# ON Q-STRUCTURES OF QUASISYMMETRIC DOMAINS

#### ICHIRO SATAKE

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**Abstract.** We will give a complete classification of Q-structures of quasisymmetric domains. In the standard case, it will be shown that there are only very natural Q-structures coming from semisimple Q-algebras with positive involutions. As is shown in the Appendix, when the domain is symmetric, any Q-structure of it as a quasisymmetric domain can uniquely be extended to one as a symmetric domain.

The purpose of this note is to determine the Q-structures of quasisymmetric domains.

The notion of a quasisymmetric domain was introduced in [S3] (cf. also [S6, Ch. V]). It was shown that, among Siegel domains (of the second kind), the symmetric domains were characterized by three conditions (i), (ii), (iii). A Siegel domain is called *quasisymmetric* if it satisfies the conditions (i), (ii). It is known that any symmetric domain  $\mathcal D$  with a fixed boundary component  $\mathcal F$  has a natural structure of a fiber space (a Siegel domain of the third kind) over  $\mathcal F$ , in which the fiber over each point of  $\mathcal F$  is a quasisymmetric domain. All quasisymmetric domains of "standard" type are obtained in this form (see §4), while there are quasisymmetric domains of non-standard (quadratic) type that are not obtained in this manner.

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respectively, one can easily classify all possible Q-structures of  $\mathcal{S}_I$ . We also give an explicit expression of A in each case.

In the simplest case, where  $\mathscr{C} = \mathscr{P}_{v_1}(R)$ , a **Q**-structure of  $\mathscr{S}_I$ , denoted as  $(III_{v_1;v_2/2}^{(1)})$ , is given as follows. One takes a pair of **Q**-structures of U and V, for which there exist two **Q**-vector spaces  $V_1$  and  $V_2$  such that one has

$$U(\mathbf{Q}) = S(V_1 \otimes V_1)$$
,  $V(\mathbf{Q}) = V_1 \otimes V_2$ ,

S denoting the symmetrizer and  $\dim_{\mathbf{Q}} V_i = v_i$  (i = 1, 2). Then the alternating bilinear map A and the complex structure I are given in the form

$$\begin{split} A(v_1 \otimes v_2, \, v_1' \otimes v_2') &= \mathbf{S}(v_1 \otimes v_1') a_2(v_2, \, v_2') \\ (v_i, \, v_i' \in V_i, \, i = 1, \, 2) \;, \\ I &= \mathbf{1}_{V_1} \otimes I_2 \;, \end{split}$$

 $a_2$  denoting a non-degenerate alternating Q-bilinear form on  $V_2 \times V_2$  and  $I_2$  denoting a "rational" point in the Siegel space  $\mathfrak{S} = \mathfrak{S}(V_2(R), a_2)$ . It will be shown in §4 that, in the standard case, one can obtain all Q-structures of  $\mathcal{S}_I$ , generalizing this construction to vector spaces over a division algebra over Q with positive involution.

In the Appendix, we will show that, when the domain  $\mathcal{S}_I$  is symmetric, any  $\mathbf{Q}$ -structure of  $\mathcal{S}_I$  as a quasisymmetric domain can be extended (uniquely) to a  $\mathbf{Q}$ -structure of it as a symmetric domain.

One of the motivations of this study is to construct a new kind of cusp singularities (cf. [S9]). Cusps of the arithmetic quotients of symmetric tube domains have been studied by many mathematicians. Especially, a generalization of the Hirzebruch conjecture, which relates the zero value of the zeta functions  $Z_{\mathscr{C}}$  associated with the cone  $\mathscr{C}$  with some geometric invariants of the cusp, was recently established by Ogata [O2] and Ishida [I2] (see also [SO]). In the case of quasisymmetric domains with  $V \neq 0$ , for which  $\mathcal{Q}$ -rank Aut  $\mathscr{C}$  is =1, one can obtain similar cusps, which we propose to call cusps of the second kind; in the notation of §4, this occurs only in the following three cases:

$$\begin{split} R_{F/\mathbf{Q}}(\mathrm{III}_{1;\nu_{2}/2}^{(1)})_{I} \;, \quad R_{F/\mathbf{Q}}(\mathrm{III}_{2;\nu_{2}}^{(2)}, D_{0}, h_{2})_{I} \;, \\ R_{F/\mathbf{Q}}(\mathrm{I}_{\delta_{0;}(p,q)}^{(\delta_{0})}, D_{0}/Z, h_{2})_{I} \quad (\delta_{0} \geq 2) \;. \end{split}$$

It is expected that one can further generalize the result of Ogata and Ishida to the case of the cusps of the second kind to obtain a geometric interpretation of the values of the zeta functions  $Z_{\mathscr{C}}$  at negative integers.

## 1. Siegel domains.

1.1. Siegel domains (of the second kind) (cf. [PS], [S6, Ch. III, §§5–6]). A Siegel domain is defined by the following data  $(U, V, A, \mathcal{C}, I)$ . U and V are finite-dimensional

real vector spaces and  $A: V \times V \to U$  is an alternating bilinear map.  $\mathscr{C}$  is an open convex cone in U, which is "non-degenerate" in the sense that  $\overline{\mathscr{C}} \cap -\overline{\mathscr{C}} = \{0\}$ . I is a complex structure on V satisfying the following condition:

(1) A(v, Iv') is symmetric and " $\mathscr{C}$ -positive", i.e. one has

$$A(v, Iv) \in \overline{\mathscr{C}} - \{0\}$$
 for all  $v \in V, v \neq 0$ .

This implies that A is non-degenerate, i.e. if A(v, v') = 0 for all  $v' \in V$ , then v = 0. We set

$$V(C) = V \otimes_{R} C = V_{+} \oplus V_{-}$$

with  $V_{\pm} = \{v \in V(C) \mid Iv = \pm iv\}$  and extend A in a natural manner to a C-bilinear map  $V(C) \times V(C) \rightarrow U(C)$ , denoted again by the same letter. Then one has  $A(V_+, V_+) = A(V_-, V_-) = 0$  and

$$2iA(v_-, v'_+) = A(v, Iv') + iA(v, v')$$

for  $v, v' \in V$ ,  $v_+$  denoting the  $V_+$ -part of v.

A Siegel domain  $\mathcal{G}_I = \mathcal{G}(U, V, A, \mathcal{C}, I)$  is defined by

(2) 
$$\mathscr{S}_{I} = \left\{ (u, w) \in U(\mathbf{C}) \times V_{+} \mid \operatorname{Im} u - \frac{i}{2} A(\bar{w}, w) \in \mathscr{C} \right\}.$$

When  $V = \{0\}$ , one obtains a tube domain  $\mathcal{S}_0 = U + i\mathcal{C}$ .

We denote by  $\mathfrak{S} = \mathfrak{S}(V, A, \mathscr{C})$  the set of all complex structures I on V satisfying the condition (1); by the assumption one has  $\mathfrak{S} \neq \emptyset$ . In what follows, it will be convenient to consider the complex structure I to be a point in the parameter space  $\mathfrak{S}$ , rather than fixing it once and for all. Then the total space  $\mathfrak{T} = \{(u, w, I) \mid (u, w) \in \mathscr{S}_I, I \in \mathfrak{S}\}$  is a so-called "Siegel domain of the third kind".

1.2. Automorphism groups. We first define the (generalized) Heisenberg group  $\tilde{V} = H(U, V, A)$ . By definition  $\tilde{V}$  is the direct product  $U \times V$  endowed with a multiplication

(3) 
$$(u, v)(u', v') = \left(u + u' - \frac{1}{2} A(v, v'), v + v'\right)$$

for (u, v),  $(u', v') \in \tilde{V}$ . It is clear that with the natural homomorphisms one has an exact sequence

$$(4) 1 \to U \to \widetilde{V} \to V \to 1,$$

in which U is central. It is known that, conversely, all central extension  $\tilde{V}$  of V by U (as Lie groups) is obtained in this manner with a (uniquely determined) alternating bilinear map A. In our case, A being non-degenerate, U coincides with the center of  $\tilde{V}$ .

We set

(5) Aut
$$(U, V, A) = \{g = (g_1, g_2) | g_1 \in GL(U), g_2 \in GL(V), g_1 \circ A = A \circ g_2 \times g_2 \},$$

and write  $g_i = \rho_i(g)$  for  $g = (g_1, g_2) \in Aut(U, V, A)$ . We are concerned with the following automorphism groups:

$$G_{1} = \operatorname{Aut}(U, \mathscr{C}) = \{g_{1} \in GL(U) \mid g_{1}\mathscr{C} = \mathscr{C}\},$$

$$G = \operatorname{Aut}(U, V, A, \mathscr{C}) = \{g \in \operatorname{Aut}(U, V, A) \mid \rho_{1}(g) \in G_{1}\},$$

$$G_{2} = \operatorname{Sp}(V, A) = \{g_{2} \in GL(V) \mid A \circ g_{2} \times g_{2} = A\}.$$

Note that one has  $\operatorname{Ker} \rho_1 = 1 \times G_2$  and  $\mathfrak{S}(V, A, \mathscr{C}) \subset G_2$ . It is known that  $G_2$  is a reductive algebraic group of hermitian type and  $\mathfrak{S}(V, A, \mathscr{C})$  is the associated symmetric domain (see 2.3 and [S5]). Since  $G \subset \operatorname{Aut} \tilde{V}$ , one can construct a semidirect product  $\tilde{G} = G \cdot \tilde{V}$ .

For  $v \in V$  and  $w \in V_+$ , one defines an automorphy factor by

$$\mathcal{J}(v, w) = A\left(w + \frac{1}{2}v_+, v_-\right),\,$$

which satisfies the relation

$$\mathcal{J}(v+v', w) = \mathcal{J}(v, w+v'_{+}) + \mathcal{J}(v', w) + \frac{1}{2} A(v, v')$$
.

Then the Heisenberg group  $\tilde{V}$  acts on  $\mathcal{S}_I$  by

(7) 
$$(a, b)((u, w)) = (u + a + \mathcal{J}(b, w), w + b_+)$$
 for  $(a, b) \in \tilde{V}$  and  $(u, w) \in \mathcal{S}_T$ .

On the other hand, for  $I \in \mathfrak{S}(V, A, \mathscr{C})$ , one puts

$$\begin{split} G_I &= \operatorname{Aut}(U, \ V, \ A, \mathscr{C}, \ I) = \left\{ g \in G \ \middle| \ \rho_2(g) \in GL(V, \ I) \right\} \,, \\ G_{2I} &= \operatorname{Aut}(V, \ A, \ I) = Sp(V, \ A) \cap GL(V, \ I) \,. \end{split}$$

Then  $G_I$  acts linearly on  $\mathscr{S}_I$ , and the semidirect product  $\widetilde{G}_I = G_I \cdot \widetilde{V}$  acts affinely on  $\mathscr{S}_I$ .  $G_{2I}$  is a maximal compact subgroup of  $G_2$ . It is known ([PS], [S6, p. 129, Prop. 6.2]) that the affine automorphism group Aff  $\mathscr{S}_I$  of  $\mathscr{S}_I$  coincides with  $\widetilde{G}_I$ .

### 2. Quasisymmetric domains.

- 2.1. Quasisymmetric case. A Siegel domain  $\mathcal{S}_I = \mathcal{S}(U, V, A, \mathcal{C}, I)$  is called quasisymmetric if two conditions (i), (ii) below are satisfied. (For the meaning of these conditions, see [S3, Prop. 1], or [S6, Ch. V, §§3, 4, especially, Prop. 4.1]. Here we state the condition (ii) in the form independent of the complex structure I. For the classification of quasisymmetric domains, see [S2] and [S3], or [S6, Ch. V, §5].)
- (i) There exists a (positive definite) inner product  $\langle \ \rangle$  on U such that, defining the dual of  $\mathscr C$  by

$$\mathscr{C}^* = \{ u \in U \mid \langle u, u' \rangle > 0 \text{ for all } u' \in \overline{\mathscr{C}} - \{0\} \},$$

one has  $\mathscr{C} = \mathscr{C}^*$ . Moreover, the automorphism group  $G_1 = \operatorname{Aut}(U, \mathscr{C})$  is transitive on  $\mathscr{C}$ .

When this condition is satisfied,  $\mathscr{C}$  is called a *self-dual homogeneous cone*. One then has  $G_1 = {}^tG_1$ , t denoting the transpose with respect to  $\langle \ \rangle$ . This implies that  $G_1$  is a reductive "algebraic" group (in a weaker sense that the identity connected component  $G_1^\circ$  coincides with that of the real points of a linear algebraic group defined over R). The map  $\theta_1: x \mapsto -{}^tx$  is a Cartan involution of the Lie algebra  $g_1$  of  $G_1$ . Let  $g_1 = f_1 + p_1$  be the corresponding Cartan decomposition. Then it is known that for a suitable choice of a point e in  $\mathscr C$  one has

$$\mathfrak{f}_1 = \{ x \in \mathfrak{g}_1 \mid xe = 0 \} .$$

It follows that, for each  $u \in U$ , there exists a uniquely determined element  $T_u$  in  $\mathfrak{g}_1$  such that  ${}^tT_u = T_u$  and  $T_u e = u$ ; in particular,  $T_e = 1_U$ . The map  $u \mapsto T_u$  gives a linear isomorphism  $U \simeq \mathfrak{p}_1$ .

It is well known that the vector space U endowed with a product  $uu' = T_u u'$   $(u, u' \in U)$  is a formally real Jordan algebra with unit element e (cf. e.g. [S6, p. 33, Th. 8.5]). In what follows, we will normalize the inner product  $\langle \ \rangle$  by setting

(8) 
$$\langle u, u' \rangle = \operatorname{tr}(\kappa T_{uu'}),$$

where in the notation of 2.5 below  $\kappa = \sum (r_i/n_i) 1_{U^{(i)}}$  with  $n_i = \dim U^{(i)}$  and  $r_i = \mathbf{R}$ -rank  $g_1^{(i)}$ . By this relation e and  $\langle \rangle$  determine each other uniquely.

- 2.2. We now state the second condition:
- (ii) The homomorphism  $\rho_1: G \to G_1$  is "almost surjective", i.e. one has  $\rho_1(G^\circ) = G_1^\circ$ .

In what follows, we assume that the conditions (i), (ii) are satisfied. Then with the natural homomorphisms one has an exact sequence

$$(9) 1 \to G_2 \to G \to G_1 \to (finite).$$

Since  $G_1$  and  $G_2$  are reductive "algebraic", so is G. Hence there exists a connected normal "algebraic" subgroup  $G'_1$  of G such that

(10) 
$$G^{\circ} = G'_1 \cdot (1 \times G^{\circ}_2), \qquad G'_1 \cap (1 \times G^{\circ}_2) = (\text{finite}).$$

Then the restriction of  $\rho_1$  on  $G_1'$  gives an isogeny  $G_1' \to G_1$ . (Such a subgroup  $G_1'$  is uniquely determined, because  $G_1'$  is of cone type and  $G_2$  is of hermitian type.) Note that, since I is contained in  $G_2^{\circ}$ , one has  $G_1' \subset G_I^{\circ}$  and hence  $\rho_1(G_I^{\circ}) = G_1^{\circ}$ . It follows that the domain  $\mathcal{S}_I$  is affinely homogeneous.

Let g,  $g_i$  (i=1,2), and  $g_1'$  denote the Lie algebras of G,  $G_i$ , and  $G_1'$ , respectively. Then  $\rho_1 \mid g_1' : g_1' \to g_1$  is an isomorphism; we put  $\beta = \rho_2 \circ (\rho_1 \mid g_1')^{-1}$ . Then  $\beta$  is a representation of  $g_1$  on V and one has

(11) 
$$g_1' = \{(x, \beta(x)) \mid x \in g_1\}.$$

Since  $G'_1 \subset G_I$ ,  $\beta$  is actually a representation of  $g_1$  in gl(V, I).

2.3. Reformulations. For  $u \in U$  and  $v, v' \in V$ , we set

$$(12) A_{u}(v, v') = \langle u, A(v, v') \rangle,$$

(13) 
$$a(v, v') = A_{\rho}(v, v').$$

Clearly a is an alternating bilinear form on  $V \times V$  and for  $I \in \mathfrak{S}$  the bilinear form a(v, Iv') is symmetric and positive definite; in other words, if one puts

$$h_I(v, v') = a(v, Iv') + ia(v, v'),$$

then  $h_I$  is a positive definite hermitian form (which is *C*-linear in v') on the complex vector space (V, I). Let  $V^*$  and Alt(V) denote the dual space of V and the space of all alternating bilinear forms on  $V \times V$ , respectively. Alt(V) may be identified with the subspace of  $Hom_{\mathbb{R}}(V, V^*)$  formed of all skewsymmetric elements. We define an involution i = i(a) of  $End_{\mathbb{R}} V$  by

(14) 
$$i: y \mapsto a^{-1} {}^{t} y a \qquad (y \in \operatorname{End}_{\mathbf{R}} V).$$

Clearly, for  $y \in \text{End}_{\mathbb{R}} V$ , one has y' = y if and only if  $ay \in \text{Alt}(V)$  and, for  $y \in \text{End}_{\mathbb{C}}(V, I)$ , y' is the adjoint of y with respect to the hermitian form  $h_I$ . One sets

$$\operatorname{Her}(V, a, I) = \{ y \in \operatorname{End}_{\boldsymbol{c}}(V, I) \mid y^i = y \}$$

and denote by  $\mathcal{P}(V, a, I)$  the cone of all positive definite elements in Her(V, a, I) with respect to  $h_I$ .

