

## WHEN DIVISIBILITY BY AN ELEMENT IMPLIES INVERTIBILITY

JOHN A. LEWALLEN

**ABSTRACT.** Let  $R$  be a commutative ring with unity and  $M_R$  a unital right  $R$ -module. Let  $x \in R$  and  $\rho_x : M_R \rightarrow M_R$  be given by  $\rho_x(m) = mx$  for all  $m \in M_R$ . Rings in which every nonzero module  $M$  has the property that if  $\rho_x$  is surjective then  $x$  is invertible in  $R$  are fully characterized.

**1. Introduction.** Throughout,  $R$  will denote a commutative ring with unity and  $M_R$  will denote a unitary right  $R$ -module. We will use  $M$  for  $M_R$  when the coefficient ring is obvious. We will also denote the right-multiplication map by an element  $x \in R$  with  $\rho_x : M_R \rightarrow M_R$  with  $\rho_x(m) = mx$  for all  $m \in M$ . When  $\rho_x$  is surjective then  $Mx = M$  and we say that  $M$  is *divisible by  $x$* .

Maxson presented the following situation. If  $R$  is nonlocal, then there exist noninvertible elements  $r$  and  $s$  such that  $r + s = 1$ . Suppose that  $f : M_R \rightarrow M_R$  is a homogeneous function (preserving scalar multiplication) and  $f$  is linear on submodules  $Mr$  and  $Ms$ . Calculations show that  $f$  will also be linear on  $M$ . A collection of proper submodules is said to *force linearity* if every homogeneous map which is linear on the collection of submodules is also linear on  $M$ . The *forcing linearity number* of  $M$ , is the minimum integer  $n$ , if one exists, such that a collection of  $n$  proper submodules forces linearity on  $M$ . Thus, assuming that  $Mr$  and  $Ms$  are both proper submodules, then in this case,  $M$  will have forcing linearity number of at most two. Maxson asked if one can describe when right multiplication by a ring element *onto* a module implies that the element is invertible. Hence, in this case, if  $R$  satisfied such a property then  $Mr$  and  $Ms$  would have to be proper submodules. To study this situation, the following terms are defined.

**Definition 1.** Let  $0 \neq M_R$  have the property that for all  $x \in R$ , if  $\rho_x$  is surjective, then  $x$  is invertible in  $R$ . Then  $M$  is an *OI  $R$ -module*.

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**Definition 2.** If every nonzero module of  $R$  is OI, then we say that  $R$  is an *OI ring*.

The first observation that needs to be made is that if  $x$  is invertible in  $R$ , then  $\rho_x$  is an isomorphism for any  $R$ -module. Thus, one could consider OI modules a generalization of Hopfian modules, that is, the class of modules in which every epimorphism is an isomorphism. In fact, the term OI comes from “onto implies invertible.” Also, if  $R$  is an OI ring and  $x \in R$  such that there exists a nonzero module for which  $\rho_x$  is surjective, then  $\rho_x$  is an isomorphism, and hence, surjective, on every  $R$ -module.

**1. Examples.** Clearly, the class of rings satisfying Definition 2 is not trivial because the class of fields falls into this category. Since the zero map is never a surjective map for nonzero modules, fields satisfy the property by default. The next example shows a nonfield, in fact a nondomain, which satisfies the property.

**Example 1.1.** Let  $0 \neq M$  be a  $\mathbf{Z}_4$ -module. Then for any  $m \in M$ ,  $4m = 4(m\bar{1}) = m\bar{4} = 0$ . So the order of  $m$  divides 4. Since  $M$  is a nonzero module, there must be at least one element of even order, not 1, so let  $y$  be an element of maximal even order. Thus, if  $y = m \cdot \bar{2} \in M \cdot \bar{2}$ , then  $m$  would have an even order greater than the order of  $y$  (since the order of  $y$  is half the order of  $m$ ). Since this contradicts  $y$  having maximal even order,  $y \notin M \cdot \bar{2}$ . Thus  $\rho_{\bar{2}}$  is not surjective. Clearly the zero map is not surjective. Thus only  $\rho_{\bar{1}}$  and  $\rho_{\bar{3}}$  could be surjective right multiplication maps. Since  $\bar{1}$  and  $\bar{3}$  are both invertible in  $\mathbf{Z}_4$ , then  $\mathbf{Z}_4$  is OI. A similar argument can be used to show that  $\mathbf{Z}_{p^n}$  is OI for any prime  $p$ .

**Example 1.2.** Consider  $\mathbf{Q}$  as a  $\mathbf{Z}$ -module. Since for all  $q \in \mathbf{Q}$ ,  $q/2 \cdot 2 = q$ , we have that  $\rho_2$  is surjective. However, 2 is not invertible in  $\mathbf{Z}$ , so  $\mathbf{Q}$  is not OI over  $\mathbf{Z}$  and therefore  $\mathbf{Z}$  is not OI.

Clearly,  $R$  is always an OI module over itself. The following example also illustrates an OI module over a ring which is not necessarily an OI ring.

**Example 1.3.** Let  $M_{\mathbf{Z}} = \sum \oplus \mathbf{Z}_p$  where  $p$  runs over all primes. Then,  $\rho_x$  is not surjective on  $M$  as long as  $x$  is divisible by some prime. Hence, the only surjective multiplication maps are  $\rho_1$  and  $\rho_{-1}$ . Since 1 and  $-1$  are both invertible in  $\mathbf{Z}$ ,  $M$  is OI over  $\mathbf{Z}$ .

