

## HYPERSURFACE SECTIONS OF TORIC SINGULARITIES

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### Introduction

As is well-known, we can obtain much information about hypersurface singularities  $\{f=0\}$  in  $\mathbf{C}^{n+1}$  by the Newton polyhedra  $\Gamma_+(f) \subset \mathbf{R}^{n+1}$  of the defining equations  $f$ . (For instance, see [5] and [11].) In this paper, we define the Newton polyhedra also for hypersurface sections  $(X, x)$  of any toric singularity  $(Y, y)$  and show that a part of the results in [11] are valid. On the other hand, as we see in the last of §2 and in §3, we obtain as  $(X, x)$  many singularities, a part of which are not complete intersections. For instance, 2-dimensional cusp singularities with multiplicities greater than 4 and a 3-dimensional singularity with a resolution whose exceptional set is an Enriques surface. Moreover, in the case that the ambient space  $Y$  has only an isolated singularity, these singularities  $(X, x)$  are obviously smoothable. Hence we can obtain examples of smoothable cusp singularities (see §3). In this paper, we are mainly concerned about singularities  $(X, x)$  with the plurigenera  $\delta_m(X, x)$  which are not greater than 1 and at least one of which is equal to 1. (For the definition of plurigenera, see [11].) We call such singularities, *periodically elliptic singularities*, following Ishii [2].

In Section 1, we recall some facts about toric singularities, necessary in this paper.

In Section 2, we show a sufficient condition on the Newton polyhedra of defining equations  $f$  of  $X$ , under which  $(X, x)$  are periodically elliptic singularities and give some examples.

In Section 3, we show a sufficient condition on a 3-dimensional non-terminal Gorenstein toric singularity  $(Y, y)$ , under which hyperplane sections  $(X, x)$  of  $(Y, y)$  are simple elliptic singularities or cusp singularities. We can determine the multiplicities of these singularities.

In Section 4, we show that if  $H^1(X \setminus \{x\}, i^* \Theta_Y) = 0$  and  $\dim X \geq 3$ , then we can concretely construct a locally semiuniversal family of deformations of  $(X, x)$  and that any small deformation of  $(X, x)$  is also a hypersurface section of  $Y$ , where  $i: X \hookrightarrow Y$  [is the inclusion map and  $\Theta_Y$  is the tangent sheaf of  $Y$ . The above condition is satisfied, if  $Y$  is a quotient of  $\mathbf{C}^{n+1}$ , by torus actions.

We use the notation and the terminology in [4] freely.

I would like to thank Professor M. Tomari who pointed out me the facts

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Received August 21, 1989; revised November 14, 1990.

that hypersurface sections  $(X, x)$  of toric singularities  $(Y, y)$  are Cohen-Macaulay and that  $(X, x)$  are smoothable, if  $(Y, y)$  is an isolated singularity.

§1. Toric singularities

Let  $N$  be a free  $\mathbf{Z}$ -module of rank  $n+1$  and let  $N_{\mathbf{R}}=N \otimes_{\mathbf{Z}} \mathbf{R}$ . Let  $M = \text{Hom}(N, \mathbf{Z})$  be the  $\mathbf{Z}$ -module dual to  $N$  with the canonical pairing  $\langle, \rangle : M \times N \rightarrow \mathbf{Z}$ . Let  $\sigma = \mathbf{R}_{\geq 0}u_1 + \mathbf{R}_{\geq 0}u_2 + \dots + \mathbf{R}_{\geq 0}u_s$  be an  $(n+1)$ -dimensional strongly convex rational polyhedral cone in  $N_{\mathbf{R}}$ . Here we may assume that  $\mathbf{R}_{\geq 0}u_i$  are 1-dimensional faces of  $\sigma$ , for  $i=1$  through  $s$ . Let  $Y$  be the complex space associated to  $\text{Spec}(C[M \cap \sigma^*])$  and let  $e(v) : Y \rightarrow C$  be the natural extension to  $Y$  of the character  $v \otimes 1_{C^*} : T_N \rightarrow C^*$  for each  $v$  in  $M \cap \sigma^*$ , where  $\sigma^* := \{v \in M_{\mathbf{R}} \mid \langle v, u \rangle \geq 0 \text{ for all } u \in \sigma \setminus \{0\}\}$  is the dual cone of  $\sigma$  and  $T_N = \text{Spec}(C[M]) \cong (C^*)^{n+1}$ . Then any holomorphic function  $f$  on a neighborhood  $U$  of  $y = \text{orb}(\sigma)$  is expressed as the series:

$$f = \sum_{v \in \sigma^* \cap M} c_v e(v).$$

Hence we can define the Newton polyhedron  $\Gamma_+(f)$  and the Newton boundary  $\Gamma(f)$  of  $f$  in the same way as in the case of  $Y = C^{n+1}$ . More precisely,  $\Gamma_+(f)$  is the convex hull of  $\cup_{c_v \neq 0} v + \sigma^*$  and  $\Gamma(f)$  is the union of the compact faces of  $\Gamma_+(f)$ . Let  $D = D_1 + D_2 + \dots + D_s$ , where  $D_i$  is the closure of  $\text{orb}(\mathbf{R}_{\geq 0}u_i)$ . Here we note that  $Y \setminus D = T_N$  and that  $Y$  is a Cohen-Macaulay space by [4, Corollary 3.9]. Let  $\{v_1, v_2, \dots, v_{n+1}\}$  be a basis of  $M$  and let  $w_i = e(v_i)$  for  $i=1$  through  $n+1$ . Then  $(w_1, w_2, \dots, w_{n+1})$  is a global coordinate of  $T_N$ . Let  $\nu = (dw_1/w_1) \wedge (dw_2/w_2) \wedge \dots \wedge (dw_{n+1}/w_{n+1})$ . Then  $\nu$  is a nowhere vanishing holomorphic  $(n+1)$ -form on  $T_N$  whose natural extension to  $Y$  has poles of order 1 along  $D$ .

DEFINITION 1.1.  $(Y, y)$  is said to be  $r$ -Gorenstein, if there exists a nowhere vanishing holomorphic  $r$ -ple  $(n+1)$ -form on  $U \setminus \text{Sing}(U)$  for an open neighborhood  $U$  of  $y$ , where  $\text{Sing}(U)$  is the singular locus of  $U$ .

Since  $(Y, y)$  is a Cohen-Macaulay singularity,  $(Y, y)$  is Gorenstein, if it is 1-Gorenstein.

PROPOSITION 1.2. ([6, the footnote of p294])  $(Y, y)$  is  $r$ -Gorenstein, if and only if there exists an element  $v_0$  in  $M_{\mathbf{Q}}$  such that  $rv_0 \in M$  and that  $\langle v_0, u_i \rangle = 1$  for  $i=1$  through  $s$ , where we assume that  $u_1, u_2, \dots$  and  $u_s$  are primitive elements in  $N$ . (Here we note that the above  $v_0$  is uniquely determined by  $\sigma$ , if it exists.)

