

## APPROXIMATING MAPS INTO FIBER BUNDLES BY HOMEOMORPHISMS

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1. **Introduction.** By a *manifold* we will mean either a finite-dimensional topological  $n$ -manifold or a  $Q$ -manifold, i.e., a manifold modeled on the Hilbert cube  $Q$ . Let  $p: E \rightarrow B$  be a fiber bundle and let  $f: M \rightarrow E$  be a map, where  $M$ ,  $E$  and  $B$  are all manifolds. In this paper we will be interested in the following general question: *When is  $f$  homotopic to a homeomorphism  $h: M \rightarrow E$  so that  $ph$  is close to  $pf$ ?* Our main results in this direction are Theorem 1, which concerns  $Q$ -manifolds, and Theorem 3, which concerns  $n$ -manifolds. In Theorem 2 we apply Theorem 1 to the problem of approximating approximate fibrations of  $Q$ -manifolds by fiber bundle projections. Theorems 4 and 5 are applications of Theorem 3. Theorem 4 is a new proof of Goad's result on approximate fibrations of  $n$ -manifolds [10] and Theorem 5 is a new proof of the codimension 2 tubular neighborhood theorem of Kirby-Siebenmann for  $n$ -manifolds [13].

In order to state our results we will need some definitions. All spaces in this paper will be locally compact, separable and metric, unless otherwise stated, and a *proper* map is a map for which preimages of compacta are compact. If  $\alpha$  is an open cover of a space  $Y$ , then a proper map  $f: X \rightarrow Y$  is an  $\alpha$ -*equivalence* if there is a map  $g: Y \rightarrow X$  so that (1)  $fg$  is  $\alpha$ -homotopic to the identity and (2)  $gf$  is  $f^{-1}(\alpha)$ -homotopic to the identity. Statement (1) means that the track of each point of  $Y$  under the homotopy  $fg \simeq id$  lies in some element of  $\alpha$ , and (2) means that the track of each point of  $X$  under the homotopy  $gf \simeq id$  lies in some element of  $f^{-1}(\alpha) = \{f^{-1}(U) \mid U \in \alpha\}$ . It follows from [11] that if  $X$  and  $Y$  are ANRs and  $f$  is a *CE* map (i.e.,  $f$  is proper, onto, and preimages of points have trivial shape), then  $f$  is an  $\alpha$ -equivalence, for every  $\alpha$ . Here is our main result for  $Q$ -manifolds.

**THEOREM 1.** *For each open cover  $\alpha$  of a  $Q$ -manifold  $B$  there is an open cover  $\beta$  of  $B$  so that if  $p: E \rightarrow B$  is a fiber bundle, with fiber a compact ANR for which  $\pi_1$  of each component is free abelian, then any  $p^{-1}(\beta)$ -equivalence from a  $Q$ -manifold  $M$  to  $E$  is  $p^{-1}(\alpha)$ -homotopic to a homeomorphism.*

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Note that the ANR Theorem of Edwards [3, p. 106] implies that  $E$  is also a  $Q$ -manifold. The  $\alpha$ -Approximation Theorem of [8] proves Theorem 1 for the case in which the fiber of  $E \rightarrow B$  is a point. In general, it is not possible to completely remove the fundamental group condition on the fiber  $F$  of  $E \rightarrow B$ , for there are compact  $Q$ -manifolds  $F$  and homotopy equivalences  $f: M \rightarrow F$  which are not homotopic to homeomorphisms [3, p. 86].

Recall from [6] that a proper map  $p: E \rightarrow B$  is an *approximate fibration* provided that given any lifting problem with prescribed initial lift,

$$\begin{array}{ccc} X \times \{0\} & \xrightarrow{f} & E \\ \downarrow & & \downarrow p \\ X \times [0, 1] & \xrightarrow{F} & B \end{array}$$

then for any open cover  $\alpha$  of  $B$  there is a map  $\tilde{F}: F \times [0, 1] \rightarrow E$  such that  $\tilde{F}_0: X \rightarrow E$  agrees with  $f$  and  $p\tilde{F}$  is  $\alpha$ -close to  $F$ . Approximate fibrations were introduced in [6] as a generalization of the notion of a Hurewicz fibration. It was shown there that if  $B$  is path connected, then any two fibers must have the same shape. Also, it follows from [11] that  $CE$  maps of ANRs are approximate fibrations.

