

Elliptic differential inequalities with applications to harmonic maps

By Andrea RATTO and Marco RIGOLI

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Introduction.

Harmonic maps $\psi: (M, g) \rightarrow (N, h)$ between Riemannian manifolds are the smooth critical points of the *energy functional*

$$E(\psi) = \int_M e(\psi) dV_M,$$

where $e(\psi) = (1/2)|d\psi|^2$ is the *energy density* of ψ . Or, equivalently, the C^2 solutions of the elliptic system

$$(0.1) \quad \text{Trace}_g \nabla d\psi = 0.$$

The left-hand side of (0.1) is the *tension field* of ψ , denoted $\tau(\psi)$; it is a vector field along ψ : we refer to the surveys [5], [6] for complete definitions and background.

Since the pioneering work of Eells and Sampson ([7] (1964)), harmonic maps have attracted the interest of both geometers and analysts: during the early stages of the theory, research was focused on maps between compact manifolds. Indeed, in a compact setting a harmonic map provides a strong candidate for a “best map” in a prescribed homotopy class; and a natural generalization of the concept of closed geodesic.

More recently, harmonic maps of non-compact domains have become object of growing interest: as a significant example, we quote the discovery of a new family of harmonic maps $\psi: \mathbf{R}^2 \rightarrow \mathbf{H}^2$ of rank two almost everywhere; that was obtained by Choi and Treibergs [4], using a version of Ruh-Vilms’ Theorem for constant mean curvature hypersurfaces of Minkowski 3-space. It is natural to view the study of harmonic maps of non-compact domains as a generalization of the theory of harmonic functions $f: M \rightarrow \mathbf{R}$ on complete Riemannian manifolds [18]; however, we point out two key differences:

- a) a single equation — i. e., $\Delta f = 0$ — is replaced by a system — i. e., (0.1).
- b) the curvature of the range plays a role, making system (0.1) non-linear.

Nevertheless, Liouville's type Theorems for harmonic maps have been obtained ([3], [16], [17]); more generally, one expects relations between the growth at ∞ of solutions—or of their energy density—and the geometry of the manifolds.

In this paper we undertake this type of study under the hypothesis that the domain M is a complete, m -dimensional Riemannian manifold such that $\text{Ricci}(M) \geq -AG(r)$, where A is a positive constant and r denotes distance from a fixed point $q \in M$ (the choice of q plays no role in what follows); $G(r)$ is a positive, non-decreasing function such that $G(0)=1$ and $G(r) \rightarrow +\infty$ as $r \rightarrow +\infty$. In the sequel, we shall always abbreviate this by simply writing that M satisfies $\text{Ricci}(M) \geq -AG(r)$.

We prove our results by studying the relations between the growth of G at $+\infty$ and the existence of C^2 solutions on M of differential inequalities of the type

$$(0.2) \quad \Delta u \geq b(x)\varphi(u), \quad x \in M$$

(the sign convention is $\Delta = \text{div}(\nabla u)$). Osserman [12] and Redheffer ([14], [15]) studied (0.2) in the case $M = \mathbf{R}^m$, while Calabi [1] analysed the case $\text{Ricci}(M) \geq 0$: their use of the maximum principle has inspired our work.

In Section 2 we obtain a priori estimates for the energy density of bounded harmonic maps $\phi: M \rightarrow N$, where N has non-positive sectional curvature ((2.12) below). As a by-pass product of our analysis we also obtain refinements (Theorem 2.17 and Corollary 2.24) of results of [2] and [9] on the image diameter of maps with bounded tension field; in the case of isometric immersions this also complements work of Karp [10].

In Section 3 we illustrate further applications and extensions to rotationally symmetric manifolds (i.e., models in the sense of [8]); in particular, we extend work of Tachikawa ([16], [17]), proving non-existence results for certain harmonic maps into Hadamard manifolds or models (see (3.26), (3.37-41) below).

Most of the technicalities of this paper rely on the analysis (Section 1) of an O.D.E. which arise from the study of rotationally symmetric solutions of (0.2): reading Sections 2 and 3 requires some familiarity with notation and facts of Section 1.

We also remark that the methods of this paper can be applied to study other elliptic equations of geometric interest; in particular, they yield some non-existence results for the non-compact Yamabe problem, as we shall illustrate in a forthcoming paper. Finally, we mention here that the works [10], [11], [13] deal — by different methods — with problems related to this paper.

1. Analysis of the O.D.E..

In this section we establish some qualitative properties of solutions of (1.1) below: the key technical result is Proposition 1.11.

$$(1.1) \quad \begin{aligned} \alpha''(t) + (m-1)[\tilde{g}'(t)/\tilde{g}(t)]\alpha'(t) &= f(\alpha(t)) \\ \alpha'(0) = 0, \quad \alpha(0) = \alpha_0, \quad t &\geq 0 \end{aligned}$$

where $m \geq 2$, $f \in \text{Lip}_{\text{loc}}(\mathbf{R})$, f is non-decreasing and nonnegative; $\tilde{g} \in C^1([0, +\infty))$, $\tilde{g} > 0$ on $(0, +\infty)$, $\tilde{g}(0) = 0$ and $\tilde{g}'(0) > 0$. Unless otherwise specified, in the sequel we shall tacitly assume that the above assumptions on f , \tilde{g} and m hold (but we note that the assumption f non-decreasing is unnecessary in Lemma 1.2 below).

LEMMA 1.2. *The Cauchy problem (1.1) has a unique solution α which is defined on a maximal interval $[0, T)$. Moreover, if $f(\alpha_0) > 0$, then $\alpha' > 0$ on $(0, T)$; and if $T < +\infty$, then $\alpha(t) \rightarrow +\infty$ as $t \rightarrow T^-$.*

PROOF. First we write (1.1) in integral form

$$(1.3) \quad \alpha(t) = \alpha_0 + \int_0^t [\tilde{g}(s)]^{1-m} \left\{ \int_0^s [\tilde{g}(u)]^{m-1} f(\alpha(u)) du \right\} ds.$$

