

On algebraic Lie groups and algebras.

Yozô MATSUSHIMA.

(Received Oct. 25, 1947.)

Introduction.

Recently C. Chevalley and H. F. Tuan obtained an interesting characterization of the Lie algebras of algebraic Lie groups of matrices¹⁾. Using the notion of replicas²⁾ of matrices, they introduced namely the concept of algebraic Lie algebras of matrices; A Lie algebra \mathfrak{g} of matrices over a field P is called linear algebraic (\mathcal{L} -algebraic)³⁾ if every replica of each $A \in \mathfrak{g}$ belongs also to \mathfrak{g} . It was shown by them that, if P is the field of complex numbers, the \mathcal{L} -algebraicity is the characteristic property of the Lie algebras of algebraic Lie groups of matrices. The notion has been extended recently by M. Gotô to general, not necessarily matrix, Lie algebras⁴⁾. Namely a Lie algebra is called algebraic if its adjoint representation is \mathcal{L} -algebraic. Then he proved that any algebraic Lie algebra over a field of characteristic zero is isomorphic with an \mathcal{L} -algebraic Lie algebra of matrices. In this note we shall first prove some results on \mathcal{L} -algebraic Lie algebras. Most of these results have been obtained by C. Chevalley and H. F. Tuan, but our methods will be somewhat different from theirs. Then we shall characterize the Lie groups of algebraic Lie algebras over the field of complex numbers. We show that the integrated groups of such Lie algebras are algebraic groups in the sense that the functions which define the multiplication of group elements are algebraic functions of suitably chosen parameters of the group. This result follows also from the above mentioned result of M. Gotô, but our proof is a more direct one. The converse of this proposition has been already proved by L. Maurer⁵⁾ and thus we obtain a characterization of the Lie groups of algebraic Lie algebras. The writer is grateful to Mr. M. Gotô for his friendly cooperation.

1. Let P be a field of characteristic zero. For simplicity we call a nilpotent matrix an n -matrix, a matrix with simple elementary divisors an s -matrix, and an s -matrix whose characteristic roots are all rational numbers an r -matrix. Let A be a matrix with coefficients in P and P be algebraically closed. In a previous note⁶⁾ we showed that we may represent A in the form

$$A = A^0 + \lambda_1 A^1 + \dots + \lambda_k A^k, \quad (1)$$

where $\lambda_1, \dots, \lambda_k$ are the characteristic roots of A which are linearly independent with respect to the field R of rational numbers, A^0 is an n -matrix and A^1, \dots, A^k are r -matrices such that A^i ($i=0, 1, \dots, k$) are commutative with each other and form a linear basis for replicas of A . Now let

$$A = B^0 + \xi_1 B^1 + \dots + \xi_j B^j \quad (2)$$

be any decomposition of A into an n -matrix B^0 and r -matrices B^j such that B^i ($i=0, 1, \dots, j$) are commutative with each other and ξ_i ($i=1, \dots, j$) are linearly independent over R . Then B^i ($i=0, 1, \dots, j$) are replicas of A and any replica of A is a linear combination of B^i ⁷⁾. For, we see first from (1) and (2) that

$$A^0 = B^0, \quad \lambda_1 A^1 + \dots + \lambda_k A^k = \xi_1 B^1 + \dots + \xi_j B^j.$$

We may assume that A^i and B^i ($i \neq 0$) are all diagonal matrices⁸⁾. Let

$$A^i = \begin{bmatrix} r_1^i & & \\ & \ddots & \\ & & r_n^i \end{bmatrix}, \quad B^i = \begin{bmatrix} s_1^i & & \\ & \ddots & \\ & & s_n^i \end{bmatrix}$$

where r_j^i and s_j^i are rational numbers. Denote by \mathfrak{M} a linear space spanned by $\lambda_1, \dots, \lambda_k, \xi_1, \dots, \xi_j$ over R . Let μ_1, \dots, μ_m be a basis of \mathfrak{M} over R such that $\mu_i = \lambda_i$ for $i=1, \dots, k$. Let

$$\xi_i = \sum_{u=1}^m p_i^u \mu_u, \quad p_i^u \in R \quad (i=1, \dots, j)$$

Then

$$\sum_{i=0}^j \xi_i A^i = \sum_{i=1}^j \sum_{u=1}^m p_i^u \mu_u A^i = \sum_{u=1}^m \mu_u \sum_{i=1}^j p_i^u A^i = \sum_{u=1}^k \mu_u A^u.$$

Hence

$$r_t^u = \sum_{i=1}^j p_i^u s_t^i \quad (u=1, \dots, k; t=1, \dots, n)$$

Therefore

$$A^u = \sum_{i=1}^j p_i^u B^i \quad (u=1, \dots, k)$$

We may prove by the same argument that B^i are linear combinations of A^i and this proves our assertion. We call in the following a decomposition (2) of a matrix A a canonical decomposition.

We denote by $\mathfrak{gl}(n, P)$ the Lie algebra of all matrices of degree n with coefficients in the field P .

