

A Property of Quasi-diagonal Forms

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The aim of this paper is to prove the following result.

THEOREM. *Let k be a positive integer and let $F_i \in \mathbb{Z}[\mathbf{x}_i]$ be a form of degree k , where \mathbf{x}_i ($i = 1, 2, \dots$) are disjoint vectors of variables. Assume that*

(*) *either not all forms are semidefinite of the same sign, or all forms are nonsingular.*

Then there exists a positive integer s_0 such that, for all s , every integer represented by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{Z} is represented by $\sum_{i=1}^{s_0} F_i(\mathbf{x}_i)$ over \mathbb{Z} .

If $k = 2$, then the condition () can be omitted. J. Szejkó has conjectured that the condition (*) is superfluous.*

COROLLARY. *Let k_i be a bounded infinite sequence of positive integers, and let $F_i[\mathbf{x}_i]$ be an infinite sequence of nonsingular forms of degree k_i with the \mathbf{x}_i disjoint. Then there exists a positive integer s_0 such that, for all s , every integer represented by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{Z} is also represented by $\sum_{i=1}^{s_0} F_i(\mathbf{x}_i)$ over \mathbb{Z} .*

REMARK 1. The assertion is false when $k_i = 2^i$ and $F_i = x_i^{k_i}$ ($i = 1, 2, \dots$). It may be enough to assume that $\sum_{i=1}^{\infty} \frac{1}{k_i} = \infty$.

NOTATION. For a given field K and a form $F \in K[x_1, \dots, x_r]$, we use $D(F)$ to denote the Netto discriminant of F —that is, the resultant of $\frac{\partial F}{\partial x_i}$ ($i = 1, 2, \dots, r$); note that $D(F)$ differs from the true discriminant of F by a constant factor (see [6, p. 434]). Also, $h(F, K)$ is the least h such that $F = \sum_{i=1}^h G_i H_i$, where $G_i, H_i \in K[x_1, \dots, x_r]$ are forms of positive degree and $h(F) = h(F, \mathbb{Q})$.

For $a \in \mathbb{Z} \setminus \{0\}$ and p a prime, $\text{ord}_p a$ is the highest exponent e such that $p^e | a$ (i.e., $p^e || a$); $\text{ord}_p 0 = \infty$. For $\mathbf{x} = [x_1, \dots, x_r] \in \mathbb{Z}^r$, we have $\text{ord}_p \mathbf{x} = \min_{1 \leq i \leq r} \text{ord}_p x_i$. Finally, $e(x) = \exp\{2\pi i x\}$.

Our proof of the theorem is based on the following series of seventeen lemmas.

LEMMA 1. *Let p be a prime, $F \in \mathbb{Z}[\mathbf{x}]$ a form of degree $k = p^\tau k_0$, and $k_0 \in \mathbb{Z} \setminus p\mathbb{Z}$. Let $\gamma = \tau + 2$ if $p = 2$ and $\tau > 0$ and let $\gamma = \tau + 1$ otherwise. If*

Received March 17, 2010. Revision received September 29, 2011.

$a \in \mathbb{Z}_p \setminus \{0\}$, $p^v \parallel a$, and the congruence $F(\mathbf{x}) \equiv a \pmod{p^{\gamma+v}}$ is solvable, then the equation $F(\mathbf{x}) = a$ is solvable in \mathbb{Z}_p .

Proof. If $F(\mathbf{x}_0) \equiv a \pmod{p^{\gamma+v}}$, then $p^v \parallel F(\mathbf{x}_0)$ and $F(\mathbf{x}_0)p^{-v} \equiv ap^{-v} \pmod{p^\gamma}$. Then, by [4, Lemma 9] (or its proof for the case $p = 2$, $\tau = 0$), the congruence $h^k F(\mathbf{x}_0)p^{-v} \equiv ap^{-v} \pmod{p^n}$ is solvable for every n . Thus, by compactness of \mathbb{Z}_p , the equation $h^k F(\mathbf{x}_0)p^{-v} = ap^{-v}$ is solvable in \mathbb{Z}_p and it suffices to take $\mathbf{x} = h\mathbf{x}_0$. \square

LEMMA 2. Let $F \in \mathbb{Z}[x_1, \dots, x_r]$ be a form of degree k , and let $c \in \mathbb{Z} \setminus \{0\}$. For p a prime, if a congruence $F(x) \cong c \pmod{p^{2\text{ord}_p kc+1}}$ is solvable then, for all n , the number $L(F, c, p^n)$ of solutions of the congruence

$$F(x) \cong c \pmod{p^n} \quad (1)$$

satisfies

$$L(F, c, p^n) \geq p^{(n-2\text{ord}_p kc-1)(r-1)}. \quad (2)$$

Proof. By Euler's theorem (see [8, Satz 27]),

$$\sum_{i=1}^r \frac{\partial F}{\partial x_i} x_i = kF. \quad (3)$$

For a certain $\xi \in \mathbb{Z}^r$ we have

$$F(\xi) \cong c \pmod{p^{2\text{ord}_p kc+1}}, \quad (4)$$

so it follows from (3) that, for a certain $h \leq r$,

$$\delta = \text{ord}_p \frac{\partial F}{\partial x_h}(\xi) \leq \text{ord}_p kc;$$

now, by (4), we have

$$F(\xi) \equiv c \pmod{p^{2\delta+1}}.$$

It follows from the proof of Theorem 3 in [1, Chap. I, Sec. 5] that, if

$$x_i \equiv \xi_i \pmod{p^{2\delta+1}} \quad \text{for } i \neq h,$$

then there exists an x_h such that

$$F(\mathbf{x}) \equiv c \pmod{p^n}.$$

Clearly, (2) holds. \square

LEMMA 3. Let $F \in \mathbb{Z}[x_1, \dots, x_r]$ be a form of degree k with $D(F) \neq 0$, and let p be a prime. If a congruence $F(\mathbf{x}) \equiv c \pmod{p^{2\text{ord}_p D(F)+1+k\nu}}$ is solvable with $\text{ord}_p \mathbf{x} = \nu$ then, for all n , the number $L(F, c, p^n)$ of solutions of the congruence (1) satisfies

$$L(F, c, p^n) \geq p^{(n-2\text{ord}_p D(F)-1-\nu)(r-1)}. \quad (5)$$

Proof. Consider first the case $\nu = 0$. Since $D(F)$ is the resultant of $\partial F / \partial x_i$ ($i = 1, 2, \dots, r$), we have (see [8, Satz 124]) that

$$\sum_{i=1}^r \frac{\partial F}{\partial x_i} \phi_{ij}(x_1, \dots, x_r) = D(F)x_j^{k^r} \tag{6}$$

