

AN AXIOMATIZATION OF HERZBERGER'S 2-DIMENSIONAL
PRESUPPOSITIONAL SEMANTICS

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The purpose of this paper* is to axiomatize two 4-valued propositional logics suggested by Herzberger in [1], section VI. They are of philosophical interest because their interpretation makes use of two ideas inspired by Jean Buridan: (1) a proposition may correspond to the world and yet be untrue because it is semantically deviant, and (2) logically valid arguments preserve correspondence with reality, not truth. If the two non-classical truth-values of these systems are identified, the resulting tables for the classical connectives are the weak and strong systems of Kleene. Unlike Kleene's system, the 4-valued ones offer a choice of designated values that renders semantic entailment perfectly classical. Compare Herzberger [2] and Martin [5].

Let the set \mathcal{F} of formulas be inductively defined over a denumerable set of atomic formulas such that $\neg A$, $A \& B$, $\mathbf{C}A$, $\mathbf{B}A$, $\mathbf{T}A$, $\mathbf{F}A$, $\mathbf{t}A$, and $\mathbf{f}A$ are formulas if A and B are. Let \mathcal{W} be the set of all \mathbf{w} such that for some ν and \mathbf{v} ,

- (1) for any atomic formula A , $\nu(A), \mathbf{v}(A) \in \{0, 1\}$;
- (2) $\nu(\neg A) = 1$ if $\nu(A) = 0$; $\nu(\neg A) = 0$ otherwise;
 $\nu(A \& B) = 1$ if $\nu(A) = \nu(B) = 1$; $\nu(A \& B) = 0$ otherwise;
 $\nu(\mathbf{C}A) = 1$ if $\nu(A) = 1$; $\nu(\mathbf{C}A) = 0$ otherwise;
 $\nu(\mathbf{B}A) = 1$ if $\mathbf{v}(A) = 1$; $\nu(\mathbf{B}A) = 0$ otherwise;
 $\nu(\mathbf{T}A) = 1$ if $\nu(A) = \mathbf{v}(A) = 1$; $\nu(\mathbf{T}A) = 0$ otherwise;
 $\nu(\mathbf{F}A) = 1$ if $\nu(A) = 0$ and $\mathbf{v}(A) = 1$; $\nu(\mathbf{F}A) = 0$ otherwise;
 $\nu(\mathbf{t}A) = 1$ if $\nu(A) = 1$ and $\mathbf{v}(A) = 0$; $\nu(\mathbf{t}A) = 0$ otherwise;
 $\nu(\mathbf{f}A) = 1$ if $\nu(A) = \mathbf{v}(A) = 0$; $\nu(\mathbf{f}A) = 0$ otherwise;
- (3) $\mathbf{v}(\neg A) = 1$ if $\mathbf{v}(A) = 1$; $\mathbf{v}(\neg A) = 0$ otherwise;
 $\mathbf{v}(A \& B) = 1$ if $\mathbf{v}(A) = \mathbf{v}(B) = 1$; $\mathbf{v}(A \& B) = 0$ otherwise;
 $\mathbf{v}(\mathbf{C}A) = \mathbf{v}(\mathbf{B}A) = \mathbf{v}(\mathbf{T}A) = \mathbf{v}(\mathbf{F}A) = \mathbf{v}(\mathbf{t}A) = \mathbf{v}(\mathbf{f}A) = 1$;

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(4) $w(A) = \langle v(A), u(A) \rangle$.

Let $\mathcal{L} = \langle \mathcal{J}, \mathcal{W} \rangle$, and abbreviate $\langle 11 \rangle$ by T, $\langle 01 \rangle$ by F, $\langle 10 \rangle$ by t, and $\langle 00 \rangle$ by f, and define $A \vee B$ as $\neg(\neg A \ \& \ \neg B)$, $A \rightarrow B$ as $\neg A \vee B$, and $A \leftrightarrow B$ as $(A \rightarrow B) \ \& \ (B \rightarrow A)$.

Intuitively, values on the first co-ordinate record whether a sentence corresponds to the world and values on the second whether it is semantically normal in the sense that all its presuppositions are satisfied. A sentence is assigned T for true iff it both corresponds and is normal and F for false iff though normal, it does not correspond. Hence 'C' is read as 'corresponds' and 'B' as 'is bivalent'. CA and BA could have been introduced by definition as $\text{T}A \vee \text{t}A$ and $\text{T}A \vee \text{F}A$ respectively.