Lemma 1. Let $A, X \in \mathfrak{gl}(n, P)$. If $AX = XA$, then every replica of A commutes with X .

Proof. We may assume that P is algebraically closed. Let (1) be the canonical decomposition of A . Since A commutes with X , every eigen-space of A is invariant under X . As each A_i ($i=1, \dots, k$) has only one characteristic root and in fact represented by a scalar matrix in each of these eigen-spaces of A^0 , A^i commutes with X . Hence A^0 commutes also with X , and so does every replica of A , since it is a linear combination of A^i .

Lemma 2. Let \mathfrak{g} be a Lie subalgebra of $\mathfrak{gl}(n, P)$, where P is of characteristic zero. Then the radical \mathfrak{R}_1 of the derived algebra \mathfrak{g}' of \mathfrak{g} is composed only of nilpotent matrices.

Proof. Let K be an algebraically closed field containing P . We will show that every element of the radical \mathfrak{R}_1 of the derived algebra \mathfrak{g}' of any Lie algebra (not necessarily of matrices) \mathfrak{g} over P is represented by zero matrix in any (absolutely) irreducible representation of \mathfrak{g} in K . Any irreducible representation of \mathfrak{g} in K may be extended to the irreducible representation \mathfrak{D} of $\mathfrak{g}_K^{(10)}$.

Let \mathfrak{A} be the kernel of this representation \mathfrak{D} of \mathfrak{g}_K . As \mathfrak{D} is absolutely irreducible, \mathfrak{D} is the direct sum of the semi-simple ideal ($=\mathfrak{D}'$) and the one-dimensional center⁽¹¹⁾.

Since

$$\mathfrak{g}_K/\mathfrak{A} \cong \mathfrak{D},$$

$\mathfrak{g}_K/\mathfrak{A}$ must have the same structure and we have

$$\mathfrak{g}_K/\mathfrak{A} = (\mathfrak{g}_K/\mathfrak{A})' + (\mathfrak{Z}/\mathfrak{A}),$$

where $(\mathfrak{g}_K/\mathfrak{A})'$ is the derived algebra of $\mathfrak{g}_K/\mathfrak{A}$ which is semi-simple and $\mathfrak{Z}/\mathfrak{A}$ is the one-dimensional center of $\mathfrak{g}_K/\mathfrak{A}$. Since

$$(\mathfrak{g}_K/\mathfrak{A})' = (\mathfrak{g}_K', \mathfrak{A})/\mathfrak{A} \cong \mathfrak{g}_K'/\mathfrak{g}_K' \cap \mathfrak{A},$$

$\mathfrak{g}_K'/\mathfrak{g}_K' \cap \mathfrak{A}$ is semi-simple and $\mathfrak{g}_K' \cap \mathfrak{A}$ must contain the radical of \mathfrak{g}_K' . But since \mathfrak{R}_{1K} is contained in the radical of \mathfrak{g}_K' ; \mathfrak{R}_1 is contained in \mathfrak{A} . Thus every element of \mathfrak{R}_1 is represented by zero matrix in any absolutely irreducible representation of \mathfrak{g} . Now, let \mathfrak{g} be a Lie algebra of matrices.

Then we may reduce \mathfrak{L} in K to the form

$$\mathfrak{L} = \begin{bmatrix} \mathfrak{L}_1 & & \\ & \mathfrak{L}_2 & \\ & & \ddots \\ & * & & \mathfrak{L}_k \end{bmatrix},$$

where \mathfrak{L}_i ($i=1, 2, \dots, k$) are absolutely irreducible. Hence we see from the above consideration that every matrix of \mathfrak{R}_1 is nilpotent.

Remark. By considering the adjoint representation, we may prove that the radical of the derived algebra of any Lie algebra (not necessarily of matrices) over P is nilpotent¹²⁾.

Lemma 3. Let \mathfrak{L} be a Lie algebra of matrices composed only of nilpotent matrices and A be a matrix which admits as its invariants all the tensor invariants of \mathfrak{L} . Then A belongs to \mathfrak{L} .

This lemma was obtained by I. Ado, and M. Gotô¹³⁾ gave an elementary proof using the notion of the replicas of matrices.

*Lemma 4*¹⁴⁾. Let \mathfrak{M} be a vector space over an algebraically closed field K and A a linear transformation on \mathfrak{M} . Further let $\tilde{\mathfrak{M}}$ be the direct sum of some tensor spaces and $\tilde{\mathfrak{N}}$ a subspace of $\tilde{\mathfrak{M}}$ which is invariant under A . We denote by \tilde{A} the matrix of linear transformation which is induced by A in $\tilde{\mathfrak{N}}$. Then $\tilde{\mathfrak{N}}$ is invariant under all replicas of A and the matrices of linear transformations which are induced by the replicas of A in $\tilde{\mathfrak{N}}$ are replicas of the matrix \tilde{A} . Further any replica of \tilde{A} is induced conversely by a replica of A in $\tilde{\mathfrak{N}}$.

