

## ON THE DYNAMICS OF THE $d$ -TUPLES OF $m$ -ISOMETRIES

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**ABSTRACT.** A commuting  $d$ -tuple  $T = (T_1, \dots, T_d)$  of bounded linear operators on a Hilbert space  $\mathcal{H}$  is called a spherical  $m$ -isometry if  $\sum_{j=0}^m (-1)^j \binom{m}{j} Q_T^j(I) = 0$ , where  $I$  denotes the identity operator and  $Q_T(A) = \sum_{i=1}^d T_i^* A T_i$  for every bounded linear operator  $A$  on  $\mathcal{H}$ . Also,  $T$  is called a toral  $m$ -isometry if  $\sum_{p \in \mathbb{N}^d, 0 \leq p \leq n} (-1)^{|p|} \binom{n}{p} T^{*p} T^p = 0$  for all  $n \in \mathbb{N}^d$  with  $|n| = m$ . The present paper mainly focuses on the convex-cyclicity of the  $d$ -tuples of operators on a separable infinite-dimensional Hilbert space  $\mathcal{H}$ . In particular, we prove that spherical  $m$ -isometries are not convex-cyclic. Also, we show that toral and spherical  $m$ -isometric operators are never supercyclic.

**1. Introduction and preliminaries.** Let  $\mathcal{H}$  be a separable infinite-dimensional complex Hilbert space and  $\mathcal{B}(\mathcal{H})$  the space of all bounded linear operators on  $\mathcal{H}$ . An operator  $T \in \mathcal{B}(\mathcal{H})$  is called an  $m$ -isometry ( $m \in \mathbb{N}$ ), if it satisfies the following property:

$$(1.1) \quad (yx - 1)^m(T) := \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*k} T^k = 0.$$

Since  $(yx - 1)^m(T)$  is a self-adjoint operator, we observe that  $T$  is an  $m$ -isometry if and only if, for each  $x \in \mathcal{H}$ ,

$$(1.2) \quad \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \|T^k x\|^2 = 0.$$

It is clear that the notions of 1-isometry and isometry coincide. The  $m$ -isometric operators were introduced by Agler [2] and were extensively

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studied by Agler and Stankus [3, 4, 5]. Recently, several authors studied  $m$ -isometries. In [41],  $m$ -isometric composition operators were discussed. Furthermore, the authors in [15] proved that the class of  $m$ -isometries on a Banach space is stable under powers; and the product of  $m$ -isometries was studied in [20]. In addition,  $m$ -isometric weighted shift operators were considered in [1, 18, 21, 29, 35]. On the other hand, the dynamics of  $m$ -isometries has been studied in [13, 14, 16, 28], and the perturbation of  $m$ -isometries by nilpotent operators has been explored in [17, 19, 30, 48]. Moreover, Duggal studied the tensor product of  $m$ -isometries [26, 27]. There are two natural generalizations of  $m$ -isometries to the tuple of operators. The first generalization is called spherical  $m$ -isometries. An initial study of such a tuple of operators on a Hilbert space is due to Gleason and Richter [33]. Hoffmann and Mackey [38] generalized the definition of spherical  $m$ -isometries on a normed space. Also, their relation with a moment problem was studied in [7]. Recently, the authors of [36] established some basic and non-trivial properties of spherical  $m$ -isometries. They proved that spherical  $m$ -isometries are power regular and are stable under powers and products under an orthogonality condition. Moreover, they showed that, for every proper spherical  $m$ -isometry  $T$ , there are linearly independent operators  $A_0, \dots, A_{m-1}$  such that  $Q_T^n(I) = \sum_{i=0}^{m-1} A_i n^i$  for every  $n \geq 0$ . For further references, the reader may consult [10, 11, 22, 23, 24, 45].

Given  $\alpha = (\alpha_1, \dots, \alpha_d) \in \mathbb{N}^d$ , we set

$$|\alpha| = \sum_{j=1}^d \alpha_j, \quad \alpha! = \alpha_1! \cdots \alpha_d!,$$

and  $T^\alpha = T_1^{\alpha_1} \cdots T_d^{\alpha_d}$ . For every tuple of commuting operators  $T = (T_1, \dots, T_d) \in \mathcal{B}(\mathcal{H})^d$ , there is a function  $Q_T : \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H})$  defined by  $Q_T(A) = \sum_{i=1}^d T_i^* A T_i$ . It is easy to see that  $Q_T^j(I) = \sum_{|\alpha|=j} (j!/\alpha!) T^{*\alpha} T^\alpha$ ,  $j \geq 1$ , where  $T^* = (T_1^*, \dots, T_d^*)$ . For each  $m \geq 0$ , denote  $(I - Q_T)^m(I)$  by  $P_m(T)$ , in other words,

$$P_m(T) = \sum_{j=0}^m (-1)^j \binom{m}{j} Q_T^j(I).$$

A commuting  $d$ -tuple  $T = (T_1, \dots, T_d)$  is said to be a spherical  $m$ -

isometry, if  $P_m(T) = 0$ . When  $m = 1$ , it is called a *spherical isometry*. It is shown in [33] that a  $d$ -shift operator, which played a role in the dilation of  $d$ -contractions (also called row contractions), is a spherical  $d$ -isometry. Note that

$$(1.3) \quad P_{n+1}(T) = P_n(T) - Q_T(P_n(T))$$

for all  $n \geq 0$ . Now, observe that, if  $T$  is a commuting tuple of operators on  $\mathcal{H}$  and  $P_m(T) = 0$ , then  $P_{m+n}(T) = 0$  for all  $n \geq 0$ . Hence, if  $T$  is a spherical  $m$ -isometry, then  $T$  is a spherical  $(m + n)$ -isometry for all  $n \geq 0$ . For a spherical  $m$ -isometry  $T$ , define

$$\Delta_{T,m} := (-1)^{m-1} P_{m-1}(T).$$

It is proven that, if  $T$  is a spherical  $m$ -isometry for some  $m \geq 0$ , then  $\Delta_{T,m}$  is a positive operator (see [33, Proposition 2.3]).

The second generalization of  $m$ -isometries is called toral  $m$ -isometries. Let  $n = (n_1, \dots, n_d)$  and  $p = (p_1, \dots, p_d)$  be in  $\mathbb{N}^d$ . We write  $p \leq n$  if  $p_j \leq n_j$  for  $j = 1, \dots, d$ , and we also let

$$\binom{n}{p} = \prod_{j=1}^d \binom{n_j}{p_j}.$$

A commuting  $d$ -tuple  $T = (T_1, \dots, T_d)$  is said to be a *toral  $m$ -isometry* if

$$(1.4) \quad B_{n,m}(T) := \sum_{\substack{p \in \mathbb{N}^d \\ 0 \leq p \leq n}} (-1)^{|p|} \binom{n}{p} T^{*p} T^p = 0$$

for all  $n \in \mathbb{N}^d$  with  $|n| = m$ . Toral  $m$ -isometries were introduced and studied in [12, 23]. Note that, if  $T$  is a toral  $m$ -isometry, then each  $T_i$ ,  $i = 1, \dots, d$ , is an  $m$ -isometry. Indeed, let  $n$  be a  $d$ -tuple of non-negative integers with  $m$  in the  $i$ th place and zeros elsewhere. Then, (1.4) shows that  $T_i$  is an  $m$ -isometry. The following example shows that the converse is not true.