For  $u \in U$  there corresponds uniquely an element  $\varphi(u)$  in End<sub>R</sub> V such that

(15) 
$$A_{\cdot}(v, v') = a(v, \varphi(u)v') \qquad (v, v' \in V):$$

in particular, one has  $\varphi(e) = 1_{\nu}$ . Then the condition (1) is equivalent to

(16) 
$$\varphi(U) \subset \operatorname{Her}(V, a, I), \qquad \varphi(\mathscr{C}) \subset \mathscr{P}(V, a, I).$$

Note also that in this notation one has

(17) 
$$G_2 = Sp(V, A) = \{g_2 \in Sp(V, a) \mid [g_2, \varphi(U)] = 0\},$$
$$\mathfrak{S}(V, A, \mathscr{C}) = \mathfrak{S}(V, a) \cap G_2,$$

 $\mathfrak{S}(V, a)$  denoting the "Siegel space" associated with Sp(V, a) (i.e. the space of all complex structures I on V such that a(v, Iv') is symmetric and positive definite). This implies that  $G_2$  is a reductive algebraic group of hermitian type with a Cartan involution

$$\theta_2: g_2 \mapsto I^{-1}g_2I$$
,

and  $\mathfrak{S}(V, A, \mathscr{C})$  is the associated symmetric domain (cf. [S5]).

Now, in the quasisymmetric case, one has for  $x \in \mathfrak{g}_1$ 

$$xA(v, v') = A(\beta(x)v, v') + A(v, \beta(x)v') \qquad (v, v' \in V),$$

or equivalently,

$$\varphi(^t x u) = \beta(x)^t \varphi(u) + \varphi(u)\beta(x) \qquad (u \in U) .$$

LEMMA 1. The representation  $\beta: \mathfrak{g}_1 \rightarrow \mathfrak{gl}(V, I)$  defined by (11) satisfies the relation

$$\beta(tx) = \beta(x)^{t} \quad for \quad x \in \mathfrak{g}_1,$$

where i = i(a).

PROOF. Putting u = e in  $(\beta 1)$  one sees that  $x \in \mathfrak{t}_1$  implies  $\beta(x) \in i$  Her(V, a, I). It follows ([S2, p. 127]) that  $\beta$  can be written as a commutative sum of two representations  $\beta_0$ ,  $\beta_1 : \mathfrak{g}_1 \to \mathfrak{gl}(V, I)$  such that

$$\beta_0(\mathfrak{g}_1) \subset i \operatorname{Her}(V, a, I),$$

$$\beta_1(x) = \beta_1(x)^i \qquad (x \in \mathfrak{g}_1).$$

Since  $G_1$  is "algebraic" and  $\rho_i \mid G_1$  (i=1,2) are rational, all eigenvalues of  $\beta(x)$  ( $x \in \mathfrak{p}_1$ ) are real. On the other hand, (\*) implies that for x in  $\mathfrak{p}_1$  all eigenvalues of  $\beta_0(x)$ , resp.  $\beta_1(x)$  are purely imaginary, resp. real. Hence one has  $\beta_0(\mathfrak{p}_1) = 0$  and, since  $\mathfrak{g}_1$  is generated by  $\mathfrak{p}_1$ , one has  $\beta_0 = 0$ . Thus  $\beta = \beta_1$  satisfies ( $\beta 2$ ).

By  $(\beta 1)$  and  $(\beta 2)$  one has

$$\varphi(T_{n}u') = \beta(T_{n})\varphi(u') + \varphi(u')\beta(T_{n}).$$

Hence putting u' = e, one has

(18) 
$$\beta(T_u) = \frac{1}{2} \varphi(u) \quad \text{for} \quad u \in U;$$

in particular,  $\beta(1_U) = (1/2)1_V$ . Since  $g_1$  is generated by  $p_1$ , the relation (18) shows that  $\beta$  is uniquely determined by  $\varphi$ . (This gives another proof for the uniqueness of  $G'_1$ .)

[Note that the relations (\*\*) and (18) imply

(19) 
$$\varphi(uu') = \frac{1}{2} \left\{ \varphi(u)\varphi(u') + \varphi(u')\varphi(u) \right\} \qquad (u, u' \in U),$$

which means that the map  $\varphi$  is a unital Jordan algebra homomorphism of (U, e) into Her(V, a, I) (cf. [S6, loc. cit.]).]

2.4. Admissible triples. Let  $(U, V, A, \mathcal{C})$  be a data satisfying the conditions (i), (ii). In general, a triple  $(e, a, \beta)$  formed of  $e \in \mathcal{C}$ , a non-degenerate alternating bilinear form a on  $V \times V$ , and a representation  $\beta : \mathfrak{g}_1 \to \mathfrak{gl}(V)$  is called an admissible triple belonging to  $(U, V, \mathcal{C})$ , if there exists a linear map  $\varphi : U \to \operatorname{End}_{\mathbf{R}} V$  with  $\varphi(e) = 1_V$  such that

the conditions ( $\beta$ 1), ( $\beta$ 2) are satisfied with  $\iota = \iota(a)$ . Since these conditions imply (18),  $\beta$  and  $\varphi$  determine each other uniquely. They also imply that  $a\varphi(U) \subset \text{Alt}(V)$ . For an admissible triple  $(e, a, \beta)$  one sets

(20) 
$$\mathfrak{S}(V, a, \beta) = \{ I \in \mathfrak{S}(V, a) \mid [I, \beta(\mathfrak{g}_1)] = 0 \}.$$

If an admissible triple  $(e, a, \beta)$  comes from the data  $(U, V, A, \mathcal{C})$  as explained in 2.3, then it is said to be belonging to  $(U, V, A, \mathcal{C})$ . In that case, one has by (17)

$$\mathfrak{S}(V, A, \mathscr{C}) = \mathfrak{S}(V, a, \beta)$$
.

In general, two admissible triples  $(e, a, \beta)$  and  $(e', a', \beta')$  are called *equivalent* if  $\beta = \beta'$  and if there exists  $g'_1 \in G'_1$  such that one has  $e' = \rho_1(g'_1)e$  and  $a' = a \circ \beta(g'_1^{-1}) \times \beta(g'_1^{-1})$ . Clearly, two admissible triples belonging to the same  $(U, V, A, \mathcal{C})$  are equivalent.

Conversely, suppose that one has  $(U, \mathcal{C})$  satisfying the condition (i), a real vector space V, and an admissible triple  $(e, a, \beta)$  belonging to  $(U, V, \mathcal{C})$ . Then, it is easy to see that, if  $I \in \mathcal{S}(V, a, \beta)$ , then the linear map  $\varphi : U \to \operatorname{End}_{\mathbb{R}} V$  associated with  $\beta$  satisfies the condition (16). Hence, if one defines a bilinear map  $A : V \times V \to U$  by (12) and (15), then A is an alternating bilinear map satisfying the condition (1). In this manner, one recovers the data  $(U, V, A, \mathcal{C})$  satisfying (i), (ii), to which the triple  $(e, a, \beta)$  is belonging. Clearly equivalent admissible triples give rise to one and the same data  $(U, V, A, \mathcal{C})$ .

Thus we have shown that to give a data  $(U, V, A, \mathscr{C})$  (with  $\mathfrak{S}(V, A, \mathscr{C}) \neq \emptyset$ ) satisfying (i), (ii) is equivalent to giving  $(U, \mathscr{C})$  satisfying (i), a real vector space V, and an equivalence class of admissible triples  $(e, a, \beta)$  belonging to  $(U, V, \mathscr{C})$  (for which  $\mathfrak{S}(V, a, \beta) \neq \emptyset$ ).

2.5. Complete reducibility. Let  $(U, V, A, \mathcal{C}, I)$  be a data satisfying the conditions (i), (ii), and let  $(e, a, \beta)$  be an admissible triple belonging to it. Let

$$(U,\mathscr{C}) = \prod_{i=1}^{l} (U^{(i)},\mathscr{C}^{(i)})$$

be the direct decomposition of  $(U, \mathcal{C})$  into irreducible factors. Then each  $\mathcal{C}^{(i)}$  is an irreducible self-dual homogeneous cone in  $U^{(i)}$ . If one sets

$$G_1^{(i)} = \text{Aut}(U^{(i)}, \mathcal{C}^{(i)}), \qquad g_1^{(i)} = \text{Lie } G_1^{(i)},$$

then one has

(22) 
$$g_1 = \bigoplus_{i=1}^{l} g_1^{(i)}, \qquad g_1^{(i)} = \{1_{U^{(i)}}\}_{\mathbb{R}} \oplus g_1^{(i)s},$$

where  $g_1^{(i)s}$  (the semisimple part of  $g_1^{(i)}$ ) is simple or reduces to  $\{0\}$ . One has

$$e = \sum_{i=1}^{l} e^{(i)}, \qquad e^{(i)} \in \mathscr{C}^{(i)}.$$

One also has the following decomposition of the representation space ([S2] or [S6, p. 237, Prop. 5.2]):

(23) 
$$V = \bigoplus_{i=1}^{l} V^{(i)}, \qquad \beta = \bigoplus \beta^{(i)},$$
$$a = \sum a^{(i)}, \qquad I = \sum I^{(i)},$$

where  $V^{(i)} = \beta(1_{U^{(i)}})V$ ,  $(e^{(i)}, a^{(i)}, \beta^{(i)})$  is an admissible triple belonging to  $(U^{(i)}, V^{(i)}, \mathscr{C}^{(i)})$ , and  $I^{(i)} \in \mathfrak{S}(V^{(i)}, a^{(i)}, \beta^{(i)})$ .

It follows that one has  $A = \sum A^{(i)}$  with

$$A^{(i)}: V^{(i)} \times V^{(i)} \rightarrow U^{(i)}$$

each  $(U^{(i)}, V^{(i)}, A^{(i)}, \mathscr{C}^{(i)}, I^{(i)})$   $(1 \le i \le l)$  being a data satisfying the conditions (i), (ii), to which the triple  $(e^{(i)}, a^{(i)}, \beta^{(i)})$  is belonging.

Thus one obtains the direct decompositions of the domains:

(24) 
$$\mathscr{S}(U, V, A, \mathscr{C}, I) = \prod_{i=1}^{l} \mathscr{S}(U^{(i)}, V^{(i)}, A^{(i)}, \mathscr{C}^{(i)}, I^{(i)}),$$

(25) 
$$\mathfrak{S}(V,A,\mathscr{C}) = \prod_{i=1}^{l} \mathfrak{S}(V^{(i)},A^{(i)},\mathscr{C}^{(i)}),$$

which are known to be the unique irreducible decompositions of  $\mathcal{S}_I$  and  $\mathfrak{S}$  (as complex manifolds) ([S6, p. 237, Th. 5.3]).

#### 3. Q-structures of a quasisymmetric domain.

- 3.1. Definition of a **Q**-structure. Let  $(U, V, A, \mathcal{C}, I)$  be a data defining a quasisymmetric domain  $\mathcal{S}_I$  and  $(e, a, \beta)$  an admissible triple belonging to it. By a **Q**-structure of  $\mathcal{S}_I$  we mean a pair of **Q**-structures of U, V, i.e., a pair of **Q**-vector spaces  $U_0, V_0$  such that  $U = U_0 \otimes_{\mathbf{Q}} \mathbf{R}$ ,  $V = V_0 \otimes_{\mathbf{Q}} \mathbf{R}$ , satisfying the conditions (Q1), (Q2) below.
- (Q1) The Lie algebra  $g_1$  and the bilinear map A are defined over Q.

This condition implies that the groups  $\tilde{V}$ , G, and  $G_i$  (i=1,2) are defined over Q; hence so is the "algebraic" subgroup  $G'_1$  in (10). It follows that the representation  $\beta: \mathfrak{g}_1 \to \mathfrak{gl}(V)$  is also defined over Q.

Under the condition (Q1), we can always choose e in  $U_0 = U(\mathbf{Q})$ . Then the corresponding Cartan involution  $\theta_1$  of  $\mathfrak{g}_1$  and hence  $\mathfrak{t}_1$ ,  $\mathfrak{p}_1$ , the linear map  $u \mapsto T_u$  (hence the normalized inner product  $\langle \rangle$ ) are defined over  $\mathbf{Q}$ . The bilinear form  $a = A_e$  is also defined over  $\mathbf{Q}$ . Conversely, if the triple  $(e, a, \beta)$  is defined over  $\mathbf{Q}$ , then so is A. Thus we can rephrase the condition (Q1) as

(Q1') The Lie algebra  $g_1$  is defined over Q, and the triple  $(e, a, \beta)$  can be taken to be defined over Q.

Next we state the condition (Q2):

## (Q2) The Cartan involution of $g_2$ defined by I is **Q**-rational.

This means that the point I in the symmetric domain  $\mathfrak{S} = \mathfrak{S}(V, A, \mathscr{C})$  is "rational" (with respect to the given Q-structure) in the sense of [S8]. It follows that  $G_I$  and  $G_{2I}$  are defined over Q. [Note that (Q2) does not necessarily imply that GL(V, I) or Her(V, a, I) are defined over Q, and that under (Q1) there may be no rational points in  $\mathfrak{S}$ .]

3.2. **Q**-irreducible **Q**-forms. We assume that a **Q**-structure  $(U_0, V_0)$  satisfying the conditions (Q1), (Q2) is given. By virtue of the complete reducibility we may (hence will) further assume, without any loss of generality, that  $(U, \mathcal{C})$  is **Q**-irreducible, i.e. no proper partial product in the direct decomposition (21) is defined over **Q**. The **Q**-structure of  $\mathcal{G}_I$  is then called **Q**-irreducible.

In the case V=0, the domain  $\mathcal{S}_I$  is a symmetric tube domain, for which our problem of classifying **Q**-structures becomes trivial. Hence, in what follows, we will always assume that U is **Q**-irreducible and  $V \neq 0$ . Then the representation  $\beta$  is faithful and  $\varphi$  is injective. Note that, if dim  $U^{(1)}=1$ , one has  $\mathfrak{g}_1^{(1)}=0$  and our theory becomes also trivial.

The Galois group  $\mathscr{G}=\operatorname{Gal} \overline{\mathbb{Q}}/\mathbb{Q}$  acts transitively on the set  $\{U^{(i)}\ (1\leq i\leq l)\}$ . Hence, if one puts  $\mathscr{G}_1=\{\sigma\in\mathscr{G}\ |\ U^{(1)\sigma}=U^{(1)}\}$ , then the field  $F\subset\overline{\mathbb{Q}}$  corresponding to  $\mathscr{G}_1$  by Galois theory is a totally real number field of degree l. If one sets  $\mathscr{G}=\coprod_{i=1}^l\mathscr{G}_1\sigma_i$  with a set of representatives  $\{\sigma_i\}$   $(\sigma_1=1)$  for  $\mathscr{G}_1\setminus\mathscr{G}$ , then one has  $U^{(i)}=U^{(1)\sigma_i}$ . In the notation of 2.5,  $\mathfrak{g}_1^{(1)}$  and  $e^{(1)}$  are then defined over F. Moreover,  $V^{(1)}=\beta(1_{U^{(1)}})V$  is defined over F and hence so are also  $a^{(1)}$ ,  $\beta^{(1)}$ ,  $A^{(1)}$ , etc. and the Cartan involution of  $\mathfrak{g}_2^{(1)}$  defined by  $I^{(1)}$ . The corresponding objects  $\mathfrak{g}_1^{(i)}$ , etc. for  $2\leq i\leq l$  are obtained from these by the conjugation  $\sigma_i$ . By abuse of notation, we sometimes express this situation by writing  $\mathfrak{g}_1=R_{F/\mathbb{Q}}(\mathfrak{g}_1^{(1)})$ , etc. Note that if dim  $U^{(1)}>1$ ,  $\mathfrak{g}_1^s$  (the semisimple part of  $\mathfrak{g}_1$ ) is  $\mathbb{Q}$ -simple and "pure" (i.e. all  $\mathbb{R}$ -simple factors  $\mathfrak{g}_1^{(i)}$ s are mutually  $\mathbb{R}$ -isomorphic). The representations  $\beta^{(i)}$  are also mutually  $\mathbb{R}$ -equivalent in an obvious sense.

