

AN EXTREMAL PROBLEM FOR SUBHARMONIC FUNCTIONS OF $\mu_* < 1/2$

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0. Introduction. Let f be an entire function. We denote the order and the lower order of f by λ and μ , respectively. And we set

$$m^*(r, f) = \min_{|z|=r} |f(z)|, \quad M(r, f) = \max_{|z|=r} |f(z)|.$$

Then the classical $\cos \pi\lambda$ theorem of Valiron and Wiman asserts

$$(1) \quad \overline{\lim}_{r \rightarrow \infty} \frac{\log m^*(r, f)}{\log M(r, f)} \geq \cos \pi\lambda,$$

provided that $0 \leq \lambda < 1$. In 1960 Kjellberg [15] showed that if $0 \leq \mu < 1$, then the above assertion (1) is valid with λ replaced by μ .

In [5], [6] Drasin and Shea considered those functions for which (1) is the best, and discussed the “global” asymptotic behavior of such functions. Their argument involves solving a convolution inequality. Baernstein [1] made use of their study on the convolution inequality to prove two theorems complementing the spread relation.

On the other hand, Edrei [9] also considered the extremal functions of the $\cos \pi\mu$ theorem, and discussed the “local” asymptotic behavior of such functions. His idea in [9] lies in adapting the work of Cartwright [2] on a sinusoidal indicator to his local one introduced in [8]. Further he showed in [9] that his method is applicable to the following extremal problem:

For meromorphic functions, assume $0 \leq \mu < 1/2$, $k = \delta(\infty, f) - 1 + \cos \pi\mu > 0$. Then

$$(2) \quad \overline{\lim}_{r \rightarrow \infty} \frac{\log m^*(r, f)}{T(r, f)} \geq k \cdot \frac{\pi\mu}{\sin \pi\mu}.$$

This inequality is best possible. The problem is to characterize those functions for which (2) is the best (See [9, Theorem 1]).

In connection with (2), it is natural to consider the quantity:

$$\overline{\lim}_{r \rightarrow \infty} \frac{\log m^*(r, f)}{m_2(r, f)}$$

for an entire or a meromorphic function f , where

$$m_2(r, f) = \left(\frac{1}{2\pi} \int_{-\pi}^{+\pi} \{ \log |f(re^{i\theta})| \}^2 d\theta \right)^{1/2}.$$

In [19] we defined the local indicator for a sequence of subharmonic functions, and considered the above problem more generally for δ -subharmonic functions, that is, those functions $v(z)$ which can be represented as

$$(3) \quad v(z) = u^{(1)}(z) - u^{(2)}(z),$$

where $u^{(1)}(z)$, $u^{(2)}(z)$ are subharmonic functions in \mathbf{C} . For a δ -subharmonic function (3), we put

$$m^*(r, v) = \inf_{|z|=r} v(z), \quad M(r, v) = \sup_{|z|=r} v(z), \quad N(r, v) = \frac{1}{2\pi} \int_{-\pi}^{+\pi} V(re^{i\theta}) d\theta.$$

Then the characteristic function of v is defined by

$$T(r, v) = N(r, v^+) + N(r, u^{(2)}) = N(r, \max(u^{(1)}, u^{(2)})).$$

With the above $T(r, v)$, we consider the following four quantities:

$$\lambda = \overline{\lim}_{r \rightarrow \infty} \frac{\log T(r, v)}{\log r} \quad (\text{the order of } v),$$

$$\mu = \underline{\lim}_{r \rightarrow \infty} \frac{\log T(r, v)}{\log r} \quad (\text{the lower order of } v),$$

$$\lambda_* = \sup \left\{ \rho : \overline{\lim}_{A, r \rightarrow \infty} \frac{T(Ar, v)}{A^\rho T(r, v)} = \infty \right\} \quad (\text{the upper index of Pólya peaks for } v).$$

$$\mu_* = \inf \left\{ \rho : \underline{\lim}_{A, r \rightarrow \infty} \frac{T(Ar, v)}{A^\rho T(r, v)} = 0 \right\} \quad (\text{the lower index of Pólya peaks for } v).$$

It is easy to see that $\mu_* \leq \mu \leq \lambda \leq \lambda_*$. Drasin and Shea [7] proved that the Pólya peaks of order ρ for v exist iff $\rho \in [\mu_*, \lambda_*]$, $\rho < \infty$. We remark that there exists a subharmonic function satisfying $\mu_* < \mu$ or $\lambda < \lambda_*$. Further we define $\delta(\infty, v)$ and $m_2(r, v)$ as follows:

$$\delta(\infty, v) = 1 - \overline{\lim}_{r \rightarrow \infty} \frac{N(r, u^{(2)})}{T(r, v)}, \quad m_2(r, v) = \{N(r, v^+)\}^{1/2}.$$

One of our results in [19] can now be described.

THEOREM A. *Let v be δ -subharmonic defined by (3). Assume that $\mu_* < 1/2$ and $N(r, u^{(1)}) \sim T(r, v)$ ($r \rightarrow \infty$). Further let ρ satisfy the following three conditions:*

- (i) $\mu_* \leq \rho \leq \lambda_*$, (ii) $0 \leq \rho < 1/2$,
- (iii) $k_2(\rho) = \cos \pi \rho - 1 + \delta(\infty, v) / (2 - \delta(\infty, v)) > 0$.

Then

$$(4) \quad \overline{\lim}_{r \rightarrow \infty} \frac{m^*(r, v)}{m_2(r, v)} \geq \frac{k_2(\rho)}{\sqrt{1/2 + \sin 2\pi\rho/4\pi\rho}} \equiv C_2(\rho).$$

In particular, if v is subharmonic, then the assumption: $N(r, u^{(1)}) \sim T(r, v)$ can be dropped.

If $\delta(\infty, v) = 1$, the estimate (4) is best possible. And an elementary but somewhat lengthy computation shows that

$$\sup_{\substack{\mu_* \leq \rho \leq \lambda_* \\ \rho < 1/2}} C_2(\rho) = C_2(\mu_*),$$

provided that $\delta(\infty, v) = 1$.

In this paper we shall make use of Edrei's idea stated above to obtain the following result.

THEOREM. *Let $u(z)$ be a subharmonic function in \mathbf{C} and have $\mu_* < 1/2$. Assume that $u(z)$ satisfies*

$$(5) \quad \overline{\lim}_{r \rightarrow \infty} \frac{m^*(r, u)}{m_2(r, u)} = C_2(\mu_*).$$

Then there exists a positive, increasing, unbounded sequence $\{y_k\}_1^\infty$ having all the following properties:

I.

$$(6) \quad \lim_{k \rightarrow \infty} \frac{m^*(y_k, u)}{m_2(y_k, u)} = C_2(\mu_*),$$

$$(7) \quad \lim_{k \rightarrow \infty} \frac{N(y_k, u)}{m_2(y_k, u)} = \frac{\sin \pi \mu_*}{\pi \mu_*} \frac{1}{\sqrt{1/2 + \sin 2\pi \mu_*/4\pi \mu_*}} \equiv C_1(\mu_*),$$

$$(8) \quad \lim_{k \rightarrow \infty} \frac{N(y_k, u)}{T(y_k, u)} = 1.$$

II. *There also exist three positive sequences $\{y'_k\}_1^\infty, \{y''_k\}_1^\infty, \{\varepsilon_k\}_1^\infty$ such that, as $k \rightarrow \infty$,*

$$(9) \quad y_k/y'_k \rightarrow \infty, \quad y''_k/y_k \rightarrow \infty, \quad \varepsilon_k \rightarrow 0,$$

and such that

$$(10) \quad y'_k \leq r \leq y''_k, \quad k \geq k_0$$

implies

$$(11) \quad (1 - \varepsilon_k) \left(\frac{r}{y_k}\right)^{\mu_*} \leq \frac{N(r, u)}{N(y_k, u)} \leq (1 + \varepsilon_k) \left(\frac{r}{y_k}\right)^{\mu_*},$$

$$(12) \quad (1 - \varepsilon_k) \left(\frac{r}{y_k} \right)^{\mu_*} \leq \frac{T(r, u)}{T(y_k, u)} \leq (1 + \varepsilon_k) \left(\frac{r}{y_k} \right)^{\mu_*},$$

$$(13) \quad \frac{m_2(r, u)}{m_2(y_k, u)} \leq (1 + \varepsilon_k) \left(\frac{r}{y_k} \right)^{\mu_*}.$$