Existence and uniqueness for small t is standard: it can be obtained by applying the Picard iteration procedure. To see that $\alpha'(t) > 0$ for $t > 0$, we write (1.1) as

$$(\tilde{g}^{m-1}\alpha')' = \tilde{g}^{m-1}f(\alpha).$$

Integrating over $[0, t]$ and using $\alpha'(0) = 0$ we find

$$[\tilde{g}(t)]^{m-1}\alpha'(t) = \int_0^t [\tilde{g}(s)]^{m-1}f(\alpha(s)) ds$$

from which the assertion follows immediately. Finally, let $T < +\infty$ and suppose that $\alpha(t) \rightarrow c < +\infty$ as $t \rightarrow T^-$. Then $[\tilde{g}(s)]^{m-1}f(\alpha(s)) \in L^1([0, T])$; therefore differentiating (1.3) we find that $\alpha'(t)$ converges to a finite limit as $t \rightarrow T^-$, a fact which contradicts the maximality of $[0, T)$. //

LEMMA 1.4. *Let α be a solution of (1.1) on $[0, +\infty)$ such that $\alpha(t) \rightarrow +\infty$ as $t \rightarrow +\infty$. Suppose that $\tilde{g}' \geq 0$ and*

$$(1.5) \quad ([f(t)]^\eta/t) \rightarrow +\infty \text{ as } t \rightarrow +\infty, \text{ for some } \eta > 0.$$

Then for any $c > 0$ there exists $\tau_0 \in (0, +\infty)$ such that

$$(1.6) \quad \{1 - (m-1)(2/c)^{1/2}[\tilde{g}'(t)/\tilde{g}(t)][f(\alpha(t))]^{(\eta-1)/2}\} f(\alpha(t)) < \alpha''(t)$$

for all $t \geq \tau_0$.

PROOF. Because $\alpha' \geq 0$ and $\tilde{g}' \geq 0$, (1.1) implies $f(\alpha)\alpha' \geq \alpha''\alpha'$. Integrating this inequality over $[0, t]$ and using $\alpha'(0)=0, \alpha' > 0$ on $(0, +\infty)$ we obtain

$$(1.7) \quad 2 \int_{\alpha_0}^{\alpha(t)} f(s) ds \geq [\alpha'(t)]^2.$$

Given $c > 0$, (1.5) guarantees the existence of t_0 such that

$$[f(t)]^\eta > c(t - \alpha_0) \quad \text{for all } t \geq t_0.$$

We choose $\tau_0 \geq t_0$ in such a way that $\alpha(t) \geq t_0$ for all $t \geq \tau_0$; it follows that

$$(1.8) \quad [f(\alpha(t))]^\eta > c[\alpha(t) - \alpha_0] \quad \text{for all } t \geq \tau_0.$$

From now on let $t \geq \tau_0$. From (1.7) and f non-decreasing we get

$$[\alpha'(t)]^2 \leq 2f(\alpha(t))[\alpha(t) - \alpha_0].$$

Thus, applying (1.8) and elevating to $1/2$, we obtain

$$(1.9) \quad \alpha'(t) < (2/c)^{1/2} [f(\alpha(t))]^{(\eta+1)/2}.$$

Now multiplying (1.9) by $(m-1)[\tilde{g}'/\tilde{g}]$ and using (1.1) gives (1.6). //

(1.10) In order to measure the rate of growth of $[\tilde{g}'(t)/\tilde{g}(t)]$ as $t \rightarrow +\infty$ it is convenient to introduce two classes \mathcal{F}, \mathcal{G} of C^1 functions F defined in a neighbourhood of $+\infty$: namely, we say that $F \in \mathcal{G}$ if $[F]^{-1} \notin L^1(+\infty), F'(t) \geq 0$ (t large) and $F(t) \rightarrow +\infty$ as $t \rightarrow +\infty$. And $F \in \mathcal{F}$ if $F \in \mathcal{G}$ and furthermore

$$\lim_{t \rightarrow +\infty} F'(t)[F'(t)]^{-\epsilon} \in \mathbf{R} \quad \text{for any } \epsilon > 0.$$

Examples of $F(t) \in \mathcal{F}$ are: $t, t \log t, t[\log t][\log(\log t)], \dots$.

NOTATION. $[\tilde{g}'/\tilde{g}] = \mathcal{O}(k)$ means that $[\tilde{g}'(t)/\tilde{g}(t)]/k(t) \rightarrow 0$ as $t \rightarrow +\infty$.

PROPOSITION 1.11. *Let α be a solution of (1.1) such that $f(\alpha_0) > 0$. Assume that*

- i) $([f(t)]^\eta/t) \rightarrow +\infty$ as $t \rightarrow +\infty$, for some $0 < \eta < 1$;
- ii) there exists $0 < \gamma < (1-\eta)/(1+\eta)$ and a nonnegative function $D(t)$ such that

$$[\tilde{g}'(t)/\tilde{g}(t)] \leq D(t); \text{ and } D(t) = \mathcal{O}(F^\gamma) \quad \text{for some } F \in \mathcal{F} \text{ as in (1.10).}$$

Then α is defined on a maximal interval $[0, T)$ with $T < +\infty$.

PROOF. For technical reasons (the application of Lemma 1.4) we begin with proving the Proposition under the additional hypothesis that $\tilde{g}' \geq 0$. We define

$$h(t) = [\tilde{g}(t)]^{1-m} \int_0^t [\tilde{g}(s)]^{m-1} ds, \quad k(t) = [\tilde{g}'(t)/\tilde{g}(t)] \left\{ \int_0^t h(s) ds \right\}^{-\delta/\eta}$$

where $\delta=(1-\eta)/2$; for a moment, let us suppose that

$$\text{iii) } h(t) \notin L^1(+\infty); \text{ iv) } k(t) \in L^\infty(+\infty) \text{ and v) } \left\{ \int_0^t f(s) ds \right\}^{-1/2} \in L^1(+\infty).$$

We show that iii), iv) and v) together imply the Proposition: by contradiction, let $T=+\infty$; $\alpha' \geq 0$ and f non-decreasing force $f(\alpha(t)) \geq f(\alpha_0)$ for all $t \geq 0$. Therefore from (1.3) we have

$$(1.12) \quad \alpha(t) \geq \alpha_0 + f(\alpha_0) \int_0^t h(s) ds.$$

Now (1.12) together with iii) imply that $\alpha(t) \rightarrow +\infty$ as $t \rightarrow +\infty$, so that the hypotheses of Lemma 1.4 are satisfied. Moreover, for t large, $f(\alpha(t)) \geq [\alpha(t)]^{1/\eta}$ by i). It follows that

$$[\tilde{g}'/\tilde{g}][f(\alpha)]^{-\delta} \leq [\tilde{g}'/\tilde{g}][\alpha]^{-\delta/\eta} \leq c_1 k$$

for some $c_1 > 0$. Applying iv) and (1.6) with a sufficiently large $c > 0$ we obtain the existence of $B > 0$ such that

$$(1.13) \quad Bf(\alpha) < \alpha' \quad \text{for all } t \geq \tau_0, \tau_0 \text{ large.}$$