By the above observation, we see that the problem of determining all Q-structures of  $\mathcal{S}_I$  satisfying the conditions (Q1), (Q2) can be solved in the following steps.

- 0. Fix a totally real number field F of degree l.
- 1. Find all *F*-structures of  $(U^{(1)}, V^{(1)})$  such that  $\mathfrak{g}_1^{(1)}$  and the faithful representation  $\beta^{(1)}$  are defined over *F*. Such an *F*-structure of  $(U^{(1)}, V^{(1)})$  will be called *admissible*. Then we set  $U = R_{F/\mathbf{Q}}U^{(1)}$ ,  $\mathfrak{g}_1 = R_{F/\mathbf{Q}}(\mathfrak{g}_1^{(1)})$ , and  $(V, \beta) = R_{F/\mathbf{Q}}(V^{(1)}, \beta^{(1)})$ . The  $(U^{(i)}, V^{(i)})$   $(i \ge 2)$  are given the conjugate admissible  $F^{\sigma_i}$ -structures.
- 2. Choose  $e \in \mathcal{C} \cap U(\mathbf{Q})$  and find a non-degenerate alternating bilinear form  $a^{(1)}$  on  $V^{(1)} \times V^{(1)}$  defined over F such that  $(e^{(1)}, a^{(1)}, \beta^{(1)})$  is admissible. Then all the conjugates  $(e^{(1)\sigma_i}, a^{(1)\sigma_i}, \beta^{(1)\sigma_i})$   $(2 \le i \le l)$  are automatically admissible.

In this way one obtains an admissible triple  $(e, a, \beta)$  defined over Q, which determines an alternating bilinear map A defined over Q. Thus one has a Q-structure of  $\mathcal{S}_I$  satisfying (Q1).

3. Finally, find all rational points I in the symmetric domain  $\mathfrak{S} = \mathfrak{S}(V, A, \mathscr{C})$  with respect to the given Q-structure.

The solution of the step 3 was already given in [S8]. We give solutions of the steps 1 and 2 in the succeeding sections.

3.3. The **R**-primary case. For simplicity, in the rest of this section, we assume that the representation  $(V^{(1)}, \beta^{(1)})$  is **R**-primary, i.e. a direct sum of mutually equivalent **R**-irreducible representations. Actually, it is known ([S2]) that this is the case except for the case where  $\mathscr{C}^{(1)}$  is a quadratic cone  $\mathscr{P}(1, n_1 - 1)$  with  $n_1 \equiv 2 \pmod{4}$ .

In what follows, a division R-algebra  $D_1$  is always endowed with its standard involution  $\xi \mapsto \overline{\xi}$ . We denote by  $\delta_1$  and  $d_1$  the degree of  $D_1$  over its center and the degree of the center over R, respectively; i.e.,  $\delta_1 = 1$  for  $D_1 = R$ , C and  $\delta_1 = 2$  for  $D_1 = H$ , and  $d_1 = 1$  for  $D_1 = R$ , H and  $d_1 = 2$  for  $D_1 = C$ .

Let  $(V_1^{(1)}, \beta_1^{(1)})$  be an **R**-irreducible representation of  $\mathfrak{g}_1^{(1)}$  contained in  $(V^{(1)}, \beta^{(1)})$  and put  $V_2^{(1)} = \operatorname{Hom}_{\mathfrak{g}_1^{(1)}}(V_1^{(1)}, V^{(1)})$ . Then there exists a uniquely determined division **R**-algebra  $D_1$  such that  $V_1^{(1)}$  is a right  $D_1$ -module and the  $\mathfrak{g}_1^{(1)}$ -endomorphisms of  $V_1^{(1)}$  are given by the right multiplication  $\mu_{\xi}$  ( $\xi \in D_1$ ). Then  $V_2^{(1)}$  has a natural structure of a left  $D_1$ -module defined by  $\xi v_2 = v_2 \circ \mu_{\xi}$ , and one has a tensor product decomposition:

$$(26a) V^{(1)} = V_1^{(1)} \otimes_{D_1} V_2^{(1)},$$

(26b) 
$$\beta^{(1)} = \beta_1^{(1)} \otimes 1$$
.

Suppose that  $(U^{(1)}, V^{(1)})$  is given an admissible *F*-structure. Then,  $(V^{(1)}(F), \beta^{(1)})$  is *F*-primary. Hence, in a manner similar to the above, one has an *F*-irreducible representation  $(V_1, \beta_1)$  over  $F, V_2 = \operatorname{Hom}_{\mathfrak{g}_1^{(1)}(F)}(V_1, V^{(1)}(F))$ , and a division *F*-algebra  $D_0$ , such that  $V_1$  and  $V_2$  are right and left  $D_0$ -modules, respectively, and

(27a) 
$$V^{(1)}(F) = V_1 \otimes_{D_0} V_2,$$

(27b) 
$$\beta^{(1)} | V^{(1)}(F) = \beta_1 \otimes 1,$$

(cf. [S1, pp. 230–231, Prop. 1, 2], or [S6, Ch. IV, §1]).

Since  $\mathfrak{g}_1^s$  is pure, one has decompositions of  $V^{(i)} = V^{(1)\sigma_i}$  similar to (26a) with the same  $D_1$  for all  $1 \le i \le l$ . To be more precise, let  $c_1^{(i)}$  be a primitive idempotent in  $D_0^{\sigma_i}(\mathbf{R}) = D_0^{\sigma_i} \otimes_{\mathbf{F} \sigma_i} \mathbf{R}$  and fix an  $\mathbf{R}$ -isomorphism

$$\psi_1^{(i)}\colon D_1 \stackrel{\sim}{\longrightarrow} c_1^{(i)} D_0^{\sigma_i}(\pmb{R}) c_1^{(i)} \,.$$

Then the  $D_1$ -module  $V_1^{(i)} = (V_1^{\sigma_i}(\mathbf{R})c_1^{(i)}, \psi_1^{(i)})$  gives an  $\mathbf{R}$ -irreducible representation of  $\mathfrak{g}_1^{(i)}$  contained in  $(V^{(i)}, \beta^{(i)})$ . (In particular, one may assume that  $V_1^{(1)}$  is given in this manner.) Hence, putting  $V_2^{(i)} = (c_1^{(i)}V_2^{\sigma_i}(\mathbf{R}), \psi_1^{(i)})$ , one has

(28a) 
$$V^{(i)} = V_1^{(i)} \otimes_{D_1} V_2^{(i)},$$

(28b) 
$$\beta^{(i)} = \beta_1^{(i)} \otimes 1 \qquad (1 \le i \le l).$$

One denotes the degree of  $D_0$  over its center Z by  $\delta_0$ , and the  $D_0$ -rank of  $V_j$  (j=1,2) by  $v_j$ . Let  $D_0(\mathbf{R}) \simeq M_{s_1}(D_1)$ ; then one has  $\delta_0 = \delta_1 s_1$  and

(29) 
$$\dim_{\mathbf{R}} V_{i}^{(i)} = v_{i} s_{1} \delta_{1}^{2} d_{1}, \quad \dim_{\mathbf{R}} V^{(i)} = v_{1} v_{2} \delta_{0}^{2} d_{1} \qquad (1 \le i \le l, j = 1, 2).$$

Since one has  $Z^{\sigma_i}(\mathbf{R}) = \mathbf{R}$  or  $\simeq \mathbf{C}$  simultaneously for  $1 \le i \le l$ , according as  $d_1 = 1$  or 2, Z is either = F or a totally imaginary quadratic extension of F.

3.4. The algebra  $\mathscr{A}_1$ . Let  $\mathscr{A}_1$  denote the **R**-subalgebra of  $\operatorname{End}_{\mathbf{R}}V^{(1)}$  generated by  $\beta^{(1)}(g_1^{(1)})$ . Then  $\mathscr{A}_1$  is **R**-simple and  $\mathscr{A}_1 \simeq \operatorname{End}_{D_1}(V_1^{(1)}) \sim D_1$ . Moreover,  $\mathscr{A}_1$  is defined over F and  $\mathscr{A}_1(F) \simeq \operatorname{End}_{D_0}(V_1) \sim D_0$ .  $\mathscr{A}_1$  is of degree  $v_1 \delta_0 d_1 = v_1 s_1 \delta_1 d_1$  over R.

LEMMA 2. For each Cartan involution  $\theta_1$  of  $\mathfrak{g}_1^{(1)}$  there exists a uniquely determined involution  $\iota_1$  of  $\mathcal{A}_1$  such that one has

(30) 
$$\beta^{(1)}(\theta_1 x) = -\beta^{(1)}(x)^{i_1}.$$

Such an involution  $\iota_1$  is positive.

PROOF. Let  $\theta_1$  be a Cartan involution of  $\mathfrak{g}_1^{(1)}$ . Then  $\theta_1$  extends to a Cartan involution  $\theta_1'$  of  $(\mathscr{A}_1)_{\text{Lie}}$ , which is reductive. Then there exists a positive involution  $\iota_1$  of  $\mathscr{A}_1$  such that one has  $\theta_1' y = -y^{\iota_1}$  for  $y \in \mathscr{A}_1$ . This  $\iota_1$  satisfies (30). Since  $\mathscr{A}_1$  is generated by  $\beta^{(1)}(\mathfrak{g}_1^{(1)})$ ,  $\iota_1$  is uniquely determined.

It follows that, if one has an admissible F-structure on  $(U^{(1)}, V^{(1)})$  and if  $e \in \mathscr{C} \cap U(\mathbf{Q})$ , then the involution  $\iota_1$  corresponding to  $\theta_1$  determined by  $e^{(1)}$  is defined over F, and for each i the conjugate  $\iota_1^{\sigma_i}$  corresponds to the Cartan involution  $\theta_1^{\sigma_i}$  of  $\mathfrak{g}_1^{(i)}$  determined by  $e^{(i)} = e^{(1)\sigma_i} \in \mathscr{C}^{(i)}$ . Thus  $\iota_1$  is totally positive, i.e., all the conjugates  $\iota_1^{\sigma_i}$  are positive. Otherwise expressed,  $R_{F/\mathbf{Q}}(\iota_1)$  is a positive involution of the simple  $\mathbf{Q}$ -algebra  $R_{F/\mathbf{Q}}(\mathscr{A}_1)(\mathbf{Q})$ . It follows that  $D_0$  has also a totally positive involution  $\iota_0$  such that  $\iota_0 | Z = \iota_1 | Z$ .

As is well known, for the algebra  $D_0$  with a totally positive involution one has only the following four possibilities:

- (Type 1.1)  $D_0 = F$ ;  $\delta_0 = 1$ ,  $D_1 = R$ ,
- (Type 1.2)  $D_0$  is a totally indefinite quaternion algebra over F;  $\delta_0 = 2$ ,  $D_1 = R$ ,
- (Type 2)  $D_0$  is a totally definite quaternion algebra over F;  $\delta_0 = 2$ ,  $D_1 = H$ ,
- (Type 3)  $D_0$  is a central division algebra over a CM-field Z with an involution of the second kind with respect to Z/F;  $\delta_0 \ge 1$ ,  $D_1 = C$ .

Note that in case  $\delta_0 = \delta_1$  the (unique) positive involution  $\iota_0$  of  $D_0$  is induced by the canonical involution of  $D_1$ .

We identify  $\mathcal{A}_1(F)$  with  $\operatorname{End}_{D_0}(V_1)$  and set

(31) 
$$\varphi_1(u) = 2\beta_1(T_u)$$
 for  $u \in U^{(1)}$ .

Then  $\varphi_1$  is a linear map:  $U^{(1)} \to \operatorname{Her}(\mathscr{A}_1, \iota_1)$  and the pair  $(\beta_1, \varphi_1)$  satisfies the relations similar to  $(\beta_1)$ ,  $(\beta_2)$ :

(32) 
$$\varphi_1(x(u)) = \beta_1(x)\varphi_1(u) + \varphi_1(u)\beta_1(x)^{i_1},$$

$$\beta_1(\theta_1(x)) = -\beta_1(x)^{i_1}, \quad \varphi_1(e^{(1)}) = 1.$$

One notes that, given a "base point"  $e^{(1)} \in \mathscr{C}^{(1)}$ , the involution  $\iota_1$  and the map  $\varphi_1$  are uniquely characterized by (32). These relations also imply that  $\varphi_1$  is a Jordan algebra homomorphism of  $U^{(1)}$  into  $(\mathscr{A}_1)_{\text{Jordan}}$  and that  $\varphi_1(\mathscr{C}^{(1)})$  is contained in the cone of all positive elements in  $\text{Her}(\mathscr{A}_1, \iota_1)$ .

Proposition 1. The normalized inner product of  $U^{(1)}$  corresponding to  $e^{(1)}$  is given by

(33) 
$$\langle u, u' \rangle = r_1(v_1 \delta_0 d_1)^{-1} \operatorname{tr}(\varphi_1(u) \varphi_1(u')) \quad (u, u' \in U^{(1)}),$$

where  $r_1 = \mathbf{R}$ -rank  $\mathfrak{g}_1^{(1)}$  and tr denotes the reduced trace  $\operatorname{tr}_{\mathscr{A}_1/\mathbf{R}}$ .

Put  $\langle u, u' \rangle' = \operatorname{tr}(\varphi_1(u)\varphi_1(u'))$ . Then by (32) one has

$$\langle xu, u' \rangle' = -\langle u, \theta_1(x)u' \rangle'$$
 for  $x \in \mathfrak{g}_1^{(1)}$ .

Hence one has  $\langle \rangle' = c \langle \rangle$  with a real constant c. Putting  $u = u' = e^{(1)}$ , one has by (8)  $c = r_1^{-1} \operatorname{tr}(1) = r_1^{-1} v_1 \delta_0 d_1$ , as desired.

3.5. We shall now show that, conversely, one can obtain admissible *F*-structures of  $(U^{(1)}, V^{(1)})$  from an *F*-algebra structure of  $\mathscr{A}_1$ .

THEOREM 1. Let  $\mathcal{A}_1$  be the subalgebra of  $\operatorname{End}_{\mathbf{R}}V^{(1)}$  generated by  $\beta^{(1)}(\mathfrak{g}_1^{(1)})$ . Then an F-algebra structure of  $\mathcal{A}_1$  gives rise to an admissible F-structure of  $(U^{(1)}, V^{(1)})$  if and only if the following conditions (a), (b), (c) are satisfied:

- (a)  $\beta^{(1)}(g_1^{(1)})$  is a linear subspace of  $\mathcal{A}_1$  defined over F.
- (b) There exists a totally positive involution  $\iota_1$  of  $\mathcal{A}_1(F)$  leaving  $\beta^{(1)}(\mathfrak{g}_1^{(1)})(F)$  invariant.
- (c) Let  $\mathcal{A}_1(F) \sim D_0$ ,  $\mathcal{A}_1 \sim D_1$  and let  $\delta_0$  and  $\delta_1$  be the degree of  $D_0$  and  $D_1$  over the center. Then the multiplicity of the **R**-irreducible representation  $\beta_1^{(1)}$  in  $\beta_1^{(1)}$  is divisible by  $s_1 = \delta_0/\delta_1$ .

PROOF. The "only if" part is clear from what we said in 3.4. To prove the "if" part, we construct an admissible F-structure of  $(U^{(1)}, V^{(1)})$ , starting from an F-algebra structure of  $\mathcal{A}_1$  satisfying the conditions (a), (b), (c).

Take a primitive idempotent  $c_1$  in  $\mathcal{A}_1(F)$  and fix an F-isomorphism

$$\psi_1: D_0 \xrightarrow{\sim} c_1 \mathscr{A}_1(F)c_1$$
.

Then  $V_1 = (\mathcal{A}_1(F)c_1, \psi_1)$  is a (right)  $D_0$ -module of rank  $v_1$  and one can make an identification  $\mathcal{A}_1(F) = \operatorname{End}_{D_0}(V_1)$ . By the condition (a) one has an F-Lie algebra structure on  $\mathfrak{g}_1^{(1)}$  such that  $\beta_1 = \beta^{(1)} | \mathfrak{g}_1^{(1)}(F)$  is an F-linear representation of  $\mathfrak{g}_1^{(1)}(F)$  in  $\mathcal{A}_1(F) = \operatorname{End}_{D_0}(V_1)$ . Then, defining  $V_j^{(1)}(j=1,2)$  as explained in 3.3, one obtains the

decomposition (26a), (26b). By the condition (c), the multiplicity of  $\beta_1^{(1)}$  in  $\beta^{(1)}$  can be written as  $v_2s_1$ , and one has the relation (29) for i=1.

