,b_{n+1},\ldots,b_{m(n)-1},0,0,\ldots)\|_{\psi} > \delta.$$

Now we take an integer  $n_j$  (j = 1, 2, ...) satisfying  $n_1 = 1$  and  $n_j = m(n_{j-1})$  for  $j \ge 2$ . Define  $\Lambda = \{\{\alpha_j\}_{j=1}^{\infty} : \alpha_j = 0 \text{ or } 1\}$ . For each  $\alpha = \{\alpha_j\}_{j=1}^{\infty} \in \Lambda$ , we put

$$x_{\alpha} = (\alpha_1 b_1, \dots, \alpha_1 b_{n_1-1}, \alpha_2 b_{n_1}, \dots, \alpha_2 b_{n_2-1}, \dots, \alpha_k b_{n_{k-1}}, \dots, \alpha_k b_{n_{k-1}}, \dots).$$

Since  $\mathscr{U}$  is an absolute ideal of  $\ell_{\infty}$ ,  $\{x_{\alpha}: \alpha \in \Lambda\}$  is an uncountable subset of  $\mathscr{U}$ . Suppose that  $\alpha \neq \alpha'$ , where  $\alpha = \{\alpha_j\}_{j=1}^{\infty}$  and  $\alpha' = \{\alpha'_j\}_{j=1}^{\infty}$ . We take any  $j_0$  with  $\alpha_{j_0} \neq \alpha'_{j_0}$  and so  $|\alpha_{j_0} - \alpha'_{j_0}| = 1$ . Then we have

$$\begin{aligned} \|x_{\alpha} - x_{\alpha'}\|_{\psi} \\ &= \|(\alpha_{1}b_{1}, \dots, \alpha_{1}b_{n_{1}-1}, \alpha_{2}b_{n_{1}}, \dots, \alpha_{2}b_{n_{2}-1}, \dots, \alpha_{k}b_{n_{k-1}}, \dots, \alpha_{k}b_{n_{k-1}}, \dots) \\ &- (\alpha'_{1}b_{1}, \dots, \alpha'_{1}b_{n_{1}-1}, \alpha'_{2}b_{n_{1}}, \dots, \alpha'_{2}b_{n_{2}-1}, \dots, \alpha'_{k}b_{n_{k-1}}, \dots, \alpha'_{k}b_{n_{k-1}}, \dots)\|_{\psi} \\ &\geq |\alpha_{j_{0}} - \alpha'_{j_{0}}| \|(0, \dots, 0, b_{n_{j_{0}-1}}, \dots, b_{n_{j_{0}}-1}, 0, 0, \dots)\|_{\psi} \\ &> |\alpha_{j_{0}} - \alpha'_{j_{0}}| \delta = \delta. \end{aligned}$$

Therefore  $\mathcal{U}$  is not separable.

## 4. Strict convexity and uniform convexity

A Banach space X or its norm  $\|\cdot\|$  is called *strictly convex* if, for all  $x, y \in X$  such that  $x \neq y$  and  $\|x\| = \|y\| = 1$ ,  $\left\|\frac{x+y}{2}\right\| < 1$ . A function  $\psi \in \Psi_{\infty}$  is called *strictly convex* if for any  $s, t \in \Delta_{\infty}$  with  $s \neq t$ , one has

$$\psi\left(\frac{s+t}{2}\right) < \frac{\psi(s) + \psi(t)}{2}.$$

In [8], we characterized the strict convexity of absolute norms on  $\mathbb{C}^n$ . For  $(\ell_0, \|\cdot\|_{\psi})$  and  $\ell_{\psi}$ , we similarly have

Theorem 4.1. Let  $\psi \in \Psi_{\infty}$ . Then

- (i)  $(\ell_0, \|\cdot\|_{\psi})$  is strictly convex if and only if  $\psi$  is strictly convex on  $\Delta_{\infty}$ .
- (ii) If  $\ell_{\psi}$  is strictly convex, then  $\psi$  is strictly convex on  $\Delta_{\infty}$ .