*Proof.* Let  $v_0$  be an element in  $M_{\mathbf{Q}}$  satisfying the above condition. Then  $\theta := e(rv_0)\nu^r$  is a nowhere vanishing holomorphic  $r$ -ple  $(n+1)$ -form on  $Y \setminus \text{Sing}(Y)$ , because  $e(rv_0)$  has zeros of order  $\langle rv_0, u_i \rangle = r$  only along  $D$ . Conversely, assume that  $(Y, y)$  is  $r$ -Gorenstein, i.e., there exists a nowhere vanishing holomorphic  $r$ -ple  $(n+1)$ -form  $\theta$  on  $U \setminus \text{Sing}(U)$  for an open neighborhood  $U$  of  $y$ . Then  $f := \theta/\nu^r$  is a holomorphic function on  $U \setminus \text{Sing}(U)$  which does not vanish on

$T_N \cap U$  and whose vanishing order at  $D_i$  is equal to  $r$ . Since the codimension of  $\text{Sing}(Y)$  is greater than 1,  $f$  is extended to  $U$ , by [1, Chapter II, Corollary 3.12]. Hence  $f$  is expressed as the series  $\sum_{v \in (\sigma^* \setminus \{0\}) \cap M} c_v e(v)$ . Suppose that  $\Gamma_+(f)$  has a compact face  $\Delta$  with  $\dim \Delta \geq 1$ . Then there exist a primitive element  $u_0$  in  $\text{Int}(\sigma) \cap N$  and a positive integer  $t$  such that  $\langle v, u_0 \rangle = t$  (resp.  $> t$ ) for any element  $v$  in  $\Delta$  (resp.  $\Gamma_+(f) \setminus \Delta$ ). Let  $Y_0$  be the complex space associated to  $\text{Spec}(\mathcal{C}[(\mathbf{R}_{\geq 0} u_0)^* \cap M])$  ( $\cong \mathcal{C} \times (\mathcal{C}^*)^n$ ) and let  $D_0 = \text{orb}(\mathbf{R}_{\geq 0} u_0)$ . Then we have a holomorphic map  $\pi: Y_0 \rightarrow Y$  such that  $\pi|_{T_N} = \text{id}$  and that  $\pi^{-1}(y) = D_0$ , because  $\mathbf{R}_{>0} u_0 \subset \text{Int}(\sigma)$ . Take a basis  $\{v'_1, v'_2, \dots, v'_{n+1}\}$  of  $M$  so that  $\langle v'_1, u_0 \rangle = 1$  and that  $\langle v'_i, u_0 \rangle = 0$  for  $i=2$  through  $n+1$ . Let  $z_i = e(v'_i)$  for  $i=1$  through  $n+1$ . Then  $D_0 = \{z_1 = 0\}$  and  $f = z_1^t g_0 + z_1^{t+1} g_1 + \dots + z_1^{t+i} g_i + \dots$  on  $U \cap T_N$ , where  $g_i = \sum_{v \in L_i} c_v e(v - (t+i)v'_1)$  and  $L_i = \{v \in \Gamma_+(f) \cap M \mid \langle v, u_0 \rangle = t+i\}$ . Here we note that  $g_i$  are polynomials with variables  $z_2, \dots, z_{n+1}$  and that  $g_0 = \sum_{v \in \Delta \cap M} c_v e(v - tv'_1)$  is not a monomial, because the cardinal number of  $\{v \in \Delta \cap M \mid c_v \neq 0\}$  is greater than 1. Hence  $\{y' \in U \cap T_N \mid (g_0 + z_1 g_1 + \dots)(y') = 0\} \neq \emptyset$ , because  $Y \setminus D_0 = T_N$ . Then  $f$  must vanish at a point of  $U \cap T_N$ , a contradiction. Therefore, any compact face of  $\Gamma_+(f)$  is a point. This implies that  $\Gamma(f)$  consists of only one point  $v'_0$ . Hence  $\Gamma_+(f) = v'_0 + \sigma^*$ . Therefore,  $\langle v'_0, u_i \rangle \leq \langle v, u_i \rangle$  for any element  $v$  in  $\Gamma_+(f) \cap M$  and for  $i=1$  through  $n+1$ . Since the vanishing order of  $f$  at  $D_i$  is  $r$ , we have  $\langle v'_0, u_i \rangle = r$ . Hence the point  $v_0 = (1/r)v'_0$  satisfies the condition of the proposition. q. e. d.

*Remark.* If  $N = \mathbf{Z}^{n+1}$  and  $\sigma = (\mathbf{R}_{\geq 0})^{n+1}$ , then  $Y$  is isomorphic to  $\mathcal{C}^{n+1}$  and the point  $y$  corresponds to the origin. Clearly  $v_0 = (1, 1, \dots, 1)$  satisfies the condition of the above proposition, if we identify  $M$  with  $N$ , by the canonical inner product.

## §2. Hypersurface sections

Let  $f$  be an element of the maximal ideal  $\mathfrak{m}_{Y,y}$  of  $Y$  at  $y$ , let  $X = \{f=0\}$  and let  $x=y$ . Throughout the rest of this paper, we assume that  $n = \dim X \geq 2$ , that  $X$  is irreducible reduced, that  $(X, x)$  is an isolated singularity and that  $X \cap \text{Sing}(Y) = \{x\}$ . By [1, Chapter I, Proposition 1.6 (ii) and Corollary 4.4], we have:

PROPOSITION 2.1.  $(X, x)$  is a Cohen-Macaulay and normal singularity.

Assume that  $f = \sum_{v \in (\sigma^* \setminus \{0\}) \cap M} c_v e(v)$  is non-degenerate, i. e.,

$$\partial f / \partial w_1 = \partial f / \partial w_2 = \dots = \partial f / \partial w_{n+1} = 0$$

has no solutions in  $T_N = Y \setminus D$  ( $\cong (\mathcal{C}^*)^{n+1}$ ), for each face  $\Delta$  of  $\Gamma(f)$ , where  $f_\Delta = \sum_{v \in \Delta \cap M} c_v e(v)$  and  $(w_1, w_2, \dots, w_{n+1})$  is a global coordinate of  $T_N$ .

THEOREM 2.2. Assume that  $(Y, y)$  is  $r$ -Gorenstein, (that  $(Y, y)$  is not  $r'$ -Gorenstein for  $1 \leq r' < r$ ) and let  $v_0$  be the element satisfying the condition of

*Proposition 1.2.* Then  $(X, x)$  is  $r$ -Gorenstein. Moreover, if  $v_0$  is on  $\Gamma(f)$ , then

$$\delta_m(X, x) = \begin{cases} 1 & \text{for } m \equiv 0 \pmod r \\ 0 & \text{for } m \not\equiv 0 \pmod r. \end{cases}$$

Conversely, if  $\max\{\delta_m(X, x) \mid m \in \mathbf{Z}, m > 0\} = 1$ , then  $v_0$  is on  $\Gamma(f)$ . (See [11], for the definition of  $\delta_m(X, x)$ .)

For the proof, we need some preparations. For  $u \in \sigma$ , let  $d(u) = \min\{\langle v, u \rangle \mid v \in \Gamma_+(f)\}$  and let  $\Delta(u) = \{v \in \Gamma_+(f) \mid \langle v, u \rangle = d(u)\}$ . For a face  $\Delta$  of  $\Gamma_+(f)$ , let  $\Delta^* = \{u \in \sigma \mid \Delta(u) \supset \Delta\}$ . Then  $\Gamma^*(f) := \{\Delta^* \mid \Delta \text{ is a face of } \Gamma_+(f)\} \cup \{0\}$  is an r.p.p. decomposition of  $N_{\mathbf{R}}$  with  $|\Gamma^*(f)|$  ( $:= U_{\Delta^* \in \Gamma^*(f)} \Delta^*$ )  $= \sigma$ . Let  $\Sigma^*$  be a subdivision of  $\Gamma^*(f)$  consisting of non-singular cones and let  $\tilde{Y} = T_N \text{emb}(\Sigma^*)$ . Then we have a resolution  $\Pi: \tilde{Y} \rightarrow Y$  of  $Y$ . Let  $\tilde{X}$  be the proper transformation of  $X$  under  $\Pi$  and let  $E = \tilde{X} \cap \Pi^{-1}(x)$ . Then  $\pi( := \Pi|_{\tilde{X}} ): \tilde{X} \rightarrow X$  is a resolution of  $X$  whose exceptional set is  $E$ . Assume that  $u$  is a primitive element in  $N$  and that  $\mathbf{R}_{\geq 0}u$  is a 1-dimensional cone in  $\Sigma^*$  with  $\dim \Delta(u) \geq 1$ . Then we denote by  $E(u)$  the closure of  $\text{orb}(\mathbf{R}_{\geq 0}u) \cap E (\neq \emptyset)$ . Recall that  $\theta := e(rv_0)\nu^r$  is a nowhere vanishing  $r$ -ple  $(n+1)$ -form on  $Y \setminus \text{Sing}(Y)$ . Let  $\omega = \text{Res}(\theta/f^r)$ , i.e.,  $\omega = g_{1X \cap U} (dw_1 \wedge \cdots \wedge dw_n)^r$  on  $X \cap U$ , if  $\theta$  is expressed as  $g(df \wedge dw_1 \wedge \cdots \wedge dw_n)^r$  on an open set  $U$  of  $Y$ .

