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Václav Kelar, matematicko-fyzikální fakulta Karlovy university, 186 00 Praha 8, Sokolovská 83, Czechoslovakia

ON THE FIRST AND THE FIFTH CLASS OF ZAHORSKI

<u>Introduction</u>. For a real-valued function of a real variable f, the associated sets of f are the sets  $E^{r}(f) = \{x: f(x) < r\}$  and  $E_{r}(f) = \{x: f(x) > r\}$  where r is real. It is well-known that f is in the first Baire class ( $\mathfrak{G}_{1}$ ) if and only if every associated set of f is of type  $F_{\sigma}$ . In [8], Zahorski considered a hierarchy  $\{\mathfrak{m}_{i}\}_{i=0}^{5}$  of subclasses of  $\mathfrak{G}_{1}$  ( $\mathfrak{m}_{i-1} \supset \mathfrak{m}_{i}$ ). Each of these classes is defined in terms of associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  matrix if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and only if every associated set of f is in  $\mathfrak{m}_{i}$  if and  $\mathfrak{m}_{i} = \mathfrak{M}_{i} = \mathfrak{M}_{i}$  (the class of all Darboux-Baire 1 functions) and  $\mathfrak{m}_{5} = \mathcal{A}$  (the class of all approximately continuous functions).

Let  $\mathscr{X}$  denote the class of all homeomorphisms of the real line R onto itself. A theorem of Maximoff [5] asserts that for any function  $f \in \mathfrak{M}_1$  there exists  $h \in \mathscr{X}$ such that  $f \circ h \in \mathfrak{M}_5$ . Gorman [2] showed that a set analogue of this theorem holds: If  $E \in \mathfrak{M}_1$ , then there exists  $h \in \mathscr{X}$ such that  $h(E) \in \mathfrak{M}_5$ .

In Theorem 1 of this paper we characterize all countable collections  $S \subset M_1$  for which there exists  $h \in \mathcal{X}$ such that  $\{h(E): E \in S\} \subset M_5$ . The idea is based on a lemma due to Preiss [7] ([7] contains a proof of Maximoff's

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theorem). Maximoff's theorem is then stated as a simple corollary of Theorem 1.

It is known that  $A = \mathbb{M}_5$  is exactly the class of continuous functions relative to a certain topology (the density topology) in the domain space. Thus a number of results concerning  $\mathbb{M}_5$ -functions can be obtained by topological methods. No such topology exists for  $\mathfrak{BB}_1 = \mathbb{M}_1$ . Applying Theorem 1, we show that some of these results (two lemmas of Zahorski [8], extension theorems [6], [4]) have valid analogues in  $\mathbb{M}_1$ .

<u>Notations</u>. In what follows, all sets dealt with are subsets of R and all functions, unless otherwise specified, have R as domain. N denotes the set of all natural numbers,  $\lambda$  the Lebesgue measure on R,  $\overline{E}$  and  $E^{\circ}$ the closure and interior of the set E,  $U(F,\varepsilon)$  the  $\varepsilon$ -neighbourhood of the set F,  $f/_E$  the restriction of the function f to the domain E, and (x,y) the open interval from x to y where x < y or x > y. For  $h \in \mathcal{X}$ ,  $h^{-1}$  denotes the inverse of h.  $F_{\sigma}$  and  $G_{\delta}$ denotes the collection of all sets of type  $F_{\sigma}$  and  $G_{\delta}$ , respectively.

<u>Homeomorphic transformation of M<sub>1</sub>-sets into M<sub>5</sub>-sets</u>. In this section, by a measure we mean a nonnegative locally finite non-atomic Borel regular measure on R. A measure  $\mu$  is called positive if  $\mu(I) > 0$  for every open interval I.

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<u>Definition</u>. Let  $E \in F_{\sigma} \setminus \{\emptyset\}$  and let  $\mu$  be a positive measure. We shall say that E belongs to class

- M<sub>0</sub> if EOI is infinite whenever I is a closed interval intersecting E (i.e., E is bilaterally dense-in-itself)
- M1 if E ∩ I is uncountable whenever I is a closed interval intersecting E (i.e., E is bilaterally c-dense-in-itself)
- $M_2^{\mu}$  if  $\mu(E \cap I) > 0$  whenever I is a closed interval intersecting E

$$M_5^{\prime}$$
 if every point of E is a point of density of E  
relative to  $\mu$  (i.e.,  $d_{\mu}(E,x) \equiv \lim_{y \to x} \frac{\mu(E \cap (x,y))}{\mu(x,y)} = 1$   
for every  $x \in E$ ).

The empty set is considered to belong to each of these classes.

