# PRE-ABELIAN CLAN CATEGORIES 

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#### Abstract

Categories of representations of clans without special loops, and with a linear ordering at each vertex, are studied with an eye toward identifying those that have kernels and cokernels. A complete characterization is given for simple graphs whose vertices have degree at most two.


1. Representations of clans. I'm not going to give the definition of an arbitrary clan [1], but only a very restricted version which will cover the cases I want to look at here. A (linear ordinary) clan consists of

- A finite graph, possibly with multiple edges and loops,
- At each vertex $v$ an enumeration $e(v, 1), \ldots, e(v, d)$ of the edges incident to $v$ in which each incident loop appears twice and the other edges appear once. The integer $d$ is the degree of the vertex.
We say that an edge $e$ joins $(v, i)$ with $(w, j)$ if $e=e(v, i)=e(w, j)$ and $(v, i) \neq(w, j)$.

In contrast to the general notion of a clan, no field is mentioned because we don't allow "special loops." Representations of clans decompose canonically into representations of their components, so we may assume that the graph is connected. As in [1], we will assume that there are no vertices of degree 0 which, for a connected graph, simply says that it has an edge.

If $k$ is a field, then a $k$-representation $M$ of a clan associates a finitedimensional vector space $M(v)$ over $k$ to each vertex $v$ of the clan, together with a filtration

$$
0=M(v)_{0} \subset M(v)_{1} \subset \cdots \subset M(v)_{d(v)}=M(v)
$$

of $M(v)$ where $d(v)$ is the degree of $v$. Moreover, if the edge $e$ joins $(v, i)$ with $(w, j)$, then $M$ associates with $e$ an isomorphism $M_{e}$ between $M\left(v_{i}\right) / M(v)_{i-1}$ and $M(w)_{j} / M(w)_{j-1}$.

[^0]A map $f$ between representations consists of a linear transformation between the vector spaces at each vertex that

- respects the filtrations, that is, $f\left(M(v)_{i}\right) \subset M^{\prime}(v)_{i}$,
- respects the isomorphisms associated with the edges, that is, if the edge $e$ joins $(v, i)$ with $(w, j)$, then the diagram

commutes, where the vertical arrows are induced by $f$.
Let $V$ be a function assigning a vector space $V_{e}$ to each edge of a clan $C$. We can construct a representation $M_{V}$ of $C$ by setting

$$
M_{V}(v)_{i}=\bigoplus_{i^{\prime} \leq i} V_{e\left(v, i^{\prime}\right)}
$$

Every representation of $C$ is isomorphic to one constructed in this manner. If $V_{e}=U_{e} \oplus W_{e}$ for each edge $e$, then $M_{V}=M_{U} \oplus M_{W}$. So $M_{V}$ is indecomposable if and only if $V_{e}=k$, for some edge $e$, and $V$ is zero on the other edges. Thus the indecomposable representations of $C$ are in one-to-one correspondence with the edges of $C$. This also follows from the general theory in [1].

What are the maps between indecomposables $I_{e}$ and $I_{e^{\prime}}$ ? For any edge $e$ the dimension of $I_{e}(v)_{i}$ is the number of $i^{\prime} \leq i$ such that $e=\left(v, i^{\prime}\right)$. This is at most 1 if $e$ is not a loop, and at most 2 if $e$ is a loop. If $e$ is not a loop, then $\operatorname{dim} \operatorname{Hom}\left(I_{e}, I_{e}\right)=1$. If $e$ is a loop, then $\operatorname{dim} \operatorname{Hom}\left(I_{e}, I_{e}\right)=2$. If $e \neq e^{\prime}$, then for any $v$ and $i$ either $I_{e}(v)_{i} / I_{e}(v)_{i-1}=0$ or $I_{e^{\prime}}(v)_{i} / I_{e^{\prime}}(v)_{i-1}=0$ so any putative map $f: I_{e} \rightarrow I_{e^{\prime}}$ respecting the filtrations respects the edge isomorphisms for trivial reasons. Thus if $e \neq e^{\prime}$, then $\operatorname{dim} \operatorname{Hom}\left(I_{e}, I_{e^{\prime}}\right)$ is the number of triples $\left(v, i, i^{\prime}\right)$ such that $e=e(v, i), e^{\prime}=e\left(v, i^{\prime}\right)$ and $i^{\prime}<i$.
The dual clan $C^{*}$ is obtained by reversing the order at each vertex of $C$ : the graph is unchanged and we set $e^{*}(v, i)=e(v, d(v)-i+1)$.