Now an *F*-structure of  $V^{(1)}$  is defined as follows. Fix an **R**-isomorphism  $D_0(\mathbf{R}) \simeq M_{s_1}(D_1)$  and the matrix units  $(e_{ij}^{(1)})_{1 \le i,j \le s_1}$  in  $D_0(\mathbf{R})$  such that  $c_1^{(1)} = e_{11}^{(1)}$ . Then there exist injective  $g_1^{(1)}$ -equivariant linear maps

$$\phi_i \colon V_1(\mathbf{R}) = \bigoplus_{k=1}^{s_1} V_1^{(1)} e_{1k}^{(1)} \to V^{(1)} \qquad (1 \le i \le v_2)$$

such that one has  $V^{(1)} = \bigoplus \phi_i(V_1(\mathbf{R}))$ . Hence one can define an F-structure on  $V^{(1)}$  so that

$$V^{(1)}(F) = \bigoplus_{i=1}^{v_1} \phi_i(V_1)$$
.

Then, in the manner explained in 3.3, one obtains the decomposition (27a), (27b).

An F-structure of  $U^{(1)}$  is defined as follows. Take a totally positive involution  $\iota_1$  of  $\mathscr{A}_1(F)$  leaving  $\beta_1(\mathfrak{g}_1^{(1)}(F))$  invariant. Let  $\theta_1$  be a Cartan involution of  $\mathfrak{g}_1^{(1)}$  defined by (30) and let  $e^{(1)}$  be the corresponding point in  $U^{(1)}$  (determined up to a scalar multiplication). One defines an F-structure of  $U^{(1)}$  so that

$$U^{(1)}(F) = \{ u \in U^{(1)} \mid T_u \in \mathfrak{p}_1^{(1)}(F) \} .$$

Then, clearly,  $U^{(1)}(F)$  is invariant under  $\mathfrak{g}_1^{(1)}(F)$ , and one has  $e^{(1)} \in U^{(1)}(F)$ ,  $\varphi_1(U^{(1)}(F)) \subset \operatorname{Her}(\mathscr{A}_1(F), \iota_1)$ . Thus one obtains an admissible F-structure of  $(U^{(1)}, V^{(1)})$ . q.e.d.

In the above notation, since  $\theta_1^{(i)} = \theta_1^{\sigma_i}$  is a Cartan involution of  $g_1^{(i)}$ , one may, replacing  $e^{(1)}$  by  $\alpha e^{(1)}$  with  $\alpha \in F^{\times}$  if necessary, assume that  $e^{(i)} = e^{(1)\sigma_i} \in \mathscr{C}^{(i)}$  for all  $1 \le i \le l$ , i.e.  $e = \sum e^{(i)} \in \mathscr{C}$ .

REMARK. The F-algebra structure of  $\mathcal{A}_1$  satisfying (a) is uniquely determined by that of  $\mathfrak{g}_1^{(1)}$ . The admissible F-structure of  $(U^{(1)}, V^{(1)})$  compatible with a given F-structure of  $\mathfrak{g}_1^{(1)}$  is uniquely determined up to  $\mathfrak{g}_1^{(1)}$ -automorphisms of  $(U^{(1)}, V^{(1)})$ .

3.6. Determination of  $a^{(1)}$ . Let  $\varepsilon \in \{\pm 1\}$ . In general, by a  $(D_0, \iota_0)$ - $\varepsilon$ -hermitian form  $h_1$  on a right  $D_0$ -module  $V_1$  we mean an F-bilinear map  $h_1 : V_1 \times V_1 \to D_0$  satisfying the following conditions:

$$h_1(v_1, v_1'\xi) = h_1(v_1, v_1')\xi , \quad h_1(v_1', v_1) = \varepsilon h_1(v_1, v_1')^{\iota_0}$$
  
for  $v_1, v_1' \in V_1$ ,  $\xi \in D_0$ .

The dual  $V_1^*$  of  $V_1$  (as an F-vector space) is viewed as a left  $D_0$ -module in a natural manner. Then the hermitian form  $h_1$  may be identified with an  $\varepsilon$ -symmetric  $(D_0, \iota_0)$ -semilinear map  $h_1: V_1 \to V_1^*$  by the relation

(34) 
$$\operatorname{tr}_{D_0/F}(h_1(v_1, v_1')) = \langle v_1, h_1(v_1') \rangle.$$

Similarly, a  $(D_0, \iota_0)$ - $\varepsilon'$ -hermitian form  $h_2$  on a left  $D_0$ -module  $V_2$  (satisfying this time  $h_2(\xi v_2, v_2') = \xi h_2(v_2, v_2')$ , etc.) is identified with an  $\varepsilon'$ -symmetric  $(D_0, \iota_0)$ -semilinear map  $h_2: V_2 \rightarrow V_2^*$  by a relation similar to (34),  $V_2^*$  being viewed as a right  $D_0$ -module.

Now suppose one has an admissible *F*-structure on  $(U^{(1)}, V^{(1)})$  and  $e \in \mathcal{C} \cap U^{(1)}(\mathbf{Q})$ . Let  $\iota_1$  be the totally positive involution of  $\mathscr{A}_1(F) = \operatorname{End}_{D_0}(V_1)$  corresponding to  $e^{(1)}$  in the sense of Lemma 2. Then  $\iota_1$  can be written in the form

(35) 
$$\iota_1 = \iota_1(h_1) \colon y \mapsto h_1^{-1} {}^t y h_1$$

with a  $(D_0, \iota_0)$ - $\eta$ -hermitian form  $h_1$  on  $V_1$   $(\eta = \pm 1)$  uniquely determined up to a scalar multiplication of  $F^{\times}$ . (In the case of Type 3, one may, hence will, assume that  $\eta = 1$ .)

The hermitian form  $h_1$  can be taken to be "totally positive (definite)". To be more precise, let  $c_1^{(i)}$ ,  $\psi_1^{(i)}$ ,  $V_1^{(i)}$  be as defined in 3.3 and extend  $\iota_0^{\sigma_i}$  to an **R**-linear involution of  $D_0^{\sigma_i}(\mathbf{R})$ . Then as is easily seen, there exist  $b_1^{(i)} \in D_0^{\sigma_i}(\mathbf{R})^{\times}$   $(1 \le i \le l)$  such that one has

(36) 
$$\psi_1^{(i)}(\xi)^{i_0^{\sigma_i}} = b_1^{(i)^{-1}} \psi_1^{(i)}(\overline{\xi}) b_1^{(i)} \qquad (\xi \in D_1);$$

in particular, one has

$$c_1^{(i)i_0^{\sigma_i}} = b_1^{(i)^{-1}} c_1^{(i)} b_1^{(i)}$$
.

The elements  $c_1^{(i)}b_1^{(i)}=b_1^{(i)}c_1^{(i)i_0^{\sigma_i}}$  are uniquely determined by the  $c_1^{(i)}$  up to scalar multiplications of  $Z(\mathbf{R})^{\times}$ . In particular, one has

(37) 
$$b_1^{(i)}{}^{\sigma_i}c_1^{(i)}{}^{\sigma_i}=\eta_i c_1^{(i)}b_1^{(i)} \quad \text{with} \quad \eta_i=\pm 1.$$

(In the case of Type 3, one chooses  $b_1^{(i)}$  so that  $\eta_i = 1$ .) Then there exist  $D_1 - \eta \eta_i$ -hermitian forms  $h_1^{(i)}$  on  $V_1^{(i)}$  determined by the relation

(38) 
$$\psi_1^{(i)}(h_1^{(i)}(v_1c_1^{(i)}, v_1'c_1^{(i)})) = c_1^{(i)}b_1^{(i)}h_1^{\sigma_i}(v_1, v_1')c_1^{(i)} \qquad \text{for} \quad v_1, v_1' \in V_1^{\sigma_i}.$$

Since  $\iota_1$  is totally positive, one has  $\eta \eta_i = 1$   $(1 \le i \le l)$  and the  $h_1^{(i)}$ 's are definite. Hence one has  $\eta = -1$  for Type 1.2 and  $\eta = 1$  for all other cases. For the given choice of  $b_1^{(i)}$ 's one may choose  $h_1$  in such a way that all the  $h_1^{(i)}$  are positive definite.

REMARK. The above definition of the "positivity" of  $h_1$  depends on the choice of the  $b_1^{(i)}$ 's, which is usually made in the following manner. Fix isomorphisms  $M^{(i)}: D_0^{\sigma_i}(\mathbf{R}) \cong M_{s_1}(D_1)$  and the matrix units  $(\varepsilon_{jk}^{(i)})_{1 \leq j,k \leq s_1}$  in  $D_0^{\sigma_i}(\mathbf{R})$  in such a way that

$$M^{(i)}(\psi_1^{(i)}(\xi)) = \xi M^{(i)}(\varepsilon_{11}^{(i)})$$
 for  $\xi \in D_1$ ;

in particular,  $\varepsilon_{11}^{(i)} = c_1^{(i)}$ . Then one chooses  $b_1^{(i)}$  so that

$$\varepsilon_{ki}^{(i)i_0^{\sigma_i}} = b_1^{(i)^{-1}} \varepsilon_{ik}^{(i)} b_1^{(i)};$$

then by (37) one has  $b_1^{(i)}{}^{\sigma_i} = \eta_1 b_1^{(i)}$ . By these conditions the  $b_1^{(i)}$  are uniquely determined up to scalar multiplications of  $\mathbb{R}^{\times}$ . Now, for Type 1.1 and 2 one has  $s_1 = 1$ ,  $c_1^{(i)} = 1$ , so that one may put  $b_1^{(i)} = 1$ . For Type 1.2, one has  $s_1 = 2$ ,  $\eta_i = -1$ , and one takes  $b_1^{(i)}$  so that

$$M^{(i)}(b_1^{(i)}) = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

For Type 3, one chooses  $b_1^{(i)}$  so that  $M^{(i)}(b_1^{(i)})$  is positive definite. We also note that in this notation (38) is equivalent to saying that

(38') 
$$M^{(i)}(b_1^{(i)}h_1^{\sigma_i}(v_1, v_1')) = (h_1^{(i)}(v_1\varepsilon_{j1}^{(i)}, v_1'\varepsilon_{k1}^{(i)}))_{1 \le j, k \le s_1}$$
 for  $v_1, v_1' \in V_1^{\sigma_i}$  (cf. [S6, Ch. IV, §3]).

THEOREM 2. Suppose that  $(U^{(1)}, V^{(1)})$  is given an admissible F-structure,  $e \in \mathcal{C} \cap U^{(1)}(\mathbf{Q})$ , and  $h_1$  is a totally positive  $(D_0, \iota_0)$ - $\eta$ -hermitian form on  $V_1$  such that  $\iota_1 = \iota_1(h_1)$  is the involution corresponding to  $e^{(1)}$ . Then  $(e^{(1)}, a^{(1)}, \beta^{(1)})$  is an admissible triple belonging to  $(U^{(1)}, V^{(1)}, \mathcal{C}^{(1)})$  defined over F if and only if  $a^{(1)}$  is of the form

(39) 
$$a^{(1)}(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') = \operatorname{tr}_{D_0/F}(h_1(v_1, v_1')^{\iota_0}h_2(v_2, v_2'))$$
 for  $v_j, v_j' \in V_j$ ,  $j = 1, 2$ , where  $h_2$  is a  $(D_0, \iota_0)$ - $(-\eta)$ -hermitian form on  $V_2$ . (Cf. [S1, p. 234, Prop. 3], or [S6, Ch. IV, §2].)

**PROOF.** Assume that  $(e^{(1)}, a^{(1)}, \beta^{(1)})$  is an admissible triple defined over F. Then by  $(\beta 2)$  and (30) the involution  $\iota = \iota(a^{(1)})$  leaves  $\mathscr{A}_1$  invariant and  $\iota | \mathscr{A}_1 = \iota_1$ . Since one has

$$\operatorname{End}_F(V^{(1)}(F)) = \operatorname{End}_{D_0}(V_1) \otimes_Z \operatorname{End}_{D_0}(V_2) ,$$

there exists an involution  $\iota_2$  of  $\operatorname{End}_{D_0}(V_2)$  such that  $\iota_2|Z=\iota_0|Z$  and

$$(y_1 \otimes_Z y_2)^i = y_1^{i_1} \otimes_Z y_2^{i_2} \qquad (y_j \in \operatorname{End}_{D_0}(V_j), \quad j = 1, 2).$$

Hence, making the natural identification  $V^{(1)}(F)^* = V_2^* \otimes_{D_0} V_1^*$ , one has a  $(D_0, \iota_0)$ - $(-\eta)$ -hermitian map  $h_2: V_2 \to V_2^*$  such that

$$a^{(1)}(v_1 \otimes_{D_0} v_2) = h_1(v_1) \otimes_{D_0} h_2(v_2)$$
,

which is equivalent to (39). The converse is clear.

q.e.d.

With the same notation as in Theorem 2, let  $(e^{(i)}, a^{(i)}, \beta^{(i)}) = (e^{(1)}, a^{(1)}, \beta^{(1)})^{\sigma_i}$   $(1 \le i \le l)$ ; then they are admissible triples belonging to  $(U^{(i)}, V^{(i)}, \mathscr{C}^{(i)})$  defined over  $F^{\sigma_i}$ . Let  $c_1^{(i)}, \psi_1^{(i)}, b_1^{(i)}$  be as above. Then for each  $1 \le i \le l$  there is  $D_1$ -skew-hermitian form  $h_2^{(i)}$  on the left  $D_1$ -module  $V_2^{(i)}$  determined by the relation

(40) 
$$\psi_1^{(i)}(h_2^{(i)}(c_1^{(i)}v_2, c_1^{(i)}v_2')) = c_1^{(i)}h_2^{\sigma_i}(v_2, v_2')b_1^{(i)^{-1}}c_1^{(i)} \qquad \text{for} \quad v_2, v_2' \in V_2^{\sigma_i},$$
 and one has

(41) 
$$a^{(i)}(v_1 \otimes_{D_1} v_2, v_1' \otimes_{D_1} v_2') = \operatorname{tr}_{D_1/R} (h_1^{(i)}(v_1, v_1') h_2^{(i)}(v_2, v_2'))$$
 for  $v_j, v_j' \in V_j^{(i)}, j = 1, 2$ 

(cf. [S6, Ch. IV, §3]).

## 3.7. The description of $\mathfrak{S}$ . Let

$$I \in \mathfrak{S} = \mathfrak{S}(V, a, \beta), \qquad I = \sum_{i=1}^{l} I^{(i)},$$

$$I^{(i)} \in \mathfrak{S}^{(i)} = \mathfrak{S}(V^{(i)}, a^{(i)}, \beta^{(i)}).$$

Then, since  $I^{(i)}$  is  $\beta^{(i)}(g_1^{(i)})$ -invariant, one has

(42) 
$$I^{(i)} = 1 \otimes_{D_1} I_2^{(i)} \qquad (1 \le i \le l),$$

with a complex structure  $I_2^{(i)} \in \text{End}_{D_1}(V_2^{(i)})$ , which by (41) satisfies the condition

(43) 
$$h_2^{(i)}(v_2, I^{(i)}v_2') \quad (v_2, v_2' \in V_2^{(i)})$$
 is  $D_1$ -hermitian and positive definite.

Let  $\mathfrak{S}(V_2^{(i)}, h_2^{(i)})$  denote the space of  $D_1$ -linear complex structures on  $V_2^{(i)}$  satisfying the condition (43). Then one has

(44) 
$$\mathfrak{S}(V^{(i)}, a^{(i)}, \beta^{(i)}) \simeq \mathfrak{S}(V_2^{(i)}, h_2^{(i)}).$$

This implies, in particular, that for any Q-rational admissible triple  $(e, a, \beta)$  one has

$$\mathfrak{S}(V, a, \beta) \simeq \prod_{i=1}^{l} \mathfrak{S}(V_2^{(i)}, h_2^{(i)}) \neq \emptyset$$
.

The symmetric domain  $\mathfrak{S}$  (with the given  $\mathbf{Q}$ -structure) is denoted as  $R_{F/\mathbf{Q}}\mathfrak{S}(V_2, D_0, h_2)$ . In the case where  $D_0$  is of Type 1.1, Type 1.2, and Type 2,  $\mathfrak{S}$  is also written as  $R_{F/\mathbf{Q}}(\mathrm{III}_{v_2/2}^{(1)})$ ,  $R_{F/\mathbf{Q}}(\mathrm{III}_{v_2}^{(2)}, D_0, h_2)$ , and  $R_{F/\mathbf{Q}}(\mathrm{III}_{v_2}^{(2)}, D_0, h_2)$ , respectively.