<u>Remark 1</u>. It is easy to verify that the following assertions are valid for any positive measure  $\mu$ . (a)  $M_0 \supset M_1 \supset M_2^{\mu} \supset M_5^{\mu}$ . Any open set E belongs to  $M_5^{\mu}$ . (b) If  $E \in M_1$ ,  $h \in \mathcal{X}$ , then  $h(E) \in M_1$ . (c) Each of the defined classes is closed under the formation of countable unions.  $M_5^{\mu}$  is closed under the formation of finite intersections, but none of the other classes is. To see this, put

A = (-1,0] 
$$\bigcup_{n=1}^{\infty} (\frac{1}{2n+1}, \frac{1}{2n})$$
, B = (-1,0]  $\bigcup_{n=1}^{\infty} (\frac{1}{2n}, \frac{1}{2n-1})$ .  
Then A and B are in  $\mathbb{M}_{2}^{\mu}$ , but A  $\cap$  B = (-1,0]  $\notin$  M<sub>0</sub>.

Lemma 1. Let  $\{G_m\}_{m \in \mathbb{N}} \subset \mathbb{M}_1$ . Then there exists a positive measure  $\mu$  such that  $\mu(-\infty,0) = \mu(0,\infty) = \infty$ and  $\{G_m\}_{m \in \mathbb{N}} \subset \mathbb{M}_2^{\mu}$ .

<u>Proof</u>. For any uncountable Borel set B there exists a finite measure  $\chi$  such that  $\chi(B) > 0$  (see [7, p. 101]).

Let  $\{I_n\}$  be a sequence of all closed intervals with rational endpoints. Put  $M = \{(m,n): G_m \cap I_n \neq \emptyset\}$ . If  $(m,n) \in M$ , then  $G_m \cap I_n$  is uncountable, and we may find a measure  $\chi_{m,n}$  such that  $\chi_{m,n}(G_m \cap I_n) > 0$  and  $\chi_{m,n}(R) = 2^{-m-n}$ . We set  $\mu = \lambda + \sum_{(m,n) \in M} \chi_{m,n}$ .

Lemma 2. Suppose that

(a)  $\varepsilon > 0$ ,  $\eta$  is a positive measure

(b) F is a nonempty compact nowhere dense set

(c)  $E \in M_2^{\eta}$ ,  $F \subset E$ .

Then there exists a measure  $\chi$  such that

- (1)  $\chi(\mathbb{R} \setminus (\mathbb{E} \cap \mathbb{U}(\mathbb{F}, \varepsilon))) = 0$
- (2)  $\chi(\mathbf{R}) < \varepsilon$
- (3) if  $x \in F$ , then  $\lim_{y \to x} \frac{\eta((x,y) \setminus E)}{\gamma(x,y)} = 0$ .

<u>Proof</u>. This is a corollary of [7, Lemma 2]: Under the hypotheses (a), (b), (c) there exists a Borel measurable nonnegative function g such that (1')  $\{x: g(x) \neq 0\} \subset (E \setminus F) \cap U(F, \epsilon)$ 

(3') if  $x \in F$ , then  $\lim_{y \to x} \eta((x,y) \setminus F) \cdot (\int_{(x,y)} g \, d\eta)^{-1} = 0$ . It suffices to put  $g(B) = \int_{B} g \, d\eta$  for every Borel set B.

Lemma 3. Suppose that

(a)  $\varepsilon > 0$ ,  $\mu$  and  $\eta$  are positive measures (b) A and B are compact nowhere dense sets (c)  $E \in M_2^{\eta}$ ,  $A \subset E$ . Then there exists a measure  $\gamma$  such that (4)  $\gamma(R \setminus E) = 0$ (5)  $\gamma(R) < \varepsilon$ (6) if  $x \in A \setminus B$ , then  $\lim_{y \to x} \frac{\eta((x,y) \setminus E)}{\gamma(x,y)} = 0$ (7) if  $x \in B$  and  $y \neq x$ , then  $\gamma(x,y) < \varepsilon(\mu(x,y))^2$ .

<u>Proof.</u> If  $A \setminus B = \emptyset$ , put  $\gamma = 0$ . If  $A \neq \emptyset$ ,  $B = \emptyset$ , apply Lemma 2 with F = A. Now, let  $A \setminus B$  and B be nonempty. We can write  $A \setminus B = \bigcup_{n=1}^{\infty} A_n$  where  $A_n$  are nonempty compact nowhere dense sets. For each n find  $\delta_n > 0$  such that  $B \cap \overline{U(A_n, \delta_n)} = \emptyset$  and put  $\varepsilon_n = \min\{\varepsilon 2^{-n}, \delta_n, \varepsilon 2^{-n}(\inf\{\mu(x, y) : x \in B, y \in \overline{U(A_n, \delta_n)}\})^2\}$ . Apply Lemma 2 with  $\varepsilon = \varepsilon_n$ ,  $F = A_n$  to obtain a measure  $\delta_n$ with properties (1), (2), (3). Set  $\gamma = \sum_{n=1}^{\infty} \gamma_n$ .

Statements (4), (5) are easy consequences of (1), (2). Let  $x \in A_m \subset A \setminus B$ . Since  $\gamma(x,y) \geq \gamma_m(x,y)$  for any  $y \neq x$ , (6) follows from (3). To prove (7), let  $x \in B$ ,  $y \neq x$ . If  $\gamma_n(x,y) > 0$  for some n, then (1) implies  $(x,y) \cap U(A_n, \varepsilon_n) \neq \emptyset$ . Pick  $z \in (x,y) \cap U(A_n, \varepsilon_n)$ . We have  $\gamma_n(x,y) \leq \gamma_n(R) < \varepsilon_n \leq \varepsilon 2^{-n} (\mu(x,z))^2 < \varepsilon 2^{-n} (\mu(x,y))^2$ which implies (7).