for all $j \leq r$, where $\phi_{ij} \in \mathbb{Z}[x_1, \dots, x_r]$. Since

$$F(\mathbf{x}) \equiv c \pmod{p^{2 \operatorname{ord}_p D(F)+1}} \tag{7}$$

has a solution ξ with $\operatorname{ord}_p \xi = 0$, we obtain from (6) that, for a certain $h \leq r$,

$$\delta = \operatorname{ord}_p \frac{\partial F}{\partial x_h}(\xi) \leq \operatorname{ord}_p D(F);$$

then, by (7),

$$F(\xi) \equiv c \pmod{p^{2\delta+1}}.$$

It follows, as in the proof of Lemma 2, that

$$L(F, c, p^n) \geq p^{(n-2 \operatorname{ord}_p D(F)-1)(r-1)}.$$

Consider now the general case. Since

$$F(\xi) \equiv c \pmod{p^{2 \operatorname{ord}_p D(F)+1+v k}} \quad \text{and} \quad \operatorname{ord}_p(\xi) = v,$$

we have

$$F(p^{-v}\xi) \equiv cp^{-vk} \pmod{p^{2 \operatorname{ord}_p D(F)+1}}.$$

By the already proved case of the lemma, we have

$$L(F, cp^{-vk}, p^{n-v}) \geq p^{(n-2 \operatorname{ord}_p D(F)-1-v)(r-1)}.$$

Every solution of the congruence

$$F(\mathbf{y}) \equiv cp^{-vk} \pmod{p^{n-v}}$$

gives rise to a solution of the congruence (1) by the substitution $\mathbf{x} = p^v \mathbf{y}$, and solutions that are distinct $(\bmod p^{n-v})$ give rise to solutions that are distinct $(\bmod p^n)$. Thus (5) holds. \square

LEMMA 4. *Let $l = 2k^2(k, 2)^2 - k(k, 2)$, $s \geq l + 1$, p be a prime, and d_i ($1 \leq i \leq s$) be p -adic units. Then, for every integer c and all positive integers n , the congruence*

$$c \equiv \sum_{i=1}^s d_i x_i^k \pmod{p^n}$$

is solvable with at least one $x_i \not\equiv 0 \pmod{p}$, and the relevant equation is solvable in \mathbb{Z}_p .

Proof. For $n = \gamma$ the assertion is proved in [4, pp. 53–54]. Assume without loss of generality that

$$c \equiv \sum_{i=1}^s d_i \xi_i^k \pmod{p^\gamma} \quad \text{and} \quad \xi_s \not\equiv 0 \pmod{p}.$$

Applying Lemma 1 with $F(x) = d_s x^k$ and $a = c - \sum_{i=1}^{s-1} d_i \xi_i^k$ allows us to infer the existence of an $\eta \in \mathbb{Z}$ such that

$$c \equiv \sum_{i=1}^{s-1} d_i \xi_i^k + d_s \eta^k \pmod{p^n};$$

clearly, $\eta^k \equiv \xi_s^k \pmod{p^n}$ and so $\eta \not\equiv 0 \pmod{p}$. Solvability of the relevant equation in \mathbb{Z}_p follows from compactness of \mathbb{Z}_p . \square

LEMMA 5. *Let $F_i(\mathbf{x}_i)$ be a nonsingular form of degree k in r_i variables ($1 \leq i \leq s$), let p be a prime, and let $p^{\delta_{pi}}$ be the highest power of p dividing $F_i(\boldsymbol{\eta}_i)$ for all $\boldsymbol{\eta}_i \in \mathbb{Z}^{r_i}$. If $s \geq kl + 1$ and if the equation*

$$F(\mathbf{x}) := \sum_{i=1}^s F_i(\mathbf{x}_i) = N \tag{8}$$

is solvable in \mathbb{Z}_p , then for all n we have

$$L(F, N, p^n) \geq p^{(n - \gamma_p - \delta_p)(R-1)}, \tag{9}$$

where

$$\gamma_p = 2 \operatorname{ord}_p D(F) + 1,$$

$$\delta_p = \max_{1 \leq i \leq s} \delta_{pi}, \quad \text{and}$$

$$R = \sum_{i=1}^s r_i.$$

Proof. We note first that, by assumption, $D(F_i) \neq 0$ ($1 \leq i \leq s$); hence $D(F) \neq 0$ by the Laplace formula (see [7, 5.10]). Let

$$N = p^\delta d \quad \text{for } d \text{ a } p\text{-adic unit,}$$

and assume first that $\delta < \delta_p$. Then equation (8) gives

$$\operatorname{ord}_p \mathbf{x} \leq \left\lfloor \frac{\delta}{k} \right\rfloor < \delta_p$$

and so, by Lemma 3, (9) holds.

Assume now that $\delta \geq \delta_p$ (or $N = d = 0$) and that $F_i(\boldsymbol{\eta}_i) = p^{\delta_{pi}} d_i$ for $d_i =$ a p -adic unit. Because $s \geq kl + 1$, there is a residue $r \pmod{k}$ such that $S = \{i \leq s : \delta_{pi} \equiv r \pmod{k}\}$ satisfies $|S| \geq l + 1$. Let $\delta_{pm} = \max_{i \in S} \delta_{pi}$. Then by Lemma 4 we have

$$p^{\delta - \delta_{pm}} d \equiv \sum_{i \in S} d_i \xi_i^k \pmod{p^{\gamma_p + \delta_p}},$$

where not all the ξ_i are divisible by p . Suppose $\xi_j \not\equiv 0 \pmod{p}$. Now put $\mathbf{x}_i \equiv 0 \pmod{p^{\gamma_p + \delta_p}}$ for $i \notin S$ and

$$\mathbf{x}_i \equiv p^{(\delta_{pm} - \delta_{pi})/k} \boldsymbol{\eta}_i \xi_i \pmod{p^{\gamma_p + \delta_p}} \quad \text{for } i \in S \setminus \{j\}.$$

Since $\gamma_p \geq 2 \operatorname{ord}_p D(F_j) + 1$ by the Laplace formula, it follows that

$$F_j(\mathbf{x}_j) \equiv N - \sum_{\substack{i=1 \\ i \neq j}}^s F_i(\mathbf{x}_i) \pmod{p^{2 \operatorname{ord}_p D(F_j) + 1 + \delta_p}}$$

has a solution $p^{(\delta_{pm} - \delta_{pj})/k} \boldsymbol{\eta}_j = \boldsymbol{\eta}'_j$ with $\operatorname{ord}_p \boldsymbol{\eta}'_j = \frac{\delta_{pm} - \delta_{pj}}{k}$. Hence, by Lemma 3,

$$L(F, N, p^n) \geq p^\Sigma \geq p^{(n - \gamma_p - \delta_p)(R-1)},$$

where

$$\Sigma = \sum_{\substack{i=1 \\ i \neq j}}^s (n - \gamma_p - \delta_p) r_i + \left(n - \gamma_p - \left\lfloor \frac{\delta_p}{k} \right\rfloor \right) (r_j - 1).$$