LEMMA 2.3.  $\pi^*\omega^l$  has zeros of order  $lr\langle v_0, u \rangle - 1 - d(u)$  along  $E(u)$ .

*Proof.* The lemma follows from the fact that  $e(rv_0)$ ,  $\nu^r$  and  $(\pi^*f)^r$  have zeros of order  $r\langle v_0, u \rangle$ ,  $-r$  and  $rd(u)$ , respectively, along  $\text{orb}(\mathbf{R}_{\geq 0}u)$ . q.e.d.

*Proof of Theorem 2.2.* Since  $\omega$  is a nowhere vanishing holomorphic  $r$ -ple  $n$ -form on  $X \setminus \{x\}$ , we see that  $(X, x)$  is  $r$ -Gorenstein. Assume that  $v_0$  is on  $\Gamma(f)$ . Then  $\langle v_0, u \rangle \geq d(u)$  for any  $u$  in  $\text{Int}(\sigma) \cap N$ . Hence the nowhere vanishing holomorphic  $lr$ -ple  $n$ -form  $\pi^*\omega^l$  has poles of order at most  $lr$  along each irreducible component of the exceptional set  $E$ , by Lemma 2.3. On the other hand,  $\Gamma_+(f)$  has a compact face  $\Delta_0$  containing  $v_0$  with  $\dim \Delta_0 \geq 1$ . Otherwise,  $\Gamma_+(f) = v_0 + \sigma^*$  and hence  $f = e(v_0)g$  for a holomorphic function  $g$  on  $Y$ . Then since  $[e(v_0)] = rD$ , we get a contradiction to the assumption that  $X$  is irreducible. Hence we can take a subdivision  $\Sigma^*$  of  $\Gamma^*(f)$  so that  $\Delta(u_0) = \Delta_0$  for a 1-dimensional cone  $\Delta^* = \mathbf{R}_{\geq 0}u_0$  in  $\Sigma^*$ . Then  $u_0 \in \text{Int}(\sigma)$ ,  $\text{orb}(\Delta^*) \cap \tilde{X} \neq \emptyset$  and  $\langle v_0, u_0 \rangle = d(u_0)$ . Hence  $\pi^*\omega^l$  has poles of order  $lr$  along the irreducible component  $E(u_0)$  of  $E$ . Therefore,  $\delta_{lr}(X, x) = 1$ . Next, assume that  $m \not\equiv 0 \pmod r$  and let  $\eta$  be an element in  $H^0(X \setminus \{x\}, \mathcal{O}_X(mK_X))$ . In the following, we show that  $\eta$  is in  $L^{2/m}(X \setminus \{x\})$ . We note that  $rv_0$  is a primitive element in  $M$ . Otherwise,  $(Y, y)$  is  $r'$ -Gorenstein for a positive integer  $r' < r$ . Hence we can take  $n$  elements  $v_1, v_2, \dots$  and  $v_n$  in  $M$  so that  $\{rv_0, v_1, \dots, v_n\}$  is a basis of  $M$ . Let  $w_0 = e(rv_0)$  and let  $w_i = e(v_i)$  for  $i = 1$  through  $n$ . Then  $(w_0, w_1, \dots, w_n)$  is a global coordinate of  $T_N$ . Let  $M' = M + \mathbf{Z}v_0$  and let  $N' = \{u \in N \mid \langle v', u \rangle \in \mathbf{Z} \text{ for any } v' \in M'\}$  ( $= \{u$

$\in N \setminus \langle v_0, u \rangle \in \mathcal{Z}$ ). Then the inclusion  $N' \rightarrow N$  induces a holomorphic map  $\varphi: Y' \rightarrow Y$ , where  $Y'$  is the complex space associated to  $\text{Spec}(\mathcal{C}[M' \cap \sigma^*])$ . Since  $\{v_0, v_1, \dots, v_n\}$  is a basis of  $M'$ ,  $(z_0, z_1, \dots, z_n)$  is a global coordinate of  $T_{N'} = \text{Spec}(\mathcal{C}[M'])$ , where  $z_i = e(v_i)$  for  $i=0$  through  $n$ . Clearly,  $\varphi^*w_0 = (z_0)^r$  and  $\varphi^*w_i = z_i$  for  $i=1$  through  $n$ . Hence  $\varphi$  is the quotient map under the group  $\langle t \rangle$  generated by the element  $t = (\xi, 1, \dots, 1)$  in  $T_{N'}$ , where  $\xi$  is a primitive  $r$ -th root of 1. Moreover,  $\varphi$  is unramified over  $Y \setminus \text{Sing}(Y)$ , because  $\theta := w_0((dw_0/w_0) \wedge (dw_1/w_1) \wedge \dots \wedge (dw_n/w_n))^r$  (resp.  $\theta' := z_0(dz_0/z_0) \wedge (dz_1/z_1) \wedge \dots \wedge (dz_n/z_n)$ ) is a nowhere vanishing holomorphic  $r$ -ple  $(n+1)$ -form on  $Y \setminus \text{Sing}(Y)$  (resp.  $(n+1)$ -form on  $Y' \setminus \text{Sing}(Y')$ ) and  $\varphi^*\theta = (r\theta')^r$ . Hence  $\text{Sing}(X') = \{x'\}$ , where  $X' := \varphi^{-1}(X)$  and  $x' := \varphi^{-1}(x)$ . Let  $\omega' = \text{Res}(\theta'/\varphi^*f)$ . Then  $\omega'$  is a nowhere vanishing holomorphic  $n$ -form on  $X' \setminus \{x'\}$  with  $t^*\omega' = \xi\omega'$ , because  $t^*z_0 = \xi z_0$  and  $t^*(dz_i/z_i) = dz_i/z_i$  for  $i=0$  through  $n$ . Hence  $\varphi^*\eta = g(\omega')^m$  for a holomorphic function  $g$  on  $X'$ . Since  $t^*(\varphi^*\eta) = \varphi^*\eta$  and  $t^*(g(\omega')^m) = t^*g\xi^m(\omega')^m$ , we have  $t^*g = \xi^{-m}g$ . Since  $\xi^{-m} \neq 1$ , we have  $g(x') = 0$ . Hence  $\varphi^*\eta = g(\omega')^m \in \mathcal{L}^{2/m}(X' \setminus \{x'\})$ , because  $(\pi')^*\omega' \in H^0(\tilde{X}', \mathcal{O}(K_{\tilde{X}'} + E'))$ , for any resolution  $\pi': (\tilde{X}', E') \rightarrow (X', x')$  of  $(X', x')$ . Therefore,  $\eta \in \mathcal{L}^{2/m}(X \setminus \{x\})$ . Thus we conclude that  $\delta_m(X, x) = 0$ . Finally, note that if  $v_0 \in \Gamma_+(f)$  (resp.  $\in \text{Int}(\Gamma_+(f))$ ), then  $\delta_m(X, x) \geq 2$  for certain positive integers  $m$  (resp.  $= 0$  for all positive integers  $m$ ), by Lemma 2.3 and that if  $v_0 \in \partial\Gamma_+(f) \setminus \Gamma(f)$ , then  $(X, x)$  is not isolated. Thus we obtain the last assertion of the theorem. q. e. d.