<u>Theorem 1</u>. Let  $\{E_n\}_{n \in \mathbb{N}}$  be a countable collection of sets. Then the following conditions are equivalent. (i)  $\bigcap_{j \in \mathbb{M}} E_j \in \mathbb{M}_1$  whenever  $\mathbb{M} \subset \mathbb{N}$  is finite. (ii) There exists a positive measure  $\nu$  such that  $\nu(-\infty, 0) = \nu(0, \infty) = \infty$  and  $\{E_n\}_{n \in \mathbb{N}} \subset \mathbb{M}_5^{\nu}$ . (iii) There exists  $h \in \mathcal{X}$  such that  $\{h(E_n)\}_{n \in \mathbb{N}} \subset \mathbb{M}_5^{\nu}$ .

<u>Proof.</u> (i)  $\Rightarrow$  (ii). By Lemma 1, we may find a positive measure  $\mu$  such that  $\mu(-\infty,0) = \mu(0,\infty) = \infty$  and  $E_{M} = \bigcap_{j \in M} E_{j} \in M_{2}^{\mu}$  whenever  $M \subset N$  is finite.

We shall suppose that all the sets  $H_n = E_n \setminus E_n^0$  are nonempty (if  $H_n = \emptyset$ , then  $E_n$ , being open, is in  $M_5^{\vee}$ for any positive measure  $\vee$ ). Since for each n,  $H_n \in F_{\sigma}$ and  $H_n^0 = \emptyset$ , we may write  $H_n = \bigcup_{k=n}^{\infty} H_n^k$  where  $\{H_n^k\}_{k=n}^{\infty}$ is a sequence of compact nowhere dense sets such that  $\emptyset \neq H_n^k \subset H_n^{k+1}$  for every  $k \ge n$ .

Let  $P_n$  denote the collection of all nonempty subsets of  $N_n = \{1, 2, ..., n\}$ . For each  $n \in \mathbb{N}$  and each  $M \in P_n$  put

$$\varepsilon_n = 2^{-(2n-1)}, \quad A_M^n = \bigcap_{j \in M} H_j^n, \quad B_M^n = \bigcup_{j \in N_n \setminus M} H_j^n$$

(if  $M = N_n$ , then  $B_M^n = \emptyset$ ). Note that  $A_M^n \subset E_M$ .

We shall construct a sequence  $\{\gamma_n\}_{n=0}^{\infty}$  of measures. We set  $\gamma_0 = \mu$ . Assume that  $\gamma_0, \gamma_1, \dots, \gamma_{n-1}$  have already been defined. Put

 $\begin{array}{l} & \gamma_{n-1} = \chi_0 + \chi_1 + \cdots + \chi_{n-1} \end{array} .$ For each set  $M \in \mathbb{P}_n$  apply Lemma 3 with  $\mathcal{E} = \mathcal{E}_n, \eta = \gamma_{n-1}$ ;  $A = A_M^n, B = B_M^n, E = E_M$  to obtain a measure  $\chi_M^n$  such that  $(4^{\prime}) \quad \chi_M^n(\mathbb{R} \setminus \mathbb{E}_M) = 0$   $(5^{\prime}) \quad \chi_M^n(\mathbb{R}) < \mathcal{E}_n$   $(6^{\prime}) \quad \text{if } \mathbf{x} \in A_M^n \setminus B_M^n$ , then  $\lim_{y \to \infty} \frac{\gamma_{n-1}((\mathbf{x}, y) \setminus \mathbb{E}_M)}{\chi_M^n(\mathbf{x}, y)} = 0$   $(7^{\prime}) \quad \text{if } \mathbf{x} \in B_M^n, y \neq \mathbf{x}$ , then  $\chi_M^n(\mathbf{x}, y) < \mathcal{E}_n(\mu(\mathbf{x}, y))^2$ . Put

$$\gamma_n = \sum_{M \in P_n} \gamma_M^n \, .$$

Having defined the sequence  $\{\gamma_n\}_{n=0}^{\infty}$ , set

$$v = \sum_{n=0}^{\infty} g_n$$

Fix  $m \in N$ ,  $x \in E_m$ . We need to show that  $d_y(E_m, x) = 1$ . If  $x \in E_m^0$ , then it is obvious. Suppose that  $x \in H_m$ . Choose  $i \ge m$  so that  $x \in H_m^i$ . For  $y \ne x$  we can write

$$v((\mathbf{x},\mathbf{y}) \setminus \mathbf{E}_{\mathbf{m}}) = v_{\mathbf{i}-1}((\mathbf{x},\mathbf{y}) \setminus \mathbf{E}_{\mathbf{m}}) + \sum_{\mathbf{n}=\mathbf{i}}^{\mathbf{n}} \zeta_{\mathbf{n}}((\mathbf{x},\mathbf{y}) \setminus \mathbf{E}_{\mathbf{m}})$$

Let  $n \ge i$ ,  $M \in P_n$ . If  $m \in M$ , then  $E_M \subset E_m$ , and (4') yields  $\gamma_M^n(R \setminus E_m) = 0$ . If  $m \notin M$ , then  $x \in H_m^i \subset H_m^n \subset B_M^n$ , hence  $\gamma_M^n((x,y) \setminus E_m) \le \gamma_M^n(x,y) < \varepsilon_n(\mu(x,y))^2$ , by (7').