Multiplying both members of (1.13) by α' and integrating over $[\tau_0, t]$ gives

$$(1.14) \quad (2B) \int_{\alpha(\tau_0)}^{\alpha(t)} f(s) ds + [\alpha'(\tau_0)]^2 < [\alpha'(t)]^2.$$

Because $\alpha' > 0$ on $[\tau_0, t]$, (1.14) gives

$$(1.15) \quad \alpha'(t) \left\{ (2B) \int_{\alpha(\tau_0)}^{\alpha(t)} f(s) ds + [\alpha'(\tau_0)]^2 \right\}^{-1/2} > 1.$$

Integrating (1.15) over $[\tau_0, \tau]$ we obtain

$$(1.16) \quad \int_{\alpha(\tau_0)}^{\alpha(\tau)} \left\{ (2B) \int_{\alpha(\tau_0)}^u f(s) ds + [\alpha'(\tau_0)]^2 \right\}^{-1/2} du > \tau - \tau_0.$$

Letting $\tau \rightarrow +\infty$ we see that (1.16) contradicts v): so (if $\tilde{g}' \geq 0$) the proof is complete provided that we show that iii), iv) and v) hold.

Proof of iii). If $\tilde{g}(t)$ is bounded the conclusion is obvious. So we assume that $\tilde{g}(t)$ tends to $+\infty$ as t goes to $+\infty$: since $[F]^{-1} \notin L^1(+\infty)$, also $[F]^{-r} \notin L^1(+\infty)$ for r as in (1.11) ii): therefore it is enough to show that

$$(1.17) \quad [F]^r h(t) \longrightarrow +\infty \quad \text{as } t \rightarrow +\infty$$

Now, using the explicit expression of $h(t)$, (1.17) follows easily from de l'Hôpital's rule, (1.10) and (1.11) ii).

Proof of iv). Using (1.17) we deduce that

$$k(t) \leq c_2 + [\tilde{g}'(t)/\tilde{g}(t)] \left\{ \int_0^t [F(s)]^{-r} ds \right\}^{-\delta/\eta} \quad \text{for some } c_2 > 0.$$

Because of (1.11) ii) it suffices to show that $[F(t)]^{\eta r/\delta} / \left\{ \int_0^t [F(s)]^{-r} ds \right\}$ converges to a finite limit as $t \rightarrow +\infty$: but this follows easily from de l'Hôpital's rule and the fact that $F'F^{-\varepsilon}$ converges for all $\varepsilon > 0$ because $F \in \mathcal{F}$.

Proof of v). Clearly $\int_0^t f(s) ds \rightarrow +\infty$ as $t \rightarrow +\infty$, because $f(\alpha_0) > 0$ and f is non-decreasing. Since $0 < \eta < 1$ we can choose $\sigma > 0$ such that $[2\sigma + 1 - (1/\eta)] < 0$. Now we apply de l'Hôpital's rule and (1.11) i) to obtain

$$\lim_{t \rightarrow +\infty} \left\{ t^{2\sigma+2} / \int_0^t f(s) ds \right\} = \lim_{t \rightarrow +\infty} (2\sigma+2) \{t^{1/\eta} / f(t)\} t^{2\sigma+1-(1/\eta)} = 0$$

from which v) follows.

Finally, we show that the assumption $\tilde{g}' \geq 0$ is unnecessary. Indeed, we can consider

$$\begin{aligned} \alpha''(t) + (m-1)D(t)\alpha'(t) &= f(\alpha(t)) \\ \alpha'(0) = 0, \quad \alpha(0) = \alpha_0, \quad f(\alpha_0) &> 0 \end{aligned}$$

where $D(t)$ is a suitable function as in (1.11) ii). The previous argument (with $\exp \left[\int_1^t D(s) ds \right]$ in place of $\tilde{g}(t)$) tells us that the unique solution of this Cauchy problem is defined on a maximal interval $[0, T_1)$ with $T_1 < +\infty$. Now standard comparison arguments (using $[\tilde{g}'(t)/\tilde{g}(t)] \leq D(t)$) imply that the solution of the original problem (1.1) blows up in finite time $T \leq T_1$. //

A modification of the arguments of Proposition 1.11 gives

LEMMA 1.18. *Let α be a solution of (1.1) which is defined on $[0, +\infty)$, with $f(\alpha_0) > 0$. Suppose that there exists a nonnegative function $D(t)$ such that $[\tilde{g}'(t)/\tilde{g}(t)] \leq D(t)$; and $D(t) = \mathcal{O}(F)$ for some $F \in \mathcal{G}$ as in (1.10). Then $\alpha(t) \rightarrow +\infty$ as $t \rightarrow +\infty$.*

REMARKS 1.19. a) Hypothesis (1.1) ii) is quite sharp, as the following example shows:

$$\begin{aligned} \alpha''(t) + \{[(t^2+3)^\delta - 2]/2t\} \alpha'(t) &= [\alpha(t)]^\delta, \quad \delta > 1 \\ \alpha'(0) = 0, \quad \alpha(0) &= 3 \end{aligned}$$

admits the global solution $\alpha(t) = t^2 + 3$ (here $\tilde{g}(t) = \exp \left(\int_1^t \{[(s^2+3)^\delta - 2]/2s\} ds \right)$).

b) If $\tilde{g}'(t) \geq 0$, then the natural choice for the function $D(t)$ is $D(t) =$

$[\tilde{g}'(t)/\tilde{g}(t)]$; in general, the function $D(t)$ serves a technical purpose of comparison, based on the fact that $\tilde{g}'(t) < 0$ — and, all the more reason, $\tilde{g}'(t) \rightarrow -\infty$ as $t \rightarrow +\infty$ — is a condition which contributes to a faster growth of solutions and so to their blowing up in finite time.

The following is a standard fact:

LEMMA 1.20. *Suppose that the function f in (1.1) satisfies*

$$(1.21) \quad f(s) \leq a_1 s + a_2 \quad \text{for some } a_1, a_2 > 0.$$

Then any solution $\alpha(t)$ of (1.1) is defined for all $t \geq 0$.

