Stickelberger ideals of conductor p and their application

By Humio ICHIMURA and Hiroki SUMIDA-TAKAHASHI

(Received May 24, 2005) (Revised Sep. 6, 2005)

Abstract. Let p be an odd prime number and F a number field. Let $K = F(\zeta_p)$ and $\Delta = \operatorname{Gal}(K/F)$. Let \mathscr{S}_{Δ} be the Stickelberger ideal of the group ring $\mathbb{Z}[\Delta]$ defined in the previous paper [8]. As a consequence of a p-integer version of a theorem of McCulloh [15], [16], it follows that F has the Hilbert-Speiser type property for the rings of p-integers of elementary abelian extensions over F of exponent p if and only if the ideal \mathscr{S}_{Δ} annihilates the p-ideal class group of K. In this paper, we study some properties of the ideal \mathscr{S}_{Δ} , and check whether or not a subfield of $\mathbb{Q}(\zeta_p)$ satisfies the above property.

1. Introduction.

Let $p \geq 3$ be a fixed odd prime number. Let \mathbf{F}_{p^r} be the finite field with p^r elements, and let $\Gamma_r = \mathbf{F}_{p^r}^+$ and $G_r = \mathbf{F}_{p^r}^{\times}$ be the additive group and the multiplicative group of \mathbf{F}_{p^r} , respectively. For a number field F, denote by $Cl = Cl(\mathscr{O}_F[\Gamma_r])$ and $R = R(\mathscr{O}_F[\Gamma_r])$ the locally free class group of the group ring $\mathscr{O}_F[\Gamma_r]$ and the subset of classes realized by rings of integers of tame Γ_r -Galois extensions over F, respectively. Here, \mathscr{O}_F is the ring of integers of F. As G_r naturally acts on Γ_r , the group ring $\mathbf{Z}[G_r]$ acts on Cl. McCulloh [15], [16] characterized the realizable classes R by the action on Cl of a naturally defined Stickelberger ideal \mathscr{S}_r of $\mathbf{Z}[G_r]$. On the other hand, we defined in [8] another Stickelberger ideal \mathscr{S}_H of $\mathbf{Z}[H]$ for each subgroup H of the multiplicative group \mathbf{F}_p^{\times} in connection with a normal integral basis problem (for the definition, see Section 2). The Stickelberger ideal \mathscr{S}_H is a "H-part" of McCulloh's \mathscr{S}_1 , and when $H = \mathbf{F}_p^{\times}$, it equals \mathscr{S}_1 and the classical one for the extension $\mathbf{Q}(\zeta_p)/\mathbf{Q}$. For the ideal \mathscr{S}_H , the following assertion (Theorem 1) holds as a consequence of a p-integer version of the above theorem of McCulloh. For details, see Section 7. A direct and simpler proof is given in [8].

Let F be a number field, \mathscr{O}_F the ring of integers, and $\mathscr{O}'_F = \mathscr{O}_F[1/p]$ the ring of p-integers. Let Cl_F and Cl'_F be the ideal class groups of the Dedekind domains \mathscr{O}_F and \mathscr{O}'_F , respectively. Letting P be the subgroup of Cl_F generated by the classes containing a prime ideal of \mathscr{O}_F over p, we naturally have $Cl'_F \cong Cl_F/P$. A finite Galois extension N/F with group Γ has a normal p-integral basis (p-NIB for short) when \mathscr{O}'_N is cyclic over the group ring $\mathscr{O}'_F[\Gamma]$. We say that F satisfies the condition (H'_p) when any cyclic extension N/F of degree p has a p-NIB, and that it satisfies $(H'_{p,\infty})$ when any abelian extension N/F of exponent p has a p-NIB. It is known that when $F = \mathbf{Q}$, these conditions

²⁰⁰⁰ Mathematics Subject Classification. 11R18, 11R33.

Key Words and Phrases. Stickelberger ideal, normal integral basis.

The first author was partially supported by Grant-in-Aid for Scientific Research (C), (No. 16540033), the Ministry of Education, Culture, Sports, Science and Technology of Japan.

The second author was partially supported by Grant-in-Aid for Encouragement of Young Scientists, (No. 16740019), the Ministry of Education, Culture, Sports, Science and Technology of Japan.

are satisfied for any p. This is shown similarly to the classical theorem of Hilbert and Speiser. Let $K = F(\zeta_p)$ and $\Delta = \operatorname{Gal}(K/F)$. For an integer $i \in \mathbb{Z}$, let \overline{i} denote the class in $F_p = \mathbb{Z}/p\mathbb{Z}$ represented by i. We have a natural embedding

$$\iota: \Delta \to \boldsymbol{F}_p^{\times}, \quad \sigma \to \overline{i}$$

with $\zeta_p^{\sigma} = \zeta_p^i$, and we identify Δ with the image $H = H_F = \iota(\Delta)$. Then, the Stickelberger ideal $\mathscr{S}_{\Delta} = \mathscr{S}_H$ naturally acts on the class group Cl'_K .

THEOREM 1. Let F be a number field. Let $K = F(\zeta_p)$ and $\Delta = \text{Gal}(K/F)$. Then, the following three conditions are equivalent.

- (I) F satisfies (H'_p) .
- (II) F satisfies $(H'_{p,\infty})$.
- (III) The Stickelberger ideal \mathscr{S}_{Δ} annihilates the class group Cl'_K .

For $p \leq 19$, it is known that the class number of $Q(\zeta_p)$ is one (cf. Washington [19, Theorem11.1]), and hence it follows from Theorem 1 that any subfield F of $Q(\zeta_p)$ satisfies (H'_p) .

The purposes of this paper are (a) to study some properties of the ideal \mathscr{S}_H , and as an application, (b) to check whether or not a subfield of $Q(\zeta_p)$ satisfies the condition (H'_p) for $23 \leq p \leq 499$. As a consequence of our results, we propose the following conjecture in Section 3.

CONJECTURE. Let p be a prime number with $p \ge 23$ and F a subfield of $Q(\zeta_p)$ with $F \neq Q$. If [F:Q] > 2 or $p \equiv 1 \mod 4$, then F does not satisfy (H'_p) except for the case where p = 29 and [F:Q] = 2 or 7.

When $23 \le p \le 499$, this assertion is valid for any F. It is also valid for any $p \ge 23$ if $[\mathbf{Q}(\zeta_p):F] \le 4$ or $[\mathbf{Q}(\zeta_p):F] = 6$. When $p \equiv 3 \mod 4$ and F is the quadratic subfield of $\mathbf{Q}(\zeta_p)$, the matters seem to be more complicated. For these, see Proposition 4 and Remark 2 in Section 3.

REMARK 1. (1) A relation between Stickelberger ideals and Galois module structure of rings of integers was observed first by Hilbert [6, Theorem 136] in his alternative proof of the classical Stickelberger theorem for the ideal class group of $Q(\zeta_p)$. After Hilbert, this connection was pursued by Fröhlich [3], McCulloh [15], [16], Childs [1], *etc.* For details, see Fröhlich [4, Chapter IV]. (2) For the rings of integers in the usual sense, a result corresponding to (but weaker than) Theorem 1 is given in [9, Theorem 5]. It is obtained from the above mentioned theorem of McCulloh.

This paper is organized as follows. In Section 2, we recall the definition of the ideal \mathscr{S}_H , and give several properties of \mathscr{S}_H . In Section 3, we derive corollaries on the property (H'_p) from Theorem 1 and the results in Section 2. In Sections 3-6, we prove the results in Section 2. In the final section, we give the *p*-integer version of McCulloh's theorem, and derive a part of Theorem 1 from this.

2. Results.

Let us first recall the definition of the Stickelberger ideal associated with a subgroup of \mathbf{F}_{p}^{\times} . Let H be a subgroup of \mathbf{F}_{p}^{\times} . For an integer $i \in \mathbf{Z}$ with $\bar{i} \in \mathbf{F}_{p}^{\times}$, we often write $\sigma_{i} = \bar{i}$. For an integer $r \in \mathbf{Z}$, let

$$\theta_r = \theta_{H,r} = \sum_i' \left[\frac{ri}{p} \right] \sigma_i^{-1} \in \mathbf{Z}[H].$$

Here, in the sum \sum_{i}^{\prime} , *i* runs over the integers with $1 \leq i \leq p-1$ and $\overline{i} \in H$, and for a real number x, [x] denotes the largest integer $\leq x$. Let \mathscr{S}_{H} be the submodule of $\mathbb{Z}[H]$ generated by θ_{r} for all integers r over \mathbb{Z} :

$$\mathscr{S}_H = \langle \theta_r \mid r \in \mathbf{Z} \rangle_{\mathbf{Z}}.$$

This is an ideal of $\mathbf{Z}[H]$ as $\sigma_s \theta_r = \theta_{sr} - r\theta_s$ for $\bar{s} \in H$ ([8, Section 2]).