Theorem 1. The category of representations of $C^{*}$ is dual to the category of representations of $C$.

Proof. The duality takes a representation $M$ of $C$ to a representation $M^{*}$ of $C^{*}$ defined as follows:

- $M^{*}(v)=M(v)^{*}$, the space of linear functions on $M(v)$,
- $M^{*}(v)_{i}=M(v)_{d(v)-i}^{\perp}=\left\{\varphi \in M(v)^{*}: \varphi\left(M(v)_{d(v)-i}\right)=0\right\}$
- If $e$ joins $(v, i)$ with $(w, j)$ in $C$, hence joins $(v, d(v)-i+1)$ with $(w, d(w)-j+1)$ in $C^{*}$, then $M_{e}^{*}$ is the isomorphism induced by $M_{e}$ between

$$
\begin{aligned}
M^{*}(v)_{d(v)-i+1} / M^{*}(v)_{d(v)-i} & =M(v)_{i-1}^{\perp} / M(v)_{i}^{\perp} \\
& \cong\left(M(v)_{i} / M(v)_{i-1}\right)^{*}
\end{aligned}
$$

and

$$
\begin{aligned}
M^{*}(w)_{d(w)-j+1} / M^{*}(w)_{d(w)-j} & =M(w)_{j-1}^{\perp} / M(w)_{j}^{\perp} \\
& \cong\left(M(w)_{j} / M(w)_{j-1}\right)^{*}
\end{aligned}
$$

Clearly

$$
0=M^{*}(v)_{0} \subset M^{*}(v)_{1} \subset \cdots \subset M^{*}(v)_{d(v)}=M^{*}(v)
$$

because of the index reversal together with taking annihilators. A map from $M$ to $N$ consists of maps from $M(v)$ to $N(v)$ for each vertex $v$, and these correspond to maps from $N(v)^{*}$ to $M(v)^{*}$.
2. A category where idempotents don't split. This paper was provoked by a remark in [1] that it is not surprising that idempotents split in clan categories because "a representation of a clan is a cross between a representation of a poset and a representation of a quiver." The same reasoning suggests that clan categories are pre-abelian, that is, all maps have kernels and cokernels, not just idempotents. That's not always the case, so the question arises as to what clans have preabelian categories. Before looking at a clan category that is not preabelian, we consider a class of categories where even idempotents need not have kernels.

Theorem 2. Let $\mathcal{C}$ be the category of finite-rank free modules over a commutative ring $R$. For $a \in R$, define $f_{a}: R \rightarrow R$ by $f_{a}(x)=a x$. Then $f_{a}$ has a kernel in $\mathcal{C}$ if and only if $a$ is regular or $a=0$.

Proof. If $a$ is regular, then the inclusion $0 \rightarrow R$ is a kernel of $f_{a}$, and if $a=0$, then the identity map $R \rightarrow R$ is a kernel of $f_{a}$. Conversely, suppose $g: R^{n} \rightarrow R$ is a kernel of $f_{a}$. As $g\left(a R^{n}\right)=a g\left(R^{n}\right)=$ $f_{a} g\left(R^{n}\right)=0$, and $g$ is monic, we have $a R^{n}=0$. So if $n>0$, then $a=0$. If $n=0$, then $R^{n}=0$, so $f_{a}$ is monic-that is, $a$ is regular. $\square$

In particular, if $a$ is a nontrivial idempotent in $R$, then $f_{a}$ is an idempotent in $\mathcal{C}$ without a kernel.
3. The smallest clan. Consider the clan $C$ with one vertex and one edge:


I want to change this picture to one that reflects the notation introduced in Section 1. Each vertex $v$ is expanded into an ascending vertical column of vertices $(v, 1), \ldots,(v, d)$, each edge joining a unique pair of the expanded vertices. Thus the clan $C$ would be drawn as


Theorem 3. The category of $k$-representations of the clan $C$ above is equivalent to the category of finite-rank free modules over the ring $R=k[X] /\left(X^{2}\right)$.