(1.22) For our purposes it will be useful to consider a variant of (1.1): namely, let $a \in C^1([0, +\infty))$ be a positive function such that $a^{1/2} \notin L^1(+\infty)$. We consider

$$(1.23) \quad \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = a(r)f(\beta(r))$$

and set

$$(1.24) \quad h(r) = \int_0^r [a(s)]^{1/2} ds.$$

Then the change of variable $h(r) = t$, $t \in [0, +\infty)$, defines a bijection between solutions of

$$(1.25) \quad \alpha''(t) + (m-1)[\tilde{g}'(t)/\tilde{g}(t)]\alpha'(t) = f(\alpha(t))$$

where

$$(1.26) \quad \alpha(t) = \beta(h^{-1}(t)), \quad \tilde{g}(t) = g(r)[a(r)]^{1/(2m-2)} \quad \text{and}$$

$$(1.27) \quad [\tilde{g}'(t)/\tilde{g}(t)] = [a(r)]^{-1/2} \{ [g'(r)/g(r)] + [1/2(m-1)][a'(r)/a(r)] \}.$$

The proof of these facts is a straightforward computation and therefore we omit it. We observe that (1.25) is of type (1.1); thus we can apply (modulo the change of variable $t = h(r)$) the results of this section to (1.23).

REMARK 1.28. The methods of this section apply to the more general Cauchy problem

$$(1.29) \quad |\alpha'(t)|^{-p} \{ \alpha''(t) + (m-1)[\tilde{g}'(t)/\tilde{g}(t)]\alpha'(t) \} = f(\alpha(t))$$

$$\alpha'(0) = 0, \quad \alpha(0) = \alpha_0, \quad t \geq 0.$$

In particular, Proposition 1.11 holds in this case provided that $p < 1$, $0 < \eta < 1/(1-p)$, $0 < \gamma < [1 - (1-p)\eta]/[1 + (1-p)^2\eta]$ and $[\tilde{g}'/\tilde{g}] = \mathcal{O}(F^{r(1-p)})$.

Equation (1.29) would permit us to study inequalities of the type

$$(1.30) \quad \Delta u \geq b(x)\varphi(u)|\nabla u|^p, \quad x \in M$$

which arise in the study of the operator $\operatorname{div}(|\nabla u|^{-p}\nabla u)$. However, we shall not pursue this generalization in this paper, because the case $p=0$ suffices for the geometric applications of the next sections.

2. Estimates for harmonic maps.

Differential equations of type (1.1) arise in geometry from problems involving Δr , where r is the distance function from a fixed point $q \in M$. We will use the following estimate which can be derived from [8]: suppose that $\operatorname{Ricci}(M) \geq -AG(r)$, as in the introduction; then, at each $x \notin C_q$ (the cut locus of q), we have

$$(2.1) \quad \Delta r \leq (m-1)[g'(r)/g(r)]$$

where $m = \dim M$ and, setting $\Omega = (A/(m-1))^{1/2}$,

$$g(r) = [\sinh(\Omega r)] \exp \int_0^r \Omega \coth(\Omega s) \{ [1 + (G(s)-1) \tanh^2(\Omega s)]^{1/2} - 1 \} ds.$$

We observe that

$$(2.2) \quad [g'(r)/g(r)] \approx \Omega [G(r)]^{1/2} \quad \text{as } r \rightarrow +\infty; \text{ and}$$

also recall that if $\operatorname{Ricci}(M) \geq 0$, then (2.1) holds with $g(r) = r$; and if $\operatorname{Ricci}(M) \geq -A$, $A > 0$, we can take $g(r) = \sinh(\Omega r)$.

LEMMA 2.3. [15] *Let M be a complete Riemannian manifold and u a C^2 solution on M of the differential inequality*

$$(2.4) \quad \Delta u \geq b(x)\varphi(u), \quad x \in M,$$

where $b(x) \geq 0$, $b \not\equiv 0$ and $\varphi \geq 0$. Fix $q \in M$ and let r be the distance from q : If there exists a C^2 function v such that, for some $R > 0$,

$$(2.5) \quad \Delta v < b \quad \text{on } M/B_R(q) \text{ and}$$

$$(2.6) \quad v(x) \longrightarrow +\infty \quad \text{as } r(x) \rightarrow +\infty,$$

then either $\sup_M \{u\} = +\infty$ or $\sup_M \{u\} \in Z(\varphi) = \{t \in \mathbf{R} : \varphi(t) = 0\}$.

PROOF. This was proved by Redheffer in case $M = \mathbf{R}^m$ ([15], Theorem 1): in this general case the proof is essentially the same and therefore omitted. //

In the notation of the introduction and Section 1, we have

LEMMA 2.7. *Assume $\operatorname{Ricci}(M) \geq -AG(r)$. Let $a(r)$ be a function as in (1.22) and suppose that*

$$(2.8) \quad [\tilde{g}'(t)/\tilde{g}(t)] = \mathcal{O}(F(t)),$$

where $t=h(r)$, as in (1.24); $[\tilde{g}'/\tilde{g}]$ is defined by (1.27) with g as in (2.1); and $F \in \mathcal{G}$ as in (1.10). Consider inequality (2.4) and furthermore suppose that $b(x) \geq a(r(x))$ on $M/B_R(q)$, for some $R > 0$. If u is a C^2 solution of (2.4) then either $\sup_M \{u\} = +\infty$ or $\sup_M \{u\} \in Z(\varphi) = \{t \in \mathbf{R}; \varphi(t) = 0\}$. Moreover, in the special case $a \equiv 1 \equiv b$, the conclusion holds with (2.8) replaced by

$$(2.9) \quad [G(t)]^{1/2} = \mathcal{O}(F(t)).$$

PROOF. We proceed to the construction of a function v as in Lemma 2.3. Let β be the unique solution of

$$(2.10) \quad \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = (1/2)a(r)$$

determined by $\beta(0) = 0, \beta'(0) = 0$. Equation (2.10) is of type (1.23), with $f \equiv 1/2$: so we can transform it into (1.25) (via (1.24)) and apply Lemma 1.20 to conclude that β is defined for all $r \geq 0$. Moreover, (2.8) enables us to apply Lemma 1.18 and deduce that $\beta(r) \rightarrow +\infty$ as $r \rightarrow +\infty$. Next, set $v(x) = \beta(r(x))$; we compute using Gauss Lemma, (2.1) and (2.10) to get

$$\Delta v = \beta''(r) + \beta'(r)\Delta r \leq \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = (1/2)a(r) < a(r) \leq b$$

outside some $B_R(q)$. Thus we can apply Lemma 2.3 to conclude. If furthermore $a(r) \equiv 1$, then $t=h(r)=r$; so $\tilde{g}=g$ and (2.2) tells us that in this case (2.9) is equivalent to (2.8). //