Therefore, (9) holds again. □

LEMMA 6. Let $\phi \in \mathbb{Z}[x_1, \dots, x_r]$ be a polynomial of degree $k > 1$, F the leading form of ϕ , $\alpha \in \mathbb{R}$, and B a certain product of fixed intervals of length ≤ 1 . Let:

$$S(\alpha) = \sum_{\mathbf{x} \in PB \cap \mathbb{Z}^r} e(\alpha \phi(\mathbf{x}));$$

$$\sigma(2) = 1, \quad \sigma(k+1) = \sum_{u=2}^k \binom{k-1}{u-2} \sigma(u) \quad (k \geq 2).$$

Then, for every positive $\Delta \leq k - 1$ and $\varepsilon > 0$ and for all sufficiently large P , either

$$|S(\alpha)| \leq P^{r - \Delta \frac{h(F)}{(k-1)2^{k-1}\sigma(k)} + \varepsilon}$$

or there exists a positive integer q satisfying

$$q \leq cP^\Delta \quad \text{and} \quad \|\alpha q\| < P^{-k+\Delta}, \tag{10}$$

where $c \geq 1$ depends only on $\phi - \phi(\mathbf{0})$ and B .

Proof. The lemma follows from statements 4A and 7A of [9, Chap. III] and roughly as in [9, p. 89], where we put $d = k$, $s = r$, $t = \Delta \frac{h(F)}{(k-1)2^{k-1}\sigma(k)} - \varepsilon$, and $\eta = \frac{\Delta}{k-1}$. The $\sigma(k)$ that we have defined recursively coincides with the $\sigma(k)$ defined in [9, p. 117]. Indeed, it is easily proved by induction that $\sigma(k)$ as defined in this paper satisfies $\sigma(k) \geq 2^{k-2} - 1$. Moreover, for every $k > 1$, we have $(k-2)! (\log 2)^{2-k} \geq \sigma(k) > \frac{1}{2}(k-2)! (\log 2)^{2k}$. Note also that $|S(\alpha)|$ depends only on α and $\phi - \phi(\mathbf{0})$. □

LEMMA 7. For integers a and q with $q > 0$ and $(a, q) = 1$, let

$$S(a, q) = \sum_{\mathbf{z} \bmod q} e\left(\frac{a}{q} \phi(\mathbf{z})\right).$$

Then, for $k \geq 2$ and $\varepsilon > 0$,

$$S(a, q) \ll q^{r - (1-\varepsilon) \frac{h(F)}{(k-1)2^{k-1}\sigma(k)} + \varepsilon}.$$

Proof. In Lemma 6 we take $\alpha = a/q$, $\Delta = 1 - \varepsilon$, $P = q$, and $B = [0, 1]^r$. We obtain that either

$$|S(a, q)| \leq q^{r-(1-\varepsilon)\frac{h(F)}{(k-1)2^{k-1}\sigma(k)}+\varepsilon}$$

or there exists a positive integer $q' \leq cq^{1-\varepsilon}$ with $\|\alpha q'\| < q^{-k+1-\varepsilon}$. However, for $q^\varepsilon > c$ we have $\|\alpha q'\| \geq 1/q$ and so $q^{-k+2-\varepsilon} > 1$, which is impossible for $k \geq 2$.

For $(a, q) = 1$, let $\mathfrak{M}_{a,q}$ be the set of $\alpha \in (0, 1)$ satisfying

$$q \leq cP^\Delta \quad \text{and} \quad \left| \alpha - \frac{a}{q} \right| \leq P^{-k+\Delta},$$

and let \mathfrak{m} be the complement of the union of all $\mathfrak{M}_{a,q}$ where $q \leq cP^\Delta$ and $(a, q) = 1$. \square

LEMMA 8. *If $h(F) \geq (k-1)2^k\sigma(k) + 1$, then*

$$\int_{\mathfrak{m}} |S(\alpha)| d\alpha \ll P^{r-k-\Delta/(k-1)2^{k-1}\sigma(k)}.$$

Proof (following [5, Sec. 4]). Let $\mathcal{E}(\Delta)$ be the set of those $\alpha \in [0, 1)$ for which there exists a positive integer q satisfying (10). Plainly $\mathcal{E}(\Delta)$ increases with Δ . Since every α has a rational approximation satisfying $1 \leq q \leq P^{k/2}$ and $\|q\alpha\| < P^{-k/2}$ and since these inequalities imply (10) with $\Delta = k/2$, the whole interval $[0, 1)$ is contained in $\mathcal{E}(k/2)$. On the other hand, for $P > P_0(\varepsilon)$, the set \mathfrak{m} is contained in the complement of $\mathcal{E}(\Delta - \varepsilon)$. We choose numbers $\Delta_0, \Delta_1, \dots, \Delta_g$ such that

$$\Delta - \varepsilon = \Delta_0 < \Delta_1 < \dots < \Delta_g = k/2.$$

Then \mathfrak{m} is contained in the union of the sets

$$\mathcal{E}(\Delta_f) - \mathcal{E}(\Delta_{f-1}), \quad f = 1, \dots, g. \quad (11)$$

By Lemma 6 with $\Delta = \Delta_{f-1}$, we have

$$|S(\alpha)| \leq P^{r-\frac{h(F)}{(k-1)2^{k-1}\sigma(k)}\Delta_{f-1}+\varepsilon}$$

for all α in the set (11). Furthermore, the set (11) is a part of $\mathcal{E}(\Delta_f)$ and so, by (10), the measure of $\mathcal{E}(\Delta_f)$ is

$$\ll \sum_{q \leq cP^{\Delta_f}} \sum_{a=1}^q q^{-1} P^{-k+\Delta_f} \ll P^{-k+2\Delta_f}.$$

Therefore,

$$\begin{aligned} \int_{\mathfrak{m}} |S(\alpha)| d\alpha &\ll P^{r-\frac{h(F)}{(k-1)2^{k-1}\sigma(k)}\Delta_{f-1}+\varepsilon-k+2\Delta_f} \\ &\ll P^{r-k-\frac{\Delta_{f-1}}{(k-1)2^{k-1}\sigma(k)}+2(\Delta_f-\Delta_{f-1})+\varepsilon}. \end{aligned}$$

Provided the numbers $\Delta_0, \dots, \Delta_g$ are chosen sufficiently close together (but independent of P), the last exponent is less than

$$r - k - \frac{\Delta}{(k-1)2^{k-1}\sigma(k)} + 2\varepsilon < r - k - \frac{\Delta}{(k-1)2^{k-1}\sigma(k) + 1}. \quad \square$$

