### DISTRIBUTIVE LOCAL NOETHER LATTICES

# Kenneth P. Bogart

### 1. INTRODUCTION

In a recent paper, R. P. Dilworth [2] introduced the concept of a Noether lattice as an abstraction of the concept of the lattice of ideals of a Noetherian ring. A Noether lattice is a modular multiplicative lattice that satisfies the ascending chain condition and in which every element is a join of elements called principal elements. The principal elements are characterized by a pair of identities that are satisfied by the principal ideals of a ring. The usual ring-theoretic definitions of the terms local, regular, dimension, and rank carry over directly to Noether lattices. In a recent paper [1], the author showed that a distributive regular local Noether lattice of dimension n is isomorphic to  $RL_n$ , the sublattice of the lattice of ideals of  $F[x_1, \dots, x_n]$  generated by the principal ideals  $(x_1), \dots, (x_n)$  under multiplication and join.

The purpose of this paper is to describe the structure of distributive local Noether lattices. Loosely this description states that each distributive local Noether lattice L is obtained from one of the lattices  $\mathrm{RL}_n$  by identification of some of the principal elements of  $\mathrm{RL}_n$  with an equivalence relation that preserves join, multiplication, and cancellation in nonzero products, and by the extension of this equivalence relation to all of  $\mathrm{RL}_n$ . The equivalence classes of  $\mathrm{RL}_n$  modulo this relation form a lattice isomorphic to L.

Section 2 of this paper contains a characterization of principal elements in a modular multiplicative lattice, which, though known, has not yet appeared in the literature.

We use the notation and terminology of [1]. In particular, E, F, H, K, and N denote principal elements. Definitions given in [1] will not be repeated here.

## 2. A CHARACTERIZATION OF PRINCIPAL ELEMENTS

Our first theorem shows that in the case of a modular lattice, the defining equations for principal elements can be simplified.

THEOREM 1. Let L be a modular multiplicative lattice. An element E of L is principal if and only if

$$(2.1) B \wedge E = (B: E)E for all B \in L$$

and

(2.2) (BE): 
$$E = B \lor 0$$
:  $E for all B \in L$ .

*Proof.* By Corollaries 3.1 and 3.2 of [2], principal elements of L satisfy (2.1) and (2.2).

We show first that an element E satisfying (2.1) and (2.2) is join-principal, in other words, that

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$$(A \lor BE): E = A: E \lor B$$
 for all A, B  $\in$  L.

The proof of the following set of equations uses (2.1), (2.2), the modular law, the join-distributivity of multiplication, and the fact that  $0: Y \leq X: Y$  for all  $X, Y \in L$ .

$$(A \lor BE): E = (A \lor BE): E \lor 0: E = \{[(A \lor BE): E]E\}: E$$

$$= [(A \lor BE) \land E]: E = [(A \land E) \lor BE]: E = [(A: E)E \lor BE]: E$$

$$= [(A: E \lor B)E]: E = A: E \lor B \lor 0: E = A: E \lor B.$$

Now we show that if E satisfies (2.1) and (2.2), then E is meet-principal, in other words, that

$$(A \wedge B : E)E = AE \wedge B$$
 for all A, B  $\in L$ .

The proof of the following set of equations uses the same facts as the proof of the previous set, and in addition it uses the fact that  $(X \land Y): Z = X: Z \land Y: Z$  for all  $X, Y, Z \in L$ .

$$AE \wedge B = AE \wedge E \wedge B = [(AE \wedge B): E]E = [(AE \wedge E \wedge B): E]E$$

$$= \{[AE \wedge (B: E)E]: E\}E = \{(AE): E \wedge [(B: E)E]: E\}E$$

$$= [(A \vee 0: E) \wedge (B: E \vee 0: E)]E = [(A \vee 0: E) \wedge B: E]E$$

$$= [(A \wedge B: E) \vee 0: E]E = (A \wedge B: E)E \vee 0 = (A \wedge B: E)E.$$

# 3. THE BASIC STRUCTURE THEOREM

The distributive law imposes a very strong restriction on the structure of local Noether lattices, as the next two lemmas show.

