

Parametric \mathcal{I} -approximate derivatives are in Baire class one

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It is well-known that if a function $f : \mathbb{R} \rightarrow \mathbb{R}$ is approximately differentiable by any of the common methods, then its approximate derivative is in Baire class one. In fact, this observation has been proved to be true for a large class of generalized derivatives which are definable via difference quotients by the following method [1].

Definition. A function h is a *parameter function* iff it satisfies:

- (1) h is measurable;
- (2) if G is any measurable set, then $h(G)$ is measurable; and,
- (3) if A_n is any sequence of Borel sets such that $\lim_{n \rightarrow \infty} |A_n| = 0$, then

$$\lim_{n \rightarrow \infty} |h^{-1}(A_n)| = 0.$$

Definition. A *generalized difference quotient* is a quotient of the form

$$Q(x, t) = \frac{1}{g(t)} \sum_{i=1}^n a_i f(x + h_i(t)),$$

where $a_i \in \mathbb{R}$, h_i are parameter functions, $1 \leq i \leq n$, and f, g are arbitrary functions.

Definition. The *generalized derivative* associated with this general difference quotient is

$$f^*(x) = \lim_{t \rightarrow 0} Q(x, t)$$

whenever this limit exists, where “ \lim ” stands for the approximate limit.

Using this structure, the following theorem can be proved.

Theorem 1. If $f : \mathbb{R} \rightarrow \mathbb{R}$ is a measurable functions such that f^* exists everywhere (finite or infinite), then f^* is in Baire class one.

In a simple case the proof of this theorem can be hinted at by the following example.

Example. Let

$$Q(x, h) = \frac{f(x + h) - f(x - h)}{2h}.$$

The idea of the proof in this case is a “balancing act.” The set

$$S_{rst} = \left\{ x : \frac{|\{h \in (0, 1/r) : Q(x, h) > -1/s\}|}{1/r} > 1 - \frac{1}{t} \right\}, \quad r, s, t \geq 1,$$

is open, and

$$\{x : f(x) \geq 0\} = \bigcap_{t=1}^{\infty} \bigcap_{r=1}^{\infty} \bigcap_{s=1}^{\infty} S_{rst} \in \mathbf{G}_\delta.$$

But, Theorem 1 can also be used in cases where the “quotient” structure is less obvious.

Example. $Q(x, h) = f(x + h)$ yields that approximately continuous functions are in Baire class one.

A natural question is whether the same methods can be used to prove that similar results hold for the \mathcal{I} -approximate limit [2] instead of the approximate limit. The definitions given above can be paralleled in the following manner.

Definition. A function h is an \mathcal{I} -parameter function iff:

- (1) h is \mathcal{I} -approximately continuous;
- (2) if G has the Baire property, then $h(G)$ has the Baire property; and,
- (3) if A_n is any sequence of open sets such that $\bigcap_{n \in \mathbb{N}} A_n \in \mathcal{I}$, then

$$\bigcap_{n \in \mathbb{N}} h^{-1}(A_n) \in \mathcal{I}.$$

Define $Q(x, t)$ as before and $f_{\mathcal{I}}^*$ in the natural way. Using these definitions, the following theorem analogous to Theorem 1 is true.

Theorem 2. If f is a function with the Baire property such that $f_{\mathcal{I}}^*$ exists everywhere (finite or infinite), then $f_{\mathcal{I}}^*$ is in Baire class one.

Corollary. In particular, the following types of “derivatives” are all in Baire class one.

- (1) \mathcal{I} -approximately continuous functions.
- (2) The n^{th} \mathcal{I} -approximate symmetric derivatives (with infinite values allowed), when n is odd.
- (3) The n^{th} finitely \mathcal{I} -approximately symmetrically differentiable functions, where n is even.

Finally, the following can be said about the extreme \mathcal{I} -approximate derivatives.

Theorem 3. If $\overline{f}_{\mathcal{I}}^*$ and $\underline{f}_{\mathcal{I}}^*$ are the upper and lower \mathcal{I} -approximate derivatives of a function f , with the Baire property, then $\overline{f}_{\mathcal{I}}^*$ and $\underline{f}_{\mathcal{I}}^*$ are both in Baire class three.

References

- [1] Lee Larson, A method for showing generalized derivatives are in Baire class one. *Contemporary Math.*, 42:1985.
- [2] W. Wilczyński, A category analogue of the density topology, approximate continuity, and the approximate derivative. *Real Anal. Exch.*, 10:241–265, 1984–85.