Note that the corresponding group  $G_2$  has no compact factors (and hence determined uniquely by  $\mathfrak{S}$ ) except for the following two cases. The group  $G_2$  corresponding to  $R_{F/\mathbb{Q}}(\mathrm{II}_1^{(2)}, D_0, h_2)$  is compact, so that the corresponding domain  $\mathfrak{S}$  reduces to a point. The group  $G_2$  corresponding to  $R_{F/\mathbb{Q}}(\mathrm{II}_2^{(2)}, D_0, h_2)$  (under the assumption that  $\mathfrak{S}$  has rational points) is isogenous to the direct product of two  $\mathbb{Q}$ -simple groups  $G_2'$ ,  $G_2''$ , one of which is compact and the other is isomorphic to the group corresponding to  $R_{F/\mathbb{Q}}(\mathrm{III}_1^{(1)})$ . (These cases are usually excluded from the classification.)

3.8. In the case where  $D_0$  is of Type 3, one has to determine furthermore the signature of  $h_2^{(i)}$ . For that purpose, let  $\sigma_i'$  and  $\sigma_i''$  denote two imbeddings of the center Z of  $D_0$  into C extending  $\sigma_i : F \rightarrow R$ ; then one has  $\sigma_i'' = \sigma_0 \circ \sigma_i'$ ,  $\sigma_0$  denoting the complex conjugation of C. We determine  $\psi_1^{(i)}$  and  $(\sigma_i', \sigma_i'')$  in such a way that

(45) 
$$\psi_{1}^{(i)}(\alpha^{\sigma'_{i}}) = \overline{\psi}_{1}^{(i)}(\alpha^{\sigma''_{i}}) = \alpha^{\sigma_{i}}c_{1}^{(i)} \qquad (\alpha \in \mathbb{Z}).$$

Then we say that the  $\psi_1^{(i)}$  are compatible with the "CM-type"  $(\sigma_i')$  of the CM-field Z.

In this case, since  $D_1 = C$  is commutative, we don't distinguish left and right C-vector spaces. Then, the  $(V_i^{(i)}, \psi_i^{(i)})$  being C-vector spaces, one has direct decompositions

(46) 
$$V_{i}^{(i)} \otimes_{\mathbf{R}} C = V_{i}^{(i)\prime} \oplus V_{i}^{(i)\prime\prime}, \quad V_{i}^{(i)\prime\prime} = V_{i}^{(i)\prime\sigma_{0}}, \quad (1 \le i \le l, j = 1, 2),$$

where

$$V_{j}^{(i)\prime} = \left\{ v \in V_{j}^{(i)} \otimes_{\mathbf{R}} \mathbf{C} \middle| v \psi_{1}^{(i)}(\xi) = \xi v \text{ for } \xi \in \mathbf{C} \right\},$$

$$V_{i}^{(i)\prime\prime} = \left\{ v \in V_{i}^{(i)} \otimes_{\mathbf{R}} \mathbf{C} \middle| v \psi_{1}^{(i)}(\xi) = \overline{\xi} v \text{ for } \xi \in \mathbf{C} \right\},$$

and  $\dim_{\mathbf{C}} V_{j}^{(i)'} = \dim_{\mathbf{C}} V_{j}^{(i)''} = v_{j} \delta_{0}$ .

Let  $\beta_1^{(i)\prime}$  and  $\beta_1^{(i)\prime\prime} = \beta_1^{(i)\prime\sigma_0}$  denote the restrictions to  $V_1^{(i)\prime}$  and  $V_1^{(i)\prime\prime}$  of the natural extension of the representation  $\beta_1^{(i)}$  to  $V_1^{(i)} \otimes_{\mathbf{R}} \mathbf{C}$ . Then they are absolutely irreducible and the primary decomposition of  $(V^{(i)} \otimes_{\mathbf{R}} \mathbf{C}, \beta^{(i)})$  is given by

$$(47) V^{(i)} \otimes_{\mathbf{R}} \mathbf{C} = V_1^{(i)\prime} \otimes_{\mathbf{C}} V_2^{(i)\prime} \oplus V_1^{(i)\prime\prime} \otimes_{\mathbf{C}} V_2^{(i)\prime\prime}.$$

Now, for the given complex structure  $I^{(i)}$  on  $V^{(i)}$ , set

$$V_{+}^{(i)} = \{ v \in V^{(i)} \otimes_{\mathbf{R}} \mathbf{C} \mid I^{(i)}v = \sqrt{-1}v \}$$
.

Then  $V_{+}^{(i)}$  is  $\beta^{(i)}(\mathfrak{g}_{+}^{(i)})$ -invariant, and the primary decomposition of it is of the form

$$V_{+}^{(i)} = V_{1}^{(i)'} \otimes_{\mathbf{C}} W_{2}^{(i)'} \oplus V_{1}^{(i)''} \otimes_{\mathbf{C}} W_{2}^{(i)''},$$

where  $W_2^{(i)\prime}$  and  $W_2^{(i)\prime\prime}$  are complex subspaces of  $V_2^{(i)\prime}$  and  $V_2^{(i)\prime\prime}$  of dimension  $p_i$  and  $q_i$ , respectively. Since one has

$$V^{(i)} \otimes_{\mathbf{R}} C = V^{(i)}_+ \oplus V^{(i)\sigma_0}_+$$

one has

$$(49) V_2^{(i)\prime} = W_2^{(i)\prime} \oplus W_2^{(i)\prime\prime\sigma_0};$$

in particular,  $p_i + q_i = v_2 \delta_0$  ( $1 \le i \le l$ ). Thus one has

(50) 
$$(V^{(i)}, I^{(i)}, \beta^{(i)}) \simeq (V^{(i)}_+, p_i \beta_1^{(i)'} \oplus q_i \beta_1^{(i)'}).$$

Otherwise expressed, one has

(51) 
$$V^{(i)} = R_{C/R}(V_1^{(i)'} \otimes_C V_2^{(i)'}),$$
$$I^{(i)} = R_{C/R}(1 \otimes_C I_2^{(i)'}),$$

where  $I_2^{(i)'}$  is a complex structure on  $V_2^{(i)'}$ , defined by

(51a) 
$$I_2^{(i)'} = \begin{cases} \sqrt{-1} & \text{on } W_2^{(i)'}, \\ -\sqrt{-1} & \text{on } W_2^{(i)''\sigma_0}. \end{cases}$$

Let  $h_j^{(i)\prime}$  denote the  $(-1)^{j-1}$ -hermitian forms on  $V_j^{(i)\prime}$  obtained from  $h_j^{(i)}$  by the C-isomorphism  $(V_j^{(i)}, \psi_1^{(i)}) \simeq V_j^{(i)\prime}$ ; then  $h_2^{(i)\prime}(w_2, w_2')$   $(w_2, w_2' \in V_2^{(i)})$  is C-linear in  $w_2$ . For the sake of consistency, we set

$$\widetilde{h}_{2}^{(i)'}(w_{2}, w_{2}') = \overline{h_{2}^{(i)'}(w_{2}, w_{2}')}$$

to obtain a skew-hermitian form which is C-linear in  $w'_2$ . Then by (41) one has

(52) 
$$a^{(i)}(v_1 \otimes_{\mathbf{C}} v_2, v_1' \otimes_{\mathbf{C}} v_2') = 2 \operatorname{Re}(h_1^{(i)}(w_1, w_1') \widetilde{h}_2^{(i)}(w_2, w_2')),$$

where

$$v_i = w_i + \bar{w}_i$$
,  $v'_i = w'_i + \bar{w}'_i$ ,  $v_i, v'_i \in V_i^{(i)}$ ,  $w_i, w'_i \in V_i^{(i)}$   $(1 \le i \le l, j = 1, 2)$ ,

and the symbol  $\otimes_{\mathbf{C}}$  in (52) stands for the tensor product over  $\psi_1^{(i)}(\mathbf{C})$ . Since  $a^{(i)}I^{(i)}$  and the hermitian form  $h_1^{(i)'}$  are positive definite, one has by (51), (51a) and (52) that the hermitian form  $\sqrt{-1}\tilde{h}_2^{(i)'}$  on  $V_2^{(i)'}$  is of signature  $(p_i, q_i)$ . In this sense, we say that  $h_2$  (or  $I_2$ ) is of signature  $(p_i, q_i)_{1 \le i \le l}$  with respect to the given "CM-type"  $(\sigma'_i)$ . In this case  $\mathfrak{S}$  is written as

(53) 
$$\mathfrak{S} = \prod \mathfrak{S}(V_2^{(i)}, \, \tilde{h}_2^{(i)}) = R_{F/\mathbf{Q}} \mathfrak{S}(V_2, D_0/Z, h_2).$$

For the given skew-hermitian form  $h_2$ , the CM-type  $(\sigma_i')_{1 \le i \le l}$  can be so chosen that one has  $p_i \ge q_i$  for  $1 \le i \le l$ . When  $\mathfrak S$  has rational points, the reductive group  $G_2$  is (strictly) pure, so that there exist integers p, q such that  $p_i = p$ ,  $q_i = q$   $(1 \le i \le l)$ . Then the symmetric domain  $\mathfrak S$  in (53) is denoted as

$$R_{F/\mathbf{0}}(I_{p,q}^{(\delta_0)}, D_0/Z, h_2)$$
.

The corresponding group  $G_2$  has no compact factors, except for the case q=0, in which case the group  $G_2$  itself is compact. Note also that the group corresponding to  $R_{F/\mathbf{Q}}(\mathrm{I}_{3,1}^{(1)}, Z, h_2)$  is  $\mathbf{Q}$ -isogenous to the one corresponding to  $R_{F/\mathbf{Q}}(\mathrm{II}_3^{(2)}, D_0, h_2)$  for a suitable totally definite quaternion algebra  $D_0$  over F and a  $D_0$ -skew-hermitian form  $h_2$  of 3 variables.

REMARK. When p>q, there exist rational points in  $\mathfrak S$  if and only if one has  $\delta_0|q$  and Q-rank  $G_2=q/\delta_0$ . If this is the case, I is rational, if and only if there exists a  $D_0$ -submodule  $W_2$  of  $V_2$  of rank  $q/\delta_0$  such that

$$W_2^{(i)\prime} = (W_2^{\perp})^{\sigma_i'}(C) \cap V_2^{(i)\prime}, \qquad W_2^{(i)\prime\prime} = W_2^{\sigma_i''}(C) \cap V_2^{(i)\prime\prime}.$$

<sup> $\perp$ </sup> denoting the orthogonal complement with respect to  $h_2$ . When p=q, the situation is a little more complicated ([S8]).

### 4. The standard case.

4.1. Admissible F-structures of  $(U^{(1)}, V^{(1)})$ . According to the classification theory of irreducible self-dual homogeneous cones,  $\mathscr{C}^{(1)}$  is isomorphic to one of the following cones:

$$\mathscr{P}_{r_1}(R) (r_1 \ge 1), \quad \mathscr{P}_{r_2}(C) (r_1 \ge 2), \quad \mathscr{P}_{r_2}(H) (r_1 \ge 3), \quad \mathscr{P}(1, n_1 - 1) (n_1 \ge 3).$$

We call the first three cases *standard* and the fourth *non-standard* or *quadratic*. Note that  $\mathcal{P}_1(\mathbf{R})$  is the unique case for which  $r_1 = n_1 = 1$  and that the quadratic case is characterized by  $r_1 = 2$ ; in particular, one has the isomorphisms  $\mathcal{P}_2(\mathbf{R}) \simeq \mathcal{P}(1, 2)$ ,

 $\mathscr{P}_2(C) \simeq \mathscr{P}(1, 3)$ . (For convenience, we exclude  $\mathscr{P}_2(H) \simeq \mathscr{P}(1, 5)$  from the standard case. Because of the assumption  $V \neq 0$ , the exceptional case  $\mathscr{P}_3(O)$  is also excluded.)

In the standard case, one has

(54) 
$$g_1 \simeq (g_1^{(1)})^l, \qquad g_1^{(1)} = \{1_{U^{(1)}}\}_{\mathbf{R}} \oplus g_1^{(1)s}, g_1^{(1)s} \simeq \mathfrak{sl}_{\mathbf{r}_1}(D_1), \qquad D_1 = \mathbf{R}, \mathbf{C}, \mathbf{H}.$$

We know ([S2]) that the representation  $(V^{(1)}, \beta^{(1)})$  is **R**-primary. In (26a, b)  $V_1^{(1)}$  is a  $D_1$ -module of rank  $r_1$  and  $\beta_1^{(1)}$  is a Lie algebra isomorphism

(55) 
$$\beta_1^{(1)} : \mathfrak{g}_1^{(1)} \xrightarrow{\sim} \left\{ y \in \operatorname{End}_{D_1}(V_1^{(1)}) \middle| \operatorname{tr} y \in \mathbf{R} \right\},$$

tr denoting here the reduced trace of  $\operatorname{End}_{D_1}(V^{(1)})$  over its center. Thus one has  $\mathscr{A}_1 \simeq \operatorname{End}_{D_1}(V_1^{(1)}) \simeq M_{r_1}(D_1)$  and  $r_1 = v_1 \delta_0/\delta_1$ .

It follows that, if one has an F-algebra structure on  $\mathcal{A}_1$  with a totally positive involution  $\iota_1$ , then the conditions (a), (b) in Proposition 2 are automatically satisfied. Hence, in the standard case, an F-algebra structure of  $\mathcal{A}_1$  gives rise to an admissible F-structure of  $(U^{(1)}, V^{(1)})$  if and only if there exists a totally positive involution  $\iota_1$  of  $\mathcal{A}_1(F)$  and the condition (c) in Proposition 2 is satisfied.

Now, suppose one has an F-algebra structure on  $\mathcal{A}_1$  satisfying these conditions and fix an admissible F-structure of  $(U^{(1)}, V^{(1)})$  compatible with it. Then one has (27a, b) with

(56) 
$$\mathscr{A}_{1}(F) = \operatorname{End}_{D_{0}} V_{1} ,$$
 
$$\beta_{1} : \mathfrak{g}_{1}^{(1)s}(F) \xrightarrow{\sim} \mathfrak{sl}(V_{1}/D_{0}) .$$

Hence in this case one has F-rank  $g_1^{(1)} = v_1$ .

REMARK. Our argument shows that, in our case, the *F*-forms of  $\mathfrak{g}_1^{(1)}$  corresponding to the unitary groups do not occur. (In fact, for such an *F*-form the representation  $\beta^{(1)}$  is not defined over *F*).

On the other hand, one has

(57) 
$$U^{(1)} = S(V_1^{(1)} \otimes_{D_1} V_1^{(1)}),$$

where S denotes the symmetrizer and the second factor  $V_1^{(1)}$  in the right hand side is viewed as a left  $D_1$ -space by  $\xi v_1 = v_1 \overline{\xi}$   $(v_1 \in V_1^{(1)}, \xi \in D_1)$ .  $U_1^{(1)}$  is also identified with the space of all symmetric  $D_1$ -semilinear maps:  $V_1^{(1)*} \to V_1^{(1)}$ . Then the action of  $g_1^{(1)}$  on  $U_1^{(1)}$  is given by

(58) 
$$x(u) = \beta_1^{(1)}(x) \circ u + u \circ {}^t\beta_1^{(1)}(x)$$

for  $x \in \mathfrak{g}_1^{(1)}$  and  $u \in U^{(1)}$ .

From (57) one also has an F-structure of  $U^{(1)}$  such that

(59) 
$$U^{(1)}(F) = S_{\eta}(V_1 \otimes_{D_0} V_1),$$

 $S_{\eta}$  denoting the  $\eta$ -symmetrizer  $S_{\eta} = (1/2)(1+\eta\tau)$ , where  $\tau$  is the transposition and  $\eta = -1$  if  $D_0$  is of Type 1.2 and  $\eta = 1$  otherwise. Thus  $U^{(1)}(F)$  is identified with the space of all  $\eta$ -symmetric  $(D_0, \iota_0)$ -semilinear maps:  $V_1^* \to V_1$ . Then the action of  $\mathfrak{g}_1^{(1)}(F)$  on  $U^{(1)}(F)$  is given by a formula similar to (58).