Lemma 5. Let P be a field, K an extension field of P and A a matrix in $\mathfrak{gl}(n, P)$. Then there exist replicas A_i ($i=1, 2, \dots, k$) of A in $\mathfrak{gl}(n, P)$ such that every replica of A in $\mathfrak{gl}(n, K)$ is the form $a_1 A_1 + \dots + a_k A_k$ with $a_i \in K$.

Proof. Let $\mathfrak{T}_{r,s}$ be the space of r -times contravariant and s -times covariant tensors over K , and $\mathfrak{N}_{r,s}$ the subspace of all tensor invariants of A in $\mathfrak{T}_{r,s}$. Let \mathfrak{L}_p be the set of all matrices in $\mathfrak{gl}(n, K)$ which admit as their invariants all tensors in $\mathfrak{N}_{r,s}$ with $r, s \leq p$. Then \mathfrak{L}_p form a monotone decreasing series of linear spaces over K whose intersection is the set \mathfrak{L} of all replicas of A . Hence there exists an integer m such that

$$\mathfrak{L}_m = \mathfrak{L}_{m+1} = \dots = \mathfrak{L}.$$

On assuming $A \in \mathfrak{gl}(n, P)$, we see from the theory of linear equations that

we may take a basis $F_{r,s}^{(1)}, \dots, F_{r,s}^{(k)}$ of $\mathfrak{N}_{r,s}$ over K such that all the components of the tensors $F_{r,s}^{(i)}$ belong to P . We take such bases of $\mathfrak{N}_{r,s}$ for $r, s \leq m$. Then a matrix $X \in \mathfrak{gl}(n, K)$ is a replica of A if and only if

$$X_{r,s} F_{r,s}^{(i)} = 0 \quad (r, s \leq m; i=1, 2, \dots)$$

But we may regard these equations as the linear equations with coefficients in P of indeterminates $X = (x_{ij})$. Then there exists $A_i \in \mathfrak{gl}(n, P)$ ($i=1, \dots, k$) such that every solution $X \in \mathfrak{gl}(n, K)$ is a linear combination of A_i with coefficients in K .

Lemma 6. Let \mathfrak{L} be a Lie subalgebra of $\mathfrak{gl}(n, P)$ and K an extension field of P . If $\mathfrak{L}_K^{(15)}$ is l -algebraic over K , then \mathfrak{L} is also l -algebraic. *Proof.* Let X_1, \dots, X_r be a basis of \mathfrak{L} over P and $X \in \mathfrak{L}$. Let X' be a replica of X in $\mathfrak{gl}(n, P)$. Since \mathfrak{L}_K is l -algebraic, X' is a linear combination of X_1, \dots, X_r with coefficients in K . Then the same is the case with coefficients in P in virtue of the same theorem of linear equations as above.

Definition 1. Let \mathfrak{L} be a Lie subalgebra of $\mathfrak{gl}(n, P)$. A basis $\{X_1, \dots, X_r\}$ of \mathfrak{L} over P is called algebraic if every replica of each X_i in $\mathfrak{gl}(n, P)$ belongs to \mathfrak{L} .

Now we may prove the following theorem.

Theorem 1. Let P be a field of characteristic zero. A Lie subalgebra \mathfrak{L} of $\mathfrak{gl}(n, P)$ is l -algebraic if and only if \mathfrak{L} has an algebraic basis.

Proof. Assume that \mathfrak{L} possesses an algebraic basis X_1, \dots, X_r . Then by Lemma 5, X_1, \dots, X_r is also an algebraic basis of \mathfrak{L}_K over K , where K is an algebraically closed extension of P . Hence we see from Lemma 6 that we may assume that P is algebraically closed. First we prove the theorem in the case when \mathfrak{L} has the structure $\mathfrak{L} = \mathfrak{S} + \mathfrak{Z}$, where \mathfrak{S} is a semi-simple ideal and \mathfrak{Z} is the center.

Let

$$X_i = Y_i + Z_i, \quad Y_i \in \mathfrak{S}, \quad Z_i \in \mathfrak{Z}$$

and

$$Y_i = Y_i^0 + \xi_1 Y_i^1 + \dots + \xi_k Y_i^k, \quad Z_i = Z_i^0 + \xi_1 Z_i^1 + \dots + \xi_k Z_i^k$$

be the canonical decompositions of Y_i and Z_i respectively⁽¹⁶⁾. From $[Y_i, Z_i] = Y_i Z_i - Z_i Y_i = 0$ we get by Lemma 1 $[Y_i^s, Z_i^t] = 0$ for $s, t = 0, 1, \dots, k$. Then we see that the decomposition

$$X_i = Y_i + Z_i = (Y_i^0 + Z_i^0) + \xi_1 (Y_i^1 + Z_i^1) + \dots + \xi_k (Y_i^k + Z_i^k)$$