III. Further if $\mu_* > 0$, then there exist three sequences $\{y_k'\}_1^\infty$, $\{\delta_k\}_1^\infty$, $\{\theta_k\}_1^\infty$ such that as $k \rightarrow \infty$,

$$(14) \quad y_k''/y_k \rightarrow \infty, \quad y_k'''/y_k'' \rightarrow \infty, \quad \delta_k \rightarrow 0,$$

and such that

$$(15) \quad y_k'' \leq r \leq y_k''', \quad k \geq k_1$$

implies

$$(16) \quad N(r, u; S_k) < \varepsilon_k N(r, u),$$

where

$$(17) \quad S_k = \{z : \delta_k \leq \arg z - \theta_k \leq 2\pi - \delta_k\}.$$

From the statement of I and II in Theorem, we can easily derive the following facts:

If $u(z)$ has $\mu_* < 1/2$ and satisfies (5), then

$$(18) \quad \lim_{\substack{r \rightarrow \infty \\ r \in G}} \frac{N(Kr, u)}{N(r, u)} = K^{\mu_*}, \quad \lim_{\substack{r \rightarrow \infty \\ r \in G}} \frac{T(Kr, u)}{T(r, u)} = K^{\mu_*},$$

uniformly for K in any interval $A^{-1} \leq K \leq A$ ($A > 1$), with

$$G = \bigcup_{n=1}^{\infty} [a_n, b_n] \quad (a_n \rightarrow \infty, b_n/a_n \rightarrow \infty).$$

Further,

$$(19) \quad \lim_{\substack{r \rightarrow \infty \\ r \in G}} \frac{N(r, u)}{T(r, u)} = 1,$$

and

$$(20) \quad \liminf_{\substack{r \rightarrow \infty \\ r \in G}} \frac{N(r, u)}{m_2(r, u)} \geq C_1(\mu_*)$$

hold.

The above estimates (18)–(20) are no longer true if we omit the restriction $r \in G$. To see this, we make use of the concept of a flexible proximate order which was introduced by Drasin [4].

Let $\lambda(r)$ ($r > 0$) be a continuous, nonnegative function which is continuously differentiable off a discrete set D , such that

$$(21) \quad r\lambda'(r) \longrightarrow 0 \quad (r \rightarrow \infty, r \notin D).$$

Let E and E_1 be sets of the form

$$(22) \quad E = \bigcup_{n=1}^{\infty} [a_n, b_n], \quad E_1 = \bigcup_{n=1}^{\infty} [k_n^{-1}a_n, k_n b_n],$$

where

$$(1 <) k_n \uparrow \infty \quad (n \rightarrow \infty), \quad [k_n^{-1}a_n, k_n b_n] \cap [k_m^{-1}a_m, k_m b_m] = \emptyset \quad (m \neq n),$$

$$(23) \quad \int_{E_1 \cap [1, r]} t^{-1} dt = o(\log r) \quad (r \rightarrow \infty).$$

Now, suppose that $\lambda(r)$ satisfies

$$(24) \quad 0 < \rho_1 \leq \lambda(r) \leq \rho_2 < 1,$$

$$(25) \quad \lambda(r) = \begin{cases} \rho_1 & (< 1/2) & (r \in \bigcup_{n=1}^{\infty} [a_{2n}, b_{2n}]), \\ \rho_2 & & (r \in \bigcup_{n=1}^{\infty} [a_{2n-1}, b_{2n-1}]), \\ \rho & (\rho_1 < \rho < \rho_2) & (r \in E_1), \end{cases}$$

and let $\lambda(r)$ be extended to $E_1 - E$ so that it is continuous and

$$t\lambda'(t) = \begin{cases} -(\rho - \rho_1)/\log k_{2n} & t \in (k_{2n}^{-1}a_{2n}, a_{2n}), \\ (\rho_2 - \rho)/\log k_{2n-1} & t \in (k_{2n-1}^{-1}a_{2n-1}, a_{2n-1}), \\ (\rho - \rho_1)/\log k_{2n} & t \in (b_{2n}, k_{2n}b_{2n}), \\ -(\rho_2 - \rho)/\log k_{2n-1} & t \in (b_{2n-1}, k_{2n-1}b_{2n-1}). \end{cases}$$

Then (21) holds, and by (23), (25) it is clear that

$$(26) \quad (\log r)^{-1} \int_1^r \lambda(t)t^{-1} dt \longrightarrow \rho \quad (r \rightarrow \infty).$$

Let $f(z)$ be a canonical product with negative zeros with counting function

$$(27) \quad n(r) = \left[\exp \left(\int_1^r \lambda(t)t^{-1} dt \right) \right].$$

Then (26) implies that f is of order ρ (< 1) (cf. [2, Theorem 1.11.]) and so for a suitable branch of $\log f(z)$

$$(28) \quad \log f(z) = z \int_0^{\infty} \frac{n(t)}{t(t+z)} dt \quad (|\arg z| < \pi).$$

Using the reasoning of the proof of Proposition in [4, p. 133], we have from (21), (24), (25), (26) and (28)

$$(29) \quad \log f(z) = \left\{ \frac{\pi}{\sin \pi \lambda(r)} e^{i \lambda(r) \theta} + o(1) \right\} n(r),$$

where the $o(1)$ in (29) tends to zero uniformly as $z \rightarrow \infty$ in any sector: $|\theta| \leq \pi - \eta$. From (29) we easily obtain for $u(z) = \log |f(z)|$,

$$(30) \quad N(r, u) = \frac{n(r)}{\lambda(r)} (1 + o(1)),$$

$$(31) \quad T(r, u) = \frac{n(r)}{\lambda(r)} (1 + o(1)) (\lambda(r) \leq 1/2), \quad = \frac{n(r)}{\lambda(r) \sin \pi \lambda(r)} (1 + o(1)) (\lambda(r) > 1/2),$$

$$(32) \quad m_2(r, u) = \frac{\pi n(r)}{\sin \pi \lambda(r)} \sqrt{1/2 + \sin 2\pi \lambda(r) / 4\pi \lambda(r)} \cdot (1 + o(1)),$$

$$(33) \quad m^*(r, u) \leq \frac{\pi n(r)}{\sin \pi \lambda(r)} \cos \pi \lambda(r) \cdot (1 + o(1)).$$

If $K (> 0)$ is fixed, (21) implies that $\lambda(Kr) = \lambda(r) + o(1)$ ($r \rightarrow \infty$), and so by (27) $n(Kr) \sim K^{\lambda(r)} n(r)$ ($r \rightarrow \infty$). Hence by (31)

$$\frac{T(Kr, u)}{K^{\lambda(r)} T(r, u)} = 1 + o(1) \quad (r \rightarrow \infty).$$

Thus (25) and the definition of μ_* (λ_*) imply

$$(34) \quad \mu_* = \rho_1 \quad (\lambda_* = \rho_2).$$

On the other hand, we have by (32), (33)

$$(35) \quad \frac{m^*(r, u)}{m_2(r, u)} \leq C_2(\lambda(r))(1 + o(1)) \leq C_2(\rho_1)(1 + o(1)).$$

It follows from (34) and (35) that $u(z)$ satisfies (5). However, by (30)–(33) we have

$$(36) \quad \frac{N(Kr, u)}{N(r, u)} = K^{\lambda(r)} (1 + o(1)),$$

$$(37) \quad \frac{T(Kr, u)}{T(r, u)} = K^{\lambda(r)} (1 + o(1)),$$

$$(38) \quad \frac{N(r, u)}{m_2(r, u)} = C_1(\lambda(r))(1 + o(1)), \quad \frac{N(r, u)}{T(r, u)} = \begin{cases} 1 + o(1) & (\lambda(r) < 1/2) \\ \sin \pi \lambda(r) \cdot (1 + o(1)) & (\lambda(r) \geq 1/2). \end{cases}$$

(36)–(38) illustrate our assertion which we have stated above in relation to (18)–(20). And from (22), (23) we have $\log \text{dens } G = 0$ in this case. This fact is worth while to be compared with the result of Drasin and Shea in [6, pp.

281-283]. Further we note from (26), (27), (31) and (34) that $\rho_1 = \mu_* < \mu = \rho = \lambda < \lambda_* = \rho_2$.

Our theorem is unsatisfactory in one respect, that is, we cannot answer whether in addition to (13) an estimate from below such as

$$(1 - \varepsilon_k) \left(\frac{r}{y_k} \right)^{\mu_*} \leq \frac{m_2(r, u)}{m_2(y_k, u)} \quad (y'_k \leq r \leq y''_k)$$

holds or not.