**Example 1.1.** Let  $\ell^2(\mathbb{N})$  be the Hilbert space of complex sequences indexed by  $\mathbb{N}$  such that  $\sum_{n=1}^\infty |\alpha_n|^2 < \infty$ . For  $\alpha = (\alpha_n)_{n=1}^\infty$  in  $\ell^2(\mathbb{N})$ , let  $T_1$  be the unilateral weighted shift operator defined by  $T_1 e_n = \omega_n e_{n+1}$  and  $T_2$  the unilateral weighted shift operator defined by  $T_2 e_n = \nu_n e_{n+1}$ ,

where  $\{e_n\}_{n=1}^\infty$  is the canonical orthonormal basis in  $\ell^2(\mathbb{N})$  and

$$(\omega_n)_{n \geq 1} := \sqrt{\frac{n+1}{n}} \quad \text{and} \quad (\nu_n)_{n \geq 1} := \sqrt{\frac{n+2}{n+1}}.$$

Since

$$\|T_i^2 e_n\|^2 - 2\|T_i e_n\|^2 + 1 = 0, \quad i = 1, 2,$$

for all  $n \geq 1$ , we conclude that  $T_1$  and  $T_2$  are 2-isometry. However, simple computation shows that, for  $T = (T_1, T_2)$ ,  $\langle B_{n,2}(T)e_1, e_1 \rangle = -1/4 \neq 0$ , where  $n = (1, 1)$ , and thus,  $T$  is not a toral 2-isometry.

In the next proposition, we observe that a  $d$ -tuple of operators in a length of more than one cannot simultaneously be spherical and toral  $m$ -isometry. In order to see this, we need the following result, obtained in [6].

**Lemma 1.2** ([6, Theorem 3.1]). *Let  $(a_n)_{n \in \mathbb{N}}$  be a sequence of real numbers and  $m \in \mathbb{N}$ . Then, we have*

$$\sum_{k=0}^m (-1)^k \binom{m}{k} a_{n+k} = 0 \quad \text{for all } n \in \mathbb{N},$$

*if and only if there exists a polynomial function  $f$  of degree less than or equal to  $m - 1$  with  $f(n) = a_n$  for all  $n \in \mathbb{N}$ .*

**Proposition 1.3.** *There is no  $d$ -tuple of simultaneously spherical and toral  $m$ -isometry when  $d > 1$ .*

*Proof.* Assume that  $d > 1$  and  $T = (T_1, \dots, T_d)$ . To keep the exposition simple, let  $d = 2$ . It is straightforward to verify that

(1.5)

$$\begin{aligned} & \sum_{\substack{n=(n_1, n_2) \\ |n|=m+1}} \sum_{\substack{p \in \mathbb{N}^2 \\ 0 \leq p=(p_1, p_2) \leq n}} (-1)^{|p|} \binom{n_1}{p_1} \binom{n_2}{p_2} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\ &= \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{p=(p_1, p_2) \in \mathbb{N}^2 \\ 0 \leq p_1 \leq n_1+1 \\ 0 \leq p_2 \leq n_2}} (-1)^{|p|} \binom{n_1+1}{p_1} \binom{n_2}{p_2} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \end{aligned}$$

$$+ \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{p=(p_1, p_2) \in \mathbb{N}^2 \\ 0 \leq p_1 \leq n_1 \\ 0 \leq p_2 \leq n_2 + 1}} (-1)^{|p|} \binom{n_1}{p_1} \binom{n_2 + 1}{p_2} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2}.$$

Let  $S = (1/\sqrt{2})T$ . Then, an induction argument on  $m$  shows that

$$(1.6) \quad P_m(S) = \frac{1}{2^m} \sum_{\substack{|n|=m \\ p \in \mathbb{N}^2 \\ 0 \leq p \leq n}} (-1)^{|p|} \binom{n}{p} T^{*p} T^p.$$

Indeed, the above equality holds for  $m = 1$ . On the other hand, by (1.3), (1.5) and the induction hypothesis, we get

$$\begin{aligned} P_{m+1}(S) &= P_m(S) - Q_S(P_m(S)) \\ &= \frac{1}{2^m} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{p \in \mathbb{N}^2 \\ 0 \leq p=(p_1, p_2) \leq n}} \\ &\quad \cdot (-1)^{|p|} \binom{n}{p} \left[ T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} - \frac{1}{2} T_1^{*p_1+1} T_2^{*p_2} T_1^{p_1+1} T_2^{p_2} \right. \\ &\quad \left. - \frac{1}{2} T_1^{*p_1} T_2^{*p_2+1} T_1^{p_1} T_2^{p_2+1} \right] \\ &= \frac{1}{2^m} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{p \in \mathbb{N}^2 \\ 0 \leq p=(p_1, p_2) \leq n}} (-1)^{|p|} \binom{n}{p} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\ &\quad + \frac{1}{2^{m+1}} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{0 \leq p_1 \leq n_1 + 1 \\ 0 \leq p_2 \leq n_2}} \\ &\quad \cdot (-1)^{|p|} \binom{n_1}{p_1 - 1} \binom{n_2}{p_2} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\ &\quad + \frac{1}{2^{m+1}} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{0 \leq p_1 \leq n_1 \\ 0 \leq p_2 \leq n_2 + 1}} \\ &\quad \cdot (-1)^{|p|} \binom{n_1}{p_1} \binom{n_2}{p_2 - 1} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{2^m} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{p \in \mathbb{N}^2 \\ 0 \leq p=(p_1, p_2) \leq n}} (-1)^{|p|} \binom{n}{p} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\
 &+ \frac{1}{2^{m+1}} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{0 \leq p_1 \leq n_1+1 \\ 0 \leq p_2 \leq n_2}} \\
 &\cdot (-1)^{|p|} \left[ \binom{n_1+1}{p_1} - \binom{n_1}{p_1} \right] \binom{n_2}{p_2} T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\
 &+ \frac{1}{2^{m+1}} \sum_{\substack{n=(n_1, n_2) \\ |n|=m}} \sum_{\substack{0 \leq p_1 \leq n_1 \\ 0 \leq p_2 \leq n_2+1}} \\
 &\cdot (-1)^{|p|} \binom{n_1}{p_1} \left[ \binom{n_2+1}{p_2} - \binom{n_2}{p_2} \right] T_1^{*p_1} T_2^{*p_2} T_1^{p_1} T_2^{p_2} \\
 &= \frac{1}{2^{m+1}} \sum_{\substack{n=(n_1, n_2) \\ |n|=m+1}} \sum_{\substack{p \in \mathbb{N}^2 \\ 0 \leq p \leq n}} (-1)^{|p|} \binom{n}{p} T^{*p} T^p.
 \end{aligned}$$

