## **BOUNDEDNESS FOR BLOCH FUNCTIONS**

## **R.C. GOOLSBY**

ABSTRACT. Two theorems concerning the boundedness for certain functions in the Bergman space for the unit disc are proven. Theorem 1. If f is in the Bergman space so that  $|f(z)| \leq m$  for all z in the crescent region bounded by |z| < 1 and |z-x| < 1-x,  $0 < x \leq 1/2$ , then  $|f(z)| \leq m$  for all z in the unit disc. Theorem 2. If f is a Bloch function so that  $\limsup_{z \to a} |f(z)| \leq m$  for all but a finite number of a's in the boundary of the unit disc, then f(z) is bounded on the unit disc.

**Introduction.** The Bergman *p*-space for the open unit disc  $\Delta$  is the closure of the analytic functions in  $L^p(\Delta, dA)$  where dA is area measure. In this paper the relationships between integrability and boundedness on  $\Delta$  will be investigated. Let  $A_p(\Delta)$  denote the Bergman *p*-space,  $p \ge 1$ .

It is clear (maximum modulus theorem) that if  $f \in A_1(\Delta)$  and f is bounded on the annular region bounded by |z| = 1 and |z| = r, r < 1, then f is bounded on  $\Delta$ . However, for  $f \in A_1(\Delta)$  and f bounded on the open crescent region bounded by |z| = 1 and |z - x| = 1 - x for  $0 < x \le 1/2$ , it is not clear that f(z) is bounded on  $\Delta$ . This will be shown to be true as a corollary of a stronger result for crescent regions.

This result represents the interplay between the maximum modulus theorem and integrability. It is conjectured by the author that if  $f \in A_1(\Delta)$  and  $\limsup_{z \to a} |f(z)| < M$  for all but a finite number of points  $a \in \partial \Delta$ , then f is bounded. This conjecture will be shown to be true for the space of Bloch functions for  $\Delta$ .

Notations & Definitions. Throughout this paper  $\Delta$  will be used for the open unit disc and G will be the crescent region bounded by |z| = 1 and |z - x| = 1 - x where  $0 \le x \le 1/2$ . Let  $U = \Delta/\overline{G}$ . Then  $\partial U$  is parametrized by  $\Gamma(\theta) = x + (1 - x)e^{i\theta}$  where  $0 \le \theta < 2\pi$ . The closure of the analytic polynomials in the Bergman *p*-space for G will be denoted by  $H_p(G)$ . The standard Hardy *p*-space for the unit circle will be given by  $H_p(\partial \Delta)$ .

Secondary Classification: 30D50.

Received by the editors on January 14, 1985, and in revised form on April 16, 1985. *Primary Subject Classification*: 30C80, 30D60.

Key Words and Phrases: Bergman Space, Bloch function, Normal function.

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DEFINITION 1.0. An analytic function f on  $\Delta$  is a Bloch function provided

$$\sup_{z\in \mathcal{A}}|f'(z)|(1-|z|^2)<\infty.$$

DEFINITION 2.0. An analytic function f on  $\Delta$  is of Bounded Mean Oscillation with respect to area measure provided

$$\sup_{B(a,r)} \frac{1}{\pi r^2} \int_{\mathcal{A}} |f(z) - f(a)| \, dA < \infty, \ B(a,r) \subset \mathcal{A}.$$

DEFINITION 3.0. A meromorphic function f on  $\Delta$  is normal provided

$$\sup_{z\in\mathcal{J}} (1-|z|^2) \frac{|f'(z)|}{1+|f(z)|^2} < \infty.$$

## **Results.**

THEOREM 1.20. If  $f(z) \in H_p(G)$ ,  $p \ge 1$  and  $|f(z)| \le M$  for all  $z \in G$ , then the analytic extension of f to  $\Delta$  is bounded on  $\Delta$ .

The proof of Theorem 1.20 relies on the following theorem for crescent regions by James Brennan.

THEOREM 1.0. [Thm 5:11, 3] Let G be the crescent region bounded by |z| = 1 and  $\Gamma(\theta)$ . If  $f \in L^{p}(G, dA)$ , then the following are equivalent. (1)  $f \in H_{p}(G)$ .

(2) f can be extended analytically to a function  $\hat{f}$  so that  $\hat{f}Q^{1/p} \in H_p(\partial U)$ where  $\partial U$  is parametrized by  $\Gamma(\theta)$  and Q is an outer function in  $H_p(\partial U)$ .