Proof. A representation $M$ of the clan $C$ consists of a finitedimensional vector space $V$, a subspace $S$ of $V$ and an isomorphism $M_{e}$ between $V / S$ and $S / 0=S$. The isomorphism $M_{e}$ gives $V$ the structure of a module over $R=k[X] /\left(X^{2}\right)$ with $\operatorname{ker} X=\operatorname{im} M$.

Such $R$-modules are exactly the free $R$-modules: Indeed, free $R$ modules obviously have the property that $\operatorname{ker} X=\operatorname{im} X$. Conversely, suppose $A$ is an $R$-module with $\operatorname{ker} X=\operatorname{im} X$. Let $X a_{i}$ be a vector space basis for $X A$ and set $B=\sum R a_{i}$. We first show that this sum is direct: if $\sum r_{i} a_{i}=0$, then $\sum r_{i} X a_{i}=0$ so $r_{i}=s_{i} X$ with $s_{i} \in k$ because the $X a_{i}$ are independent over $k$. So $\sum s_{i} X a_{i}=0$ whence
$s_{i}=0$ and thus $r_{i}=0$. Suppose $a \in A$. Then $X b=X a$ for some $b \in B$, so $X(b-a)=0$ whence $b-a \in X A=X B$ so $a \in B$.

Conversely, if $A$ is a free $R$-module, then setting $V=A$ and $S=X A$, and letting $M_{e}$ be the isomorphism of $A / X A$ and $X A$ induced by $X$, gives a representation of the clan $C$.

A map from a representation $M$ to a representation $M^{\prime}$ is a linear transformation $f: V \rightarrow V^{\prime}$ such that $f(S) \subset S^{\prime}$ and the diagram

where the vertical maps are induced by $f$, commutes. For $V=A$, this is exactly the condition that $X f=f X$, that is, that $f$ is a map of $R$-modules.

The element $X \in R$ is a nonzero zero-divisor, hence by Theorem 2, induces a map from $R$ to $R$ that does not have a kernel in the category of free $R$-modules.
4. Simple degree-2 clans. We now restrict ourselves to clans whose graphs are simple (no loops or multiple edges), and particularly to those whose vertices have degree at most two. Here are the diagrams of three such clans:


For simple clans, $\operatorname{dim} \operatorname{Hom}(A, B) \leq 1$ if $A$ and $B$ are indecomposable representations. In fact, $\operatorname{dim} \operatorname{Hom}\left(I_{e^{\prime}}, I_{e}\right)=1$ exactly when $e=e^{\prime}$ or $e=e(v, i)$ and $e^{\prime}=e(v, j)$ for some vertex $v$ and $i<j$. Simple degree- 2 clans have the additional property that if $f$ and $g$ are maps between irreducible representations, and $f g \neq 0$, then either $f$ or $g$ is an isomorphism.
In a representation of any one of the three clans above, we can ignore the spaces on the end vertices because they are forced to be isomorphic
to certain quotients. In the middle, denote the spaces on the top vertices by $V$ and $W$ with subspaces $S$ and $T$ at the bottom vertices. For the first clan we have an isomorphism between $V / S$ and $W / T$, in the second an isomorphism between $S$ and $T$, and in the third an isomorphism between $V / S$ and $T$. Representations of the third clan may be identified with representations of the quiver $\cdot \rightarrow \cdot$, hence form an abelian category.
The second clan is clearly dual to the first. The third is self dual because if we turn it upside down (duality) and then reverse the vertices (just change the picture) we are back where we started. We leave it to the reader to show directly that the category of the second clan is pre-abelian-kernels are easy, cokernels a little harder. This result will be a consequence of the general theory developed in the following sections. We will also see that

is pre-abelian, while

is not.
5. A more abstract setting. With the model of simple degree-2 clans in mind, the remainder of the paper is devoted to the study of an arbitrary additive $k$-category $\mathcal{C}$ such that:

- $\mathcal{C}$ has a finite number of indecomposables $I_{1}, \ldots, I_{n}$ up to isomorphism,
- $\operatorname{dim} \operatorname{Hom}\left(I_{i}, I_{j}\right) \leq 1$ for $i, j \in N=\{1, \ldots, n\}$.
- Every object in $\mathcal{C}$ is a finite direct sum of indecomposables,
- the product of any two maps between indecomposables of $\mathcal{C}$ is zero unless one of the maps is an isomorphism.