We can obtain a system of defining equations of  $X$  from those of  $Y$  and  $f$ .

**PROPOSITION 2.4.** *If  $f \notin \mathfrak{m}_{\tilde{X}, y}^2$  (resp.  $f \in \mathfrak{m}_{\tilde{X}, y}^2$ ), then  $\dim \mathfrak{m}_{X, x}/\mathfrak{m}_{\tilde{X}, x}^2 = \dim \mathfrak{m}_{Y, y}/\mathfrak{m}_{\tilde{Y}, y}^2 - 1$  (resp.  $\dim \mathfrak{m}_{Y, y}/\mathfrak{m}_{\tilde{Y}, y}^2$ ).*

*Proof.* We have the following exact sequence.

$$0 \longrightarrow f \cdot \mathcal{O}_{Y, y} / (f \cdot \mathcal{O}_{Y, y} \cap \mathfrak{m}_{\tilde{Y}, y}^2) \longrightarrow \mathfrak{m}_{Y, y} / \mathfrak{m}_{\tilde{Y}, y}^2 \longrightarrow \mathfrak{m}_{X, x} / \mathfrak{m}_{\tilde{X}, x}^2 \longrightarrow 0.$$

We easily see that  $\dim f \cdot \mathcal{O}_{Y, y} / (f \cdot \mathcal{O}_{Y, y} \cap \mathfrak{m}_{\tilde{Y}, y}^2) = 1$  or  $0$ , according as  $f \in \mathfrak{m}_{\tilde{Y}, y}^2$  or  $f \notin \mathfrak{m}_{\tilde{Y}, y}^2$ . q. e. d.

Assume that  $\sigma^* \cap M$  is generated by  $m$  elements  $v_1, v_2, \dots, v_m$  and let  $z_i = e(v_i)$ , for  $i=1$  through  $m$ . Then we have the embedding  $i: Y \ni p \mapsto (z_1(p), z_2(p), \dots, z_m(p)) \in \mathcal{C}^m$ . Assume that  $i(Y)$  is defined by  $g_1(z) = g_2(z) = \dots = g_t(z) = 0$ , where  $z = (z_1, z_2, \dots, z_m)$ . If  $f \in \mathfrak{m}_{\tilde{Y}, y}^2$ , then  $X = \{f=0\}$  is isomorphic to the subvariety in  $\mathcal{C}^m$  defined by  $\tilde{f}(z) = g_1(z) = \dots = g_t(z) = 0$ , where  $\tilde{f}(z)$  is a holomorphic function on  $\mathcal{C}^m$  with  $i^*\tilde{f} = f$ . Next, assume that we can express  $\tilde{f}(z) = z_1 - h(z_2, \dots, z_m)$ . Hence  $f \notin \mathfrak{m}_{\tilde{Y}, y}^2$ . Then  $X$  is isomorphic to the subvariety in  $\mathcal{C}^{m-1}$  defined by  $g'_1(w) = g'_2(w) = \dots = g'_t(w) = 0$ , where  $w = (z_2, \dots, z_m)$  and  $g'_i(w) = g_i(h(w), z_2, \dots, z_m)$ .

*Example 1.* Let  $n=2$ , let  $\{u_1, u_2, u_3\}$  be a basis of  $N$  and let  $\{v_1, v_2, v_3\}$  be the basis of  $M$  dual to  $\{u_1, u_2, u_3\}$ . Let  $\sigma = \mathbf{R}_{\geq 0}(u_1 + u_3) + \mathbf{R}_{\geq 0}(u_1 + u_2 + u_3) +$

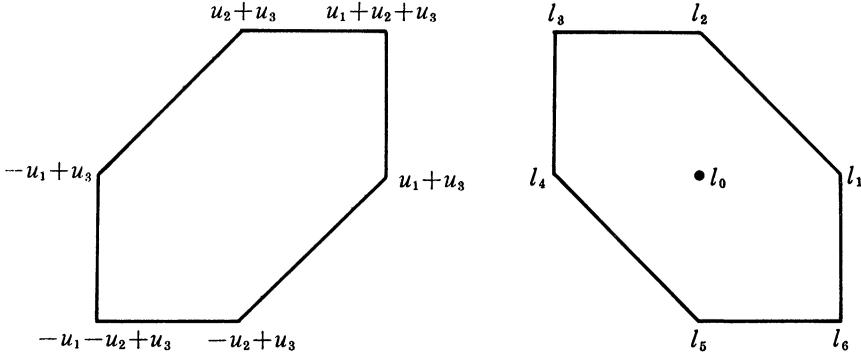


Figure 1.

$R_{\geq 0}(u_2 + u_3) + R_{\geq 0}(-u_1 + u_3) + R_{\geq 0}(-u_1 - u_2 + u_3) + R_{\geq 0}(-u_2 + u_3)$ . Then  $(Y, y)$  is Gorenstein and  $v_0 = v_3$  satisfies the condition of Proposition 1.2. We see that  $\sigma^* \cap M$  is generated by  $l_0 = v_3$ ,  $l_1 = v_1 + v_3$ ,  $l_2 = v_2 + v_3$ ,  $l_3 = -v_1 + v_2 + v_3$ ,  $l_4 = -v_1 + v_3$ ,  $l_5 = -v_2 + v_3$  and  $l_6 = v_1 - v_2 + v_3$ . (See Figure 1.) Hence  $Y$  is isomorphic to the subvariety in  $C^7$  defined by the equations (1)  $z_0 z_1 - z_6 z_2 = z_0 z_2 - z_1 z_3 = z_0 z_3 - z_2 z_4 = z_0 z_4 - z_3 z_5 = z_0 z_5 - z_4 z_6 = z_0 z_6 - z_5 z_1 = z_0^2 - z_1 z_4 = z_0^2 - z_2 z_5 = z_0^2 - z_3 z_6 = 0$ , where  $z_i = e(l_i)$ , for  $i=0$  through 6. Let  $f = z_0 - z_1^2 - z_2^2 - \dots - z_6^2$ . Then  $(X, x)$  is a cusp singularity with a resolution  $\pi: (\tilde{X}, E) \rightarrow (X, x)$  such that the exceptional set  $E$  is a cycle of six rational curves whose self-intersection numbers are all  $-3$ . Since  $f \notin m_{\tilde{X}, y}^2$ , we see that  $X$  is isomorphic to the subvariety in  $C^6$  defined by the equations obtained from the above equations (1), replacing  $z_0$  by  $z_1^2 + z_2^2 + \dots + z_6^2$ .