**2. OI rings.** The following section fully characterizes OI rings. Inherent in the theory is the class of *quasilocal* rings, the class of rings which have a unique maximal ideal. Thus, one may think of these as local but not Noetherian. In a quasilocal ring, every element is either in the maximal ideal or is invertible.

In the following proposition, we make the observation that a nilpotent element can never produce a surjective multiplication map.

**Proposition 2.1.** *Let  $R$  be a quasilocal ring such that the maximal ideal is nil. Then  $R$  is an OI ring.*

*Proof.* Let  $x \in R$ , and let  $M_R$  be a nonzero  $R$ -module. Since  $R$  is quasilocal, either  $x$  is invertible or  $x$  is nilpotent. If  $x$  is nilpotent such that  $x^n = 0$ , then  $\rho_x$  being surjective implies  $M = Mx = Mx^n = 0$ . Thus,  $\rho_x$  is never surjective for a nilpotent  $x$ . Thus, if  $\rho_x$  is surjective on  $M$ , then  $x$  must be invertible and  $R$  is OI.  $\square$

**Proposition 2.2.** *Let  $R$  be an OI ring. Then  $N = \{x \in R \mid x \text{ is not invertible}\}$  is an ideal of  $R$ .*

*Proof.* Let  $x, y \in N$  and  $r \in R$ . Then if  $xr \notin N$ , then  $xrz = 1$  for some  $z \in R$ . Hence,  $x$  is invertible which contradicts  $x \in N$ . Thus  $xr \in N$ . Suppose  $x - y \notin N$ . Since  $x \in N$ ,  $\rho_x : Rx_R \rightarrow Rx_R$  is not surjective, that is,  $Rx^2 \subset Rx$ , provided that  $Rx \neq 0$ . Suppose  $0 \neq M = Rx/Rx^2$ . Let  $\overline{rx} \in M$ . Then  $\overline{(-rx(x-y)^{-1})y} = \overline{-rxy(x-y)^{-1}} = \overline{rx^2(x-y)^{-1} - rxy(x-y)^{-1}} = \overline{rx(x-y)(x-y)^{-1}} = \overline{rx}$ . Thus  $\rho_y : M \rightarrow M$ , is surjective and hence  $y \notin N$ . To avoid contradiction, we have that either  $x - y \in N$  or  $Rx = 0$ . If the latter, then we can repeat the same argument with  $M = Ry/Ry^2$  provided that  $Ry \neq 0$ . Thus, either  $x - y \in N$  or  $Rx = Ry = 0$ . In the latter case we have that

$R(x - y) = 0$  and hence  $x - y$  is clearly not invertible. Thus  $x - y \in N$  and  $N \triangleleft R$ .  $\square$

**Proposition 2.3.** *Let  $R$  be an OI ring. Then  $R$  is quasilocal.*

*Proof.* Let  $I \triangleleft R$  with  $I \not\subseteq N$ . Then for any  $x \in I - N$ ,  $x$  is invertible. Hence,  $1 \in I$  and  $I = R$ . So either  $I = R$  or  $I = N$ . Thus,  $N$  is a maximal ideal of  $R$ . If we let  $I$  be another maximal ideal, then the previous argument shows that  $I = N$  and  $N$  is the unique maximal ideal.  $\square$

**Theorem 2.4.** *A ring  $R$  is OI if and only if  $R$  is quasilocal with a nil maximal ideal.*

*Proof.* Let  $R$  be OI, and let  $u \in R$ . Let  $I$  be the ideal of  $R[x]$  generated by  $1 - ux$ , and define  $M = R[x]/I$  as a quotient of rings. For any  $\overline{p(x)} \in M$  with  $p(x) = a_n x^n + \cdots + a_0$ , we have that  $p(x) = a_n x^{n+1}u + a_n x^n(1 - ux) + a_{n-1}x^n u + a_{n-1}x^{n-1}(1 - ux) + \cdots + a_0 x u + a_0(1 - ux)$ . Thus,  $\overline{p(x)} = \overline{a_n x^{n+1}u + a_{n-1}x^n u + \cdots + a_0 x u} \in Mu$ . Hence,  $\rho_u : M \rightarrow M$  is a surjective map. Since  $R$  is OI, either  $u$  is invertible, or  $M = 0$ . So suppose that  $\langle 1 - ux \rangle_R = R[x]$ . Since for all  $p(x) \in R[x]$ ,  $p(x) = q(x)(1 - ux)$ , we have that  $q(x)(1 - ux) = 1$  for some  $q(x) \in R[x]$ . So  $(a_0 + \cdots + a_n x^n)(1 - ux) = a_0 + (a_1 - a_0 u)x + \cdots + (a_n - a_{n-1}u)x^n - (a_n u)x^{n+1} = 1$ . So  $a_0 = 1$ ,  $a_1 = u$ ,  $a_2 = u^2$ , and so forth, so that  $a_n = u^n$  and  $u^{n+1} = 0$ . Thus  $u$  is nilpotent. Hence, we have that  $R$  is quasilocal, and if  $u$  is not invertible, then it is nilpotent, making the maximal ideal,  $N$  a nil ideal. The converse is given by Proposition 2.1.  $\square$

This completes the characterization of OI rings. A classification in terms of  $R$  can still be made of OI modules.

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DEPARTMENT OF MATHEMATICS, SOUTHEASTERN LOUISIANA UNIVERSITY,  
HAMMOND, LA 70402  
*E-mail address:* jlewallen@selu.edu