4.2. Now let  $e \in \mathscr{C} \cap U(Q)$ ,  $e = (e^{(i)})$ , and consider  $e^{(1)}$  as a  $(D_0, \iota_0)$ -semilinear isomorphism  $V_1^* \stackrel{\sim}{\to} V_1$ . Then its inverse  $e^{(1)^{-1}} : V_1 \to V_1^*$  may be viewed as a  $(D_0, \iota_0)$ - $\eta$ -hermitian form on  $V_1$ , which we denote by  $h_1$ , i.e.,

(60) 
$$\operatorname{tr}_{D_0/F}(h_1(v_1, v_1')) = \langle v_1, e^{(1)^{-1}} v_1' \rangle \qquad (v_1, v_1' \in V_1).$$

PROPOSITION 2. Let  $\varphi_1$  and  $\iota_1$  be as defined in 3.4. Then, for  $u \in U^{(1)}(F)$  and  $y \in \mathcal{A}_1(F)$ , one has

(61) 
$$\varphi_1(u) = u \circ e^{(1)^{-1}},$$

(62) 
$$y^{i_1} = e^{(1)} \circ {}^t y \circ e^{(1)^{-1}} .$$

(Thus one has  $\iota_1 = \iota_1(h_1)$ , i.e., our notation is consistent.)

PROOF. For the proof, we denote the right hand sides of (61) and (62) by  $\varphi'_1(u)$  and  $y^{i'_1}$ , respectively. Then it is clear that one has  $\varphi'_1(u) \in \operatorname{Her}(\mathscr{A}_1, \iota'_1)$  and, for  $x \in \mathfrak{g}_1^{(1)}(F)$ ,

$$\varphi_1'(x(u)) = (\beta_1(x) \circ u + u \circ {}^t\beta_1(x)) \circ e^{(1)^{-1}} = \beta_1(x) \circ \varphi_1'(u) + \varphi_1'(u) \circ \beta_1(x)^{i_1'}.$$

Hence  $\varphi'_1$  is an *F*-isomorphism  $U^{(1)} \simeq \operatorname{Her}(\mathscr{A}_1, \iota'_1)$  satisfying the first and the third equations in (32). In particular, one has

$$x(e^{(1)}) = 0 \iff \beta_1(x) + \beta_1(x)^{i_1'} = 0$$
,

which shows that the map  $y \mapsto -y^{i_1'}$  ( $y \in \mathcal{A}_1$ ) induces the Cartan involution  $\theta_1$  of  $\mathfrak{g}_1^{(1)}$  corresponding to  $e^{(1)}$ . Thus the second equation in (32) is also satisfied. Hence by the uniqueness of  $\iota_1$  and  $\varphi_1$  one has  $\varphi'_1 = \varphi_1$ ,  $\iota'_1 = \iota_1$ .

By (19) and (61) the Jordan product in  $U^{(1)}$  is given by

$$uu' = \frac{1}{2} (u \circ e^{(1)^{-1}} \circ u' + u' \circ e^{(1)^{-1}} \circ u),$$

and by (33) the normalized inner product on  $U^{(1)}$  corresponding to  $e^{(1)}$  is given by

(63) 
$$\langle u, u' \rangle = (\delta_1 d_1)^{-1} \operatorname{tr}_{\mathscr{A}_1/\mathbb{R}} (u e^{(1)^{-1}} u' e^{(1)^{-1}}).$$

Finally one obtains the following

PROPOSITION 3. Suppose we are in the standard case. Let  $(e^{(1)}, a^{(1)}, \beta^{(1)})$  be an admissible triple defined over F belonging to  $(U^{(1)}, V^{(1)}, \mathscr{C}^{(1)})$ ,  $h_1 = e^{(1)^{-1}}$ , and let  $h_2$  be a

 $(D_0, \iota_0)$ - $(-\eta)$ -hermitian form on  $V_2$  satisfying (39). Then the corresponding alternating bilinear map  $A^{(1)}: V^{(1)} \times V^{(1)} \to U^{(1)}$  is given as follows:

(64) 
$$A^{(1)}(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') = \eta \delta_1 d_1 S_{\eta}(v_1 h_2(v_2, v_2') \otimes_{D_0} v_1')$$

$$for \quad v_1, v_1' \in V_1 \quad and \quad v_2, v_2' \in V_2.$$

PROOF. For  $u \in U^{(1)}(F)$  one has

$$\begin{split} \langle u, A^{(1)}(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') \rangle &= A_{\mathbf{u}}(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') \\ &= a^{(1)}(v_1 \otimes_{D_0} v_2, (ue^{(1)^{-1}})v_1' \otimes_{D_0} v_2') \\ &= \operatorname{tr}_{D_0/F}(h_1(v_1, (ue^{(1)^{-1}})v_1')^{l_0}h_2(v_2, v_2')) \\ &= \operatorname{tr}_{D_0/F}(h_1(v_1 h_2(v_2, v_2'), (ue^{(1)^{-1}})v_1')) \\ &= \langle v_1 h_2(v_2, v_2'), (e^{(1)^{-1}}ue^{(1)^{-1}})v_1' \rangle \\ &= \eta \operatorname{tr}_{\mathscr{A}(F)/F}((v_1 h_2(v_2, v_2') \otimes_{D_0} v_1')e^{(1)^{-1}}ue^{(1)^{-1}}) \\ &= \eta \delta_1 d_1 \langle u, S_{\eta}(v_1 h_2(v_2, v_2') \otimes_{D_0} v_1') \rangle , \end{split}$$

whence follows (64).

q.e.d.

4.3. Classification. In the classification theory, the quasisymmetric domain  $\mathcal{S}_I$  with a Q-structure described above is expressed by the following symbols, according as  $D_0$  is of Type 1.1, 1.2, 2, or 3.

 $D_0/Z, h_2'$ ).

In general, it is known that, for any boundary point p of an irreducible symmetric domain  $\mathcal{D}$ , the "fiber" over p, i.e., the union of all geodesic lines in  $\mathcal{D}$  tending to p, is an irreducible quasisymmetric domain and, if p belongs to the *first* boundary component, it is of type  $(III_{1;\nu_2/2}^{(1)})_I$ . For instance, for the symmetric domain  $\tilde{\mathcal{F}} = R_{F/Q}(II_{2+\nu_2'}^{(2)}, D_0, h_2')$ , resp.  $R_{F/Q}(II_{1+p,1+q}^{(1)}, Z, h_2')$   $(p+q=\nu_2')$ , the fiber over a rational point I in the first rational boundary component  $\mathfrak{S} = R_{F/Q}(III_{2}^{(2)}, D_0, h_2)$ , resp.  $R_{F/Q}(II_{p,q}^{(1)}, Z, h_2)$  is of type  $R_{F/Q}(III_{1;\nu_2/2}^{(1)})_I$   $(\nu_2=2\nu_2')$ . [But, because of the existence of compact factors in  $GL_1(H)$  and  $GL_1(C)$ , the automorphism group of the fiber induced by the paraboric subgroup is, in general, smaller than  $Aff(R_{F/Q}(III_{1;\nu_2/2}^{(1)})_I)$ .] In particular, the domain  $R_{F/Q}(III_{1;\nu_2/2}^{(1)})_I$  can be identified with the symmetric domain  $R_{F/Q}(III_{1+\nu_2',1}^{(1)}, Z, h_2')$  (along with the automorphism group), where Z,  $h_2'$  are determined as follows. Let  $a_2$  be a non-degenerate alternating bilinear form on  $V_2 = V^{(1)}(F)$ ,  $I \in R_{F/Q} \mathcal{S}(V_2, a_2)$ , and let Z be the CM-field attached to I, i.e.,  $Z = F(\sqrt{-\alpha_1})$ , where  $\alpha_1$  is a totally positive element in F such that  $\sum \sqrt{\alpha_1^{\sigma_i}} I^{(i)}$  is Q-rational. Then  $h_2$  is a Z-skew-hermitian form on  $V_2$  given by

$$h_2(v, v') = a_2(v, v') - \sqrt{-1} a_2(v, I^{(1)}v'),$$

which is totally positive with respect to the CM-type  $(\sigma'_i)$  determined by  $\sqrt{-\alpha_1}^{\sigma'_i} = \sqrt{-1} \sqrt{\alpha_1^{\sigma_i}}$ , and  $h'_2$  is a Z-skew-hermitian form of  $2 + v'_2$  variables in the same Witt class as  $h_2$ .

## 5. The quadratic case.

5.1. F-structures of  $(U^{(1)}, \mathfrak{g}_1^{(1)})$ . We keep the notation of §3. In the quadratic case, one has

(65) 
$$\mathscr{C}^{(1)} \simeq \mathscr{P}(1, n_1 - 1) = \left\{ (\xi_i) \in \mathbf{R}^{n_1} \, \middle| \, \xi_1^2 - \sum_{i=2}^{n_1} \xi_i^2 > 0 \right\},$$
$$g_1 \simeq (g_1^{(1)})^l, \quad g_1^{(1)s} \simeq \mathfrak{so}(1, n_1 - 1),$$

where  $n_1 = \dim U^{(1)} \ge 3$ . In this case,  $r_1 = R$ -rank  $g_1^{(1)} = 2$ .

One obtains all F-forms of  $\mathfrak{g}_1^{(1)}$  in the following manner. F is a totally real number field of degree l. Suppose that  $U^{(1)}$  is given an F-structure and  $S^{(1)}$  is a symmetric bilinear form on  $U^{(1)} \times U^{(1)}$  defined over F. Put  $(U, S) = R_{F/Q}(U^{(1)}, S^{(1)})$ . We assume that all  $S^{(i)} = S^{(1)^{\sigma_i}}$  ( $1 \le i \le l$ ) are of signature  $(1, n_1 - 1)$ . Then one has an F-structure of  $\mathfrak{g}_1^{(1)}$  given by

$$\mathfrak{g}_{1}^{(1)\mathrm{s}}(F) \!=\! \mathfrak{so}(U^{(1)}\!(F),\,S^{(1)}) \!=\! \big\{ x \!\in\! \mathfrak{gl}(U^{(1)}\!(F)) \,\big|\, {}^{t} x S^{(1)} \!+\! S^{(1)} x \!=\! 0 \big\} \;.$$

For convenience, one fixes an F-rational orthogonal basis  $\{e_i\}$  of  $U^{(1)}$  such that

$$S^{(1)} \sim \operatorname{diag}(\alpha_1, \ldots, \alpha_{n_1})$$
,

where  $\alpha_1$  is totally positive and  $\alpha_2, \ldots, \alpha_{n_1}$  are totally negative.

REMARK. When  $n_1$  is even, there is a possibility of F-forms of  $\mathfrak{g}_1^{(1)}$  defined by a quaternion skew-hermitian form h of  $n_1/2$  variables with respect to a totally indefinite quaternion algebra over F. However, since h should give rise to a symmetric bilinear form of signature  $(1, n_1 - 1)$  at every real place, an easy observation of the root diagrams shows that  $\mathfrak{g}_1^{(1)}$  is F-anisotropic. By a theorem of Kneser ([Sc, Lem. 10.3.5, Th. 10.4.1]), this can happen only for  $n_1 \le 6$ . For  $n_1 = 4$ , by virtue of the isomorphism  $\mathcal{P}(1, 3) \simeq \mathcal{P}_2(C)$ , the F-forms of this type were already treated in §4, so that we may exclude them from the general discussion of the quadratic case. For  $n_1 = 6$ , such F-forms come from a central division algebra of degree 4, which can not have positive involutions. Hence F-forms of this type do not occur. For  $n_1 = 8$ , there is also a possibility of F-forms of  $\mathfrak{g}_1^{(1)}$  coming from the triality. But, for the reason similar to the one given in [S1, p. 270], such F-forms do not occur either.

5.2. The Clifford algebras. Let  $C = C(U^{(1)}, S^{(1)})$  denote the Clifford algebra of  $S^{(1)}$  and let  $C^+$  denote its even part. C and  $C^+$  are semisimple R-algebra defined over F. Put

(66) 
$$\tilde{e} = e_1 \cdots e_{n_1} \in C(F) ,$$

$$\Delta = \tilde{e}^2 = (-1)^{n_1(n_1 - 1)/2} \alpha_1 \cdots \alpha_{n_1} \in F^{\times}$$
(the discriminant of  $S^{(1)}$ ).

By our assumption,  $\Delta$  is totally positive (resp. totally negative) for  $n_1 \equiv 1$ , 2 (resp.  $\equiv 0$ , 3) (mod 4).

When  $n_1$  is odd,  $C^+$  is a central simple R-algebra of degree  $2^{(n_1-1)/2}$  defined over F. When  $n_1$  is even, the center of  $C^+$  is  $\{1, \tilde{e}\}_{R}$ . Hence, if  $n_1 \equiv 0 \pmod 4$ , the center Z of  $C^+(F)$  is a totally imaginary quadratic extension of F, isomorphic to  $F(\sqrt{\Delta})$  with  $\Delta \ll 0$  (totally negative). Thus  $C^+$  is simple and of degree  $2^{n_1/2-1}$  over its center  $Z(R) \simeq C$ . If  $n_1 \equiv 2 \pmod 4$ , one has  $\Delta \gg 0$  (totally positive) and

(67) 
$$C^{+} = C_{1}^{+} \oplus C_{2}^{+}, \qquad \frac{1}{2} (1 + (-1)^{i-1} \sqrt{\Delta^{-1}} \tilde{e}) \in C_{1}^{+}$$

with central simple **R**-algebras  $C_i^+$  (i=1,2) of degree  $2^{n_1/2-1}$ . (The ordering of  $C_1^+$ ,  $C_2^+$  may be determined by the orientation of  $U^{(1)}$ .) If, moreover,  $\Delta \sim 1$  over F (i.e.,  $\Delta \in (F^{\times})^2$ ), then each  $C_i^+$  is defined over F and one has  $C_1^+(F) \simeq C_2^+(F)$  (by the map  $x \mapsto e_1^{-1} x e_1$ ). If  $n_1 \equiv 2 \pmod{4}$  and  $\Delta \not\sim 1$ ,  $C^+(F)$  is simple with center  $Z \simeq F(\sqrt{\Delta})$ , which is a totally real quadratic extension of F. In this case, one has  $C^+(F) \simeq C_i^+(F(\sqrt{\Delta}))$  (i=1,2).

Let  $\rho$  denote the canonical involution of  $C^+$  (i.e., one has  $(e_{i_1} \cdots e_{i_k})^{\rho} = e_{i_k} \cdots e_{i_1}$ ). Then it is easy to see that

$$(68) \rho': x \mapsto e_1 x^{\rho} e_1^{-1}$$

is a totally positive involution of  $C^+$ ; when  $n_1$  is even and  $\Delta \sim 1$ , we mean by this that  $\rho'$  induces a totally positive involution on each simple factor  $C_i^+$  (i=1, 2) ([S6, p. 282, Prop. 5.1]).

Let  $D_0$  be a division algebra over F such that  $C^+(F)$  (or  $C_i^+(F)$ )  $\sim D_0$ . Then the degree  $\delta_0$  of  $D_0$  (over its center) is  $\leq 2$ . One has F-rank  $g_1^{(1)} = 1$  if  $\delta_0 = 2$  and  $n_1 \leq 4$ , and F-rank  $g_1^{(1)} = 2$  otherwise. One has

(69) 
$$D_0(\mathbf{R}) \sim D_1 = \begin{cases} \mathbf{R} & \text{if} \quad n_1 \equiv 1, 2, 3 \pmod{8}, \\ \mathbf{C} & \text{if} \quad n_1 \equiv 0, 4 \pmod{8}, \\ \mathbf{H} & \text{if} \quad n_1 \equiv 5, 6, 7 \pmod{8}. \end{cases}$$

Thus  $D_0$  is of Type 1, if  $n_1 \equiv 1$ , 3 (mod 8) or  $\equiv 2 \pmod{8}$  and  $\Delta \sim 1$ , of Type 2, if  $n_1 \equiv 5$ , 7 (mod 8) or  $\equiv 6 \pmod{8}$  and  $\Delta \sim 1$ , and of Type 3, if  $n_1 \equiv 0 \pmod{4}$ . When  $n_1 \equiv 2 \pmod{4}$  and  $\Delta \sim 1$ ,  $D_0$  is of Type 1 or 2 over  $F(\sqrt{\Delta})$  according as  $n_1 \equiv 2$  or 6 (mod 8).