Let ρ be a generator of the cyclic group H. We put

$$N_H = 1 + \rho + \rho^2 + \dots + \rho^{|H|-1},$$

and

$$\mathfrak{n}_H = \begin{cases} 1, & \text{if } |H| \text{ is odd} \\\\ 1 + \rho + \rho^2 + \dots + \rho^{|H|/2-1}, & \text{if } |H| \text{ is even.} \end{cases}$$

For an element $x \in \mathbb{Z}[H]$, let $\langle x \rangle = x\mathbb{Z}[H]$ for simplicity. We see that the ideal $\langle \mathfrak{n}_H \rangle$ does not depend on the choice of ρ since for integers n, k > 1 with (n, k) = 1, we have

$$1 + X + \dots + X^{n-1} | 1 + X^k + \dots + (X^k)^{n-1}$$

in the polynomial ring $\boldsymbol{Z}[X]$.

LEMMA 1. We have $\langle N_H \rangle \subseteq \mathscr{S}_H \subseteq \langle \mathfrak{n}_H \rangle$.

Let h(F) be the class number of a number field F, and let h_p^- be the relative class number of $Q(\zeta_p)$. For groups A and B, we write $A \leq B$ when A is a subgroup of B.

THEOREM 2. For any subgroup H of \mathbf{F}_p^{\times} , the quotient $\langle \mathfrak{n}_H \rangle / \mathscr{S}_H$ is a finite abelian group, and the following assertions hold.

(I) When $H = \mathbf{F}_p^{\times}$, $|\langle \mathfrak{n}_H \rangle / \mathscr{S}_H| = h_p^-$.

(II) Let A and B be subgroups of $\dot{\mathbf{F}}_{p}^{\times}$ with $A \leq B$. Then, the finite abelian group $\langle \mathfrak{n}_{A} \rangle / \mathscr{S}_{A}$ is isomorphic to a subquotient of $\langle \mathfrak{n}_{B} \rangle / \mathscr{S}_{B}$. In particular, the order and the exponent of $\langle \mathfrak{n}_{A} \rangle / \mathscr{S}_{A}$ divide those of $\langle \mathfrak{n}_{B} \rangle / \mathscr{S}_{B}$, respectively.

(III) When |H| = 1, 2, 3, 4 or 6, we have $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$.

THEOREM 3. Let $p \equiv 3 \mod 4$, and let H be the subgroup of F_p^{\times} of order (p-1)/2.

A prime number q divides the order of $\mathbf{Z}[H]/\mathscr{S}_H = \langle \mathfrak{n}_H \rangle/\mathscr{S}_H$ if and only if one of the following conditions is satisfied:

- (i) q divides the quotient $h_p^-/h(\mathbf{Q}(\sqrt{-p}))$,
- (ii) q divides both p-1 and $h(\mathbf{Q}(\sqrt{-p}))$.

It is known that $h_p^- = 1$ if and only if $p \le 19$. For this, confer Uchida [17] or [19, Corollary 11.18]. Hence, we obtain the following corollary from Theorem 2.

COROLLARY 1. When $p \leq 19$, $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$ for any $H \leq \mathbf{F}_p^{\times}$.

We obtain the following numerical result from Theorem 3 using the table of Wada and Saito [18] on the class numbers of imaginary quadratic fields and the tables in [19, pp. 412–420] and Lehmer-Masley [14] on the values of h_p^- .

PROPOSITION 1. Let p be a prime number with $23 \le p \le 499$ and $p \equiv 3 \mod 4$, and let H be the subgroup of \mathbf{F}_p^{\times} of order (p-1)/2.

(I) For p = 23, $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$.

(II) We have $(\langle \mathbf{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q \neq \{0\}$ for all prime numbers q dividing h_p^- when p = 31, 43, 67, 71, 131, 139, 163, 199, 211, 283, 307, 331, 367, 379, 463, 499.

(III) For any p not in (I) nor in (II), $(\langle \mathfrak{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q = \{0\}$ for some prime number q dividing h_p^- , and it is nontrivial for some other q.

Using Theorem 3 and Proposition 1, we can show the following:

PROPOSITION 2. Let p and H be as in Theorem 3. Then, we have $\mathscr{S}_H \subsetneqq \langle \mathfrak{n}_H \rangle$ when $p \geq 31$.

For those $p \ (\leq 499)$ and H not dealt with in Proposition 1, we practiced some computer calculation on $\langle \mathfrak{n}_H \rangle / \mathscr{S}_H$, and obtain the following numerical result.

PROPOSITION 3. Let p be a prime number with $23 \le p \le 499$, and let H be a proper subgroup of \mathbf{F}_p^{\times} . Assume that |H| < (p-1)/2 or $p \equiv 1 \mod 4$. Then $(\langle \mathbf{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q$ is nontrivial if and only if the triple (p, (p-1)/|H|, q) is one of the following:

(149, 2, 3), (277, 2, 2), (277, 4, 2), (293, 2, 3), (313, 2, 37), (337, 2, 17), (349, 2, 2), (349, 4, 2), (397, 2, 2), (397, 4, 2), (401, 2, 41), (409, 2, 5), (331, 5, 3), (331, 10, 3).

In particular, we have $(\langle \mathbf{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q = \{0\}$ for some odd prime factor q of h_p^- except for the case p = 29 where $h_p^- = 8$ and $\mathscr{S}_H = \langle \mathbf{n}_H \rangle$ for any $H \ (\neq \mathbf{F}_p^{\times})$. Further, we have $\mathscr{S}_H = \langle \mathbf{n}_H \rangle$ for p and H not contained in the above list.

From Proposition 3, it is natural to propose the following conjecture.

CONJECTURE A. Let p be a prime number with $p \ge 23$ and H a proper subgroup of \mathbf{F}_p^{\times} . If |H| < (p-1)/2 or $p \equiv 1 \mod 4$, then $(\langle \mathfrak{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q = \{0\}$ for some odd prime number q dividing h_p^- , except for the case p = 29.

We obtained Proposition 3 as follows. First, we calculated whether or not $(\langle \mathfrak{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q$ is trivial for each prime number q up to 2^{16} , and observed that (1) for each prime p in Proposition 3, $(\langle \mathfrak{n}_H \rangle / \mathscr{S}_H) \otimes \mathbf{F}_q \neq \{0\}$ happens quite rarely (and

hence \mathscr{S}_H is very large in $\langle \mathfrak{n}_H \rangle$) and that (2) for primes p in Proposition 1, the opposite phenomenon occurs. A part of Theorem 2 and Theorem 3 were obtained after these computation and observation.

Let us briefly explain the computation. For simplicity, we restrict ourselves to the case where h = |H| is odd. Then, $\mathbf{Z}[H]/\mathscr{S}_H$ is a finite abelian group by Theorem 2. Hence, as an abelian group, \mathscr{S}_H is freely generated by h elements. Further, these h elements generate $\mathbf{Q}[H]$ over \mathbf{Q} . For a finite number of elements $\alpha, \beta, ***$ in $\mathbf{Z}[H]$, let $\langle \alpha, \beta, *** \rangle_{\mathbf{Z}}$ be the submodule of $\mathbf{Z}[H]$ generated by these elements over \mathbf{Z} . From the definition, we can show that

$$\mathscr{S}_{H} = \langle \theta_{r}, N_{H} \mid 1 \leq r \leq p - 1 \rangle_{\mathbf{Z}}$$
$$= \langle \theta_{r}, N_{H}, h_{p}^{-} \mid 1 \leq r \leq p - 1 \rangle_{\mathbf{Z}}.$$
(1)

For the first equality, see Remark 3 in Section 4. The second equality holds by Theorem 2. Therefore, there exist polynomials $f_r \in \mathbb{Z}[T]$ $(1 \le r \le p)$ with indeterminate T such that deg $f_r \le h - 1$ and

$$\mathscr{S}_H = \langle f_r(\rho), h_p^- \mid 1 \le r \le p \rangle_{\mathbf{Z}}.$$

As $h_p^- \in \mathscr{S}_H$, the polynomials satisfying these two conditions are determined modulo h_p^- . Starting from these polynomials $f_r(T)$ (or the above expression for \mathscr{S}_H), we can inductively calculate a basis $\{e_n\}_{0 \leq n \leq h-1}$ of \mathscr{S}_H over \mathbb{Z} such that

$$e_n = \sum_{i=0}^n a_{i,n} \rho^i$$
 and $a_{n,n} | a_{\ell,\ell}$

for $n \geq \ell$. From this, it follows that

$$[\langle \mathfrak{n}_H \rangle : \mathscr{S}_H] = [\mathbf{Z}[H] : \mathscr{S}_H] = \prod_{n=0}^{h-1} a_{n,n}$$

To calculate e_n , we used a version of the Gaussian elimination method over Z (cf. Knuth [13, 4.6]).