**LEMMA** 1. Let L be a distributive local Noether lattice, and let  $A \in L$ . Then any two minimal representations of A as a join of principal elements differ only in order, that is, they use the same principal elements.

*Proof.* By Lemma 2.2 of [1], the principal elements of L are precisely the join-irreducible elements. It is well known that in a distributive lattice, two minimal representations of an element as a finite join of join-irreducible elements differ only in order.

LEMMA 2. Let L be a distributive local Noether lattice, and let  $M = E_1 \lor \cdots \lor E_n$  be a minimal representation of the maximal element as a join of principal elements. Then each nonzero proper principal element of L is a product of powers of the elements  $E_i$ .

*Proof.* Let  $E \neq I$  be a nonzero principal element of L. Then

$$E = E \wedge M = E \wedge (E_1 \vee \cdots \vee E_n) = (E \wedge E_1) \vee \cdots \vee (E \wedge E_n),$$

which implies that  $E=E \wedge E_k$  for some k by Lemma 2.2 of [1]. Thus  $E \leq E_k$ . Let i(k) be the largest integer such that  $E \leq E_k^{i(k)}$ . Then

$$E = (E: E_k^{i(k)}) E_k^{i(k)},$$

by condition (2.1). Lemma 2.2 of [1] implies that  $E = FE_k^{i(k)}$  for some principal element  $F < E : E_k^{i(k)}$ . But  $F \not \leq E_k$ , since  $E \not \leq E_k^m$  for every m greater than i(k). Thus F = I or  $F \leq E_j$  for some  $j \neq k$ . If F = I, there is nothing more to do. If  $F \neq I$ , we apply the same process to F as we applied to E, and we obtain the equation

$$E = H E_j^{i(j)} E_k^{i(k)},$$

where i(j) is the largest integer such that  $F \leq E_j^{i(j)}$ . Iteration of this process yields the equation

$$E = K E_1^{i(1)} E_2^{i(2)} \cdots E_n^{i(n)}$$

with  $K \not \leq E_m$  (m = 1, 2, ..., n). It follows that K = I, and the lemma is proved.

From Lemma 2 we see that it is possible to define a map from  $\mathrm{RL}_n$  onto a distributive local Noether lattice whose maximal element has a minimum representation as a join of n principal elements. The next theorem shows that this allows us to characterize distributive local Noether lattices in a concrete manner.

THEOREM 2. Let L be a distributive local Noether lattice. Then there exist an integer n, an equivalence relation  $\theta$  on  $RL_n$ , and an equivalence relation  $\sigma$  on the set of principal elements of  $RL_n$  such that the equivalence classes of  $RL_n$  modulo  $\theta$  form a Noether lattice isomorphic to L, and such that the following conditions are satisfied.

- (1)  $H_1 \lor \dots \lor H_r$   $\theta$   $K_1 \lor \dots \lor K_n$  if and only if for each  $i \le r$  there exist a principal element N in  $RL_n$  and an integer  $j(i) \le m$  such that  $H_i$   $\theta$   $N \le K_{j(i)}$ , and for each  $j \le m$  there exist a principal element N' in  $RL_n$  and an integer  $i(j) \le r$  such that  $K_j$   $\theta$   $N' \le H_{i(j)}$ .
  - (2) H o N implies HK o NK.
  - (3) HK  $\equiv 0 \pmod{\sigma}$  and HK  $\sigma$  NK imply H  $\sigma$  N.
  - (4)  $X \theta I implies X = I$ .

Conversely, if  $\theta$  is an equivalence relation on  $RL_n$  and  $\sigma$  is an equivalence relation on the set of principal elements of  $RL_n$ , and if  $\theta$  and  $\sigma$  satisfy conditions (1) to (4), then the set of equivalence classes of  $RL_n$  modulo  $\theta$  forms a Noether lattice.