Let $\mathcal{I}$ be the full subcategory $\left\{I_{1}, \ldots, I_{n}\right\}$ of $\mathcal{C}$. We say that $i \in N$ is a predecessor of $j \in N$, or that $j$ is a successor of $i$, if $i \neq j$ and
$\operatorname{Hom}\left(I_{i}, I_{j}\right) \neq 0$. We write this as $i \rightarrow j$. In this way we turn $N$ into a directed graph (digraph). For the five clans of the preceding section, if we number the edges (and thus $\mathcal{I}$ ) from left to right, these digraphs are $1 \leftarrow 2 \rightarrow 3,1 \rightarrow 2 \leftarrow 3,1 \leftarrow 2 \leftarrow 3,1 \leftarrow 2 \leftarrow 3 \rightarrow 4$ and $1 \leftarrow 2 \leftarrow 3 \leftarrow 4$.

For $i \in N$, an object in $\mathcal{C}$ is said to be $i$-homogeneous if it is a direct sum of indecomposables isomorphic to $I_{i}$. The full subcategory $\mathcal{C}_{i}$ of $i$-homogeneous objects is abelian: in fact $\mathcal{C}_{i}$ is equivalent to the category of finite-dimensional vector spaces over $k$. When operating totally within $\mathcal{C}_{i}$, we may proceed as if the objects were vector spaces.

Each object $A$ in $\mathcal{C}$ can be decomposed as $A=\bigoplus_{i \in N} A_{i}$ where $A_{i}$ is $i$ homogeneous. The summand $A_{i}$ is not unique unless $A_{j}=0$ whenever $i \rightarrow j$, but it is unique up to isomorphism. The subset

$$
\operatorname{spt} A=\left\{i \in N: A_{i} \neq 0\right\}
$$

is independent of the particular decomposition of $A$.

Theorem 4. Every map in $\mathcal{I}$ has a kernel in $\mathcal{C}$ if and only if the digraph $N$ has no paths of length 3 . In that case, if $j \rightarrow k$, then $\bigoplus_{i \rightarrow j} I_{i}$ is the kernel of any nonzero map $I_{j} \rightarrow I_{k}$.

Proof. Let $f: I_{j} \rightarrow I_{k}$ be a nonzero map and $\varphi: C=\bigoplus_{i} C_{i} \rightarrow I_{j}$. If $C_{i}$ has a summand isomorphic to $I_{i} \bigoplus I_{i}$ or if $C_{i} \neq 0$ and $\varphi_{i}=0$, then the zero map $I_{i} \rightarrow I_{j}$ factors in two ways through $\varphi$. If $\varphi_{j} \neq 0$, then $f \varphi \neq 0$. If $i \rightarrow j$ and $\varphi_{j}=0$, and a nonzero map from $I_{i}$ to $I_{j}$ factors through $\varphi$, then $C_{i} \neq 0$. So if $\varphi$ is a kernel of $f$, then $C$ is isomorphic to $\bigoplus_{i \rightarrow j} I_{i}$.

If $C$ is isomorphic to $\bigoplus_{i \rightarrow j} I_{i}$ and $l \rightarrow i \rightarrow j$, then the zero map from $I_{l}$ to $I_{j}$ factors in two ways through $\varphi$. So if $\varphi$ is a kernel of $f$, then no predecessor of $j$ can have a predecessor. Hence, if every map in $\mathcal{I}$ has a kernel in $\mathcal{C}$, then there are no paths of length 3 in $N$.