is a canonical decomposition of X_i . Let Z'_i be a replica of Z_i and

$$Z'_i = a_0 Z_i^0 + a_1 Z_i^1 + \dots + a_k Z_i^k.$$

Then $Y'_i = a_0 Y_i^0 + a_1 Y_i^1 + \dots + a_k Y_i^k$ and $X'_i = a_0 (Y_i^0 + Z_i^0) + a_1 (Y_i^1 + Z_i^1) + \dots + a_k (Y_i^k + Z_i^k)$ are replicas of Y_i and X_i respectively. Since \mathfrak{S} is semi-simple, \mathfrak{S} is l -algebraic¹⁷⁾, whence $Y'_i \in \mathfrak{S}$. But by assumption X'_i belongs also to \mathfrak{L} and so $Z'_i = X'_i - Y'_i \in \mathfrak{L}$. Thus every replica of Z_i belongs to \mathfrak{L} . Now let $X = Y + Z \in \mathfrak{L}$. Then from $[Y, Z] = 0$ we see that every replica X' of X is of the form $Y' + Z'$, where Y' and Z' are replicas of Y and Z respectively. Since X is of the form $X = \sum a_i X_i$, it follows that $Z = \sum a_i Z_i$. We see again from the commutativity of Z_i 's that $Z' = \sum a_i Z'_i$, where Z'_i is, for each i , a replica of Z_i . Therefore $Z' \in \mathfrak{L}$. But since \mathfrak{S} is l -algebraic, $Y' \in \mathfrak{S}$ and hence $X' = Y' + Z' \in \mathfrak{L}$. Thus \mathfrak{L} is l -algebraic. Next let \mathfrak{L} be an arbitrary Lie algebra possessing an algebraic basis. Let \mathfrak{R}_1 be the radical of the derived algebra \mathfrak{L}' of \mathfrak{L} . Then \mathfrak{R}_1 is composed only of nilpotent matrices. Let $\mathfrak{T}_{r,s}$ be the space of r -times contravariant and s -times covariant tensors and $\mathfrak{N}_{r,s}$ the totality of tensor invariants of \mathfrak{R}_1 in $\mathfrak{T}_{r,s}$. Since \mathfrak{R}_1 is an ideal of \mathfrak{L} , $\mathfrak{N}_{r,s}$ is invariant under \mathfrak{L} .

Let

$$\mathfrak{P} = \sum \mathfrak{T}_{r,s}, \quad \mathfrak{Q} = \sum \mathfrak{N}_{r,s}$$

where direct summations are extended to sufficiently high orders of r, s . \mathfrak{Q} is an invariant space under \mathfrak{L} and we denote by (\mathfrak{L}) the representation of \mathfrak{L} induced in \mathfrak{Q} . Then, by Lemma 3, $(A) = 0$ if and only if $A \in \mathfrak{R}_1$. If X_1, \dots, X_r is an algebraic basis of \mathfrak{L} , then, by Lemma 4, linearly independent ones among $(X_1), \dots, (X_r)$ form an algebraic basis of (\mathfrak{L}) . So (\mathfrak{L}) has also an algebraic basis. On the other hand

$$(\mathfrak{L}) \cong \mathfrak{L}/\mathfrak{R}_1, \quad \mathfrak{R}_1 = \mathfrak{L}' \cap \mathfrak{R}.$$

$\mathfrak{R}/\mathfrak{R}_1$ is the center of $\mathfrak{L}/\mathfrak{R}_1$, since $[X, Y] \in \mathfrak{R}_1$ for $X \in \mathfrak{L}$, $Y \in \mathfrak{R}$. Hence (\mathfrak{L}) is the direct sum of a semi-simple ideal and its center, whence (\mathfrak{L}) is l -algebraic by the above consideration. Now let $X \in \mathfrak{L}$ and X' a replica of X . Then, by Lemma 4, \mathfrak{Q} is invariant under X' and (X') is a replica of (X) . Since (\mathfrak{L}) is l -algebraic, there exists $Y \in \mathfrak{L}$ such that $(X') = (Y)$. Then $(X' - Y) = 0$, whence $X' - Y \in \mathfrak{R}_1$ by Lemma 3. Hence $X' \in \mathfrak{L}$ and \mathfrak{L} is l -algebraic. The converse proposition is obvious.

From Lemma 5, 6 and Theorem 1 we get the following.

Corollary. Let P be a field of characteristic zero, \mathfrak{L} a Lie subalgebra of $\mathfrak{gl}(n, P)$ and K an extension field of P . Then \mathfrak{L} is l -algebraic if and only if \mathfrak{L}_K is an l -algebraic subalgebra of $\mathfrak{gl}(n, K)$.

Definition 2. A basis $\{X_1, \dots, X_r\}$ of a Lie subalgebra \mathfrak{L} of $\mathfrak{gl}(n, P)$ is called rational if each X_i is an n -matrix or an r -matrix.

Since every replica of n - or r -matrix is a scalar multiple of it, a rational basis of \mathfrak{L} is an algebraic basis.

Theorem 2. Let \mathfrak{L} be a Lie subalgebra of $\mathfrak{gl}(n, P)$ and P an algebraically closed field of characteristic zero. Then \mathfrak{L} is l -algebraic if and only if \mathfrak{L} has rational basis.