In [3, p. 105] it is shown that any  $CE$  map between  $Q$ -manifolds can be approximated by homeomorphisms. This leads to the following general question: *When can an approximate fibration between  $Q$ -manifolds be approximated by fiber bundle projections?* In the following result we use Theorem 1 to give some partial answers to this question.

**THEOREM 2.** *Let  $p: E \rightarrow B$  be an approximate fibration between  $Q$ -manifolds and assume that the fibers are connected. Then  $p$  can be approximated by fiber bundle projections provided that any one of the following four conditions is satisfied.*

1. *The fibers of  $p$  are shape equivalent to  $S^1$ .*
2.  *$B$  is homotopy equivalent to a 1-dimensional polyhedron and  $\pi_1$  of each fiber is free or free abelian.*

( $\tilde{\pi}_i$  denotes the Čech homotopy groups introduced in [1].)

3.  *$B$  is homotopy equivalent to a 2-dimensional polyhedron and  $\tilde{\pi}_1$  of each fiber vanishes.*

4.  *$B$  is homotopy equivalent to an  $n$ -dimensional polyhedron,  $n \geq 3$ , and  $\tilde{\pi}_i$  of each fiber vanishes,  $1 \leq i \leq n$ .*

In the remainder of this paper we will be concerned with topological  $n$ -manifolds. Here is our main result which parallels Theorem 1.

**THEOREM 3.** *For each open cover  $\alpha$  of an  $n$ -manifold  $B$ ,  $n \geq 6$ , there is an open cover  $\beta$  of  $B$  so that if  $p: E \rightarrow B$  is a fiber bundle with fiber  $S^1$ , then any  $p^{-1}(\beta)$ -equivalence from an  $(n+1)$ -manifold  $M$  to  $E$ , which is already a homeomorphism from  $\partial M$  to  $\partial E$ , is  $p^{-1}(\alpha)$ -homotopic rel  $\partial M$  to a homeomorphism.*

The finite-dimensional  $\alpha$ -Approximation Theorem of [5] proves Theorem 3 for the case in which the fiber of  $E \rightarrow B$  is a point. While Theorem 3 appears to be only a slight generalization of the result from [5], it in fact gives new proofs of the following known results.

**THEOREM 4** (GOAD [10]). *Let  $p: E^{n+1} \rightarrow B^n$  be an approximate fibration of manifolds,  $n \geq 6$ , whose fibers are shape equivalent to  $S^1$  and which is already a fiber bundle projection from  $\partial E$  to  $\partial B$ . Then for any open cover  $\alpha$  of  $B$ ,  $p$  is  $\alpha$ -homotopic rel  $\partial E$  to a fiber bundle projection.*

**THEOREM 5** (KIRBY-SIEBENMANN [13]). *Let  $M^n$  and  $N^{n+2}$  be manifolds without boundary,  $n \geq 5$ , and assume that  $M$  is a locally flat submanifold of  $N$ . Then  $M$  has a normal microbundle in  $N$ .*

As was pointed out in [13], the results of Kister-Mazur [14] and Kneser [15] immediately imply that  $M$  has a closed normal 2-disc bundle in  $N$ .

Here is how the material of this paper is organized.

**§ 2. A Splitting Lemma.** In this section a result is established which is needed for the proof of Theorem 1. It relies heavily on results from [2].

**§ 3. Proof of Theorem 1.**

**§ 4. Proof of Theorem 2.**

**§ 5. Some Lemmas for Theorem 3.** Here the main technical work in the proof of Theorem 3 is carried out. It relies heavily on a similar program from [5].

**§ 6. Proof of Theorem 3.**

**§ 7. Proof of Theorem 4.**

**§ 8. Proof of Theorem 5.**

For the proofs of Theorems 1 and 2 we refer the reader to [3] for background on  $Q$ -manifold theory. The reader who is interested only in our finite-dimensional results can read §§ 5–8 more or less independent of §§ 2–4.

**2. A Splitting Lemma.** The purpose of this section is to establish a splitting result (Lemma 2.2) which will be needed in the proof of Theorem 1. Our main tool is Lemma 2.1, which follows from a splitting result of [2].