Putting 
$$P_n^* = \{ M \in P_n : m \notin M \}$$
, we obtain  $\mathcal{Y}_n((x,y) \setminus E_m) =$   
=  $\sum_{M \in P_n^*} \mathcal{Y}_M^n((x,y) \setminus E_m) < 2^{n-1} \varepsilon_n(\mu(x,y))^2 = 2^{-n}(\mu(x,y))^2$ ,

consequently

$$\sum_{n=1}^{\infty} \gamma_n((x,y) \setminus E_m) < (\mu(x,y))^2 \leq \mu(x,y)\gamma(x,y) .$$
  
Put Q = {j: x  $\in H_j^i$ }. Then m  $\in Q$  and x  $\in A_Q^i \setminus B_Q^i$ . Since  $E_Q \subset E_m$ , we have

$$v_{i-1}((x,y) \setminus E_m) \leq v_{i-1}((x,y) \setminus E_Q) \leq \frac{v_{i-1}((x,y) \setminus E_Q)}{y_Q^i(x,y)} v(x,y)$$
.

Thus

$$\nu((\mathbf{x},\mathbf{y}) \setminus \mathbf{E}_{\mathbf{m}}) \leq \left(\frac{\nu_{i-1}((\mathbf{x},\mathbf{y}) \setminus \mathbf{E}_{\mathbf{Q}})}{\gamma_{\mathbf{Q}}^{i}(\mathbf{x},\mathbf{y})} + \mu(\mathbf{x},\mathbf{y})\right) \nu(\mathbf{x},\mathbf{y})$$

and (6') proves that  $d_y(E_m, x) = 1 - \lim_{y \to x} \frac{y((x,y) \setminus E_m)}{y(x,y)} = 1$ .

(ii) 
$$\Rightarrow$$
 (iii). Define a function h by

$$h(x) = \begin{cases} v(0,x) & \text{if } x > 0 \\ -v(x,0) & \text{if } x < 0 \end{cases}, \quad h(0) = 0.$$

Then  $h \in \mathcal{X}$ . Since  $v(I) = \lambda(h(I))$  for every open interval I, we have  $v(B) = \lambda(h(B))$  for every Borel set B.

Let a = h(u),  $u \in E_n = E$ . Then

$$d_{\lambda}(h(E),a) = \lim_{b \to a} \frac{\lambda(h(E) \cap (a,b))}{\lambda(a,b)} =$$

$$= \lim_{v \to u} \frac{\lambda(h(E) \cap (h(u),h(v)))}{\lambda(h(u),h(v))} =$$

$$= \lim_{v \to u} \frac{\nu(E \cap (u,v))}{\nu(u,v)} = d_{\nu}(E,u) = 1.$$
(iii)  $\Rightarrow$  (i). We have  $\bigcap_{j \in M} E_j = h^{-1}(\bigcap_{j \in M} h(E_j))$  for every finite set  $M \subset N$ . Apply Remark 1.

<u>Classes  $\mathbb{M}_1$  and  $\mathbb{M}_5$ </u>. The only measure which will be used throughout the rest of this paper is the Lebesgue measure  $\lambda$ . So we shall write simply  $\mathbb{M}_5$ , d(E,x) instead of  $\mathbb{M}_5^{\lambda}$ , d<sub> $\lambda$ </sub>(E,x).

<u>Definition</u>. A function f is said to be in class  $\mathfrak{M}_{\underline{i}}$ (i = 1,5) if every associated set of f is in  $M_{\underline{i}}$ . (The associated sets of f are the sets  $E^{\mathbf{r}}(f) = \{\mathbf{x}: f(\mathbf{x}) < \mathbf{r}\}$ and  $E_{\mathbf{r}}(f) = \{\mathbf{x}: f(\mathbf{x}) > \mathbf{r}\}$  where  $\mathbf{r} \in \mathbb{R}$ .)

<u>Remark 2</u>. Referring to Remark 1, we immediately derive the following facts.

(a) 
$$\mathbb{M}_{5} \subset \mathbb{M}_{1}$$
.  
(b) If  $f \in \mathbb{M}_{1}$ ,  $h \in \mathcal{X}$ , then  $f \circ h \in \mathbb{M}_{1}$ .  
(c) For a function  $f$  and  $p, q \in \mathbb{R}$  put  
 $\mathbb{E}_{p}^{q}(f) = \mathbb{E}_{p}(f) \cap \mathbb{E}^{q}(f) = \begin{cases} \{x: p < f(x) < q\} & \text{if } p < q \\ \emptyset & \text{if } p \geq q \end{cases}$   
If  $f \in \mathbb{M}_{5}$ , then  $\mathbb{E}_{p}^{q}(f) \in \mathbb{M}_{5}$  for all  $p, q \in \mathbb{R}$ . Conversely,  
if  $\{\mathbb{E}_{p}^{q}(f): p, q \text{ rational}\} \subset \mathbb{M}_{5}$ , then  $f \in \mathbb{M}_{5}$ .

