ON COMPLEX TORI WITH MANY ENDOMORPHISMS

By

Atsushi Shimizu

The endomorphism ring of a complex torus T of dimension n is a free module of rank $\leq 2n^2$ as a Z-module. When T is an abelian variety it is wellknown that if the rank is equal to $2n^2$, T is isogenous to the direct sum of ncopies of an elliptic curve with complex multiplication. We will prove a similar result in a more general form, that is, let T and T' be two complex tori of dimension n and n' respectively, and if the Z-module of all homomorphisms of T into T' is of rank 2nn', then T and T' are isogenous to the direct sums of n and n' copies of an elliptic curve (Theorem 1-3). Next let T be a complex torus of dimension 2 and put $\operatorname{End}^q(T) = \operatorname{End}(T) \otimes_Z Q$. Then using the types of $\operatorname{End}^q(T)$ we will classify all T's with a non-trivial endomorphism ring. The result is given in the last part of §4. A complex torus T of dimension 2 which is not simple is an abelian variety, if and only if T is isogenous to the direct sum of two elliptic curves. On the other hand a simple torus T of dimension 2 such that $\operatorname{End}(T)$ is not isomorphic to Z is an abelian variety if and only if $\operatorname{End}^q(T)$ contains some real quadratic field over Q. This is proved in §5.

NOTATIONS. We denote by Z, Q, R and C, respectively, the ring of rational integers, the field of rational numbers, real numbers and complex numbers. For a ring R, $M(n \times m, R)$ denotes the R-module composed of all matrices with n rows and m columns with coefficients in R. When n=m, it is the R-algebra of all square matrices of size n. We simply denote it by M(n, R). The group of all invertible elements of M(n, R) is denoted by GL(n, R).

Let T and T' be two complex tori. We denote by $\operatorname{Hom}(T, T')$ the set of all homomorphisms of T into T' and put $\operatorname{End}(T)=\operatorname{Hom}(T, T)$. We put $\operatorname{Hom}^{q}(T, T')=\operatorname{Hom}(T, T')\otimes Q$ and $\operatorname{End}^{q}(T)=\operatorname{End}(T)\otimes Q$. $\operatorname{End}^{q}(T)$ is naturally considered as an algebra over Q. T and T' are called isogenous and denoted by $T\sim T'$ if they are of the same dimension and there exists a homomorphism λ of the one onto the other; such a λ is called an isogeny. " \sim " is an equivalence relation. If T_1 and T'_1 are complex tori which are isogenous T and T'respectively, then $\operatorname{Hom}^{q}(T_1, T'_1)$ is isomorphic to $\operatorname{Hom}^{q}(T, T')$ and $\operatorname{End}^{q}(T_1)$ is

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isomorphic to $End^{Q}(T)$ as a Q-algebra.

Let G be a lattice subgroup of C^n and (g_1, \dots, g_{2n}) its base. Then the matrix $G=(g_1, \dots, g_{2n}) \in M(n \times 2n, C)$ is called the period matrix of the complex torus C^n/G . We shall often denoted by C^n/G the complex torus C^n/G .

$\S1$. Complex tori with endomorphism rings of the maximal rank.

Let T and T' be two complex tori of dimension n and n' respectively.

THEOREM 1-1. Hom(T, T') is a free abelian group whose rank is at most 2nn'.

PROOF. We put T = E/G and T' = E'/G', where E, E' are complex linear spaces and G, G' are respectively their lattice subgroups. Take a C-base (g_1, \dots, g_n) of E which is also a part of a Z-base of G and let H_1 the subgroup of G generated by g_1, \dots, g_n . If λ is an element of $\operatorname{Hom}(T, T')$, λ naturally induces a linear map L_{λ} of E to E'. Then making correspond to λ the homomorphism of H_1 into G' which maps (g_1, \dots, g_n) to $(L_{\lambda}(g_1), \dots, L_{\lambda}(g_n))$, we get an injective homomorphism of $\operatorname{Hom}(T, T')$ into $\operatorname{Hom}(H_1, G')$. Since $\operatorname{Hom}(H_1, G')$ is a free abelian group of rank 2nn', $\operatorname{Hom}(T, T')$ which is isomorphic to a subgroup of $\operatorname{Hom}(H_1, G')$ is a free abelian group whose rank is at most 2nn'. (q. e. d.)

Let T and T' be the direct sums of r and r' complex tori T_1, \dots, T_r and T'_1, \dots, T'_r , respectively. Then, $\operatorname{Hom}(T, T')$ is isomorphic to the direct sum of all $\operatorname{Hom}(T_i, T'_{i'})$'s $(i=1, 2, \dots, r$ and $i'=1, 2, \dots, r'$). If T=T', they are isomorphic as rings, where for two elements $(\lambda_{ii'}), (\mu_{ii'})$ of $\bigoplus_{i,i'} \operatorname{Hom}(T_i, T_{i'}) (\lambda_{ii'})$ and $\mu_{ii'}$ are elements of $\operatorname{Hom}(T_i, T_{i'})$.), we define the product of them by $(\sum_{j=1}^r \lambda_{ji'} \circ \mu_{ij}) \in \bigoplus_{i,i'} \operatorname{Hom}(T_i, T_{i'})$. Especially when $T_1 = T_2 = \cdots = T_r$, $\operatorname{End}(T)$ is isomorphic to $M(r, \operatorname{End}(T_1))$.

Let C be an elliptic curve with complex multiplication, that is, complex torus of dimension 1 with an endomorphism ring of rank 2, and let T and T' be complex tori which are isogenous to the direct sums of n and n' copies of C respectively. Then the rank of Hom(T, T') is clearly 2nn'. We shall prove the converse is true.

THEOREM 1-2. Let T and T' be complex tori of dimension n and n' respectively. If the rank of Hom(T, T') is 2nn', T and T' are respectively isogenous to the direct sums of n and n' copices of an elliptic curve C with complex multiplication.

PROOF. Notation being as in the proof of Theorem 1-1; choose a proper C-base of E and a proper Z-base of G, and we may assume that the period matrix of T is $(1_n, T)$ where 1_n is the unit matrix of size n and T is an element of M(n, C) such that the imaginary part of T is a regular matrix. Similarly we may assume that the period matrix of T' is $(1_{n'}, T')$ for some matrix T' of size n' which satisfies the same condition.

Now considering Hom(T, T') to be a subgroup of Hom(H_1 , G'), since they are of the same rank, there exists an integer λ such that $\lambda(\text{Hom}(H_1, G')) \subset$ Hom(T, T'). In other words, for any $S \in M(2n' \times n, \mathbb{Z})$ there exist $\omega \in M(n' \times n, \mathbb{C})$ and $\Omega \in M(2n' \times 2n, \mathbb{Z})$ such that

$$\omega 1_n = (1_{n'} T')\lambda S$$
 and $\omega (1_n T) = (1_{n'} T')\Omega$.

For any $\alpha \in M(n' \times n, \mathbb{Z})$, putting $S = \begin{pmatrix} \alpha \\ 0 \end{pmatrix}$, there exists $\Omega = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ (A, B, C, $D \in M(n' \times n, \mathbb{Z})$) such that

$$\lambda \alpha(1_n, T) = (1_{n'}, T') \Omega = (A + T'C, B + T'D),$$

and especially $\lambda \alpha T = B + T'D$. If we denote by Im T and Re T the imaginary part of T and the real part of T respectively, we have i) $\lambda \alpha (\text{Im } T) = (\text{Im } T')D$ and ii) $\lambda \alpha (\text{Re } T) = B + (\text{Re } T')D$. Therefore for any element α of $M(n' \times n, Z)$ we have

i') (Im
$$T'$$
)⁻¹($\lambda \alpha$)(Im T) $\in M(n' \times n, \mathbb{Z})$

ii')
$$(\lambda \alpha)(\operatorname{Re} T) - (\operatorname{Re} T')(\operatorname{Im} T')^{-1}(\lambda \alpha)(\operatorname{Im} T) \in M(n' \times n, \mathbb{Z}).$$