Therefore, if  $T$  is a spherical and toral  $m$ -isometry, then  $P_m(T) = P_m(S) = 0$ , and thus,

$$\sum_{j=0}^m (-1)^j \binom{m}{j} \langle Q_T^j(I)x, x \rangle = \sum_{j=0}^m (-1)^j \binom{m}{j} \langle Q_S^j(I)x, x \rangle = 0$$

for all  $x \in \mathcal{H}$ . Consequently,

$$\sum_{j=0}^m (-1)^j \binom{m}{j} \langle T_i^* Q_T^j(I) T_i x, x \rangle = 0$$

for all  $x \in \mathcal{H}$  and  $i = 1, 2$ . By summing up these two equalities, we get

$$\sum_{j=0}^m (-1)^j \binom{m}{j} \langle Q_T^{j+1}(I)x, x \rangle = 0$$

for all  $x \in \mathcal{H}$  and, by continuing this process, we conclude that

$$\sum_{j=0}^m (-1)^j \binom{m}{j} \langle Q_T^{j+k}(I)x, x \rangle = 0$$

for all  $x \in \mathcal{H}$  and  $k \geq 1$ . Now, by Lemma 1.2, the mappings  $j \rightarrow \langle Q_T^j(I)x, x \rangle$  and  $j \rightarrow \langle Q_S^j(I)x, x \rangle$  are polynomials in  $j$  of degree less than or equal to  $m - 1$ . However, since  $\langle Q_S^j(I)x, x \rangle = (1/2^j)\langle Q_T^j(I)x, x \rangle$ , we obtain a contradiction.  $\square$

If  $T = (T_1, \dots, T_d)$  is a  $d$ -tuple of operators, we denote the semigroup generated by  $T$  by  $\mathcal{F}_T = \{T_1^{k_1} T_2^{k_2} \dots T_d^{k_d}, k_i \geq 0, i = 1, \dots, d\}$  and the orbit of  $x$  under the tuple  $T$  by  $\text{Orb}(T, x) = \{Sx : S \in \mathcal{F}_T\}$ . A vector  $x \in \mathcal{H}$  is called a *hypercyclic vector* for  $T$  if  $\text{Orb}(T, x)$  is dense in  $\mathcal{H}$ , and, in this case, the tuple  $T$  is called *hypercyclic*. Also, a vector  $x \in \mathcal{H}$  is called a *supercyclic vector* for  $T$  if the set  $\{\lambda Sx : \lambda \in \mathbb{C}, S \in \mathcal{F}_T\}$  is dense in  $\mathcal{H}$ , and, in this case, the tuple  $T$  is called *supercyclic*. These definitions generalize the hypercyclicity and supercyclicity of a single operator to a tuple of operators.

Hypercyclicity on Banach spaces was discussed in 1969 by Rolewicz [44] who showed that, whenever  $|\lambda| > 1$ ,  $\lambda T$  is hypercyclic where  $T$  is the unilateral backward shift on  $\ell^p$  for  $1 \leq p \leq \infty$ . Kitai in her Ph.D. dissertation in 1982 [39], determined the conditions that ensure a continuous linear operator to be hypercyclic. In 1974, Hilden and Wallen [37] proved that every backward unilateral weighted shift is supercyclic. Moreover, they proved that no normal operator on a complex Hilbert space can be supercyclic. Later, Ansari and Bourdon [8] extended this to the class of all isometries on a Banach space. In 2012, Faghieh-Ahmadi and Hedayatian [28] proved that no  $m$ -isometry can be supercyclic; they showed that the orbit of each vector is norm increasing, except possibly for a finite number of terms. Bermúdez, Marrero and Martín [16] proved that, under a sufficient condition,  $m$ -isometric operators are not  $N$ -supercyclic (the operator  $A \in \mathcal{B}(\mathcal{H})$  is  $N$ -supercyclic if there exists an  $N$ -dimensional subspace  $E$  of  $\mathcal{H}$  such that its orbit under  $A$  is dense in  $\mathcal{H}$ ). Eventually, Bayart [13] showed that  $m$ -isometric operators are never  $N$ -supercyclic.

On the other hand, the dynamics of perturbation of  $m$ -isometries by nilpotent operators were considered in [17, 19, 30, 48]. Hypercyclicity of tuples of operators was first investigated by Feldman [31]. He showed that there are no hypercyclic tuples of normal operators on an infinite-dimensional Hilbert space, and he also proved that no hypercyclic tuples of subnormal operators have a commuting normal extension on an infinite-dimensional Hilbert space. In addition, the supercyclicity

of tuples of operators was first investigated by Soltani, Hedayatian and Khani-Robati [46]. They proved that there are no supercyclic subnormal tuples of operators in an infinite-dimensional Hilbert space. Recently, the authors in [10] proved that there is a supercyclic spherical isometric  $d$ -tuple on  $\mathbb{C}^d$ , but there is no supercyclic spherical isometry on an infinite-dimensional Hilbert space. Moreover, the supercyclicity of spherical isometries and toral 1-isometries on Banach spaces were investigated in [9].

In Section 3 of this paper, we will show that toral and spherical  $m$ -isometric operators are never supercyclic.

If  $E$  is a subset of  $\mathcal{H}$ , then the convex hull of  $E$ , denoted by  $\text{co}(E)$ , is the set of all convex combinations of members of  $E$ , that is, all finite linear combinations of the members of  $E$  where the coefficients are non-negative and their sum is one. An operator  $S \in \mathcal{B}(\mathcal{H})$  is called *convex-cyclic* if the convex hull generated by  $\text{Orb}(S, x)$  is dense in  $\mathcal{H}$  for some  $x \in \mathcal{H}$ . The concept of convex-cyclicity for a single operator was introduced by Rezaei [42] and has been studied in [14, 32, 40]. In the next section, we define the concept of convex-cyclicity of tuples of operators, and we give some necessary and sufficient conditions for a  $d$ -tuple of commuting operators on a Hilbert space  $\mathcal{H}$  to be convex-cyclic. We then show that spherical  $m$ -isometries are not convex-cyclic.

**2. Convex-cyclicity.** In this section, we give necessary and sufficient conditions for convex-cyclicity of the  $d$ -tuple of commuting operators. Let  $T = (T_1, \dots, T_d)$  be a  $d$ -tuple of bounded operators on a Hilbert space  $\mathcal{H}$ . The Harte spectrum of  $T$  is denoted by  $\sigma(T)$ ; recall that  $\lambda = (\lambda_1, \dots, \lambda_d) \notin \sigma(T)$  if and only if there exist bounded operators  $A_1, \dots, A_d, B_1, \dots, B_d$  on  $\mathcal{H}$  such that

$$\sum_{i=1}^d (T_i - \lambda_i) A_i = \sum_{i=1}^d B_i (T_i - \lambda_i) = I.$$

Note that  $\sigma(T)$  is compact and non-void. The spectral radius of  $T$  is

$$r_2(T) = \max\{\|\lambda\|_2 : \lambda \in \sigma(T)\},$$

where  $\|\lambda\|_2 = (\sum_{i=1}^d |\lambda_i|^2)^{1/2}$ . Also, let

$$r_\infty(T) = \max\{\|\lambda\|_\infty : \lambda \in \sigma(T)\},$$

where

$$\|\lambda\|_\infty = \|(\lambda_1, \dots, \lambda_d)\|_\infty = \max\{|\lambda_j| : 1 \leq j \leq d\}.$$

The unit polydisc in  $\mathbb{C}^d$  is denoted by  $\mathbb{D}^d$ :

$$\mathbb{D}^d = \{(z_1, \dots, z_d) : |z_j| < 1 \text{ for } j = 1, \dots, d\}.$$

A point  $\lambda = (\lambda_1, \dots, \lambda_d)$  of  $\mathbb{C}^d$  is said to be a *joint eigenvalue* of  $T$  if there exists a non-zero vector  $x$  such that  $T_i x = \lambda_i x$  for  $i = 1, 2, \dots, d$ . The *joint point spectrum* of  $T$ , denoted by  $\sigma_p(T)$ , is defined by

$$\sigma_p(T) = \{\lambda \in \mathbb{C}^d : \lambda \text{ is a joint eigenvalue for } T\}.$$

Now, we define the concept of convex-cyclicity for a  $d$ -tuple of operators.