Finally, suppose there are no paths of length 3 in $N$. To show that $\varphi: \bigoplus_{i \rightarrow j} I_{i} \rightarrow I_{j}$ is a kernel of $f$, it suffices to show that a map $\theta: I_{l} \rightarrow I_{j}$, such that $f \theta=0$, factors uniquely through $\varphi$. Because no predecessor of $j$ can have a predecessor, if $\lambda: I_{l} \rightarrow \bigoplus_{i \rightarrow j} I_{i}$ is nonzero, then $l \rightarrow j$ and $\varphi \lambda \neq 0$. So if $\theta=0$, then $\theta$ factors uniquely through $\varphi$. If $\theta \neq 0$ and $f \theta=0$, then $l \rightarrow j$ so $\theta$ factors uniquely through $\varphi$.

As the path condition on the digraph $N$ is self-dual, it is also equivalent to the condition that every map in $\mathcal{I}$ has a cokernel in $\mathcal{C}$. We want to show that the path condition implies that every map in $\mathcal{C}$ has a kernel, hence that every map in $\mathcal{C}$ has a cokernel, so $\mathcal{C}$ is pre-abelian. This will give us a simple criterion for the category $\mathcal{C}$ to be pre-abelian.
6. Covers and kernels. Let $M$ be a subset of $N$. A map $g: K \rightarrow A$ is an $M$-kernel of the map $f: A \rightarrow B$ if
(1) $f g=0$,
(2) $\operatorname{spt} K \subset M$ and
(3) if $g^{\prime}: K^{\prime} \rightarrow A$ where $f g^{\prime}=0$ and $\operatorname{spt} K^{\prime} \subset M$, then $g^{\prime}=g h$ for a unique $h: K^{\prime} \rightarrow K$.
It follows easily that $M$-kernels are unique up to isomorphism (if they exist). Note that it suffices to check Condition 3 for $K^{\prime}$ indecomposable.

Define $\lambda(i)$ for $i \in N$ to be the length of a maximal chain of successors starting at $i$. Thus $\lambda(i)=0$ if $i$ has no successors, $\lambda(i)=\infty$ if there is a path from $i$ to a circuit and $\lambda(i)=\sup _{i \rightarrow j}(1+\lambda(j))$.
We will show that $M$-kernels exist when $M=\{i\}$ and when $M=$ $N_{m}=\{i \in N: \lambda(i) \leq m\}$ for $m=0,1$ and 2 . Proving that $N_{2}$-kernels exist will complete the proof that $\mathcal{C}$ is pre-abelian exactly when there are no paths of length 3 in $N$.

For $A \in \mathcal{C}$ and $i \in N$, we say that $\varphi: C_{i}(A) \rightarrow A$ is an $i$-cover if
(1) $C_{i}(A)$ is $i$-homogeneous, and
(2) any map from an $i$-homogeneous object $J$ to $A$ factors uniquely through $\varphi$.
It suffices to verify Condition 2 for $J=I_{i}$. Note that an $i$-cover of $A$ is the same as an $i$-kernel of the map $A \rightarrow 0$.

Theorem 5. For each $A \in \mathcal{C}$ and $i \in N$, there is an $i$-cover of $A$.

Proof. It suffices to take $A$ indecomposable, say $A=I_{j}$. If $\operatorname{Hom}\left(I_{i}, I_{j}\right)=0$, then let $C_{i}\left(I_{j}\right)=0$. Otherwise, let $C_{i}\left(I_{j}\right)=I_{i}$ and $\varphi: C_{i}\left(I_{j}\right) \rightarrow I_{j}$ any nonzero map. Then $\varphi$ induces a nonzero linear transformation $\operatorname{Hom}\left(I_{i}, I_{i}\right) \rightarrow \operatorname{Hom}\left(I_{i}, I_{j}\right)$, which must be an
isomorphism because both spaces have dimension 1 .

Note that $C_{i}(A)=A_{i} \oplus \bigoplus_{i \rightarrow j} C_{i}\left(A_{j}\right)$. If we choose a particular cover for each object, we get a functor $C_{i}$ because any map $A \rightarrow B$ composes to give a map $C_{i}(A) \rightarrow B$ which factors uniquely through $C_{i}(B)$.

Theorem 6. For each $i \in N$, every map in $\mathcal{C}$ has an $i$-kernel.