Since h_p^- is contained in \mathscr{S}_H by virtue of Theorem 2, all the polynomials which appear in the calculation (such as f_r) are determined modulo h_p^- . Hence, we can choose them so that their coefficients are non-negative and less than h_p^- . Namely, their coefficients do not become too large. This is a reason that we were able to complete the calculation.

For example, we obtained when (p, |H|) = (331, 33),

$$\mathscr{S}_H = \langle \rho^i(\rho+2), 3 \mid 0 \le i \le 31 \rangle_{\mathbf{Z}}$$

with $\rho = \sigma_{283} \ (= \sigma_3^{(1-p)/|H|})$, and when (p, |H|) = (349, 87),

H. ICHIMURA and H. SUMIDA-TAKAHASHI

$$\mathscr{S}_H = \langle \rho^i (\rho^2 + \rho + 1), 2\rho, 2 \mid 0 \le i \le 84 \rangle_{\mathbf{Z}}$$

with $\rho = \sigma_{240} \ (= \sigma_2^{(1-p)/|H|})$. Here, 3 (resp. 2) is a primitive root modulo 331 (resp. 349).

3. Corollaries.

Let F, K and Δ be as in Theorem 1. As in Section 1, we identify Δ with a subgroup $H = H_F$ of \mathbf{F}_p^{\times} through the Galois action on ζ_p . As the conditions (H'_p) and $(H'_{p,\infty})$ are equivalent, we refer only to (H'_p) in what follows. The following assertion is an immediate consequence of Theorems 1 and 2, and contains [8, Corollaries 1, 2].

COROLLARY 2. Under the above setting, the following conditions are equivalent if $[K:F] \leq 3$.

- (i) F satisfies (H'_p) .
- (ii) K satisfies (H'_n) .
- (iii) $h'_K = 1$.

When [K:F] is even, let $J \in \Delta$ be the automorphism of order 2. For an odd prime number q, let $Cl'_K(q)^- = Cl'_K(q)^{J-1}$ be the odd part of the Sylow q-subgroup $Cl'_K(q)$.

COROLLARY 3. Let the notation be as above. When [K : F] is odd, F does not satisfy (H'_p) if there exists a prime number q with $q|h'_K$ and $q \nmid h_p^-$. When [K : F] is even, F does not satisfy (H'_p) if there exists an odd prime number q with $Cl'_K(q)^- \neq \{0\}$ and $q \nmid h_p^-$.

PROOF. Because of Theorem 2, the condition $q \nmid h_p^-$ implies that $\mathscr{S}_\Delta \otimes \mathbf{F}_q = \mathfrak{n}_\Delta \mathbf{F}_q[\Delta]$. Therefore, the first assertion follows from Theorem 1 as $\mathfrak{n}_\Delta = 1$. Let us deal with the case where [K:F] is even, assuming the existence of an odd prime number q with $Cl'_K(q)^- \neq \{0\}$ and $q \nmid h_p^-$. Let c be a nontrivial class in $Cl'_K(q)^-$ of order q. Then, $c^J = c^{-1}$. On the other hand, J - 1 is an element of $\mathscr{S}_\Delta \otimes \mathbf{F}_q = \mathfrak{n}_\Delta \mathbf{F}_q[\Delta]$ as J - 1 is a multiple of \mathfrak{n}_Δ . Therefore, if F satisfies (H'_p) , then $c^J = c$ by Theorem 1, and hence $c^2 = 1$. This is a contradiction as c is of order q.

In the following, let $K = \mathbf{Q}(\zeta_p)$ and let F be a subfield of K. In this case, we have $Cl'_F = Cl_F$ as the unique prime ideal of F over p is principal. As we mentioned in Section 1, the condition (H'_p) is satisfied for $F = \mathbf{Q}$. So, we deal with the case $F \neq \mathbf{Q}$ in what follows. Let $\Delta = H = \text{Gal}(K/F)$. The following is shown similarly to Corollary 3.

COROLLARY 4. Let the notation be as above. When [K : F] is odd, F does not satisfy (H'_p) if there exists a prime number q with $q|h_p$ and $\mathscr{S}_{\Delta} \otimes \mathbf{F}_q = \mathbf{F}_q[\Delta]$. When [K : F] is even, F does not satisfy (H'_p) if there exists an odd prime number q with $q|h_p^-$ and $\mathscr{S}_{\Delta} \otimes \mathbf{F}_q = \mathfrak{n}_{\Delta} \mathbf{F}_q[\Delta]$.

Let $K^+ = \mathbf{Q}(\cos(2\pi/p))$ and let Cl_K^- be the kernel of the norm map $Cl_K \to Cl_{K^+}$. Let $h_p = |Cl_K|$ and $h_p^+ = |Cl_{K^+}|$. Then, we have $h_p = h_p^+ h_p^-$.

COROLLARY 5. Let the notation be as above, and let $G = \operatorname{Gal}(K/Q) = F_p^{\times}$.

Assume that $h_p^+ = 1$ and that h_p^- is odd and square free. If the exponents of the abelian groups $\langle \mathfrak{n}_{\Delta} \rangle / \mathscr{S}_{\Delta}$ and $\langle \mathfrak{n}_{G} \rangle / \mathscr{S}_{G}$ are equal, then F satisfies (H'_p) .

PROOF. By the assumptions and Lemma 5 (in Section 5), we see that

$$\mathscr{S}_{\Delta} \mathbf{Z}[G] \cap \langle \mathfrak{n}_G \rangle = \mathscr{S}_G$$

Further, we have $Cl_K = Cl_K^-$ as $h_p^+ = 1$. By the classical Stickelberger theorem (cf. [19, Theorem 6.10]), \mathscr{S}_G annihilates Cl_K . Let J be the complex conjugation in G. We have $2\mathscr{S}_{\Delta} \subset (1+J)\mathscr{S}_{\Delta} + (1-J)\mathscr{S}_{\Delta}$ in $\mathbf{Z}[G]$. Clearly, $(1+J)\mathscr{S}_{\Delta}$ annihilates $Cl_K^- = Cl_K$. On the other hand, $(1-J)\mathscr{S}_{\Delta}$ annihilates Cl_K since $(1-J)\mathscr{S}_{\Delta} \subseteq \mathscr{S}_{\Delta}\mathbf{Z}[G] \cap \langle \mathfrak{n}_G \rangle$. Therefore, $2\mathscr{S}_{\Delta}$ annihilates Cl_K . As h_p is odd, it follows that \mathscr{S}_{Δ} annihilates Cl_K . Hence, F satisfies (H'_p) by Theorem 1.

From the corollaries and Propositions 1 and 3, we obtain the following:

PROPOSITION 4. (I) Let p be a prime number with $23 \le p \le 499$ and let F be a subfield of $K = \mathbf{Q}(\zeta_p)$ with $F \ne \mathbf{Q}$. If $[F:\mathbf{Q}] > 2$ or $p \equiv 1 \mod 4$, then F does not satisfy (H'_p) except for the case where p = 29 and $[F:\mathbf{Q}] = 2$ or 7.

(II) When p = 29 and $[F : \mathbf{Q}] = 2$ or 7, F satisfies (H'_p) .

(III) For any $p \ge 23$ and any subfield F of $K = \mathbf{Q}(\zeta_p)$ with [K:F] = 1, 2, 3, 4 or 6, F does not satisfy (H'_p) except for the case where p = 29 and [K:F] = 4.

(VI) Let F be the quadratic subfield of $Q(\zeta_p)$. For p = 23 and any prime number p in the third assertion of Proposition 1, F does not satisfy (H'_p) .