Proof. Suppose that L is a distributive local Noether lattice. Let

$$M = E_1 \vee \cdots \vee E_n$$

be a minimal representation of the maximal element of L. By Lemma 3.2, each element of L has a representation as a join of products of powers of the elements  $E_i$ . Two elements of  $RL_n$  are equal only if they are joins of the same principal elements, by Lemma 3.1. Since, by Lemma 3.2 and by residuation, two principal elements of  $RL_n$  are equal only if they are the same product of powers of the elements  $(x_i)$ , it is possible to define a map  $\phi$  from  $RL_n$  into L by defining  $\phi[(x_i)] = E_i$  and then extending this map to all of  $RL_n$  according to the rules

$$\phi(AB) = \phi(A) \phi(B), \quad \phi(A \vee B) = \phi(A) \vee \phi(B).$$

Define an equivalence relation  $\theta$  on  $RL_n$  by the rule that  $A \theta B$  if and only if  $\phi(A) = \phi(B)$ . Let  $\langle A \rangle$  denote the set of all elements of  $RL_n$  equivalent to A modulo  $\theta$ . Define

$$(3.1) \qquad \qquad \bigvee \langle A \rangle = \bigvee \{A' | A' \theta A\},\,$$

$$\langle A \rangle \leq \langle B \rangle \quad \text{if and only if } A < \bigvee \langle B \rangle,$$

$$\langle A \rangle \vee \langle B \rangle = \langle A \vee B \rangle,$$

(3.4) 
$$\langle A \rangle \wedge \langle B \rangle = \langle (\bigvee \langle A \rangle) \wedge (\bigvee \langle B \rangle) \rangle, \text{ and }$$

$$\langle A \rangle \langle B \rangle = \langle AB \rangle.$$

It is obvious that condition (3.2) defines a partial ordering on the set L' of equivalence classes modulo  $\theta$ . Since  $\bigvee \langle X \rangle \theta X$  for all X in  $\mathrm{RL}_n$ , and since  $X \leq Y$  implies  $\bigvee \langle X \rangle \leq \bigvee \langle Y \rangle$  for all X and Y in  $\mathrm{RL}_n$ , the relations (3.3) and (3.4) give the meet and join relative to the partial ordering defined in (3.2) for each pair of elements in L'. With the multiplication given in (3.5), L' is a complete multiplicative lattice satisfying the ascending chain condition.

Consider the map  $\phi'$ : L'  $\to$  L defined by  $\phi'(\langle A \rangle) = \phi(A)$ . By the definition of  $\phi'$  and  $\theta$ , the relation  $\phi'(\langle A \rangle) = \phi'(\langle B \rangle)$  implies  $\phi(A) = \phi(B)$ , which means that  $\langle A \rangle = \langle B \rangle$ . Thus  $\phi'$  is one-to-one. If X is in L, then  $X = \phi(Y)$  for some Y in  $RL_n$ , which implies that  $X = \phi'(\langle Y \rangle)$ . Therefore  $\phi'$  is onto. Since

$$\langle A \rangle \vee \langle B \rangle = \langle A \vee B \rangle$$
 and  $\langle A \rangle \langle B \rangle = \langle AB \rangle$ ,

 $\phi'$  preserves the partial order and multiplication of L'. Now assume that

$$\phi'(\langle A \rangle) \leq \phi'(\langle B \rangle).$$

Then

$$\phi'(\langle A \rangle \vee \langle B \rangle) = \phi'(\langle A \rangle) \vee \phi'(\langle B \rangle) = \phi'(\langle B \rangle),$$

so that  $\langle A \rangle \vee \langle B \rangle = \langle B \rangle$  and  $\langle A \rangle \leq \langle B \rangle$ . Thus  $\phi'$  and its inverse are order-preserving, so that  $\phi'$  is a lattice isomorphism. Since  $\phi'$  preserves multiplication, L and L' are isomorphic as multiplicative lattices and thus as Noether lattices.