However, the converse of the assertion (ii) is not true in general. For example, let  $\{p_n\}_{n=1}^{\infty}$  be the sequence defined by

$$p_n = \frac{\log 2}{\log \left(1 + \frac{1}{n^2 + 4n + 3}\right)}.$$

Note that  $1 < p_n < +\infty$   $(\forall n)$  and  $p_n \to +\infty$  as  $n \to +\infty$ . By the induction, we define Banach spaces  $X_n$  (n = 1, 2, ...) by

$$X_1 = (\mathbf{C} \oplus \mathbf{C})_{p_1}$$

and

$$X_n = (X_{n-1} \oplus \mathbf{C})_{p_n} \qquad (n \ge 2).$$

Let  $\|\cdot\|_{X_n}$  be the norm of  $X_n$ . We define the norm  $\|\cdot\|$  on  $\ell_0$  by

$$||x|| = ||(x_1, x_2, \dots, x_n)||_{X_{n-1}}$$
  $(x = (x_1, x_2, \dots, x_n, 0, 0, \dots) \in \ell_0).$ 

Then  $\|\cdot\| \in AN_{\infty}$  and we define  $\phi$  by the corresponding convex function, that is,  $\phi(s) = \|s\| \ (s \in \Delta_{\infty})$ . Since  $X_1, X_2, \ldots$  are strictly convex,  $(\ell_0, \|\cdot\|_{\phi})$  is strictly convex. However  $\ell_{\phi}$  is not strictly convex. Indeed, we put

$$x = \left(\frac{2}{3}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \dots, \frac{n-1}{n}, \frac{n}{n+1}, \dots\right)$$

and

$$y = \left(-\frac{2}{3}, \frac{2}{3}, \frac{3}{4}, \frac{4}{5}, \dots, \frac{n-1}{n}, \frac{n}{n+1}, \dots\right).$$

Note that

$$\left\| \left( \frac{n+1}{n+2}, \frac{n+1}{n+2} \right) \right\|_{p_n} = \frac{n+1}{n+2} 2^{1/p_n} = \frac{n+2}{n+3}$$
 (3)

for every positive integer n. Then we show that

$$\left\| \left( \frac{2}{3}, \frac{2}{3}, \frac{3}{4}, \dots, \frac{n}{n+1}, \frac{n+1}{n+2} \right) \right\|_{V} = \frac{n+2}{n+3}$$
 (4)

holds true for any n with  $n \ge 2$ . If n = 2, then we have by (3),

$$\left\| \left( \frac{2}{3}, \frac{2}{3}, \frac{3}{4} \right) \right\|_{X_2} = \left\| \left( \left\| \left( \frac{2}{3}, \frac{2}{3} \right) \right\|_{p_1}, \frac{3}{4} \right) \right\|_{p_2} = \left\| \left( \frac{3}{4}, \frac{3}{4} \right) \right\|_{p_2} = \frac{4}{5}.$$

Now assume that (4) holds true for n. For n + 1, we have

$$\left\| \left( \frac{2}{3}, \frac{2}{3}, \frac{3}{4}, \dots, \frac{n+1}{n+2}, \frac{n+2}{n+3} \right) \right\|_{X_n} = \left\| \left( \left\| \left( \frac{2}{3}, \frac{2}{3}, \frac{3}{4}, \dots, \frac{n+1}{n+2} \right) \right\|_{X_n}, \frac{n+2}{n+3} \right) \right\|_{p_{n+1}}$$

$$= \left\| \left( \frac{n+2}{n+3}, \frac{n+2}{n+3} \right) \right\|_{p_{n+1}}$$

$$= \frac{n+3}{n+4}.$$

Hence (4) holds true for every n with  $n \ge 3$ . Letting  $n \to \infty$ ,  $x \in \ell_{\phi}$  and  $||x||_{\phi} = 1$ , and hence  $y \in \ell_{\phi}$  and  $||y||_{\phi} = 1$ . By the definition of  $||\cdot||_{\phi}$ , we also have for every n,

$$\frac{n}{n+1} \le \left\| \left( 0, \frac{2}{3}, \frac{3}{4}, \dots, \frac{n}{n+1}, \frac{n+1}{n+2}, 0, 0, \dots \right) \right\|_{\phi} \le \left\| \frac{x+y}{2} \right\|_{\phi} \le 1.$$

So we have  $||(x+y)/2||_{\phi} = 1$ . Therefore  $\ell_{\phi}$  is not strictly convex.

PROBLEM. If  $\psi$  is strictly convex on  $\Delta_{\infty}$ , is  $c_{\psi}$  strictly convex?