Put $(\operatorname{Im} T')^{-1} = (\beta_{pr}), \ \alpha = (\alpha_{rs}), \ \operatorname{Im} T = (a_{sr}), \ \text{and} \ i')$ implies

$$\lambda \sum_{r=1}^{n'} \sum_{s=1}^{n} \beta_{pr} \alpha_{rs} a_{sq} \in \mathbb{Z}$$

for any p, q ($p=1, \dots, n', q=1, \dots, n$). If we put α to be the matrix whose (r, s)-component is 1 and the others are all 0, we have $\lambda\beta_{pr}a_{sq}\in Z$ for any p, q, r, s. Especially putting p=r=1, we have $\lambda\beta_{11}a_{sq}\in Z$ for any s, q. Therefore there exist a real number a_1 which is independent of s, q and integers a_{sq}^* ($s, q = 1, 2, \dots, n$) such that $a_{sq}=a_1a_{sq}^*$. Put $T_1=(a_{sq}^*)\in M(n, Z)$, and we have $\operatorname{Im} T=a_1T_1$, where $a_1\neq 0$ and det $T_1\neq 0$. Similarly there exist $b'\in R$ and $T'_0\in M(n', Z)$ such that $(\operatorname{Im} T')^{-1}=b'T'_0$. Putting $a'_1=b'^{-1}(\det T'_0)^{-1}$ and $T'_1=(\det T'_0)T'_0^{-1}$, we have $\operatorname{Im} T'=a'_1T'_1$ where a'_1 is a real number T'_1 is an element of M(n', Z). Now we have $T=\operatorname{Re} T+\sqrt{-1}a_1T_1$. Considering the isogenous to $C^n/(1_n, (\operatorname{Re} T)T_1^{-1}+\sqrt{-1}a_11_n)$. So we may assume that $\operatorname{Im} T=a_11_n$. And similarly we may assume

that Im $T'=a_1'1_{n'}$. Put $\mu=a_1a_1'^{-1}\lambda$, and we have by ii')

$$(\lambda \alpha)(\operatorname{\mathbf{Re}} T) - \mu(\operatorname{\mathbf{Re}} T')\alpha \in M(n' \times n, Z)$$

for any α . If we put **Re** $T = (c_{sq})$, **Re** $T' = (d_{pr})$ and $\alpha = (\alpha_{rs})$, we have

$$\lambda \sum_{s=1}^{n} \alpha_{ps} c_{sq} - \mu \sum_{r=1}^{n'} d_{pr} \alpha_{rq} \in \mathbb{Z}$$

for $p=1, \dots, n, s=1, \dots, n'$. Again putting α to be the matrix whose (r, q)component is 1 and the others are all 0, we have A) $\lambda c_{sq} \in Z$, if $s \neq q$, B) $\mu d_{pr} \in Z$,
if $p \neq r$, and C) $\lambda c_{ss} - \mu d_{rr} \in Z$, for any p, q, r, s. Therefore we have $\lambda(c_{sq}) - \mu d_{11} 1_n$ $\in M(n, \mathbb{Z})$ and $\mu(d_{pr}) - \lambda c_{11} 1_{n'} \in M(n', \mathbb{Z})$. Put $T_2 = \lambda(c_{sq}) - \mu d_{11} 1_n$ and $c = \mu d_{11}$, and
we have $\operatorname{Re} T = \lambda^{-1}(c 1_2 + T_2)$. So putting $z = \lambda^{-1}c + \sqrt{-1}a_1$, we have $T = z 1_n + \lambda^{-1}T_2$.
Consider the isogeny whose rational representation is $\begin{pmatrix} 1_n & -\lambda^{-1}T_2 \\ 0 & 1_n \end{pmatrix}$, and we can
see that T is isogenous to $C^n/(1_n, z 1_n)$ which is clearly isogenous to the direct
sum of n copies of C = C/(1, z). Similarly T' is isogenous to the direct sum of n' copies of some complex torus C' of dimension 1. Since $\operatorname{Hom}(T, T')$ is isomorphic to the direct sum of nn' copies of $\operatorname{Hom}(C, C')$, the rank of $\operatorname{Hom}(C, C')$ is 2, hence C is an elliptic curve with complex multiplication which is isomorphic
to C'. (q. e. d.)

§2. Period matrices of complex tori with many endomorphisms.

Let T be a complex torus whose $\operatorname{End}^{\mathbf{q}}(T)$ contains a division sub-algebra Dwhich contains \mathbf{Q} properly. Let Z be the center of D and K one of the maximal commutative subfields of D and denote the dimensions of the vector spaces D, K and Z over \mathbf{Q} by d, e and f respectively. Then we have $d/f = (e/f)^2$, in other words $df = e^2$. On the other hand, considering a rational representation of D, the linear space \mathbf{Q}^{2n} can be regarded as a D-module. Since D is a division algebra, a D-module is always free, hence denoting by r the rank of the module over D, we have rd = 2n. Now the following theorem has been proved.

THEOREM 2-1. Let D be a division algebra contained in $\text{End}^{q}(T)$. If we donote by d, e and f, respectively, the dimensions over Q of D, one of the maximal subfield of D and the center of D, we have

- i) $df = e^2$
- ii) f | e | d | 2n (where a | b means a divides b.)

COROLLARY 2-2. Let n be a positive odd integer which is square-free, and T a complex torus of dimension n. Then any division algebra which is contained in

 $\operatorname{End}^{\boldsymbol{q}}(\boldsymbol{T})$ is commutative.

PROOF. Notations being as in Theorem 2-1, $(e/f)^2 = d/f$ divides 2n. Hence e/f=1, that is, D is commutative. (q.e.d.)

Next we shall inquire into the period matrix of T.

THEOREM 2-3. Let T = E/G be a complex torus of dimension n such that $\operatorname{End}^{q}(T)$ contains a division algebra D which contains Q properly. Take any element ϕ of D which is not contained in Q. Choosing an adequate C-base of C-vector space E, the analytic representation of ϕ is a diagonal matrix

$$\begin{pmatrix} \alpha_1 & 0 \\ \ddots \\ 0 & \alpha_n \end{pmatrix}$$

where α_i is the image of ϕ by an isomorphism of $Q(\phi)$ into C (i=1, 2, ..., n). And put $h = [Q(\phi):Q]$, s = 2n/h and

$$\boldsymbol{\Phi} = \begin{pmatrix} 1 & \alpha_1 & \alpha_1^2 \cdots & \alpha_1^{h-1} \\ \vdots & \vdots & & \vdots \\ 1 & \alpha_n & \alpha_n^2 \cdots & \alpha_n^{h-1} \end{pmatrix} \in M(n \times h, \boldsymbol{C}).$$

And put

$$G(g_{ij}) = \left(\begin{pmatrix} g_{11} & 0 \\ 0 & g_{1n} \end{pmatrix} \varPhi \begin{pmatrix} g_{21} & 0 \\ 0 & g_{2n} \end{pmatrix} \varPhi \cdots \begin{pmatrix} g_{s1} & 0 \\ 0 & g_{sn} \end{pmatrix} \varPhi \right)$$

where g_{ij} $(i=1, \dots, s, j=1, \dots, n)$ are somd given complex numbers. Then there exists ns complex numbers g_{ij} such that T is isogenous to the complex torus $T(g_{ij})$ whose period matrix is $G(g_{ij})$.

PROOF. Let ω be an analytic representation of ϕ and Ω a rational representation. Since the minimal polynomial f of Ω is also the minimal polynomial of ϕ when $Q(\phi)$ is regarded as an algebraic field over Q, f is irreducible. Clearly $f(\omega)=0$, so that the minimal polynomial of ω has no multiple root. Here choosing an adequate C-base of E,

$$\boldsymbol{\omega} = \begin{pmatrix} \boldsymbol{\alpha}_1 & \boldsymbol{0} \\ \ddots & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{\alpha}_n \end{pmatrix}$$

where $\alpha_1, \dots, \alpha_n$ are roots of the algebraic equation f(x)=0. On the other hand the characteristic polynomial F of Ω is s-th power of f. Therefore if we consider Ω to be a linear transformation on Q^{2n} , there exists an element P of $GL(2n, Q) \cap M(2n, Z)$ such that

where
$$A_1 = A_2 = \dots = A_s = \begin{pmatrix} 0 & \dots & 0 & 0 \\ 0 & A_s \end{pmatrix}$$

 $\begin{pmatrix} 0 & \dots & 0 & -a_0 \\ \vdots & \vdots & \vdots & -a_1 \\ 0 & \ddots & 0 & \vdots \\ 0 & \ddots & 0 & \vdots \\ 0 & 0 & 1 & -a_{h-1} \end{pmatrix} \in GL(h, Q),$

and $f(x) = x^{h} + a_{h-1}x^{h-1} + \dots + a_{0}$. Considering the isogeny whose rational representation is P, we may assume that the analytic representation ω of ϕ is a diagonal matrix $\begin{pmatrix} \alpha_{1} & 0 \\ 0 & \alpha_{n} \end{pmatrix}$ and the rational representation Ω of ϕ is $\begin{pmatrix} A_{1} & 0 \\ 0 & A_{s} \end{pmatrix}$. Then let G be the period matrix, and we have $\omega G = G\Omega$. We only have to compare each component of ωG with the corresponding component of $G\Omega$ to complete the proof. (q. e. d.)