**Definition 2.1.** The polynomial

$$p(x_1, \dots, x_d) = \sum_{k=0}^n \sum_{k_1 + \dots + k_d = k} a_{k_1, \dots, k_d} x_1^{k_1} x_2^{k_2} \dots x_d^{k_d}$$

of  $d$  variables  $x_1, \dots, x_d$  is a convex polynomial if the coefficients  $a_{k_1, \dots, k_d}$  are non-negative and

$$\sum_{k=0}^n \sum_{k_1 + \dots + k_d = k} a_{k_1, \dots, k_d} = 1.$$

If  $T = (T_1, \dots, T_d)$  is a  $d$ -tuple of operators, then the convex hull of an orbit is  $\text{co}(\text{Orb}(T, x)) = \{p(T)x : p \text{ is a convex polynomial}\}$ . We say that  $T$  is convex-cyclic if  $\text{co}(\text{Orb}(T, x))$  is dense in  $\mathcal{H}$  for some  $x \in \mathcal{H}$ .

The proof of the next result relies on the following lemma.

**Lemma 2.2.** *If  $\mathcal{H}$  is a Hilbert space and  $y, z \in \mathcal{H}$  are linearly independent, then the linear map  $\Lambda : \mathcal{H} \rightarrow \mathbb{C}^2$ , defined by  $\Lambda(x) = (\langle x, y \rangle, \langle x, z \rangle)$ , is continuous and onto.*

*Proof.* By the Cauchy-Schwarz inequality,  $\Lambda$  is continuous. We can assume that  $\|y\| = 1$ . Moreover, suppose that  $y^\perp \subset z^\perp$ ; therefore, if  $\langle x, y \rangle \neq 0$ , then  $x/\langle x, y \rangle - y \in y^\perp$ . Thus,  $\langle x, z \rangle = \langle x, \langle z, y \rangle y \rangle$ . In

addition, the last equality holds if  $x \in y^\perp$ . Hence,  $z = \langle z, y \rangle \cdot y$ , that is,  $y$  and  $z$  are linearly dependent. Therefore,  $y^\perp \not\subset z^\perp$ , and this implies that there is a  $v \in \mathcal{H}$  such that  $\langle v, y \rangle = 0$  and  $\langle v, z \rangle = 1$ . Similarly, there is a  $w \in \mathcal{H}$  such that  $\langle w, y \rangle = 1$  and  $\langle w, z \rangle = 0$ . Now, if  $(\lambda_1, \lambda_2) \in \mathbb{C}^2$ , then  $\Lambda(x) = (\lambda_1, \lambda_2)$ , where  $x = \lambda_1 w + \lambda_2 v$ , and the lemma follows.  $\square$

**Theorem 2.3.** *Suppose that  $T = (T_1, \dots, T_d)$  is a convex-cyclic commuting  $d$ -tuple of operators on a Hilbert space  $\mathcal{H}$ . Then, the following hold.*

- (a) *The joint  $\ell_\infty$ -spherical radius of  $T$ , i.e.,  $r_\infty(T)$  is greater than or equal to one. Consequently,  $\sigma(T) \cap (\mathbb{C}^d \setminus \mathbb{D}^d)$  is non-empty.*
- (b)  $\sigma_p(T^*) \cap (\overline{\mathbb{D}}^d \cup \mathbb{R}^d) = \emptyset$ .
- (c) *If  $\lambda = (\lambda_1, \dots, \lambda_d)$  and  $\gamma = (\gamma_1, \dots, \gamma_d)$  are in  $\sigma_p(T^*)$ , then there exists a  $1 \leq i \leq d$  such that  $\lambda_i \neq \overline{\gamma_i}$ .*
- (d)  *$T$  is not self-adjoint.*

*Proof.*

(a) Following [47],

$$r_\infty(T) = \lim_{k \rightarrow \infty} \|T^k\|_\infty^{1/k},$$

where

$$\|T^k\|_\infty = \max\{\|T_1^{k_1} \cdots T_d^{k_d}\| : k_1 + \cdots + k_d = k\}.$$

Let  $\text{co}(T_1, \dots, T_d) = \{p(T_1, \dots, T_d) : p \text{ is a convex polynomial}\}$ . It follows that  $\{\|S\| : S \in \text{co}(T_1, \dots, T_d)\}$  is bounded if  $r_\infty(T) < 1$ . Hence,  $r_\infty(T) \geq 1$  if  $T$  is convex-cyclic.

For simplicity, we only prove our results in (b), (c) and (d) for the case  $d = 2$ ; for other  $d$ s, the proof is similar. We also assume that  $x$  is a convex-cyclic vector for  $T$ .

(b) Assume to the contrary that  $\lambda = (\lambda_1, \lambda_2) \in \sigma_p(T^*) \cap (\overline{\mathbb{D}}^2 \cup \mathbb{R}^2)$ . Then, there exists a non-zero vector  $y \in \mathcal{H}$  such that  $(T_i^* - \lambda_i)y = 0$  for  $i = 1, 2$ . Hence, for every convex polynomial  $p$ ,

$$\begin{aligned} \langle y, p(T_1, T_2)x \rangle &= \langle p(T_1, T_2)^*y, x \rangle = \langle p(T_1^*, T_2^*)y, x \rangle \\ &= \langle p(\lambda_1, \lambda_2)y, x \rangle = p(\lambda_1, \lambda_2)\langle y, x \rangle. \end{aligned}$$

Since  $\langle y, \cdot \rangle : \mathcal{H} \rightarrow \mathbb{C}$  is continuous and onto, it maps the dense set  $\text{co}(\text{Orb}(T, x))$  onto a dense subset of  $\mathbb{C}$ . However, for any convex

polynomial  $p$ ,  $p(\mathbb{R}^2) \subseteq \mathbb{R}$  and  $p(\overline{\mathbb{D}}^2) \subseteq \overline{\mathbb{D}}$ . It follows that

$$\{p(\lambda_1, \lambda_2)\langle y, x \rangle : p \text{ is a convex polynomial}\}$$

is not dense in  $\mathbb{C}$ , and this is a contradiction.