If  $f \in M_1$ , it is not immediately clear whether or not  $E_p^q(f) \in M_1$  for all  $p, q \in R$  (see Remark 1.c). To give an affirmative answer to this question, we need the following

Lemma 4. Suppose that A and B are in  $M_1 \setminus \{\emptyset\}$ , AUB = R. Then AAB  $\in M_1 \setminus \{\emptyset\}$ .

<u>Proof</u> (cf. [3, Lemma 3.2.1]). By [8, Lemma 7], no open interval  $I \subseteq \mathbb{R}$  can be expressed as the union of two nonempty disjoint  $M_0$ -sets. Therefore  $A \cap B \neq \emptyset$ . Let I be an open interval such that  $A \cap B \cap \overline{I} \neq \emptyset$ . Then  $A \cap I$  and  $B \cap I$  are uncountable. We show that  $A \cap B \cap I$  is uncountable. If  $I \subset A$  or  $I \subset B$ , then it is obvious. Suppose  $I \setminus A \neq \emptyset$ ,  $I \setminus B \neq \emptyset$ . Since  $R \setminus A \subset B$ ,  $R \setminus A \in G_{\delta}$ ,  $B \in F_{\sigma}$ , there is a set  $E \in F_{\sigma} \cap G_{\delta}$  such that  $R \setminus A \subset E \subset B$ . Using [8, Lemma 7] again, we obtain  $\{E \cap I, I \setminus E\} \notin M_{0}$ . Assume  $E \cap I \notin M_{0}$ , the other case being similar. Then there is an open interval  $J \subset I$  with  $E \cap \overline{J} \neq \emptyset$  and  $E \cap J = \emptyset$ . Since  $B \subset B$ , we have  $B \cap \overline{J} \neq \emptyset$ , so  $B \cap J$  is uncountable. Furthermore,  $J \subset R \setminus E \subset A$ . Thus  $A \cap B \cap I \supset A \cap B \cap J = B \cap J$  which implies the result.

<u>Corollary</u>. If  $f \in \mathbb{M}_1$ , then  $E_p^q(f) \in \mathbb{M}_1$  for all  $p, q \in \mathbb{R}$ . (Proof: If p < q, then  $E_p(f) \cup E^q(f) = \mathbb{R}$ .)

<u>Definition</u>. A function f is said to be approximately continuous ( $f \in A$ ) if for each  $x \in R$  there exists a measurable set  $E_x$  such that  $x \in E_x$ ,  $d(E_x, x) = 1$  and  $f/_{E_x}$ is continuous at x.

A measurable set E is said to be D-open provided that d(E,x) = 1 for every  $x \in E$ .

<u>Remark 3</u>. The collection D of all D-open sets forms a topology (see e.g. [1, p. 20]). A function f belongs to A if and only if every associated set of f belongs to D (see e.g. [1, Chap. II, Theorem 5.6]). Thus A is exactly the class of all D-continuous functions. Consequently, if f, g, h are in A,  $h(x) \neq 0$  for all  $x \in \mathbb{R}$ , then f+g, f.g,  $\frac{f}{h}$  are in A. The class  $\mathfrak{M}_1$  of all Darboux Baire 1 functions does not behave well with respect to the algebraic operations. To see this, put  $f(x) = \sin \frac{1}{x}$ ,  $g(x) = -\sin \frac{1}{x}$  if  $x \neq 0$ , f(0) = g(0) = 1. Then f, g are in  $\mathfrak{M}_1$ , but neither f+g nor f.g is. So, for any topology  $\tau$  on R,  $\mathfrak{M}_1$ cannot coincide with the class of all  $\tau$ -continuous functions. Hence there is no topology  $\tau$  on R for which  $\mathfrak{M}_3$ , is the class of all  $\tau$ -continuous functions.

<u>Remark 4</u>. Since  $M_5 = F_{\sigma} \cap D$  and since  $A \subset B_1$  (see e.g. [1, Chap. II, Theorem 5.5]), we conclude that  $m_5 = A$ . Zahorski proved that  $m_1 = BB_1$  ([8, Theorem 1]).

## Maximoff's theorem.

<u>Theorem 2</u> (Maximoff [5], Preiss [7]). For any function f, the following conditions are equivalent. (i)  $f \in \mathbb{M}_{1}$ .

(ii) There exists  $h \in \mathcal{X}$  such that  $f \circ h \in \mathbb{M}_5$ .

<u>Proof.</u> (i)  $\Rightarrow$  (ii). Put  $S = \{E_p^q(f): p, q \text{ rational}\}$ . By the corollary of Lemma 4,  $S \subset M_1$ . The intersection of any collection of finitely many sets from S belongs to S. Applying Theorem 1, we construct a homeomorphism  $g \in \mathcal{X}$  such that  $\{g(E): E \in S\} \subset M_5$ . Put  $h = g^{-1}$ .