The outer function Q(z) has the property that for  $z \in \partial U$ , |Q(z)| is bounded equivalent to  $\delta(z) = \text{dist}(z, \partial \Delta)$ . Since  $\delta(z) = 1 - |z|$  for  $z \in \partial U$  and

$$1/2(1 - |z|^2) \leq 1 - |z| \leq 1 - |z|^2$$

an outer function whose radial limits agree in modulus with  $1 - |z|^2$  will be acceptable as Q(z). It is a direct calculation to show that

$$Q(z) = \frac{x}{1-x} (1-z)^2.$$

**PROOF OF THEOREM 1.20.** It suffices to prove this theorem in the case p = 1. Let  $f \in H_1(G) \cap A_{\infty}(G)$  and  $\hat{f}$  be the analytic extension of f to  $\Delta$ . By Theorem 1.0,  $(x/1 - x)(1 - z)^2 \hat{f}(z) \in H_1(\partial U)$ . By Theorem 10.1 [9],

$$F(w) = x(1 - x)^2 (1 - w)^2 \hat{f}(x + (1 - x)w) \in H_1(\partial \Delta).$$

Since  $\hat{f}(z)$  is analytic on  $\Gamma(\theta)$ ,  $0 < \theta < 2\pi$ , the radial limits of F(w) are  $x(1-x)^2 (1-e^{i\theta})^2 \hat{f}(x+(1-x)e^{i\theta})$  for  $0 < \theta < 2\pi$ . Since  $|\hat{f}(z)| \leq K$ 

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in G, and  $\hat{f}(z)$  is continuous on  $\Gamma(\theta)$ ,  $0 < \theta < 2\pi$ ,  $|\hat{f}(x + (1 - x)e^{i\theta})| \leq K$  for  $0 \neq \theta \neq 2\pi$ .

By the factorization theorem for  $H_1(\partial \Delta)$ , there are functions B(w), S(w), and O(w) where B(w) is a Blaschke function, S(w), is a singular inner function, and O(w) is an outer function so that

$$F(w) = B(w) S(w) O(w) \text{ for } w \in \Delta.$$

Now, for  $0 < \theta < 2\pi$ ,  $x(1-x)^2 |1-e^{i\theta}|^2 |\hat{f}(x+(1-x)e^{i\theta})| = |O(e^{i\theta})|$ . Therefore,  $|O(e^{i\theta})| \le x(1-x)^2 |1-e^{i\theta}|^2 K$  a.e. Since  $x(1-x)^2 K(1-w)^2$  is outer,  $|O(w)| \le x(1-x)^2 K(1-w)^2$  for all  $w \in \Delta$ . It follows that

$$|1 - w|^2 x(1 - x)^2 |\tilde{f}(x + (1 - x)w)| = |B(w)| |S(w)| |O(w)|$$
  
$$\leq |O(w)| \leq x(1 - x)^2 K |1 - w|^2.$$

Hence  $|\hat{f}(x + (1 - x)w| \leq K$  for all  $w \in \Delta$ . Therefore  $|\hat{f}(z)| \leq K$  for all  $z \in U$ . Thus  $\hat{f}(z)$  is bounded.

The condition that f be in  $H_1(G)$  cannot be relaxed to f being analytic in the unit disc, since

$$f(z) = e^{1+z/1-z} \in A_{\infty}(G);$$

but this function is not bounded in  $\Delta$ .

The conjecture for the Bergman space now seems plausible. As the proof of Theorem 1.20 shows, the bound for f is independent of x. Thus it appears that the Maximum Modulus Theorem could possibly be relaxed at least for a finite number of points on  $\partial \Delta$ .

Now we turn our attention to the proof of the conjecture for the space of Bloch functions. Cima and Graham [6] have shown that Bloch and  $BMO_a(\varDelta)$  are equivalent Banach spaces. Since  $BMO_a(\varDelta) \subset A_1(\varDelta)$ , Bloch  $\subset A_1(\varDelta)$ .

The proof involves the Lehto-Virtanen maximum principle [2], [10], and some theorems of Anderson, Clunie, and Pommerenke [2] which are now presented.