(c) Assume, to the contrary, that  $\lambda, \gamma \in \sigma_p(T^*)$  and  $\lambda_i = \overline{\gamma_i}$  for  $i = 1, 2$ . Let  $(\beta_1, \beta_2) = \beta = \lambda = \overline{\gamma}$ . Then,  $\beta, \overline{\beta} \in \sigma_p(T^*)$ . Thus, there are  $y$  and  $z$  in  $\mathcal{H}$  such that  $T_i^*y = \beta_i y$  and  $T_i^*z = \overline{\beta_i}z$  for  $i = 1, 2$ . However, by part (b),  $\beta \notin \mathbb{R}^2$ . Thus,  $\beta \neq \overline{\beta}$ . Hence,  $y$  and  $z$  are linearly independent vectors. Now, for every convex polynomial  $p$ , we have

$$\langle p(T_1, T_2)x, y \rangle = \langle x, p(T_1^*, T_2^*)y \rangle = \langle x, p(\beta_1, \beta_2)y \rangle = p(\overline{\beta_1}, \overline{\beta_2})\langle x, y \rangle.$$

Also,

$$\langle p(T_1, T_2)x, z \rangle = \langle x, p(T_1^*, T_2^*)z \rangle = \langle x, p(\overline{\beta_1}, \overline{\beta_2})z \rangle = p(\beta_1, \beta_2)\langle x, z \rangle.$$

On the other hand, by Lemma 2.2 the linear map  $\Lambda : \mathcal{H} \rightarrow \mathbb{C}^2$  defined by  $\Lambda(h) = (\langle h, y \rangle, \langle h, z \rangle)$  is continuous and onto, so it maps the dense set  $\{p(T_1, T_2)x : p \text{ is a convex polynomial}\}$  onto a dense subset of  $\mathbb{C}^2$ . It follows that

$$\{p(\overline{\beta_1}, \overline{\beta_2})\langle x, y \rangle, p(\beta_1, \beta_2)\langle x, z \rangle : p \text{ is a convex polynomial}\}$$

must be dense in  $\mathbb{C}^2$ , and thus,  $\langle x, y \rangle$  and  $\langle x, z \rangle$  are non-zero. Hence, for every  $z_1$  and  $z_2$  in  $\mathbb{C}$ , there exists a convex polynomial  $p_n$  such that

$$p_n(\overline{\beta_1}, \overline{\beta_2}) \longrightarrow \frac{z_1}{\langle x, y \rangle} \quad \text{and} \quad p_n(\beta_1, \beta_2) \longrightarrow \frac{z_2}{\langle x, z \rangle}.$$

Put  $z_1 = z_2 \in \mathbb{R}$ . Therefore,  $\langle x, z \rangle = \overline{\langle x, y \rangle}$ , and consequently,  $\overline{z_2} = z_1$  for all  $z_1$  and  $z_2$  in  $\mathbb{C}$ , a contradiction. Hence, (c) holds.

(d) Assume that  $T$  is a self-adjoint 2-tuple. We have

$$\begin{aligned} \langle x, p(T_1, T_2)x \rangle &= \langle p(T_1, T_2)^*x, x \rangle = \langle p(T_1^*, T_2^*)x, x \rangle \\ &= \langle p(T_1, T_2)x, x \rangle = \overline{\langle x, p(T_1, T_2)x \rangle} \end{aligned}$$

for every convex polynomial  $p$ . This implies that  $\{\langle x, p(T_1, T_2)x \rangle : p \text{ is a convex polynomial}\}$  is not dense in  $\mathbb{C}$ , a contradiction. Therefore, (d) holds. □

**Remark 2.4.** In part (a) of the above theorem,  $\sigma(T)$  can be replaced by the Taylor spectrum or the joint approximate point spectrum of  $T$  since the convex hull of all of these spectra coincide [25].

We say that  $T = (T_1, \dots, T_d)$  is convex-transitive if, for all non-empty open subsets  $U$  and  $V$  of  $\mathcal{H}$ , there exists a  $d$ -variable convex polynomial  $p$  such that  $p(T_1, \dots, T_d)(U) \cap V \neq \emptyset$ . Moreover,  $T$  satisfies the convex-cyclicity criterion if there exist two dense subsets  $Y$  and  $Z$  in  $\mathcal{H}$ , a sequence  $\{p_k\}$  of  $d$ -variable convex polynomials, and a sequence of maps  $s_k : Z \rightarrow \mathcal{H}$  such that

- (a)  $p_k(T_1, \dots, T_d)y \rightarrow 0$  for every  $y \in Y$ ;
- (b)  $s_k z \rightarrow 0$  for every  $z \in Z$ ;
- (c)  $p_k(T_1, \dots, T_d)s_k z \rightarrow z$  for every  $z \in Z$ .

In the next theorem, we will consider the relationship between convex-transitivity and convex-cyclicity criterion with convex-cyclicity.

**Theorem 2.5.** *Suppose that  $T = (T_1, \dots, T_d)$  is a commuting  $d$ -tuple of operators on  $\mathcal{H}$ . Then, the following hold.*

- (a) *If  $T$  satisfies the convex-cyclicity criterion, then  $T$  is convex-transitive.*
- (b) *If  $T$  is convex-transitive, then  $T$  is convex-cyclic.*
- (c) *If  $T$  is convex-cyclic and  $\sigma_p(T_i^*) = \emptyset$  for  $i = 1, \dots, d$ , then  $T$  is convex-transitive.*

*Proof.*

(a) Let  $U$  and  $V$  be two non-empty open subsets in  $\mathcal{H}$ , and let  $Y, Z, p_k$  and  $s_k$  be those obtained by the property of the convex-cyclicity criterion for  $T$ . Pick  $y \in Y \cap U$  and  $z \in Z \cap V$ . Then,

$$y_k := y + s_k(z) \longrightarrow y \in U$$

and

$$p_k(T_1, \dots, T_d)y_k \longrightarrow z \quad \text{as } k \rightarrow \infty.$$

Therefore,  $p_k(T_1, \dots, T_d)(U) \cap V \neq \emptyset$ , if  $k$  is large enough. This implies that  $T$  is convex-transitive.

(b) Let  $(V_j)_{j \in \mathbb{N}}$  be a countable basis for the topology of  $\mathcal{H}$ . Each  $x \in \mathcal{H}$  is a convex-cyclic vector for  $T$  if  $\{p(T_1, \dots, T_d)x : p \in \mathcal{P}\}$  is dense

in  $\mathcal{H}$ , where  $\mathcal{P}$  is a collection of convex polynomials in  $d$ -variables, that is,

$$x \in \bigcap_{j \in \mathbb{N}} \bigcup_{p \in \mathcal{P}} p(T_1, \dots, T_d)^{-1}(V_j).$$

The convex-transitivity of  $T$  implies that, for every non-empty open set  $U$ , there exists a convex polynomial  $p \in \mathcal{P}$  such that  $p(T_1, \dots, T_d)^{-1}(V_j) \cap U \neq \emptyset$ , for any  $j \in \mathbb{N}$ . It follows that, for each  $j \in \mathbb{N}$ ,

$$\bigcup_{p \in \mathcal{P}} p(T_1, \dots, T_n)^{-1}(V_j)$$

is a dense open subset in  $\mathcal{H}$ . Now, by the Baire category theorem,

$$\bigcap_{j \in \mathbb{N}} \bigcup_{p \in \mathcal{P}} p(T_1, \dots, T_d)^{-1}(V_j)$$

is dense in  $\mathcal{H}$ , which implies that  $T$  is convex-cyclic.