(2.11) Let N be a complete Riemannian manifold such that $\text{Riem } N \leq K$, for some nonpositive constant K . We study harmonic maps $\phi: M \rightarrow N$ under the assumption $\text{Ricci}(M) \geq -AG(r)$. We say that any such ϕ is bounded if its image is relatively compact in N . We have

THEOREM 2.12. Let $\phi: M \rightarrow N$ be a harmonic map between manifolds as in (2.11). Suppose that

$$e(\phi)(x) \geq [\varepsilon + r(x)]^{-2d} \quad \text{outside } B_R(q),$$

for some $R, \varepsilon > 0$ and $d \leq (1/2)$. If

$$(2.13) \quad [t]^{d/(1-d)} [G(t^{1/(1-d)})]^{1/2} = \mathcal{O}(F(t))$$

for some $F \in \mathcal{G}$ as in (1.10), then ϕ is unbounded.

PROOF. Let ρ be the distance in N from $\phi(q)$. We prove the theorem for $K < 0$ (the case $K = 0$ is similar). Without loss of generality we can assume $K = -1$: setting $h = (\cosh \rho)/2$ and $u = h \circ \phi$, we compute (see [5])

$$(2.14) \quad \Delta u = \sum_i \text{Hess}(h)(\phi_* e_i, \phi_* e_i) + dh(\tau(\phi))$$

where $\{e_i\}$, $1 \leq i \leq m$, is a local orthonormal frame in TM . But $\tau(\phi) = 0$, because ϕ is harmonic: thus, applying the Hessian comparison theorem [8] to (2.14), we obtain

$$(2.15) \quad \Delta u \geq (\cosh \rho)e(\phi) \geq e(\phi).$$

Now we show that we can apply Lemma 2.7 with $\varphi \equiv 1$, $b = e(\phi)$ and $a(r) = [\varepsilon + r]^{-2d}$: indeed, (1.24) is explicitly integrable and gives

$$t = h(r) = (1/(1-d))[(\varepsilon + r)^{1-d} - \varepsilon^{1-d}];$$

from this, together with (1.27) and (2.2) it is not difficult to see that there exists $c_1 > 0$ such that

$$(2.16) \quad 0 \leq [\tilde{g}'(t)/\tilde{g}(t)] \leq c_1[t]^{d/(1-d)}[G(t^{1/(1-d)})]^{1/2} \quad \text{for } t \text{ large};$$

this latter is $\mathcal{O}(F(t))$ by hypothesis (2.13); thus (2.8) holds and we can apply Lemma 2.7 (with $\varphi \equiv 1$) to conclude that u — and so ϕ — is unbounded. //

THEOREM 2.17. *Assume $\text{Ricci}(M) \geq -AG(r)$, with $[G(r)]^{1/2} = \mathcal{O}(F(r))$, for some $F \in \mathcal{G}$ as in (1.10). Let N be a Riemannian manifold such that $\text{Riem } N \leq K$, $K \in \mathbf{R}$; and let $B_R(\tilde{q})$ be a geodesic ball centered at $\tilde{q} \in N$ and inside the cut locus of \tilde{q} ($R < \pi/2(K)^{1/2}$ if $K > 0$). If $\phi: M \rightarrow N$ is a smooth map with $|\tau(\phi)| \leq \tau_0$, $\tau_0 \in [0, +\infty)$, and $\phi(M) \subset B_R(\tilde{q})$, then setting $\chi = \inf_M \{e(\phi)\}$*

$$(2.18) \quad R \geq (K)^{-1/2} \tan^{-1} \{2(K)^{1/2}\chi/\tau_0\} \quad \text{when } K > 0;$$

$$(2.19) \quad R \geq 2\chi/\tau_0 \quad \text{when } K = 0;$$

$$(2.20) \quad R \geq (-K)^{-1/2} \tanh^{-1} \{2(-K)^{1/2}\chi/\tau_0\} \quad \text{when } K < 0.$$

PROOF. Again, we only prove the theorem in the case $K = -1$ (the other cases are similar). Proceeding as in the proof of (2.12) we obtain (2.14) and deduce that

$$(2.21) \quad \Delta u \geq u \{2e(\phi) + \tanh(\rho \circ \phi) \langle \nabla \rho, \tau(\phi) \rangle\}$$

Since $u \geq (1/2)$ and $-\tanh(R)\tau_0 \leq \tanh(\rho \circ \phi) \langle \nabla \rho, \tau(\phi) \rangle$ (using $|\nabla \rho| = 1$), we have

$$(2.22) \quad \Delta u \geq \chi - (1/2) \tanh(R)\tau_0.$$

Now, suppose that $\chi - (1/2) \tanh(R)\tau_0 = C > 0$: then we apply Lemma 2.7 with $a \equiv 1 \equiv b$ and $\varphi \equiv C$ to conclude that u is unbounded — contradiction. Thus

$$\chi - (1/2) \tanh(R)\tau_0 \leq 0$$

and (2.20) follows readily. //

REMARK 2.23. Theorem 2.17 was proved — with different methods — in [2]

in the special case $G(r)=[1+\{r \log (r+2)\}^2]$ (compare with (1.10)). Similarly, Corollary 3.2, 3.5 and Theorems 3.3, 3.4 of [2] still hold if assumption $\text{Ricci}(M) \geq -A[1+\{r \log (r+2)\}^2]$ is replaced by $\text{Ricci}(M) \geq -AG(r)$ as in our Theorem 2.17. If ϕ is an isometric immersion, then $\tau(\phi)=mH$, where $m=\dim M$ and H is the mean curvature vector; the boundedness of $|H|$, together with Gauss equations, ensure that in this case the assumption $\text{Ricci}(M) \geq -AG(r)$ can be substituted by the corresponding assumption on the scalar curvature: in particular (compare with Theorem 3.3 of [2]), we obtain