PROOF. First, we show the assertion (I). When $[K : F] \leq 2$, it is an immediate consequence of Corollary 2 as $h_p > 1$. When $p \neq 29$ (and [K : F] > 2), the assertion follows from Proposition 3 and Corollary 4. When p = 29 and $[F : \mathbf{Q}] = 4$, we have $h_p^- = 8$ and $\mathscr{S}_H = \langle \mathbf{n}_H \rangle = \mathbf{Z}[H]$ by Proposition 3 where H = Gal(K/F). Hence, the condition (H'_p) is not satisfied for this case by Corollary 4. Thus, the assertion (I) holds in all cases. The assertion (III) follows from Theorem 2 (III), Corollaries 2, 4 and the assertion (I) for the case p = 29. This is because h_p^- is a power of 2 if and only if $p \leq 19$ or p = 29 by Horie [7]. The assertion (VI) follows from Corollary 4.

Let us show the assertion (II). Let p = 29, $K = \mathbf{Q}(\zeta_p)$ and $G = \text{Gal}(K/\mathbf{Q}) = \langle \rho \rangle$. For each positive divisor *i* of p - 1, let F_i be the subfield of *K* with $[F_i : \mathbf{Q}] = i$, and let $H_i = \text{Gal}(K/F_i) = \langle \rho^i \rangle$. It is known that $h_p = 8$ and $h_p^+ = 1$. In particular, $Cl_K = Cl_K^-$. Further, it is known that

$$Cl_K = (\mathbf{Z}/2)^{\oplus 3} \tag{2}$$

(see Iwasawa [11, page 244] or [19, page 412]). First, let us show the assertion for $F = F_7$. We have $\mathscr{S}_{H_7} = \langle \mathfrak{n}_{H_7} \rangle$ by Theorem 2 (III) or Proposition 3. We show that \mathfrak{n}_{H_7} annihilates Cl_K . For this purpose, we first regard Cl_K as a module over $\mathbb{Z}_2[H_4]$. There are six nontrivial $\overline{\mathbb{Q}}_2$ -valued characters of the cyclic group H_4 of order 7, and they are divided into two \mathbb{Q}_2 -equivalent classes. Here, \mathbb{Q}_2 is the field of 2-adic rationals, and $\overline{\mathbb{Q}}_2$ is an algebraic closure of \mathbb{Q}_2 . Let χ_1 and χ_2 be representatives of the two classes, respectively. Let χ_0 be the trivial character of H_4 . We can canonically decompose the

 $Z_2[H_4]$ -module Cl_K as

$$Cl_K = Cl_K^- = Cl_K(\chi_0) \oplus Cl_K(\chi_1) \oplus Cl_K(\chi_2).$$

Here, $Cl_K(\chi)$ is the χ -part of the $\mathbb{Z}_2[H_4]$ -module Cl_K . We have $Cl_K(\chi_0) = \{0\}$ as the class number of the subfield F_4 of K corresponding to H_4 is one (cf. Hasse [5, Tafel II]). For a nontrivial character χ of H_4 , let $\mathscr{O}_{\chi} = \mathbb{Z}_2[\chi]$ be the subring of $\overline{\mathbb{Q}}_2$ generated by the values of χ over \mathbb{Z}_2 , where \mathbb{Z}_2 is the ring of 2-adic integers. We can naturally regard $Cl_K(\chi)$ as a module over \mathscr{O}_{χ} . Then, since $|\mathscr{O}_{\chi}/2| = 8 = h_p$, we see that

$$Cl_K = Cl_K^- = Cl_K(\chi) \cong \mathscr{O}_{\chi}/2 \ (\cong (\mathbb{Z}/2)^{\oplus 3})$$

for $\chi = \chi_1$ or χ_2 . (This assertion is essentially contained in [11]. Actually, Iwasawa obtained (2) in a similar way.) From this, it follows that H_7 acts trivially on the $(\mathscr{O}_{\chi}/2)[H_7]$ module $Cl_K = Cl_K(\chi)$. Therefore, $\mathfrak{n}_{H_7} = 1 + \rho^7$ annihilates $Cl_K = (\mathbb{Z}/2)^{\oplus 3}$. Hence, \mathscr{S}_{H_7} annihilates Cl_K , and F_7 satisfies (H'_p) by Theorem 1.

Next, we show the assertion (II) for $F = F_2$. We have $\mathscr{S}_{H_2} = \langle \mathfrak{n}_{H_2} \rangle$ by Proposition 3. The elements N_{H_4} and $N_{H_{14}}$ of $\mathbb{Z}[G]$ annihilate Cl_K since the class groups of F_4 and $F_{14} = K^+$ are trivial. We see however that

$$\mathfrak{n}_{H_2} = N_{H_4} + (\rho^2 + \rho^6 + \rho^{10})(2 - N_{H_{14}}).$$

Hence, \mathscr{S}_{H_2} annihilates Cl_K , and F_2 satisfies (H'_n) by Theorem 1.

In view of Conjecture A and Proposition 4, we can propose the following:

CONJECTURE B. Let p be a prime number with $p \ge 23$, and let F be a subfield of $Q(\zeta_p)$ with $F \neq Q$. If [F:Q] > 2 or $p \equiv 1 \mod 4$, then F does not satisfy (H'_p) except for the case where p = 29 and [F:Q] = 2 or 7.

REMARK 2. For the primes in Proposition 1 (II), h_p^- is square free only when p = 43, 67 (see the tables in [19, pp. 412–420] and [14], or the table of Yamamura [20]). For $p = 43, 67, h_p^+ = 1$ and h_p^- is square free and odd. Therefore, we see that $F = \mathbf{Q}(\sqrt{-p})$ satisfies (H'_p) for p = 43, 67 by Proposition 1 (II) and Corollary 5. For the other primes p in Proposition 1 (II), we did not check whether or not the quadratic subfield satisfy (H'_p) mainly because we have, at present, no exact data for the class group of K^+ (cf. [19, pp. 420–421]).

4. Proof of Theorem 2 (I).

For $x \in \mathbf{Z}$ and $\alpha \in \mathbf{Q}$, we easily see that

$$[x+\alpha] = x + [\alpha],\tag{3}$$

and

892

$$[x - \alpha] = \begin{cases} x - 1 - [\alpha], & \text{if } \alpha \notin \mathbf{Z} \\ x - [\alpha], & \text{if } \alpha \in \mathbf{Z}. \end{cases}$$
(4)

For $x \in \mathbb{Z}$, let $(x)_p$ be the unique integer satisfying $0 \le (x)_p \le p-1$ and $(x)_p \equiv x \mod p$. Clearly, we have

$$x = \left[\frac{x}{p}\right]p + (x)_p.$$

Using this and (3), we easily show the following simple formulas.

$$(-x)_p = p - (x)_p \quad \text{when } p \nmid x.$$
(5)

$$\left[\frac{xy(z)_p}{p}\right] = \left[\frac{x(yz)_p}{p}\right] + x\left[\frac{y(z)_p}{p}\right] \quad \text{for } y, z \in \mathbf{Z}.$$
(6)

Let $H = \langle \bar{g} \rangle$ be a subgroup of F_p^{\times} of order h, and let $\rho = \sigma_g$. By definition,

$$\theta_r = \theta_{H,r} = \sum_{i=0}^{h-1} \left[\frac{r(g^i)_p}{p} \right] \rho^{-i}.$$
 (7)

When $|H| = 2\ell$ is even, let

$$X_{H,r} = (\rho - 1) \sum_{i=0}^{\ell-1} \left[\frac{r(g^{\ell-1-i})_p}{p} \right] \rho^i$$

and put

$$\tilde{\theta}_r = \tilde{\theta}_{H,r} = \begin{cases} X_{H,r} + (r-1), & \text{if } p \nmid r \\ X_{H,r} + r, & \text{if } p | r. \end{cases}$$

We see that $N_H = -\theta_{-1} \in \mathscr{S}_H$. Therefore, Lemma 1 is obtained immediately from the following:

LEMMA 2. When |H| is even, we have $\theta_r = \rho \mathfrak{n}_H \tilde{\theta}_r$.

PROOF. By (7), we see that

$$\theta_r = \sum_{i=0}^{\ell-1} \left[\frac{r(g^i)_p}{p} \right] \rho^{2\ell-i} + \sum_{i=\ell}^{2\ell-1} \left[\frac{r(g^i)_p}{p} \right] \rho^{2\ell-i}$$
$$= \rho^\ell \sum_{j=1}^{\ell} \left[\frac{r(g^{\ell-j})_p}{p} \right] \rho^j + \sum_{j=1}^{\ell} \left[\frac{r(g^{2\ell-j})_p}{p} \right] \rho^j.$$

Noting that $g^{\ell} \equiv -1 \mod p$ in the last term, we obtain the assertion using (4) and (5).