Let p, q be rational numbers. Then

$$\mathbf{E}_{p}^{q}(\mathbf{f} \cdot \mathbf{h}) = \mathbf{h}^{-1}(\mathbf{E}_{p}^{q}(\mathbf{f})) = \mathbf{g}(\mathbf{E}_{p}^{q}(\mathbf{f})) \in \mathbb{M}_{5}.$$

Hence  $f \cdot h \in \mathbb{M}_5$ , by Remark 2.c.

(ii)  $\Rightarrow$  (i). This follows from the equality  $f = (f \cdot h) \cdot h^{-1}$  and from Remark 2.a, b.

Zero sets and separation properties of  $M_1$  and  $M_5$ . First we state two well-known lemmas of Zahorski concerning  $M_5$ -functions and their analogues for  $M_1$ -functions.

<u>Theorem 3.1</u> (i = 1,5). If  $E \in M_i$ , then there exists an upper-semicontinuous function  $f \in M_i$  such that

 $0 < f(x) \leq 1$  if  $x \in E$ , f(x) = 0 if  $x \in R \setminus E$ .

Theorem 3.5 is due to Zahorski ([8, Lemma 11]). Theorem 3.1 is due to Agronsky (see Bruckner [1, p. 28-31]).

<u>Proof of Theorem 3.1</u>. Let  $E \in M_1$ . By Theorem 1 (or by [2]), there exists  $h \in \mathcal{X}$  such that  $h(E) \in M_5$ . Using Theorem 3.5, we find a function  $g \in \mathbb{M}_5$  such that  $0 < g(y) \leq 1$  if  $y \in h(E)$ , g(y) = 0 if  $y \in R \setminus h(E)$ . We put  $f = g \circ h$ .

<u>Theorem 4.1</u> (i = 1,5). Let  $H_1$  and  $H_2$  be nonempty disjoint sets such that  $R \setminus H_1$  and  $R \setminus H_2$  are in  $M_1$ . Then there exists a function  $f \in M_1$  such that

> f(x) = 0 if  $x \in H_1$ , f(x) = 1 if  $x \in H_2$ , 0 < f(x) < 1 if  $x \in R \setminus (H_1 \cup H_2)$ .

Theorem 4.5 is due to Zahorski ([8, Lemma 12]). We give the original proof here in order to show that the same method fails to work in  $\mathbb{M}_1$  (see Remark 3):

Suppose that  $\mathbb{R} \setminus \mathbb{H}_1$  and  $\mathbb{R} \setminus \mathbb{H}_2$  are in  $\mathbb{M}_5$ . By Theorem 3.5, there are functions  $f_k \in \mathbb{M}_5$  (k = 1,2) such that  $0 < f_k(x) \leq 1$  if  $x \in \mathbb{R} \setminus \mathbb{H}_k$  and  $f_k(x) = 0$  if  $x \in \mathbb{H}_k$ . It suffices to put  $f = \frac{f_1}{f_1 + f_2}$ . <u>Proof of Theorem 4.1</u>. Let  $\{R \setminus H_1, R \setminus H_2\} \subset M_1$ . Since  $(R \setminus H_1) \cup (R \setminus H_2) = R$ , we have  $(R \setminus H_1) \cap (R \setminus H_2) \in M_1$ , by Lemma 4. According to Theorem 1, there exists  $h \in \mathcal{X}$ such that  $\{R \setminus h(H_1), R \setminus h(H_2)\} \subset M_5$ . Now take a function  $g \in \mathbb{M}_5$  from Theorem 4.5 applied to  $h(H_1)$ ,  $h(H_2)$  and put  $f = g \circ h$ .

<u>Definition</u> (Laczkovich [3]). Let  $i \in \{1, 5\}$ .

A set H is said to be an  $M_i$ -zero set if there exists a function  $f \in M_i$  such that  $H = \{x: f(x) = 0\}$ .

A set F is said to be  $M_i$ -closed if F coincides with the intersection of all  $M_i$ -zero sets which contain F.

A pair  $G_1$ ,  $G_2$  of disjoint sets is said to be separated by  $\mathfrak{M}_i$  if there exists a function  $f \in \mathfrak{M}_i$  such that  $G_1 \subset \{x: f(x) = 0\}, \quad G_2 \subset \{x: f(x) = 1\}.$ 

<u>Remark 5</u>. Let  $i \in \{1,5\}$ . If  $f \in \mathbb{M}_i$  and  $r \in \mathbb{R}$ , then  $\mathbb{R} \setminus \{x: f(x) = r\} = \mathbb{E}_r(f) \cup \mathbb{E}^r(f) \in \mathbb{M}_i$ . Combining this fact with Theorem 3.i and Theorem 4.i, we obtain the following characterizations:

(a) A set H is an  $\mathbb{M}_i$ -zero set if and only if  $\mathbb{R} \setminus \mathbb{H} \in \mathbb{M}_i$ . (b) A pair  $G_1$ ,  $G_2$  of disjoint sets is separated by  $\mathbb{M}_i$ if and only if there is a pair of disjoint sets  $H_1$ ,  $H_2$ such that  $\mathbb{R} \setminus H_1$ ,  $\mathbb{R} \setminus H_2$  are in  $\mathbb{M}_i$  and  $G_1 \subset H_1$ ,  $G_2 \subset H_2$ .