Now let  $\sigma$  be the restriction of  $\theta$  to the set of principal elements of  $RL_n$ . Then Lemma 1 implies that condition (1) of the theorem is satisfied. Condition (2) of the theorem follows immediately from the definition of  $\theta$ . To verify condition (4), suppose  $\langle 0 \rangle \neq \langle HK \rangle = \langle NK \rangle$ . Since L' is a Noether lattice, it follows from condition (2.2) that

$$\langle H \rangle \vee \langle 0 \rangle : \langle K \rangle = \langle N \rangle \vee \langle 0 \rangle : \langle K \rangle.$$

Because  $\langle H \rangle \not\leq \langle 0 \rangle : \langle K \rangle$  and  $\langle N \rangle \not\leq \langle 0 \rangle : \langle K \rangle$ , a minimal representation of  $\langle H \rangle \vee \langle 0 \rangle : \langle K \rangle$  has the form

$$\langle H \rangle \vee \langle K_1 \vee \cdots \vee K_t \rangle$$

and a minimal representation of  $\langle N \rangle \vee \langle 0 \rangle : \langle K \rangle$  has the form

$$\langle N \rangle \vee \langle K_1 \vee \cdots \vee K_t \rangle,$$

with  $\left\langle K_{i}\right\rangle \leq\left\langle 0\right\rangle :\left\langle K\right\rangle$  and  $\left\langle K_{i}^{!}\right\rangle \leq\left\langle 0\right\rangle :\left\langle K\right\rangle .$  Then since  $\left\langle H\right\rangle \not\leq\left\langle 0\right\rangle :\left\langle K\right\rangle$  and  $\left\langle N\right\rangle \not\leq\left\langle 0\right\rangle :\left\langle K\right\rangle ,$  it follows by Lemma 1 that H  $\sigma$  N. Condition (4) follows from the fact that L' is local.

Now suppose that  $\theta$  and  $\sigma$  are equivalence relations on  $RL_n$  such that conditions (1) to (4) are satisfied. We must verify that  $RL_n/\theta$  may be regarded as a Noether lattice.

Denote the equivalence class of all elements congruent to A modulo  $\theta$  by  $\langle A \rangle$ . Define a partial ordering on  $L = RL_n / \theta$  by using equations (3.1) and (3.2). That (3.2) yields a partial ordering follows immediately from the propositions that  $\bigvee \langle X \rangle \theta$  X for all X in  $RL_n$  and that  $\bigvee \langle X \rangle \leq \bigvee \langle Y \rangle$  whenever  $X \leq Y$  in  $RL_n$ .

The first of these is clear; to verify the second note that if  $X' \theta X$ , then  $X' \vee Y \theta Y$  by condition (1) of the theorem. Then

$$(3.6) \qquad \bigvee \langle \mathbf{x} \rangle = \bigvee \{ \mathbf{x}' | \mathbf{x}' \ \theta \ \mathbf{x} \} \leq \bigvee \{ \mathbf{x}' \lor \mathbf{y} | \mathbf{x}' \ \theta \ \mathbf{x} \} < \bigvee \langle \mathbf{y} \rangle.$$

It follows that (3.3) and (3.4) give the join and meet of any two elements of L relative to the partial ordering defined in (3.2). Clearly, L is complete and is a multiplicative lattice with the multiplication given by (3.5). It is also clear that L satisfies the ascending chain condition. We shall show that L is a Noether lattice by showing that L is distributive and that every element of L is a join of principal elements.

In order to show that L is distributive, we need the relation

(3.7) 
$$\bigvee \langle A \vee B \rangle = \left( \bigvee \langle A \rangle \right) \vee \left( \bigvee \langle B \rangle \right).$$
Clearly  $\left( \bigvee \langle A \rangle \right) \vee \left( \bigvee \langle B \rangle \right) \leq \bigvee \langle A \vee B \rangle.$  Now, assume that 
$$C = K_1 \vee \cdots \vee K_r \theta A \vee B.$$

Let  $A = E_1 \lor \cdots \lor E_s$  and  $B = H_1 \lor \cdots \lor H_t$ , Then, by condition (1), for each  $K_i$  there exist integers j(i) and  $N_{j(i)}$  such that either

$$K_i \,\, \theta \,\, N_{\mathbf{j(i)}} \leq E_{\mathbf{j(i)}} \quad \text{or} \quad K_i \,\, \theta \,\, N_{\mathbf{j(i)}} \leq H_{\mathbf{j(i)}}.$$