PROOF OF THEOREM 2 (I). Let $\ell = (p-1)/2$, $H = \mathbf{F}_p^{\times} = \langle \rho \rangle$, and $J = \rho^{\ell}$. Let $R = \mathbf{Z}[H], \mathscr{S} = \mathscr{S}_H, R^- = (J-1)R$, and $\mathscr{S}^- = \mathscr{S} \cap R^-$. In [10], Iwasawa proved that

$$|R^-/\mathscr{S}^-| = h_p^-$$

(cf. [19, Theorem 6.19]). Let $\mathfrak{n} = \mathfrak{n}_H$ and $A = \langle \mathfrak{n} \rangle$. We see that $R^- \subseteq A$ as $J - 1 = (\rho - 1)\mathfrak{n}$. We show that there exists a submodule R' of A with $R' \cap R^- = \{0\}$ such that

$$A = \theta_2 \mathbf{Z} + (R' \oplus R^-) \quad \text{and} \quad \mathscr{S} \supseteq R'.$$
(8)

Using this, we easily see that $R^-/\mathscr{S}^- \cong A/\mathscr{S}$ considering the natural homomorphism $R^- \to A/\mathscr{S}$, and we obtain Theorem 2 (I).

Let us show the assertion (8). Let $\mathbf{Z}[T]$ be the polynomial ring with indeterminate T. An element α of A can be written in the form $\alpha = \mathfrak{n}f(\rho)$ for some $f \in \mathbf{Z}[T]$. Using the relation $\mathfrak{n}(\rho - 1)(\rho^{\ell} + 1) = 0$, we see that the polynomial f is uniquely determined by α modulo $(T - 1)(T^{\ell} + 1)$ and that $\alpha = \mathfrak{n}f(\rho) = 0$ if and only if f is a multiple of $(T - 1)(T^{\ell} + 1)$. Thus, the map

$$\mathfrak{n}f(\rho) \to f(T) \text{ modulo } (T-1)(T^{\ell}+1)$$

is a well defined isomorphism between the Z[H]-module A and the Z[T]-module $Z[T]/((T-1)(T^{\ell}+1))$. We identify these two modules by this isomorphism. Consider the following homomorphism over Z[T].

$$\varphi: A \longrightarrow B := \frac{\mathbb{Z}[T]}{(T-1)} \oplus \frac{\mathbb{Z}[T]}{(T^{\ell}+1)},$$
$$\mathfrak{n}_f(\rho) \to (f \mod (T-1), \ f \mod (T^{\ell}+1)).$$

We easily see that φ is injective. Define submodules R_1 and R_2 of B by

$$\begin{split} R_1 &= \varphi(\langle (\rho^{\ell} + 1)\mathfrak{n} \rangle) = (2, T - 1)/(T - 1) \oplus \{0\} \\ R_2 &= \varphi(R^-) = \varphi(\langle (\rho - 1)\mathfrak{n} \rangle) = \{0\} \oplus (T - 1, 2, T^{\ell} + 1)/(T^{\ell} + 1). \end{split}$$

Then, it follows that

$$\varphi(A) \supseteq R_1 \oplus R_2$$
 and $B/(R_1 \oplus R_2) \cong \mathbb{Z}/2 \oplus \mathbb{Z}/2$.

By Lemma 2 and the definition of $\tilde{\theta}_r$, we see that

$$\varphi(\theta_2) = (1, *) \notin R_1 \oplus R_2$$
 and $\varphi((\rho^{\ell} + 1)\theta_2) = (2, 0).$

The latter implies that $R_1 \subseteq \varphi(\mathscr{S})$. On the other hand, we see that $\varphi(A) \neq B$ since A is cyclic over $\mathbf{Z}[H]$ but B is not cyclic over $\mathbf{Z}[T]$. From the above, we see that

$$\varphi(A) = \varphi(\theta_2) \mathbf{Z} + (R_1 \oplus R_2) \text{ and } R_1 \subseteq \varphi(\mathscr{S}).$$

We obtain the assertion (8) from this.

REMARK 3. We can show the first equality in (1) using (3) and $\theta_{-1} = \theta_{H,-1} = -N_H$.

5. Proofs of Theorem 2 (II) and (III).

In this section, we prove the finiteness of $\langle \mathfrak{n}_H \rangle / \mathscr{S}_H$ for general H and Theorem 2 (II), (III). In the following, A and B are subgroups of \mathbf{F}_p^{\times} with $A \leq B$.

LEMMA 3. $\mathscr{S}_B \subseteq \mathscr{S}_A \mathbb{Z}[B] \cap \langle \mathfrak{n}_B \rangle.$

PROOF. In view of Lemma 1, it suffices to show that $\mathscr{S}_B \subseteq \mathscr{S}_A \mathbb{Z}[B]$. Let |A| = a, |B| = at, $B = \langle \overline{g} \rangle$, and $\rho = \sigma_g$. By (6) and (7), we see that

$$\theta_{B,r} = \sum_{\lambda=0}^{t-1} \rho^{-\lambda} \sum_{i=0}^{a-1} \left[\frac{r(g^{ti+\lambda})_p}{p} \right] \rho^{-ti}$$
$$= \sum_{\lambda=0}^{t-1} \rho^{-\lambda} \sum_{i=0}^{a-1} \left\{ \left[\frac{rg^{\lambda}(g^{ti})_p}{p} \right] - r \left[\frac{g^{\lambda}(g^{ti})_p}{p} \right] \right\} \rho^{-ti}$$
$$= \sum_{\lambda=0}^{t-1} \rho^{-\lambda} (\theta_{A,rg^{\lambda}} - r\theta_{A,g^{\lambda}}).$$
(9)

The assertion follows immediately from this.

LEMMA 4. There is a natural injective homomorphism

$$ar{arphi}: \langle \mathfrak{n}_A
angle / \mathscr{S}_A \longrightarrow rac{\langle \mathfrak{n}_B
angle}{\mathscr{S}_A \mathbf{Z}[B] \cap \langle \mathfrak{n}_B
angle}$$

PROOF. Let $B = \langle \rho \rangle$ and t = |B/A|. Then, as $A = \langle \rho^t \rangle$, an element of $\langle \mathfrak{n}_A \rangle = \mathfrak{n}_A \mathbb{Z}[A]$ is of the form $\mathfrak{n}_A f(\rho^t)$ for some polynomial $f(T) \in \mathbb{Z}[T]$. Consider the homomorphism

$$\varphi: \langle \mathfrak{n}_A \rangle \longrightarrow \frac{\langle \mathfrak{n}_B \rangle}{\mathscr{S}_A \mathbf{Z}[B] \cap \langle \mathfrak{n}_B \rangle}; \ \mathfrak{n}_A f(\rho^t) \to [\mathfrak{n}_B f(\rho^t)].$$

Here, $[\mathfrak{n}_B f(\rho^t)]$ is the class containing $\mathfrak{n}_B f(\rho^t)$. As $\mathfrak{n}_A|\mathfrak{n}_B$ in $\mathbb{Z}[B]$, it is clear that φ is well defined and that $\mathscr{S}_A \subseteq \ker \varphi$. Let us show that $\ker \varphi \subseteq \mathscr{S}_A$. There are three cases; (i) |B| is odd, (ii) |A| is even, and (iii) |A| is odd and |B| is even.

The case (i). In this case, $\mathbf{n}_A = \mathbf{n}_B = 1$. Assume that $f(\rho^t) \in \mathscr{S}_A \mathbb{Z}[B]$. Then, it follows that

$$f(\rho^t) = \sum_{\lambda=0}^{t-1} \alpha_\lambda \rho^\lambda$$

with some $\alpha_{\lambda} \in \mathscr{S}_A$ for $0 \leq \lambda \leq t - 1$. This implies that $f(\rho^t) = \alpha_0 \in \mathscr{S}_A$.

The case (ii). In this case, we have $\mathbf{n}_B = (1 + \rho + \cdots + \rho^{t-1})\mathbf{n}_A$. Assume that $f(\rho^t)\mathbf{n}_B \in \mathscr{S}_A \mathbf{Z}[B]$. Then, it follows that

$$f(\rho^t)\mathbf{n}_B = f(\rho^t)\mathbf{n}_A(1+\rho+\dots+\rho^{t-1}) = \sum_{\lambda=0}^{t-1} \alpha_\lambda \rho^\lambda$$

with some $\alpha_{\lambda} \in \mathscr{S}_A$ for $0 \leq \lambda \leq t-1$. This implies that $f(\rho^t)\mathfrak{n}_A = \alpha_0 \in \mathscr{S}_A$.