COROLLARY 2.24. *Let M be a complete, non-compact immersed submanifold of \mathbf{R}^n with parallel mean curvature H and scalar curvature bounded below by $-AG(r)$, with $[G(r)]^{1/2}=\mathcal{O}(F(r))$, for some $F \in \mathcal{G}$ as in (1.10). If the image of the Gauss map $\gamma: M \rightarrow G_m(\mathbf{R}^n)$ lies in a geodesic ball $B_R(\bar{q})$ with $R < \pi/(2\sqrt{B})$ (where $B=1$ if $n-m=1$ and $B=2$ otherwise), then M is minimal.*

REMARK 2.25. Let M be the 2-dimensional plane with metric $dr^2+k^2(r)d\theta^2$ and assume that $k(r)=\exp[r^2(\log r)]$ for $r \gg 1$. Since $\text{Ricci}(M)=-k''/k$, we see that $\text{Ricci}(M) \geq -Ar^2(\log r)^2$ for $r \gg 1$ and some $A > 0$. So we can apply Theorem 2.17 with $F(r)=r(\log r)(\log \log r)$; on the other hand, M has no sub-quadratic exponential growth. Indeed

$$\lim_{r \rightarrow +\infty} \{\log(\text{Vol } B_r)\} / r^2 = \lim_{r \rightarrow +\infty} \log r = +\infty .$$

Thus Theorem 2.17 extends Theorem 3.1 (and related Corollaries) of [10].

3. Applications to models and Hadamard manifolds.

(3.1) We begin with some differential geometric preliminaries: a *model* (see [8]) is a complete Riemannian manifold

$$(3.2) \quad M^m(g) = (S^{m-1} \times [0, +\infty), g^2(r)d\theta^2 + dr^2), \quad m \geq 2,$$

where $d\theta^2$ is the standard metric of S^{m-1} and $g(r)$ is a smooth function, odd at the origin and such that

$$(3.3) \quad g(0) = 0, \quad g'(0) = 1 \quad \text{and} \quad g(r) > 0 \quad \text{for all } r > 0 .$$

The point of $M^m(g)$ corresponding to $r=0$ is called pole and denoted by p . If $g(r)=r, \sinh r, \sin r$ ($r \in [0, \pi/2)$), we have $M^m(g)=\mathbf{R}^m, \mathbf{H}^m, S_+^m$ respectively. (Of course, S_+^m is not a model.)

(3.4) Let (N, ds^2) be a complete, n -dimensional Riemannian manifold; and let $B_R(q)$ be a geodesic ball inside the cut locus of $q \in N$: following [8] we say that $B_R(q)$ *dominates* an n -dimensional model $M^n(\tilde{k})$ if $z \in B_R(q), y \in M^n(\tilde{k})$ and $\rho(z)=\tilde{\rho}(y)$ ($\rho, \tilde{\rho}$ distances from q and the pole of $M^n(\tilde{k})$ respectively) imply

$$(3.5) \quad K_{\text{rad}}(z) \leq K_{\text{rad}}(y),$$

where K_{rad} is the radial curvature. Under these hypotheses, the hessian comparison theorem and Proposition 2.20 of [8] give

$$(3.6) \quad \text{Hess}(\rho)_z > \text{Hess}(\tilde{\rho})_y = \tilde{k}'(\tilde{\rho}(y))\tilde{k}(\tilde{\rho}(y))d\theta^2$$

where $d\theta^2$ is the standard metric of S^{n-1} and the symbol $>$ is explained in [8], p. 19.

LEMMA 3.7. *Let M, N be Riemannian manifolds, $p \in M, q \in N, \dim N = n$, and let ds^2 be the metric on N . Let ρ be the distance function from q and $B_R(q)$ a ball which dominates $M^n(\tilde{k})$ as in (3.4). Let $\lambda^2(z)$ be the minimum eigenvalue of $ds^2 - d\rho^2$ at $z \in B_R(q)$ and $\phi: M \rightarrow N$ a smooth map such that $\phi(p) = q$. Define $u = \rho \circ \phi, U = \{x \in M : u(x) \neq 0\}$, and $\xi = \pi \circ \phi$ on U , where $\pi: B_R(q) = [0, R] \times S^{n-1} \rightarrow S^{n-1}$ denotes projection on the second factor. Then on $U \cap \phi^{-1}(B_R(q))$*

$$(3.8) \quad \langle \nabla \rho, \tau(\phi) \rangle \leq \Delta u - 2\tilde{k}'(u)\tilde{k}(u)e(\xi)[(\lambda^2 \circ \phi)/(\tilde{k}(u))^2].$$

PROOF. A standard computation (see [5]) gives

$$(3.9) \quad \langle \nabla \rho, \tau(\phi) \rangle = \Delta u - \sum_i \text{Hess}(\rho)_\phi(d\phi(e_i), d\phi(e_i))$$

where $\{e_i\}$ is a local orthonormal frame on M . Now we apply (3.6) to (3.9) to get

$$(3.10) \quad \langle \nabla \rho, \tau(\phi) \rangle \leq \Delta u - \tilde{k}'(u)\tilde{k}(u)\sum_i d\theta^2(d\tilde{\phi}(e_i), d\tilde{\phi}(e_i))$$

where $d\tilde{\phi}(e_i)$ are defined as follows: Let $\theta^A, A=1, \dots, n-1$, be a local orthonormal coframe for S^{n-1} ; using polar coordinates (ρ, θ) we can express ds^2 on $B_R(q)$ in the form

$$(3.11) \quad ds^2 = d\rho^2 + [h_{AB}^2(\rho, \theta)]\theta^A\theta^B$$

(the sum over repeated indexes is understood). Because we perform the computations at a point $z = \phi(x) \neq q$, we can assume that we have diagonalized (3.11) by means of an orthogonal transformation of the θ^A 's, so that at z

$$ds^2 = d\rho^2 + [h_A^2(\rho, \theta)][\theta^A]^2.$$

Let $\{E_A\}$ be the frame field dual to $\{\theta^A\}$. Then

$$d\phi(e_i) = B_i^0[\partial/\partial\rho] + B_i^A[h_A(\rho, \theta)]^{-1}E_A$$

with $|d\phi(e_i)|^2 = (B_i^0)^2 + \sum_A (B_i^A)^2$. It follows that we can define, at y ,

$$d\tilde{\phi}(e_i) = B_i^0[\partial/\partial\tilde{\rho}] + B_i^A[\tilde{k}(\tilde{\rho}(y))]^{-1}E_A.$$

