On relativized probabilistic polynomial time algorithms

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Let $SEP_B = \{X \subseteq \Sigma^* : P[X] \neq BPP[X]\}$. Bennett-Gill [BG 81] show that, in the Cantor space 2^{Σ^*} , SEP_B is of measure zero, and conjectured the possibility that it may be comeager. (In complexity theory there is such an example : Dowd [Do 92] shows that the class of *m*-generic oracles is of measure zero and is comeager.) We give partial answer to this possibility. Namely, we show that (i) there is a recursive oracle *H* such that the class $\{X : P[X] \neq BPP[H \oplus X]\}$ is comeager, and (ii) if we assume the existence of an oracle with an appropriate property, then the class SEP_B is comeager. These two things also hold for the class $SEP_D = \{X : P[X] \neq NP[X] \cap coNP[X]\}$. Proofs use forcing method due to Poizat [Po 86] with some modification. However, we do not know whether SEP_D is comeager. If SEP_D contains all generic oracles (thence it is comeager), then we would have $P \neq NP$, by a theorem of Blum-Impagliazzo [BI 87]. In the last section we state the *raison d'etre* for the above (i).

§1. Introduction.

For $X \subseteq \Sigma^*$, let C[X] and D[X] be relativized complexity classes, and let $E(C, D) = \{X : C[X] \neq D[X]\}$. Then, how large (or small) is E(C, D)? For example, E(P, NP) has measure 1 [BG 81] and is comeager (e.g., [Po 86]), where P[X] and NP[X] are deterministic and nondeterministic polynomial time complexity classes relativized by oracle X, respectively. Now, consider the class

$$SEP_B = E(P, BPP) = \{X : P[X] \neq BPP[X]\},\$$

where BPP[X] is the class of sets accepted by probabilistic polynomial time bounded oracle Turing machines with oracle X whose error probability is bounded above by some positive rationals less than 1/2. Bennett-Gill [BG 81] showed, among other things, that the class SEP_B has measure zero and conjectured that it may be comeager.

In this paper, we show that it is the case if BPP[X] is relativized by an appropriate oracle H. Namely, let

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$$SEP_B^H = \{X : P[X] \neq BPP[X \oplus H]\},\$$

where $H \oplus X$ is the disjoint union of H and X (for its precise definition, see below). Then, we have

THEOREM 1. There is a recursive oracle H such that SEP_B^H is comeager.

Theorem 1 will be proved by applying some relativized form of Poizat's Theorem in [Po 86]. Further, we introduce at class BPPU[X] "probabilistically uniformly" relativized by X in some sense. Then we have

THEOREM 2. The class SEP_B is comeager, provided that there exists an oracle A such that $P[A] \neq BPPU[A]$.

The proof of this theorem is similar to that of Theorem 1. This two types of theorem are applicable to the following classes:

Let $\boldsymbol{\Delta}[X] = NP[X] \cap coNP[X]$, $SEP_D = \{X : P[X] \neq \boldsymbol{\Delta}[X]\}$, and let $SEP_D^H = \{X : P[X] \neq \boldsymbol{\Delta}[H \oplus X]\}$. Then, we can show that:

(i) there exists a recursive oracle H such that SEP_D^H is comeager, and

(ii) if there exists an oracle A such that $P[A] \neq dU[A]$ then, SEP_D is comeager, where dU[X] is an uniformly relativized class in appropriate sense. However, we do not know whether SEP_D is comeager. Blum-Impagliazzo [BI 87] showed that if P=NP then P[G]=d[G] for some generic oracle G. Therefore, if SEP_D is contains all generic oracles (thence it is comeager), then we would have $P \neq NP$. So, it may be difficult to show that SEP_D is comeager.

§2. Preliminaries.

Let $\Sigma = \{0, 1\}$, and let Σ^* be the set of all strings over Σ with the empty string λ . The elements of Σ^* can be enumerated as follows:

$$(1)$$
 λ , 0, 1, 00, 01, 10, 11, 000, 001, ...

We denote the (n+1)-st string in (1) by z_n . For $u \in \Sigma^*$, let $u = u(0)u(1) \cdots u(n-1)$, and put |u| = n. For $X \subseteq \Sigma^*$, let $X = X(0)X(1) \cdots X(n) \cdots$, where X(n) = 1 or 0 according as $z_n \in X$ or not. For n > 0, $X | n = X(0)X(1) \cdots X(n-1)$ (the *n*-segment of X). For $u \in \Sigma^*$, let $[u] = \{X : X | n = u\}$, where n = |u|. $\{[u] : u \in \Sigma^*\}$ is an open base for the space 2^{Σ^*} . We mainly use u, v, w, \cdots for strings, A, B, \cdots , X, Y, \cdots for sets (i.e., languages), and C, D, E, \cdots for classes (i.e., sets of sets).

Let M^{\sim} be a probabilistic polynomial time bounded oracle Turing machine (abbreviated by prob *p*-time OTM). Assume that each nondeterministic step of M^{\sim} has two possible branches each of which has probability 1/2. For any string u and any oracle X, let $M^{X}(u)$ be the output of the machine M^{\sim} on the input u with oracle X. The range of output is $\{0, 1\}$, where 1 denotes the acceptance and 0 the rejection. Let $\operatorname{Prob}[M^{X}(u)=a]$ be the probability that M^{X} on u halts in the a-state, where $a \in \{0, 1\}$.

Let M_k^{\sim} be the k-th prob p-time OTM. Then, a set A is in the class BPP[X] if there is an index k and a binary finite rational e (0 < e < 1/2) such that for any string u

$$\operatorname{Prob}[M_{k}^{X}(u) = A(u)] > (1/2) + e.$$

A is in R[X] if there are a k and an $e (0 \le e \le 1/2)$ such that for any u

$$u \in A$$
 iff $\operatorname{Prob}[M_{k}^{x}(u) = 1] > (1/2) + e$,

and

 $u \notin A$ iff $\operatorname{Prob}[M_k^X(u) = 0] = 1$.

For more information, see [BGD 88], [BGD 90], [Pa 94], and [Sch 85].