(c) Let  $T$  be convex-cyclic with convex-cyclic vector  $x$ . Since  $\sigma_p(T_i^*) = \emptyset$  for  $i = 1, \dots, d$ ,  $p(T_1, \dots, T_d)$  has a dense range, and  $p(T_1, \dots, T_d)x$  is a convex-cyclic vector for every convex polynomial  $p$ . It follows that  $T$  has a dense subset of convex-cyclic vectors in  $\mathcal{H}$ . Now, let  $U$  and  $V$  be two non-empty open subsets of  $\mathcal{H}$ . Choose a convex-cyclic vector  $x \in U$  such that  $p(T_1, \dots, T_d)x \in V$  for some convex polynomial  $p$ . Hence,  $p(T_1, \dots, T_d)U \cap V \neq \emptyset$ , and thus,  $T$  is convex-transitive. □

**Corollary 2.6.** *If  $T = (T_1, \dots, T_d)$  satisfies the convex-cyclicity criterion, then  $S = (T_1 \oplus T_1, \dots, T_d \oplus T_d)$  also satisfies the convex-cyclicity criterion. Hence,  $S$  is convex-cyclic.*

**Remark 2.7.** Let  $a$  and  $b$  be relatively prime integers, both greater than 1, and  $T = (T_1, T_2, T_3) = (aI_1, 1/bI_1, e^{i\theta}I_1)$ , where  $I_1$  is the identity operator on  $\mathbb{C}$  and  $\theta$  is an irrational multiple of  $\pi$ . Then,  $T$  is convex-transitive on  $\mathbb{C}$ , but  $T$  does not satisfy the convex-cyclicity criterion. Indeed, let  $U$  and  $V$  be two non-empty open sets in  $\mathbb{C}$ . Let  $z_0$  be a non-zero vector in  $U$ . Since

$$\left\{ \frac{a^n}{b^k} e^{im\theta} z_0 : n, k, m \in \mathbb{N} \right\}$$

is dense in  $\mathbb{C}$ , there are  $n_0, k_0, m_0 \in \mathbb{N}$  such that

$$\frac{a^{n_0}}{b^{k_0}} e^{im_0\theta} z_0 \in V.$$

Put  $p(z_1, z_2, z_3) = z_1^{n_0} z_2^{k_0} z_3^{m_0}$ . Then,  $p$  is a convex polynomial and  $p(T)(U) \cap V \neq \emptyset$ . It follows that  $T$  is convex-transitive. However,  $T$  does not satisfy the convex-cyclicity criterion since  $(T_1 \oplus T_1, T_2 \oplus T_2, T_3 \oplus T_3) = (aI_2, 1/bI_2, e^{i\theta} I_2)$  is not convex-cyclic on  $\mathbb{C}^2$ , where  $I_2$  is the identity operator on  $\mathbb{C}^2$ .

**Corollary 2.8.** *Suppose that  $A$  and  $B$  are convex-cyclic operators and  $C$  is an operator that commutes with  $B$  and  $\sigma_p(C^*) = \emptyset$ . If  $T_1 = A \oplus C$  and  $T_2 = I \oplus B$ , then the pair  $(T_1, T_2)$  is convex-cyclic.*

*Proof.* Let  $x$  be a convex-cyclic vector for  $A$  and  $y$  a convex-cyclic vector for  $B$ . We show that  $x \oplus y$  is a convex-cyclic vector for the pair  $(T_1, T_2)$ . Let  $U$  and  $V$  be two non-empty open sets. There is a convex polynomial  $p_0$  such that  $p_0(A)x \in U$ . Moreover,  $p_0(C)$  has dense range. Indeed, we can factor  $p_0(C)$  as  $p_0(C) = a(C - \mu_1) \cdots (C - \mu_d)$ , where  $a \neq 0$  and  $\mu_1, \dots, \mu_d \in \mathbb{C}$ . Since  $\sigma_p(C^*) = \emptyset$ , each  $C - \mu_i$  has dense range, and hence,  $p_0(C)$  has dense range as well. Thus,  $p_0(C)^{-1}(V)$  is a non-empty open set, so there exists a convex-polynomial  $p_1$  such that  $p_1(B)(y) \in p_0(C)^{-1}(V)$ . Hence,

$$p_0(T_1)p_1(T_2)(x \oplus y) = p_0(A)x \oplus p_0(C)p_1(B)y \in U \times V.$$

This implies that  $(T_1, T_2)$  is convex-cyclic. □

**Remark 2.9.** The authors showed in [14] that there is a convex-cyclic operator  $S$  such that  $\sigma_p(S^*) = \emptyset$ , but  $S^2$  is not convex-cyclic. Let  $A = B = S$  and  $C = S^2$  in the above corollary. Thus, we obtain a convex-cyclic pair  $(T_1, T_2)$  such that  $T_1$  and  $T_2$  are not convex-cyclic. As another example, let  $C = M_\varphi^*$ , where  $M_\varphi$  is the multiplication operator by  $\varphi$  on the Hardy space  $\mathcal{H}^2(\mathbb{D})$  with  $\varphi(z) = z$ . Moreover,  $A = B = M_\psi^*$  is convex-cyclic where  $\psi(z) = 2z$ . Indeed,  $M_\psi^*$  is hypercyclic [34, Theorem 4.5]. Hence,  $T_1$  and  $T_2$  are not convex-cyclic, but the pair  $(T_1, T_2)$  is convex-cyclic.

The following result is a generalization of [14, Proposition 2.4].

**Proposition 2.10.** *If  $T = (T_1, \dots, T_d)$  is a convex-cyclic  $d$ -tuple of commuting operators on  $\mathcal{H}$  and  $c_i > 1$  for  $i = 1, \dots, d$ , then  $S = (c_1T_1, \dots, c_dT_d)$  is also convex-cyclic.*

*Proof.* Let  $x$  be a convex-cyclic vector for  $T$  and  $y$  any non-zero vector in  $\mathcal{H}$ . Since,  $c_i > 1$  we have

$$\sup\{\operatorname{Re}\langle Ax, y \rangle : A \in \mathcal{F}_S\} \geq \sup\{\operatorname{Re}\langle Ax, y \rangle : A \in \mathcal{F}_T\}.$$

Now, the Riesz representation theorem coupled with [14, Proposition 2.1] completes the proof.  $\square$

The next theorem states that spherical  $m$ -isometries are not convex-cyclic.

**Theorem 2.11.** *Let  $T = (T_1, \dots, T_d)$  be a  $d$ -tuple of commuting operators on  $\mathcal{H}$ . If  $T$  is a spherical  $m$ -isometry, then  $T$  is not convex-cyclic.*

*Proof.* We proceed by induction on  $m$ . If  $m = 1$ , then  $T$  is a spherical isometry, and so,  $\operatorname{co}(\operatorname{Orb}(T, x))$  lies in  $\operatorname{ball}(0, \|x\|)$ , and hence, is not dense in  $\mathcal{H}$ . Therefore,  $T$  cannot be convex-cyclic. Let  $m \geq 2$  and  $T = (T_1, \dots, T_d)$  be a spherical  $m$ -isometry. We consider the semi-inner product

$$\langle\langle x, y \rangle\rangle = \langle P_{m-1}(T)x, y \rangle, \quad x, y \in \mathcal{H},$$

with semi-norm  $\|\cdot\|$ . Note that  $\Delta_{T,m} = (-1)^{m-1}P_{m-1}(T)$  is a positive operator [33, Proposition 2.3], and let

$$N = \{x \in \mathcal{H} : \langle\langle x, x \rangle\rangle = 0\} = \ker P_{m-1}(T).$$

Moreover, define the inner product  $\langle \cdot, \cdot \rangle'$  on  $\mathcal{H}/N$  by

$$\langle x + N, y + N \rangle' = \langle\langle x, y \rangle\rangle.$$

In order to see that  $\langle \cdot, \cdot \rangle'$  is well defined, suppose that  $x_1 + N = x_2 + N$  and  $y_1 + N = y_2 + N$ . Hence,

$$\begin{aligned} \langle P_{m-1}(T)x_1, y_1 \rangle &= \langle P_{m-1}(T)x_1 - P_{m-1}(T)x_2 + P_{m-1}(T)x_2, y_1 \rangle \\ &= \langle x_2, P_{m-1}(T)y_1 \rangle = \langle x_2, P_{m-1}(T)y_2 \rangle \\ &= \langle P_{m-1}(T)x_2, y_2 \rangle. \end{aligned}$$