Conversely suppose complex numbers $\{g_{ij}\}$ are given. Is $G(g_{ij})$ the period matrix of some complex torus? Since $\begin{pmatrix} \omega & 0 \\ 0 & \overline{\omega} \end{pmatrix} \begin{pmatrix} G \\ \overline{G} \end{pmatrix} = \begin{pmatrix} G \\ \overline{G} \end{pmatrix} \Omega$, $\alpha_1, \dots, \alpha_n$ have to satisfy the following condition (#);

(#) the image of ϕ by any isomorphism of $Q(\phi)$ into C appears just s times in $\alpha_1, \dots, \alpha_n, \bar{\alpha}_1, \dots, \bar{\alpha}_n$ (where $\bar{\alpha}$ means the complex conjugate of α).

THEOREM 2-4. We assume $\alpha_1, \dots, \alpha_n$ satisfy the condition (#). Then if g_{ij} (i=1, ..., s, j=1, ..., n) are generally given, $G(g_{ij})$ is the period matrix of some complex torus. (That is, the subset in C^{sn} composed of all $\{g_{ij}\}$ such that $G(g_{ij})$ is a period matrix is open dense in C^{sn} .)

PROOF. Let X_{ij} $(i=1, \dots, s, j=1, \dots, n)$ be *ns* variables, and we only have to prove that $\det\left(\frac{G(X_{ij})}{G(X_{ij})}\right)=0$ is a non-trivial equation. Let ϕ_1, \dots, ϕ_n be the images of ϕ by all the isomorphisms of $Q(\phi)$ into C, and put

$$\boldsymbol{\varPhi} = \begin{pmatrix} 1 & \phi_1 \cdots \phi_1^{h-1} \\ \vdots & \vdots & \vdots \\ 1 & \phi_n \cdots \phi_n^{h-1} \end{pmatrix}.$$

Then we have

$$\det\left(\frac{G(X_{ij})}{G(X_{ij})}\right) = \begin{vmatrix} X_{11}^* \varPhi \cdots & X_{1s}^* \varPhi \\ \vdots & \vdots \\ X_{s1}^* \varPhi \cdots & X_{ss}^* \varPhi \end{vmatrix} = \begin{vmatrix} X_{11}^* \cdots & X_{1s}^* \\ \vdots & \vdots \\ X_{s1}^* \cdots & X_{ss}^* \end{vmatrix} (\det \varPhi)^s$$

where X_{ij}^* $(i, j=1, 2, \dots, s)$ are diagonal matrices such that all X_{ij} and all \overline{X}_{ij} appear once and only once in their diagonal components. Since det $\Phi \neq 0$, we

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only have to prove the following lemma to complete the proof.

LEMMA 2-5. Let $f(x_1, \dots, x_m, y_1, \dots, y_m)$ be a polynomial of 2m variables $x_1, \dots, x_m, y_1, \dots, y_m$ with coefficients in C. If $f(z_1, \dots z_m, \overline{z}_1, \dots, \overline{z}_m)=0$ for any m complex numbers z_1, \dots, z_m , then f=0 as a polynomial.

PROOF. It is easily seen that we may assume m=1. Put $f(x, y)=F_p(x)y^p$ +...+ $F_0(x)$. If $f(z, \bar{z})=0$, \bar{z} is a root of the algebraic equation $F_p(z)y^p$ +...+ $F_0(z)=0$ with an unknown y. If p>0, \bar{z} is locally a holomorphic function of z on an open subset in C. That is a contradiction. Therefore p=0. Then it is clear that f=0 since $F_0(z)=0$ for any z. (q.e.d.)

§3. Invariant subtori.

Let T be a complex torus and T' its subtorus. We call T' invariant throughout this paper if the image of T' by any endomorphism of T is contained in T'. Of course T itself and $\{0\}$ are invariant subtori. We call each of them a trivial invariant subtorus.

THEOREM 3-1. If a complex torus T has no non-trivial invariant subtorus. Then T is isogenous to the direct sum of some copies of a simple torus. (A complex torus is called simple if it has no subtorus but itself and $\{0\}$.)

PROOF. Let T' be a simple subtorus which is not $\{0\}$. The set $\Lambda = \{\lambda(T') | \lambda \in \text{End}(T)\}$ is a finite set. In fact, since any $\lambda(T')$ is simple, if $\Lambda' = \{\lambda_1(T'), \dots, \lambda_m(T')\}$ be a subset of Λ $(\lambda_i(T') \neq \lambda_j(T')$ if $i \neq j)$, $T_0 = \lambda_1(T') + \dots + \lambda_m(T')$ is isogenous to the direct sum $\lambda_1(T') \oplus \dots \oplus \lambda_m(T')$ which is isogenous to the direct sum $\lambda_1(T') \oplus \dots \oplus \lambda_m(T')$ which is isogenous to the direct sum of m copies of T'. So Λ is a finite set. Put $\Lambda' = \Lambda$ especially, and $T_0 = \lambda_1(T') + \dots + \lambda_m(T')$ is an invariant subtorus which is not $\{0\}$. Therefore $T_0 = T$, that is, T is isogenous to the direct sum of m copies of a simple subtorus T'. (q. e. d.)

THEOREM 3-2. Let T' be an invariant subtorus of a complex torus T. Then we have

i) $\operatorname{rank}_{\mathbf{Z}}\operatorname{End}(\mathbf{T}) \leq \operatorname{rank}_{\mathbf{Z}}\operatorname{End}(\mathbf{T}/\mathbf{T}') + \operatorname{rank}_{\mathbf{Z}}\operatorname{Hom}(\mathbf{T}, \mathbf{T}')$

ii) $\operatorname{rank}_{Z}\operatorname{End}(T) \leq \operatorname{rank}_{Z}\operatorname{End}(T') + \operatorname{rank}_{Z}\operatorname{Hom}(T/T', T).$

PROOF. We define an homomorphism $\Phi : \operatorname{End}(T) \to \operatorname{End}(T')$ by the natural restriction. It is clear that the kernel of Φ can be considered to be a subset of $\operatorname{Hom}(T/T', T)$, so ii) is proved. Considering similarly the natural homomorphism

 Φ' : End(T) \rightarrow End(T/T'), we have i). (q.e.d.)

COROLLARY 3-3. Let T be a complex torus of dimension n. If rank_zEnd(T) $>2n^2-2n+2$, there exists an integer m>1 such that T is isogenous to the direct sum of m copies of a simple torus.

PROOF. Let T' be an invariant subtorus and k its dimension. By ii) we have $2n^2-2n+2 < \operatorname{rank}_{Z} \operatorname{End}(T) \leq \operatorname{rank}_{Z} \operatorname{End}(T') + \operatorname{rank}_{Z} \operatorname{Hom}(T/T', T) \leq 2k^2 + 2(n-k)n$. So we have k=0 or n. On the other hand if T is simple, $\operatorname{rank}_{Z} \operatorname{End}(T) \leq 2n$. Therefore T is isogenous to the direct sum of m copies of a simple torus for some m > 1. (q. e. d.)

We will use the corollary to prove the following proposition which is a special case of Theorem 1-2

PROPOSITION. Let T be complex torus of dimension n. If the rank of End(T) is $2n^2$, T is isogenous to the direct sum of n copies of an elliptic curve C with complex multiplication.