LEMMA 9. For α in $\mathfrak{M}_{a,q}$ we have

$$S(\alpha) = q^{-r}S(a, q)I(\beta) + O(P^{r-1+2\Delta}), \tag{12}$$

where $\beta = \alpha - a/q$ and

$$I(\beta) = \int_{PB} e(\beta\phi(\xi)) d\xi. \tag{13}$$

Proof (following [5, Sec. 4]). In the sum

$$S(\alpha) = \sum_{\mathbf{x} \in PB \cap \mathbb{Z}^r} e(\alpha\phi(x_1, \dots, x_r)), \tag{14}$$

put $x_i = qy_i + z_i$ for $0 \leq z_i < q$. Then

$$S(\alpha) = \sum_{\mathbf{z}} \sum_{\mathbf{y}} e(\alpha\phi(q\mathbf{y} + \mathbf{z})) = \sum_{\mathbf{z}} e\left(\frac{a}{q}\phi(\mathbf{z})\right) \sum_{\mathbf{y}} e(\beta(q\mathbf{y} + \mathbf{z})).$$

The inner sum is over all \mathbf{y} such that $q\mathbf{y} + \mathbf{z}$ is in the box PB . Thus the variables y_1, \dots, y_r run over independent intervals whose lengths are much less than P/q , since q is small compared with P . For any integer point \mathbf{y} and any differentiable function $f(\boldsymbol{\eta})$, we have

$$f(\mathbf{y}) = \int_{|\boldsymbol{\eta} - \mathbf{y}| < 1/2} f(\boldsymbol{\eta}) d\boldsymbol{\eta} + O\left(\max \left| \frac{\partial f}{\partial \eta_j} \right| \right), \tag{15}$$

where the maximum is taken over j and over $\boldsymbol{\eta}$ in the cube of integration.

When $f(\boldsymbol{\eta}) = \exp\{2\pi i\beta\phi(q\boldsymbol{\eta} + \boldsymbol{\zeta})\}$, we have

$$\max \left| \frac{\partial f}{\partial \eta_j} \right| \ll q|\beta| |q\boldsymbol{\eta} + \boldsymbol{\zeta}|^{k-1} \ll q|\beta| P^{k-1}.$$

Now applying (15) to each integer point \mathbf{y} in the foregoing inner sum, we obtain an integral extended over a union of unit cubes that differs from the box of summation by at most 1 in each dimension. The discrepancy in the volume is $\ll (P/q)^{r-1}$. Hence

$$\begin{aligned} \sum_{\mathbf{y}} e(\beta\phi(q\mathbf{y} + \mathbf{z})) &= \int e(\beta\phi(q\boldsymbol{\eta} + \boldsymbol{\zeta})) d\boldsymbol{\eta} \\ &\quad + O(q|\beta|P^{k-1}(P/q)^r) + O((P/q)^{r-1}), \end{aligned}$$

where the integration is over those $\boldsymbol{\eta}$ for which $q\boldsymbol{\eta} + \boldsymbol{\zeta}$ lies in PB .

In this equation, if we change from the variable $\boldsymbol{\eta}$ to $\boldsymbol{\xi} = q\boldsymbol{\eta} + \boldsymbol{\zeta}$ then the right-hand side becomes

$$q^{-r} \int_{PB} e(\beta\phi(\boldsymbol{\xi})) d\boldsymbol{\xi} + O(P^{r+k-1}q^{1-r}|\beta|) + O(P^{r-1}q^{1-r}).$$

Substituting in the double sum, we obtain

$$q^{-r}S(a, q)I(\beta) + O(P^{r+k-1}q|\beta|) + O(P^{r-1}q)$$

and now (13) follows from the definition of $\mathfrak{M}_{a,q}$. □

LEMMA 10. Suppose that $h(F) \geq (k-1)2^k\sigma(k) + 1$. Then the number $\mathcal{N}(P)$ of solutions of $F(\mathbf{x}) = N$ with \mathbf{x} in $PB \cap \mathbb{Z}^r$ satisfies

$$\mathcal{N}(P) = P^{r-k}J(P)(\mathfrak{S} + O(P^{-\Delta/(k-1)2^{k-1}\sigma(k)+1}) + O(P^{r-k-1+5\Delta})),$$

where

$$\mathfrak{S} = \sum_{q=1}^{\infty} \sum_{\substack{a=1 \\ (a,q)=1}}^q q^{-r}S(a, q)$$

and

$$J(P) = \int_{-P^\Delta}^{P^\Delta} d\gamma \int_B e(\gamma P^{-k}(F(P\mathbf{x}) - N)) d\mathbf{x}. \quad (16)$$

Proof. The number of integer points \mathbf{x} in PB with $F(\mathbf{x}) = N$ is equal to

$$\int_0^1 S(\alpha) d\alpha$$

by the definition of $S(\alpha)$ in (15) with $\phi(\mathbf{x}) = F(\mathbf{x}) - N$. We split the interval of integration into the various intervals $\mathfrak{M}_{a,q}$ and the set \mathfrak{m} . By Lemma 8, the contribution of \mathfrak{m} is $O(P^{r-k-\Delta/(k-1)2^{k-1}\sigma(k)+1})$. By Lemma 9, the contribution of the intervals $\mathfrak{M}_{a,q}$ is

$$\begin{aligned} \sum_{q \leq cP^\Delta} \sum_{\substack{a=1 \\ (a,q)=1}}^q \int_{\mathfrak{M}_{a,q}} S(\alpha) d\alpha &= \sum_{q \leq cP^\Delta} \sum_{\substack{a=1 \\ (a,q)=1}}^q q^{-r}S(a, q) \int_{|\beta| < P^{-k+\Delta}} I(\beta) d\beta \\ &+ O\left(\sum_{q \in cP^\Delta} qP^{r-1+2\Delta}P^{-k+\Delta} \right). \end{aligned}$$

The error term here is $O(P^{r-k-1+5\Delta})$. Once we put $\beta = P^{-k}\gamma$, the integral with respect to β becomes

$$P^{-k} \int_{|\gamma| < P^\Delta} I(P^{-k}\gamma) d\gamma$$

and, by (14),

$$I(P^{-k}\gamma) = \int_{PB} e(P^{-k}\gamma\phi(\xi)) d\xi = P^r \int_B e(P^{-k}\gamma(F(\mathbf{x}) - N)) d\mathbf{x}.$$

Thus the integral with respect to β becomes $P^{r-k}J(P)$.