5.3. F-structures of  $(V^{(1)}, \beta^{(1)})$ : the case  $n_1 \not\equiv 2 \pmod{4}$ . In this case  $\beta^{(1)}$  is **R**-primary and the **R**-irreducible factor is given by the spin representation. As is well known, there exists a canonical F-isomorphism

$$\beta_1: \mathfrak{g}_1^{(1)} \xrightarrow{\sim} \beta_1(\mathfrak{g}_1^{(1)}) \subset (C^+)_{Lie}$$

such that one has

(70) 
$$x(u) = [\beta_1(x), u]$$
 for  $x \in \mathfrak{g}_1^{(1)}$  and  $u \in U^{(1)}$ ,

(71) 
$$\beta_1(\mathfrak{g}_1^{(1)}) = \{ y \in C^+ \mid y + y^\rho \in \mathbf{R}, \ [y, U^{(1)}] \subset U^{(1)} \}.$$

If one denotes by  $\kappa$  the unique R-irreducible representation of the simple R-algebra  $C^+$ , then the spin representation of  $\mathfrak{g}_1^{(1)}$  is given by  $\kappa \circ \beta_1$ . Therefore, identifying  $\beta^{(1)}(x)$   $(x \in \mathfrak{g}_1^{(1)})$  with  $\beta_1(x)$ , one may make an identification  $\mathscr{A}_1 = C^+$ . It is then clear that the natural F-algebra structure of  $\mathscr{A}_1 = C^+$  (which is the unique F-algebra structure making  $\beta^{(1)}$  and  $\beta_1$  defined over F) satisfies the conditions (a), (b) in Proposition 2 with  $\iota_1 = \rho'$ . Hence the natural F-algebra structure of  $\mathscr{A}_1$  gives rise to an admissible F-structure of  $(U^{(1)}, V^{(1)})$ , if and only if the condition (c) in Proposition 2 is satisfied. For simplicity, one puts  $e^{(1)} = e_1$ ; then one recovers the same F-structure of  $U^{(1)}$  given in 5.1.

In the notation of §3, one has

$$v_1 \delta_0 = \begin{cases} 2^{(n_1 - 1)/2} \\ 2^{n_1/2 - 1} \end{cases} \qquad d_1 = \begin{cases} 1 & \text{if } n_1 \text{ is odd,} \\ 2 & \text{if } n_1 \equiv 0 \pmod{4}. \end{cases}$$

5.4. Now, fix  $e^{(1)} = e_1 \in U^{(1)}(F)$  with  $\alpha_1 = S^{(1)}(e_1, e_1) \gg 0$ . Then one has

PROPOSITION 4. For  $u \in U^{(1)}(F)$  and  $y \in C^+(F)$ , one has

(72) 
$$\varphi_1(u) = ue_1^{-1} ,$$

(73) 
$$y^{i_1} = e_1 y^{\rho} e_1^{-1} .$$

PROOF. We know (73) already ([S6, Prop. 5.1]). To prove (72), define  $\varphi_1$  by (72) for a moment. Then it is enough to show that  $\varphi_1(u) \in \text{Her}(C^+, \rho')$ ,  $\varphi_1(e_1) = 1$ , and that  $\varphi_1$  satisfies the first relation in (32), because these properties characterize  $\varphi_1$ . The first two properties of  $\varphi_1$  are obvious. From (70) one has

$$\varphi_1(x(u)) = (\beta_1(x)u - u\beta_1(x))e_1^{-1} = \beta_1(x)\varphi_1(u) + \varphi_1(x)e_1\beta_1(x)^{\rho}e_1^{-1}$$

which proves the first relation in (32).

q.e.d.

By an easy computation, one has

$$\frac{1}{2} \left( \varphi_1(u) \varphi_1(u') + \varphi_1(u') \varphi_1(u) \right) = S(e_1, e_1)^{-1} \left( S(u, e_1) \varphi_1(u') + S(u'_1, e_1) \varphi_1(u) - S(u, u') \right).$$

This shows that the Jordan product in  $U^{(1)}$  is given by

$$u \circ u' = S(e_1, e_1)^{-1}(S(u, e_1)u' + S(u', e_1)u - S(u, u')e_1)$$
.

It follows that the normalized inner product on  $U^{(1)}$  is given by

(74) 
$$\langle u, u' \rangle = 2S(u, e_1)S(u', e_1) - S(u, u')S(e_1, e_1)$$
.

On the other hand, let  $c_1$  be a primitive idempotent of  $C^+(F)$  and  $\psi_1$  an F-isomorphism:  $D_0 \cong c_1 C^+(F) c_1$ . Then the  $(D_0, \iota_0)$ - $\eta$ -hermitian form  $h_1$  on  $V_1 = (C^+(F)c_1, \psi_1)$  is given by

(75) 
$$h_1(v_1, v_1') = \psi_1^{-1}(b_1 e_1 v_1^{\rho} e_1^{-1} v_1') \qquad (v_1, v_1' \in V_1),$$

where  $b_1$  is an element of  $C^+(F)^{\times}$  such that

$$\psi_1(\xi_1)^{i_1} = b_1^{-1} \psi_1(\xi_1^{i_0}) b_1$$
,  $b_1^{i_1} = \eta b_1$ .

Finally to obtain an explicit form of  $A^{(1)}$ , let  $\langle \rangle_{C^+}$  denote the inner product on  $C^+$  defined by

$$\langle x, y \rangle_{C^+} = \operatorname{tr}_{C^+/\mathbb{R}}(x^{i_1}y)$$
.

For  $x \in C^+$ , let  $[x]_U$  denote the element of  $U^{(1)}$  such that  $\varphi_1([x]_U)$  coincides with the  $\varphi_1(U^{(1)})$ -component of x with respect to the inner product  $\langle \ \rangle_{C^+}$ .

PROPOSITION 5. Suppose we are in the quadratic case with  $n_1 \not\equiv 2 \pmod{4}$ . Let  $(e^{(1)}, a^{(1)}, \beta^{(1)})$  be an admissible triple with  $e^{(1)} = e_1$  defined over F belonging to  $(U^{(1)}, V^{(1)}, \mathscr{C}^{(1)})$  and let  $h_1$  and  $h_2$  be as given in (75) and (39). Then the corresponding alternating bilinear map  $A^{(1)}: V^{(1)} \times V^{(1)} \to U^{(1)}$  is given as follows:

(76) 
$$A^{(1)}(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') = \frac{1}{2} \eta v_1 \delta_0 d_1 [v_1 \psi_1(h_2(v_2, v_2')) b_1 v_1'^{i_1}]_U.$$

PROOF. For  $u \in U^{(1)}(F)$ ,  $v_1, v_1' \in V_1 = C^+(F)c_1, v_2, v_2' \in V_2$ , one has

$$\begin{split} A_{\mathbf{u}}&(v_1 \otimes_{D_0} v_2, v_1' \otimes_{D_0} v_2') = a^{(1)}(v_1 \otimes_{D_0} v_2, (ue_1^{-1})v_1' \otimes_{D_0} v_2') \\ &= \operatorname{tr}_{D_0/F}(h_1(v_1, (ue_1^{-1})v_1')^{i_0}h_2(v_2, v_2')) \\ &= \operatorname{tr}_{D_0/F}(h_1(v_1h_2(v_2, v_2'), (ue_1^{-1})v_1')) \\ &= \operatorname{tr}_{C^+/\mathbf{R}}(b_1\psi_1(h_2(v_2, v_2'))^{i_1}v_1^{i_1}ue_1^{-1}v_1') \\ &= \langle ue_1^{-1}, \eta v_1\psi_1(h_2(v_2, v_2'))b_1v_1'^{i_1}\rangle_{C^+} \\ &= \frac{1}{2} \eta v_1\delta_0 d_1 \langle u, [v_1\psi_1(h_2(v_2, v_2'))b_1v_1'^{i_1}]_U \rangle \;, \end{split}$$

which proves our assertion.

q.e.d.

5.5. Classification. In the classification theory, the domains  $\mathcal{S}_I$  and  $\mathfrak{S}$  in the present case are denoted as

$$R_{F/\mathbf{Q}}(IV_{n_1;\nu_2}, S^{(1)}, h_2)_I \quad (n_1 \ge 3, \ne 2 \pmod{4}), \quad R_{F/\mathbf{Q}} \mathfrak{S}(V_2, D_0, h_2).$$

(When  $D_0$  is of Type 1.1, i.e., when  $D_0 = F$ , one omits  $h_2$ .)

The total space  $\widetilde{\mathcal{F}}$  is symmetric for the following three cases. For  $n_1=3$ , by virtue of the isomorphism  $\mathscr{P}(1,2)\simeq \mathscr{P}_2(R)$ , the domain  $R_{F/Q}(\mathrm{IV}_{3;\nu_2},S^{(1)},h_2)_I$  is identified with  $R_{F/Q}(\mathrm{III}_{2,\nu_2/2}^{(1)})_I$  or  $R_{F/Q}(\mathrm{III}_{2,\nu_2}^{(2)},D_0,h_2)_I$   $(D_0=C^+(F))$  according as  $D_0=F$  or not. Hence the corresponding  $\widetilde{\mathcal{F}}$  is the Siegel domain expression of  $R_{F/Q}(\mathrm{III}_{2+\nu_2\delta_0/2}^{(\delta_0)})$  over the  $2/\delta_0$ -th rational boundary component  $\mathfrak{S}=R_{F/Q}(\mathrm{III}_{\nu_2\delta_0/2}^{(\delta_0)})$ . For  $n_1=4$ , by virtue of the isomorphism  $\mathscr{P}(1,3)\simeq \mathscr{P}_2(C)$ , the domain  $R_{F/Q}(\mathrm{IV}_{4;\nu_2},S^{(1)},h_2)_I$  is identified with  $R_{F/Q}(\mathrm{I}_{2;(p,q)}^{(\delta_0)},D_0/Z,h_2)_I$   $(D_0=C^+(F),Z=F(\sqrt{\Delta}),p+q=\delta_0\nu_2)$ , so that the corresponding  $\widetilde{\mathscr{F}}$  is the Siegel domain expression of  $R_{F/Q}(\mathrm{I}_{p,q}^{(\delta_0)},p_2+q_2)$ , over the  $2/\delta_0$ -th boundary component  $\mathfrak{S}=R_{F/Q}(\mathrm{I}_{p,q}^{(\delta_0)},D_0/Z,h_2)$ . In particular,  $R_{F/Q}(\mathrm{IV}_{4;\nu_2},S^{(1)},h_2)_I$  with q=0 is identified with the symmetric domain  $R_{F/Q}(\mathrm{I}_{2+\nu_2\delta_0,2}^{(\delta_0)},D_0/Z,h_2')$ . In the case  $R_{F/Q}(\mathrm{IV}_{8;1},S^{(1)},h_2)_I$ , the domain  $\mathfrak{S}$  reduces to a point  $I(I=\sum |\Delta^{\sigma_i}|^{-1/2}\widetilde{e}^{(i)})$  and  $\widetilde{\mathscr{F}}=\mathscr{F}_I$  is a symmetric domain of the exceptional type  $(V)^I$  with a Q-structure of Q-rank 2.

5.6. The case  $n_1 \equiv 2 \pmod{4}$ . In this case, there exist two R-irreducible (spin) representations of  $\mathfrak{g}_1^{(1)}$ . Let  $\pi_i$  denote the projection  $C^+ \to C_i^+$  and  $\kappa_i$  the R-irreducible representation of  $C_i^+$  (i=1,2). Define the injective homomorphism  $\beta_1:\mathfrak{g}_1^{(1)}\to C^+$  as in 5.3. Then the two spin representations of  $\mathfrak{g}_1^{(1)}$  are given by  $\kappa_i \circ \pi_i \circ \beta_1$  (i=1,2). In general, the representation ( $V^{(1)},\beta^{(1)}$ ) has two R-primary components corresponding to these R-irreducible representations.

Let  $\mathscr{A}_1$  denote the enveloping algebra of  $\beta^{(1)}(\mathfrak{g}_1^{(1)})$  in  $\operatorname{End}_{\mathbf{R}}V^{(1)}$ . Then there exists a uniquely determined (algebra) homomorphism  $\lambda\colon C^+\to\mathscr{A}_1$  such that one has  $\beta^{(1)}=\lambda\circ\beta_1$ . Suppose that the *F*-structure of  $(U^{(1)},S^{(1)})$  is extended to an admissible *F*-structure of  $(U^{(1)},V^{(1)})$  (under the condition similar to the condition (c) in Theorem 1). Then  $C^+$  and  $\mathscr{A}_1$  have natural *F*-algebra structures such that  $\beta_1$  and  $\lambda$  are defined over *F*.

When  $\Delta \not\sim 1$  over F, the F-algebra  $C^+(F)$  is F-simple, and  $\lambda$  gives an F-isomorphism  $C^+(F) \simeq \mathscr{A}_1(F)$ . The center Z of  $\mathscr{A}_1(F)$  is a totally real quadratic extension of F, isomorphic to  $F(\sqrt{\Delta})$ . Hence  $\beta^{(1)}$  is F-primary, but not R-primary, and we obtain a result similar to the one given in §3 with some modifications. For instance, (27a), (26a) must be modified in the form:

$$\begin{split} V^{(1)}(F) &= R_{Z/F}(V_1 \otimes_{D_0} V_2) \;, \\ V^{(1)} &= V_1^{(1)'} \otimes_{D_1} V_2^{(1)'} \oplus V_1^{(1)''} \otimes_{D_1} V_2^{(1)''} \;, \end{split}$$

where  $V_1$ ,  $V_1^{(1)\prime}$ , and  $V_1^{(1)\prime\prime}$  are simple left ideals of  $C^+(F)$ ,  $C_1^+$ , and  $C_2^+$ , respectively. In this case,  $v_1\delta_0 = 2^{n_1/2-1}$ , and one has

$$\dim_{\mathbf{R}} V_j^{(i)\prime} = \dim_{\mathbf{R}} V_j^{(i)\prime\prime} = v_j s_1 \delta_1^2$$
,  
 $\dim_{\mathbf{R}} V^{(i)} = 2v_1 v_2 \delta_0^2$ .

In the classification theory, the domains  $\mathcal{S}_I$  and  $\mathfrak{S}$  are denoted as

$$\begin{split} R_{F(\sqrt{A})/\mathbf{Q}}(\mathrm{IV}_{n_1;\,\nu_2,\nu_2},\,S^{(1)},\,h_2)_I \quad & (n_1 \geq 6,\,\equiv 2(4))\;, \\ R_{F(\sqrt{A})/\mathbf{Q}} \mathfrak{S}(V_2,\,D_0,\,h_2)\;. \end{split}$$

When  $\Delta \sim 1$  over F,  $C^+(F)$  is decomposed as (67), in which each simple component  $C_i^+(F)$  is invariant under  $\rho'$ . Hence one has either  $\mathscr{A}_1(F) \simeq C^+(F)$  or  $C_i^+(F)$  (i=1,2), according as  $\beta^{(1)}$  has two or one F-primary component(s). For each F-primary component (which is also  $\mathbb{R}$ -primary) one has formulas similar to the ones given in the F-primary case, replacing  $\beta_1$ ,  $\varphi_1$  by  $\pi_i \circ \beta_1$ ,  $\pi_i \circ \varphi_1$ . Thus in this case, (27a), (26a) should be modified as follows:

$$\begin{split} V^{(1)}(F) &= V_1' \otimes_{D_0} V_2' \oplus V_1'' \otimes_{D_0} V_2'' \;, \\ V^{(1)} &= V_1^{(1)'} \otimes_{D_1} V_2^{(1)'} \oplus V_1^{(1)''} \otimes_{D_1} V_2^{(1)''} \;, \end{split}$$

 $V'_1, V''_1, V^{(1)'}_1$ , and  $V^{(1)''}_1$  being simple left ideals of  $C_1^+(F)$ ,  $C_2^+(F)$ ,  $C_1^+$ , and  $C_2^+$ , respectively. Denoting the ranks of  $D_0$ -modules  $V'_i$  and  $V''_i$  (i=1,2) by  $v'_i$  and  $v''_i$ , one has

$$v_1' = v_1'' = 2^{n_1/2 - 1} \delta_0^{-1}, \quad v_2', v_2'' \ge 0,$$

and

$$\begin{split} \dim_{\mathbf{R}} V_{j}^{(i)\prime} &= \nu_{j}' s_{1} \delta_{1}^{2} \;, \quad \dim_{\mathbf{R}} V_{j}^{(i)\prime\prime} = \nu_{j}'' s_{1} \delta_{1}^{2} \;, \\ \dim_{\mathbf{R}} V^{(i)} &= \nu_{1}' (\nu_{2}' + \nu_{2}'') \delta_{0}^{2} \;. \end{split}$$

In this case, the domains  $\mathcal{S}_I$  and  $\mathfrak{S}$  are denoted as

$$\begin{split} R_{F/\mathbf{Q}}(\mathrm{IV}_{n_1;\,\nu_2',\nu_2''},\,S^{(1)},\,h_2',\,h_2'')_I & \quad (n_1 \geq 6,\,\equiv 2(4))\;, \\ R_{F/\mathbf{Q}}\,\mathfrak{S}(V_2',\,D_0,\,h_2') \times R_{F/\mathbf{Q}}\,\mathfrak{S}(V_2'',\,D_0,\,h_2')\;. \end{split}$$

[One may choose the orientation of  $U^{(1)}$  so that  $v_2 \ge v_2''$  and, when  $v_2'' = 0$ , one omits the second factor  $R_{F/O} \in (V_2'', D_0, h_2'')$ .]