Proof. If $A \rightarrow B$ is a map in $\mathcal{C}$, let $K_{i}$ be the kernel in $\mathcal{C}_{i}$ of the induced map $C_{i}(A) \rightarrow C_{i}(B)$. The composite map $K_{i} \rightarrow C_{i}(A) \rightarrow A$ is easily seen to be an $i$-kernel of $A \rightarrow B$.

That takes care of $M$-kernels for $M=\{i\}$. Just as easy are $N_{0^{-}}$ kernels.

Theorem 7. Every map in $\mathcal{C}$ has an $N_{0}$-kernel.

Proof. Any map $\varphi: A \rightarrow B$ in $\mathcal{C}$ restricts to maps $\varphi_{k}: A_{k} \rightarrow B_{k}$ for each $k \in N_{0}$. Let $K_{k}$ be the kernel in $\mathcal{C}_{k}$ of $\varphi_{k}$. The induced map $\bigoplus_{k \in N_{0}} K_{k} \rightarrow A$ is an $N_{0}$-kernel of $\varphi$.

Note that the $N_{0}$-kernel, unlike the $i$-kernel, is always a summand of $A$. The next theorem establishes that $N_{1}$-kernels exist, and paves the way to showing that $N_{2}$-kernels exist.

Lemma 1. Let $\varphi: A \rightarrow B$ be a map in $\mathcal{C}$ and $j \in N_{1}$. Let $K_{j}$ be the $j$-kernel of $\varphi$ and $K_{k}$ the kernel in $\mathcal{C}_{k}$ of the restriction of $\varphi$ to $A_{k}$. Then
(1) $K_{j} \cap \bigoplus_{j \rightarrow k} C_{j}\left(A_{k}\right)=\bigoplus_{j \rightarrow k} K_{j} \cap C_{j}\left(A_{k}\right)$,
(2) $C_{j}\left(K_{k}\right)=K_{j} \cap C_{j}\left(A_{k}\right)$ and
(3) if $K_{j}^{\prime}$ is a complement of $K_{j} \cap \bigoplus_{j \rightarrow k} C_{j}\left(K_{k}\right)$ in $K_{j}$, then $K_{j}^{\prime} \rightarrow A$ is a summand (has a left inverse).
Moreover, we can choose $A_{j}$ so that $K_{j}^{\prime}$ is a summand of it.

Proof. In $\mathcal{C}_{j}$ we have the diagram

$$
\begin{aligned}
& C_{j}(A)=A_{j} \oplus \bigoplus_{j \rightarrow k} C_{j}\left(A_{k}\right) \\
& C_{j}(B)=B_{j} \oplus \bigoplus_{j \rightarrow k} C_{j}\left(B_{k}\right)
\end{aligned}
$$

As $k \in N_{0}$, the vertical map takes $C_{j}\left(A_{k}\right)$ to $C_{j}\left(B_{k}\right)$ because $\varphi\left(A_{k}\right) \subset$ $B_{k}$. The kernel of the vertical map is $K_{j}$, so that establishes 1. To see 2 , note that $C_{j}\left(K_{k}\right)$ is the kernel in $\mathcal{C}_{j}$ of $C_{j}\left(A_{k}\right) \rightarrow C_{j}\left(B_{k}\right)$ as the sequence $K_{k} \rightarrow A_{k} \rightarrow B_{k}$ is split exact. For 3 , as $K_{j}^{\prime} \cap \bigoplus_{j \rightarrow k} C_{j}\left(K_{k}\right)=$ 0 , the map $K_{j}^{\prime} \rightarrow A_{j}$ induced by $K_{j} \rightarrow A$ and projection onto $A_{j}$ has zero kernel. Let $g$ be the left inverse of the map $K_{j}^{\prime} \rightarrow A_{j}$, and define the map $\theta: A \rightarrow K_{j}^{\prime}$ to be $g$ on $A_{j}$ and zero on $A_{l}$ for $l \neq j$. Then $\theta$ is a left inverse of $K_{j}^{\prime} \rightarrow A$.

The final claim follows from a fact about vector spaces: If $K \subset Q \oplus R$, then there exists $f: Q \rightarrow R$ so that if $(q, r) \in K$, then $(q, f(q)) \in K$. The function $f$ gives another decomposition $Q \oplus R=Q^{\prime} \oplus R$ where $Q^{\prime}=\{(q, f(q)): q \in Q\}$, so that $K \cap\left(Q^{\prime} \oplus R\right)=K \cap Q^{\prime} \oplus K \cap R$.