<u>Remark 6</u>. A set F is  $\mathbb{T}_5$ -closed if and only if F is D-closed (see [3, p. 408]). It remains to characterize all  $M_1$ -closed sets.

<u>Definition</u>. The class of sets C is defined by  $A \in C$ if and only if  $A \cap I$  contains a nonempty perfect set whenever I is a closed interval intersecting A.

<u>Remark 7.</u> If  $A \in C$ , then obviously A is bilaterally c-dense-in-itself. If E is a Borel set, then E is in C if and only if E is bilaterally c-dense-in-itself (apply the fact that any uncountable Borel set contains a nonempty perfect set). Thus  $M_1 = F_{\sigma} \cap C$ .

Lemma 5. Any set  $A \in C$  contains a set E of type  $F_{\sigma}$  such that  $E \cap I$  is uncountable whenever I is a closed interval intersecting A (i.e., E is bilaterally c-dense in A). (Observe that  $E \in M_{1*}$ )

<u>Proof.</u> Let  $\{I_n\}$  be a sequence of all closed intervals with rational endpoints. Put  $M = \{n \in N: A \cap I_n \neq \emptyset\}$ . If  $n \in M$ , then  $A \cap I_n$  contains a nonempty perfect set  $P_n$ . Define  $E = \bigcup_{n \in M} P_n$ .

Lemma 6. A set F is  $\mathfrak{M}_1$ -closed if and only if  $R \setminus F \in \mathbb{C}$ .

<u>Proof.</u> Let F be an  $\mathfrak{M}_1$ -closed set,  $F \neq R$ . Choose a closed interval I intersecting  $R \setminus F$ ,  $x \in I \setminus F$ . There is an  $\mathfrak{M}_1$ -zero set H such that  $F \subset H$  and  $x \notin H$ . We have  $x \in I \setminus H \subset I \setminus F$ . Since  $R \setminus H \in \mathfrak{M}_1$  by Remark 5.a, there is a nonempty perfect set P such that  $P \subset I \setminus H \subset I \setminus F$ . Hence  $R \setminus F \in C$ . Suppose that  $\mathbb{R} \setminus \mathbb{F} \in \mathbb{C}$ ,  $\mathbb{F} \neq \mathbb{R}$ . Choose  $x \in \mathbb{R} \setminus \mathbb{F}$ . By Lemma 5,  $\mathbb{R} \setminus \mathbb{F}$  contains a set  $\mathbb{G} \in \mathbb{F}_{\sigma}$  which is bilaterally c-dense in  $\mathbb{R} \setminus \mathbb{F}$ . Put  $\mathbb{E} = \mathbb{G} \cup \{x\}$ . Then  $\mathbb{E} \in \mathbb{M}_1$ . Applying Theorem 3.1, we find a function  $\mathbb{f} \in \mathbb{M}_1$  such that  $\mathbb{f}(y) > 0$ if  $y \in \mathbb{E}$ ,  $\mathbb{f}(y) = 0$  if  $y \in \mathbb{R} \setminus \mathbb{E}$ . So,  $\mathbb{f}(x) \neq 0$  and  $\mathbb{f}$ vanishes on  $\mathbb{F} \subset \mathbb{R} \setminus \mathbb{E}$ . This proves that  $\mathbb{F}$  is  $\mathbb{M}_1$ -closed.

Clearly, if F = R, then F is  $\mathfrak{M}_1$ -closed and  $R \setminus F = \emptyset \in \mathbb{C}$ .

<u>Remark 8</u>. Let  $i \in \{1,5\}$ . If H is an  $M_i$ -closed set of type  $G_{\delta}$ , then  $R \setminus H \in M_i$  (see Lemma 6 and Remark 6). So, Theorem 4.1 implies that any pair of disjoint  $M_i$ -closed sets of type  $G_{\delta}$  is separated by  $M_i$ . This fact with i = 1 is due to Laczkovich (see [3, Theorem 3.2.2]).

Extension theorems for  $M_5$  and  $M_1$ . This section is devoted to modifications of the classical Tietze's theorem.

<u>Theorem 5.5</u> (Petruska, Laczkovich [6, Theorem 3.2]). For any set H, the following conditions are equivalent. (1)  $\lambda(H) = 0$ .

(ii) For each  $g \in B_1$  there exists  $f \in \mathbb{M}_5$  such that  $f/_H = g/_{H^*}$ 

<u>Theorem 6.5</u> (Lukeš [4, Theorem 4]). Let F be a D-closed set and let  $g \in \beta_1$ . Then the following conditions are equivalent.