Lehto-Virtanen maximum principle. Let f(z) be meromorphic in  $\Delta$  and

$$(1 - |z|^2) \frac{|f'(z)|}{1 + |f(z)|^2} < \infty.$$

Let D be an open disc so that  $\partial D$  and  $\partial \Delta$  intersect in an angle  $\beta$ . Let G be a domain such that  $\overline{G} \subset \Delta \cap D$ . We suppose further that, for  $z \in \partial G/B$ ,  $|f(z)| \leq \delta < \delta_0(\alpha, \beta)$  where

$$\delta_0(\alpha,\beta) = \frac{\sin(\beta)}{\alpha\beta} \left( 1 + \left( 1 + \left( \frac{\alpha\beta}{\sin(\beta)} \right)^2 \right)^{1/2} \right) \exp \left( 1 + \left( \frac{\alpha\beta}{\sin(\beta)} \right)^2 \right)^{1/2}$$

Then f(z) is analytic in G and  $|f(z)| \leq \eta(\delta, \alpha, \beta)$  where  $\eta = \eta(\delta, \alpha, \beta)$  is the smallest positive solution of

$$\delta = \eta \exp\left(-\frac{\alpha\beta}{2\sin(\beta)}\left(\eta + \frac{1}{\eta}\right)\right).$$

The following theorem is by Anderson, Clunie, and Pommerenke, [2, Theorem 4.2].

THEOREM 2.40. Let  $f \in \mathcal{B}$  (Bloch) and  $\Gamma$  be an arc ending at  $e^{i\theta}$ . Let  $A \subset \mathbb{C}$ . If for  $z \in \Gamma$ ,  $\lim_{z \to e^{i\theta}} \text{dist}(f(z), A) = 0$  then, for some absolute constant  $K_1$ ,

$$\limsup_{r \to 1} \operatorname{dist}(f(re^{i\theta}), A) \leq K_1 ||f||_{\mathscr{B}}$$

where  $||f||_{\mathcal{B}}$  is the Bloch norm of f.

The constant  $K_1$  comes from the Lehto-Virtanen maximum principle and depends on the angle  $\beta$  and is independent of f. Thus the phrase absolute constant is used.

In Anderson, Clunie, and Pommerenke's proof of this theorem, the existence of certain domains were given without proof. In the next theorem, it will be necessary to understand these domains more fully.

The next lemma indicates how the needed domains can be constructed.

LEMMA 2.50. Let  $\{r_n\}$  be a sequence of real numbers in  $\Delta$  so that  $\lim_{n\to\infty} r_n = 1$ . Then there exists a sequence of discs,  $D_n$ , so that;

- (a)  $r_n \in \underline{D}_n$ ,
- (b)  $1 \notin \overline{D_n}$ ,
- (c) The circular angle formed by  $\partial \Delta$  and  $\partial D_n$  makes a constant angle of  $3\pi/4$ ,
- (d) diameter  $(D_n) \to 0$  as  $n \to \infty$ , and
- (e)  $D_n \cap \mathbf{C}/\varDelta \neq \emptyset$ .

**PROOF.** If we allow  $1 \in \overline{D_n}$  then  $D_n$  could be defined to be the disc centered at  $(r_n, 1 - r_n)$  with radius  $2(1 - r_n)^{1/2}$  (See Figure 4.1).

To see that  $D_n$  can be chosen as in the lemma, let  $0 < \delta < \pi/4$  be an angle. Then

$$\lambda(s) = s(\cos(3\pi/4 + \delta), \sin(3\pi/4 + \delta)) + (\cos(\delta), \sin(\delta))$$

is a unit speed parametrization of a line through the point  $(\cos(\delta), \sin(\delta))$ . This line has the property that any circle centered on this line, passing through the point  $(\cos(\delta), \sin(\delta))$  makes a circular angle of  $3\pi/4$  with  $\partial \Delta$  (See Figure 4.2).

Let  $\rho_n = \ell(\sqrt{2}(1 - r_n))$ . Since  $\ell$  is parametrized with respect to arc length,  $|\rho_n - (\cos(\delta), \sin(\delta))| = \sqrt{2}(1 - r_n)$ .

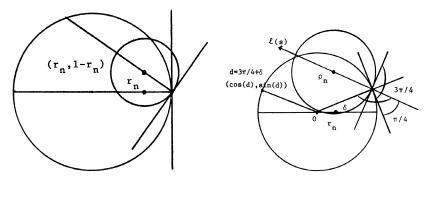


FIGURE 4.1



Let  $D_n(\delta)$  be the disc centered at  $\rho_n$  whose radius is  $\sqrt{2}(1 - r_n)$ . It follows from elementary geometry that the circular angle formed by  $\partial D_n(\delta)$  and  $\partial \Delta$  is  $3\pi/4$  (See Figure 4.2). Clearly,

$$|\rho_n - 1| > |\rho_n - (\cos(\delta), \sin(\delta))| = \sqrt{2}(1 - r_n).$$

Thus  $1 \notin \overline{D_n}$ .