It remains to consider

$$\sum_{q \leq cP^\Delta} \sum_{\substack{a=1 \\ (a,q)=1}}^q q^{-r}S(a, q).$$

When continued to infinity, this series is absolutely convergent by Lemma 7 (since $h(F) \geq (k-1)2^k\sigma(k)+1$) and has sum \mathfrak{S} . The preceding finite sum differs from \mathfrak{S} by an amount

$$\ll \sum_{q > cP^\Delta} q \cdot q^{-r} \cdot q^{r-h(F)/(k-1)2^{k-1}\sigma(k)+\varepsilon} \ll P^{-\Delta/((k-1)2^{k-1}\sigma(k)+1)}.$$

This proves Lemma 10. □

LEMMA 11. If $s \geq 3$ and not all forms $F_i(\mathbf{x}_i)$ ($i \leq s$) are semidefinite and of the same sign, then there exists a real nonsingular solution $(\xi_1^*, \dots, \xi_R^*)$ of $F(\mathbf{x}) = \sum_{i=1}^s F_i(\mathbf{x}_i) = 0$.

Proof. Since not all forms $F_i(\mathbf{x}_i)$ are semidefinite and of the same sign, there exist $i, j \leq s$ and η_i, ξ_j such that $F_i(\eta_i) > 0$ and $F_j(\xi_j) < 0$ ($i = j$ is not excluded). Because $s \geq 3$, there exists an $h \leq s$ with $h \neq i, j$. We may assume without loss of generality that $\frac{\partial F_h}{\partial x_{h1}} \neq 0$, and we let $a_0(x_{h2}, \dots, x_{hr_h})x_{h1}^d$ be the leading term of F_h with respect to x_{h1} . There exists a $\xi'_h = [\xi_{h2}, \dots, \xi_{hr_h}]$ such that $a_0(\xi'_h) \neq 0$. In view of the symmetry between i and j , we may assume that $a_0(\xi'_h) > 0$. Let D_h be the discriminant with respect to x_{h1} of $F(\mathbf{x})$. Note that D_h contains the term $(-1)^{d(d-1)/2} d^d a_0(\mathbf{x}'_h)^{d-1} F(\mathbf{x}_1, \dots, \mathbf{x}_{h-1}, 0, \mathbf{x}'_h, \mathbf{x}_{h+1}, \dots, \mathbf{x}_s)^{d-1}$, which is the leading term of D_h with respect to $F(\mathbf{x}_1, \dots, \mathbf{x}_{h-1}, 0, \mathbf{x}'_h, \mathbf{x}_{h+1}, \dots, \mathbf{x}_s)$. Thus, in particular, for fixed $\xi_1, \dots, \xi_{h-1}, \xi_{h+1}, \dots, \xi_s$ and sufficiently large ζ we have $D_h(\xi_1, \dots, \xi_{h-1}, \xi'_h, \xi_{h+1}, \dots, \xi_{j-1}, \zeta \xi_j, \xi_{j+1}, \dots, \xi_s) \neq 0$. For sufficiently large ζ we have $F(\xi_1, \dots, \xi_{h-1}, 0, \xi'_h, \dots, \xi_{j-1}, \zeta \xi_j, \xi_{j+1}, \dots, \xi_s) < 0$ and

$$\lim_{x_{h1} \rightarrow \infty} F(\xi_1, \dots, \xi_{h-1}, x_{h1}, \xi'_h, \xi_{h+1}, \dots, \xi_{j-1}, \zeta \xi_j, \xi_{j+1}, \dots, \xi_s) = \infty,$$

and there is a ξ_{h1} such that $F(\xi_1, \dots, \xi_{j-1}, \zeta \xi_j, \xi_{j+1}, \dots, \xi_s) = 0$. But then

$$\frac{\partial F}{\partial x_{h1}}(\xi_1, \dots, \xi_{j-1}, \zeta \xi_j, \xi_{j+1}, \dots, \xi_s) \neq 0,$$

proving the lemma. □

REMARK 2. In [5] it is stipulated that, in a real nonsingular solution of $F(\mathbf{x}) = 0$, all coordinates must be nonzero. In [4], however, the only coordinate that must be nonzero is the one with respect to which the partial derivative is nonzero.

LEMMA 12. If B is a cube

$$|\xi_j - \xi_j^*| < \varrho,$$

where ξ_j^* is a nonsingular solution of the equation $F(\mathbf{x}) = 0$ and ϱ is sufficiently small, then

$$\lim_{P \rightarrow \infty} J(P) = J_0 > 0.$$

Proof (following [3, Sec. 6]). For \mathbf{x} in a fixed cube B , we have

$$e(\gamma P^{-k}(F(P\mathbf{x}) - N)) = e(\gamma F(\mathbf{x})) + O(P^{-k+\Delta})$$

if $|\gamma| < P^\Delta$. Hence, by (16),

$$J(P) = \int_{-P^\Delta}^{P^\Delta} d\gamma \int_B e(\gamma F(\mathbf{x})) d\mathbf{x} + O(P^{-k+2\Delta}).$$

Put $\mu = P^\Delta$. Then

$$\begin{aligned} J_0(\mu) &:= \int_{-\mu}^{\mu} \left(\int_B e(\gamma F(\xi)) d\xi \right) d\gamma = \int_B \frac{\sin 2\pi\mu F(\xi)}{\pi F(\xi)} d\xi \\ &= \int_{-\varrho}^{\varrho} \dots \int_{-\varrho}^{\varrho} \frac{\sin 2\pi\mu F(\xi^* + \eta)}{\pi F(\xi^* + \eta)} d\eta, \end{aligned} \tag{17}$$

where $\xi = \xi^* + \eta$.

For any η , we have

$$F(\xi^* + \eta) = \sum_{i=1}^s \sum_{j=1}^{r_i} c_{ij} \eta_{ij} + \sum_{\kappa=2}^k P_{\kappa}(\eta), \tag{18}$$

where the $P_{\kappa}(\eta)$ are forms of degree κ in η . We have

$$c_{ij} = \frac{\partial F}{\partial x_{ij}}(\xi^*),$$

and we may suppose without loss of generality that $c_{11} = 1$.

For $|\eta| < \varrho$ we have

$$|F(\xi^* + \eta)| < \sigma,$$

where $\sigma = \sigma(\varrho)$ is small when ϱ is small. Put $F(\xi^* + \eta) = \zeta$. Now, if ϱ is sufficiently small, then we can invert the relation (18) and express η_{11} in terms of ζ and η_{ij} ($j > 1$ for $i = 1$) by means of power series. This expression will be of the form

$$\eta_{11} = \zeta - \sum_{j=2}^r c_{1j} \eta_{1j} - \sum_{i=2}^s \sum_{j=1}^{r_i} c_{ij} \eta_{ij} + P(\zeta, \eta_{ij}),$$

where P is a multiple power series beginning with terms of degree ≥ 2 . Hence

$$\frac{\partial \eta_{11}}{\partial \zeta} = 1 + P_1(\zeta, \eta_{ij})$$

and, by taking ϱ sufficiently small, we can ensure that $|P_1| < \frac{1}{2}$ for $|\eta_{ij}| < \varrho$ ($j > 1$ for $i = 1$) and $|\zeta| < \sigma$.