Now, we conclude §0 by describing our plan for the proof of our theorem. First, in §1, we shall state the definition and the elementary properties of the local indicator for a sequence $\{B_m(z)\}_1^\infty$ of subharmonic functions such that $B_m(z)$ is subharmonic in the annulus: $r'_m \leq |z| \leq r''_m$ ($m=1, 2, \dots$) (cf. [19]). Next, we remark that, roughly speaking, Edrei's idea in [9] is supported by two facts—the one is the Boutroux—Cartan Lemma and the other is a lemma due to Edrei and Fuchs [10, p. 322]. So in §2, we shall extend these two lemmas for subharmonic functions. In §3, in relation to an extremal function $u(z)$ satisfying (5), we define a sequence $\{B_m(z)\}_1^\infty$ of subharmonic functions and show that the local indicator of $\{B_m(z)\}_1^\infty$ is sinusoidal. This fact implies that Edrei's idea is applicable to our problem. In §4, we shall prove a lemma which is essential to the proof of I and II of our theorem. To do this, we need an estimate of Miles and Shea [16] and an estimate due to Gol'dberg [11]. In §5, we follow Edrei's procedure in [9] to obtain I and II of our theorem. In §6, combining some estimates obtained in §4 and §5 and the reasoning of Miles and Shea in [17], III of our theorem will be proved.

For background material on subharmonic functions, see [13] or [18].

1. Definition of the local indicator of order ρ of a sequence $\{B_m(z)\}_1^\infty$ of subharmonic functions.

We now prepare several notations and their properties in order to define the local indicator of order ρ of a sequence $\{B_m(z)\}_1^\infty$ of the given subharmonic functions.

(i) three infinite sequences of positive numbers $\{r'_m\}_1^\infty, \{r_m\}_1^\infty, \{r''_m\}_1^\infty$ such that $r'_m < r_m < r''_m < r'_{m+1}$ ($m=1, 2, \dots$), and such that, as $m \rightarrow \infty$

$$r_m/r'_m \rightarrow \infty, \quad r''_m/r_m \rightarrow \infty.$$

(ii) a sequence $\{B_m(z)\}_1^\infty$ such that $B_m(z)$ is subharmonic in the annulus:

$$r'_m < |z| < r''_m.$$

(iii) a strictly positive sequence $\{V(r_m)\}_1^\infty$ and a quantity ρ ($0 < \rho < \infty$). We then define a sequence $\{V_m(z)\}_1^\infty$ of analytic comparison functions:

$$V_m(z) = V_m(r) e^{i\rho\theta} = V(r_m) \left(\frac{r}{r_m} \right)^\rho e^{i\rho\theta} \quad (z = r e^{i\theta}).$$

The symbol $V_m(r)$ always refers to the choice of $\theta=0$.

(iv) Consider the intervals $I_m=[r'_m, r''_m]$ ($m=1, 2, \dots$) as well as the intervals $I_m(s)=[r_m e^{-s}, r_m e^s]$ ($m=1, 2, \dots; s=1, 2, \dots$), and let

$$A = \bigcup_{m=1}^{\infty} I_m, \quad A(s) = \bigcup_{m=1}^{\infty} I_m(s) \quad (s=1, 2, \dots).$$

(v) Let the sequence $\{B_m(z)\}_1^{\infty}$ be chosen so that

$$\overline{\lim}_{\substack{r \rightarrow \infty \\ r \in A}} \frac{M(r, B)}{V(r)} < \infty,$$

where $B(z)$ stands for $B_m(z)$ in the annulus: $r'_m < |z| < r''_m$ ($m=1, 2, \dots$). With these preparations we now define the local indicator. Firstly we set for every real value of θ ,

$$h_s(\theta) = \overline{\lim}_{\substack{r \rightarrow \infty \\ r \in A(s)}} \frac{B(re^{i\theta})}{V(r)} \quad (s=1, 2, \dots),$$

and consider

$$h(\theta) = \lim_{s \rightarrow \infty} h_s(\theta).$$

The real function $h(\theta)$ is called the local indicator of order ρ of $\{B_m(z)\}_1^{\infty}$ at the peaks $\{r_m\}_1^{\infty}$. With this definition, Edrei's Fundamental Lemma can be extended straightforwardly for the sequence $\{B_m(z)\}_1^{\infty}$ of subharmonic functions.

FUNDAMENTAL LEMMA. *Let $h(\theta)$ be the local indicator of order ρ ($0 < \rho < \infty$) of $\{B_m(z)\}_1^{\infty}$ at the peaks $\{r_m\}_1^{\infty}$. Let θ_1, θ_2 be given such that $0 < \theta_2 - \theta_1 < \pi/\rho$, and let the constants a, b be such that the sinusoid $H(\theta) = a \cdot \cos \rho\theta + b \cdot \sin \rho\theta$ satisfies the conditions: $h(\theta_j) \leq H(\theta_j)$ ($j=1, 2$). Then given $\varepsilon > 0$ and any integer $s > 0$, there exists a bound $r_0 = r_0(\varepsilon, s, a, b, \theta_1, \theta_2)$, independent of θ , such that*

$$B(re^{i\theta}) \leq (H(\theta) + \varepsilon) \cdot V(r),$$

for $r \in A(s)$, $\theta_1 \leq \theta \leq \theta_2$, $r \geq r_0$.

From Fundamental Lemma, we immediately have $h(\theta) \leq H(\theta)$ ($\theta_1 \leq \theta \leq \theta_2$), that is, the subtrigonometric character of $h(\theta)$. It is known that many important properties of an indicator depend only on its subtrigonometric character (cf. [3]). For example, we have the following three facts:

1. The subtrigonometric inequality (cf. [3, p. 44]). If $h(\theta)$ is of order ρ and if $0 < \theta_2 - \theta_1 < \pi/\rho$, $0 < \theta_3 - \theta_2 < \pi/\rho$, then

$$(1.1) \quad \left| \begin{array}{ccc} h(\theta_1) & \cos \rho\theta_1 & \sin \rho\theta_1 \\ h(\theta_2) & \cos \rho\theta_2 & \sin \rho\theta_2 \\ h(\theta_3) & \cos \rho\theta_3 & \sin \rho\theta_3 \end{array} \right| \geq 0.$$

In particular, if $0 \leq \theta < \pi/\rho$, then

$$(1.2) \quad \frac{h(-\theta)+h(\theta)}{2} \geq h(0) \cos \rho\theta .$$

2. Continuity (cf. [3, p. 37]). If $h(\theta_0) \neq -\infty$ for some θ_0 , then $h(\theta)$ is uniformly bounded and continuous in $[-\pi, \pi]$.

3. Uniformity (cf. [3, p. 46]). If $h(\theta_0) \neq -\infty$ for some θ_0 , then it is possible, given $\varepsilon > 0$ and $s > 0$, to find $r_0 = r_0(\varepsilon, s)$ such that $r > r_0, r \in A(s)$ imply

$$B(re^{i\theta}) \leq (h(\theta) + \varepsilon) \cdot V(r) \quad (-\pi \leq \theta \leq \pi) .$$

2. Some lemmas on subharmonic or δ -subharmonic functions.

First, we shall extend the Boutroux-Cartan Lemma for positive Borel measures. For the original form of this lemma, cf. [9, p. 39].

LEMMA 1. Suppose that μ is a positive Borel measure defined in the disk: $|w| \leq \sigma < 1$ such that $\mu(|w| \leq \sigma) < \infty$. Then given ξ ($0 < \xi \leq 1$), there exist a finite or countable set of disks, say $\{\Gamma_k\}$, whose radii $\{\rho_k\}$ satisfy

$$\sum_k \rho_k \leq 2\xi\sigma ,$$

such that

$$w \in \bigcup_k \Gamma_k , \quad |w| \leq \sigma$$

imply

$$\int_{|\zeta| < \sigma} \log |w - \zeta| d\mu(\zeta) \geq \mu(|w| \leq \sigma)(1 + 2e) \log \left(\frac{\xi\sigma}{2e} \right) .$$

Proof. For each fixed positive integer ν , we construct a maximal number of mutually disjoint closed disks $\Gamma_k^{(\nu)} = \Gamma(x_k^{(\nu)}, r_\nu/2), k=1, \dots, k_\nu$ such that $r_\nu = 2\xi\sigma 2^{-\nu} e^{-\nu}$ and $\mu(\Gamma_k^{(\nu)}) \geq \mu(|z| \leq \sigma) e^{-\nu}$, where r is the radius and x is the center of $\Gamma = \Gamma(x, r)$. Clearly $k_\nu \leq [e^\nu]$. Hence

$$\sum_{\nu=1}^{\infty} \sum_{k=1}^{k_\nu} r_\nu \leq \sum_{\nu=1}^{\infty} e^\nu \cdot 2\xi\sigma \cdot 2^{-\nu} e^{-\nu} = 2\xi\sigma .$$

Now, suppose that w ($|w| \leq \sigma$) is a point outside all the disks $\Gamma(x_k^{(\nu)}, r_\nu)$ ($\nu = 1, 2, \dots; k=1, \dots, k_\nu$). Then it is easy to see that

$$\mu(\Gamma(w, r_\nu/2)) < \mu(|\zeta| \leq \sigma) \cdot e^{-\nu} \quad (\nu = 1, 2, \dots) .$$