To show our theorems, we apply Poizat's Theorem and its some relativized form. So we explain part of Poizat's paper [Po 86] with some modification.

Let C be a class: $C \subseteq 2^{\Sigma^*}$. C is *dense* if it intersects every basic open set. C is *nowhere dense* if every basic open set contains a basic open set which is disjoint with C. C is *meager* if it is a countable union of nowhere dense sets. C is *comeager* if it is the complement of a meager set.

Let u range over Σ^* and X over 2^{Σ^*} , and let $H \subseteq \Sigma^*$ be fixed. Consider arithmetical or arithmetical-in-H predicates of the forms $\phi(X)(u)$, $\phi^H(X)(u)$, $\xi(X)$, and $\xi^H(X)$. For the definition of an arithmetical predicate, see [**Ro 67**]. Examples are given as follows: Consider two machines M_j^{\sim} and M_k^{\sim} . Let

and

$$\phi(X)(u) \equiv \operatorname{Prob}[M_j^X(u) = 1] > 3/4,$$

$$\boldsymbol{\xi}^{\scriptscriptstyle H}(\boldsymbol{X}) \equiv : \forall u [\operatorname{Prob}(M_{\boldsymbol{j}}{}^{\boldsymbol{X}}(\boldsymbol{u}) = 1] > 3/4 \longleftrightarrow \operatorname{Prob}(M_{\boldsymbol{k}}{}^{H \oplus \boldsymbol{X}}(\boldsymbol{u}) = 1] > 3/4]$$

where $H \oplus X = \{y0: y \in H\} \cup \{x1: x \in X\}$ (called the *disjoint union* of H and X). The former is an arithmetical predicate with respect to X and u, and the latter is an arithmetical-in-H predicate with respect to X. For such predicates, let

$$\phi[X] = \{u \in \Sigma^* : \phi(X)(u) \text{ holds}\}, \quad \langle \xi \rangle = \{X \subseteq \Sigma^* : \xi(X) \text{ holds}\}, \\ \phi^H[X] = \{u : \phi^H(X)(u) \text{ holds}\}, \quad \langle \xi^H \rangle = \{X : \xi^H(X) \text{ holds}\}.$$

The left hands are sets of strings while the right hands are Borel sets of finite order in the space 2^{Σ^*} .

Let G be an oracle, i.e., a subset of Σ^* . G is *H*-generic if, for all arithmetical-in-*H* predicates of the form $\xi^H(X)$, $\xi^H(G)$ holds whenever $\langle \xi^H \rangle$ is comeager. Such a G exists; in fact, the class G^H of all *H*-generic oracles is

comeager, since G^H is a countable intersection of comeager sets: $G^H = \bigcap \{\langle \xi^H \rangle : \langle \xi^H \rangle \text{ is comeager } \land \xi^H \text{ is arithmetical-in-}H \}$. (Clearly there is such an arithmetical-in-H predicate ξ that $\langle \xi^H \rangle$ is comeager.)

Let $u \in \Sigma^*$. We regard u as a forcing condition. u H-forces $\xi^H(X)$ (denoted by $u \models \xi^H(X)$) if $[u] \cap \langle \neg \xi^H \rangle$ (= $[u] - \langle \xi^H \rangle$) is meager. So, if $u \models \xi^H(X)$, then $\xi^H(G)$ holds for every H-generic G in [u]. Because, letting $\theta^H(X) \equiv (X \notin [u] \lor \xi^H(X))$, $\theta^H(X)$ is an arithmetical-in-H and $\langle \theta^H \rangle$ is comeager. As basic properties for forcing and generic notions we know the following ([**Po 86**; p. 24]):

FACT 1. For every u, there is an *H*-generic set *G* such that $G \in [u]$. For, since G^H is comeager, $[u] \cap G^H \neq \phi$.

FACT 2. If G is H-generic and $\xi^{H}(G)$ is true, where $\xi^{H}(X)$ is an arithmetical-in-H predicate, then there is a u such that $G \in [u]$ and $u \models \xi^{H}(X)$. For, put $\zeta^{H}(Y) = \exists u(Y \in [u] \land u \models \xi^{H}(X))$. Since the relation " $u \models \xi^{H}(X)$ " is arithmetical-in-H, so is $\zeta^{H}(Y)$. Then we have:

 $\forall Y \ (Y: H\text{-generic} \Rightarrow Y \in \langle \zeta^H \lor \neg \xi^H \rangle).$

So, $G \in \langle \zeta^H \lor \neg \xi^H \rangle$. Since $\xi^H(G)$ holds, we have $\models \zeta^H(G)$. Hence, there is a u such that $G \in [u]$ and $u \models \xi^H(X)$.

We mainly use *continuous* predicates $\phi(X)(u)$, i.e., for ϕ there is a numbertheoretic function $\alpha: N \to N$ such that for any u and X

$$\forall n \ge \alpha(|u|) [\phi(X)(u) \longleftrightarrow \phi(X|n)(u)]$$

holds. Here we temporarily identify finite function X|n with the full function $(X|n)^{000}\cdots$. Similarly for ϕ^{H} . So, we can weaken the notions of forcing and generic oracles by restricting predicates to such ones, though we do not do so here. (Dowd [Do 92] uses the notion of machine-generic oracles.)

Hereafter, we sometimes do not distinguish syntactical symbols (i.e., symbols occurred in formulas in forcing relations) with metasymbols.

LEMMA 2.1. Let $\phi(X)(u)$ and $\theta^H(X)(u)$ be continuous arithmetical(-in-H) predicates, and let u be a forcing condition. Suppose $u \Vdash \forall y(\theta^H(X)(y) \leftrightarrow \phi(X)(y))$. Then, $\forall y(\theta^H(A)(y) \leftrightarrow \phi(A)(y))$ holds for every $A \in [u]$.