A change of variables from η_{11} to ζ in (17) yields

$$J_0(\mu) = \int_{-\sigma}^{\sigma} \frac{\sin 2\pi\mu\zeta}{\pi\zeta} V(\zeta) d\zeta, \tag{19}$$

where

$$V(\zeta) = \int_{B'} (1 + P_1(\zeta, \eta_{ij})) d\eta_{12} \cdots d\eta_{sr_s};$$

here B' denotes the part of the $(R - 1)$ -dimensional box

$$|\eta_{12}| < \varrho, \dots, |\eta_{sr_s}| < \varrho$$

in which $|\eta_{11}| < \varrho$ —that is, in which

$$\left| \zeta - \sum_{j=2}^{r_1} c_{1j} \eta_{1j} - \sum_{i=2}^s \sum_{j=1}^{r_i} c_{ij} \eta_{ij} + P(\zeta, \eta_{ij}) \right| < \varrho.$$

It is clear that $V(\zeta)$ is a continuous function of ζ for $|\zeta|$ sufficiently small. It can also be easily seen that $V(\zeta)$ is a function of bounded variation, since it has left and right derivatives at every value of ζ and these are bounded. Hence, by Fourier's integral theorem (see [10, Sec. 9.4]) applied to (19), we have

$$\lim_{\mu \rightarrow \infty} J_0(\mu) = V(0).$$

Finally, $V(0)$ is a positive number because the cube B' contains a sufficiently small $(R - 1)$ -dimensional cube centered at the origin and in such a cube we have $1 + P_1 > \frac{1}{2}$. This proves the lemma. \square

LEMMA 13. If $s \geq 2$ and nonsingular $F_i(\mathbf{x}_i)$ ($i \leq s$) are semidefinite forms of the same sign and if N is also of the same sign for B the unit cube, then

$$\lim_{|N| \rightarrow \infty} J(|N|^{1/k}) = J_0 > 0.$$

Proof. The forms F_i are nonsingular. Hence if they are semidefinite then they are definite, for otherwise the real points $\xi \neq \mathbf{0}$ such that $F_i(\xi) = 0$ would be singular points. Assume without loss of generality that the F_i are positive definite and that $N > 0$. Put $P = N^{1/k}$. By Lemma 10, we have

$$J_0 = \int_{-\infty}^{\infty} d\gamma \int_B e(\gamma(F(\xi) - 1)) d\xi.$$

By [9, Chap. I, Lemma 7D],

$$J_0 = \lim_{L \rightarrow \infty} L \int_B (1 - L|F(\mathbf{x}) - 1|) d\mathbf{x},$$

$$|F(\mathbf{x}) - 1| \leq \frac{1}{L}.$$

Hereafter, the inclusions written below the integrals define the domain of integration.

Let $\mathbf{x}_i = (x_{i1}, \dots, x_{ir_i})$ and perform the change of variables $x_{sj} = x_{sr_s} y_j$ ($1 \leq j < r_s$) and

$$F_1(\mathbf{x}_1) + \dots + F_{s-1}(x_{s-1}) + x_{sr_s}^k F_s(\mathbf{y}, 1) = 1 + L^{-1}\xi.$$

We obtain

$$J_0 = \lim_{L \rightarrow \infty} \frac{1}{k}$$

$$\cdot \int_{\mathbf{x}_i \in [0, 1]^{r_i}} \int_{\mathbf{y} \in [0, 1]^{r_s-1}} \int_{-1}^1 (1 - |\xi|) \frac{d\mathbf{x}_1 \cdots d\mathbf{x}_{s-1} d\mathbf{y} d\xi}{(1 + L^{-1}\xi - \sum_{i=1}^{s-1} F_i(\mathbf{x}_i))^{1-r_s/k} F_s(\mathbf{y}, 1)^{r_s/k}}$$

$$= \int_{-1}^1 (1 - |\xi|) d\xi \lim_{L \rightarrow \infty} K\left(\frac{\xi}{L}\right),$$

where

$$K(\eta) = \int_{\mathbf{x}_i \in [0, 1]^{r_i}} \int_{\mathbf{y} \in [0, 1]^{r_s-1}} \frac{d\mathbf{x}_1 \cdots d\mathbf{x}_{s-1} d\mathbf{y}}{(1 + \eta - \sum_{i=1}^{s-1} F_i(\mathbf{x}_i))^{1-r_s/k} F_s(\mathbf{y}, 1)^{r_s/k}},$$

$$1 + \eta - F_s(\mathbf{y}, 1) \leq \sum_{i=1}^{s-1} F_i(\mathbf{x}_i) \leq 1 + \eta.$$

Next we perform the change of variables $\mathbf{x}_i = (1 + \eta)^{1/k} \mathbf{y}_i$ and obtain

$$\begin{aligned}
K(\eta) &= (1 + \eta)^{R/k-1} \\
&\cdot \int_{\mathbf{y}_i \in [0, (1+\eta)^{-1/k]^{r_i}} \int_{\mathbf{y} \in [0, 1]^{r_s-1}} \frac{d\mathbf{y}_1 \cdots d\mathbf{y}_{s-1} d\mathbf{y}}{\left(1 - \sum_{i=1}^{s-1} F_i(\mathbf{y}_i)\right)^{1-r_s/k} F_s(\mathbf{y}, 1)^{r_s/k}}, \\
&1 - \frac{1}{1 + \eta} F_s(\mathbf{y}, 1) \leq \sum_{i=1}^{s-1} F_i(\mathbf{y}_i) \leq 1.
\end{aligned}$$

When η tends to 0, the foregoing multiple integral tends to

$$\begin{aligned}
&\int_{\mathbf{y}_i \in [0, 1]^{r_i}} \int_{\mathbf{y} \in [0, 1]^{r_s-1}} \frac{d\mathbf{y}_1 \cdots d\mathbf{y}_{s-1} d\mathbf{y}}{\left(1 - \sum_{i=1}^{s-1} F_i(\mathbf{y}_i)\right)^{1-r_s/k} F_s(\mathbf{y}, 1)^{r_s/k}}, \\
&1 - F_s(\mathbf{y}, 1) \leq \sum_{i=1}^{s-1} F_i(\mathbf{y}_i) \leq 1.
\end{aligned}$$