Then,  $\mathcal{H}/N$  equipped with  $\langle \cdot, \cdot \rangle'$  is a Hilbert space (we can consider its completion, if needed). Now, if  $\tilde{T} = (\tilde{T}_1, \dots, \tilde{T}_d)$  is the tuple induced by  $T$  on  $\mathcal{H}/N$ , then, by [33, Proposition 2.4],  $T_i(\ker P_{m-1}(T)) \subseteq \ker P_{m-1}(T)$  for each  $T_i$ , and thus,  $\tilde{T}$  is well defined. Furthermore,  $\tilde{T}$  is a spherical isometry on  $\mathcal{H}/N$  equipped with the norm  $|\cdot|'$ . In fact, since

$$P_m(T) = P_{m-1}(T) - Q_T(P_{m-1}(T)),$$

we have

$$\begin{aligned} \sum_{j=1}^d |\tilde{T}_j(x + N)|'^2 &= \sum_{j=1}^d \langle P_{m-1}(T)T_jx, T_jx \rangle \\ &= \sum_{j=1}^d \langle T_j^* P_{m-1}(T)T_jx, x \rangle \\ &= \langle Q_T(P_{m-1}(T))x, x \rangle \\ &= \langle (P_{m-1}(T) - P_m(T))x, x \rangle \\ &= \langle P_{m-1}(T)x, x \rangle = |x + N|'^2 \end{aligned}$$

On the contrary, suppose that  $x \in \mathcal{H}$  is a convex-cyclic vector for  $T$ . Now, if  $p$  is a convex-cyclic polynomial and  $y \in \mathcal{H}$ , then

$$\begin{aligned} |\tilde{y} - p(\tilde{T})\tilde{x}|' &= |y - p(T)x + N|' = |||y - p(T)x||| \\ &\leq \|P_{m-1}(T)\|^{1/2} \|y - p(T)x\|. \end{aligned}$$

This implies that  $\tilde{x} = x + N$  is a convex-cyclic vector for  $\tilde{T}$ , a contradiction. □

Note that, since the weak closure and norm closure of a convex set coincide, we have the following result.

**Corollary 2.12.** *No spherical  $m$ -isometry is weakly hypercyclic.*

**3. Supercyclicity.** The norm of every convex-cyclic operator is greater than one [42, Proposition 3.2]. Thus, if an operator  $T \in \mathcal{B}(\mathcal{H})$  is supercyclic, then the operator  $T/1 + \|T\|$  is supercyclic but not convex-cyclic. On the other hand, Bermúdez, et al. [14] have shown that certain diagonalizable normal operators are convex-cyclic while

they are never supercyclic [37]. It was proven in Theorem 2.11 that spherical  $m$ -isometries are not convex-cyclic. Thus, they are not hypercyclic. It is natural to seek their supercyclicity. In the following, we prove that toral and spherical  $m$ -isometric operators are not supercyclic. Note that, for  $S \in \mathcal{B}(\mathcal{H})$ , we define  $\beta_\ell(S) = (1/\ell!)(yx - 1)^\ell(S)$  for  $\ell \geq 0$ . Using the notion  $\beta_\ell(S)$ , if  $S \in \mathcal{B}(\mathcal{H})$  is an  $m$ -isometry,

$$\|S^k x\|^2 = \sum_{\ell=0}^{m-1} k^{(\ell)} \langle \beta_\ell(S)x, x \rangle,$$

where  $k^{(\ell)} = k \cdot (k - 1) \cdots (k - \ell + 1)$  for  $\ell \geq 1$ ,  $k \geq 0$  and  $k^{(0)} = 1$  (see [3, equation (1.3)]).

**Theorem 3.1.** *Let  $T = (T_1, \dots, T_d)$  be a  $d$ -tuple of commuting operators on  $\mathcal{H}$ . If*

- (a)  $T$  is a toral  $m$ -isometry,

or

- (b)  $T$  is a spherical  $m$ -isometry,

then  $T$  is not supercyclic.

*Proof.*

(a) If  $T$  is a toral  $m$ -isometry, then each  $T_i$ ,  $i = 1, \dots, d$ , is an  $m$ -isometry. Note that we can assume that the  $T_i$ s are also invertible since if, for example,  $T_1$  is not invertible, then  $(T_1, \dots, T_d)$  and  $(T_2, \dots, T_d)$  are either both supercyclic or are non-supercyclic. Indeed, every  $m$ -isometric operator is injective and has closed range [3]; consequently,  $\text{ran} \overline{T_1} = \text{ran} T_1 \neq \mathcal{H}$ . Suppose that  $x_0$  is a supercyclic vector for  $T$ , and let

$$A = \{\lambda T_1^{k_1} T_2^{k_2} \cdots T_d^{k_d} x_0 : \lambda \in \mathbb{C}, k_i > 0, i = 2, 3, \dots, d\}$$

and

$$B = \{\lambda T_2^{k_2} \cdots T_d^{k_d} x_0 : \lambda \in \mathbb{C}, k_i \geq 0, i = 2, 3, \dots, d\}.$$

Hence,  $\mathcal{H} = \overline{A \cup B}$  and  $\text{int}(A) = \emptyset$ ; thus,  $\mathcal{H} = \overline{B}$ .

To simplify notation, assume that  $d = 2$ . On the contrary, suppose that  $T = (T_1, T_2)$  is supercyclic with supercyclic vector  $x$ . Therefore, for  $y \in \mathcal{H}$ , there are two sequences of non-negative integers  $(k_i)_i$  and

$(s_i)_i$  and one sequence of scalars  $(\lambda_i)_i$  such that  $\lambda_i T_1^{k_i} T_2^{s_i} x \rightarrow y$ . Since  $\|T_1^{k_i} x\|^2 = \sum_{\ell=0}^{m-1} k_i^{(\ell)} \langle \beta_\ell(T_1)x, x \rangle$ , we have

$$\begin{aligned}
 \|T_1^{k_i} T_2^{s_i} x\|^2 &= \sum_{\ell=0}^{m-1} k_i^{(\ell)} \frac{1}{\ell!} \sum_{j=0}^{\ell} (-1)^{\ell-j} \binom{\ell}{j} \langle (T_1^j)^* T_1^j T_2^{s_i} x, T_2^{s_i} x \rangle \\
 (3.1) \qquad &= \sum_{\ell=0}^{m-1} \sum_{j=0}^{\ell} k_i^{(\ell)} \frac{1}{\ell!} (-1)^{\ell-j} \binom{\ell}{j} \|T_2^{s_i} T_1^j x\|^2 \\
 &= \sum_{\ell=0}^{m-1} \sum_{j=0}^{\ell} \sum_{\ell'=0}^{m-1} k_i^{(\ell)} \frac{1}{\ell!} (-1)^{\ell-j} \binom{\ell}{j} s_i^{(\ell')} \langle \beta_{\ell'}(T_2) T_1^j x, T_1^j x \rangle \\
 &= \sum_{\ell=0}^{m-1} \sum_{j=0}^{\ell} \sum_{\ell'=0}^{m-1} \sum_{n=0}^{\ell'} k_i^{(\ell)} s_i^{(\ell')} \frac{1}{\ell!} \frac{1}{\ell'!} \\
 &\quad \cdot (-1)^{\ell-j} (-1)^{\ell'-n} \binom{\ell}{j} \binom{\ell'}{n} \|T_2^n T_1^j x\|^2.
 \end{aligned}$$