Thus, by (3.6), the elements  $K_i$  may be divided into two sets such that the join of the first is less than or equal to  $\bigvee \left\langle A \right\rangle$ , and the join of the second is less than or equal to  $\bigvee \left\langle B \right\rangle$ . Then  $C \leq \left(\bigvee \left\langle A \right\rangle\right) \vee \left(\bigvee \left\langle B \right\rangle\right)$ , and therefore the relation (3.7) holds. To see that this implies the distributivity of L, we observe that

$$\langle C \rangle \wedge (\langle A \rangle \vee \langle B \rangle) = \langle C \rangle \wedge \langle A \vee B \rangle$$

$$= (\bigvee \langle C \rangle) \wedge [(\bigvee \langle A \rangle) \vee (\bigvee \langle B \rangle)]$$

$$= \left[ \left( \bigvee \langle C \rangle \right) \land \left( \bigvee \langle A \rangle \right) \right] \lor \left[ \left( \bigvee \langle C \rangle \right) \land \left( \bigvee \langle B \rangle \right) \right]$$

$$= \left( \langle C \rangle \lor \langle A \rangle \right) \lor \left( \langle C \rangle \land \langle B \rangle \right);$$

the second and fourth equality signs are justified by (3.4), and the third by the distributivity of  $RL_n$ .

Using (3.2) and (3.5) and the definition of residuation, we obtain the equations

$$(3.8) \langle A \rangle : \langle B \rangle = \bigvee \{ \langle X \rangle | \langle X \rangle \langle B \rangle \le \langle A \rangle \} = \bigvee \{ \langle X \rangle | XB \le \bigvee \langle A \rangle \}$$
$$= \bigvee \{ \langle X \rangle | X \le (\bigvee \langle A \rangle) : B \} = (\bigvee \langle A \rangle) : \langle B \rangle.$$

We shall use (3.8) to show that  $\langle E \rangle$  is a principal element in L for each principal element E in  $RL_n$ . To show that  $\langle E \rangle$  is principal, it is necessary to prove first that

$$\langle A \rangle \wedge \langle E \rangle = (\langle A \rangle : \langle E \rangle) \langle E \rangle.$$

Note that if H  $\theta$  K in RL<sub>n</sub>, then  $\langle H \rangle = \langle K \rangle$  and

$$\langle (\bigvee \langle A \rangle) : H \rangle = \langle A \rangle : \langle H \rangle = \langle A \rangle : \langle K \rangle = \langle (\bigvee \langle A \rangle) : K \rangle.$$

Multiplying the left side of this expression by  $\langle$  H  $\rangle$  and the right side by  $\langle$  K  $\rangle$  and applying equation (2.1) in  $RL_n$ , we obtain the equation

$$(3.10) \qquad \langle (\bigvee \langle A \rangle) \wedge H \rangle = \langle (\bigvee \langle A \rangle) \wedge K \rangle.$$

Note that since  $\bigvee \langle E \rangle$   $\theta$  E, we have the relation  $\bigvee \langle E \rangle = E \vee K_1 \vee \dots \vee K_r$  with  $K_i$   $\theta$   $H_i \leq E_j$  by condition (1) of the theorem. Using this and equation (3.8), and applying (3.10) and the fact that  $\langle A \vee B \rangle = \langle A \rangle \vee \langle B \rangle$  in the third line below, we obtain the equations

$$\begin{split} \left\langle \mathbf{A} \right\rangle \wedge \left\langle \mathbf{E} \right\rangle &= \left\langle \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \left( \mathbf{E} \vee \mathbf{K}_{1} \vee \cdots \vee \mathbf{K}_{r} \right) \right\rangle \\ &= \left\langle \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{E} \right] \vee \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{K}_{1} \right] \vee \cdots \vee \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{K}_{r} \right] \right\rangle \\ &= \left\langle \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{E} \right] \vee \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{H}_{1} \right] \vee \cdots \vee \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{H}_{r} \right] \right\rangle \\ &= \left\langle \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \left( \mathbf{E} \vee \mathbf{H}_{1} \vee \cdots \vee \mathbf{H}_{r} \right) \right\rangle \\ &= \left\langle \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) \wedge \mathbf{E} \right\rangle = \left\langle \left[ \left( \bigvee \left\langle \mathbf{A} \right\rangle \right) : \mathbf{E} \right] \mathbf{E} \right\rangle = \left\langle \mathbf{A} : \mathbf{E} \right\rangle \left\langle \mathbf{E} \right\rangle. \end{split}$$

This proves equation (3.9).