The case (iii). Let t = 2s and |A| = a. Assume that $f(\rho^{2s})\mathfrak{n}_B \in \mathscr{S}_A \mathbb{Z}[B]$. Then, it follows that

$$f(\rho^{2s})\mathfrak{n}_B = f(\rho^{2s})(1+\rho+\dots+\rho^{as-1}) = \sum_{\lambda=0}^{2s-1} \alpha_\lambda \rho^\lambda$$

with some $\alpha_{\lambda} \in \mathscr{S}_A$ for $0 \leq \lambda \leq 2s - 1$. Let $\ell = (a - 1)/2 + 1$ and $\tau = \rho^{2s} \in A$. From the above, we see that

$$f(\rho^{2s})(1+\tau+\cdots+\tau^{\ell-1}) = f(\rho^{2s}) \cdot \frac{1-\tau^{\ell}}{1-\tau} = \alpha_0 \in \mathscr{S}_A.$$

Let k be the least integer with $\ell^k \equiv 1 \mod a$, and write $\ell^k = 1 + aX$ for some $X \in \mathbb{Z}$. It follows that

$$f(\rho^{2s}) \cdot \frac{1 - \tau^{\ell}}{1 - \tau} \times \dots \times \frac{1 - \tau^{\ell^k}}{1 - \tau^{\ell^{k-1}}} \in \mathscr{S}_A$$

The left hand side equals

$$f(\rho^{2s}) \cdot (1 + \tau + \tau^2 + \dots + \tau^{aX}) = f(\rho^{2s}) \cdot \left\{\tau^{aX} + N_A(1 + \tau^a + \dots + \tau^{a(X-1)})\right\}$$
$$\equiv f(\rho^{2s}) \mod \mathscr{S}_A.$$

The last congruence holds as $N_A \in \mathscr{S}_A$ (Lemma 1). Therefore, we obtain $f(\rho^{2s}) = f(\rho^{2s})\mathfrak{n}_A \in \mathscr{S}_A$.

PROOF OF THE FINITENESS OF $\langle \mathfrak{n}_H \rangle / \mathscr{S}_H$ AND THEOREM 2 (II). The assertions follow from Theorem 2 (I) and Lemmas 3 and 4.

LEMMA 5. Assume that h_p^- is square free. If the exponents of the abelian groups $\langle \mathfrak{n}_A \rangle / \mathscr{S}_A$ and $\langle \mathfrak{n}_B \rangle / \mathscr{S}_B$ are equal, then $\mathscr{S}_B = \mathscr{S}_A \mathbf{Z}[B] \cap \langle \mathfrak{n}_B \rangle$.

PROOF. This assertion follows immediately from Lemmas 3 and 4. \Box

PROOF OF THEOREM 2 (III). By Theorem 2 (II), it suffices to deal with the cases where |H| = 4 or 6. Let $H = \langle \bar{g} \rangle$ and $\rho = \sigma_g$.

The case |H| = 4. Let $r = (g)_p$. As $r^2 \equiv -1 \mod p$, we see that $(g^3)_p = (-g)_p = p - r$. Hence, it follows that $2(g)_p p$. Therefore, we may as well assume that $(g)_p < p/2$ replacing g with g^3 if necessary. Then, it follows that $\tilde{\theta}_2 = 1$, and hence $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$ by Lemmas 1 and 2.

The case |H| = 6. Let $r = (g)_p$. We show that if 2r < p, then $2(g^2)_p < p$, and that if 2r > p, then $2(g^5)_p < p$. As \bar{r} is a primitive 6-th root of unity in \mathbf{F}_p^{\times} , we have $r^2 \equiv r-1 \mod p$. From this, we see that $2r \neq 1 \mod p$. It also follows that $(g^2)_p = r-1$. From this, the first assertion follows. Next, assume that 2r > p. Then, as $2r \geq p+1$,

$$2(g^2)_p = 2(r-1) \ge p-1.$$

However, the last equality does not hold as $2r \neq 1 \mod p$. Hence, we obtain $2(g^2)_p > p$. As $g^5 \equiv -g^2 \mod p$, it follows that $(g^5)_p = p - (g^2)_p < p/2$.

When 2r < p, it follows from the above that $\tilde{\theta}_2 = 1$, and hence $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$. When 2r > p, we see from the above that $\mathscr{S}_H = \langle \mathfrak{n}_H \rangle$ replacing g with g^5 .

6. Proofs of Theorem 3 and Proposition 2.

Let p be a prime number with $p \equiv 3 \mod 4$. Let $G = \mathbf{F}_p^{\times}$, and let H be the subgroup of G of order (p-1)/2. Let $G = \langle \bar{g} \rangle$ and $\rho = \sigma_g$. Let χ be an odd character of G. Namely, $\chi(\rho^{(p-1)/2}) = -1$. We naturally regard χ as a homomorphism $\mathbf{Z}[G] \to \mathbf{Z}[\mu_{p-1}]$. Let χ_0 be the trivial character of G. Let $\delta_r = 0$ or 1 according to whether p|r or $p \nmid r$.

LEMMA 6. Let χ be an odd character of G. For any $r \in \mathbb{Z}$, we have

$$\chi(\theta_{G,r}) = \begin{cases} 2\chi(\theta_{H,r}), & \text{if } \chi^2 \neq \chi_0, \\ 2\chi(\theta_{H,r}) - (r - \delta_r)(p - 1)/2, & \text{if } \chi^2 = \chi_0. \end{cases}$$

PROOF. Let $\ell = (p-1)/2$. From (7), it follows that

$$\chi(\theta_{G,r}) = \sum_{i=0}^{\ell-1} \left[\frac{r(g^{2i})_p}{p} \right] \chi(\rho^{-2i}) + \sum_{i=0}^{\ell-1} \left[\frac{r(g^{2i+1})_p}{p} \right] \chi(\rho^{-(2i+1)}).$$

By (7) and $H = \langle \rho^2 \rangle$, the first term of the right hand side equals $\chi(\theta_{H,r})$. Since $\ell = (p-1)/2$ is odd and χ is odd, the second term of the right hand side equals

$$\sum_{i=0}^{\ell-1} \left[\frac{r(g^{\ell+2i})_p}{p} \right] \chi(\rho^{-(\ell+2i)}) = \sum_{i=0}^{\ell-1} \left[\frac{r(-g^{2i})_p}{p} \right] \chi(\rho^{-2i})(-1)$$

We see from (4) and (5) that the last term equals

$$-\sum_{i=0}^{\ell-1} \left(r - \delta_r - \left[\frac{r(g^{2i})_p}{p} \right] \right) \chi(\rho^{-2i}) = \chi(\theta_{H,r}) - (r - \delta_r) \sum_{i=0}^{\ell-1} \chi(\rho^{-2i}).$$

Now, the assertion follows from the above.

PROOF OF THEOREM 3. For a character χ of G, we easily observe that

$$\chi(\theta_{G,r}) = \sum_{i=1}^{p-1} \left[\frac{ri}{p} \right] \chi(i)^{-1} = \sum_{i=1}^{p-1} \frac{1}{p} (ri - (ri)_p) \chi(i)^{-1}$$
$$= (r - \chi(r)) B_{1,\chi^{-1}}, \tag{10}$$

where

$$B_{1,\chi^{-1}} = \frac{1}{p} \sum_{i=1}^{p-1} i\chi(i)^{-1}$$

is the first Bernoulli number. For a prime number q, let Q_q be the field of q-adic rationals, Z_q the ring of q-adic integers, and \bar{Q}_q an algebraic closure of Q_q . For a \bar{Q}_q valued character χ of G or H, let \mathfrak{Q}_{χ} be the maximal ideal of the integer ring of the subfield of \bar{Q}_q generated by the values of χ over Q_q .

Let us show the "if part" of the assertion. Let q be a prime number satisfying the condition (i) of Theorem 3. By the classical class number formula, we have

$$h_p^-/h(\mathbf{Q}(\sqrt{-p})) = p \cdot \prod_{\chi^2 \neq \chi_0} \left(-\frac{1}{2} B_{1,\chi^{-1}} \right),$$

where χ runs over the odd characters of G with $\chi^2 \neq \chi_0$ (cf. [19, Theorem 4.17]). Hence, we see that $B_{1,\chi^{-1}} \equiv 0 \mod 2\mathfrak{Q}_{\chi}$ for some odd $\overline{\mathfrak{Q}}_q$ -valued character χ of G with $\chi^2 \neq \chi_0$. Then, it follows from (10) and Lemma 6 that $\chi(\theta_{H,r}) \equiv 0 \mod \mathfrak{Q}_{\chi}$ for all r. Hence, we obtain the assertion. Let q be a prime number satisfying the condition (ii). Then, q is odd as $p \equiv 3 \mod 4$. By the class number formula, we have $B_{1,\chi^{-1}} \equiv 0 \mod q$ for the quadratic character χ associated with $\mathfrak{Q}(\sqrt{-p})$. Hence, noting that q is odd and q|p-1, we obtain the assertion from (10) and Lemma 6 similarly to the above.