Thus

$$\begin{aligned}
 \int_{|\zeta| < \sigma} \log |w - \zeta| d\mu(\zeta) &= \int_{\{|\zeta - w| \geq r_1/2\} \cap \{|\zeta| < \sigma\}} \log |\zeta - w| d\mu(\zeta) \\
 &\quad + \sum_{\nu=1}^{\infty} \int_{\{r_{\nu+1}/2 \leq |\zeta - w| < r_{\nu}/2\} \cap \{|\zeta| < \sigma\}} \log |\zeta - w| d\mu(\zeta) \\
 &\geq \log\left(\frac{r_1}{2}\right) \cdot \mu(|\zeta| \leq \sigma) + \sum_{\nu=1}^{\infty} \log\left(\frac{r_{\nu+1}}{2}\right) \mu(|\zeta| \leq \sigma) \cdot e^{-\nu} \\
 &= \mu(|\zeta| \leq \sigma) e \sum_{\nu=1}^{\infty} \log\left(\frac{r_{\nu}}{2}\right) \cdot e^{-\nu} \\
 &= \mu(|\zeta| \leq \sigma) e \left\{ \log \xi \sigma \sum_{\nu=1}^{\infty} e^{-\nu} - \log 2e \cdot \sum_{\nu=1}^{\infty} \nu \cdot e^{-\nu} \right\} \\
 &\geq \mu(|\zeta| \leq \sigma) \left\{ \frac{e}{e-1} \log \xi \sigma - (1+2e) \log 2e \right\} \\
 &\geq \mu(|\zeta| \leq \sigma) (1+2e) \log\left(\frac{\xi \sigma}{2e}\right).
 \end{aligned}$$

This completes the proof.

Once the Boutroux-Cartan Lemma is established for positive Borel measures, it is not difficult to prove the following fact which is an extension of Lemma 1 in [9, pp. 35-42] for subharmonic functions.

LEMMA 2. Let $u(\zeta)$ ($\zeta = te^{i\omega}$) be subharmonic in the sector :

$$\Sigma = \left\{ \zeta : e^{-s} < t < e^s, |\omega| < \frac{\pi}{\gamma} \right\} \quad (s > 0, \gamma \geq 1),$$

and let $u(\zeta) \leq 0$ ($\zeta \in \Sigma$), $u(1) \neq -\infty$. Consider the sector

$$\Sigma' = \left\{ \zeta : e^{-s'} < t < e^{s'}, |\omega| < \frac{\pi}{\gamma'} \right\} \quad (0 < s' < s, \gamma' > \gamma).$$

Then there exist two positive constants H_j ($j=1, 2$) depending only on s, s', γ, γ' , and having the following properties :

Given ξ ($0 < \xi \leq 1$), it is possible to associate a set $\Omega(\xi)$ such that means $\Omega(\xi) < \pi\xi$, and such that the conditions $\omega \in \Omega(\xi), te^{i\omega} \in \Sigma'$ imply

$$u(te^{i\omega}) \geq \left(H_2 + H_1 \log \frac{1}{\xi} \right) u(1).$$

Next, we shall extend a lemma of Edrei and Fuchs [10, p. 322] to δ -subharmonic functions in \mathbf{C} .

LEMMA 3. Let $v = u^{(1)} - u^{(2)}$ be a δ -subharmonic function in \mathbf{C} . Then if $I(r)$

is any measurable subset of $[-\pi, \pi]$, it is possible to find absolute constants K_j ($j=1, 2$) such that $0 < K_1 < K_2$ and

$$\int_{I(r)} |v(re^{i\theta})| d\theta \leq K_1 m(I(r)) \left\{ 1 + \log^+ \frac{1}{m(I(r))} \right\} T(4r, v),$$

$$\int_{I(r)} |v(re^{i\theta})|^2 d\theta \leq K_2 m(I(r)) \left\{ 1 + \left(\log \frac{1}{m(I(r))} \right)^2 \right\} (T(4r, v))^2.$$

Proof. Let μ_j ($j=1, 2$) be the Riesz mass associated with $u^{(j)}(z)$. For $|z| = r < t < \infty$, we have

$$u^{(j)}(z) = h^{(j)}(z) + \int_{|\zeta| < t} \log \frac{|z - \zeta|}{2t} d\mu_j(\zeta) + n^{(j)}(t) \log 2t,$$

where $u^{(j)}(t) = \mu_j(|\zeta| < t)$ and $h^{(j)}(z)$ is harmonic in $|z| < t$. Here we put

$$(2.1) \quad v^{(1)}(z) = v(z) + \int_{|\zeta| < t} \log \frac{|z - \zeta|}{2t} d\mu_2(\zeta) = u^{(1)}(z) - h^{(2)}(z) - n^{(2)}(t) \log 2t.$$

Clearly $v^{(1)}(z)$ is subharmonic and satisfies $v^{(1)}(z) \leq v(z)$ in $|z| < t$. Hence the Poisson-Jensen formula for subharmonic functions (See [13, Theorem 3.14]) gives

$$v^{(1)}(re^{i\theta}) = \frac{1}{2\pi} \int_{-\pi}^{+\pi} v^{(1)}(te^{i\phi}) \frac{t^2 - r^2}{t^2 - 2tr \cos(\phi - \theta) + r^2} d\phi + \int_{|\zeta| < t} \log \left| \frac{t(z - \zeta)}{t^2 - \bar{z}\zeta} \right| d\mu_1(\zeta)$$

$$\leq \frac{t+r}{t-r} N(t, v^+),$$

so that

$$(2.2) \quad (v^{(1)}(z))^+ \leq \frac{t+r}{t-r} N(t, v^+).$$

We deduce from (2.1) and (2.2) that

$$(2.3) \quad (v(z))^+ \leq \frac{t+r}{t-r} N(t, v^+) + \int_{|\zeta| < t} \log \frac{2t}{|z - \zeta|} d\mu_2(\zeta).$$

If we write $z = re^{i\theta}$ and $\zeta = se^{i\beta}$, we easily have

$$|z - \zeta| \geq \begin{cases} r |\sin(\theta - \beta)| & (|\beta - \theta| \leq \pi/2), \\ r & (\pi/2 < |\beta - \theta| \leq \pi). \end{cases}$$

It follows from this and (2.3) that

$$(2.4) \quad (v(z))^+ \leq \frac{t+r}{t-r} N(t, v^+) + n^{(2)}(t) \log \frac{2t}{r}$$

$$+ \int_{|\zeta| < t, |\beta - \theta| \leq \pi/2} \log \frac{1}{|\sin(\theta - \beta)|} d\mu_2(\zeta).$$

Now, we prove the second inequality of our lemma. The proof of the first one is contained in the proof of the second one. From (2.4) we have

$$\begin{aligned}
 \int_{I(r)} \{v^+(re^{i\theta})\}^2 d\theta &\leq m(I(r)) \left\{ \frac{t+r}{t-r} N(t, v^+) + n^{(2)}(t) \log \frac{2t}{r} \right\}^2 \\
 (2.5) \quad &+ 2 \left\{ \frac{t+r}{t-r} N(t, v^+) + n^{(2)}(t) \log \frac{2t}{r} \right\} \\
 &\cdot \int_{I(r)} d\theta \int_{\{\zeta: s < t, |\beta - \theta| \leq \pi/2\}} \log \frac{1}{|\sin(\theta - \beta)|} d\mu_2(\zeta) \\
 &+ \int_{I(r)} d\theta \left\{ \int_{\{\zeta: s < t, |\beta - \theta| \leq \pi/2\}} \log \frac{1}{|\sin(\theta - \beta)|} d\mu_2(\zeta) \right\}^2.
 \end{aligned}$$

In order to estimate the integrals in the right hand side of (2.5), we put

$$H = \inf \left\{ \frac{\pi}{2}, \frac{m(I(r))}{2} \right\}.$$

Then

$$\begin{aligned}
 \int_{I(r) \cap \{\theta: |\theta - \beta| \leq \pi/2\}} \log \frac{1}{|\sin(\theta - \beta)|} d\theta &\leq 2 \int_0^H \log \frac{1}{\sin \theta} d\theta \\
 &\leq 2 \int_0^H \left(\log \frac{1}{\theta} + \log \frac{\pi}{2} \right) d\theta \\
 &= 2H \left(1 + \log \frac{\pi}{2} + \log \frac{1}{H} \right) \leq m(I(r)) \left(1 + \log^+ \frac{\pi}{m(I(r))} \right).
 \end{aligned}$$

Hence

$$\begin{aligned}
 (2.6) \quad \int_{I(r)} d\theta \int_{\{\zeta: s < t, |\beta - \theta| \leq \pi/2\}} \log \frac{1}{|\sin(\theta - \beta)|} d\mu_2(\zeta) \\
 = \int_{\{\zeta: s < t\}} d\mu_2(\zeta) \int_{I(r) \cap \{\theta: |\theta - \beta| \leq \pi/2\}} \log \frac{1}{|\sin(\theta - \beta)|} d\theta \\
 \leq n^{(2)}(t) m(I(r)) \cdot \left\{ 1 + \log^+ \frac{\pi}{m(I(r))} \right\}.
 \end{aligned}$$