We next consider the uniform convexity of  $\ell_{\psi}$ . A Banach space X or its norm is called *uniformly convex* if, for every  $\varepsilon > 0$ , there exists some  $\delta$   $(0 < \delta < 1)$  such that  $||x - y|| \ge \varepsilon$ ,  $||x|| \le 1$  and  $||y|| \le 1$  implies  $||(x + y)/2|| \le 1 - \delta$ .

Theorem 4.2. Let  $\psi \in \Psi_{\infty}$ . Then  $\ell_{\psi}$  is uniformly convex if and only if  $(\ell_0, \|\cdot\|_{\psi})$  is uniformly convex.

PROOF. The sufficiency assertion is clear since  $(\ell_0,\|\cdot\|_\psi)$  is isometrically imbedded into  $\ell_\psi$ . Assume that  $(\ell_0,\|\cdot\|_\psi)$  is uniformly convex. Take arbitrary  $\varepsilon>0$ . Then there exists some  $\delta$   $(0<\delta<1)$  such that  $x,y\in\ell_0,\|x\|_\psi\leq 1$ ,  $\|y\|_\psi\leq 1$  and

$$||x - y||_{\psi} \ge \frac{\varepsilon}{2}$$

implies  $||(x+y)/2||_{\psi} \le 1-\delta$ . We take any  $x = \{x_n\}_{n=1}^{\infty}, \ y = \{y_n\}_{n=1}^{\infty} \in \ell_{\psi}$  such that  $||x||_{\psi} \le 1, \ ||y||_{\psi} \le 1$  and

$$\lim_{n \to \infty} \|(x_1 - y_1, \dots, x_n - y_n, 0, 0, \dots)\|_{\psi} = \|x - y\|_{\psi} \ge \varepsilon.$$

For each n, we put

$$x^{(n)} = (x_1, x_2, \dots, x_n, 0, 0, \dots)$$

and

$$v^{(n)} = (v_1, v_2, \dots, v_n, 0, 0, \dots)$$

in  $\ell_0$ . Then there exists some positive integer  $n_0$  such that  $\|x^{(n)} - y^{(n)}\|_{\psi} \ge \varepsilon/2$  for all n with  $n \ge n_0$ . By  $\|x^{(n)}\|_{\psi} \le 1$  and  $\|y^{(n)}\|_{\psi} \le 1$ , we have for all n with  $n \ge n_0$ ,

$$\left\|\frac{x^{(n)}+y^{(n)}}{2}\right\|_{y_t} \le 1-\delta.$$

So  $||(x+y)/2||_{\psi} \le 1-\delta$ . Thus  $\ell_{\psi}$  is uniformly convex. This completes the proof.

PROBLEM. What are the equivalent conditions for  $\psi$  that  $\ell_{\psi}$  is uniformly convex?

## 5. Equivalent norm

In this section, we consider the norms in  $AN_{\infty}$  which are equivalent to  $\ell_1$ -and  $\ell_{\infty}$ -norms, respectively. As in [3], we similarly have

**PROPOSITION** 5.1. Let  $\psi \in \Psi_{\infty}$ . Then the following are equivalent:

(i)  $\|\cdot\|_{\psi}$  is equivalent to  $\|\cdot\|_{\infty}$ .

(ii) 
$$\sup_{t \in A_{\infty}} \frac{\psi(t)}{\psi_{\infty}(t)} = \sup_{n} n\psi\left(\overbrace{\frac{1}{n}, \dots, \frac{1}{n}}^{n}, 0, 0, \dots\right) < \infty.$$

PROOF. (i)  $\Rightarrow$  (ii) If (i) holds, then there exists some M>0 such that  $\|x\|_{\psi} \leq M\|x\|_{\infty}$  for  $x \in \ell_0$ . Thus we have for all  $t \in \Delta_{\infty}$ ,

$$\frac{\psi(t)}{\psi_{\infty}(t)} = \frac{\|t\|_{\psi}}{\|t\|_{\infty}} \le M.$$

Thus we have (i)  $\Rightarrow$  (ii).