From this we deduce that

$$(3.12) \quad \sum_i d\theta^2(d\tilde{\phi}(e_i), d\tilde{\phi}(e_i)) = \{\sum_{A,i}(B_i^A)^2\}/\tilde{k}^2(u).$$

Now we observe that, on U , $2e(\xi) = \sum_{A,i}\{B_i^A/h_A\}^2$ and therefore

$$(3.13) \quad 2e(\xi) \leq \{\sum_{A,i}(B_i^A)^2\}/\lambda^2.$$

Now (3.8) follows from (3.10), (3.12) and (3.13). //

REMARK 3.14. In many instances $[\lambda^2/\tilde{k}^2] \geq 1$ and so (3.8) yields the more manageable inequality

$$(3.15) \quad \langle \nabla \rho, \tau(\phi) \rangle \leq \Delta u - 2\tilde{k}'(u)\tilde{k}(u)e(\xi).$$

For instance, a model N dominates itself with $[\lambda^2/\tilde{k}^2] \equiv 1$. Or else, let the sectional curvature on $B_R(q)$ be bounded above by $K \in \mathbf{R}$. We have the three cases $K < 0$, $K = 0$ and $K > 0$ or, to simplify notation, $K = -1$, $K = 0$ and $K = 1$. Using Rauch comparison theorem we obtain $\lambda^2 \geq \sinh^2 \rho$, $\lambda^2 \geq \rho^2$ and $\lambda^2 \geq \sin^2 \rho$ respectively. Thus, choosing \mathbf{H}^n , \mathbf{R}^n and S_+^n respectively as dominated "models", we find $[\lambda^2/\tilde{k}^2] \geq 1$. Lemma 3.7 provides a key ingredient in the proof of the next results and can be applied to manifolds M, N in considerable generality: however, in order to limit technical assumptions on the cut locus of points, we shall only state and prove the next theorems for especially interesting choices of M and N , leaving to the interested reader the details of further possible extensions to the other cases covered by Lemma 3.7 (see also (3.40), as an example).

(3.16) Recall that a Hadamard manifold N is a complete, simply connected Riemannian manifold with non-positive sectional curvature; in particular, the cut locus of any point is empty. In case the sectional curvature is bounded above by $-B^2$, $B > 0$, then N dominates $M_\tilde{k}^n$ with $\tilde{k}(r) = \sinh(Br)$.

THEOREM 3.17. Let N be a Hadamard manifold. Suppose that $M^m(g)$ is a model such that

$$(3.18) \quad [g(r)]^{-1} \notin L^1(+\infty) \quad \text{and} \quad g'(r) = \mathcal{O}(F(h(r)))$$

for some $F \in \mathcal{G}$ as in (1.10) and $h(r) = \int_0^r [1+g(s)]^{-1} ds$. Then there are no bounded harmonic maps $\phi: M^m(g) \rightarrow N$ such that $e(\xi) > 0$ on $U = \{x \in M^m(g) : \phi(x) \neq \phi(p)\}$ and

$$(3.19) \quad e(\xi) \geq [c/g^2] \quad \text{on } U \cap \{M^m(g) \setminus B_{R_0}(p)\} \quad \text{for some } c, R_0 > 0$$

(ξ is defined as in Lemma 3.7).

PROOF. By contradiction, suppose that there exists a harmonic map $\phi: M^m(g) \rightarrow N$ whose image is contained in $B_R(q)$, for $q = \phi(p)$ and some $R > 0$.

By Remark (3.14) and (3.16) $B_{\mathcal{R}}(q)$ dominates a model $M^n(\tilde{k})$ that, without loss of generality, we can assume to be either \mathbf{H}^n or \mathbf{R}^n : in particular, we have

$$(3.20) \quad \tilde{k}'(\rho) > 0.$$

Let $u = \rho \circ \phi$; because ϕ is harmonic, $\tau(\phi) = 0$. Thus, using Lemma 3.7 in the form (3.15), we obtain

$$(3.21) \quad \Delta u \geq 2\tilde{k}'(u)\tilde{k}(u)e(\xi)$$

on the open, dense set U . By a version of Lemma 2.3 (with $\varphi(u) = 2\tilde{k}'(u)\tilde{k}(u)$, $b(x) = e(\xi)(x)$) it suffices to construct a C^2 function v such that

$$(3.22) \quad \Delta v < e(\xi) \quad \text{on } U \cap \{M^m(g) \setminus B_{R_0}(p)\}; \text{ and}$$

$$(3.23) \quad v(x) \longrightarrow +\infty \quad \text{as } r(x) \rightarrow +\infty.$$

For this purpose we consider

$$(3.24) \quad \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = c/[1+g(r)]^2$$

$$\beta(0) = 0, \quad \beta'(0) = 0.$$

This is of type (1.23) with $f \equiv 1$ and $a(r) = c/[1+g(r)]^2$; using (1.24) we transform (3.24) in an equation of type (1.25): then, using (1.27), (3.18) and computing it is not difficult to deduce that $[\tilde{g}'(t)/\tilde{g}(t)] \leq D(t)$, for some function $D(t)$ which satisfies the hypotheses of Lemma 1.18. Thus Lemmas 1.2, 1.18 and 1.20 (with $f \equiv 1$) enable us to conclude that (3.24) has a solution β which is defined for all $r > 0$ and tends to $+\infty$ as $r \rightarrow +\infty$. We set $v = \beta \circ r$: clearly (3.23) holds. Moreover, on $U \cap \{M^m(g) \setminus B_{R_0}(p)\}$,

$$(3.25) \quad \Delta v = \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = c/[1+g(r)]^2 < c/[g(r)]^2 \leq e(\xi)$$

as required by (3.22).

THEOREM 3.26. *Let N be a Hadamard manifold with sectional curvature bounded above by a negative constant. Let $M^m(g)$ be a model such that*

$$(3.27) \quad [g(r)]^{-1} \notin L^1(+\infty) \quad \text{and} \quad g'(r) = \mathcal{O}(F^\gamma(h(r)))$$

for some $F \in \mathcal{F}$ as in (2.10), $0 < \gamma < 1$ and $h(r) = \int_0^r [1+g(s)]^{-1} ds$. Then there are no harmonic maps $\phi: M^m(g) \rightarrow N$ such that, on $U = \{x \in M^m(g) : \phi(x) \neq \phi(p)\}$,

$$(3.28) \quad e(\xi) \geq [c/g^2] \quad \text{for some } c > 0.$$

(ξ is defined as in Lemma 3.7).