In the same way we have

$$\begin{aligned}
 \int_{I(r) \cap \{\theta: |\theta - \beta| \leq \pi/2\}} \left\{ \log \frac{1}{|\sin(\theta - \beta)|} \right\}^2 d\theta \\
 \leq m(I(r)) \left\{ (\log H)^2 - 2 \log H + 2 - \log \frac{\pi}{2} \log H + \left(\log \frac{\pi}{2} \right)^2 \right\},
 \end{aligned}$$

so that by Schwarz's inequality in continuous form

$$\begin{aligned}
 & \int_{I(r)} d\theta \left\{ \int_{|\zeta| < t, |\beta - \theta| \leq \pi/2} \log \frac{1}{|\sin(\theta - \beta)|} d\mu_2(\zeta) \right\}^2 \\
 & \leq n^{(2)}(t) \int_{I(r)} d\theta \int_{|\zeta| < t, |\beta - \theta| \leq \pi/2} \left\{ \log \frac{1}{|\sin(\theta - \beta)|} \right\}^2 d\mu_2(\zeta) \\
 (2.7) \quad & = n^{(2)}(t) \int_{|\zeta| < t} d\mu_2(\zeta) \int_{I(r) \cap \{|\theta - \beta| \leq \pi/2\}} \left\{ \log \frac{1}{|\sin(\theta - \beta)|} \right\}^2 d\theta \\
 & \leq (n^{(2)}(t))^2 m(I(r)) \cdot \left\{ (\log H)^2 - 2 \log H + 2 - \log \frac{\pi}{2} \cdot \log H + \left(\log \frac{\pi}{2} \right)^2 \right\}.
 \end{aligned}$$

Substituting (2.6) and (2.7) into (2.5), we obtain

$$\begin{aligned}
 & \int_{I(r)} \{v^+(re^{i\theta})\}^2 d\theta \leq m(I(r)) \left\{ \left(\frac{t+r}{t-r} N(t, v^+) + n^{(2)}(t) \log \frac{2t}{r} \right)^2 \right. \\
 (2.8) \quad & \left. + 2 \left(\frac{t+r}{t-r} N(t, v^+) + n^{(2)}(t) \log \frac{2t}{r} \right) n^{(2)}(t) \left(1 + \log^+ \frac{\pi}{m(I(r))} \right) \right. \\
 & \left. + (n^{(2)}(t))^2 \left((\log H)^2 - 2 \log H + 2 + \log \frac{\pi}{2} \log H + \left(\log \frac{\pi}{2} \right)^2 \right) \right\}.
 \end{aligned}$$

We remark that (2.8) also holds if we replace v^+ and $n^{(2)}$ by v^- and $n^{(1)}$, respectively. And it is clear that

$$\begin{aligned}
 & N(t, v^+) + N(t, v^-) \leq 2T(t, v), \\
 n^{(1)}(t) + n^{(2)}(t) & \leq \frac{N(2t, u^{(1)}) + N(2t, u^{(2)})}{\log 2} \leq \frac{2}{\log 2} T(2t, v).
 \end{aligned}$$

All the above estimates combine to show the desired inequality (with $t=2r$).

3. The indicator associated with Theorem.

Let $u(z)$ be an extremal subharmonic function satisfying (5). Take a sequence $\{r_m\}_1^\infty$ of Pólya peaks of order μ_* for $u(z)$, and let $\{r'_m\}_1^\infty, \{r''_m\}_1^\infty, \{\varepsilon_m\}_1^\infty$ be the associated sequences. We define comparison functions:

$$\begin{aligned}
 V_m(z) &= \left(\frac{z}{r_m} \right)^{\mu_*} (1 + \varepsilon_m) T(r_m, u) = \left(\frac{r}{r_m} \right)^{\mu_*} e^{i\mu_*\theta} (1 + \varepsilon_m) T(r_m, u) \\
 &= V(r_m) \left(\frac{r}{r_m} \right)^{\mu_*} e^{i\mu_*\theta} \quad (m=1, 2, \dots).
 \end{aligned}$$

And let $\nu(\zeta)$ be the positive Borel measure associated with $u(z)$, and put $n(t) = \nu(|\zeta| < t)$. With these notations, we define

$$B_m(z) = \int_0^{r_m^{1/4}} \log \left| 1 + \frac{z}{t} \right| dn(t) \quad (m=1, 2, \dots).$$

Let $h(\theta)$ be the local indicator for the sequence $\{B_m(z)\}_1^\infty$ at the peaks $\{r_m\}_1^\infty$, with comparison functions $\{V_m(z)\}_1^\infty$. In [19] we have shown the existence of $h(\theta)$ and $h(0) \geq 1$. In this section, we shall prove the following lemma.

LEMMA 4. $h(\theta) = h(0) \cos \mu_* \theta \quad (|\theta| \leq \pi).$

Proof. We define two sequences $\{u_{1,m}(z)\}_1^\infty$ and $\{u_{3,m}(z)\}_1^\infty$ of subharmonic functions as follows:

$$u_{1,m}(z) = \int_{|\zeta| \leq r'_m/4} \log \left| 1 - \frac{z}{\zeta} \right| d\nu(\zeta), \quad u_{3,m}(z) = u(z) - u_{1,m}(z).$$

As Kjellberg showed in [15, p. 192], we have

$$(3.1) \quad |u_{3,m}(z)| < 16 \frac{M(r''_m/2, u)}{r''_m} \cdot r \quad (r < r''_m/8).$$

And the Poisson-Jensen formula for subharmonic functions gives

$$(3.2) \quad M(r''_m/2, u) \leq 3T(r''_m, u).$$

It follows from (3.1) and (3.2) that

$$(3.3) \quad |u_{3,m}(z)| < 48T(r''_m, u) \frac{r}{r''_m} \leq 48V(r) \left(\frac{r}{r''_m} \right)^{1-\mu_*} \quad (r < r''_m/8).$$

Let $\eta > 0$ (small enough) be given, and determine $s (> 0)$ so that $h_s(\pi) > h(\pi) - \eta/6$. By the definition of $h_s(\pi)$, there exists a sequence $\{\chi_n\} \subset A(s)$, tending to ∞ , such that

$$(3.4) \quad B(-\chi_n) > (h_s(\pi) - \eta/3)V(\chi_n) > (h(0) \cos \pi \mu_* - \eta/2) \cdot V(\chi_n) > 0.$$

Using (3.3), we have for $n > n_0(\eta, s)$

$$(3.5) \quad \begin{aligned} m^*(\chi_n, u) &\geq m^*(\chi_n, u_1) + m^*(\chi_n, u_3) \\ &\geq m^*(\chi_n, B) + m^*(\chi_n, u_3) > (h(\pi) - \eta) \cdot V(\chi_n). \end{aligned}$$

On the other hand, by Schwarz's inequality

$$(3.6) \quad m_2(\chi_n, u) = m_2(\chi_n, u_1 + u_3) \leq m_2(\chi_n, u_1) + \frac{\eta}{2} V(\chi_n) \quad (n > n_0).$$

We now use an estimate due to Miles and Shea [16, p. 378], that is,

$$(3.7) \quad m_2(\chi_n, u_1) \leq m_2(\chi_n, B).$$

In order to estimate $m_2(\chi_n, B)$ from above, we may note (3.4) and appeal to the Fundamental Lemma, so that

$$(3.8) \quad 0 < B(\chi_n e^{i\theta}) < (H(\theta) + \epsilon)V(\chi_n) \quad (n > n_1(\epsilon, s)),$$

where

$$(3.9) \quad H(\theta) = \frac{h(0) \sin(\pi - \theta)\mu_* + h(\pi) \sin \theta \mu_*}{\sin \pi \mu_*}.$$

Combining (3.5)–(3.9) we have

$$(3.10) \quad \frac{m^*(\chi_n, u)}{m_2(\chi_n, u)} > \frac{(1 - E(\varepsilon, \eta)) \cdot t}{\left\{ (1+t^2) \left(\frac{1}{2} - \frac{\sin 2\pi \mu_*}{4\pi \mu_*} \right) + t \left(\frac{\sin \pi \mu_*}{\pi \mu_*} - \cos \pi \mu_* \right) \right\}^{1/2}},$$

where $t = h(\pi)/h(0) \geq \cos \pi \mu_*$, $E(\varepsilon, \eta) \rightarrow 0$ as $\varepsilon \rightarrow 0$ and $\eta \rightarrow 0$.