(i)  $g/_F$  is D-continuous on F. (ii) There exists a function  $f \in \mathbb{M}_5$  such that  $f/_F = g/_F$ . <u>Remark 9</u>. Let g be a function, F a set,  $p,q,r \in \mathbb{R}$ . We introduce the following notations:

 $E^{\mathbf{r}}(g,F) = E^{\mathbf{r}}(g) \cup (\mathbb{R} \setminus F), \quad E_{\mathbf{r}}(g,F) = E_{\mathbf{r}}(g) \cup (\mathbb{R} \setminus F),$  $E^{\mathbf{q}}_{\mathbf{n}}(g,F) = E^{\mathbf{q}}_{\mathbf{n}}(g) \cup (\mathbb{R} \setminus F).$ 

Let F be D-closed. Then  $g'_F$  is D-continuous on F if and only if  $E^{r}(g,F) \in D$  and  $E_{r}(g,F) \in D$  for all  $r \in \mathbb{R}$ .

<u>Remark 10</u>. Suppose that  $\lambda(H) = 0$  and  $g \in \beta_1$ . Then H is D-closed and  $g/_H$  is D-continuous on H. Thus the implication (i)  $\Rightarrow$  (ii) of Theorem 5.5 is a corollary of Theorem 6.5.

<u>Theorem 6.1</u> Let F be an  $\mathbb{M}_1$ -closed set (i.e.,  $\mathbb{R} \setminus F \in \mathbb{C}$ ), and let  $g \in \mathbb{B}_1$ . Then the following conditions are equivalent.

(i)  $E^{r}(g,F) \in C$  and  $E_{r}(g,F) \in C$  for all  $r \in R$ . (ii) There exists a function  $f \in M_{1}$  such that  $f/_{F} = g/_{F}$ .

<u>Proof</u>. (i)  $\Rightarrow$  (ii). By Lemma 5, there is a set H such that  $F \subset H$ ,  $R \setminus H \in F_{\sigma}$  and  $R \setminus H$  is bilaterally c-dense in  $R \setminus F$  (hence  $R \setminus H \in M_{1}$ ). It is easy to show that  $E^{r}(g,H) \in M_{1}$  and  $E_{r}(g,H) \in M_{1}$  for all  $r \in R$ . Hence  $E_{p}^{q}(g,H) \in M_{1}$  for all  $p,q \in R$ , p < q (apply Lemma 4). If  $p \ge q$ , then  $E_{p}^{q}(g,H) = R \setminus H \in M_{1}$ .

Put  $S = \{E_p^q(g,H): p,q \text{ rational}\}$ . Obviously, S is closed under the formation of finite intersections. Using Theorem 1, we construct a homeomorphism  $h \in \mathcal{X}$  such that  $\{h(E): E \in S\} \subset M_5$ . Since  $R \setminus h(H) \in M_5$ , h(H) is D-closed. Define  $g^* = g \cdot h^{-1}$ . Clearly,  $g^* \in \mathcal{B}_1$ . For all rational p, q we have  $\mathbb{E}_p^q(g^*, h(H)) = h(\mathbb{E}_p^q(g, H)) \in \mathbb{M}_5$ . Hence  $g^*/_{h(H)}$  is D-continuous on h(H).

According to Theorem 6.5, there exists  $f^* \in \mathbb{M}_5$  such that  $f^*/_{h(H)} = g^*/_{h(H)}$ . Put  $f = f^* \cdot h$ . Then  $f \in \mathbb{M}_1$  and  $f/_H = g/_H$ , so a fortiori  $f/_F = g/_F$ .

(ii)  $\Rightarrow$  (i). This follows from the equalities  $E^{r}(g,F) = E^{r}(g) \bigcup (R \setminus F) = E^{r}(f) \bigcup (R \setminus F),$  $E_{r}(g,F) = E_{r}(g) \bigcup (R \setminus F) = E_{r}(f) \bigcup (R \setminus F)$ 

and from the fact that  $E^{r}(f)$ ,  $E_{r}(f)$ ,  $R \setminus F$  are in C.

<u>Theorem 5.1</u> For any set H, the following conditions are equivalent.

- (i) For any interval I, INH contains a nonempty perfect set.
- (ii) For each  $g \in B_1$  there exists  $f \in M_1$  such that  $f_H = g_{H^*}$ .

<u>Proof</u>. (i)  $\Rightarrow$  (ii). It is clear that  $E^{r}(g,H) \in C$  and  $E_{r}(g,H) \in C$  for any  $g \in \mathfrak{B}_{1}$  and  $r \in \mathbb{R}$ . The result follows from Theorem 6.1.

not(i)  $\Rightarrow$  not(ii). Suppose that there is an interval I such that I \ H does not contain any nonempty perfect set. Choose  $x \in H \cap I$ . Put g(x) = 1, g(y) = 0 for all  $y \neq x$ . Obviously,  $g \in B_1$ . Assume that there exists  $f \in M_1$  such that  $f/_H = g/_H$ . Then  $E \equiv E_0(f) \in M_1$ . Since  $x \in E \cap I$ ,  $E \cap I$  is uncountable. Therefore  $(E \cap I) \setminus \{x\}$  contains some nonempty perfect set P. But  $(E \cap I) \setminus \{x\} \subset I \setminus H$ , hence  $P \subset I \setminus H$  - a contradiction. I am thankful for the advice I have received from Professor Luděk Zajíček.

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