Proof. If \mathfrak{L} has a rational basis, then \mathfrak{L} is l -algebraic by Theorem 1. Let conversely \mathfrak{L} be l -algebraic Lie algebra of r dimensions and X_1, \dots, X_m ($m > r$) be linearly independent matrices of \mathfrak{L} which are n - or r -matrices. Let A be a matrix of \mathfrak{L} which is linearly independent of X_1, \dots, X_m and

$$A = A^0 + \xi_1 A^1 + \dots + \xi_k A^k$$

a canonical decomposition of A . Since \mathfrak{L} is l -algebraic, A_i ($i=0, 1, \dots, k$) belong to \mathfrak{L} . As A is linearly independent of X_1, \dots, X_m , at least one of them, say A^i , must be linearly independent of X_1, \dots, X_m . Putting $A^i = X_{m+1}$, we obtain $m+1$ linearly independent matrices, which are n - or r -matrices. Repeating this process we conclude that \mathfrak{L} has a rational basis.

2. Theorem 3. A Lie subalgebra \mathfrak{L} of $\mathfrak{gl}(n, P)$ is l -algebraic if and only if its radical \mathfrak{R} is l -algebraic.

Proof. Let \mathfrak{R} be l -algebraic. By Levi's theorem there exists a semi-simple subalgebra \mathfrak{S} of \mathfrak{L} such that $\mathfrak{L} = \mathfrak{S} + \mathfrak{R}$, $\mathfrak{S} \cap \mathfrak{R} = 0$. Then from the l -algebraicity of \mathfrak{S} and \mathfrak{R} we see that \mathfrak{L} has an algebraic basis. Therefore \mathfrak{L} is also l -algebraic by Theorem 1. Let, conversely, \mathfrak{L} be l -algebraic. We may assume that P is algebraically closed. Let $\mathfrak{R}_1, \mathfrak{P}, \mathfrak{Q}$ and (\mathfrak{L}) have the same meanings as in the proof of Theorem 1. Then

$$(\mathfrak{L}) \cong \mathfrak{L}'/\mathfrak{R}_1 + \mathfrak{R}/\mathfrak{R}_1$$

where $\mathfrak{L}'/\mathfrak{R}_1$ is semi-simple and $\mathfrak{R}/\mathfrak{R}_1$ is the center. Hence

$$(\mathfrak{L}) = (\mathfrak{L}') + (\mathfrak{R}),$$

where (\mathfrak{L}') and (\mathfrak{R}) are the representations of \mathfrak{L}' and \mathfrak{R} respectively and (\mathfrak{R}) is the center of (\mathfrak{L}) . By Lemma 4 (\mathfrak{L}) is l -algebraic, whence (\mathfrak{R})

is L -algebraic by Lemma 1. Let $R \in \mathfrak{R}$ and S be a replica of R . Then (S) is a replica of (R) . Hence $(S) \in (\mathfrak{R})$ and so S belongs to \mathfrak{R} . Thus \mathfrak{R} is L -algebraic,

Lemma 7. Let \mathfrak{L} be a Lie subalgebra of $\mathfrak{gl}(n, P)$ and D_A the inner derivation of \mathfrak{L} defined by an element $A \in \mathfrak{L}$, i.e. $D_A X = [AX]$ for $X \in \mathfrak{L}$. D_A is represented by n -matrix or s -matrix according as A is n -matrix or s -matrix.

We omit the proof.

Lemma 8. Let $A \in \mathfrak{gl}(n, P)$. Then there exists an n -matrix A^0 and an s -matrix A^s in $\mathfrak{gl}(n, P)$ such that $[A^0 A^s] = 0$ and $A = A^0 + A^s$. Moreover they are replicas of $A^{18)}$.

Proof. Let K be the splitting field of the characteristic equation of A . Then, as we may easily verify, A is decomposed *uniquely* in $\mathfrak{gl}(n, K)$ into the form $A = A^0 + A^s$, where A^0 and A^s are n - and s -matrix respectively such that $[A^0 A^s] = 0$. Now let σ be any substitution of the Galois group of K/P . Then

$$A = \sigma A = \sigma A^0 + \sigma A^s,$$

where σA^0 and σA^s are also n - and s -matrix respectively and $[\sigma A^0, \sigma A^s] = 0$. Hence, by the uniqueness of such decomposition, we get

$$\sigma A^0 = A^0, \quad \sigma A^s = A^s.$$

This shows that A^0 and A^s belong to $\mathfrak{gl}(n, P)$.