It is straightforward to show that there is a  $\delta_1 > 0$  so that

$$|\sqrt{2}(1-r_n)(\cos(3\pi/4+\delta),\sin(3\pi/4+\delta)) + (\cos\delta,\sin\delta) - (r_n,0)|^2 \le 2(1-r_n)^2$$

whenever  $\delta < \delta_1$ . It is also obvious that diameter  $(D_n) \to 0$  and that  $D_n \cap (\mathbb{C}/\overline{A}) \neq \emptyset$ .

The next theorem is a generalization of Theorem 2.40.

THEOREM 2.51. Let  $R = \Delta \cap \{z \mid \text{Im}(z) > 0\}$ . Let  $f \in \mathcal{B}$  so that  $\limsup_{r \to 1} |f(r)| < \infty$ , and let U be an open connected set so that

$$1 \in \overline{U}$$
 and  $\overline{U}/\{1\} \subset R$ .

Further, let  $A = f(\overline{U}/\{1\})$ . Then, for an absolute constant  $K_1$ ,

$$\limsup_{r\to 1} \operatorname{dist}(f(r), A) \leq K_1 \|f\|_{\mathscr{B}}.$$

**PROOF.** Let  $\beta = 3\pi/4$  and choose  $\alpha$  so small that  $\delta_0(\alpha, \beta) \ge 1$  where  $\delta_0$  is defined in the Lehto-Virtanen maximum principle. Let  $K_1 = 3/\alpha$  be the absolute constant for the theorem. We assume without loss of generality that  $||f||_{\mathscr{B}} \le 1$ .

Suppose the conclusion is false. Then, since  $\limsup_{r\to 1} |f(r)| < \infty$ , there

is a point  $w_0$  and a sequence of real numbers,  $\{r_n\}$ , in  $\Delta$  so that as  $r_n \to 1$ ,  $f(r_n) \to w_0$ , and dist $(w_0, A) > K_1$ . By Lemma 2.50, there are discs  $D_n$  such that  $\partial D_n$  makes a circular angle of  $3\pi/4$  with  $\partial \Delta$ ,  $r_n \in D_n$ ,  $1 \notin \overline{D_n}$ , and diameter  $(D_n) \to 0$  as  $n \to \infty$ .

The subset U is connected. Thus  $\overline{U}$  is connected. Let  $B_n$  denote the boundary of  $D_n$ . Further, let  $A_n$  and  $C_n$  denote the two subarcs of  $B_n$  which are contained in R (See Figure 4.3).

Since the diameter $(D_n) \to 0$  and U is connected, there exists an N such that if  $n \ge N$ , then  $A_n \cap U \ne \emptyset$  and  $C_n \cap U \ne \emptyset$ . Let  $G_n$  be the component of  $(\mathbb{C}/\overline{U}) \cap D_n$  which contains  $r_n$ . Now  $G_n$  is a component of an open set and therefore is open. Clearly  $\overline{G}_n \subset \overline{D}_n$ . Thus  $1 \notin \partial G_n \subset \overline{G}_n$ . Let  $z \in \partial G_n$ . It is straightforward to prove that  $z \in B_n \cup (\overline{U}/\{1\})$  and thus  $\partial G_n \subset B_n \cup (\overline{U}/\{1\})$ .