PROOF. We may assume n>1. Then since $\operatorname{rank}_{\mathbf{Z}}\operatorname{End}(\mathbf{T})=2n^2>2n^2-2n-2$, \mathbf{T} is isogenous to the direct sum of some copies of a simple torus \mathbf{T}' . Let rbe the dimension of \mathbf{T}' , and $\operatorname{rank}_{\mathbf{Z}}\operatorname{End}(\mathbf{T})=\operatorname{rank}_{\mathbf{Z}}M(n/r, \operatorname{End}(\mathbf{T}'))$, therefore $2n^2$ $\leq (n/r)^2(2r)=2n^2/r$. So r=1 and $\operatorname{rank}_{\mathbf{Z}}\operatorname{End}(\mathbf{T}')=2$. (q. e. d.)

REMARK. Let T and T_1 be two complex tori and T' and T'_1 their subtori respectively. We call the pair (T', T'_1) I-pair if the image of T' by any homomorphism of T into T_1 is contained in T'_1 . If T and T_1 have no non-trivial I-pair, T_1 is isogenous to the direct sum of copies of a simple torus. And we have equations which are similar to i) and ii) in Theorem 3-2. Therefore if Hom (T, T_1) is of the maximal rank, T_1 is isogenous to the direct sum of copies of an elliptic curve. Considering dual tori, we can see that T is also isogenous to the direct sum of copies of an elliptic curve. Thus Theorem 1-2 itself can be proved.

Now let T be a complex torus such that a division algebra D is contained in $\operatorname{End}^{Q}(T)$ as a subalgebra. If T' is a non-trivial invariant subtorus, Φ and Φ' in the proof of Theorem 3-2 induce the following Q-algebra homomorphisms;

$$\Phi^{q}: \operatorname{End}^{q}(T) \to \operatorname{End}^{q}(T')$$
$$\Phi'^{q}: \operatorname{End}^{q}(T) \to \operatorname{End}^{q}(T/T').$$

 Φ^{q} is injective on *D*. In fact, if not, there exists an element of *D* such that $\Phi^{q}(\phi)=0$ then $\phi(\mathbf{T}')=\{0\}$. But such a ϕ cannot be an isogeny. Similarly Φ'^{q} is injective on *D*, too. Hence we may consider *D* a subalgebra of $\operatorname{End}^{q}(\mathbf{T}')$ and $\operatorname{End}^{q}(\mathbf{T}'\mathbf{T}')$.

THEOREM 3-3. Let T be a complex torus of dimension n. If $\operatorname{End}^{q}(T)$ contains a division algebra of dimension 2n as a Q-vector space, T is isogenous to the direct sum of some copies of a simple torus.

PROOF. If T has a non-trivial invariant subtorus T', $\operatorname{End}^{q}(T')$ contains a division algebra of dimension 2n. But this is impossible. Hence T has no non-trivial invariant subtorus, so that, by theorem 3-1, T is isogenous to the direct sum of some copies of a simple torus. (q. e. d.)

§4. Complex tori of dimension 2.

Throughout this section T will denote a complex torus of dimension 2. In this section we will study the structure of $\text{End}^{Q}(T)$.

(1) The case that T is simple.

If T is simple any endomorphism is an isogeny, so $\operatorname{End}^{q}(T)$ is a division algebra. Let K be one of the maximal commutative subfields of $\operatorname{End}^{q}(T)$ and d its degree over Q, and d divides 4, so d=1, 2 or 4. If d=1, $\operatorname{End}^{q}(T)=Q$.

a) The case of d=4.

In this case $\operatorname{End}^{\mathbf{q}}(\mathbf{T}) = K$ is isomorphic to a quartic field $\mathbf{Q}[X]/(f(X))$ over \mathbf{Q} where f(X) is an irreducible polynomial of degree 4. By Theorem 2-3, there exist complex numbers ζ , ξ such that $\{\zeta, \xi, \overline{\zeta}, \overline{\xi}\}$ is the set of all roots of the equation f(X)=0 and \mathbf{T} is isogenous to

$$T'(\zeta, \xi) = C^2 / \begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix}.$$

Conversely let f(X) be an irreducible polynomial of degree 4 and ζ , ξ two complex numbers such that $\{\zeta, \xi, \overline{\zeta}, \overline{\xi}\}$ is the set of all roots of the equation f(X)=0. Then $T'(\zeta, \xi)$ is a complex torus such that $\operatorname{End}^{Q}(T'(\zeta, \xi))$ contains a division algebra $Q(\zeta)$ of dimension 4. If $T'(\zeta, \xi)$ is not simple, by Theorems 3-3, $T'(\zeta, \xi)$ is isogenous to the direct sum of two copies of an eliptic curve C=C/(1, z). In other words there exist $\omega \in GL(2, C)$ and $\Omega \in GL(4, Q)$ such that

$$\begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix} \Omega = \omega \begin{pmatrix} 1 & z & 0 & 0 \\ 0 & 0 & 1 & z \end{pmatrix}.$$
 (1)

Let F be the minimal Galois extension of Q containing $Q(\zeta)$, G^{*} its Galois group

and σ one of elements of G^* such that $\zeta^{\sigma} = \xi$. Put $\omega = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ and (1) implies that $\alpha, \beta, \alpha z$ and βz are all contained in $Q(\zeta)$ and $\gamma, \delta, \gamma z$ and δz are in $Q(\xi)$ and moreover $\alpha^{\sigma} = \gamma, (\alpha z)^{\sigma} = \gamma z, \beta^{\sigma} = \delta, (\beta z)^{\sigma} = \delta z$. So z is contained in both $Q(\zeta)$ and $Q(\xi)$, and $z^{\sigma} = z$. We put K' = Q(z), then $Q(\zeta)$ is a quadratic extension of K' and ξ is the conjugate of ζ over K'. Therefore $Q(\zeta) = Q(\xi)$ and $Q(\bar{\zeta}) = Q(\bar{\xi})$. By the way there exist only four distinct elements in all ζ^{ρ} ($\rho \in G^*$), and there exist at most two elements ρ of G^* such that $\zeta^{\rho} = \zeta$. In fact if $\zeta^{\rho} = \zeta, \xi^{\rho} = \xi$, so $\bar{\zeta}^{\rho}$ must be $\bar{\zeta}$ or $\bar{\xi}$. Hence the order of G^* is 4 or 8. Making $\zeta, \xi, \bar{\zeta}, \bar{\xi}$ correspond to 1, 2, 3, 4 respectively we consider G^* to be a subgroup of the symmetric group S_4 . Then $G^* = V_4 = \{id, (12)(34), (13)(23), (14)(23)\}$ or $G^* = V_4 \cup (12)V_4 = \{id, (12), (12)(34), (34), (13)(24), (1423), (14)(23)\}$ where "id" means the unit element of the group.

Conversely if G^* is one of those subgroups, putting $z=\zeta+\xi$, it is easily seen that $T'(\zeta, \xi)$ is not simple.

b) The case of d=2.

In this case K is isomorphic to a quadratic field $Q(\sqrt{m})$ where m is a square-free integer. By Theorem 2-3 T is isogenous to

$$C^{2}/\begin{pmatrix} a \sqrt{m} a & b & \sqrt{m} b \\ c & \sqrt{m} c & d & \sqrt{m} d \end{pmatrix}$$
 or $C^{2}/\begin{pmatrix} a & \sqrt{m} a & b & \sqrt{m} b \\ c & -\sqrt{m} c & d & -\sqrt{m} d \end{pmatrix}$

for some complex numbers a, b, c, d. Since T is simple, $abcd \neq 0$, so we may assume a=c=1. But $\begin{pmatrix} 1 & \sqrt{m} & b & \sqrt{m} & b \\ 1 & \sqrt{m} & d & \sqrt{m} & d \end{pmatrix}$ cannot be a period matrix of a simple torus. Hence T is isogenous to a complex torus

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where b, d are complex numbers such that b, $d \in \mathbf{R}$ if m > 0 and $b \neq \overline{d}$ if m < 0. Conversely if such m, b, d are given, $\begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$ is certainly a period matrix of some complex torus $T_1(m; b, d)$.

LEMMA 4-1. $T_1(m; b, d)$ defined above is not simple if and only if the following condition i^*) is satisfied.

i*) There exist rational numbers x, y and an element z of $Q(\sqrt{m})$ with are not all zero and satisfy

- (†) $2xbd+zb+z^{\sigma}d+2y=0$ (where z^{σ} means the conjugate of z).
- (††) $N(z/2) + xy \in N(\mathbf{Q}(\sqrt{m}))$ (where $N(z) = zz^{\sigma}$ for $z \in \mathbf{Q}(\sqrt{m})$).