Theorem 4¹⁹⁾. Let \mathfrak{L} be an L -algebraic Lie subalgebra of $\mathfrak{gl}(n, P)$ and \mathfrak{R} its radical. Let $\mathfrak{L} = \mathfrak{S} + \mathfrak{R}$ ($\mathfrak{S} \cap \mathfrak{R} = 0$) be a Levi decomposition of \mathfrak{L} ; \mathfrak{S} being a semi-simple subalgebra of L . Denote by \mathfrak{N} the ideal composed of all nilpotent matrices of \mathfrak{R} . Then there exists an L -algebraic abelian subalgebra \mathfrak{A} of \mathfrak{R} composed only of s -matrices such that

$$[\mathfrak{S}, \mathfrak{A}] = 0, \quad \mathfrak{R} = \mathfrak{A} + \mathfrak{N} \quad \mathfrak{A} \cap \mathfrak{N} = 0.$$

Proof. If we regard \mathfrak{R} as an \mathfrak{S} -module, then \mathfrak{R} is an \mathfrak{S} -submodule. By the well known completely reducibility of the representations of semi-simple Lie algebras we have $\mathfrak{R} = \mathfrak{M} + \mathfrak{N}$, where \mathfrak{M} is an \mathfrak{S} -submodule. But by Lemma 2 $[\mathfrak{S}, \mathfrak{R}] \subseteq \mathfrak{L}' \cap \mathfrak{R} \subseteq \mathfrak{N}$, whence $[\mathfrak{S}, \mathfrak{M}] = 0$. Let A be a regular element of \mathfrak{R} , i.e. an element which has as many different characteristic roots as a general element of \mathfrak{R} , and let $A = M + N$, $M \in \mathfrak{M}$, $N \in \mathfrak{N}$. Then M is also regular. Further let $M = M^0 + M^s$ be the decomposition of M as

in Lemma 8. Since \mathfrak{R} is \mathcal{L} -algebraic, $M^s \in \mathfrak{R}$ and it is also regular. Moreover $[\mathfrak{S}, M] = 0$ implies $[\mathfrak{S}, M^s] = 0$ by Lemma 1. Thus there exists a regular element A of \mathfrak{R} such that A is s -matrix and $[\mathfrak{S}, A] = 0$. Now we decompose \mathfrak{R} by D_A :

$$\mathfrak{R} = \mathfrak{R}_0 + \mathfrak{R}_1,$$

where \mathfrak{R}_0 is composed of all $X \in \mathfrak{R}$ such that $D_A^m X = 0$ for a sufficiently large integer m and $D_A \mathfrak{R}_1 = \mathfrak{R}_1$. As is well known, \mathfrak{R}_0 is a nilpotent subalgebra. Since A is s -matrix, D_A has simple elementary divisors by Lemma 7, whence $D_A X = [AX] = 0$ for $X \in \mathfrak{R}_0$. Then by Lemma 1 \mathfrak{R}_0 is \mathcal{L} -algebraic. Denote by \mathfrak{A} the set of all s -matrices in \mathfrak{R}_0 and by \mathfrak{N}_0 the ideal of all n -matrices in \mathfrak{R}_0 . Since \mathfrak{R}_0 is nilpotent, we have by Lemma 7 $[BX] = 0$ for $B \in \mathfrak{A}$, $X \in \mathfrak{R}_0$. Hence \mathfrak{A} is an abelian ideal of \mathfrak{R}_0 and, as we may easily see, \mathcal{L} -algebraic. Let $X \in \mathfrak{R}_0$ and $X = X^0 + X^s$ as in Lemma 8. Since \mathfrak{R}_0 is \mathcal{L} -algebraic, X^0 and X^s belong to \mathfrak{R}_0 and in fact $X^s \in \mathfrak{A}$, $X^0 \in \mathfrak{N}_0$. Hence $\mathfrak{R}_0 = \mathfrak{A} + \mathfrak{N}_0$. As we may readily verify $[\mathfrak{S}, A] = 0$ implies $[\mathfrak{S}, \mathfrak{R}_0] \subseteq \mathfrak{R}_0$. Since $\mathfrak{N}_0 = \mathfrak{R}_0$, \mathfrak{R} is an \mathfrak{S} -submodule of \mathfrak{R}_0 , $\mathfrak{R}_0 = \mathfrak{I} + \mathfrak{N}_0$, where \mathfrak{I} is an \mathfrak{S} -module such that $[\mathfrak{S}, \mathfrak{I}] = 0$. Let A_1, \dots, A_m be a basis of \mathfrak{A} . Then we may find $N_i \in \mathfrak{N}_0$ such that $A_1 + N_1, \dots, A_m + N_m$ is a basis of \mathfrak{I} . But since $[A_i, N_i] = 0$ and A_i, N_i are respectively s - and n -matrices, A_i and N_i are replicas of $A_i + N_i$. Hence, by Lemma 1, $[\mathfrak{S}, A_i + N_i] = 0$ implies $[\mathfrak{S}, A_i] = 0$. Thus $[\mathfrak{S}, \mathfrak{A}] = 0$. Moreover $\mathfrak{R}_1 = [A, \mathfrak{R}_1] \subseteq [\mathfrak{R}, \mathfrak{R}] \subseteq \mathfrak{R}$. Therefore $\mathfrak{R}_0 + \mathfrak{R}_1 = \mathfrak{R}$ and $\mathfrak{R} = \mathfrak{A} + \mathfrak{N}$.

Remark. Any subalgebra \mathfrak{L} of $\mathfrak{gl}(n, P)$ which has the structure as in the Theorem 4 has an algebraic basis and therefore \mathcal{L} -algebraic.