Theorem 8. Let $\varphi: A \rightarrow B$ be a map in $\mathcal{C}$, and decompose $A=\bigoplus_{i \in N} A_{i}$ in accordance with the last line of Lemma 1. Then the summand $K_{N_{1}}=\bigoplus_{k \in N_{0}} K_{k} \oplus \bigoplus_{j \in N_{1} \backslash N_{0}} K_{j}^{\prime}$ of $A$ is an $N_{1}$-kernel of $\varphi$.

Proof. Let $j \in N_{1}$ and $\theta: I_{j} \rightarrow A$ be such that $\varphi \theta=0$. If $j \in N_{0}$, then $\theta$ maps $I_{j}$ into the $N_{0}$-kernel $\bigoplus_{k \in N_{0}} K_{k} \subset K_{N_{1}}$. If $j \in N_{1} \backslash N_{0}$, then $\theta$ lifts to a map into the $j$-kernel $K_{j}=K_{j}^{\prime} \oplus K_{j} \cap \bigoplus_{j \rightarrow k} C_{j}\left(K_{k}\right)$ of $\varphi$, hence maps into $K_{N_{1}}$.

Corollary 1. Let $\varphi: A \rightarrow B$. Let $K_{k}^{\prime}=K_{k}$ for $k \in N_{0}$, so $K_{N_{1}}=$ $\bigoplus_{j \in N_{1}} K_{j}^{\prime}$. For $i \in N_{2} \backslash N_{1}$, define $K_{1}^{\prime}$ so that $K_{i}=K_{i}^{\prime} \oplus \bigoplus_{i \rightarrow j} C_{i}\left(K_{j}^{\prime}\right)$. then

$$
K_{N_{2}}=\bigoplus_{i \in N_{2}} K_{i}^{\prime}=K_{N_{1}} \oplus \bigoplus_{i \in N_{2} \backslash N_{1}} K_{i}^{\prime}
$$

is an $N_{2}$-kernel of $\varphi$.

Proof. Note that while $K_{N_{1}}$ is a summand of $A$, the external direct $\operatorname{sum} \bigoplus_{i \in N_{2} \backslash N_{1}} K_{i}^{\prime}$ maps into $A$ via $K_{i}^{\prime} \rightarrow K_{i} \rightarrow C_{i}(A) \rightarrow A$, and this latter map need not have any component in $A_{i}$. To show that $K_{N_{2}}$ is an $N_{2}$-kernel, let $\theta: I_{i} \rightarrow A$ with $\varphi \theta=0$. If $i \in N_{1}$, then $I_{i}$ can't map into $\bigoplus_{i \in N_{2} \backslash N_{1}} K_{i}^{\prime}$, so $\theta: I_{i} \rightarrow A$ lifts uniquely to a map into $K_{N_{2}}$. If $i \in N_{2} \backslash N_{1}$, then $\theta$ lifts uniquely to map into $K_{i}=K_{i}^{\prime} \oplus \bigoplus_{i \rightarrow j} C_{i}\left(K_{j}^{\prime}\right)$ hence to $K_{i}^{\prime} \oplus \bigoplus_{i \rightarrow j} K_{j}^{\prime} \subset K_{N_{2}}$. The composite map into $K_{N_{2}}$ is unique because any map of $I_{i}$ into $K_{N_{2}}$ goes into $K_{i}^{\prime} \oplus \bigoplus_{i \rightarrow j} K_{j}^{\prime}$.

If there are no paths of length 3 in $N$, then $N_{2}$-kernels are kernels. Hence Corollary 1, together with Theorem 4, gives us:

Theorem 9. The category $\mathcal{C}$ is pre-abelian if and only if there are no paths of length 3 in $N$.
7. Abelian categories. We can also answer the question as to when $\mathcal{C}$ is abelian.

Theorem 10. The category $\mathcal{C}$ is abelian if and only if $N$ has no paths of length 3 and every edge of $N$ is in a path of length 2.