PROOF. Let x, y, z_1 , z_2 , b_1 , b_2 , b_3 , b_4 are given rational numbers such that

 $(x, y, z_1, z_2) \neq (0, 0, 0, 0)$ and $(b_1, b_2, b_3, b_4) \neq (0, 0, 0, 0)$ and consider simultaneous equations with unknowns X_1, X_2, X_3, X_4 ,

(1)
$$\begin{pmatrix} x=b_{3}X_{4}-b_{4}X_{3} \\ y=b_{1}X_{2}-b_{2}X_{1} \\ z_{1}=b_{1}X_{4}-b_{2}X_{3}-b_{4}X_{1}+b_{3}X_{2} \\ z_{2}=b_{1}X_{3}-mb_{2}X_{4}-b_{3}X_{1}+mb_{4}X_{2}, \end{cases}$$

that is,

Put $z=z_1+\sqrt{m}^{-1}z_2$. If x, y, z satisfy (†) and (1) has a solution $X_i=a_i$ (i=1, 2, 3, 4), $T_1(m; b, d)$ is not simple. In fact let Ω be an element of $GL(4, \mathbf{Q})$ such that

$$arOmega = egin{pmatrix} a_1 & b_1 & & \ a_2 & b_2 & & \ a_3 & b_3 & & \ a_4 & b_4 & \end{pmatrix}$$

and $\boldsymbol{\omega}$ an element of $GL(2, \mathbf{C})$ such that

$$\omega = \begin{pmatrix} -\alpha & \beta \\ & & * \end{pmatrix}$$

where $\alpha = b_1 - b_2 \sqrt{m} + b_3 d - b_4 d \sqrt{m}$, $\beta = b_1 + b_2 \sqrt{m} + b_3 b + b_4 b \sqrt{m}$. Then we have by (1) and (†)

$$\omega \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix} \Omega = \begin{pmatrix} 0 & 0 & * & * \\ * & * & * & * \end{pmatrix}.$$

Conversely if $T_1(m; b, d)$ is not simple, there exist such an ω and an Ω . Therefore there exist x, y, z which satisfy (†) and b_1 , b_2 , b_3 , b_4 such that (1) has a solution.

On the other hand (1) has a solution if and only if

$$\operatorname{rank}\begin{pmatrix} 0 & 0 & -b_4 & b_3 & x \\ -b_2 & b_1 & 0 & 0 & y \\ -b_4 & b_3 & -b_2 & b_1 & z_1 \\ -b_3 & mb_4 & b_1 & -mb_2 & z_2 \end{pmatrix} = \operatorname{rank}\begin{pmatrix} 0 & 0 & -b_4 & b_3 \\ -b_2 & b_1 & 0 & 0 \\ -b_4 & b_3 & -b_2 & b_1 \\ -b_3 & mb_4 & b_1 & -mb_2 \end{pmatrix}$$

It is easily seen that this equation is equivalent to the following equation (2);

$$(2) x(b_1^2 - mb_2^2) + y(b_3^2 - mb_4^2) + z_2(b_1b_4 - b_2b_3) - z_1(b_1b_3 - mb_2b_4) = 0.$$

Put $\varepsilon = b_1 + \sqrt{m} b_2$ and $\eta = b_3 + \sqrt{m} b_4$, and (2) implies

(3)
$$\varepsilon \varepsilon^{\sigma} x + \eta \eta^{\sigma} y - (\varepsilon \eta^{\sigma} z + \varepsilon^{\sigma} \eta z^{\sigma})/2 = 0.$$

There exist ε and η which are not both zero and satisfy (3) if and only if (††) is satisfied. In fact, put $\nu = 2y\eta - z\varepsilon$, and (3) implies

$$(N(z/2)-xy)\varepsilon\varepsilon^{\sigma}=\nu\nu^{\sigma}/4\in N(Q(\sqrt{m}))$$

Hence the proof is completed.

Let R be a commutative ring and α , β elements of R. We denote by $(\alpha, \beta)_R$ the quatenion over R which is generated as a R-module by $\{1, e_1, e_2, e_3\}$ where 1 is the unit and $e_1^2 = \alpha$, $e_2^2 = \beta$, $e_1e_2 = -e_2e_1 = e_3$.

We will call a complex torus of dimension 2 which is isogenous to $T_1(m; b, d)$ such that there exist x, y, z which satisfy (†) but there exist no x, y, z which satisfy both (†) and (††) of a quaternion type. By the above lemma a complex torus of a quaternion type is simple.

THEOKEM 4-2. Let T be a simple complex torus of dimension 2. End(T) is a non-commutative ring of rank 4 if and only if T is of a quaternion type. In this case, T is isogenous to $T_1(m; b, d)$ such that bd=q is a rational number and End^q(T) is isomorphic to $(m, q)_q$.

PROOF. First assume that T is of a quaternion type. Then we may assume that $T=T_1(m; b, d)$ and there exist x, y, z such that $2xbd+zb+z^{\sigma}d+2y=0$. Since (††) is not satisfied, $xy \neq 0$ and we may assume x=1. If we put $b'=b-z^{\sigma}$, d'=d-z and $q=zz^{\sigma}-y\in Q$, then b'd'=q and $T=T_1(m; b, d)$ is isogenous to $T_1(m; b', d')$ by an isogeny the rational representation of which is

$$M\begin{pmatrix} 1 & 0 & -z_1 & mz_2 \\ 0 & 1 & z_2 & -z_1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

where $z=z_1+z_2\sqrt{m}$ and M is an integer which is large enough to make coefficients integral. It can be easily seen that $\operatorname{End}^{q}(T_1(m; b', d'))$ is a quatenion generated as a Q-module by four elements whose analytic representations are

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$
, $\begin{pmatrix} \sqrt{m} & 0 \\ 0 & -\sqrt{m} \end{pmatrix}$, $\begin{pmatrix} 0 & b' \\ d' & 0 \end{pmatrix}$, $\begin{pmatrix} 0 & \sqrt{m}b' \\ -\sqrt{m}d' & 0 \end{pmatrix}$.

That implies the "if" part of the theorem, so we next prove the "only if" part of the theorem. If $\operatorname{End}(T)$ is a non-commutative ring of rank 4, T is clearly isogenous to $T_1(m; b, d)$ for some complex numbers b, d, and we may assume that $T = T_1(m; b, d)$. We denote by ϕ the endomorphism whose analytic representation is $\binom{\sqrt{m} \ 0}{0 \ -\sqrt{m}}$. Let ϕ be an endomorphism which is not commutative with ϕ and $\binom{s \ u}{n \ t}$ its analytic representation. Since

$$\binom{\sqrt{m}}{0} \binom{s}{v} \binom{s}{t} \binom{\sqrt{m}}{0} \binom{s}{-\sqrt{m}}^{-1} \binom{s}{v} \binom{s}{t} = \binom{0}{-2v} \binom{-2u}{0},$$

There exists an endomorphism ϕ' whose rational representation is $\begin{pmatrix} 0 & u' \\ v' & 0 \end{pmatrix}$ for some u', v'. Since End(T) is not commutative, the degree of ϕ' over Q is 2, so there exist rational numbers a_1, a_2 such that $\phi'^2 + a_1\phi' + a_2 = 0$. Hence

$$\binom{u'v' \ 0}{0 \ u'v'} + a_1 \binom{0 \ u'}{v' \ 0} + a_2 = 0$$

That implies $a_1=0$ and u'v' is a rational number. Let $\Omega = (\Omega_{ij})$ be the rational representation of ϕ' , and $(\Omega = \Omega_{ij}) = (\Omega_{ij}) + (\Omega_{ij})$

$$\begin{pmatrix} 0 & u' \\ v' & 0 \end{pmatrix} \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix} = \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix} \begin{pmatrix} \Omega_{21} & \Omega_{22} & \Omega_{23} & \Omega_{24} \\ \Omega_{31} & \Omega_{32} & \Omega_{33} & \Omega_{34} \\ \Omega_{41} & \Omega_{42} & \Omega_{43} & \Omega_{44} \end{pmatrix}$$

Put $\alpha_1 = \Omega_{11} + \sqrt{m} \Omega_{21}$ and $\alpha_2 = \Omega_{31} + \sqrt{m} \Omega_{41}$, and $u' = \alpha_1 + b\alpha_2$ and $v' = \alpha_1^{\sigma} + d\alpha_2^{\sigma}$ where α_1 and α_2 are not both zero. Since u'v' is a rational number, putting $x = \alpha_2 \alpha_2^{\sigma}/2$, $y = (\alpha_1 \alpha_1^{\sigma} - u'v')/2$ and $z = \alpha_2 \alpha_2^{\sigma}$, the equation (†) is satisfied. In fact

$$0 = (\alpha_1 + b\alpha_2)(\alpha_1^{\sigma} + d\alpha_2^{\sigma}) - u'v' = \alpha_2\alpha_2^{\sigma}bd + \alpha_2\alpha_1^{\sigma}b + \alpha_2^{\sigma}\alpha_1d + \alpha_1\alpha_1^{\sigma} - u'v'. \quad (q. e. d.)$$

(2) The case that T is not simple nor isogenous to the direct sum of two elliptic curves.