Let us show the "only if part". Assume that a prime number q divides the order of $\mathbb{Z}[H]/\mathscr{S}_{H}$. First, we deal with the case $q \nmid p-1$. In this case, we have the direct decomposition

$$(\mathbf{Z}[H]/\mathscr{S}_H)\otimes \mathbf{Z}_q = \bigoplus_{\psi}((\mathbf{Z}[H]/\mathscr{S}_H)\otimes \mathbf{Z}_q)(\psi).$$

Here, ψ runs over a complete set of representatives of the Q_q -equivalent classes of the

 $\bar{\mathbf{Q}}_q$ -valued characters of H, and $(*)(\psi)$ denotes the ψ -component. Therefore, by the assumption, there exists a $\bar{\mathbf{Q}}_q$ -valued character ψ of H such that $\psi(\theta_{H,r}) \equiv 0 \mod \mathfrak{Q}_{\psi}$ for all r. Let χ be an odd character of G with $\chi_{|H} = \psi$. Then, from Lemma 3 it follows that $\chi(\theta_{G,r}) \equiv 0 \mod \mathfrak{Q}_{\psi} = \mathfrak{Q}_{\chi}$ for all r, and hence $B_{1,\chi^{-1}} \equiv 0 \mod \mathfrak{Q}_{\chi}$ by (10). We see from Lemma 6 that $\chi^2 \neq \chi_0$ since $q \nmid p - 1$ and $\chi(\theta_{G,r}) \equiv \psi(\theta_{H,r}) \equiv 0 \mod \mathfrak{Q}_{\chi}$. Therefore, we see that q divides $h_p^-/h(\mathbf{Q}(\sqrt{-p}))$ by the class number formula. Next, we deal with the case q|p-1. From the assumption, we have $q|h_p^-$ by Theorem 2. Hence, q divides either $h_p^-/h(\mathbf{Q}(\sqrt{-p}))$ or $h(\mathbf{Q}(\sqrt{-p}))$. The assertion follows from this.

PROOF OF PROPOSITION 2. Let p be a prime number with $p \equiv 3 \mod 4$. By Theorem 3 and Proposition 1, it suffices to show that $h_p^-/h(\mathbf{Q}(\sqrt{-p})) > 1$ for p > 500. It is known that

$$\log h_p^- \ge \frac{1}{4}(p-2)\log p - 1.08 \times (p-1)$$

for $p \geq 221$ (cf. [19, Proposition 11.16]). On the other hand, it is classically known that $h(\mathbf{Q}(\sqrt{-p})) < p$. This is an immediate consequence of the class number formula for imaginary quadratic fields (cf. [19, Theorem 4.17] or [6, Theorem 114]). Hence, it follows that

$$\log\left(h_p^-/h(\boldsymbol{Q}(\sqrt{-p}))\right) > g(p)$$

with the function

$$g(x) = \frac{1}{4}x\log x - \frac{3}{2}\log x - 1.08 \times (x-1).$$

We easily see that g(x) > 1 for all real numbers x > 500. The assertion follows from this.

7. Appendix.

In this section, we give the *p*-integer version of McCulloh's theorem mentioned in Section 1, and derive a part of Theorem 1 from this. We add this appendix for the convenience of the reader following a suggestion of the referee.

Let p be a prime number and F a number field. Let $K = F(\zeta_p)$. Let $G = \mathbf{F}_p^{\times}$ and $\Gamma = \mathbf{F}_p^+$ be the multiplicative group and the additive group of the finite field \mathbf{F}_p , respectively. We write elements of G as $\sigma_i = \overline{i}$. We naturally regard $H = \operatorname{Gal}(K/F)$ as a subgroup of G through its Galois action on ζ_p . In this section, we simply write $\mathscr{O}'_F\Gamma$ (resp. $F\Gamma$) for the group ring $\mathscr{O}'_F[\Gamma]$ (resp. $F[\Gamma]$). Denote by $Cl(\mathscr{O}'_F\Gamma)$ and $R(\mathscr{O}'_F\Gamma)$ the locally free class group of the group ring $\mathscr{O}'_F\Gamma$ and the subset of classes realized by rings of p-integers of Γ -extensions over F, respectively. For the precise definition of $Cl(\mathscr{O}'_F\Gamma)$, see [4]. Later, we give a convenient description of $Cl(\mathscr{O}'_F\Gamma)$ following McCulloh's paper. Let $Cl^0(\mathscr{O}'_F\Gamma)$ be the kernel of the projection $Cl(\mathscr{O}'_F\Gamma) \to Cl'_F$. It is known and easily shown that $R(\mathscr{O}'_F\Gamma)$ is contained in $Cl^0(\mathscr{O}'_F\Gamma)$. The multiplicative group G naturally acts on Γ by

$$\bar{a}^{\sigma_i} = \overline{ia} \tag{11}$$

for $\sigma_i \in G$ and $\bar{a} \in \Gamma$. Through this action, the group ring $\mathbf{Z}[G]$ acts on the class group $Cl(\mathscr{O}'_F\Gamma)$. The following is the *p*-integer version of the main theorem of [16].

THEOREM 4 (McCulloh). Under the above setting, we have

$$R(\mathscr{O}'_F\Gamma) = Cl^0(\mathscr{O}'_F\Gamma)^{\mathscr{S}_G}.$$

To prove this theorem, all one has to do is to replace \mathscr{O}_F with \mathscr{O}'_F in McCulloh's argument. From Theorem 4, it follows that $R(\mathscr{O}'_F\Gamma)$ is a subgroup of $Cl(\mathscr{O}'_F\Gamma)$. A number field F satisfies the condition (H'_p) if and only if the group $R(\mathscr{O}'_F\Gamma)$ is trivial because of the cancellation theorem (Jacobinski [12], Fröhlich [2, page 117]).

In the following, we derive the equivalence (I) \Leftrightarrow (III) in Theorem 1 from Theorem 4. (For the other equivalences, see [8].) For this purpose, we give a convenient description of the class group $Cl(\mathscr{O}'_F\Gamma)$ following [16, page 113]. Let $I(\mathscr{O}'_F\Gamma)$ be the group of fractional ideals of $\mathscr{O}'_F\Gamma$ in $F\Gamma$, and let $P_{F,\Gamma}$ be the subgroup consisting of principal ideals $\alpha \mathscr{O}'_F\Gamma$ for units α of $F\Gamma$. The group G acts on $I(\mathscr{O}'_F\Gamma)$ and the quotient $I(\mathscr{O}'_F\Gamma)/P_{F,\Gamma}$ through its action (11) on Γ . Then, we have the following natural isomorphism compatible with the G-action.

$$\iota: Cl(\mathscr{O}'_F\Gamma) \cong I(\mathscr{O}'_F\Gamma)/P_{F,\Gamma}.$$
(12)

Let N/F be a Γ -extension. As is well known, we have $N = F\Gamma \cdot v$ for some element $v \in N$. We see that $\mathscr{O}'_N = A_N \cdot v$ for some fractional ideal A_N of $\mathscr{O}'_F\Gamma$. The class $[A_N]$ in $I(\mathscr{O}'_F\Gamma)/P_{F,\Gamma}$ represented by A_N depends only on the Γ -extension N/F. The image $\iota(R(\mathscr{O}'_F\Gamma))$ is the subset of classes $[A_N]$ for all Γ -extensions N/F.