The values on the first coordinate of members of \mathcal{W} , those on the second, and the compound values for members of \mathcal{W} conform to tables under I, II, and $\text{I} \times \text{II}$ respectively:

I

	\neg	$\&$	10	C
1	0		10	1
0	1		00	0

	B	T	F	t	f
11	1	1	0	0	0
01	1	0	1	0	0
10	0	0	0	1	0
00	0	0	0	0	1

II

	\neg	$\&$	10	C	B	T	F	t	f
1	1		10	1	1	1	1	1	1
0	0		00	1	1	1	1	1	1

$\text{I} \times \text{II}$

	\neg	$\&$	T F t f	\vee	T F t f	\rightarrow	T F t f	C	B	T	F	t	f
T	F		T F t f		T T t t		T F t f	T	T	T	F	F	F
F	T		F F f f		T F t f		T T t t	F	T	F	T	F	F
t	f		t f t f		t t t t		t f t f	T	F	F	F	T	F
f	t		f f f f		t f t f		t t t t	F	F	F	F	F	T

The operations of $\text{I} \times \text{II}$ are functionally incomplete as is seen from the fact that T and F are never taken into t or f. Further, substitution of truth-functional equivalents fails among the non-classical formulas, e.g., if $w(A) = T$ and $w(B) = t$, then $w(A \leftrightarrow B) = t$ but $w(\text{T}A \leftrightarrow \text{T}B) = F$.

If t and f are identified, \neg , $\&$, and \vee become Kleene's weak connectives (cf. Kleene [3]). Let $D = \{T, t\}$ be the set of designated values, and let a set Γ of formulas *semantically entail* A, briefly $\Gamma \models A$, iff $\forall w \in \mathcal{W}$, and $\forall B \in \Gamma$, if $w(B) \in D$, then $w(A) \in D$. Observe also that \mathcal{L} is a conservative extension of classical logic. That is, for all formulas shared by both \mathcal{L} and classical logic, $\Gamma \models A$ iff the argument from Γ to A is classically valid. For, given

any formula A made up from just \neg and $\&$, $\nu(A)$ conforms to the classical matrix for \neg and $\&$, and $\mathfrak{w}(A)$ is designated iff $\nu(A) = 1$.

The set of *axioms* for \mathcal{L} is defined as the least set both containing all classical tautologies and all instances of the following axiom schemata, and closed under *modus ponens*:

- | | | |
|--|---|------------|
| 1. $(A \& BA) \rightarrow TA$ | 7.* $CA \leftrightarrow A$ | 13. BFA |
| 2. $\neg A \& BA \rightarrow FA$ | 8. $BA \leftrightarrow B \neg A$ | 14. BtA |
| 3. $(A \& \neg BA) \rightarrow tA$ | 9.** $(BA \& BB) \leftrightarrow B(A \& B)$ | 15. BfA |
| 4. $(\neg A \& \neg BA) \rightarrow fA$ | 10. $\neg(TA \& FA)$ | 16. BBA |
| 5. $BA \rightarrow (\neg tA \& \neg fA)$ | 11. $\neg(tA \& fA)$ | 17.* BCA |
| 6.* $(TA \vee FA) \rightarrow BA$ | 12. $BT A$ | |

Let A be *deducible from* Γ , briefly $\Gamma \vdash A$, iff there is a finite sequence A_1, \dots, A_n such that $A_n = A$ and $A_m, m < n$, is either an axiom, a member of Γ , or a consequent of previous A_i by *modus ponens*. The *theorems* of \mathcal{L} are all formulas deducible from the empty set. They include the following as well as all instances of 6*, 7*, and 17* if C and B are introduced by definition:

- | | |
|---|-----------------------------------|
| 18. $TA \vee FA \vee tA \vee fA$ | 27. $fA \rightarrow \neg CA$ |
| 19. $\neg(TA \& tA)$ | 28. $CA \rightarrow (TA \vee tA)$ |
| 20. $\neg(TA \& fA)$ | 29. $BA \rightarrow (TA \vee FA)$ |
| 21. $\neg(FA \& tA)$ | 30. $B \neg CA$ |
| 22. $\neg(FA \& fA)$ | 31. $B \neg BA$ |
| 23.** $(BA \& BB) \leftrightarrow B(A \rightarrow B)$ | 32. $B \neg TA$ |
| 24. $TA \rightarrow CA$ | 33. $B \neg FA$ |
| 25. $tA \rightarrow CA$ | 34. $B \neg tA$ |
| 26. $FA \rightarrow \neg CA$ | 35. $B \neg fA$ |

Let a set Γ of formulas be *consistent* iff for some A , $\Gamma \not\vdash A$, and let Γ be *maximally consistent* iff Γ is consistent and for all A , $A \in \Gamma$ or $\neg A \in \Gamma$. The proof that every consistent set is contained in a maximally consistent set carries over unaltered from classical logic.