If T has a subtorus of dimension 1, we may assume the period matrix of T is

$$\begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$$

for some complex numbers z_1 , z_2 , w.

LEMMA 4-3. The complex torus $T = C^2 / \begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$ is isogenous to the direct sum of two elliptic curves if and only if $w = q_0 + q_1 z_1 + q_2 z_2 + q_3 z_1 z_2$ for some rational

numbers q_0 , q_1 , q_2 , q_3 .

PROOF. If $w=q_0+q_1z_1+q_2z_2+q_3z_1z_2$, it is easy to transform $\begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix}$ by some isogeny into $\begin{pmatrix} 1 & z_1 & 0 & 0 \\ 0 & 0 & 1 & z_2 \end{pmatrix}$. Conversely if T is isogenous to the direct sum •of elliptic curves, there exist an element $\omega = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ of GL(2, C) and an element $\Omega = (a_{ij})$ of GL(4, Q) and complex numbers x, y such that

$$\omega \begin{pmatrix} 1 & z_1 & 0 & w \\ 0 & 0 & 1 & z_2 \end{pmatrix} = \begin{pmatrix} 1 & x & 0 & 0 \\ 0 & 0 & 1 & y \end{pmatrix} \mathcal{Q},$$

that is,

$$\begin{pmatrix} a & az_1 & b & aw+bz_2 \\ c & cz_1 & d & cw+dz_2 \end{pmatrix} = \begin{pmatrix} a_{11}+a_{21}x & a_{12}+a_{22}x & a_{13}+a_{23}x & a_{14}+a_{24}x \\ a_{31}+a_{41}y & a_{32}+a_{42}y & a_{33}+a_{43}y & a_{34}+a_{44}y \end{pmatrix}.$$

Eliminating x from the equation of the first line, we have

$$\begin{aligned} (a_{11}a_{22}-a_{21}a_{12})w = & (a_{22}a_{14}-a_{24}a_{11}) + (a_{24}a_{11}-a_{12}a_{21})z_1 + (a_{12}a_{23}-a_{22}a_{13})z_2 \\ & + (a_{21}a_{13}-a_{23}a_{11})z_1z_2 \,. \end{aligned}$$

Considering the second line, if necessary, we may assume $a=a_{11}+a_{21}x\neq 0$. Since z_1 is not a rational number, $a=a_{11}+a_{21}x$ and $az_1=a_{12}+a_{22}x$ are linearly independent over Q, hence $a_{11}a_{22}-a_{21}a_{12}\neq 0$. Therefore w is a linear combination of 1, z_1 , z_2 , z_1z_2 with coefficients in Q. (q. e. d.)

LEMMA 4-4. Let T be a complex torus which is not simple nor isogenous to the direct sum of two elliptic curves. Then T has the unique subtorus T' of dimension 1, which is invariant. If $\operatorname{End}^{q}(T) \neq Q$, T' is isogenous to the factor torus T/T'. Therefore T is isogenous to a complex torus of the following type;

$$T_{\mathbf{z}}(z; w) = C^{2} / \begin{pmatrix} 1 & z & 0 & w \\ 0 & 0 & 1 & z \end{pmatrix}.$$

PROOF. Of course T has a subtorus T' of dimension 1. If there exists another subtorus T'' of dimension 1, T is isogenous to $T' \oplus T''$. Hence T' is the unique subtorus of dimension 1. Now assume that $\operatorname{End}^{q}(T) \neq Q$. If there exists an endomorphism ϕ such that $\phi(T) = T'$, T' is contained in the kernel of ϕ , so ϕ induces an isogeny of T/T' to T'. If there does not exist such a ϕ , $\operatorname{End}^{q}(T)$ is division algebra. We have seen in §3 that $\operatorname{End}^{q}(T)$ is considered to be a subalgebra of $\operatorname{End}^{q}(T')$ and of $\operatorname{End}^{q}(T/T')$. Since $\operatorname{End}^{q}(T) \neq Q$, we have $\operatorname{End}^{q}(T') \cong \operatorname{End}^{q}(T/T')$. So T' is isogenous to T/T'. (q.e.d.)

Now to study the endomorphism ring of $T_2(z; w)$ we prepare a lemma.

LEMMA 4-5. Let T = E/G be a complex torus of dimension n and T' an invariant subtorus of dimension r. If $(1_r, T')$ and $(1_s, T'')$ are the period matrices of T' and T/T' respectively where r+s=n, then we can choose a C-base of E and a Z-base of G such that the period matrix is of the following type;

$$\begin{pmatrix} 1_r & 0 & T' & * \\ 0 & 1_s & 0 & T'' \end{pmatrix}.$$

Then the analytic representation ω and the rational representation Ω of any element of $\operatorname{End}^{q}(T)$ are matrices of the following types;

PROOF. Putting T = E/G, T' = E'/G' $(E \subset E')$, E' is invariant by the linear extension of any endomorphism. The lemma follows immediately.

We now pass on to the consideration on a complex torus

$$T_2 = T_2(z; w) = C^2 / \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix}$$

and $\operatorname{End}^{Q}(T_{2})$. Let

$$\omega = \begin{pmatrix} lpha & eta \\ \gamma & \delta \end{pmatrix}$$
 and $\Omega = \begin{pmatrix} a_{11} & a_{12} & b_{11} & b_{12} \\ a_{21} & a_{22} & b_{21} & b_{22} \\ c_{11} & c_{12} & d_{11} & d_{12} \\ c_{21} & c_{22} & d_{21} & d_{22} \end{pmatrix}$

be the analytic representation and the rational representation of an endomorphism of T_2 . $\gamma = a_{21} = b_{21} = c_{21} = d_{21} = 0$ by lemma 4-5. Since

$$\omega \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix} = \begin{pmatrix} 1 & 0 & z & w \\ 0 & 1 & 0 & z \end{pmatrix} \Omega,$$

we have

i)
$$c_{11}z^2 + (a_{11}-d_{11})z - b_{11} = 0$$

ii)
$$c_{22}z^2 + (a_{22}-d_{22})z - b_{22} = 0$$

iii) $\{(a_{11}-d_{22})+(c_{11}+c_{22})z\}w=b_{12}+(d_{12}-a_{12})z-c_{12}z^2$.

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a) The case of $[Q(z):Q] \ge 3$.

Then i) and ii) imply that $a_{11}=d_{11}$, $a_{22}=d_{22}$, $c_{11}=b_{11}=c_{22}=b_{22}=0$, and hence iii) implies

$$(a_{11}-d_{22})w=b_{12}+(d_{12}-a_{12})z-c_{12}z^2$$

If $a_{11} \neq d_{22}$, T_2 is isogenous to the direct sum of two elliptic curves. Therefore $a_{11}=d_{22}$ and $b_{12}=c_{12}=0$, $d_{12}=a_{12}$. Hence the rational representation of $\operatorname{End}^{\mathbf{q}}(T_2)$ is

$$\left\{ \begin{pmatrix} a & b & 0 & 0 \\ 0 & a & 0 & 0 \\ 0 & 0 & a & b \\ 0 & 0 & 0 & a \end{pmatrix} \middle| a, b \in \mathbf{Q} \right\}.$$

The dimension of $\operatorname{End}^{q}(T_2)$ over Q is 2, and the analytic representation of a base is

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}.$$

End^q(T_2) is isomorphic to $Q[X]/(X^2)$.

b) The case of [Q(z):Q]=2.