*Example 2.* Let  $n$ ,  $\{u_1, u_2, u_3\}$  and  $\{v_1, v_2, v_3\}$  be the same as in Example 1. Let  $\sigma = R_{\geq 0}(u_1 + 2u_3) + R_{\geq 0}(u_2 + 2u_3) + R_{\geq 0}(u_1 + 2u_2 + 2u_3) + R_{\geq 0}(2u_1 + u_2 + 2u_3)$ . Then  $(Y, y)$  is 2-Gorenstein and  $v_0 = (1/2)v_3$  satisfies the condition of Proposition 1.2. We see that  $\sigma^* \cap M$  is generated by  $l_1 = -2v_1 - 2v_2 + 3v_3$ ,  $l_2 = -v_1 + v_3$ ,  $l_3 = -2v_1 + 2v_2 + v_3$ ,  $l_4 = v_2$ ,  $l_5 = 2v_1 + 2v_2 - v_3$ ,  $l_6 = v_1$ ,  $l_7 = 2v_1 - 2v_2 + v_3$  and  $l_8 = -v_2 + v_3$ . (See Figure 2.) Let  $z_i = e(l_i)$  for  $i=1$  through 8 and let  $f = z_2 - z_4 + z_6 + z_8$ . Then  $f$  is non-degenerate,  $(X, x)$  is an isolated singularity and  $X \cap \text{Sing}(Y) = \{x\}$ . Moreover,  $(X, x)$  is a quotient of a simple elliptic singularity.

*Example 3.* Let  $n=3$ , let  $\{u_1, u_2, u_3, u_4\}$  be a basis of  $N$  and let  $\{v_1, v_2, v_3, v_4\}$  be the basis of  $M$  dual to  $\{u_1, u_2, u_3, u_4\}$ . Let  $\sigma = R_{\geq 0}(u_1 + u_2 + 2u_4) + R_{\geq 0}(u_1 + u_3 + 2u_4) + R_{\geq 0}(u_2 + u_3 + 2u_4) + R_{\geq 0}(u_1 + u_2 + 2u_3 + 2u_4) + R_{\geq 0}(u_1 + 2u_2 + u_3 + 2u_4) + R_{\geq 0}(2u_1 + u_2 + u_3 + 2u_4)$ . Then  $(Y, y)$  is 2-Gorenstein and  $v_0 = (1/2)v_4$  satisfies the condition of Proposition 1.2. We see that  $\sigma^* \cap M$  is generated by  $l_1 = v_1 - v_2 - v_3 + v_4$ ,  $l_2 = v_1 - v_2 + v_3$ ,  $l_3 = v_1 + v_2 + v_3 - v_4$ ,  $l_4 = v_1 + v_2 - v_3$ ,  $l_5 = -v_1 - v_2 - v_3 + 2v_4$ ,  $l_6 = -v_1 - v_2 + v_3 + v_4$ ,  $l_7 = -v_1 + v_2 + v_3$ ,  $l_8 = -v_1 + v_2 - v_3 + v_4$ ,  $l_9 = v_1$ ,  $l_{10} = -v_2 + v_4$ ,  $l_{11} = v_3$ ,  $l_{12} = v_2$ ,  $l_{13} = -v_3 + v_4$  and  $l_{14} = -v_1 + v_4$ . (See Figure 3.) Let  $z_i = e(l_i)$ , for  $i=1$  through 14 and let  $f = \sum_{1 \leq i \leq 14} z_i$ . Then  $f$  is non-degenerate,  $(X, x)$  is an isolated singularity

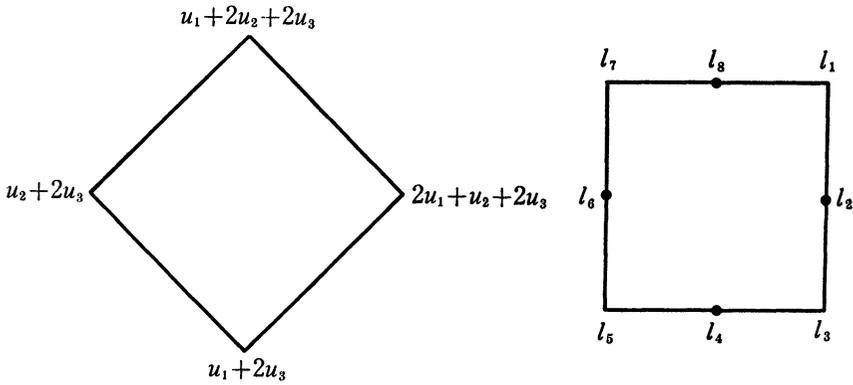


Figure 2.

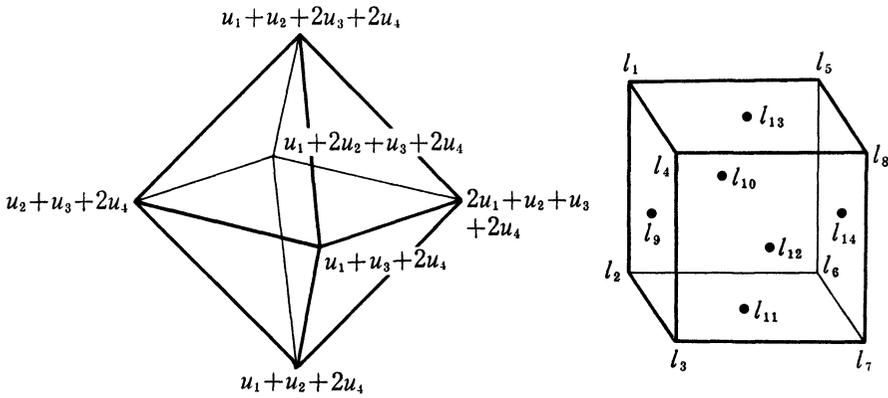


Figure 3.

and  $X \cap \text{Sing}(Y) = \{x\}$ . Let  $\Sigma = \{\text{faces of } \mathbf{R}_{\geq 0}(u_1 + u_2 + u_3 + 2u_4) + \tau \mid \tau \text{ are 3-dimensional faces of } \sigma\}$  and let  $\tilde{Y} = T_N \text{emb}(\Sigma)$ . Then  $\Sigma = \Gamma^*(f)$  and  $\tilde{Y}$  is the blowing up of  $Y$  along  $y = \text{orb}(\sigma)$ . Although  $\tilde{Y}$  has singularities,  $\tilde{X} \cap \text{Sing}(\tilde{Y}) = \emptyset$ , where  $\tilde{X}$  is the proper transformation of  $X$  under the blowing up  $\Pi: \tilde{Y} \rightarrow Y$ . Moreover,  $\Pi^{-1}(y) \cap \tilde{X} = E(u_1 + u_2 + u_3 + 2u_4)$  is an Enriques surface. Each of small deformations  $X_\varepsilon = \{f = \varepsilon\}$  of  $X$  has eight isolated quotient singularities.

### § 3. Hyperplane sections of Gorenstein toric singularities

We keep the notations of the previous section and throughout this section, we assume that  $(Y, y)$  is an isolated ( $y$ , i.e., each  $n$ -dimensional face of  $\sigma$  is non-singular), non-terminal and Gorenstein singularity. Hence  $(Y, y)$  is a canonical singularity of index 1 and the set  $\mathcal{L} := \{u \in \text{Int}(\sigma) \cap \mathcal{N} \mid \langle v_0, u \rangle = 1\}$  is non-

empty. Moreover, we assume that  $X=\{f=0\}$  is a generic hyperplane section, i.e.,  $f=\sum c_v e(v)$  with  $c_v \neq 0$ , for the generators  $v$  of  $\sigma^* \cap M$ .