Now suppose  $\overline{G}_n \not\subset \Delta$ . So there is a  $q \in \partial \Delta \cap \partial G_n$ . Thus  $q \neq 1$ . Since  $\overline{U}/\{1\} \subset R$ , there is a ball, B(q, r), such that  $B(q, r) \cap \overline{U} = \emptyset$ . Since  $q \in \partial G_n$  there is a  $q_1 \in B(q, r) \cap G_n$ . The subset  $G_n$  is open and connected, and therefore is arcwise connected. Thus there is an arc,  $\Gamma$ , in  $G_n$  from  $r_n$  to  $q_1$ . By the construction of  $D_n$ ,  $R \cap (\mathbb{C}/\bar{D}_n)$  has two components in R. Let  $E_1$  be the component whose closure contains  $A_n$ , and  $E_2$  be the component whose boundary contains  $C_n$ . Since  $A_n \cap U \neq \emptyset$  and  $C_n \cap$  $U \neq \emptyset$ , there exist points  $t_1$  and  $s_1$  such that  $t_1 \neq 1$ ,  $s_1 \neq 1$ ,  $t_1 \in U \cap E_1$ , and  $s_1 \in U \cap E_2$ . The subset U is also arcwise connected. Thus there exists an arc,  $\Gamma_1(x)$ , defined on [0, 1] such that  $\Gamma_1(0) = t_1$ ,  $\Gamma_1(1) = s_1$ , and  $\Gamma_1 \subset U$ . Let  $x_1 = \sup\{x \in [0, 1] | \Gamma_1(x) \in A_n\}$  and  $x_2 = \inf\{x \in [x_1, 1] | x_1 \in A_n\}$  $\Gamma_1(x) \in C_n$ . Clearly  $\Gamma_1(1) \notin A_n$ . Thus  $x_1 \neq 1$ . Since  $x_1 = \sup\{x \in [0, 1] \mid x \in [0, 1]\}$ .  $\Gamma_1(x) \in A_n$ ,  $\Gamma_1(x_1) \in A_n$ . Similarly  $\Gamma_1(x_2) \in C_n$ . Thus  $x_1 \neq x_2$ . Let J be the jordan curve formed by  $\Gamma_1$  on  $[x_1, x_2]$  and  $B_n$  so that  $r_n$  is in the interior of J. Since  $r_n$  is in the interior of J and  $q_1$  is in the exterior of J,  $\Gamma \cap \Gamma_1 \neq \emptyset$ . But this is impossible since  $\Gamma \subset G_n \subset D_n \cap (\mathbb{C}/\overline{U})$  and  $\Gamma_1 \subset U$ . Hence  $\partial G_n \cap \partial \Delta = \emptyset$ . Therefore  $\overline{G}_n \subset \Delta$ .

Define  $g(z) = (\alpha(f(z) - w_0))^{-1}$  for  $z \in \Delta$ ,  $f(z) \neq w_0$ . Thus g(z) is meromorphic in  $\Delta$  and

$$\frac{(1-|z|^2)|g'(z)|}{1+|g(z)|^2} = \frac{(1-|z|^2)|f'(z)|\alpha^3|f(z)-w_0|^2}{\alpha^2|f(z)-w_0|^2}$$
$$= (1-|z|^2)|(f'(z)|\alpha \le \alpha ||f||_{\mathscr{B}} \le \alpha.$$

Let  $z \in \partial G_n/B_n$ . Then by the previous arguments  $z \in \overline{U}/\{1\}$ . Thus  $f(z) \in A$ . Since dist $(w_0, A) > K_1$ ,

$$|g(z)| = \frac{1}{\alpha |f(z) - w_0|} \le \frac{1}{\alpha K_1} = \frac{1}{\alpha (3/\alpha)} = 1/3 < 1/2.$$

Since  $\delta_0(\alpha, \beta) \ge 1$ , the Lehto-Virtanen maximum principle yields that g

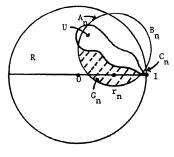


FIGURE 4.3

is uniformly bounded in  $G_n$  by  $\eta(\delta, \alpha, \beta)$  which is independent of *n*. But this is impossible since  $|g(r_n)| \to \infty$  as  $f(r_n) \to w_0$ . Hence,

$$\limsup_{r\to 1} \operatorname{dist}(f(r), A) \leq K_1 \|f\|_{\mathscr{Q}}.$$

THEOREM 2.60. If  $f \in \mathcal{B}$  and K > 0 so that  $\lim \sup_{z \to a} |f(z)| \leq K$  for  $a \in \partial \Delta / \{1\}$ , then  $\limsup_{r \to 1} |f(r)|$  is bounded.

**PROOF.** We begin the proof with the construction of an arc in  $\Delta$  ending at 1. Let  $\{p_n\}$  be a sequence of points in  $\partial \Delta \cap \{z | \operatorname{Im}(z) > 0\}$  so that  $p_n \to 1$  as  $n \to \infty$ . Further, let  $p_n$  be chosen so that  $\pi/2 > \arg(p_n) > \arg(p_{n+1})$ . Let  $p_0 = i$ .