The right hand side increases as t increases, and so, it is not smaller than $C_2(\mu_*)(1 - E(\varepsilon, \eta))$. It follows from this and our assumption (5) that $t = \cos \pi \mu_*$. Hence $h(\pi) = h(-\pi) = h(0) \cdot \cos \pi \mu_*$. Substituting these into the subtrigonometric inequality (1.1), we have $h(\theta) \leq h(0) \cdot \cos \theta \mu_*$. On the other hand, it is clear from (1.2) that $h(\theta) \geq h(0) \cdot \cos \theta \mu_*$. Thus $h(\theta) = h(0) \cdot \cos \theta \mu_*$ ($|\theta| \leq \pi$).

4. A preliminary lemma for the proof of assertions I and II of Theorem.

LEMMA 5. *Let $u(z)$ be a subharmonic function satisfying (5), and let $\{r_m\}$, $\{r'_m\}$, $\{r''_m\}$, $\{\varepsilon_m\}$, $\{V_m(z)\}$, $\{B_m(z)\}$, $h(\theta)$ be defined as in §3. Then given ε ($0 < \varepsilon < h(0) \cos \pi \mu_*$), δ ($0 < \delta < \delta_0$, where δ_0 is a fixed positive number satisfying $4^{2\mu_*} \cdot 2\delta_0 K_2(1 + (\log 2\delta_0)^2) < \varepsilon/6$) and L (> 0), it is possible to determine $q = q(\varepsilon, \delta, L)$ (a positive integer), $\{l_m\}_1^\infty$ (a sequence of unbounded, increasing integers) and $\{R_{l_m}\}_1^\infty$ such that*

$$e^{-q} r_{l_m} \leq R_{l_m} \leq e^q r_{l_m} \quad (m=1, 2, \dots),$$

$$B_{l_m}(R_{l_m}) > (h(0) - \varepsilon) V_{l_m}(R_{l_m}) \quad (m=1, 2, \dots),$$

and such that for $e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}$

$$(1 - 2\varepsilon) h(0) \frac{\sin \pi \mu_*}{\pi \mu_*} V_{l_m}(r) \leq N(r, u) \leq T(r, u) \leq (1 + \varepsilon) h(0) \frac{\sin \pi \mu_*}{\pi \mu_*} V_{l_m}(r),$$

$$m_2(r, u) \leq (1 + 2\varepsilon) \sqrt{\frac{1}{2} + \frac{\sin 2\pi \mu_*}{4\pi \mu_*}} h(0) V_{l_m}(r).$$

Proof. We define s, s', γ, γ' as follows:

$$s = 2L, \quad s' = L, \quad \gamma = 1, \quad \gamma' = \pi/(\pi - \delta).$$

And let η be a number satisfying the following inequalities.

$$\begin{cases} \eta^2 + 2\eta \leq \varepsilon/2, & e^{L\mu_*} \left(H_2 + H_1 \log \frac{1}{\eta} \right) \leq \varepsilon, \\ \frac{2\delta + \pi\eta}{2\pi} + K_1(\pi\eta + 2\delta) \left\{ 1 + \log \frac{1}{\pi\eta + 2\delta} \right\} 4^{\mu_*} \leq \varepsilon, \\ 4^{2\mu_*} \cdot K_2(\pi\eta + 2\delta) \left\{ 1 + \left(\log \frac{1}{\pi\eta + 2\delta} \right)^2 \right\} < (\varepsilon/2) \left(\frac{1}{2} + \frac{\sin 2\pi\mu_*}{4\pi\mu_*} \right) h(0). \end{cases}$$

Next, we determine $q = q(\eta) = q(\varepsilon, \delta, L)$ so that $h_q(0) > h(0) - \eta/2$. By the definition of $h_q(0)$, it is possible to find a sequence $\{R_{l_m}\}_1^\infty \subset A(q)$ tending to ∞ such that

$$(4.1) \quad \begin{aligned} B_{l_m}(R_{l_m}) &> (h_q(0) - \eta/2) V_{l_m}(R_{l_m}) \\ &> (h(0) - \eta) V_{l_m}(R_{l_m}) > (h(0) - \varepsilon) V_{l_m}(R_{l_m}). \end{aligned}$$

By the uniformity property of the local indicator (cf. § 1), we can determine m_0 so that the conditions $m > m_0, r \in A(2L + q)$ imply

$$(4.2) \quad B_{l_m}(re^{i\theta}) \leq (h(\theta) + \eta e^{-2L\mu_*}) V_{l_m}(r) \quad (|\theta| \leq \pi).$$

Now, we introduce a sequence $\{u_{l_m}(\zeta)\}_1^\infty$ of subharmonic functions:

$$(4.3) \quad u_{l_m}(\zeta) = B_{l_m}(z) - h(\theta) V_{l_m}(r) - V_{l_m}(R_{l_m}) \quad (\zeta = z/R_{l_m} = te^{i\omega}).$$

Further we put

$$\Sigma = \{\zeta : e^{-2L} \leq t \leq e^{2L}, |\omega| \leq \pi\}, \quad \Sigma' = \{\zeta : e^{-L} \leq t \leq e^L, |\omega| \leq \pi - \delta\}.$$

From (4.2) and (4.3) we deduce $u_{l_m}(\zeta) \leq 0$ ($\zeta \in \Sigma$). And it follows from (4.1) and (4.3) that $u_{l_m}(1) \neq -\infty$. Hence we can apply Lemma 2 to $u = u_{l_m}$. That is, it is possible to find positive two constants H_1, H_2 , depending only on L, δ , and having the following properties.

Given ξ ($0 < \xi \leq 1$), there exists a set $\Omega(\xi)$ such that means $\Omega(\xi) < \pi\xi$ and such that the conditions $\omega \in \Omega(\xi), te^{i\omega} \in \Sigma'$ imply $u_{l_m}(te^{i\omega}) \geq (H_2 + H_1 \log(1/\xi)) u_{l_m}(1)$. Returning to the variable z , we have from (4.1), (4.3) and the choice of η ,

$$(4.4) \quad \begin{aligned} B_{l_m}(re^{i\theta}) &\geq (h(\theta) - \varepsilon) V_{l_m}(r) > 0 \\ &(e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}, \theta \in \Omega(\eta), |\theta| \leq \pi - \delta). \end{aligned}$$

Here we put $\Omega'(\eta) = [-\pi, -\pi + \delta] \cup [\pi - \delta, \pi] \cup \Omega(\eta)$. Then by Lemma 3,

$$\begin{aligned} \frac{1}{2\pi} \int_{\Omega'(\eta)} |B_{l_m}(re^{i\theta})| d\theta &\leq K_1(2\delta + \pi\eta) \left(1 + \log \frac{1}{2\delta + \pi\eta} \right) T(4r, B_{l_m}) \\ &\leq (2\delta + \pi\eta) 4^{\mu_*} K_1 \left(1 + \log \frac{1}{2\delta + \pi\eta} \right) V_{l_m}(r) \quad (m > m_1), \end{aligned}$$

so that from (4.4) and the choice of η we have

$$\begin{aligned}
 N(r, B_{l_m}) &\geq \frac{1}{2\pi} \int_{[-\pi, +\pi] \setminus \Omega'(\gamma)} B_{l_m}(re^{i\theta}) d\theta - \frac{1}{2\pi} \int_{\Omega'(\gamma)} |B_{l_m}(re^{i\theta})| d\theta \\
 (4.5) \quad &\geq \left\{ \frac{\sin \pi \mu_*}{\pi \mu_*} h(0) - h(0) \frac{2\delta + \pi \eta}{2\pi} - \varepsilon - 4^{\mu_*} K_1(2\delta + \pi \eta) \left(1 + \log \frac{1}{2\delta + \pi \eta} \right) \right\} V_{l_m}(r) \\
 &\geq \frac{\sin \pi \mu_*}{\pi \mu_*} h(0) (1 - 2\varepsilon) V_{l_m}(r) \quad (e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}, m > m_1).
 \end{aligned}$$

However, since Jensen's formula gives $N(r, u) = N(r, B_{l_m})$ for $r \leq r''_{l_m}/4$, we have from (4.5)

$$(4.6) \quad N(r, u) > (1 - 2\varepsilon) h(0) \frac{\sin \pi \mu_*}{\pi \mu_*} V_{l_m}(r) \quad (e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}, m > m_1).$$

Now, we estimate $T(r, u)$ from above. For $r \leq r''_{l_m}/8$, we have

$$(4.7) \quad T(r, u) = T(r, u_{1, l_m} + u_{3, l_m}) \leq T(r, u_{1, l_m}) + T(r, u_{3, l_m}).$$

Using an estimate due to Gol'dberg [11] and (4.2), we obtain for $e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}$

$$(4.8) \quad T(r, u_{1, l_m}) \leq T(r, B_{l_m}) \leq \frac{\sin \pi \mu_*}{\pi \mu_*} h(0) (1 + \varepsilon/2) V_{l_m}(r).$$