Lemma Any maximally consistent Γ is the set of all designated formulas of some $\mathfrak{w} \in \mathcal{W}$.

Proof: Let Γ be maximally consistent and define ν , \mathfrak{v} , and \mathfrak{w} as follows: $\nu(A) = 1$ if $A \in \Gamma$, $\nu(A) = 0$ otherwise, $\mathfrak{v}(A) = 1$ if $BA \in \Gamma$, $\mathfrak{v}(A) = 0$ otherwise, and $\mathfrak{w}(A) = \langle \nu(A), \mathfrak{v}(A) \rangle$. Clearly, Γ is the set of formulas designated by \mathfrak{w} . To show $\mathfrak{w} \in \mathcal{W}$, it suffices to show ν and \mathfrak{v} satisfy (1)-(3) of the definition of \mathcal{W} . Since ν and \mathfrak{v} are both functions from \mathcal{F} into $\{1, 0\}$, (1) is satisfied. For (2) consider first $\neg A$. If $\nu(A) = 1$, then $A \in \Gamma$, and $\nu(\neg A) = 0$. If $\nu(A) = 0$, then $\neg A \in \Gamma$, and $\nu(\neg A) = 1$. Consider next $A \& B$. If $\nu(A) = \nu(B) = 1$, then $A, B \in \Gamma$, $A \& B \in \Gamma$, and $\nu(A \& B) = 1$. If $\nu(A)$ or $\nu(B)$ is 0, then $\neg A$ or $\neg B$ is in Γ , $\neg(A \& B) \in \Gamma$, and $\nu(A \& B) = 0$. Consider CA . If $\mathfrak{w}(A) \in \{T, t\}$, then $A \in \Gamma$, $CA \in \Gamma$, and $\nu(CA) = 1$. If $\mathfrak{w}(A) \in \{F, f\}$, then $\neg A \in \Gamma$, $\neg CA \in \Gamma$, and $\nu(CA) = 0$. Consider BA . If $\mathfrak{w}(A) \in \{T, F\}$, then $BA \in \Gamma$, and $\nu(BA) = 1$. If $\mathfrak{w}(A) \in \{t, f\}$, then $\neg BA \in \Gamma$, and $\nu(BA) = 0$. Consider TA . If $\mathfrak{w}(A) = T$, then

$A, BA \in \Gamma, TA \in \Gamma$, and $\nu(TA) = 1$. If $w(A) = F$, then $\neg A, BA \in \Gamma, FA \in \Gamma, \neg TA \in \Gamma$, and $\nu(TA) = 0$. If $w(A) = t$, then $tA \in \Gamma, \neg TA \in \Gamma$, and $\nu(TA) = 0$. If $w(A) = f$, then $fA \in \Gamma, \neg TA \in \Gamma$, and $\nu(TA) = 0$. Consider FA . If $w(A) = T$, then $TA \in \Gamma, \neg FA \in \Gamma$, and $\nu(FA) = 0$. If $w(A) = F$, then $FA \in \Gamma, \nu(FA) = 1$. If $w(A) \in \{t, f\}$, then $\neg BA \in \Gamma, \neg FA \in \Gamma, \nu(FA) = 0$. Consider tA . If $w(A) = T$, then $TA \in \Gamma, \neg tA \in \Gamma$, and $\nu(tA) = 0$. If $w(A) = F$, then $FA \in \Gamma, \neg tA \in \Gamma$, and $\nu(tA) = 0$. If $w(A) = t$, then $tA \in \Gamma$, and $\nu(tA) = 1$. If $w(A) = f$, then $fA \in \Gamma, \neg tA \in \Gamma$, and $\nu(tA) = 0$. Consider fA . If $w(A) = T$, then $TA \in \Gamma, \neg fA \in \Gamma$, and $\nu(fA) = 0$. If $w(A) = F$, then $FA \in \Gamma, \neg fA \in \Gamma$, and $\nu(fA) = 0$. If $w(A) = t$, then $TA \in \Gamma, \neg fA \in \Gamma$, and $\nu(fA) = 0$. If $w(A) = f$, then $fA \in \Gamma$, and $\nu(fA) = 1$. For (3) consider first $\neg A$. If $\nu(A) = 1$, then $BA \in \Gamma, B \neg A \in \Gamma$, and $\nu(A) = 1$. If $\nu(A) = 0$, then $\neg BA \in \Gamma, \neg B \neg A \in \Gamma, B \neg A \notin \Gamma$, and $\nu(\neg A) = 0$. Consider $A \& B$. If $\nu(A) = \nu(B) = 1$, then $BA, BB \in \Gamma, B(A \& B) \in \Gamma$, and $\nu(A \& B) = 1$. If $\nu(A)$ or $\nu(B)$ is 0, then $\neg BA$ or $\neg BB$ is in Γ . In either case $\neg B(A \& B) \in \Gamma$ and $\nu(A \& B) = 0$. For the other connectives observe that since $BCA, BBA, BTA, BFA, BtA, BfA \in \Gamma, \nu(CA) = \nu(BA) = \nu(TA) = \nu(FA) = \nu(tA) = \nu(fA) = 1$, no matter what $\nu(A)$ is.