Since  $\limsup_{z\to a} |f(z)| \leq K$  for  $a \in \partial \Delta/\{1\}$ , for each  $a \in \partial \Delta$  such that  $\arg(p_n) \geq \arg(a) \geq \arg(p_{n+1})$ , there is a disc,  $D_n(a)$ , centered at a so that |f(z)| < K + 1 for  $z \in D_n(a)$ . Since for  $n \geq 0$ ,  $C_n = \{a | a \in \partial \Delta, \arg(p_n) \geq \arg(a) \geq \arg(p_{n+1})\}$  is compact, there is a finite subset  $a_1, \ldots, a_{R_n}$  so that

$$\bigcup_{i=1}^{R_n} D_n(a_i) \subset C_n$$

Clearly there is an  $r_n < 1/2^n$  so that

$$A_n = \{z \mid \arg(p_n) \ge \arg(z) \ge \arg(p_{n+1}), 1 - |z| \le r_n\}$$

is a subset of the union of the  $D_n(a_i)$ ,  $1 \le i \le R_n$ . Let  $\Gamma_n = \{z \in A_n | 1 - |z| = r_n\}$ . Let  $q_0 = (1 - r_0)i$  and let  $q_n = (1 - r_{n-1})e^{i\arg(p_n)}$  for  $n \ge 1$ . Finally, for  $n \ge 1$ , let  $\beta_n$  be the line segment from  $q_n$  to  $s_n$  (See Figure 4.5). Then, for  $n \ge 0$ ,  $\Gamma_n \cup \beta_{n+1}$  is the image of an arc from  $q_n$  to  $s_{n+1}$  which is parametrized by arc length. Since  $\operatorname{length}(\beta_n) \le 1/2^n$  and

$$\sum_{n=0}^{\infty} \text{length}(\Gamma_n) \leq \pi/2, \text{ then } \sum_{n=0}^{\infty} \text{Length}(\Gamma_n \cup \beta_{n+1}) < \infty.$$

Let  $\alpha = \sum_{n=0}^{\infty} \text{length}(\Gamma_n \cup \beta_{n+1})$  and  $\delta_n = \text{length}(\Gamma_n \cup \beta_{n+1})$ . Let, for  $n \ge 0$ ,

$$\gamma_n: \left[\sum_{i=0}^{n-1} \delta_i, \sum_{i=0}^n \delta_i\right] \to \Gamma_n \cup \beta_{n+1}$$

be a unit speed parametrization of  $\Gamma_n \cup \beta_{n+1}$ , (When n = 0 define the sum to be zero). Define

$$\gamma \colon [0, \alpha] \to \bigcup_{n=0}^{\infty} (\Gamma_n \cup \beta_{n+1}) \cup \{1\} \text{ by}$$
$$\gamma(t) = \begin{cases} \gamma_n(t) & \text{if } t \in \left[\sum_{i=0}^{n-1} \delta_i, \sum_{i=0}^n \delta_i\right].\\ 1 & \text{if } t = \alpha \end{cases}$$

The arc  $\gamma(t)$  is a homeomorphism.

Let  $A = f(\Gamma/\{1\})$ . Since |f(z)| < K + 1 for  $z \in \Gamma/\{1\}$ , A is bounded. Clearly,  $\lim_{z\to 1} \text{dist}(f(z), A) = 0$  for  $z \in \Gamma$ . Thus by Theorem 2.40, with  $\beta = 3\pi/4$ , there is an absolute constant  $K_1$  depending only on  $3\pi/4$  so that

$$\limsup_{r\to 1} \operatorname{dist}(f(r), A) \leq K_1 ||f||_{\mathscr{Q}}.$$

It follows that there is a  $\delta > 0$  so that if  $1 - \delta < r < 1$ , then dist $(f(r), A) < K_1 ||f||_{\mathscr{B}} + 1$ . So for any  $r, 1 - \delta < r < 1$ , there is an  $a(r) \in A$  such that  $|f(r) - a(r)| < K_1 ||f||_{\mathscr{B}} + 1$ . Therefore,  $|f(r)| < K_1 ||f||_{\mathscr{B}} + 1 + |a(r)| \le K_1 ||f||_{\mathscr{B}} + K + 2$ . Hence  $\limsup_{r \to 1} |f(r)|$  is bounded by  $K_1 ||f||_{\mathscr{B}} + K + 2$ .