(ii)  $\Rightarrow$  (i) Assume that  $\sup_{t \in A_{\infty}} \frac{\psi(t)}{\psi_{\infty}(t)} (=M) < \infty$ . Then we have, for all  $x = \{x_n\}_{n=1}^{\infty} \in \ell_0, \ \|x\|_{\infty} \leq \|x\|_{\psi} \leq M \|x\|_{\infty}$ . Thus  $\|\cdot\|_{\psi}$  is equivalent to  $\|\cdot\|_{\infty}$ . We next show that

$$\sup_{t \in A_{\infty}} \frac{\psi(t)}{\psi_{\infty}(t)} = \sup_{n} n\psi\left(\overbrace{\frac{1}{n}, \dots, \frac{1}{n}}^{n}, 0, 0, \dots\right)$$
 (5)

For any  $t = (t_1, t_2, \dots, t_n, 0, 0, \dots) \in \Delta_{\infty}$ , we have

$$\frac{\psi(t)}{\psi_{\infty}(t)} = \frac{1}{\|t\|_{\infty}} \|(t_1, t_2, \dots, t_n, 0, 0, \dots)\|_{\psi}$$

$$\leq \|(1, 1, \dots, 1, 0, 0, \dots)\|_{\psi}$$

$$= n\psi\left(\frac{1}{n}, \dots, \frac{1}{n}, 0, 0, \dots\right) \left(= \frac{\psi(\frac{1}{n}, \dots, \frac{1}{n}, 0, 0, \dots)}{\psi_{\infty}(\frac{1}{n}, \dots, \frac{1}{n}, 0, 0, \dots)}\right),$$

which implies (5). This completes the proof.

Let  $\psi \in \Psi_{\infty}$ . Then we define  $\Delta_{\psi}$  as the norm closure of  $\Delta_{\infty}$  in  $(\ell_{\psi}, \|\cdot\|_{\psi})$ .

Proposition 5.2. Let  $\psi \in \Psi_{\infty}$ . Then

- (i)  $\Delta_{\psi_1} = \{\{s_n\}_{n=1}^{\infty} \in \ell_{\infty} : \sum_{n=1}^{\infty} s_n = 1, s_n \geq 0\}.$ (ii) For all  $\psi \in \Psi_{\infty}$ , we have  $\Delta_{\psi_1} \subset \Delta_{\psi}$ .

PROOF. (i) We put  $A = \{\{s_n\}_{n=1}^{\infty} \in \ell_{\infty} : \sum_{n=1}^{\infty} s_n = 1, s_n \ge 0\}$ . Take any  $t = \{s_n\}_{n=1}^{\infty} \in A \text{ and put } t_n \in \Delta_{\infty} \text{ as}$ 

$$t_n = \frac{1}{\sum_{k=1}^n s_k} (s_1, s_2, \dots, s_n, 0, 0, \dots).$$

Then  $||t_n - t||_1 \to 0$  and so  $t \in \Delta_{\psi_1}$ . Conversely, we take any  $t = \{s_n\}_{n=1}^{\infty} \in \Delta_{\psi_1}$ . Then there exists some sequence  $\{t_k\}_{k=1}^{\infty}$  in  $\Delta_{\infty}$  such that  $||t_k - t||_1 \to 0$ , where  $t_k = \{s_{k,n}\}_{n=1}^{\infty}$ . For each n, we have  $|s_{k,n} - s_n| \le |t_k - t||_1 \to 0$ . So  $s_n \ge 0$  for all n. Since  $|t_k||_1 \to |t||_1$  as  $k \to \infty$ , we have  $|t_k||_1 = 1$  or  $\sum_{n=1}^{\infty} s_n = 1$ . Hence we have  $t \in A$ .

(ii) Take any  $t \in \Delta_{\psi_1}$ . Then there exists  $\{t_k\}_{k=1}^{\infty}$  in  $\Delta_{\infty}$  such that  $||t_k - t||_1 \to 0$ . By Lemma 2.1,

$$||t_k - t||_{\psi} \le ||t_k - t||_1 \to 0.$$

Hence we have  $t \in \Delta_{\psi}$ . This completes the proof.

**PROPOSITION** 5.3. Let  $\psi \in \Psi_{\infty}$ . Then the following assertions are equivalent:

- (i)  $\|\cdot\|_{\psi}$  is equivalent to  $\|\cdot\|_1$ .
- (ii)  $\inf \psi(t) > 0$ .
- (iii)  $0 \notin \Delta_{\psi}$ .

PROOF. As in the proof of Proposition 5.1, we similarly have (i)  $\Leftrightarrow$  (ii). It is easy to see that  $0 \in \Delta_{\psi}$  if and only if there exists a sequence  $\{s_k\}_{k=1}^{\infty}$  in  $\Delta_{\infty}$  such that  $\psi(s_k) \to 0$ . Therefore we have (ii)  $\Leftrightarrow$  (iii). This completes the proof.

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