Theorem $\Gamma \vdash A$ iff $\Gamma \Vdash A$.

Proof: (1) Let $\Gamma \vdash A$. Then there exist a finite sequence A_1, \dots, A_n such that $A_n = A$ and for all $A_m, m < n, A_m$ is either an axiom, a member of Γ , or a consequent by *modus ponens* of previous members. Assume that $\forall B \in \Gamma, w(B) \in D$. But then since all the axioms are designated by any w , and *modus ponens* preserves designation, $w(A) \in D$. (2) Assume $\Gamma \not\vdash A$. Then $\Gamma \cup \{\neg A\}$ is consistent and contained in some maximally consistent Δ . Further there is a w such that Δ is the set of designated formulas of w . Hence w satisfies Γ , yet $w(A) \notin D$. Hence $\Gamma \not\Vdash A$. Q.E.D.

This axiom system is also adaptable to Herzberger's 2-dimensional rendering of Kleene's strong connectives. Let $*W$ be defined like W except that clause (3) is altered as follows:

$$\nu(A \& B) = 1 \text{ if } \nu(A) = 0 \text{ and } \nu(A) = 1, \text{ or } \nu(B) = 0 \text{ and } \nu(B) = 1, \\ \text{or } \nu(A) = \nu(B) = 1; \nu(A \& B) = 0 \text{ otherwise.}$$

We retain the same abbreviations and defined connectives as before. The truth tables remain the same except for the following changes.

*II					I × *II														
&	T	F	t	f	&	T	F	t	f	∨	T	F	t	f	→	T	F	t	f
T	1	1	0	0	&	T	F	t	f	∨	T	T	T	T	→	T	F	t	f
F	1	1	1	1	&	F	F	F	F	∨	T	F	t	f	→	T	T	T	T
t	0	1	0	0	&	t	F	t	f	∨	T	t	t	t	→	T	f	t	f
f	0	1	0	0	&	f	F	f	f	∨	T	f	t	f	→	T	t	t	t

The tables for the strong connectives are obtained by identifying t and f with N . (Cf. Kleene [4], pp. 334-335.) Also, the new language $*L = \langle \mathcal{J}, *W \rangle$ remains a conservative extension of classical logic. For the axiomatization, all the previous schemata are retained except \mathcal{Q}^{**} which is replaced by

*9. $\mathbf{B}(A \ \& \ B) \leftrightarrow (\mathbf{F}A \vee \mathbf{F}B \vee (\mathbf{B}A \ \& \ \mathbf{B}B))$.

The list of previous theorems remains unchanged except for 23** which is replaced by:

*23. $\mathbf{B}(A \rightarrow B) \leftrightarrow (\mathbf{F}A \vee \mathbf{T}B \vee (\mathbf{B}A \ \& \ \mathbf{B}B))$.

The proof of the soundness and completeness results remains the same except that the proof of the lemma for clause (3) of the definition of $\ast\mathcal{W}$ should be altered as follows: Consider $A \ \& \ B$. If $\nu(A) = \nu(B) = \mathfrak{v}(A) = \mathfrak{v}(B) = 1$, then $\mathbf{B}A$, $\mathbf{B}B \in \Gamma$, $\mathbf{B}(A \ \& \ B) \in \Gamma$, and $\mathfrak{v}(A \ \& \ B) = 1$. If $\nu(A) = 0$ and $\mathfrak{v}(A) = 1$, or $\nu(B) = 0$ and $\mathfrak{v}(B) = 1$, then either $\neg A$, $\mathbf{B}A \in \Gamma$ or $\neg B$, $\mathbf{B}B \in \Gamma$, either $\mathbf{F}A \in \Gamma$ or $\mathbf{F}B \in \Gamma$, $\mathbf{B}(A \ \& \ B) \in \Gamma$, and $\mathfrak{v}(A \ \& \ B) = 1$. If $\mathfrak{v}(A) = \mathfrak{v}(B) = 0$, then $\neg \mathbf{B}A$, $\neg \mathbf{B}B \in \Gamma$, $\neg \mathbf{B}(A \ \& \ B) \in \Gamma$, and $\mathfrak{v}(A \ \& \ B) = 0$.

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