3. Definition 3. A Lie algebra is called algebraic, if its adjoint representation is \mathcal{L} -algebraic. A complex Lie group is called algebraic, if parameters of the group can be so chosen that the functions which define the multiplication of group elements are algebraic functions.

Lemma 9. The integrated group \mathfrak{G} of any \mathcal{L} -algebraic Lie subalgebra \mathfrak{L} of $\mathfrak{gl}(n, C)$ is algebraic, where C denotes the field of complex numbers.

Proof. By Theorem 3, \mathfrak{L} has a rational basis X_1, \dots, X_r . Then the matrix $G \in \mathfrak{G}$ which is sufficiently near the unit matrix may be represented uniquely in the form

$$G = \exp z_1 X_1 \dots \exp z_r X_r,$$

where z_1, \dots, z_r are the complex parameters. If X_i is n -matrix, then we

see easily that the coefficients of $\exp z_i X_i$ are polynomials of z_i . In case X_i is r -matrix, we may assume without loss of generality that its characteristic roots are all rational integers. Then the coefficients of $\exp z_i X_i$ are rational functions of $\exp z_i$. If we change the parameter z_i into w_i by the equation

$$\exp z_i = 1 + w_i$$

then the coefficients of $\exp z_i X_i$ are rational functions of w_i . Hence the coefficients of G are rational functions of the suitably chosen parameters. From this we may easily verify that \mathfrak{G} is an algebraic group.

Since any semi-simple Lie algebra is isomorphic with an \mathfrak{l} -algebraic Lie algebra, we see that *any complex semi-simple Lie group is algebraic.*

*Lemma 10*²⁰⁾. Let \mathfrak{L} be algebraic and \mathfrak{N} its radical. By Levi's theorem $\mathfrak{L} = \mathfrak{S} + \mathfrak{N}$, $\mathfrak{S} \cap \mathfrak{N} = 0$, where \mathfrak{S} is a semi-simple subalgebra of \mathfrak{L} . Denote by \mathfrak{A} the largest nilpotent ideal. Then there exists an abelian subalgebra \mathfrak{U} of \mathfrak{N} such that

$$[\mathfrak{S}, \mathfrak{U}] = 0, \quad \mathfrak{N} = \mathfrak{U} + \mathfrak{N}, \quad \mathfrak{U} \cap \mathfrak{N} = 0$$

and \mathfrak{U} is represented faithfully in the adjoint representation of \mathfrak{L} by \mathfrak{l} -algebraic Lie algebra composed of s -matrices.

Theorem 5. *The Lie group \mathfrak{G} of any algebraic Lie algebra \mathfrak{L} over the complex number field C is algebraic.*

Proof. Let $\mathfrak{L} = \mathfrak{S} + \mathfrak{U} + \mathfrak{N}$ be the direct decomposition of \mathfrak{L} as in the above lemma and put $\mathfrak{I} = \mathfrak{S} + \mathfrak{U}$. Then \mathfrak{I} is a subalgebra of \mathfrak{L} and \mathfrak{U} is its center. We denote by \mathfrak{I}_0 the set of all elements $X \in \mathfrak{I}$ such that $[X\mathfrak{N}] = 0$. Then as we may easily see, \mathfrak{I}_0 is an ideal of \mathfrak{L} and is a direct factor of \mathfrak{I} , since \mathfrak{I} is completely reducible. Let $\mathfrak{I} = \mathfrak{I}_0 + \mathfrak{I}_1$. Then $\mathfrak{L} = \mathfrak{I}_0 + \mathfrak{I}_1 + \mathfrak{N}$ and $\mathfrak{I}_1 + \mathfrak{N}$ is obviously an ideal of \mathfrak{L} . \mathfrak{I}_0 is, just as \mathfrak{I} , direct sum of a semi-simple ideal and its center. But since \mathfrak{I}_0 is a direct factor of \mathfrak{L} , its center must be contained in the center \mathfrak{Z} of \mathfrak{L} . As $\mathfrak{Z} \subset \mathfrak{N}$, $\mathfrak{N} \cap \mathfrak{I}_0 = 0$, \mathfrak{I}_0 must be semi-simple. We see readily that $\mathfrak{L}_1 = \mathfrak{I}_1 + \mathfrak{N}$ is also algebraic and $\mathfrak{L} = \mathfrak{I}_0 + \mathfrak{L}_1$. Since the Lie group of \mathfrak{L} is the direct product of the Lie groups of \mathfrak{I}_0 and \mathfrak{L}_1 and any complex semi-simple group is algebraic, it is sufficient to prove the theorem in the case $\mathfrak{I}_0 = 0$. \mathfrak{N} may be regarded as a representation module of \mathfrak{I} and this representation \mathfrak{D} of \mathfrak{I} is, by our assumption, faithful and \mathfrak{l} -algebraic. We denote by \mathfrak{H} and \mathfrak{K} the (local) subgroups of \mathfrak{G} whose Lie algebras are \mathfrak{N} and \mathfrak{I} respectively.