COROLLARY 2.62. If  $f \in \mathcal{B}$  and  $\lim \sup_{z \to a} |f(z)| \leq K$  for  $a \in \partial \Delta / \{1\}$ , then there is a constant M > 0 so that  $|f(r)| \leq M$  for  $r \in (-1, 1)$ .

**PROOF.** By Theorem 2.60, |f(r)| is bounded near 1. Since  $\limsup_{r \to -1} |f(z)| < K + 1$  and f is analytic on (-1, 1), the bound, M, exists.

THEOREM 2.70. If  $f \in \mathcal{B}$  and  $\limsup_{z \to a} |f(z)| \leq K$  for  $a \in \partial \Delta / \{1\}$ , then f(z) is bounded on the unit disc.

**PROOF.** Suppose the conclusion is false. Then there exists a sequence

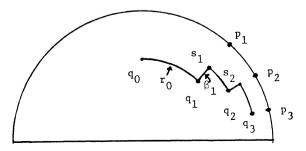


FIGURE 4.4

of points,  $\{z_n\}$ , contained in  $\Delta$  so that  $|f(z_n)| \to \infty$  as  $n \to \infty$ . By Theorem 2.40 and Corollary 2.62, there is a constant  $M_1$  so that  $|f(r)| \leq M_1$  for  $r \in (-1, 1)$ .

Let  $M > \max\{M_1 + 2 + K_1 || f ||_{\mathscr{B}}, K + 1\}$  where  $K_1$  is the absolute constant of Theorem 2.51. Let B(O, M) be the ball centered at zero with radius M.

Since  $|f(r)| \leq M_1$  for  $r \in (-1, 1)$ ,

$$dist(f(r), C/B(O, M)) > K_1 ||f||_{\mathscr{B}}$$

Let  $U = f^{-1}(C/\overline{B(O, M)})$ . Since  $|f(z_n)| \to \infty$ ,  $U \neq \emptyset$ . Without loss of generality assume that  $U \subset R$  where  $R = \{z \mid \text{Im}(z) > 0\} \cap \Delta$ . This can be done since either  $\Delta \cap \{z \mid \text{Im}(z) > 0\}$  or  $\Delta \cap \{z \mid \text{Im}(z) < 0\}$ contains an infinite number of the  $z_n$ 's.

Let V be the component of U so that there is a  $z_0 \in V$  such that  $|f(z_0)| > M$ . Since  $\lim \sup_{z \to a} |f(z)| \leq K$  for  $a \in \partial \Delta/\{1\}, \partial V \cap (\partial \Delta/\{1\}) = \emptyset$ . Similarly since  $M > M_1, \partial V \cap [-1, 1] = \emptyset$ .

Now I claim  $1 \in \overline{V}$ . Suppose 1 is not in  $\overline{V}$ . Then  $\overline{V} \subset R$ . Since f is analytic on  $\Delta$ , f assumes its maximum on V at a point  $\rho \in \partial V$ . Since  $1 \notin \overline{V}$ ,  $\rho \neq 1$ . Thus f is analytic at  $\rho$ . Therefore there is a ball,  $B(\rho, \varepsilon)$ , so that  $B(\rho, \varepsilon) \subset R$  and |f(w)| > M for  $w \in B(\rho, \varepsilon)$ . Since  $\rho \in \partial V$ ,  $B(\rho, \varepsilon) \cap V \neq \emptyset$ . Thus  $B(\rho, \varepsilon) \cup V$  is connected and open. But this is impossible since  $B(\rho, \varepsilon) \cup V$  properly contains V and V was a component of  $f^{-1}(\mathbb{C}/\overline{B(O,M)})$ . Thus  $1 \in \overline{V}$ .

It now follows from Theorem 2.51 that

 $\limsup \operatorname{dist}(f(r), f(\overline{V}/\{1\})) \leq ||f||_{\mathscr{B}} K_1.$ 

But this is impossible since dist $(f(r), \mathbb{C}/\overline{B(O, M)}) > K_1 || f ||_{\mathscr{B}}$ . Hence f is bounded on  $\Delta$ .

THEOREM 2.80. Let E be a finite subset of  $\partial \Delta$ . If  $f \in \mathcal{B}$  and  $\limsup_{z \to a} |f(z)| \leq K$  for  $a \in \partial \Delta / E$ , then f is bounded on  $\Delta$ .

The proof is similar to that of Theorem 2.70.

Acknowledgements. The author would like to thank his advisor, Dr. Joseph Cima, for his time and consultation during the preparation of this paper.

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