PROOF. Suppose not. So, there is an $A \in [u]$ and a string y_0 such that $\theta^H(A)(y_0) \nleftrightarrow \phi(A)(y_0)$. Since θ^H and ϕ are continuous, there are number-theoretic functions α and β such that for all y and X,

$$\forall n \geq \alpha(|y|) [\theta^H(X)(y) \longleftrightarrow \theta^H(X|n)(y)],$$

and

$$\forall n \geq \beta(|y|) [\phi(X)(y) \longleftrightarrow \phi(X|n)(y)].$$

Take an *m* such that $m > \max\{\alpha(|y_0|), \beta(|y_0|)\}$ and $[A|m] \subseteq [u]$. Then, $\theta^H(A|m)(y_0) \nleftrightarrow \phi(A|m)(y_0)$. Since G^H is comeager, [A|m] contains an *H*-generic oracle $G_0 \in [u]$. For this G_0 we have

(*)
$$\neg \forall y(\theta^H(G_0)(y) \longleftrightarrow \phi(G_0)(y)).$$

Since $u \models \forall y(\theta^H(X)(y) \leftrightarrow \phi(X)(y))$, $[u] \cap \langle \neg \forall y(\theta^H(X)(y) \leftrightarrow \phi(X)(y)) \rangle$ is meager, and hence the union $\neg [u] \cup \langle \forall y(\theta^H(X)(y) \leftrightarrow \phi(X)(y)) \rangle$ is comeager. Therefore, if G is an H-generic oracle, then that G belongs to [u] implies $\forall y(\theta^H(G)(y) \leftrightarrow \phi(G)(y))$. This contradicts (*).

§3. A relativized form of Poizat's Theorem and an oracle H.

For any set C(X) (or $C^{H}(X)$) of continuous arithmetical(-in-H) predicates of the form $\theta(X)(y)$ (or $\theta^{H}(X)(y)$) we define a class of sets of strings C[X] (or $C^{H}[X]$) as follows:

 $C[X] = \{A \subseteq \Sigma^* : A = \theta[X] \text{ for some } \theta(X)(y) \text{ in } C(X)\},\$

where, as defined in the previous section, $\theta[X] = \{y \in \Sigma^* : \theta(X)(y) \text{ holds}\}$. Note that we are severely distinguishing between C(X) and C[X]. The former is a set of predicates while the latter is a class of sets of strings. Similarly for $C^H(X)$ and $C^H[X]$.

Let $p_k(n)$ be the time bound function for the OTM M_k^{\sim} , and let H be an oracle. We consider the following condition:

(2)
$$\forall X \forall y (\operatorname{Prob}[M_k^{H \oplus X}(y) = 1] > (1/2) + e \vee \operatorname{Prob}[M_k^{H \oplus X}(y) = 0] = 1),$$

and define an index-set I^H by

 $I^{H} = \{\langle k, e \rangle : (e \text{ is a binary rational such that } 0 < e < (1/2) \land (2) \}.$

(Apparently I^{H} is Π_{1}^{1} -in-H, but really, by using continuity of the machines or using $p_{k}(n)$, it is seen that this set is arithmetical-in-H. However, this observation does not affect the subsequent argument.) For example, suppose $B \in \mathbb{R}[H]$ and M^{\sim} is a prob *p*-time OTM which accepts B with a rational *e*. Suppose, further, P^{\sim} is a deterministic *p*-time oracle Turing transducer. Then there is an index *k* such that $\forall y [M_{k}^{H \oplus X}(y) = M^{H}(P^{X}(y))]$, and thus $\langle k, e \rangle \in I^{H}$.

Now, for each $\langle k, e \rangle \in I^{H}$, let $\phi_{\langle k, e \rangle}^{H}(X)(y)$ be the following arithmetical-in-*H* predicate:

$$\phi_{\langle k, e \rangle}^{H}(X)(y) \equiv : \operatorname{Prob}(M_{k}^{H \oplus X}(y) = 1) > (1/2) + e.$$

Then, we define $RU^{H}(X)$ and $RU^{H}[X]$ by:

$$\boldsymbol{RU}^{H}(X) = \{ \boldsymbol{\phi}^{H}_{\langle k, e \rangle}(X)(y) : \langle k, e \rangle \in I^{H} \},\$$

and

$$\mathbf{R}\mathbf{U}^{H}[X] = \{ \boldsymbol{\theta}^{H}[X] : \boldsymbol{\theta}^{H}(X)(y) \in \mathbf{R}\mathbf{U}^{H}(X) \}.$$

Clearly, $R[H] \subseteq RU^H[X]$ for every X. Since $X \in RU^H[X]$, there is an oracle A such that $R[H] \subset RU^H[A]$. Here, \subset means the proper inclusion.

The class P[X] is well-known (see, e.g., [BGS 75] or [BDG 90]). However, we must reasonably define its corresponding P(X) as a set of arithmetical predicates, for later usage. Let P_k^{\sim} be the k-th deterministic p-time OTM. Define η_k as follows:

$$\eta_k(X)(y) \equiv : P_k^X \text{ accepts } y \ (\equiv P_k^X(y) = 1).$$

Clearly this predicate is arithmetical, in fact, it is recursive, and hence it is continuous. Define $P(X) = \{\eta_k(X)(y) : k=0, 1, 2, \dots\}$. Then $P[X] = \{\theta[X] : \theta(X)(y) \in P(X)\}$.

LEMMA 3.1. (i)
$$RU^{H}[X] = \{A : \exists \langle k, e \rangle \in I^{H} \forall y \\ (y \in A \text{ iff } \operatorname{Prob}[M_{k}^{H \oplus X}(y) = 1] > (1/2) + e \text{ and} \\ y \notin A \text{ iff } \operatorname{Prob}[M_{k}^{H \oplus X}(y) = 0] = 1)\}.$$

(ii) $P[X] \subseteq RU^{H}[X] \subseteq R[H \oplus X] \subseteq BPP[H \oplus X].$

Let us define a recursive oracle H such that $L(H) \in \mathbb{R}[H] - \mathbb{P}[H]$, where $L(H) = \{0^n : \exists y \in H(|y|=n)\}$. Let $n_0=0$, and $H(0)=\phi$ (the empty set). This time let H(s) be the set of strings put in H before stage s. (Note that it is not the characteristic function of H.)