The second step in the proof that  $\langle E \rangle$  is a principal element is to prove the relation

$$(\langle B \rangle \langle E \rangle): \langle E \rangle = \langle B \rangle \vee \langle 0 \rangle: \langle E \rangle.$$

If  $\langle B \rangle \langle E \rangle = \langle 0 \rangle$ , then  $\langle B \rangle \leq \langle 0 \rangle$ :  $\langle E \rangle$ , and (3.11) holds. Assume  $\langle B \rangle \langle E \rangle \neq 0$ . It is always true that

$$(\langle B \rangle \langle E \rangle): \langle E \rangle \geq \langle 0 \rangle: \langle E \rangle \vee \langle B \rangle;$$

therefore we suppose that K is a principal element in  $RL_n$  such that  $\langle K \rangle \langle E \rangle \leq \langle B \rangle \langle E \rangle$ , with  $\langle K \rangle \langle E \rangle \neq 0$ . Then  $KE \vee BE \theta$  BE, so that (by condition (1) of the theorem) there exists H' such that H'  $\theta$  KE and H'  $\leq$  BE. But by equation (2.1), H' = H'  $\wedge$  E = HE with H = H': E. Therefore KE  $\theta$  HE implies K  $\theta$  H  $\leq$  B, by condition (3). Therefore  $\langle K \rangle \leq \langle B \rangle$ . Thus

$$\bigvee \left\{ \left\langle K \right\rangle \middle| \left\langle K \right\rangle \left\langle E \right\rangle \leq \left\langle B \right\rangle \left\langle E \right\rangle \text{ and } \left\langle K \right\rangle \left\langle E \right\rangle \neq \left\langle 0 \right\rangle \right\} \leq \left\langle B \right\rangle.$$

Hence equation (3.11) holds. Thus, by Theorem 1, E is a principal element, so that each element of L is a join of principal elements. Therefore L is a distributive Noether lattice. L is local, for if  $\langle A \rangle \vee \langle B \rangle = \langle I \rangle$ , then  $A \vee B = I$  by condition (4), so that A = I or B = I. This proves the theorem.

### 4. EXAMPLES OF DISTRIBUTIVE LOCAL NOETHER LATTICES

We can use Theorem 2 to construct interesting examples of Noether lattices. For example, the lattice L obtained by identifying  $(x_1)^2$  and  $(x_2)^2$  in  $RL_2$  is drawn schematically in Figure 1. The dots indicate that the pattern above them is to be continued.

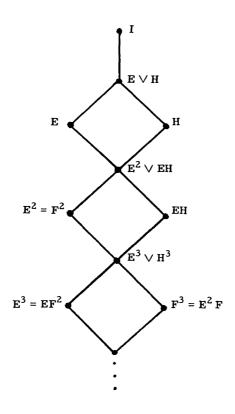


Figure 1.

The lattice obtained from  $RL_2$  by identifying  $(x_1)^2$  and  $(x_2)^2$  as described in Theorem 2.

To verify that L is the lattice shown in the figure, let  $\langle (x_1) \rangle = E$  and  $\langle (x_2) \rangle = H$ . Note first that each principal element of L has a factorization of the form  $E^i$  or  $E^iH$ . Thus every element X of L has the form  $X = E^i \vee E^jH$ , where i denotes the smallest integer such that  $E^i$  is contained in X, and where j denotes the smallest integer such that  $E^jH \leq X$ . If  $j \geq i$ , then  $X = E^i$ . If j < i, then

$$\mathbf{E}^{\mathbf{i}} \vee \mathbf{E}^{\mathbf{j}} \mathbf{H} = \mathbf{E}^{\mathbf{j}} (\mathbf{E}^{\mathbf{i} - \mathbf{j}} \vee \mathbf{H}) = \begin{cases} \mathbf{E}^{\mathbf{j}} (\mathbf{E} \vee \mathbf{H}) & \text{if } \mathbf{i} - \mathbf{j} = 1, \\ \mathbf{E}^{\mathbf{j}} \mathbf{H} & \text{if } \mathbf{i} - \mathbf{j} > 1. \end{cases}$$

Therefore each element of L has the form  $E^i$ ,  $E^jH$ , or  $E^k(E\vee H)$ , and Figure 1 is the correct diagram for L.