PROPOSITION 3.1. *Under the above assumptions,  $(X, x)$  is a purely elliptic singularity, i.e.,  $\delta_m(X, x)=1$  for each positive integer  $m$ .*

*Proof.* Let  $u_0$  be an element in  $\mathcal{L}$ . Then  $\langle v_0, u_0 \rangle = 1$  and  $\{v \in \sigma^* \mid \langle v, u_0 \rangle \geq 1\} \supset$  the convex hull of  $(\sigma^* \setminus \{0\}) \cap M = \Gamma_+(f) \ni v_0$ . Hence the set  $\{v \in \sigma^* \mid \langle v, u_0 \rangle = 1\} \cap \Gamma_+(f)$  is a compact face of  $\Gamma_+(f)$  and contains  $v_0$ . Therefore,  $\delta_m = 1$  for each positive integer  $m$ , by Theorem 2.2. q.e.d.

*Remark.* (1) If  $(Y, y)$  is non-terminal and canonical of index  $r > 1$ , then  $(Y, y)$  is  $r$ -Gorenstein and  $v_0 \in \sigma^* \setminus \text{Int}(\Gamma_+(f))$ . There are examples with  $v_0 \notin \Gamma_+(f)$ , as well as examples with  $v_0 \in \Gamma(f)$ . Hence  $(X, x)$  may not be a periodically elliptic singularity in contrast with the above proposition.

(2) In the case that  $(Y, y)$  is not isolated, if  $\mathcal{L} = \emptyset$ , then  $(X, x)$  may be an isolated canonical singularity, even though  $(Y, y)$  is a non-terminal Gorenstein singularity. For instance, let  $\sigma$  be the cone generated by  $(\pm 1, 0, 0, 1)$ ,  $(0, \pm 1, 0, 1)$  and  $(1, 1, 2, 1)$  in  $\mathbf{Z}^4$ .

Ishii [3] and Koyama independently showed that a 2-dimensional purely elliptic singularity is a simple elliptic singularity or a cusp singularity.

PROPOSITION 3.2. *When  $n=2$ ,  $(X, x)$  is a simple elliptic singularity (resp. a cusp singularity), if the cardinal number of  $\mathcal{L}$  is equal to (resp. greater than) 1.*

*Proof.* First, we consider the case that  $\mathcal{L}$  consists of one element  $u_0$ . For each 2-dimensional face  $\tau = \mathbf{R}_{\geq 0} u' + \mathbf{R}_{\geq 0} u''$  ( $\{u', u''\} \subset \{u_1, u_2, \dots, u_s\}$ ) of  $\sigma$ ,  $\{u_0, u', u''\}$  is a basis of  $N$ , because  $\langle v_0, u_0 \rangle = \langle v_0, u' \rangle = \langle v_0, u'' \rangle = 1$  and the triangle spanned by  $u_0, u'$  and  $u''$  contains no elements in  $N$  except  $u_0, u'$  and  $u''$ . Let  $\{v_\tau, v', v''\}$  be the basis of  $M$  dual to  $\{u_0, u', u''\}$ . Then  $\langle v_\tau, u_0 \rangle = 1$  and  $\langle v_\tau, u' \rangle = \langle v_\tau, u'' \rangle = 0$ . Hence  $\sigma^*$  is generated by  $v_\tau$  and  $\Gamma(f)$  consists of one face which is the polygon spanned by  $v_\tau$ , for all 2-dimensional faces  $\tau$  of  $\sigma$ . Therefore,  $\Gamma^*(f) = \{\text{faces of } \mathbf{R}_{\geq 0} u_0 + \tau \mid \tau \text{ are 2-dimensional faces of } \sigma\}$  and the exceptional set  $E = E(u_0)$  of the resolution of  $(X, x)$  obtained from  $\Gamma^*(f)$  is a non-singular curve. It should be elliptic, because  $\delta_m(X, x) = 1$ .

When the cardinal number of  $\mathcal{L}$  is greater than 1, we easily see that there exist at least two 2-dimensional compact faces of  $\Gamma_+(f)$  containing  $v_0$ , which we denote by  $\Delta_1$  and  $\Delta_2$ . Then  $\Delta_1^* = \mathbf{R}_{\geq 0} u'_1$  and  $\Delta_2^* = \mathbf{R}_{\geq 0} u'_2$  for primitive elements  $u'_1$  and  $u'_2$  in  $\text{Int}(\sigma) \cap N$  such that  $\langle v_0, u'_1 \rangle = d(u'_1)$  and that  $\langle v_0, u'_2 \rangle = d(u'_2)$ . Hence the exceptional set  $E$  of the resolution  $\pi : (\tilde{X}, E) \rightarrow (X, x)$  of  $(X, x)$  obtained from any subdivision of  $\Gamma^*(f)$  contains two irreducible components  $E(u'_1)$  and  $E(u'_2)$  along which  $\pi^* \omega$  has poles of order 1, by Lemma 2.3, where  $\omega = \text{Res}(e(v_0)((dw_1/w_1) \wedge \dots \wedge (dw_{n+1}/w_{n+1}))/f)$ . Therefore,  $(X, x)$  is not a simple elliptic singularity. q.e.d.

Since  $(Y, y)$  is an isolated singularity,  $(X, x)$  is smoothable. On the other hand, Wahl [9, 10] showed that if a simple elliptic singularity (resp. a cusp singularity)  $(X, x)$  is smoothable, then  $m(X) \leq 9$ , (resp.  $m(X) - l(X) \leq 9$ ), where  $l(X)$  is the number of the irreducible components of the exceptional set  $E$  of the minimal resolution of  $(X, x)$  and  $m(X)$  is the multiplicity of  $(X, x)$ , which is equal to  $-E^2$ , if  $-E^2 \geq 3$ .

PROPOSITION 3.3. *Assume that the cardinal number of  $\mathcal{L}$  is equal to 1. If  $\sigma$  is an  $s$ -gonal cone,  $-E^2 = 12 - s$ . (Therefore,  $-E^2 \leq 9$ .)*

*Proof.* Let  $\mathcal{L} = \{u_0\}$ . Then  $\Gamma^*(f) = \{\text{faces of } \mathbf{R}_{\geq 0}u_0 + \tau \mid \tau \text{ are 2-dimensional faces of } \sigma\}$  consists of non-singular cones, by the proof of Proposition 3.2. Hence we obtain resolutions  $\Pi: (\tilde{Y}, F) \rightarrow (Y, y)$  and  $\pi = \Pi_{1, \tilde{X}}: (\tilde{X}, E) \rightarrow (X, x)$ , where  $\tilde{Y} = T_N \text{emb}(\Gamma^*(f))$ ,  $F$  is the closure of  $\text{orb}(\mathbf{R}_{\geq 0}u_0)$ ,  $\tilde{X}$  is the proper transformation of  $X$  under  $\Pi$  and  $E = \tilde{X} \cdot F$ . Let  $\tilde{D}_i$  be the proper transformation of  $D_i$  under  $\Pi$  and let  $E_i = F \cdot \tilde{D}_i$ . Since  $F + \tilde{X} = [\Pi^*f]$  and  $F + \tilde{D}_1 + \tilde{D}_2 + \dots + \tilde{D}_s = [\Pi^*e(v_0)]$  are principal divisors, we have  $-E_{1, \tilde{X}}^2 = -F^2 \cdot \tilde{X} = F \cdot \tilde{X}^2 = \sum_{1 \leq i \leq s} F \cdot \tilde{D}_i^2 + 2\sum_{0 \leq i < j \leq s} F \cdot \tilde{D}_i \cdot \tilde{D}_j = (\sum_{1 \leq i \leq s} E_{i, F}^2) + 2s = 3(4 - s) + 2s = 12 - s$ , because  $F$  is a non-singular toric variety whose 1-dimensional orbits are  $E_1, E_2, \dots$  and  $E_s$ .

q. e. d.