Proof. Suppose $\mathcal{C}$ is abelian. As $\mathcal{C}$ is pre-abelian, $N$ can have no paths of length 3 . Suppose $i \rightarrow j$ with $i$ having no predecessors and $j$ no successors. Then the kernel and cokernel of any nonzero map $I_{i} \rightarrow I_{j}$ would be zero, whence $\mathcal{C}$ would not be abelian.

Conversely, suppose $\mathcal{C}$ is pre-abelian and every edge of $N$ is in a path of length 2 . We will show that if the kernel and cokernel of $\varphi: A \rightarrow B$ are zero, then $\varphi$ is an isomorphism.

We first show that if $i \rightarrow j \rightarrow k$, then the map $\lambda=\pi_{B_{j}} \varphi \iota_{A_{j}}: A_{j} \rightarrow$ $B_{j}$ is an isomorphism. Suppose $\theta: I_{j} \rightarrow A_{j}$ and $\lambda \theta=0$. Let $\xi: I_{i} \rightarrow I_{j}$ be nonzero. Then $\varphi \iota_{A_{j}} \theta \xi=0$ because any map from $I_{i}$ through $A_{j}$ must go into $B_{j}$. As the kernel of $\varphi$ is zero, the map $\theta \xi$ is zero whence the map $\theta$ is also zero. Thus the kernel in $\mathcal{C}_{j}$ of $\lambda$ is zero. Similarly the cokernel in $\mathcal{C}_{j}$ of $\lambda$ is zero, so $\lambda$ is an isomorphism.
Now $\varphi: A_{j} \oplus C \rightarrow B_{j} \oplus D$, where $C=\bigoplus_{l \neq j} A_{l}$ and $D=\bigoplus_{l \neq j} B_{l}$, and the induced map $\lambda=\pi_{B_{j}} \varphi \iota_{A_{j}}: A_{j} \rightarrow B_{j}$ is an isomorphism. So
there exist complementary summands $C^{\prime}$ of $A_{j}$ and $B_{j}^{\prime}$ of $D$ so that $\varphi$ maps $A_{j}$ isomorphically onto $B_{j}^{\prime}$ and $\varphi\left(C^{\prime}\right) \subset D$. Indeed, $C^{\prime}$ is $C$ mapping into $A=A_{j} \oplus C$ by $\iota_{C}^{\prime}=\iota_{C}-\iota_{A} \lambda^{-1} \pi_{B_{j}} \varphi \iota_{C}$ and $B_{j}^{\prime}$ is $B_{j}$ mapping into $B$ by $\iota_{B}^{\prime}=\varphi \iota_{A_{i}} \lambda^{-1}$.

Passing to $C^{\prime}$ and $D$, we may assume that $A_{j}$ and $B_{j}$ are zero. Inducting, we may assume that each element of $\operatorname{spt} A \cup \operatorname{spt} B$ either has no predecessor or no successor. Thus any map between an indecomposable summand of $A$ and an indecomposable summand of $B$ is either zero or an isomorphism. It follows that $\varphi: A \rightarrow B$ is an isomorphism.
8. Prescribed digraphs. If $N$ is any digraph without loops or multiple edges, then there is a category $\mathcal{C}$, of the type described in Section 5, with $N$ as its digraph. Indeed we can take the objects of $\mathcal{C}$ to be finite sequences of vertices of $N$ and the maps to be matrices $\left(a_{i j}\right)$ over the field whose rows and columns are labeled, via a function $\nu$, by vertices of $N$. The restriction on the matrices is that $a_{i j}=0$ unless $\nu(i)=\nu(j)$ or $\nu(j) \rightarrow \nu(i)$. Multiplication of matrices is given by

$$
c_{i k}=\sum_{\substack{\nu(i)=\nu(j) \\ \nu(j)=\nu(k)}} a_{i j} b_{j k} .
$$

If $N$ is isomorphic to the digraph of a Section 5 category $\mathcal{C}$, then this construction produces a category equivalent to $\mathcal{C}$.

Not every digraph without loops or multiple edges is the digraph of a simple degree- 2 clan category. Indeed, the latter are characterized as those digraphs whose underlying graphs are simple, connected and have vertices of degree at most two (lines and circles).

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