PROOF. We consider

$$(3.29) \quad \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) = \{c/[1+g(r)]^2\}\tilde{k}'(\beta)\tilde{k}(\beta).$$

with \tilde{k} as in (3.16). As usual, we transform (3.29) into an equation of type (1.25): applying Proposition 1.11 (with η so small as to have $\gamma < (1-\eta)/(1+\eta)$) we obtain a solution β of (3.29) corresponding to initial conditions

$$(3.30) \quad \beta(0) = \beta_0 > 0, \quad \beta'(0) = 0.$$

Such a β is defined on a maximal finite interval $[0, R)$ and satisfies

$$(3.31) \quad \beta(r) \longrightarrow +\infty \quad \text{as } r \rightarrow R^-.$$

Moreover, given arbitrary $\epsilon, \delta > 0$, we can assume that $R > \delta$ and

$$(3.32) \quad \beta(r) < \epsilon \quad \text{for all } r \in [0, \delta]$$

provided that β_0 is sufficiently small; indeed, $\tilde{k}'\tilde{k}$ is locally Lipschitz on $[0, +\infty)$ and so, if β_0 is small, the solution determined by (3.30) approximates the trivial solution $\beta \equiv 0$ on compact sets.

Next, for $x \in M^m(g)$ we define $v(x) = \beta(r(x))$; so, using (3.29), we have

$$(3.33) \quad \begin{aligned} \Delta v &= \beta''(r) + (m-1)[g'(r)/g(r)]\beta'(r) \\ &= \{c/[1+g(r)]^2\}\tilde{k}'(v)\tilde{k}(v) < \{c/[g(r)]^2\}\tilde{k}'(v)\tilde{k}(v). \end{aligned}$$

Moreover,

$$(3.34) \quad v(x) < \epsilon \quad \text{on } B_\delta(p); \quad \text{and } v(x) \longrightarrow +\infty \quad \text{as } x \rightarrow \partial B_R(p)$$

by (3.31) and (3.32). Now we assume that there exists a nonconstant $\phi: M^m(g) \rightarrow N$ as in the statement of the theorem. Let ρ be the distance in N from $q = \phi(p)$ and set $u = \rho \circ \phi$. Since ϕ is nonconstant, there exist $\delta, \epsilon > 0$ and $y \in B_\delta(p)$ such that $u(y) > \epsilon$. Let v be constructed as above with respect to this latter choice of ϵ, δ . Since ϕ is nonconstant, U is dense in $M^m(g)$; thus the open set $U \cap B_R(p)$ is not empty. On it we consider the function $w = u - v$: if $z \in \partial\{U \cap B_R(p)\}$, then either $r(z) = R$ or $\phi(z) = q$; hence w is nonpositive near $\partial\{U \cap B_R(p)\}$. On the other hand at y we have $w(y) = u(y) - v(y) > \epsilon - \epsilon = 0$. It follows that w attains a positive maximum at some interior point $\tilde{y} \in U \cap B_R(p)$. Using Lemma 3.7 and (3.33), at \tilde{y} we have

$$0 \geq \Delta w \geq \tilde{k}'(u)\tilde{k}(u)e(\xi) - \tilde{k}'(v)\tilde{k}(v)c/g^2 \geq [c/g^2]\{\tilde{k}'(u)\tilde{k}(u) - \tilde{k}'(v)\tilde{k}(v)\}.$$

Now $u(\tilde{y}) > v(\tilde{y})$ together with $\tilde{k}'\tilde{k}$ increasing give the desired contradiction. //

APPLICATION 3.36. In the case of rotationally symmetric maps between models $e(\xi) = (m-1)/g^2$ (see [13]). We also observe that the condition $g'(r) = \mathcal{O}(F^r(h(r)))$ in (3.27) (and similarly in (3.18)) can be relaxed: indeed, in order to be able to apply Proposition 1.11, as required in the proof of Theorem 3.26, it

suffices that $g'(r_i) = \mathcal{O}(F'(h(r_i)))$ as $i \rightarrow +\infty$, for each sequence r_i such that $g'(r_i) \rightarrow +\infty$ as $i \rightarrow +\infty$ (see also Remark 1.19 b)). As a special case, blowing up of solutions occurs if $g'(r)$ is bounded from above; these facts together lead us to

COROLLARY 3.37. *Let $M^m(g)$ be a model such that $[g]^{-1} \notin L^1(+\infty)$ and g' is bounded above by some positive constant. Then any rotationally symmetric harmonic map $\phi: M^m(g) \rightarrow \mathbf{H}^m$ is constant.*

Similarly,

COROLLARY 3.38. *Let N be a Hadamard manifold with sectional curvature bounded above by a negative constant and $M^m(g)$ a model such that $[g]^{-1} \notin L^1(+\infty)$ and g' is bounded above by some positive constant. Then there are no nonconstant harmonic maps $\phi: M^m(g) \rightarrow N$ such that, on $U = \{x \in M^m(g) : \phi(x) \neq \phi(p)\}$,*

$$(3.39) \quad e(\xi) \geq [c/g^2] \quad \text{for some } c > 0.$$

(ξ is defined as in Lemma 3.7).

APPLICATION 3.40. Instead of a Hadamard manifold N we can take a model, say $N = N^n(k)$, and consider maps $\phi: M^m(g) \rightarrow N^n(k)$ which send pole into pole: then Theorem 3.17 (resp., Theorem 3.26 and its Corollaries 3.37 and 3.38) holds true — with the same proofs — if $k' > 0$ (resp., $k' > 0$, $(kk')' > 0$ and kk' verifies (1.11) i)), the remaining assumptions being unchanged. That is of interest because the sectional curvature of these models $N^n(k)$ is not necessarily nonpositive.

REMARK 3.41. Assumption (3.19) (or, equivalently, (3.28) or (3.39)) gives an extension of condition (0.2) in Theorem 0.1 (resp., Theorem 1) of [16] (resp., [17]). In particular, Theorem 3.26, Corollaries 3.37, 3.38 and (3.40) extend the main theorems of [16], [17].

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Andrea RATTO
Mathematics Institute
University of Warwick
Coventry CV4 7AL
England

Marco RIGOLI
Dipartimento di Matematica
Università di Milano
Via Saldini 50 - Città Studi
20133 Milano
Italy