And from (3.3) we have for $e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}$

$$(4.9) \quad T(r, u_{3, l_m}) < \frac{\sin \pi \mu_*}{\pi \mu_*} \cdot (\varepsilon/2) \cdot V_{l_m}(r) \quad (m \geq m_2(\varepsilon, L)).$$

Substituting (4.8) and (4.9) into (4.7) we have

$$(4.10) \quad T(r, u) < \frac{\sin \pi \mu_*}{\pi \mu_*} (1 + \varepsilon) h(0) V_{l_m}(r) \quad (e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}, m \geq m_2).$$

Finally we estimate $m_2(r, u)$ from above. First we estimate $m_2(r, B_{l_m})$. It follows from (4.2) and (4.4) that for $e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}$

$$\begin{aligned}
 (4.11) \quad &\frac{1}{2\pi} \int_{[-\pi, +\pi] \setminus \Omega'(\gamma)} \{B_{l_m}(re^{i\theta})\}^2 d\theta \leq \frac{1}{2\pi} \int_{-\pi}^{+\pi} (h(\theta) + \eta)^2 d\theta \cdot (V_{l_m}(r))^2 \\
 &\leq (1 + \varepsilon/2) (h(0))^2 \left(\frac{1}{2} + \frac{\sin 2\pi \mu_*}{4\pi \mu_*} \right) (V_{l_m}(r))^2.
 \end{aligned}$$

By Lemma 3 and the choice of η , we have

$$\begin{aligned}
 &\frac{1}{2\pi} \int_{\Omega'(\gamma)} \{B_{l_m}(re^{i\theta})\}^2 d\theta \leq K_2(2\delta + \pi \eta) \left\{ 1 + \left(\log \frac{1}{\pi \eta + 2\delta} \right)^2 \right\} (T(4r, B_{l_m}))^2 \\
 (4.12) \quad &\leq 4^{2\mu_*} K_2(2\delta + \pi \eta) \left\{ 1 + \left(\log \frac{1}{\pi \eta + 2\delta} \right)^2 \right\} (V_{l_m}(r))^2 \\
 &\leq (\varepsilon/2) \left(\frac{1}{2} + \frac{\sin 2\pi \mu_*}{4\pi \mu_*} \right) h(0) (V_{l_m}(r))^2 \quad (e^{-L} R_{l_m} \leq r \leq e^L R_{l_m}).
 \end{aligned}$$

Combining (4.11) and (4.12), we obtain

$$(4.13) \quad m_2(r, B_{l_m}) \leq (1 + \varepsilon)h(0) \sqrt{\frac{1}{2} + \frac{\sin 2\pi\mu_*}{4\pi\mu_*}} V_{l_m}(r).$$

Remembering (3.6) and (3.7), we have

$$(4.14) \quad m_2(r, u) \leq (1 + 2\varepsilon)h(0) \sqrt{\frac{1}{2} + \frac{\sin 2\pi\mu_*}{4\pi\mu_*}} V_{l_m}(r) \quad (m \geq m_0).$$

The restrictions: $m \geq m_0$ in (4.14), $m > m_1$ in (4.6), $m \geq m_2$ in (4.10) are not essential. Thus we have the desired results.

5. Diagonalization—Proof of assertions I and II of Theorem.

In this section we follow Edrei’s procedure in [9, pp. 54–59]. We set $\varepsilon_n = \varepsilon_n \downarrow 0$ ($n \rightarrow \infty$), $\delta = \delta_n \downarrow 0$ ($n \rightarrow \infty$), $L = n$ in our previous Lemma 5. Then using the method of diagonalization, we easily obtain the following fact:

Let $u(z)$ be a subharmonic function satisfying (5). Then it is possible to find a sequence $\{t_n\}$ of Pólya peaks of order μ_* for u (As usual, we denote the associated sequences by $\{t'_n\}$, $\{t''_n\}$), a positive unbounded sequence $\{x_n\}$, $\{\tilde{B}_n\} \subset \{B_{l_m}\}$ and $\{\tilde{V}_n\} \subset \{V_{l_m}\}$ such that

$$(5.1) \quad e^n t'_n < e^{-n} x_n, \quad e^n x_n < e^{-n} t''_n, \quad \tilde{B}_n(x_n) \geq (h(0) - \varepsilon_n) \tilde{V}_n(x_n),$$

and such that for $e^{-n} x_n \leq r \leq e^n x_n$

$$(5.2) \quad \tilde{B}_n(re^{i\theta}) \leq (h(\theta) + \varepsilon_n) \tilde{V}_n(r) \quad (|\theta| \leq \pi),$$

$$(5.3) \quad (1 - 2\varepsilon_n)h(0) \frac{\sin \pi\mu_*}{\pi\mu_*} \tilde{V}_n(r) \leq N(r, u) \leq T(r, u) \leq (1 + \varepsilon_n)h(0) \frac{\sin \pi\mu_*}{\pi\mu_*} \tilde{V}_n(r),$$

$$(5.4) \quad m_2(r, u) \leq (1 + 2\varepsilon_n) \cdot \sqrt{\frac{1}{2} + \frac{\sin 2\pi\mu_*}{4\pi\mu_*}} h(0) \tilde{V}_n(r).$$

Now, consider the sequence $\{\tilde{B}_n\}$ of subharmonic functions in $\bigcup_{n=1}^\infty [e^{-n} x_n, e^n x_n] = \tilde{A}$, and set $\bigcup_{n=1}^\infty [e^{-s} x_n, e^s x_n] = \tilde{A}(s)$ ($s = 1, 2, \dots$). We introduce the indicator $\tilde{h}(\theta)$ of order μ_* of $\{\tilde{B}_n\}$ with peaks $\{x_n\}$ and comparison functions $\{\tilde{V}_n\}$. By (5.1), (5.2) and (1.2) we have $\tilde{h}(\theta) = h(\theta) = h(0) \cos \mu_* \theta$ ($|\theta| \leq \pi$). Further we put

$$\tilde{u}_{1,n}(z) = \int_{|\zeta| \leq t_n''/4} \log \left| 1 - \frac{z}{\zeta} \right| d\nu(\zeta), \quad \tilde{u}_{3,n}(z) = u(z) - \tilde{u}_{1,n}(z).$$

Then as we have shown in (3.3),

$$|\tilde{u}_{3,n}(z)| < 48 \tilde{V}_n(r) \left(\frac{r}{t_n''} \right)^{1-\mu_*}.$$

Hence if $r \in [e^{-n}x_n, e^n x_n]$ we have from (5.1)

$$|\tilde{u}_{3,n}(z)| < 48e^{-n(1-\mu_*)} \tilde{V}_n(r).$$

Without loss of generality we may assume $48 e^{-n(1-\mu_*)} \leq \varepsilon_n$, so that

$$(5.5) \quad |\tilde{u}_{3,n}(z)| < \varepsilon_n \cdot \tilde{V}_n(r) \quad (r \in [e^{-n}x_n, e^n x_n]).$$

Let $\eta > 0$ be given. Determine $s = s(\eta)$ so that $\tilde{h}_s(-\pi) > \tilde{h}(-\pi) - \eta/2$. By the definition of $\tilde{h}_s(-\pi)$, there exists a positive sequence $\{\tau_n\} \subset \tilde{A}(s)$ tending to ∞ such that

$$\tilde{B}(-\tau_n) > (\tilde{h}_s(-\pi) - \eta/2) \tilde{V}(\tau_n) > (\tilde{h}(-\pi) - \eta) \tilde{V}(\tau_n), \quad n \in N,$$

where N is a sequence of unbounded increasing integers. Therefore it follows from this and (5.5) that

$$(5.6) \quad m^*(\tau_n, u) \geq (h(0) \cos \pi \mu_* - \eta - \varepsilon_n) \tilde{V}(\tau_n).$$

Take $\eta = \eta_n = n^{-1}$ in (5.6). Using the method of diagonalization, we give to k consecutive values, and at each stage select $n = n_k$ such that

$$n_k \in N(k), \quad n_{k+1} > n_k, \quad n_k > k + s\left(\frac{1}{k}\right), \quad 2\varepsilon_{n_k} < 1/k.$$