In general, if p is a point in the *second* boundary component of an irreducible symmetric doamin, then the fiber over p is an irreducible quasisymmetric domain of type  $(IV_{n_1;v_2})$  or  $(IV_{n_1;v_2,0})$ . Thus, for  $n_1=6$ , by virtue of the isomorphism  $\mathcal{P}(1,5)\simeq\mathcal{P}_2(H)$ , the domain  $R_{F/Q}(IV_{6;v_2,0},S^{(1)},h_2)_I$  ( $\Delta\sim 1$ ) is identified (through the first spin representation) with the fiber over a rational point I in the second rational boundary component  $\mathfrak{S}=R_{F/Q}(II_{v_2}^{(2)},D_0,h_2)$  in the Siegel domain expression of  $\mathcal{F}=R_{F/Q}(II_{4+v_2}^{(2)},D_0,h_2')$ , where  $D_0=C_1^+(F)$  is a totally definite quaternion algebra over F. In particular,  $R_{F/Q}(IV_{6;1,0},S^{(1)},h_2)$  is identified with the symmetric domain  $R_{F/Q}(II_5^{(2)},D_0,h_2')$ . For  $n_1=10$ , the domain  $R_{F/Q}(IV_{10;2/\delta_0,0},S^{(1)},h_2)_I$  ( $\Delta\sim 1$ ) is identified with the fiber over a rational point I in the second rational boundary component  $\mathfrak{S}=R_{F/Q}(III_1^{(\delta_0)},D_0,h_2)$  in the Siegel domain expression of a symmetric domain of the *exceptional* type  $(VI)^I$  with a Q-structure of Q-rank  $1+2/\delta_0$ .

### Appendix: The symmetric case.

A.1. The condition (iii). First we introduce some notation. For  $v, v' \in V$ , set

(76) 
$$\varphi H_I(v, v') = \varphi(A(v, v'))I + \varphi(A(v, Iv')).$$

Then one has

$$I \cdot \varphi H_{I}(v, v') = -\varphi H_{I}(Iv, v') = \varphi H_{I}(v, Iv') = \varphi H_{I}(v, v')I$$
.

Thus  $\varphi H_I(v, v')$  is *C*-linear in v' and *C*-semilinear in v with respect to the complex structure of V defined by I. It follows that one has

(77) 
$$\varphi H_{I}(v, v')v'' = 2i(\varphi(A(v_{-}, v'_{+}))v''_{+} - \varphi(A(v_{+}, v'_{-}))v''_{-}).$$

Moreover, for  $g_2 \in G_2$ , one has

(78) 
$$g_2^{-1}\varphi H_I(g_2v, g_2v')g_2 = \varphi H_{g_2^{-1}Ig_2}(v, v').$$

The following result is known (cf. [S6, p. 223–224, Th. 3.5]).

PROPOSITION 6. A quasisymmetric domain  $\mathcal{S}_I$  is symmetric if and only if the following condition is satisfied:

(iii) 
$$A(v, \varphi H_I(v', v'')v'') = A(\varphi H_I(v'', v)v', v'')$$
 for  $v, v', v'' \in V$ ,

or equivalently,

(iii') 
$$A(\bar{w}, \varphi(A(\bar{w}', w''))w'') = A(\varphi(A(\bar{w}, w'')\bar{w}', w'') \quad \text{for } w, w', w'' \in V_+.$$

COROLLARY. If  $\mathscr{G}_I$  is symmetric for one  $I \in \mathfrak{S}$ , then  $\mathscr{G}_I$  is symmetric for all  $I \in \mathfrak{S}$ . This follows from Proposition 9 and (78).

REMARK. It is known ([S6, p. 228, Lem. 4.6]) that (iii) is equivalent to any one of the following conditions.

(iii<sub>1</sub>) 
$$\varphi H_I(v, \varphi(u)v')v' = \varphi(u)\varphi H_I(v, v')v',$$

(iii'<sub>1</sub>) 
$$\varphi H_I(\varphi(u)v, v')v' = \varphi H_I(v, v')\varphi(u)v'$$
$$(v, v' \in V, u \in U).$$

By the classification, we see that an irreducible domain  $\mathcal{S}_I$  is symmetric if and only if either one has  $g_1 = \{1_U\}_R$  or  $g_2$  is compact. Note that there are some discrepancy of the notation between this paper and [S6, Ch. V]. In the latter, the complex structure I on V is fixed, so that (V, I) is identified with  $V_+$ . One has the following dictionary (on the left hand side is the notation in [S6]):

$$4H(v, v') = A(v, Iv') + iA(v, v'), 2R_u = \varphi(u),$$
  

$$8R(H(v, v'))(\text{on } V_+) = \varphi H_I(v, v')(\text{on } V_+) = 2i\varphi(A(v_-, v'_+)).$$

A.2. Infinitesimal automorphisms of  $\mathscr{G}_I$ . Let  $\operatorname{Aut}\mathscr{G}_I$  denote the group of biholomorphic automorphisms of  $\mathscr{G}_I$  and let  $\mathfrak{G} = \operatorname{Lie} \operatorname{Aut}\mathscr{G}_I$ . Then  $X \in \mathfrak{G}$  can be expressed by the corresponding "infinitesimal automorphism" of  $\mathscr{G}_I$ , i.e. the differential operator  $\tilde{X}$  on  $C^{\infty}(\mathscr{S}_I)$  defined by

$$(\widetilde{X}f)(u,w) = \frac{d}{dt} f(\exp(tX)^{-1}(u,w))\big|_{t=0};$$

in notation, we write  $X \leftrightarrow \tilde{X}$ . Let  $(e_{\alpha})$  and  $(e'_{\lambda})$  be bases of  $U_C$  and  $V_+$  over C, respectively, and let  $(u_{\alpha})$  and  $(w_{\lambda})$  the corresponding complex coordinates of  $U_C$  and  $V_+$ . Then  $\tilde{X}$  is expressed in the form

(79) 
$$\widetilde{X} = \sum_{\alpha=1}^{n} p_{\alpha}(u, w) \frac{\partial}{\partial u_{\alpha}} + \sum_{\lambda=1}^{m} q_{\lambda}(u, w) \frac{\partial}{\partial w_{\lambda}}.$$

Setting  $p(u, w) = \sum_{\alpha=1}^{n} p_{\alpha}(u, w)e_{\alpha}$ ,  $q(u, w) = \sum_{\lambda=1}^{m} q_{\lambda}(u, w)e'_{\lambda}$ , we write

$$\tilde{X} = p(u, w) \frac{\partial}{\partial u} + q(u, w) \frac{\partial}{\partial w}$$
.

First, for the Heisenberg group  $\tilde{V}$ , the Lie algebra Lie  $\tilde{V}$  is naturally identified with  $U \oplus V$  (as a vector space). Viewing Lie  $\tilde{V}$  as a subalgebra of  $\mathfrak{G}$ , one has by (7)

(80) 
$$a+b \leftrightarrow -(a-A(b_{-},w))\frac{\partial}{\partial u}-b_{+}\frac{\partial}{\partial w} \qquad (a \in U, b \in V).$$

Clearly one has

(81) 
$$[a+b, a'+b'] = -A(b, b') \qquad (a, a' \in U, b, b' \in V).$$

For the linear group  $G_I$ , one embeds  $\text{Lie } G_I = \mathfrak{g}_1 \oplus \mathfrak{k}_2$  into  $\mathfrak{gl}(U) \times \mathfrak{gl}(V)$ . Then, for  $(X_1, Y_1) \in \text{Lie } G_I$ , one has

(82) 
$$(X_1, Y_1) \leftrightarrow -X_1 u \frac{\partial}{\partial u} - Y_1 w \frac{\partial}{\partial w} .$$

Clearly one has

$$[(X_1, Y_1), a+b] = X_1 a + Y_1 b,$$
  
$$[(X_1, Y_1), (X_2, Y_2)] = ([X_1, X_2], [Y_1, Y_2]).$$

When  $\mathcal{S}_I$  is symmetric, let  $\theta$  be the Cartan involution of  $\mathfrak{G}$  at  $(ie, 0) \in \mathcal{S}_I$ . Then one has a gradation of  $\mathfrak{G}$  according to  $\operatorname{ad}(-1_U, (-1/2)1_V)$  of the following form:

(83) 
$$\mathfrak{G} = \sum_{\nu=-2}^{2} \mathfrak{G}_{\nu/2} , \qquad \theta \mathfrak{G}_{\nu/2} = \mathfrak{G}_{-\nu/2} .$$

$$\mathfrak{G}_{-1} = U , \quad \mathfrak{G}_{-1/2} = V , \quad \mathfrak{G}_{0} = \text{Lie } G_{I} = \mathfrak{g}_{1} \oplus \mathfrak{f}_{2} ,$$

and  $\theta$  induces the Cartan involution  $\theta_1 \oplus \theta_2$  on  $\mathfrak{G}_0$  (cf. [M], [S6, p. 211, (A), p. 220, Prop. 3.3]). In order to describe the action of  $\theta$  on U, V, it is convenient to use the following notation:

$$(u \square u')u'' = \{u, u', u''\} = (uu')u'' + u(u'u'') - u'(uu''),$$
  
$$u \square u' = T_{....'} + [T_{...}, T_{..'}].$$

By (18) and (19) one has

(84) 
$$\varphi(\{u, u', u''\}) = \frac{1}{2} (\varphi(u)\varphi(u')\varphi(u'') + \varphi(u'')\varphi(u')\varphi(u)),$$

(85) 
$$\{u, A(v, v'), u'\} = \frac{1}{2} (A(\varphi(u)v, \varphi(u')v') + A(\varphi(u')v, \varphi(u)v')).$$

Proposition 7. One has

(86) 
$$\theta a \leftrightarrow -\{u, a, u\} \frac{\partial}{\partial u} - \varphi(u)\varphi(a)w \frac{\partial}{\partial w},$$

(87) 
$$\theta b \leftrightarrow -iA(\varphi(u)b_{-}, w) \frac{\partial}{\partial u} -i(\varphi(u)b_{+} + \varphi(A(b_{-}, w))w) \frac{\partial}{\partial w}.$$

This was given in [S6, p. 224, Th. 3.6]. A more direct proof can be given as follows. The symmetry at (ie, 0), denoted also by  $\theta$ , is given by

$$\theta: (u, w) \mapsto (-u^{-1}, -i\varphi(u)^{-1}w),$$

where  $u^{-1}$  denotes the inverse of u in the Jordan algebra (U, e) and one has

 $\varphi(u^{-1}) = \varphi(u)^{-1}$  (cf. [S6, p. 139, Exc. 3]). Hence, for  $a \in U$ , one has  $(\exp \theta a)(u, w) = (\theta \circ (\exp a) \circ \theta)(u, w) = \theta(-u^{-1} + a, -i\varphi(u)^{-1}w)$  $= ((u^{-1} - a)^{-1}, \varphi(u^{-1} - a)^{-1}\varphi(u)^{-1}w).$ 

Here one has

$$(u^{-1}-a)^{-1} = (1-u \square a)^{-1}u = u - \{u, a, u\} + \cdots$$
  
$$\varphi(u^{-1}-a)^{-1}\varphi(u)^{-1} = 1 - \varphi(u)\varphi(a) + \cdots$$

([S6, p. 26, Exc. 6] and (84)). Hence one obtains (86). The relation (87) is obtained similarly by using (iii<sub>1</sub>), (77), (85).

By direct computations from (80), (86) and (87) one obtains

[a, 
$$\theta a'$$
] =  $(-2a \square a', -\varphi(a)\varphi(a'))$ ,

$$[b, \theta b'] = (-4\Phi_{h,h'}, -4\Psi_{h,h'}),$$

where

$$\begin{split} & 4\Phi_{b,b'}\colon u \mapsto A(b,\varphi(u)Ib')\;,\\ & 4\Psi_{b,b'}\colon v \mapsto \frac{1}{2}\left(\varphi H_I(b',v)b - \varphi H_I(b,v)b' + \varphi H_I(b',b)v\right)\;. \end{split}$$

(For (90) one uses (iii'). Cf. [S6, p. 231–233, Exc. 5 and Rem.])

A.3. **Q**-structures of  $\mathfrak{G}$ . Now we assume that there is given a **Q**-structure of the quasisymmetric domain  $\mathcal{S}_I$  in the sense of 3.1. This means that one has a **Q**-structure of  $\mathfrak{G}_{Aff} = \mathfrak{G}_{-1} + \mathfrak{G}_{-1/2} + \mathfrak{G}_0$  such that  $(1_U, (1/2)1_V) \in \mathfrak{g}_1$  is **Q**-rational. Then, since  $I \in \mathfrak{S}$  is "rational", there exists a totally positive element  $\alpha_1 \in F$  such that  $\sum_{i=1}^{I} \sqrt{\alpha_1^{\sigma_i}} I^{(i)}$  is **Q**-rational. [We say that I is a rational point with CM-field  $F(\sqrt{-\alpha_1})$ , endowed with the standard CM-type  $(\sigma_i')$  defined by  $\sqrt{-\alpha_1}^{\sigma_i'} = \sqrt{-1} \sqrt{\alpha_1^{\sigma_i}}$ .] In what follows, for  $\lambda_i \in R$   $(1 \le i \le l)$  and  $x = \sum x^{(i)}$ , we write

$$(\lambda_i) \cdot x = \sum_{i=1}^l \lambda_i x^{(i)}.$$

In this section, we don't assume that e is Q-rational. e is called *semirational* if there exists a totally positive element  $\alpha \in F$  such that  $(\sqrt{\alpha^{\sigma_i}}) \cdot e$  is Q-rational. We say that e or  $\theta$  is *compatible with* the complex structure I if  $(\sqrt{\alpha^{\sigma_i}}) \cdot e$  is Q-rational.

LEMMA. Let  $e, e' \in U, e' = (\lambda_i) \cdot e$  and denote the symbols relative to e' by the corresponding symbols relative to e with a prime. Then one has

$$T'_a = (\lambda_i)^{-1} \cdot T_a$$
,  $\varphi'(a) = (\lambda_i)^{-1} \cdot \varphi(a)$ ,  
 $\{u, u', u''\}' = (\lambda_i)^{-2} \cdot \{u, u', u''\}$ ,  
 $\theta' a = (\lambda_i)^{-2} \cdot \theta a$ ,  $\theta' b = (\lambda_i)^{-1} \cdot \theta b$ 

for  $a, u, u', u'' \in U, b \in V$ .

The proof is straightforward.

THEOREM 3. Assume that  $\mathcal{S}_I$  is symmetric and let  $\theta$  be the Cartan involution of  $\mathfrak{G}$  at  $(ie,0)\in\mathcal{S}_I$ . Then, there exists a unique  $\mathbf{Q}$ -structure of  $\mathfrak{G}$  satisfying the following conditions:

- (a) It extends the given Q-structure of  $\mathfrak{G}_{Aff}$ .
- ( $\beta$ ) Whenever e is semirational, the restriction  $\theta | U$  is **Q**-rational.

The Cartan involution  $\theta$  is **Q**-rational with respect to this **Q**-structure of  $\mathfrak{G}$  if and only if  $\theta$  is compatible with I.

PROOF. First we prove the uniqueness in the first statement. Suppose one has a Q-structure of  $\mathfrak{G}$  satisfying the conditions ( $\alpha$ ), ( $\beta$ ). (Note that, by the above lemma, the condition ( $\beta$ ) is satisfied if  $\theta \mid U$  is Q-rational for one semirational e.) Then the Q-structures on the vector spaces  $\mathfrak{G}_{\nu/2}$  are uniquely determined except for  $\nu=1$ . As for  $\mathfrak{G}_{1/2}=\theta V$ , one has by (89)

$$\theta Ib = -[\theta e, b] \qquad (b \in V)$$
.

Hence, if  $(\sqrt{\alpha^{\sigma_i}}) \cdot e$  is Q-rational, then the map  $b \mapsto (\sqrt{\alpha^{\sigma_i}}) \cdot \theta Ib$  is Q-rational. By this condition, which is independent of the choice of the semirational e by the above lemma, the Q-structure of  $\mathfrak{G}_{1/2}$  is also uniquely determined. Conversely, by virtue of (88), (89), (90) and the above lemma, one sees that, defining the Q-structure of  $\mathfrak{G}_{1/2}$  and  $\mathfrak{G}_1$  as indicated above, one obtains a Q-structure of  $\mathfrak{G}$  satisfying the conditions  $(\alpha)$ ,  $(\beta)$ . From this and the definition the second statement is clear.

REMARK. The above theorem remains valid for the case V=0. In that case, any Cartan involution with semirational e is Q-rational.

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Department of Mathematics Chuo University 1–13–27 Kasuga, Bunkyoku Tokyo 112 Japan