Stage $s \ge 0$. Let m_s be the least $m > n_s$ such that $p_s(m) < 2^{m-2}$. Run $P_s^{H(s)}$ on 0^{m_s} . If it rejects the string, then we choose $2^{m_s-1}+2^{m_s-2}+1$ strings of length m_s which are not queried during the computation, and add these strings to H(s) to make H(s+1). Such strings exist. If it accepts the string, then let H(s+1) = H(s). Put $n_{s+1}=2^{m_s}$. Then, the set $H= \bigcup \{H(s): s=0, 1, 2, \cdots\}$ is the desired oracle.

For this oracle H, we have: $L(H) \in \mathbb{R}U^{H}[X]$ for all X. For, let $M_{k}^{H \oplus X}$ be a prob OTM such that: on 0^{n} it randomly writes a string of length n on its oracle tape and suffixies 0 to it; then it enters the query state; if the queried string is in $H \oplus X$, then the machine accepts the input, otherwise it rejects. This machine is p-time bounded and its probability is independent of the oracle X. So, the index $\langle k, 1/4 \rangle$ is in I^{H} , and hence we have the following lemma:

LEMMA 3.2. There is a recursive oracle H such that

$$L(H) \in \mathbf{RU}^{\mathbf{H}}[X] - \mathbf{P}[H] \quad for \ all \ X.$$

Later we shall use this H.

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Now, let C(X) ($C^{H}(X)$) be a set of arithmetical(-in-H) predicates of the form $\phi(X)(y)(\phi^{H}(X)(y))$ and let C[X] ($C^{H}[X]$) be its corresponding class of sets. For $X, Y \subseteq \Sigma^*, X \rightleftharpoons Y$ means that X and Y are identical but finitely many members. The following conditions are Poizat's four hypotheses for C(X) (here we add ones for relativized classes also):

HYPOTHESIS 1. Each predicate in C(X) ($C^{H}(X)$) is continuous.

HYPOTHESIS 2. If X = Y, then C[X] = C[Y] ($C^{H}[X] = C^{H}[Y]$).

HYPOTHESIS 3. If $A \in C[X]$ ($\in C^{H}[X]$) and if $B \doteq A$, then $B \in C[X]$ ($\in C^{H}[X]$).

HYPOTHESIS 4. There is a mapping $\#: 2^{\Sigma^*} \to 2^{\Sigma^*}$ such that (a) C[X] = C[#X] $(C^H[X] = C^H[\#X])$, and (b) for any $A \in C[X]$ ($\in C^H[X]$) there is a predicate θ in C(X) (θ^H in $C^H(X)$) such that $A = \theta[\#X]$ ($= \theta^H[\#X]$) and it has the following property: if $Y \doteq \#Z$, then $\theta[Y] \doteq \theta[\#Z]$ ($\theta^H[Y] \doteq \theta^H[\#Z]$). (We slightly modify Poizat's Hypothesis 4.)

Then,

A relativized version of Poizat's Theorem.

Let *H* be an oracle. Let C(X) ($D^{H}(X)$) be a set of arithmetical(-in-*H*) predicates of the form $\phi(X)(y)$ ($\theta^{H}(X)(y)$) which satisfies the Hypotheses 1~4 with the same mapping: $X \to \#X$. C[X] ($D^{H}[X]$) is its corresponding class of sets. Suppose that there exists an oracle *A* such that $D^{H}[A] - C[A] \neq \phi$. Then, $C[G] \neq D^{H}[G]$ for every *H*-generic oracle *G*, and hence

$$\boldsymbol{E}(\boldsymbol{C}, \boldsymbol{D}^{\boldsymbol{H}}) = \{\boldsymbol{X} : \boldsymbol{C}[\boldsymbol{X}] \neq \boldsymbol{D}^{\boldsymbol{H}}[\boldsymbol{X}]\}$$

is comeager.

PROOF. Take a $B \in D^{H}[A] - C[A]$. Then, by Hypothesis 4, there is a predicate θ^{H} in $D^{H}(X)$ such that $B = \theta^{H}[\#A]$ and such that

(3)
$$Y \doteq \#Z \Longrightarrow \theta^{H}[Y] \doteq \theta^{H}[\#Z].$$

CLAIM. For any predicate $\phi(X)(y)$ in C(X), if G is H-generic, then $\neg \forall y$ $(\theta^H(G)(y) \leftrightarrow \phi(G)(y))$ holds.

PROOF. Suppose not. Then, there is a predicate $\phi(X)(y)$ in C(X) and an H-generic G_0 such that $\forall y \ (\theta^H(G_0)(y) \leftrightarrow \phi(G_0)(y))$ holds. Put $\xi^H(X) \equiv \forall y \ (\theta^H(X)(y)) \leftrightarrow \phi(X)(y)$. Then, $\xi^H(G_0)$ holds. By H-genericity of G_0 , $\langle \xi^H \rangle$ is not meager. So, by the Baire property for $\langle \xi^H \rangle$, for some forcing condition u, $[u] \cap \langle \neg \xi^H \rangle$ is meager. Hence, $u \Vdash \xi^H(X)$, i.e., $u \Vdash \forall y \ (\theta^H(X)(y) \leftrightarrow \phi(X)(y))$. So, by Lemma 2.1, we have

$$(4) \qquad \forall Y \ (Y \in [u] \longrightarrow \forall y \ (\theta^H(Y)(y) \longleftrightarrow \phi(Y)(y))).$$

For the above A, we consider its image #A and take an $S \in [u]$ such that $S \doteq #A$. Then, $\theta^{H}[S] \doteq \theta^{H}[#A]$. Since $S \in [u]$, by (4) we have $\forall y \ (\theta^{H}(S)(y) \leftrightarrow \phi(S)(y))$. Let $Z = \theta^{H}[S]$. Then, $Z = \phi[S]$. So, $Z \in C[S] = C[#A]$ (by Hyp. 2). As seeing above we have $\theta^{H}[S] \doteq \theta^{H}[#A]$, and hence $Z \doteq B$. Since $Z \in C[#A]$, by Hyp. 3 we have $B \in C[#A]$. Since by Hyp. 4, C[#A] = C[A], we have $B \in C[A]$. This contradicts the assumption $B \notin C[A]$. So, the proof of the claim completes.