If we put

$$y_k = \tau_{n_k}, \quad y'_k = e^{-k} y_k, \quad y''_k = e^k y_k, \quad \tilde{B}_k = \tilde{B}_{n_k}, \quad \tilde{V}_k = \tilde{V}_{n_k},$$

then we have by (5.1)–(5.4), and (5.6)

$$(5.7) \quad m^*(y_k, u) > h(0) \left(\cos \pi \mu_* - \frac{2}{k} \right) \tilde{V}_k(y_k),$$

$$(5.8) \quad \tilde{B}_k(r e^{i\theta}) \leq h(0) \left(\cos \theta \mu_* + \frac{1}{k} \right) \tilde{V}_k(r) \quad (|\theta| \leq \pi, y'_k \leq r \leq y''_k),$$

$$(5.9) \quad \left(1 - \frac{1}{k}\right) h(0) \frac{\sin \pi \mu_*}{\pi \mu_*} \tilde{V}_k(r) \leq N(r, u) \leq T(r, u) \\ \leq \left(1 + \frac{1}{k}\right) h(0) \frac{\sin \pi \mu_*}{\pi \mu_*} \tilde{V}_k(r),$$

$$(5.10) \quad m_2(r, u) \leq \left(1 + \frac{1}{k}\right) \sqrt{\frac{1}{2} + \frac{\sin 2\pi \mu_*}{4\pi \mu_*}} h(0) \tilde{V}_k(r) \quad (y'_k \leq r \leq y''_k).$$

Now, we prove the assertions I and II of our theorem. First by assumption (5), we easily see that given $\varepsilon > 0$ there exists a bound k_0 such that $k \geq k_0(\varepsilon)$ implies

$$(5.11) \quad m_2(y_k, u) > (1 - \varepsilon) \sqrt{\frac{1}{2} + \frac{\sin 2\pi \mu_*}{4\pi \mu_*}} h(0) \tilde{V}_k(y_k).$$

Hence, by (5.10), (5.11) we have (6) and (13). And from (5.10), (5.11) and (5.9), (7) follows. (8), (11) and (12) are immediate consequences of (5.9).

6. Proof of assertion III of Theorem.

Choose $a = a(\mu_*) \in (0, 1/2)$ such that

$$(6.1) \quad a^{\mu_*} < \mu_* \log 2/2^{\mu_*}, \quad a^{-\mu_*} - 1 + (2^{\mu_*}/\log 2) \log a > 0.$$

Let

$$u_{1,k}(z) = \int_{y_{k'} < |\zeta| < a y_{k''}} \log \left| 1 - \frac{z}{\zeta} \right| d\nu(\zeta), \quad u_{3,k}(z) = u(z) - u_{1,k}(z).$$

Further put

$$u_{2,k}(z) = \int_{\frac{y_k'}{y_k}}^{a \frac{y_k'''}{y_k}} \log \left| 1 + \frac{z}{t} \right| dn(t).$$

Similar computations as in [19] yield

$$(6.2) \quad |u_{3,k}(z)| \leq K_3 \left\{ T(2y_k', u) \log \left(\frac{r}{y_k} \right) + T(2ay_k'', u) \left(\frac{r}{y_k''} \right) \right\} \quad \left(2y_k' \leq r \leq \frac{ay_k''}{2} \right).$$

From (11) we have

$$(6.3) \quad N(ay_k'', u) \leq (1 + \varepsilon_k) \left(\frac{y_k'''}{y_k} \right)^{\mu_*} a^{\mu_*} N(y_k, u),$$

$$n(ay_k''') \leq (1 + \varepsilon_k)(2a)^{\mu_*} \frac{N(y_k, u)}{\log 2} \left(\frac{y_k'''}{y_k} \right)^{\mu_*}.$$

On the other hand, by the choice of a of (6.1), we have

$$(6.4) \quad \left(\frac{y_k'''}{y_k} \right)^{\mu_*} a^{\mu_*} + \frac{(2a)^{\mu_*}}{\log 2} \left(\frac{y_k'''}{y_k} \right)^{\mu_*} \log \left(\frac{r}{ay_k''} \right) \leq \left(\frac{r}{y_k} \right)^{\mu_*} \quad (r > y_k'').$$

Combining (6.3) and (6.4), we obtain

$$N(ay_k''', u) + n(ay_k''') \log \left(\frac{r}{ay_k''} \right) \leq (1 + \varepsilon_k) N(y_k, u) \left(\frac{r}{y_k} \right)^{\mu_*} \quad (r > y_k'').$$

It follows from this and (11) that

$$(6.5) \quad N_k(r) \equiv N(r, u_{1,k}) = N(r, u_{2,k}) \leq (1 + \varepsilon_k) N(y_k, u) \left(\frac{r}{y_k} \right)^{\mu_*} \quad (0 < r < \infty).$$

Next, we introduce auxiliary functions:

$$(6.6) \quad B_k(z) = \int_{|\zeta| < \infty} \log \left| 1 + \frac{z}{t} \right| dn_k(t) \quad (k=1, 2, \dots),$$

where

$$(6.7) \quad n_k(t) = \mu_* \left(\frac{t}{y_k} \right)^{\mu_*} N(y_k, u) \quad (0 < t < \infty).$$

The convergence of the right hand side of (6.6) is due to Heins [14]. If we put for a subharmonic function $u(z)$

$$c_m(r, u) = \frac{1}{2\pi} \int_{-\pi}^{+\pi} u(re^{i\theta}) e^{-im\theta} d\theta \quad (m=0, \pm 1, \dots),$$

we easily have from (6.5)–(6.7)

$$(6.8) \quad |c_m(y_k, B_k)| = N(y_k, u) \frac{\mu_*^2}{|m^2 - \mu_*^2|},$$

$$(6.9) \quad \frac{N(y_k, u)}{m_2(y_k, B_k)} = C_1(\mu_*) \quad (k=1, 2, \dots),$$

$$(6.10) \quad |c_m(y_k, u_{2,k})| \leq (1 + 2\varepsilon_k) \cdot |c_m(y_k, B_k)|.$$

By (6.2), (11), (8) and (7) we have for $|z| = y_k$

$$\begin{aligned} |u_{3,k}(z)| &\leq K_3 T(y_k, u) (1 + 2\varepsilon_k) \left\{ \left(\frac{y'_k}{y_k} \right)^{\mu_*} \log \left(\frac{y_k}{y_k''} \right) + \left(\frac{y_k}{y_k''} \right)^{1-\mu_*} (2a)^{\mu_*} \right\} \\ &\leq K_3 C_1(\mu_*) (1 + 2\varepsilon_k) \varepsilon_k m_2(y_k, u) \quad (k \geq k_0), \end{aligned}$$

so that with a suitable $\{\delta_k\} \downarrow 0$,

$$(6.11) \quad 1 - \delta_k < \frac{m_2(y_k, u_{1,k})}{m_2(y_k, u)} < 1 + \delta_k.$$

Further an estimate due to Miles and Shea gives

$$(6.12) \quad |c_m(r, u_{1,k})| \leq |c_m(r, u_{2,k})| \quad (k=1, 2, \dots; m=0, \pm 1, \dots).$$

Hence by (7), (6.11), (6.12) and (6.10), we have

$$\begin{aligned} C_1(\mu_*) &= \lim_{k \rightarrow \infty} \frac{N(y_k, u)}{m_2(y_k, u)} = \lim_{k \rightarrow \infty} \frac{N(y_k, u)}{m_2(y_k, u_{1,k})} \\ &\geq \overline{\lim}_{k \rightarrow \infty} \frac{N(y_k, u)}{m_2(y_k, u_{2,k})} \geq \overline{\lim}_{k \rightarrow \infty} \frac{N(y_k, u)}{m_2(y_k, B_k)} = C_1(\mu_*). \end{aligned}$$

This implies, in particular, that for $m=1, 2$

$$(6.13) \quad |c_m(y_k, u_{1,k})| > (1 - \eta_k) |c_m(y_k, u_{2,k})| \quad (\eta_k \downarrow 0, k=1, 2, \dots).$$

Now we appeal to the reasoning of Miles and Shea in [17, pp. 182-183]. In fact, their reasoning in it is applicable since (6.8), (6.10) and (6.13) hold. Hence it is possible to find a positive, increasing, unbounded sequence $\{M_k\}$ ($M_k > 1$) such that

$$M_k y_k \leq y_k''' \quad (k=1, 2, \dots),$$

and such that $M_k^{3/4} y_k \leq r \leq M_k y_k$ implies

$$N(r, u; \mathcal{S}_k) < \frac{1}{M_k^{u/s}} N(r, u)$$

for a suitable \mathcal{S}_k . Therefore, if we put

$$x_k'' = M_k y_k, \quad x_k' = M_k^{3/4} y_k, \quad x_k = y_k, \quad x_k' = y_k', \quad \varepsilon_k' = \max\left(\varepsilon_k, \frac{1}{M_k^{u/s}}\right),$$

then all the assertions I, II and III of our theorem are valid for $\{y_k\}$, $\{y_k'\}$, $\{y_k''\}$, $\{y_k'''\}$, $\{\varepsilon_k\}$ replaced by $\{x_k\}$, $\{x_k'\}$, $\{x_k''\}$, $\{x_k'''\}$, $\{\varepsilon_k'\}$, respectively. This completes the proof of our theorem.

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