This shows that  $\|T_1^{k_i} T_2^{s_i} x\|^2$  is a polynomial of two variables,  $k_i$  and  $s_i$ , with leading coefficient

$$\sum_{j=0}^{m-1} \sum_{n=0}^{m-1} \frac{(-1)^{n+j}}{((m-1)!)^2} \binom{m-1}{j} \binom{m-1}{n} \|T_2^n T_1^j x\|^2.$$

Therefore,

$$0 \leq \lim_{i \rightarrow \infty} \frac{\|T_1^{k_i} T_2^{s_i} x\|^2}{k_i^{(m-1)} s_i^{(m-1)}} = \sum_{j=0}^{m-1} \sum_{n=0}^{m-1} \frac{(-1)^{n+j}}{((m-1)!)^2} \binom{m-1}{j} \binom{m-1}{n} \|T_2^n T_1^j x\|^2.$$

On the other hand, (3.1) implies that

$$\begin{aligned}
 &\|T_1^{k_i+1} T_2^{s_i} x\|^2 - \|T_1^{k_i} T_2^{s_i} x\|^2 \\
 &= \sum_{\ell=0}^{m-1} \sum_{j=0}^{\ell} \sum_{\ell'=0}^{m-1} [(k_i + 1)^{(\ell)} - k_i^{(\ell)}] \frac{1}{\ell!} (-1)^{\ell-j} \binom{\ell}{j} s_i^{(\ell')} \langle \beta_{\ell'}(T_2) T_1^j x, T_1^j x \rangle \\
 &= \sum_{\ell=0}^{m-1} \sum_{j=0}^{\ell} \sum_{\ell'=0}^{m-2} [(k_i + 1)^{(\ell)} - k_i^{(\ell)}] \frac{1}{\ell!} (-1)^{\ell-j} \binom{\ell}{j} s_i^{(\ell')} \langle \beta_{\ell'}(T_2) T_1^j x, T_1^j x \rangle
 \end{aligned}$$

$$\begin{aligned}
 & + \sum_{\ell=0}^{m-2} \sum_{j=0}^{\ell} [(k_i + 1)^{(\ell)} - k_i^{(\ell)}] \frac{1}{\ell!} \\
 & \cdot (-1)^{\ell-j} \binom{\ell}{j} s_i^{(m-1)} \langle \beta_{m-1}(T_2) T_1^j x, T_1^j x \rangle \\
 & + \sum_{j=0}^{m-1} [(k_i + 1)^{(m-1)} - k_i^{(m-1)}] \frac{1}{(m-1)!} \\
 & \cdot (-1)^{m-1-j} \binom{m-1}{j} s_i^{(m-1)} \langle \beta_{m-1}(T_2) T_1^j x, T_1^j x \rangle.
 \end{aligned}$$

Thus,

$$\begin{aligned}
 & \lim_{i \rightarrow \infty} \frac{\|T_1^{k_i+1} T_2^{s_i} x\|^2 - \|T_1^{k_i} T_2^{s_i} x\|^2}{s_i^{(m-1)} [(k_i + 1)^{(m-1)} - k_i^{(m-1)}]} \\
 & = \sum_{j=0}^{m-1} \frac{1}{(m-1)!} (-1)^{m-1-j} \binom{m-1}{j} \langle \beta_{m-1}(T_2) T_1^j x, T_1^j x \rangle \\
 & = \sum_{j=0}^{m-1} \sum_{n=0}^{m-1} \frac{(-1)^{n+j}}{((m-1)!)^2} \binom{m-1}{j} \binom{m-1}{n} \|T_2^n T_1^j x\|^2 \\
 & \geq 0.
 \end{aligned}$$

Set

$$a_i = \frac{\|T_1^{k_i+1} T_2^{s_i} x\|^2 - \|T_1^{k_i} T_2^{s_i} x\|^2}{s_i^{(m-1)} [(k_i + 1)^{(m-1)} - k_i^{(m-1)}]};$$

therefore,  $(a_i)_i$  has a subsequence  $(a_{i_j})_j$  such that the entire sequence  $(a_{i_j})_j$  is negative or non-negative. Without loss of generality, we denote this subsequence by  $(a_i)_i$ . Now, if all  $a_i$ s are negative, then

$$\|y\| = \lim_{i \rightarrow \infty} |\lambda_i| \|T_1^{k_i} T_2^{s_i} x\| \geq \lim_{i \rightarrow \infty} |\lambda_i| \|T_1^{k_i+1} T_2^{s_i} x\| = \|T_1 y\|.$$

This shows that  $T_1$  is a contraction, and thus,  $T_1$  is an isometry (see [28, Corollary 1]). On the other hand, if all  $a_i$ s are non-negative, then

$$\|y\| = \lim_{i \rightarrow \infty} |\lambda_i| \|T_1^{k_i} T_2^{s_i} x\| \leq \lim_{i \rightarrow \infty} |\lambda_i| \|T_1^{k_i+1} T_2^{s_i} x\| = \|T_1 y\|.$$

Since the inverse of every  $m$ -isometric operator is an  $m$ -isometry, the above relation shows that  $T_1^{-1}$  is a contraction  $m$ -isometry, which, in turn, implies that  $T_1$  is an isometry. A similar argument shows

that  $T_2$  is also an isometry, which is a contradiction (see [9] or [10, Proposition 1]).

(b) If  $T$  is a spherical  $m$ -isometry, then, by (1.6),  $\sqrt{d}T$  is a total  $m$ -isometry. The proof follows immediately from part (a).  $\square$

Since the unilateral weighted backward shift operators are supercyclic, the following corollary is a consequence of Theorem 3.1.

**Corollary 3.2.** *Let  $B$  be a weighted backward shift on  $\mathcal{H}$  and  $T = (B, T_1, \dots, T_d)$ . Then,  $T$  is neither spherical  $m$ -isometry nor total  $m$ -isometry.*

**Remark 3.3.** Suppose that the  $d$ -tuple  $T = (T_1, \dots, T_d)$  is convex-cyclic and each  $T_i$  is an  $m$ -isometry. Similar to the proof for supercyclicity in Theorem 3.1 (a), we can assume that each  $T_i$  is invertible. Suppose that each  $T_i$ ,  $i = 1, \dots, d$ , is a 2-isometry; thus,  $\|T_i^2 x\|^2 - 2\|T_i x\|^2 + \|x\|^2 = 0$  for all  $x \in \mathcal{H}$ . By replacing  $x$  by  $T_i^{-1}x$ , we conclude that  $T_i^{-1}$  is also a 2-isometry. Hence,  $\|T_i x\| \geq \|x\|$  and  $\|T_i^{-1}x\| \geq \|x\|$  for all  $x \in \mathcal{H}$  (see [3] or [43, Lemma 1]) which, in turn, imply that each  $T_i$  is an isometry. This contradicts the convex-cyclicity of  $T$ . A question remains: if each  $T_i$ ,  $i = 1, \dots, d$ , is an  $m$ -isometry for some  $m > 2$ , is the  $d$ -tuple  $T = (T_1, \dots, T_d)$  convex-cyclic?

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