The claim states: If G is an H-generic oracle, then

 $\theta^{H}[G] \neq \phi[G]$ for any predicate $\phi(X)(y)$ in C(X).

So, $\theta^{H}[G]$ does not belong to C[G] for any *H*-generic *G*. Therefore, for all *H*-generic *G* $D^{H}[G] \neq C[G]$. Since the class G^{H} of all *H*-generic oracles is comeager, so is $E(C, D^{H}) = \{X : C[X] \neq D^{H}[X]\}$.

§4. Proof of Theorem 1.

Consider the class $E(P, RU^H)$ (= {X : $P[X] \neq RU^H[X]$ }), then $E(P, RU^H)$ \subseteq {X : $P[X] \neq R[H \oplus X]$ } \subseteq SEP_B^H (= {X : $P[X] \neq BPP[H \oplus X]$ }). Therefore, if it is shown that $E(P, RU^H)$ is comeager for some recursive *H*, then so is SEP_B^H for the same *H*, and hence we obtain Theorem 1. So, for our purpose, by the relativized Poizat's Theorem, it suffices to show that P(X) and $RU^H(X)$ satisfy Hypotheses 1~4 for the *H* in Lemma 3.2 with the same mapping # defined below, since $E(P, RU^H)$ is not empty for this *H* (in fact, it contains the *H* as an element).

Here we show this for $RU^{H}(X)$ with the mapping $\#: X \to \#X$, where $\#X = \pi(\Sigma^*, X)$ and π is an *one-to-one* pairing function from $\Sigma^* \times \Sigma^*$ onto Σ^* which is polynomial time computable and is polynomial time invertible. The proof for P(X) can be understood in the course of the following argument.

HYPOTHESIS 1. For $\phi_{(k,e)}^{H}$, where $\langle k, e \rangle \in I^{H}$, we can take $\alpha(n)=2^{p_{k}(n)+1}-1$ in the definition of continuity, since the maximal number of strings of length m in the enumeration (1) is $2^{m+1}-2$.

HYPOTHESIS 2. Suppose X = Y, and let $A \in \mathbb{R}U^{H}[X]$. So, there is an index $\langle k, e \rangle \in I^{H}$ such that for all y and Z $\operatorname{Prob}[M_{k}^{H \oplus Z}(y)=1] > (1/2)+e$ or $\operatorname{Prob}[M_{k}^{H \oplus Z}(y)=0]=1$ holds, and $y \in A$ iff $\operatorname{Prob}[M_{k}^{H \oplus X}(y)=1] > (1/2)+e$. Since X = Y, there is a linear time bound OTM P^{\sim} such that $X = P^{Y}$. Then, we can construct a prob p-time OTM M_{j}^{\sim} preserving the probability, i.e., such that for any Z $\operatorname{Prob}[M_{k}^{H \oplus P^{Z}}(y)=a] = \operatorname{Prob}[M_{j}^{H \oplus Z}(y)=a]$ for all $a \in \{0, 1\}$ and y. So, we have $\langle j, e \rangle \in I^{H}$ and hence $A \in \mathbb{R}U^{H}[Y]$. Thus, $\mathbb{R}U^{H}[X] \subseteq \mathbb{R}U^{H}[Y]$.

The proof of the reverse inclusion is similar.

HYPOTHESIS 3. Suppose $A \in \mathbb{R}U^{H}[X]$ and $B \neq A$. We show $B \in \mathbb{R}U^{H}[X]$.

By the supposition, there are an index $\langle k, e \rangle \in I^H$ and a number *m* such that for any input *y*

- (5) $y \in A \text{ iff } Prob(M_k^{H \oplus X}(y) = 1) > (1/2) + e,$
- (6) $y \notin A$ iff $\operatorname{Prob}(M_{k}^{H \oplus X}(y) = 0] = 1$,

and

(7) $\forall n \ge m \ (B(n) = A(n)).$

Recall A(n)=1 if $z_n \in A$, A(n)=0 otherwise. We shall define a *p*-time OTM M_j^{\sim} such that $\langle j, e \rangle \in I^H$ and such that for all y

- (8) $y \in B$ iff $\operatorname{Prob}(M_j^{H \oplus X}(y) = 1) > (1/2) + e$,
- (9) $y \notin B$ iff $\operatorname{Prob}[M_i^{H \oplus X}(y) = 0] = 1$.

We use the notation ' ' defined by $z_n = n$. First of all, we define a segment of the OTM M_j by a finite table so that for every y with 'y' < m the segment satisfies (8) and (9) as well as the following condition: for every oracle Z

(10) either
$$\operatorname{Prob}(M_j^{H \oplus Z}(y) = 1) > (1/2) + e$$
 or $\operatorname{Prob}(M_j^{H \oplus Z}(y) = 0) = 1$.

On any input y with $y' \ge m$, $M_j^{H \oplus Z}$ simulates $M_k^{H \oplus Z}$ so that $M_j^{H \oplus Z}(y) = M_k^{H \oplus Z}(y)$ holds. Then, by (5) and (6) we have (8) and (9) for these y and the X. Such an index j exists and $\langle j, e \rangle \in I^H$. Thus, $B \in \mathbb{R}U^H[X]$.