PROPOSITION 3.4. *Assume that the convex hull of  $\mathcal{L}$  is a polygon. If  $\sigma$  is an  $s$ -gonal cone, then,  $-E^2 - l(X) = 12 - s$ . (Therefore,  $-E^2 - l(X) \leq 9$ .)*

*Proof.* Let  $P$  (resp.  $Q$ ) be the convex hull of  $\mathcal{L}$  (resp.  $\{u \in \sigma \cap N \mid \langle v_0, u \rangle = 1\}$ ). Then  $Q = \{u \in \sigma \mid \langle v_0, u \rangle = 1\}$  and  $\text{Int}(Q) \supset P$ . Take a triangulation  $\Delta$  (resp.  $\Delta'$ ) of  $P$  (resp.  $Q \setminus \text{Int}(P)$ ) so that the set of the vertices of  $\Delta$  (resp.  $\Delta'$ ) agrees with  $P \cap N = \mathcal{L}$  (resp.  $(Q \setminus \text{Int}(P)) \cap N$ ). Let  $e_0, e_1$  and  $e_2$  (resp.  $e'_0, e'_1$  and  $e'_2$ ) be the numbers of the vertices, edges and faces, respectively, of  $\Delta$  (resp.  $\Delta'$ ). Then  $e_0 - e_1 + e_2 = 1$  and  $e'_0 - e'_1 + e'_2 = 0$ , because  $P$  and  $Q$  are polygons. Let  $l$  be the number of the vertices on the boundary  $\partial P$  of  $P$ . Then  $e'_0 = l + s$  and  $3e'_2 = 2e'_1 - (l + s)$ , because the number of the vertices (resp. edges) on the boundary of  $Q \setminus \text{Int}(P)$  is equal to  $l + s$ . Hence by an easy calculation, we have  $e'_1 = 2(l + s)$ . Since  $\square := \Delta \cup \Delta'$  is a triangulation of  $Q$ , we see that  $\Sigma^* := \{\mathbf{R}_{\geq 0}\tau \mid \tau \text{ are simplexes of } \square\} \cup \{0\}$  is a subdivision of  $\Gamma^*(f)$  and consists of non-singular cones. Hence we have a resolution  $\Pi: (\tilde{Y}, F) \rightarrow (Y, y)$ , where  $\tilde{Y} = T_N \text{emb}(\Sigma^*)$ . Let  $\tilde{D}_i$  be the proper transformation of  $D_i$  under  $\Pi$  and let  $\tilde{D} = \tilde{D}_1 + \tilde{D}_2 + \dots + \tilde{D}_s$ . Then  $\Delta$  and  $\square$  are the dual graphs of  $F = F_1 + F_2 + \dots + F_{e_0}$  and  $F + \tilde{D}$ , respectively. Since  $\tilde{X} + F = [\Pi^*f]$  and  $\tilde{D} + F = [\Pi^*e(v_0)]$  are principal divisors, we have  $0 = F_i \cdot F_j \cdot (\tilde{D} + F) = F_i^2 \cdot F_j + F_i \cdot F_j^2 + 2$ , if  $F_i \cap F_j \neq \emptyset$  and  $-E_{1, \tilde{X}}^2 = -F^2 \cdot \tilde{X} = F \cdot \tilde{D}^2 = \sum_{1 \leq i \leq e_0} (\sum_{1 \leq j \leq s} F_i \cdot \tilde{D}_j^2 + 2\sum_{1 \leq j < k \leq s} F_i \cdot \tilde{D}_j \cdot \tilde{D}_k) = \sum_{1 \leq i \leq e_0, 1 \leq j \leq s} F_i \cdot \tilde{D}_j^2 + 2s$ , where  $\tilde{X}$  is the proper transformation of  $X$  under  $\Pi$  and  $E = \tilde{X} \cdot F$ . On the other hand, since each irreducible component  $F_i$  of  $F$  is a non-singular toric variety with  $F_i \cdot (F + \tilde{D} - F_i)$  as the union of 1-dimensional orbits, we have  $\sum_{i \neq j} F_i \cdot F_j^2 + \sum_{1 \leq k \leq s} F_i \cdot \tilde{D}_k^2 = 3(4 - d_i)$ , where  $d_i$  is the number of the double curves on  $F_i$ . Hence by taking the sum

of the self-intersection numbers of the double curves  $F_i \cdot F_j$  and  $F_i \cdot \tilde{D}_k$  on all the irreducible components  $F_i$  of  $F$ , we have  $-2e_1 + \sum_{1 \leq i \leq e_0, 1 \leq j \leq s} F_i \cdot \tilde{D}_j^2 = \sum_{1 \leq i \leq e_0} 3(4 - d_i) = 12e_0 - 3(2e_1 + l + s) = 12e_0 - 6e_1 - 3l - 3s$ . Therefore,  $-E^2_{\tilde{X}} = 12e_0 - 6e_1 - 3l - 3s + 2e_1 + 2s = 12e_0 - 4e_1 - 3l - s = 12e_0 - 12e_1 + 12e_2 + l - s = 12 + l - s$ , because  $3e_2 = 2e_1 - l$ . Thus we obtain  $-E^2 - l = 12 - s$ . Here we note that  $l$  is equal to the number of the irreducible components of  $E$ , because  $\tilde{X} \cap F_i \neq \emptyset$ , if and only if  $\tilde{D} \cap F_i \neq \emptyset$  (, i.e., the vertex of  $\square$  corresponding to  $F_i$  is on  $\partial P$ ) and then  $\tilde{X} \cap F_i$  is irreducible. Moreover,  $E_i \cdot E_j = \tilde{X} \cdot F_i \cdot F_j = \tilde{D} \cdot F_i \cdot F_j \leq 1$  and the equality holds, if and only if the vertices of  $\square$  corresponding to  $F_i$  and  $F_j$  are joined by an edge on  $\partial P$ . Hence  $E$  forms a cycle. Therefore, although  $(\tilde{X}, E)$  is not a minimal resolution, the contraction of a rational curve  $E_i$  with  $E_i^2 = -1$  does not change the number  $-E^2 - l$ . Thus we complete the proof.

*Examples.* In the following table,  $E = E_1 + E_2 + \dots + E_l$  is the exceptional set of the minimal resolution of  $(X, x)$  such that  $E_i \cdot E_{i+1} = 1$  for each  $i \in \mathbb{Z}/l\mathbb{Z}$ .

generators of $\sigma$	$l$	$-E_1^2, -E_2^2, \dots, -E_l^2$
$(0, 0, 1), (5, 2, 1), (3, 5, 1)$	6	5, 4, 5, 4, 5, 4
$(0, 0, 1), (4, 1, 1), (3, 4, 1)$	6	7, 2, 7, 2, 7, 2
$(0, 0, 1), (8, 3, 1), (5, 8, 1)$	9	5, 4, 3, 5, 4, 3, 5, 4, 3
$(0, 0, 1), (7, 2, 1), (5, 7, 1)$	9	5, 5, 2, 5, 5, 2, 5, 5, 2
$(0, 0, 1), (7, 3, 1), (4, 7, 1)$	9	6, 4, 2, 6, 4, 2, 6, 4, 2