Then we may assume that $z=\sqrt{m}$ where *m* is a square-free integer. i) and ii) imply $a_{11}=d_{11}$, $mc_{11}=b_{11}$, $a_{22}=d_{22}$, $mc_{22}=b_{22}$. If $(a_{11}-d_{22})+(c_{11}+c_{22})z\neq 0$, *w* is an element of Q(z) and hence T_2 is isogenous to the direct sum of two elliptic curves. Therefore $(a_{11}-d_{22})+(c_{11}+c_{22})z=0$. This equation implies $a_{11}=d_{22}$, $c_{11}+c_{22}=0$ and $b_{12}=mc_{12}$, $d_{12}=a_{12}$. It follows that the rational representation of End^Q(T_2) is

$$\left\{ \begin{pmatrix} a & b & mc & d \\ 0 & a & 0 & -mc \\ c & d & a & b \\ 0 & -c & 0 & a \end{pmatrix} \middle| a, b, c, d \in \mathbf{Q} \right\}.$$

The dimension of $\operatorname{End}^{q}(T)$ over Q is 4 and the analytic representation of a base is

$$1_2 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, e_1 = \begin{pmatrix} \sqrt{m} & -w \\ 0 & -\sqrt{m} \end{pmatrix}, e_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, e_3 = \begin{pmatrix} 0 & \sqrt{m} \\ 0 & 0 \end{pmatrix}.$$

There are the following equation among those four elements;

 $e_1 1_2 = e_1$, $e_2 1_2 = e_2$, $e_1^2 = m 1_2$, $e_2^2 = 0$, $e_1 e_2 = -e_2 e_1 = e_3$. Hence End^{*q*}(*T*) is isomorphic to $(m, 0)_q$.

(3) The case that T is isogenous to the direct sum of two elliptic curves.

There is no difficulty in this case. We may assume that $T = T' \oplus T''$ for some elliptic curves T' and T''. If T' is isogenous to T'', $\operatorname{End}^{q}(T) \cong M(2, \operatorname{End}^{q}(T'))$. And if T' is not isogenous to T'', $\operatorname{End}^{q}(T) \cong \operatorname{End}^{q}(T') \oplus \operatorname{End}^{q}(T'')$.

Now we will summarize the facts we have seen in this section. Let m, m' be integers which are square-free and z, z' complex numbers which are not contained in R nor any quadratic field over Q. Consider complex tori of the following types.

I)

$$T'(\zeta, \xi) = C^2 / \begin{pmatrix} 1 & \zeta & \zeta^2 & \zeta^3 \\ 1 & \xi & \xi^2 & \xi^3 \end{pmatrix}$$

where ζ, ξ are algebraic numbers of degree 4 over Q such that $\{\zeta, \xi, \bar{\zeta}, \bar{\xi}\}$ is the set of all conjugates of ζ over Q. Moreover if we consider the Galois group G^* of $F=Q(\zeta, \xi, \bar{\zeta}, \bar{\xi})$ to be a subgroup of S_4 by the correspondence $1 \leftrightarrow \zeta$, $2 \leftrightarrow \xi, 3 \leftrightarrow \bar{\zeta}, 4 \leftrightarrow \bar{\xi}, G$ is not V_4 nor $V_4 \cup (12) V_4$.

II) (complex tori of quatenion types)

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where b, d are complex numbers which are not contained in $Q(\sqrt{m})$, and bd=qis a rational number which is not contained in $N(Q(\sqrt{m}))$. And there is no element α of $Q(\sqrt{m})$ but zero such that $\alpha b + \alpha^{\sigma} d$ is a rational number. Moreover if m>0, b, d are not real number, and if m<0, $b\neq \bar{d}$.

III) Simple complex tori of the following type

$$\boldsymbol{T}_{1}(m; b, d) = C^{2} / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

which are not isogenous to any complex torus of the type (I) nor the type (II). If m>0, b, d are not contained in R, and if m<0, $b\neq \bar{d}$.

IV)

$$T_{2}(\sqrt{m}; w) = C^{2} / \begin{pmatrix} 1 & \sqrt{m} & 0 & w \\ 0 & 0 & 1 & \sqrt{m} \end{pmatrix}$$

where m < 0, and w is not contained in $Q(\sqrt{m})$. V)

$$T_2(z; w) = C^2 / \begin{pmatrix} 1 & z & 0 & w \\ 0 & 0 & 1 & z \end{pmatrix}$$

where w is not contained in $Q+Qz+Qz^2$.

VI)
$$T_{\mathfrak{s}}(\sqrt{m}, \sqrt{m}) = C/(1 \sqrt{m}) \oplus C/(1 \sqrt{m})$$

where m < 0.

 $\mathbf{VII}) \qquad \mathbf{T}_{3}(\sqrt{m}, \sqrt{m'}) = \mathbf{C}/(1 \sqrt{m}) \oplus \mathbf{C}/(1 \sqrt{m'})$

where m, m' < 0 and $m \neq m'$.

 $\mathbf{T}_{\mathfrak{s}}(\sqrt{m}, z) = C/(1 \sqrt{m}) \oplus C/(1 z)$

where m < 0.

 $\begin{array}{ll} \text{IX} & & & & \\ \text{IX} & & & \\ \text{IX} & & & \\ \end{array} \\ \begin{array}{ll} T_{8}(z,\,z') \!=\! C/(1\,\,z) \oplus C/(1\,\,z') \\ & & \\ \end{array} \\ \end{array}$

where $z' \oplus Q(z)$.

Then a complex torus T of dimension 2 is isogenous to a complex torus of one of the above types if and only if $\operatorname{End}^{q}(T)$ is isomorphic to a Q-algebra of the following corresponding type.

- I) Algebraic fields $Q(\zeta)$ of degree 4 over Q.
- II) Quatenions $(m, q)_{\mathbf{Q}}$ such that q is not contained in $N(\mathbf{Q}(\sqrt{m}))$.
- III) Quadratic fields $Q(\sqrt{m})$.
- IV) Quatenions $(m, 0)_{q}$.
- V) $Q[X]/(X^2)$.
- VI) $M(2, Q(\sqrt{m}))$ where m < 0.
- VII) $Q(\sqrt{m}) \oplus Q(\sqrt{m'})$ where $m, m' < 0, m \neq m'$.
- VIII) $Q(\sqrt{m}) \oplus Q$ where m < 0.
- IX) M(2, Q).
- X) $Q \oplus Q$.

§5. Abelian varietis of dimension 2.

A complex torus T is called an abelian variety if T can be embedded in some projective space, in other words, if there exists an ample Riemann form on T. A complex torus of dimension 2 of the type VI), VII), VII), IX) or X) is an abelian variety. And a complex torus of the type IV) or V) is not an abelian variety. Then we will study complex tori of types I), II) and III), that is, simple tori.

Let T = E/G be a complex torus of dimension *n* where *E* is *C*-vector space and *G* is its lattice subgroup. Fix bases of *E* and *G*, and let *G* be the period matrix of *T* with respect to those bases. Put $(C \ \overline{C}) = \left(\frac{G}{\overline{G}}\right)^{-1}$ where $C \in$ $M(2n \times n, C)$. There exists a one-to-one correspondence between the set of hermitian forms on *T* (namely the set of hermitian forms *H* on $E \times E$ such

that H(g, g') is integral for any $g, g' \in G$) and the set of skew-symmetric matrices M of degree 2n with coefficients in Z which satisfy

(1) ^{*t*}*CMC*=0.

In this correspondence an ample Riemann form on T corresponds to an M which satisfies (1) and

(2) $\sqrt{-1} \overline{C} MC > 0$ (namely $\sqrt{-1} \overline{C} MC$ is positive definite.)