Let us look at the group $I(\mathcal{O}'_F\Gamma)$ more explicitly. Let χ_0 be the trivial character of Γ . We fix a nontrivial character χ of Γ with values in $K = F(\zeta_p)$. Let $\rho = \sigma_g$ be a generator of G, where g is a primitive root modulo p. Let t = [G : H]. Then, ρ^t is a generator of $H = \operatorname{Gal}(K/F)$ sending ζ_p to $\zeta_p^{g^t}$. For a character ψ of Γ and an element $\alpha = \sum_{\gamma} \alpha_{\gamma} \gamma$ of $F\Gamma$, let

$$\psi(\alpha) = \sum_{\gamma} a_{\gamma} \psi(\gamma),$$

where γ runs over Γ . We easily see that $\chi, \chi^g, \dots, \chi^{g^{t-1}}$ form a complete set of representatives of the *F*-equivalent classes of nontrivial *K*-valued characters of Γ . From this, we see that the homomorphism

$$\varphi: F\Gamma \to F \oplus K \oplus K \oplus \cdots \oplus K$$

with

$$\varphi(\alpha) = \left(\chi_0(\alpha), \, \chi(\alpha), \, \chi^g(\alpha), \cdots, \, \chi^{g^{t-1}}(\alpha)\right)$$

is an isomorphism of F-algebras. We easily see that

$$\varphi(\mathscr{O}'_F\Gamma) = \mathscr{O}'_F \oplus \mathscr{O}'_K \oplus \mathscr{O}'_K \oplus \cdots \oplus \mathscr{O}'_K.$$

Via the isomorphism φ , a fractional ideal of $\mathscr{O}'_F\Gamma$ corresponds to the direct sum of fractional ideals of the components of $\varphi(\mathscr{O}'_F\Gamma)$. The image $\iota(Cl^0(\mathscr{O}'_F\Gamma))$ equals the subgroup of $I(\mathscr{O}'_F\Gamma)/P_{F,\Gamma}$ consisting of classes containing fractional ideals A of $\mathscr{O}'_F\Gamma$ for which the first component of $\varphi(A)$ is \mathscr{O}'_F . From the definition of $\psi(\alpha)$, we easily see that

$$\varphi(\alpha^{\rho^{\lambda}}) = \left(\chi_0(\alpha), \, \chi^{g^{\lambda}}(\alpha), \cdots, \chi^{g^{t-1}}(\alpha), \, \chi(\alpha)^{\rho^t}, \cdots, \chi^{g^{\lambda-1}}(\alpha)^{\rho^t}\right) \tag{13}$$

for $0 \leq \lambda \leq t - 1$, and that

$$\varphi(\alpha^{\delta}) = \left(\chi_0(\alpha), \, \chi(\alpha)^{\delta}, \, \chi^g(\alpha)^{\delta}, \cdots, \, \chi^{g^{t-1}}(\alpha)^{\delta}\right) \tag{14}$$

for $\delta \in H$. Here, $\chi^{g^{\lambda}}(\alpha)^{\delta}$ denotes the Galois action of $\delta \in H$ on the element $\chi^{g^{\lambda}}(\alpha)$ of K. Namely, for $0 \leq \lambda \leq t-1$, the element ρ^{λ} acts on the components of $\varphi(\alpha)$ as a "cyclic permutation", and $\delta \in H$ acts on them by Galois action.

PROOF OF (I) \Leftrightarrow (III) IN THEOREM 1. First, assume that F satisfies (H'_p) . Then, by Theorem 4, the Stickelberger ideal \mathscr{S}_G annihilates the class group $Cl^0(\mathscr{O}'_F\Gamma)$. Let $r \in \mathbb{Z}$ be an arbitrary integer. By (9), we see that

$$\theta_{G,r} = \theta_{H,r} + \sum_{\lambda=1}^{t-1} \rho^{\lambda} s_{\lambda}$$
(15)

with some $s_{\lambda} \in \mathscr{S}_{H}$ for $1 \leq \lambda \leq t-1$. Let \mathfrak{A} be an arbitrary ideal of \mathscr{O}'_{K} , and let A be the ideal of $\mathscr{O}'_{F}\Gamma$ such that

$$\varphi(A) = \mathscr{O}'_F \oplus \mathfrak{A} \oplus \mathscr{O}'_K \oplus \cdots \oplus \mathscr{O}'_K.$$

From (13), (14) and (15), we see that

$$\varphi(A^{\theta_{G,r}}) = \mathscr{O}'_F \oplus \mathfrak{A}^{\theta_{H,r}} \oplus \cdots .$$
(16)

On the other hand, it follows from the assumption and the isomorphism (12) that

$$A^{\theta_{G,r}} = \alpha \mathscr{O}_F' \Gamma$$

for some unit $\alpha \in (F\Gamma)^{\times}$. From this and (16), we see that $\mathfrak{A}^{\theta_{H,r}} = \chi(\alpha) \mathscr{O}'_{K}$. Therefore, the Stickelberger ideal \mathscr{S}_{H} annihilates the class group Cl'_{K} .

Conversely, assume that \mathscr{S}_H annihilates Cl'_K . Then, we see from (13), (14) and (15) that $\theta_{G,r}$ annihilates the ideal of $\mathscr{O}'_F\Gamma$ corresponding to

$$\mathscr{O}'_F \oplus \mathfrak{A}_0 \oplus \dots \oplus \mathfrak{A}_{t-1}$$

via φ . Here, \mathfrak{A}_i denotes an arbitrary ideal of \mathscr{O}'_K . Therefore, $R(\mathscr{O}'_F\Gamma) = \{0\}$ by Theorem 4 and (12), and hence F satisfies (H'_p) .

ACKNOWLEDGEMENTS. The authors heartily thank the referee for carefully reading the original manuscript and for many valuable suggestions which considerably improved the presentation of the whole paper. Further, as we mentioned before, we add the final section according to the referee's suggestion and encouragement.

References

- L. N. Childs, Tame Kummer extensions and Stickelberger ideals, Illinois J. Math., 25 (1981), 258–266.
- [2] A. Fröhlich, Locally free modules over arithmetic orders, J. Reine Angew. Math., 274/275 (1975), 112–138.
- [3] A. Fröhlich, Stickelberger without Gauss Sums, Algebraic Number Fields, Durham Symposium, 1975, (ed. A. Fröhlich), 587–607, Academic Press, London, 1977.
- [4] A. Fröhlich, Galois Module Structure of Rings of Integers, Springer, Berlin-Heidelberg-New York, 1983.
- [5] H. Hasse, Über die Klassenzahl Abelscher Zahlkörper, Akademia-Verlag, Berlin, 1952.
- [6] D. Hilbert, The Theory of Algebraic Integers, Springer, Berlin-Heidelberg-New York, 1997.
- [7] K. Horie, On the class numbers of cyclotomic fields, Manuscripta Math., 65 (1989), 465–477.
- [8] H. Ichimura, Stickelberger ideals and normal bases of rings of p-integers, Math. J. Okayama Univ., in press.
- [9] H. Ichimura, Normal integral bases and ray class groups, II, Yokohama Math. J., in press.
- [10] K. Iwasawa, A class number formula for cyclotomic fields, Ann. of Math., 76 (1962), 171–179.
- [11] K. Iwasawa, A note on ideal class groups, Nagoya Math. J., 27 (1966), 239-247.
- [12] H. Jacobinski, Genera and decompositions of lattices over orders, Acta Math., 121 (1968), 1–29.
- [13] D. E. Knuth, The Art of Computer Programming, 2: Seminumerical Algorithm (2nd. ed.), Addison-Wesley, Massachusetts, 1981.
- [14] D. H. Lehmer and J. Masley, Table of the cyclotomic class numbers $h^*(p)$ and their factors for 200 , Math. Comp.,**32**(1978), 577–582.
- [15] L. R. McCulloh, A Stickelberger condition on Galois module structure for Kummer extensions of prime degree, Algebraic Number Fields, Durham Symposium, 1975, (ed. A. Fröhlich), 190–204, Academic Press, London, 1977.
- [16] L. R. McCulloh, Galois module structure of elementary abelian extensions, J. Algebra, 82 (1983), 102–134.
- [17] K. Uchida, Class numbers of imaginary abelian number fields, III, Tohoku Math. J., 23 (1971), 573–580.
- [18] H. Wada and M. Saito, A Table of Ideal Class Groups of Imaginary Quadratic Fields, Sophia Kokyuroku, 28, Sophia Univ., Tokyo, 1988.
- [19] L. C. Washington, Introduction to Cyclotomic Fields (2nd ed.), Springer, Berlin-Heidelberg-New York, 1996.
- [20] K. Yamamura, Table of relative class numbers of imaginary abelian number fields of prime power conductor ≤ 2¹⁰ = 1024, available at ftp://tnt.math.metro-u.ac.jp/pub/table/rcn/.

Humio ICHIMURA

Hiroki Sumida-Takahashi

Faculty of Science Ibaraki University Bunkyo 2-1-1, Mito Ibaraki 310-8512 Japan Faculty and School of Engineering The University of Tokushima 2-1, Minamijosanjima-cho Tokushima, 770-8506 Japan