§ 4. Deformations

We assume that  $n = \dim X \geq 3$ , throughout this section. Let  $U = X \setminus \{x\}$  and let  $W = Y \setminus \{y\}$ . Then we have the isomorphism  $T_x^1 \cong H^1(U, \mathcal{O}_U)$ , by Proposition 2.1 and [7, Theorem 2], where  $T_x^1 = H^0(X, \mathcal{O}_x^1)$  is the tangent space to the formal moduli space of  $X$  and  $\mathcal{O}_U$  is the tangent sheaf of  $U$ . Consider the long exact sequence arising from the short exact sequence of sheaves:

$$0 \longrightarrow \mathcal{O}_U \longrightarrow i^* \mathcal{O}_W \longrightarrow \mathcal{N} \longrightarrow 0,$$

where  $i: U \hookrightarrow W$  is the inclusion map. Here we note that the normal sheaf  $\mathcal{N} \cong \mathcal{O}_U(U)$  is isomorphic to the structure sheaf  $\mathcal{O}_U$ , because  $X$  is a principal divisor on  $Y$ . Let  $\{\theta_1, \theta_2, \dots, \theta_l\}$  be a basis of the image of the map  $\delta: H^0(U, \mathcal{N}) \rightarrow H^1(U, \mathcal{O}_U)$  and let  $g_i$  be an element of  $H^0(Y, \mathcal{O}_Y)$  whose image is  $\theta_i$  under the composite of the surjective maps  $H^0(Y, \mathcal{O}_Y) = H^0(W, \mathcal{O}_W) \rightarrow H^0(U, \mathcal{O}_U) \cong H^0(U, \mathcal{N})$  sending  $h$  to  $h|_U \cdot \partial/\partial f$  and  $H^0(U, \mathcal{N}) \rightarrow \text{Im}(\delta)$ . Let  $\mathcal{X} = \{(z, t) \in Y \times \Delta \mid f(z) + t_1 g_1(z) + t_2 g_2(z) + \dots + t_l g_l(z) = 0\}$  and let  $\pi$  be the restriction to  $\mathcal{X}$  of the projection

$Y \times \Delta \rightarrow \Delta$ , where  $\Delta = \{(t_1, t_2, \dots, t_l) \in \mathbb{C}^l \mid |t_j| < \varepsilon\}$ . Then  $\pi$  is flat, by [1, Chapter V, Corollary 1.5]. Let  $\mathcal{U}$  be the open set of  $\mathcal{X}$  on which  $\pi$  is smooth. Then we obtain a family  $\pi|_{\mathcal{U}}: \mathcal{U} \rightarrow \Delta$  of deformations of the complex manifold  $U$ . Moreover, by an easy calculation, we have  $\rho(\partial/\partial t_j) = \theta$ , for  $j=1$  through  $l$ , where  $\rho: T_0(\Delta) \rightarrow H^1(U, \Theta_U)$  is the infinitesimal deformation map. Hence  $\rho$  is injective and if  $H^1(U, i^*\Theta_W) = 0$ , then  $\rho$  is surjective.

**THEOREM 4.1.** *If  $H^1(U, i^*\Theta_W) = 0$ , then  $\pi: \mathcal{X} \rightarrow \Delta$  is a locally semiuniversal family of  $X$ .*

*Proof.* Recall that  $T_{\frac{1}{2}}$  is defined by the exact sequence

$$0 \longrightarrow \text{Hom}(\Omega_{\frac{1}{2}}, \mathcal{O}_X) \longrightarrow \text{Hom}(j^*\Omega_{\mathbb{C}^N}^1, \mathcal{O}_X) \longrightarrow \text{Hom}(I/I^2, \mathcal{O}_X) \longrightarrow T_{\frac{1}{2}} \longrightarrow 0$$

obtained by the exact sequence of sheaves:  $I/I^2 \xrightarrow{d} j^*\Omega_{\mathbb{C}^N}^1 \rightarrow \Omega_{\frac{1}{2}} \rightarrow 0$ , for an inclusion  $j: X \hookrightarrow \mathbb{C}^N$  with the ideal sheaf  $I$ . On the other hand, we have the exact sequence

$$\begin{aligned} 0 &\longrightarrow \text{Hom}(\Omega_{\frac{1}{2}}, \mathcal{O}_X) \longrightarrow \text{Hom}(j^*\Omega_{\mathbb{C}^N}^1, \mathcal{O}_X) \longrightarrow \text{Hom}(\text{Im}(d), \mathcal{O}_X) \\ &\longrightarrow \text{Ext}_{\mathcal{O}_X}^1(\Omega_{\frac{1}{2}}, \mathcal{O}_X) \longrightarrow 0, \end{aligned}$$

by the short exact sequence of sheaves:  $0 \rightarrow \text{Im}(d) \rightarrow j^*\Omega_{\mathbb{C}^N}^1 \rightarrow \Omega_{\frac{1}{2}} \rightarrow 0$ . Since the support of  $\ker(d)$  is  $\{x\}$ , we have  $\text{Hom}(\text{Im}(d), \mathcal{O}_X) = \text{Hom}(I/I^2, \mathcal{O}_X)$ . Thus we have the canonical isomorphism  $\text{Ext}_{\mathcal{O}_X}^1(\Omega_{\frac{1}{2}}, \mathcal{O}_X) \cong T_{\frac{1}{2}}$ . Hence the infinitesimal deformation map  $T_0(\Delta) \rightarrow \text{Ext}_{\mathcal{O}_X}^1(\Omega_{\frac{1}{2}}, \mathcal{O}_X)$  for the family  $\pi: \mathcal{X} \rightarrow \Delta$  is bijective. Then by [8, Theorem 6.1],  $\pi: \mathcal{X} \rightarrow \Delta$  is locally semiuniversal. q. e. d.

**COROLLARY 4.2.** *If  $H^1(U, i^*\Theta_W) = 0$ , then any small deformation of  $X$  is also a hypersurface section of  $Y$ .*

**PROPOSITION 4.3.** *If  $\sigma$  is a simplicial cone (hence  $Y$  is a quotient space of  $\mathbb{C}^{n+1}$ ), then  $H^1(U, i^*\Theta_W) = 0$ .*

*Proof.* Let  $l_1, l_2, \dots$  and  $l_{n+1}$  be the generators of  $\sigma$  and let  $N' = \mathbb{Z}l_1 + \mathbb{Z}l_2 + \dots + \mathbb{Z}l_{n+1}$ . Here we may assume that  $l_1, l_2, \dots$  and  $l_{n+1}$  are primitive elements in  $N$ . Then the inclusion  $N' \hookrightarrow N$  induces a holomorphic map  $\varphi: Y' \rightarrow Y$ , where  $Y' = T_{N'} \text{emb}(\{\text{faces of } \sigma\}) \cong \mathbb{C}^{n+1}$ . Let  $U' = \varphi^{-1}(U)$ . Then  $\varphi|_{U'}: U' \rightarrow U$  is unramified, by the assumption  $X \cap \text{Sing}(Y) = \{x\}$ . Hence  $H^1(U, i^*\Theta_W) = H^1(U', h^*\Theta_{Y'})^G = 0$ , where  $h: U' \hookrightarrow Y'$  is the inclusion map and  $G$  is the covering transformation group of  $\varphi$ . q. e. d.

*Example.* Let  $X'$  be the hypersurface of  $\mathbb{C}^4$  defined by  $z_1^2 + z_2^6 + z_3^6 + z_4^6 = 0$  and let  $X = X'/G$  be the quotient space of  $X'$  under the group  $G$  generated by  $(1, \xi, \xi, \xi)$ , where  $\xi$  is a primitive cube root of 1. Then  $X$  is a hypersurface section of  $Y = \mathbb{C}^4/G$ , which is a toric singularity, and whose singular locus

$\text{Sing}(Y)$  is 1-dimensional. We easily see that  $X$  has an isolated singularity obtained by contracting a K3 surface. By Corollary 4.2 and Proposition 4.3, any small deformation of  $X$  is also a hypersurface section  $X_t = \pi^{-1}(t)$  of  $Y$ . Since  $X_t$  intersect  $\text{Sing}(Y)$  at finitely many points,  $X_t$  has singularities, i.e.,  $X$  is not smoothable.

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