HYPOTHESIS 4. We must show that the same mapping $X \to \#X$, where $\#X = \pi(\Sigma^*, X)$, satisfies the following conditions (a) and (b):

(a) $\boldsymbol{R}\boldsymbol{U}^{H}[X] = \boldsymbol{R}\boldsymbol{U}^{H}[\#X].$

PROOF. Let X be fixed, and suppose $A \in \mathbb{R}U^{H}[X]$. Then, we must show $A \in \mathbb{R}U^{H}[\#X]$. By the supposition, there is an index $\langle k, e \rangle \in I^{H}$ such that for any y (5) and (6) hold. Then, we will find an index j such that $\langle j, e \rangle \in I^{H}$ and such that for any y

(11)
$$y \in A$$
 iff $\operatorname{Prob}(M_{j}^{H \oplus *X}(y) = 1) > (1/2) + e$,

and

(12)
$$y \notin A$$
 iff $\operatorname{Prob}(M_i^{H \oplus *X}(y) = 0) = 1$

hold. For this purpose, we define an OTM M_{j} (call it *j*-machine) as follows: Let $Y \subseteq \Sigma^*$ be arbitrary and let $\rho(Y) = \{v : \exists y, w [w \in Y \land w = \pi(y, v)]\}$. Then

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 $\rho(Y) = X$ if Y = #X. Now, given input y, the *j*-machine begins to simulate the computation of $M_k^{H\oplus\sim}(y)$. Suppose $M_k^{H\oplus\sim}$ enters the query state. Let x be the queried string. Then, the *j*-machine checks the tail end letter of x. If the letter is 0, then the *j*-machine enters yes-state or no-state according as $x' \in H$ or not, where x' is the string obtained from x by deleting the tail letter. If it is 1, then the *j*-machine writes $\pi(y, x')$ on its oracle tape (this work can be done in time $O(p_k(|y|))$, and queries whether $\pi(y, x') \in H \oplus Y$. If the answer is yes, then $x' \in \rho(Y)$ and so the *j*-machine simulates the yes-branch of the computation of $M_{k}^{H\oplus \sim}(y)$. Otherwise, it simulates the no-branch. After the whole simulation ends, the *j*-machine outputs the value of this simulation for $M_{k}^{H\oplus \sim}(y)$. This is a quasi-simulation for $M_{k}^{H\oplus \rho(Y)}(y)$ (it may not be the exact one, because there can be a case that $\pi(y, x') \notin Y$ but for some other $u \pi(u, x')$ $\in Y \land x' \in \rho(Y)$). If Y = #Z for some Z, then the output of j-machine is the same as that of $M_k^{H \oplus Z}(y)$, since for any $u \pi(u, x') \in Y$ iff $x' \in Z$. The *j*-machine is a prob p-time OTM, so certainly such an index j exists, and it has the additional uniformity property (2). Hence $\langle j, e \rangle \in I^{H}$. For this *j*-machine, we have

$$\operatorname{Prob}[M_{j}^{H \oplus \#X}(y) = a] = \operatorname{Prob}[M_{k}^{H \oplus X}(y) = a]$$

for any input y and $a \in \{0, 1\}$. (Since the *j*-machine must be probabilistic OTM, at any time it must be binarily branching, for example, even during the calculation of $\pi(y, x')$. During such period, the machine does the same computation on each branch. So, though $M_j^{H \oplus \#X}$'s computation is longer than that of $M_k^{H \oplus X}$, the probabilities of both machines are the same.) Thus, we have $A \in \mathbf{RU}^H[\#X]$.

Conversely, let $A \in \mathbb{R}U^{H}[\#X]$. Then, there is an index $\langle j, e \rangle \in I^{H}$ such that for all y (11) and (12) hold. We define a prob p-time OTM M_{k}^{\sim} as follows: on an input y, $M_{k}^{H \oplus X}$ simulates the computation of $M_{j}^{H \oplus \sim}$ on y. Suppose the latter machine enters the query state. Let x be the queried string. $M_{k}^{H \oplus X}$ checks its tail end letter. If the letter is 0, then the machine enters yes-state or no-state according as $x' \in H$ or not, where, as before, x' is the string obtained from x by deleting the tail end letter. If that letter is 1, then the machine calculates v such that $\pi(y, v) = x'$. Recall that v is uniquely determined and can be computed in polynomial time of |x|. Then, the machine queries whether $v \in X$ (i.e., whether $v \in H \oplus X$). After it enters yes-state or no-state, it resumes simulating. Finally, it outputs the same value as M_{j}^{\sim} . This M_{k}^{\sim} satisfies the desired condition. Namely, such an index k exists and $\langle k, e \rangle \in I^{H}$. Clearly, for any $a \in \{0, 1\}$

$$\operatorname{Prob}[M_{k}^{H \oplus X}(y) = a] = \operatorname{Prob}[M_{j}^{H \oplus \#X}(y) = a].$$

Thus we have $A \in \mathbf{RU}^{H}[X]$.

(b) For each $A \in \mathbb{R}U^{H}[X]$ there is a predicate $\theta^{H}(X)(y)$ in $\mathbb{R}U^{H}(X)$ such that (b1) $A \in \theta^{H}[\#X]$ and (b2) if $Y \doteq \#Z$ then $\theta^{H}[Y] \doteq \theta^{H}[\#Z]$.

PROOF. By the assumption, there is an index $\langle k, e \rangle \in I^H$ such that (5) and (6) hold. Then we take the OTM M_j^{\sim} described in the proof of (a). As was shown above, we have (11) and (12). Let $\theta^H(X)(y)$ be the predicate "Prob $[M_j^{H \oplus X}(y)=1] > (1/2) + e^n$. Then, $\theta^H(X)(y)$ is in $RU^H(X)$, and we have $A = \theta^H[\#X]$. Thus, (b1) is shown. To show (b2), suppose $Y \doteq \#Z$. Then, there is a number *m* (depending on *Y* and *Z*) such that

$$\forall y \forall v [(|y| \ge m \text{ or } |v| \ge m) \longrightarrow (\pi(y, v) \in Y \text{ iff } \pi(y, v) \in \#Z$$

iff $v \in Z$].

So, both $M_j^{H\oplus Y}(y)$ and $M_j^{H\oplus \#Z}(y)$ are identical with $M_k^{H\oplus Z}(y)$ for any y with $|y| \ge m$. Therefore we have $\theta^H[Y] \doteq \theta^H[\#Z]$.

Thus, we have shown that $RU^{H}(X)$ satisfies Hypotheses 1~4. Similarly for P(X).

Consequently we have the following theorem:

THEOREM 1. There is a recursive oracle H such that the class $\{X : P[X] \neq RU^{H}[X]\}$ is comeager, a fortiori so is SEP_{B}^{H} .