The integrand is positive in the interior of the domain of integration, so the integral is positive provided the interior is nonempty. However, if $a = F_1(1, 0, \dots, 0)$ then

$$1 - F_s(\mathbf{0}, 1) < F_1\left(\left(\frac{1/(1 + F_s(\mathbf{0}, 1))}{a}\right)^{1/k}, \mathbf{0}\right) < 1,$$

which proves the lemma. \square

LEMMA 14. *If $F(\mathbf{x}) = \sum_{i=1}^s F_i(\mathbf{x}_i)$, where $F_i \in \mathbb{C}[\mathbf{x}_i] \setminus \{0\}$ are of degree $k > 1$ and the \mathbf{x}_i are disjoint ($1 \leq i \leq s$), then $h(F, \mathbb{C}) \geq \lceil s/2 \rceil$.*

Proof. Since $F_i \neq 0$ there exist $\xi_i \in \mathbb{C}^{r_i}$ such that $F_i(\xi_i x_i) = x_i^k$. Therefore, it suffices to prove that

$$2h := 2h\left(\sum_{i=1}^s x_i^k, \mathbb{C}\right) \geq s. \quad (20)$$

If $2h < s$ and

$$\sum_{i=1}^s x_i^k = \sum_{i=1}^h G_i H_i,$$

where G_i and H_i are forms of positive degree, then there exists an $\eta \in \mathbb{C}^s \setminus \{\mathbf{0}\}$ such that

$$G_i(\eta) = H_i(\eta) = 0 \quad (1 \leq i \leq h).$$

Taking partial derivatives at the point η , we obtain

$$k\eta_j^{k-1} = \sum_{i=1}^k \left(\frac{\partial G_i}{\partial x_j}(\eta) H_i(\eta) + G_i(\eta) \frac{\partial H_i}{\partial x_j}(\eta) \right) = 0;$$

hence $\eta = \mathbf{0}$, a contradiction. \square

REMARK 3. This lemma for $s = 3$ easily implies the Ehrenfeucht–Pełczyński theorem about irreducibility over \mathbb{C} of $f(x) + g(y) + h(z)$, where f, g, h are non-constant polynomials over \mathbb{C} .

LEMMA 15. *If $k \geq 2$ and $s \geq (k + 1)2^{k+1}\sigma(k) + 1$ and if $F(\mathbf{x}) = N \neq 0$ is solvable in \mathbb{Z}_p for all primes p , then $\mathfrak{S} > 0$. Moreover, if all F_i are nonsingular then $\mathfrak{S} \geq \mathfrak{S}_0 > 0$, where \mathfrak{S}_0 is independent of N .*

Proof. If $h(F) \geq (k - 1)2^k\sigma(k) + 1$ then $\omega(F) > 2$ by [9, Chap. III, Thm. 6A]. Therefore, by [9, Chap. I, Lemma 6D] we have

$$\mathfrak{S} = \prod_{p \text{ prime}} v(p), \tag{21}$$

where

$$v(p) = 1 + \sum_{n=1}^{\infty} \sum_{\substack{a=1 \\ (a,p)=1}}^{p^n} (p^n)^{-R} S(a, p^n)$$

and

$$v(p) = \lim_{n \rightarrow \infty} \frac{L(F, N, p^n)}{p^{n(R-1)}}. \tag{22}$$

It follows from Lemma 7 that

$$|S(a, p^n)| \ll (p^n)^{R - \frac{h(F)}{(k-1)2^{k-1}\sigma(k)} + \varepsilon},$$

and from this we deduce (since $h(F) \geq (k - 1)2^k\sigma(k) + 1$) that

$$|v(p) - 1| < p^{-\frac{(k-1)2^{k-1}\sigma(k)+1}{(k-1)2^{k-1}\sigma(k)} + \varepsilon} < p^{-\frac{(k-1)2^{k-1}\sigma(k)+2}{(k-1)2^{k-1}\sigma(k)+1}}.$$

Hence there exists a p_0 such that

$$\prod_{p > p_0} v(p) > \frac{1}{2},$$

yet from Lemma 2 and (22) it follows that $v(p) > 0$ and so, by (21), we have $\mathfrak{S} > 0$. Moreover, if $k \geq 5$ then $s \geq (k + 1)2^{k+1}\sigma(k) + 1 \geq (k + 1)2^{k+1} \cdot 13 + 1 \geq 8k^3 + 1 > kl + 1$; for $k \leq 4$ we check the relevant inequality directly. Hence, by Lemma 5 and (22),

$$v(p) \geq v_0(p) > 0 \quad \text{for all primes } p,$$

where $v_0(p)$ is independent of N . The second part of the lemma now follows from (21). □

LEMMA 16. *Under each set of assumptions in the Theorem there exists a positive integer s_2 such that, for $s \geq s_2$, all but finitely many integers represented by $F(\mathbf{x}) = \sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{R} and over \mathbb{Z}_p for all primes p are represented by F over \mathbb{Z} .*

Proof. For $k = 1$ the choice $s_2 = 1$ is obvious. For $k = 2$ the choice $s_2 = 5$ follows from classical theorems of the theory of quadratic forms (see [2, pp. 131, 235]). For $k = 3$ the choice $s_2 = 33$ follows from Davenport and Lewis’s theorem [5] and from Lemma 14 ($h(F) \geq 17$). So assume that $k \geq 4$. If the F_i are nonsingular then we take $s_2 = (k + 1)2^{k+1}\sigma(k) + 1$; if not all F_i are semidefinite and

of the same sign and if j is the least index such that $\sum_{i=1}^j F_i$ is indefinite, then we take $s_2 = \max\{(k+1)2^{k+1}\sigma(k) + 1, j\}$. Indeed, by Lemma 14 we have $h(F) \geq (k-1)2^k\sigma(k) + 1$, and Lemmas 10, 12, 13, and 15 show that every integer sufficiently large in absolute value that is represented by $F(\mathbf{x})$ over \mathbb{R} and over \mathbb{Z}_p for all primes p is also represented by F over \mathbb{Z} . \square

LEMMA 17. *With notation as in Lemma 4, let $s \geq 2kl + 1$, let p be a prime, and let \mathbf{x}_i be disjoint vectors of variables of length r_i ($i = 1, 2, \dots, s$). Let $F_i \in \mathbb{Z}[\mathbf{x}_i]$ be a form of degree k such that the greatest common divisor of $F_i(\boldsymbol{\eta}_i)$ for all $\boldsymbol{\eta}_i \in \mathbb{Z}^{r_i}$ is divisible exactly by p^{δ_i} , and let $\delta_1 \leq \delta_2 \leq \dots \leq \delta_s$. If the congruence*

$$c \equiv \sum_{i=1}^{s-1} F_i(\mathbf{x}_i) \pmod{p^{\delta_s}} \quad (23)$$

is solvable, then the equation

$$c = \sum_{i=1}^s F_i(\mathbf{x}_i) \quad (24)$$

is solvable in \mathbb{Z}_p .