Then \mathfrak{S} is a nilpotent invariant subgroup of \mathfrak{G} . Every element of \mathfrak{G} which is sufficiently near the unit element may be represented uniquely in the form $\sigma_a \tau_b$, where $\sigma_a \in \mathfrak{S}$, $\tau_b \in \mathfrak{R}$ and $(a), (b)$ denote the parameters of the groups \mathfrak{S} and \mathfrak{R} respectively. Then

$$(\sigma_a \tau_b)(\sigma_x \tau_y) = \sigma_{x'} \tau_{y'},$$

where

$$\sigma_{x'} = \sigma_a(\tau_b \sigma_x \tau_b^{-1}), \quad \tau_{y'} = \tau_b \tau_y. \quad (3)$$

We may take canonical parameters (x) of the nilpotent group \mathfrak{S} such that x'_i are polynomials of (a) and (x) , where $\sigma_{x'} = \sigma_a \sigma_x^{(21)}$. Let $\sigma_{f(x)} = \sigma_b \tau_x \sigma_b^{-1}$. Then, as the parameters (x) are canonical,

$$f_i(x) = \sum_k a_{ik}(b) x_k.$$

Since the matrix $(a_{ik}(b))$ may be represented in the form $\exp D$, $D \in \mathfrak{D}$ and \mathfrak{D} is L -algebraic faithful representation of \mathfrak{T} , $a_{ik}(b)$ are rational functions of (b) if the parameters (b) are chosen suitably. Hence x'_i , which are determined by (3), depend algebraically on (a) , (x) and (b) . On the other hand, since we have chosen the parameters (b) of \mathfrak{R} as above, y'_i which are also defined by (3), depend algebraically on (b) and (y) . Therefore \mathfrak{G} is an algebraic group.

Let, conversely, \mathfrak{G} be an algebraic Lie group and \mathfrak{L} its Lie algebra. It has been proved by L. Maurer⁽²²⁾ that the adjoint representation of \mathfrak{L} has a rational basis. Hence \mathfrak{L} is algebraic. Thus we obtain the following results: *A complex Lie group \mathfrak{G} is algebraic if and only if its Lie algebra \mathfrak{L} is algebraic.*

Bibliography.

E. Cartan :

- [1]. Thèse, Paris (1894).
- [2] Les groupes de transformations, continus, infinis, simples, Ann. Ec. Norm. Sup. t. 26 (1909).
- [3] Les représentations linéaires des groupes de Lie., Jour. de Math. pures et appliquées, t. 17 (1938).

C. Chevalley :

- [1] A new kind of relationship between matrices, Amer. J. math. 65 (1943).

C. Chevalley and H. F. Tuan :

- [1] On algebraic Lie algebras, Proc. Nat. Acad. Sci. U.S.A. 31 (1945).

M. Gotô :

- [1] On the replicas of nilpotent matrices, forthcoming in Proc. Imp. Acad. Tokyo.
- [2] On algebraic Lie algebras, forthcoming in this journal.

S. Lie and F. Engel :

- [1] Theorie der Transformationsgruppen Bd. III (1893).

Y. Matsushima :

- [1] Note on the replicas of matrices, forthcoming in Proc. Imp. Acad. Tokyo.

L. Maurer :

- [1] Über kontinuierliche Transformationsgruppen, Math. Ann. **39** (1891).

Mathematical Institute

Revised December 11, 1947.

Nagoya University.

References.

- 1) C. Chevalley and H. F. Tuan [1].
- 2) For the notion of replicas, see C. Chevalley [1], Cf. M. Gotô [1], Y. Matsushima [1].
- 3) Our \mathcal{L} -algebraicity is the same as the algebraicity in Chevalley and Tuan's sense.
- 4) M. Gotô [2]. M. Gotô proved also our Theorem 3 and 4 in a different way.
- 5) L. Maurer [1], S. Lie and F. Engel [1] p. 800-807.
- 6) Y. Matsushima [1].
- 7) I owe this remark to M. Gotô.
- 8) A^t and B^s are commutative ($t=1, \dots, k$; $s=1, \dots, j$). Cf. the proof of Lemma 1 below.
- 9) See Y. Matsushima [1].
- 10) As usual \mathfrak{g}_K is a Lie algebra over K whose elements are all linear combinations $a = \lambda_1 e_1 + \dots + \lambda_r e_r$, where $\lambda_i \in K$ and e_1, \dots, e_r is a basis of \mathfrak{g} over P .
- 11) E. Cartan [2].
- 12) E. Cartan [1] p. 108.
- 13) M. Gotô [1].
- 14) Y. Matsushima [1].
- 15) If X_1, \dots, X_r is a basis of \mathfrak{g} over P , then X_1, \dots, X_r are also linearly independent over K .
- 16) Some of Y_i^j and Z_i^j may be zero matrices.
- 17) C. Chevalley and H. F. Tuan [1], M. Gotô [2].
- 18) Cf. Y. Matsushima [1].
- 19) C. Chevalley and H. F. Tuan [1], M. Gotô [2].
- 20) M. Gotô [2].
- 21) E. Cartan [3].
- 22) L. Maurer [1], S. Lie and F. Engel [1] p. 800-807