§5. Proof of Theorem 2.

As in the preceding argument, we can define RU(X) and RU[X] deleting the oracle *H*. Also we have the *H*-unrelativized versions of Lemmas 2.1, 3.1, and Poizat's Theorem. However we do not have any *H*-unrelativized version of Lemma 3.2. So, we must assume the following assumption:

(A) There exists an oracle A such that $RU[A] - P[A] \neq \phi$.

Under this assumption, we can prove that the class $\{X : P[X] \neq RU[X]\}$ is comeager. However, in order to obtain our Theorem 2, we must modify (A).

Let $I' = \{\langle k, e \rangle : (e \text{ is a binary rational such that } 0 < e < 1/2) \land (2')\}$, where

(2') $\forall X \forall y (\operatorname{Prob}(M_k^X(y)=1) > (1/2) + e \lor \operatorname{Prob}(M_k^X(y)=0) > (1/2) + e).$

For each $\langle k, e \rangle \in I'$, let

 $\phi_{\langle \mathbf{k}, \mathbf{e} \rangle}(X)(y) \equiv \operatorname{Prob}[M_{\mathbf{k}}^{X}(y)=1] > (1/2) + e.$

Then

 $BPPU(X) = \{ \phi_{\langle k, e \rangle}(X)(y) : \langle k, e \rangle \in I' \},\$

and

$$\boldsymbol{BPPU}[X] = \{\boldsymbol{\xi}[X] : \boldsymbol{\xi}(X)(y) \in \boldsymbol{BPPU}(X)\}.$$

We can show that BPPU(X) and BPPU[X] satisfy the Hypotheses $1 \sim 4$ suppressed *H*. Since $BPPU[X] \subseteq BPP[X]$, by Poizat's Theorem, we have

THEOREM 2. Assume

(A') There exists an oracle A such that $BPPU[A] - P[A] \neq \phi$. Then, the class $SEP_B = \{X : P[X] \neq BPP[X]\}$ is comeager.

By a similar argument as in the proof of Lemma 3.2, we can get an oracle A such that $L(A) \in BPP[A] - P[A]$. But, the probability of the prob *p*-time OTM with oracle A that accepts L(A) depends on the oracle A, and the machine does not have the uniformity described in (2'). This is why we assume (A').

So, Bennett-Gill's problem whether SEP_B is comeager is still open.

§6. On $NP[X] \cap coNP[X]$.

As before, let $\mathbf{\Delta}[X] \equiv \mathbf{NP}[X] \cap \mathbf{coNP}[X]$. Whether the measure of

$$SEP_D = E(P, \Delta) = \{X : P[X] \neq \Delta[X]\}$$

is one is a well-known open problem. Whether SEP_D is comeager is also open. The assertion that SEP_D is comeager, by the argument in §3, seems to be considerably near the assertion that SEP_D contains all generic oracles. The latter assertion implies $P \neq NP$, by a result of Blum-Impagliazzo [BI 86]. So, whether SEP_D is comeager may be a hard problem. By reason of this account, we will take the course of argument developed in §3.

Let F be a fixed oracle. Define an index set J^F as follows:

$$J^F = \{ \langle j, k \rangle : \forall X \forall y (NP_j^{F \oplus X}(y) = 1 \text{ iff } NP_k^{F \oplus X}(y) \neq 1) \},\$$

where NP_k^{\sim} is the *k*-th nondeterministic *p*-time OTM. For each $\langle j, k \rangle \in J^F$ we define the formula $\psi_{\langle j, k \rangle}^F(X)(y)$ as follows:

$$\psi_{\langle j, \mathbf{k} \rangle}^{F}(X)(y) \equiv NP_{j}^{F \oplus X}(y) = 1 \ (\equiv NP_{\mathbf{k}}^{F \oplus X}(y) \neq 1).$$

Then, let $\mathcal{A}U^F(X) = \{\psi_{(j,k)}^F(X)(y) : \langle j, k \rangle \in J^F\}$, and $\mathcal{A}U^F[X] = \{\theta^F[X] : \theta^F(X)(y) \in \mathcal{A}U^F(X)\}$. Further, let

$$L_0(F) = \{x : \exists y (0y \in F \land |0y| = |x|)\}.$$

After Baker-Gill-Solovay [**BGS 75**; Theorem 7], we can construct a recursive oracle F such that $L_0(F) \notin \mathbf{P}[F]$ and

(13)
$$\exists y \ (0y \in F \land |0y| = n) \quad \text{iff} \ \neg \exists y \ (1y \in F \land |1y| = n)$$

for all n, and hence

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(14)
$$L_0(F) \in \mathbf{NP}[F] \cap \mathbf{coNP}[F] - \mathbf{P}[F].$$

LEMMA 6.1. For the above F, we have

$$L_0(F) \in \boldsymbol{\Delta} \boldsymbol{U}^F[X] - \boldsymbol{P}[F]$$
 for all X.

PROOF. We define two nondeterministic *p*-time OTM's NP_j^{\sim} and NP_k^{\sim} as follows:

 $NP_j^{F\oplus\sim}$: On input x, it guesses 0y such that |0y| = |x|, and writes 0y0 on its query tape and enters the query state. If $0y0 \in F \oplus \sim$, then it accepts x. If $0y0 \notin F \oplus \sim$ for any y such that |0y| = |x|, then it rejects x.

 $NP_k^{F\oplus\sim}$: On input x, it guesses 1y such that |1y| = |x|, and writes 1y0 on its query tape and enters the query state. If $1y0 \in F \oplus \sim$, then it accepts x. If $1y0 \notin F \oplus \sim$ for any y such that |1y| = |x|, then it rejects x.

Certainly there exist such indices j and k. It is easy to show that for these j and $k \langle j, k \rangle \in J^F$ and $L_0(F) \in NP_j^{F \oplus X}$ for all X. Hence we have $L_0(F) \in \mathcal{A}U^F[X]$ for all X. \Box

This is the counterpart of Lemma 3.2.