REMARK 4. For $k = 2$, the number $2kl + 1 = 113$ can be replaced by 4.

Proof of Lemma 17. Equation (24) is solvable for $c = 0$, so let $c = p^\delta d$ for d a p -adic unit. We shall prove by induction on nonnegative $\kappa < \gamma$ that if $s \geq kl + \kappa l + 1$ and $\delta \geq \delta_s - \kappa$ then solvability of (23) implies solvability of (24). For $\kappa = 0$ there is a residue r such that the set $S = \{i \leq s : \delta_i \equiv r \pmod{k}\}$ satisfies $|S| \geq l + 1$. Let $m = \max_{i \in S} i$. By the definition of δ_i there exist $\boldsymbol{\eta}_i \in \mathbb{Z}^{r_i}$ such that $F_i(\boldsymbol{\eta}_i) = p^{\delta_i} d_i$, where d_i is a p -adic unit ($i \in S$). By Lemma 3 there exist $\xi_i \in \mathbb{Z}_p$ ($i \in S$) such that

$$p^{\delta - \delta_m} d = \sum_{i \in S} d_i \xi_i^k;$$

therefore,

$$c = \sum_{i \in S} F_i(p^{(\delta_m - \delta_i)/k} \xi_i \boldsymbol{\eta}_i).$$

Assume now that the implication holds for $s \geq kl + (\kappa - 1)l + 1$ and for the left-hand side of (23) and (24) divisible by $p^{\delta_s - \kappa + 1}$ ($\kappa \geq 1$). Let $\delta = \delta_s - \kappa$ and $s \geq kl + \kappa l + 1$. If $\delta > \delta_{s-l} - \kappa$ then the implication holds by the inductive assumption with s replaced by $s - l$. If $\delta = \delta_{s-l} - \kappa$, then $\delta_i = \delta_s$ ($s - l \leq i \leq s$). From the solvability of (23) we infer that, for certain $\boldsymbol{\zeta}_i \in \mathbb{Z}^{r_i}$,

$$c - \sum_{i=1}^{s-l-1} F_i(\boldsymbol{\zeta}_i) = p^{\delta_s} t, \quad t \in \mathbb{Z}_p.$$

By the definition of δ_i there exist $\boldsymbol{\eta}_i \in \mathbb{Z}^{r_i}$ such that $F_i(\boldsymbol{\eta}_i) = p^{\delta_i} d_i$, where d_i is a p -adic unit ($s - l \leq i \leq s$). Now, by Lemma 3 there exist $\xi_i \in \mathbb{Z}_p$ ($s - l \leq i \leq s$) such that

$$\sum_{i=s-l}^s d_i \xi_i^k = t.$$

It follows that $(\zeta_1, \dots, \zeta_{s-l-1}, \xi_{s-l}\eta_{s-l}, \dots, \xi_s\eta_s)$ is a solution of (24). The inductive proof shows that the implication holds provided $\delta \geq \delta_s - (\gamma - 1)$ and $s \geq lk + l(\gamma - 1) + 1$. For $\delta \leq \delta_s - \gamma$ the implication holds, by Lemma 1, for every s . Since $\gamma - 1 \leq \tau + 1 \leq k$ it follows that the implication holds for $s \geq 2kl + 1$, which was to be proved. \square

Proof of Theorem. For each prime p let the greatest common divisor of $F_i(\eta_i)$ for $\eta_i \in \mathbb{Z}^{r_i}$ be divisible exactly by $p^{\delta_{pi}}$. Put

$$m_p = \min_{\substack{S \subset \mathbb{N} \\ |S|=2kl+1}} \sum_{i \in S} \delta_{pi}$$

and let S_p be a unique set S such that $|S| = 2kl + 1$, $\sum_{i \in S} \delta_{pi} = m_p$, and $\sum_{i \in S} i$ is minimal. For all p such that $\delta_{pi} = 0$ for all $i \leq 2kl + 1$ (and thus for all but finitely many p) we have $S_p = \{1, \dots, 2kl + 1\}$. Now take

$$S_1 = \bigcup_{p \text{ prime}} S_p,$$

$$s_1 = \max \left\{ s_2, \max_{i \in S_1} i \right\}.$$

By Lemma 16 for $s \geq s_1 \geq s_2$ only finitely many integers N exist that are represented by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{R} and over \mathbb{Z}_p for all primes p yet are not represented by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{Z} . Let s_0 be the least integer $s \geq s_1$ for which the number of exceptions is minimal. We show that s_0 has the property asserted in the theorem. Suppose N is an integer represented by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ over \mathbb{R} and over \mathbb{Z}_p for all primes p . By the choice of s_2 , N is represented by $\sum_{i=1}^{s_0} F_i(\mathbf{x}_i)$ over \mathbb{R} . Since for $i \notin S_p$ we have $\delta_{pi} \geq \max_{j \in S_p} \delta_{pj}$, it follows from Lemma 17 that N is represented by $\sum_{i=1}^{s_0} F_i(\mathbf{x}_i)$ over \mathbb{Z}_p for every prime p . If N is represented over \mathbb{Z} by $\sum_{i=1}^s F_i(\mathbf{x}_i)$ but not by $\sum_{i=1}^{s_0} F_i(\mathbf{x}_i)$, then the number of exceptions for s is smaller than the number of exceptions for s_0 , contrary to the choice of s_0 . \square

Proof of Corollary. Let $I_k = \{i \in \mathbb{N} : k_i = k\}$. Because the sequence k_i is bounded, almost all the I_k are empty. For each k such that I_k is infinite, the Theorem implies there are s_k such that every integer represented by $\sum_{i=1, i \in I_k}^s F_i(\mathbf{x}_i)$ over \mathbb{Z} is represented by $\sum_{i=1, i \in I_k}^{s_k} F_i(\mathbf{x}_i)$ over \mathbb{Z} . For each k such that $0 < |I_k| < \infty$, put $s_k = \max_{i \in I_k} i$ and take

$$s_0 = \max_{I_k \neq \emptyset} s_k.$$

Now s_0 has the asserted property because if $N = \sum_{i=1}^s F_i(\mathbf{y}_i)$ then, for each k , $\sum_{i=1, i \in I_k}^s F_i(\mathbf{y}_i) = \sum_{i=1, i \in I_k}^{s_0} F_i(\mathbf{x}_i)$; after summation over k , we have

$$N = \sum_{i=1}^{s_0} F_i(\mathbf{x}_i).$$

Added in proof. Suitable modifications in the proofs of Lemmas 5, 13, and 16 show that the condition (*) can be replaced by a weaker one: either not all forms are semidefinite of the same sign, or at least $kl + 1$ forms are nonsingular.

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