Another interesting example that may be obtained from RL2 is

$$L' = RL_2/[(x_1) \vee (x_2)]^2$$
.

Although the quotient lattice L/D is defined differently in [2] from the way L/ $\theta$  is defined in this paper, it is easy to see that L' is the lattice obtained from RL<sub>2</sub> by identifying  $(x_1)^2$ ,  $(x_1)(x_2)$ , and  $(x_2)^2$  with 0 as described in Theorem 3.1. The diagram for L' may thus be obtained from the diagram for L by taking  $E^2 \vee EH$  as the zero element in Figure 1. L' arises naturally as a quotient sublattice of any distributive local Noether lattice that is not a chain. This is a crucial point in the proof of the following theorem.

THEOREM 3. If  $L_R$  is the lattice of ideals of a local ring R, then  $L_R$  is distributive if and only if  $L_R$  is a chain.

*Proof.* If the maximal ideal of R is principal,  $L_R$  is a chain. Suppose the maximal ideal of R has a minimal representation of the form  $E_1 \vee \dots \vee E_K$ , where each  $E_i$  is a principal ideal. Let

$$A = (E_1 \vee E_2)^2 \vee E_3 \vee \cdots \vee E_K,$$

and let X' denote  $X \vee A$ . Then one can show easily that  $E'_1 \vee E'_2$ ,  $E'_1$ ,  $E'_2$ , and 0' are distinct elements of  $L_R/A$ . For example, if  $E'_1 = E'_2$ , then

$$I = (E_1 \lor A) : E_1 = (E_2 \lor A) : E_1$$
$$= (E_1^2 \lor E_2 \lor \cdots \lor E_K) : E_1 = E_1 \lor (E_2 \lor \cdots \lor E_K) : E_1.$$

Since  $L_R$  is local,  $(E_2 \lor \cdots \lor E_K)$ :  $E_1$  = I. This is impossible, since it implies that  $E_1 \le E_2 \lor \cdots \lor E_K$ .

Now suppose that  $X' \neq I$  is an element of  $L_R/A$ . Then

$$X' = X' \wedge (E'_1 \vee E'_2) = (X' \wedge E'_1) \vee (X'_2 \wedge E'_2)$$
  
=  $(X' : E'_1) E'_1 \vee (X' : E'_2) : E'_2$ .

But if X':  $E_j' = I$ , then  $(X': E_j') E_j' = 0$ . Thus I',  $E_1' \vee E_2'$ ,  $E_1'$ ,  $E_2'$ , and 0' are the only elements of  $L_R/A$ . The lattice  $L_R/A$  is the lattice of ideals of the ring R/A. Since  $E_1'$  and  $E_2'$  are join-irreducible, they must be principal ideals. Let  $E_1' = (x)$  and  $E_2' = (y)$ . Since  $(x) \neq (y)$ , it follows that  $(x + y) \neq 0$ . Also  $(x + y) \neq (x)$ , for if

x + y = rx, then y is in (x), which is impossible. If (x + y) = (x, y), then (x, y) is principal and Corollary 2.2 of [1] implies that (x, y) = (x) or (x, y) = (y). Again this is impossible, so that  $L_R/A$  cannot be the lattice of all ideals of any ring. Thus the maximal ideal of R is principal and  $L_R$  is a chain.

Theorem 3 is a special case of a theorem that will appear in a paper of E. W. Johnson and J. P. Lediaev [3]. The proof given here is different from theirs.

#### REFERENCES

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Dartmouth College Hanover, New Hampshire 03755