By a similar argument, we can show that the $\mathcal{A}U^F(X)$ and $\mathcal{A}U^F[X]$ satisfy Hypotheses 1~4 with F instead of H. Here we show Hypothesis 2F only: Let X = Y, and suppose $A \in \mathcal{A}U^F[X]$. So, there is $\langle j, k \rangle \in J^F$ such that $\forall y \ (y \in A$ iff $NP_j^{F \oplus X}(y) = 1$ iff $NP_k^{F \oplus X}(y) \neq 1$). For some linear time bounded OTM T^{\sim} $X = T^Y$. For this T we can find indicies r and s such that

$$\forall Z \forall y (NP_j^{F \oplus TZ}(y) = 1 \quad \text{iff} \ NP_r^{F \oplus Z}(y) = 1)$$

and the same formula with k and s instead of j and r. So we have

$$\forall Z \forall y (NP_r^{F \oplus Z}(y) = 1 \quad \text{iff} \quad NP_s^{F \oplus Z}(y) \neq 1).$$

Thus, $\langle r, s \rangle \in J^F$ and $\forall y \ (y \in A \text{ iff } NP_r^{F \oplus Y}(y)=1)$. Hence we have $A \in \mathcal{A}U^F[Y]$. Therefore Hypothesis 2F holds.

By Lemma 6.1, there is an oracle A such that $\mathbf{\Delta U}^{F}[A] \neq \mathbf{P}[A]$. So, by the *F*-relativized Poizat's Theorem, we have

THEOREM 3. There is a recursive oracle F such that the class $SEP_D^F = \{X : P[X] \neq NP[F \oplus X] \cap coNP[F \oplus X]\}$ is comeager.

Next, as in the proof of Theorem 2, we omit the oracle F in the above argument. Then we obtain J, $\psi_{(j,k)}$, $\mathcal{A}U(X)$, and $\mathcal{A}U[X]$. But we do not have the *F*-unrelativized version of Lemma 6.1. So, we must assume the following assumption:

(B) There exists an oracle F such that $\Delta U[F] - P[F] \neq \phi$.

By a similar argument as above we have:

THEOREM 4. Under the assumption (B), the class $SEP_D = \{X : P[X] \neq NP[X] \cap coNP[X]\}$ is comeager.

§7. Conclusion.

We have shown that there is a recursive oracle H such that the class $\{X: P[X] \neq BPP[H \oplus X]\}$ is comeager, and also have obtained some related results.

Now, consider the following proposition

There is an oracle H such that

(15)
$$\forall X(\boldsymbol{P}[X] \neq \boldsymbol{B}\boldsymbol{P}\boldsymbol{P}[H \oplus X]).$$

If this proposition were true, then our Theorem 1 would be entirely trivial. But this proposition is incorrect! Namely:

LEMMA 7.1. For each oracle H there is an oracle A such that

 $\boldsymbol{P}[A] = \boldsymbol{B}\boldsymbol{P}\boldsymbol{P}[H \oplus A].$

PROOF. Let H be given. Then, we construct an oracle A such that

(16)
$$H \oplus A \equiv_{PT} A \text{ and } P[A] = BPP[A].$$

So, we have: $P[A] = BPP[A] = BPP[H \oplus A]$. (For \equiv_{PT} , see [BDG 88].)

Construction of an A which satisfies (16): As before, let M_k^{\sim} be the k-th prob p-time OTM with the time bound $p_k(n)$. This time let A(s) be the set consisting of the strings put in A before stage s, and let $A(0) = \phi$.

Stage $2s \ge 0$. Consider the following strings w:

(17)
$$w = 0^{k} 1 y 10^{n}$$
, $|w| = s$, and $n = p_{k}(|y|)$ for some k and y.

Run $M_k^{A(2s)}$ on y. If it accepts y, i.e., $\operatorname{Prob}[M_k^{A(2s)}(y)=1]>1/2$, then put w1 in A. Otherwise, i.e., $\operatorname{Prob}[M_k^{A(2s)}(y)=0]\geq 1/2$, then do nothing. Let A_s be the set of all strings put in A by doing the above procedure for all such w's satisfying (17), and let $A(2s+1)=A(2s)\cup A_s$.

If there is no such w, then let A(2s+1)=A(2s).

Stage 2s+1. If there is a string w such that |w|=s and $w \in H$, then make A(2s+2) by adding to A(2s+1) w0 for all such w's. Otherwise, let A(2s+2)=A(2s+1).

Let $A = \bigcup_{s=0}^{\infty} A(s)$. When there is a string w such that (17) holds, $M_k^{A(2s)}(y) = M_k^{A(y)}$, since lengths of queried strings in the computation are

 $\leq p_k(|y|) < s$ and lengths of strings put in A after stage 2s are >s.

CLAIM. $\boldsymbol{PP}[A] \subseteq \boldsymbol{P}[A]$.

PROOF. Let $L \in PP[A]$. Then there is an index k such that $\forall y \ (y \in L)$ iff $\operatorname{Prob}[M_k^A(y)=1]>1/2$). (See, e.g., [BDG 88] or [Pa 94].) Then we define a det p-time OTM T^{\sim} as follows: Given y, T^{\sim} writes the string $w=0^k 1y10^n$ on its oracle tape, where $n=p_k(|y|)$, and enters query state. If the answer is yes, then it accepts y; otherwise it rejects y. Clearly T^{\sim} is a deterministic p-time OTM. Now for an arbitrary input y, let $s=|0^k 1y10^n|$, where $n=p_k(|y|)$, and consider at stage 2s. Then, T^A accepts y iff $0^k 1y10^n 1 \in A$ iff $\operatorname{Prob}[M_k^{A(2s)}(y)$ =1)>1/2 iff $\operatorname{Prob}[M_k^A(y)=1)>1/2$ iff $y \in L$. Thus $L \in P[A]$. Hence PP[A] $\subseteq P[A]$.

Clearly, $H \leq_{PT} A$ and hence $H \oplus A \equiv_{PT} A$. By the Claim, P[A] = PP[A], a fortiori, we have: P[A] = BPP[A].

Thus, there is no H satisfying (15). So, our Theorem 1 has the raison d'etre.

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