T is an abelian variety if and only if there exists a skew-symmetric matrix M which satisfies (1) and (2). If $G=(1_n T)$, $C=\begin{pmatrix} -\overline{T}\\ 1_n \end{pmatrix}(T-\overline{T})^{-1}$. Put $M=\begin{pmatrix} A & B\\ tB & D \end{pmatrix}$ where $A, B, D \in M(n, \mathbb{Z})$ and ${}^{t}A=-A, {}^{t}D=-D$. Then (1), (2) imply respectively

(1')
$${}^{t}TAT - {}^{t}TB + {}^{t}BT + D = 0,$$

(2')
$$\sqrt{-1}({}^{t}TA\overline{T}-{}^{t}TB+{}^{t}B\overline{T}+D)>0.$$

When (1') is satisfied, (2') is equivalent to the following condition;

 $(2'') \quad \sqrt{-1}({}^tTA \! + \! {}^tB)(\overline{T} \! - \! T) \! > \! 0 \, .$

When n=2, put $T=\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$, $A=\begin{pmatrix} 0 & x \\ -x & 0 \end{pmatrix}$, $B=\begin{pmatrix} p & q \\ r & s \end{pmatrix}$ and $D=\begin{pmatrix} 0 & y \\ -y & 0 \end{pmatrix}$, and (1') implies

i)
$$x(\alpha\delta - \gamma\beta) - (q\alpha + s\gamma) + (p\beta + r\delta) + y = 0$$

and (2'') implies

$$\sqrt{-1} \begin{pmatrix} p - x\gamma & r + x\alpha \\ q - x\delta & s + x\beta \end{pmatrix} \begin{pmatrix} \bar{\alpha} - \alpha & \bar{\beta} - \beta \\ \bar{\gamma} - \gamma & \bar{\delta} - \delta \end{pmatrix} > 0,$$

which is equivalent to the following two conditions;

a)
$$\sqrt{-1} \{p(\bar{\alpha}-\alpha)+q(\bar{\gamma}-\gamma)+x(\alpha\bar{\gamma}-\bar{\alpha}\gamma)\} > 0$$
,
b) $(-1) \{(p-x\gamma)(s+x\beta)-(r+x\alpha)(q-x\delta)\} \{(\bar{\alpha}-\alpha)(\bar{\delta}-\delta)-(\bar{\gamma}-\gamma)(\bar{\beta}-\beta)\} > 0.$

When i) is satisfied b) is equivalent to the following;

c) $\{-xy+(ps-rq)\}$ $\{(\bar{\alpha}-\alpha)(\bar{\delta}-\delta)-(\bar{\gamma}-\gamma)(\bar{\beta}-\beta)\} < 0.$

Now let T be a simple torus of dimension 2 with non-trivial endomorphisms. First we prove that if T is an abelian variety $\operatorname{End}^{Q}(T)$ contains some quadratic field over Q. In fact, if it does not, T is isogenous to a complex torus of the type

$$C^{2} / \begin{pmatrix} 1 & \zeta & \zeta^{2} & \zeta^{3} \\ 1 & \xi & \xi^{2} & \xi^{3} \end{pmatrix}$$

where the Galois group G^* of $Q(\zeta, \xi, \overline{\zeta}, \overline{\xi})$ over Q is isomorphic to the alternative group A_4 or the symmetric group S_4 . T is isogenous to

If T is an abelian variety, so is T', hence there exist integers x, y, p, q, r, s which are not all zero and satisfy i), that is,

$$0 = x(\zeta^{2}\xi^{2}) - \{q(-\xi\zeta) + s(\zeta+\xi)\} + \{p(-\xi\zeta(\zeta+\xi)) + r(\xi^{2} + \xi\zeta+\zeta^{2})\} + y$$

= $(x\xi^{2} - p\xi + r)\zeta^{2} + (-p\xi^{2} + q\xi + r\xi - s)\zeta + (r\xi^{2} - s\xi + y).$

But if $G^*=A_4$ or S_4 , this is impossible. Therefore if T is an abelian variety, $\operatorname{End}^{Q}(T)$ contains a quadratic field $Q(\sqrt{m})$. Then T is isogenous to a complex torus

$$T_1(m; b, d) = C^2 / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

for some complex numbers b, d. Since this is isomorphism to

$$T_1' = C^2 / \begin{pmatrix} 1 & 0 & u & mv \\ 0 & 1 & v & u \end{pmatrix}$$

where u=(b+d)/2 and $v=(b-d)/2\sqrt{m}$, **T** is an abelian variety if and only if there exist integers x, y, p, q, r, s which satisfy the following i'), a') and c').

i') $bdx+zb+z^{\sigma}d+y=0$ (where $z=z_1+z_2/\sqrt{m}$, $z_1=(r-q)/2$ and z=(pm-s)/2.)

a')
$$\sqrt{-1} \{ p(u-\bar{u}) + q(v-\bar{v}) + x(u\bar{v}-v\bar{u}) \} > 0$$

c') $\{-xy+(ps-rq)\}F(b, d)<0 \text{ (where } F(b, d)=\begin{cases} (b-\bar{b})(d-\bar{d}) & \text{if } m>0\\ (b-\bar{d})(d-\bar{b}) & \text{if } m<0. \end{cases}$

LEMMA 5-1. If m > 0, there exist x, y, p, q, r, s which satisfy i') and a'), c'). Therefore **T** is an abelian variety.

PROOF. Put x=y=0, r=q, s=mp, and i') is of course satisfied and a'), c') imply

- a") $\sqrt{-1} \{(p+q/\sqrt{m})(b-\bar{b})+(p-q/\sqrt{m})(d-\bar{d})\} > 0$
- c") $(mp^2-q^2)(b-\bar{b})(d-\bar{d})<0.$

Put $X=(p+q/\sqrt{m})\sqrt{-1}(b-\bar{b})$, $Y=(p-q/\sqrt{m})\sqrt{-1}(d-\bar{d})$, and a"), c") imply X+Y>0 and XY>0. We only have to take p, q which make X and Y positive. (q. e. d.)

LEMMA 5-2. If m < 0 and T is not of a quaternion type, T is not an abelian

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variety.

PROOF. Since T is not quaternion type, x, y, z which satisfy i') are all zero, so x=y=0, mp=s, r=q. Then if m<0, c') implies

$$(mp^2-q^2)(b-\bar{d})(d-\bar{b}) = -(mp^2-q^2)|b-\bar{d}|^2 < 0.$$

But since m < 0, this is impossible. Hence **T** cannot be an abelian variety. (q. e. d.)

Now we assume that T is of a quaternion type. There exist an integer q_0 which is not contained in $N(Q(\sqrt{m}))$ such that T is isogenous to

$$T'' = C^{2} / \begin{pmatrix} 1 & \sqrt{m} & b & b\sqrt{m} \\ 1 & -\sqrt{m} & d & -d\sqrt{m} \end{pmatrix}$$

where $bd=q_0$. If m>0 or $q_0>0$, T'' is an abelian variety by Lemma 5-1. So we assume m<0 and $q_0<0$. If there exists an element z of $Q(\sqrt{m})$ such that $zb+z^{\sigma}d$ is a rational number r_0 , putting x=0, $y=-r_0$, the condition i*) of Lemma 4-1 is satisfied. Therefore since $bd=q_0$ is a rational number, there exists no z but zero which satisfies i') with some x, y. Hence if T' is an abelian variety, $y=-x_0$, r=q, s=pm and

$$-(x^2q_0+mp^2-q^2)|b-\bar{d}|^2 < 0.$$

But this is impossible. Therefore we have proved the following lemma.

LEMMA 5-3. Let T be a complex torus of a quaternion type such that $\operatorname{End}^{q}(T) \cong (m, q)_{q}$. If m > 0 or q > 0, T is an abelian variety. If m < 0 and q < 0, T is not abelian variety.

And the following theorem has been proved.

THEOREM 5-4. Let T be a simple complex torus of dimension 2 with nontrivial endomorphisms. Then T is an abelian variety if and only if $\operatorname{End}^{Q}(T)$ contains a real quadratic field over Q as a sub-Q-algebra.

REMARK. Let $\rho(T)$ be the rank of the additive group of all hermitian forms on T, which is equal to the Picard number of T. When T is a simple torus of dimension 2 such that $\operatorname{End}(T) \neq Z$, we have seen above that if $\operatorname{End}^{Q}(T)$ contains no quadratic field over Q, $\rho(T)=0$, if $\operatorname{End}^{Q}(T)$ contains a quadratic field but Tis not of a quatenion type, $\rho(T)=2$, and if T is of a quatenion type, $\rho(T)=3$.

Atsushi Shimizu

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