There is one new definition which we will need. Let  $f: X \rightarrow Y$  be a proper map of spaces, let  $\alpha$  be an open cover of  $Y$ , and let  $A \subset Y$  be closed. We say that  $f$  is an  $\alpha$ -equivalence over  $A$  if there is a map  $g: A \rightarrow X$  so that there is an  $\alpha$ -homotopy  $fg \simeq id$  and an  $f^{-1}(\alpha)$ -homotopy  $gf|_{f^{-1}(A)} \simeq id$ . This is just a local version of the notion of an  $\alpha$ -equivalence which was defined in § 1.

Here is some notation which will be used throughout this section. Let  $Y$  be a polyhedron which is written as the union of closed subpolyhedra  $Y_1$  and  $Y_2$ , where  $Y_1$  is compact. Choose compacta  $C$  and  $D$  in  $Y$  so that  $Y_1 \subset \bar{C} \subset C \subset \bar{D}$  (where “ $\bar{\phantom{x}}$ ” denotes topological interior).  $K$  will be a compact polyhedron such that  $\pi_1$  of each component of  $K$  is free abelian, and  $p = \text{proj}: Y \times K \rightarrow Y$ .

**LEMMA 2.1** ([2, THEOREM 7.2]). *For each open cover  $\alpha$  of  $Y$  there exists an open cover  $\beta$  of  $Y$  so that if  $X$  is a polyhedron and  $f: X \rightarrow Y \times K$  is a  $p^{-1}(\beta)$ -equivalence over  $D \times K$ , then there is an  $m \geq 0$ , a subdivision of  $X \times I^m$  into closed subpolyhedra,  $X \times I^m = X_1 \cup X_2$ , and a proper map  $f': X \times I^m \rightarrow Y \times K$  such that*

- (1)  $f'|_{X_1}: X_1 \rightarrow Y_1 \times K$  is a  $p^{-1}(\alpha)$ -equivalence,
- (2)  $f'|_{X_1 \cap X_2}: X_1 \cap X_2 \rightarrow (Y_1 \cap Y_2) \times K$  is a  $p^{-1}(\alpha)$ -equivalence,
- (3)  $f'|_{X_2}: X_2 \rightarrow Y_2 \times K$  is a  $p^{-1}(\alpha)$ -equivalence over  $(Y_2 \cap C) \times K$ ,
- (4)  $f'$  is  $p^{-1}(\alpha)$ -homotopic to  $f \circ \text{proj}: X \times I^m \rightarrow Y \times K$ .

**REMARKS.** Here  $I^m$  is the  $m$ -cell  $[0, 1]^m$ . If we represent  $Q$  as  $[0, 1]^\infty$ , then for each  $m$  we have a canonical factorization  $Q = I^m \times Q_{m+1}$ . We will agree to identify  $I^m$  with  $I^m \times \{0\}$  in  $Q$ , where  $0 = (0, 0, \dots) \in Q_{m+1}$ .

**LEMMA 2.2.** *For each open cover  $\alpha$  of  $Y$  there exists an open cover  $\beta$  of  $Y$  so that if  $M$  is a  $Q$ -manifold and  $\tilde{f}: M \rightarrow Y \times K$  is a  $p^{-1}(\beta)$ -equivalence over  $D \times K$ , then there exists a subdivision of  $M$  into closed  $Q$ -manifolds,  $M = M_1 \cup M_2$ , and a proper map  $g: M \rightarrow Y \times K$  such that*

- (1)  $M_1 \cap M_2$  is a  $Q$ -manifold which is a  $Z$ -set in  $M_1$  and in  $M_2$ ,
- (2)  $g|_{M_1}: M_1 \rightarrow Y_1 \times K$  is a  $p^{-1}(\alpha)$ -equivalence,
- (3)  $g|_{M_1 \cap M_2}: M_1 \cap M_2 \rightarrow (Y_1 \cap Y_2) \times K$  is a  $p^{-1}(\alpha)$ -equivalence,
- (4)  $g|_{M_2}: M_2 \rightarrow Y_2 \times K$  is a  $p^{-1}(\alpha)$ -equivalence over  $(Y_2 \cap C) \times K$ ,
- (5)  $g$  is  $p^{-1}(\alpha)$ -homotopic to  $\tilde{f}$ .

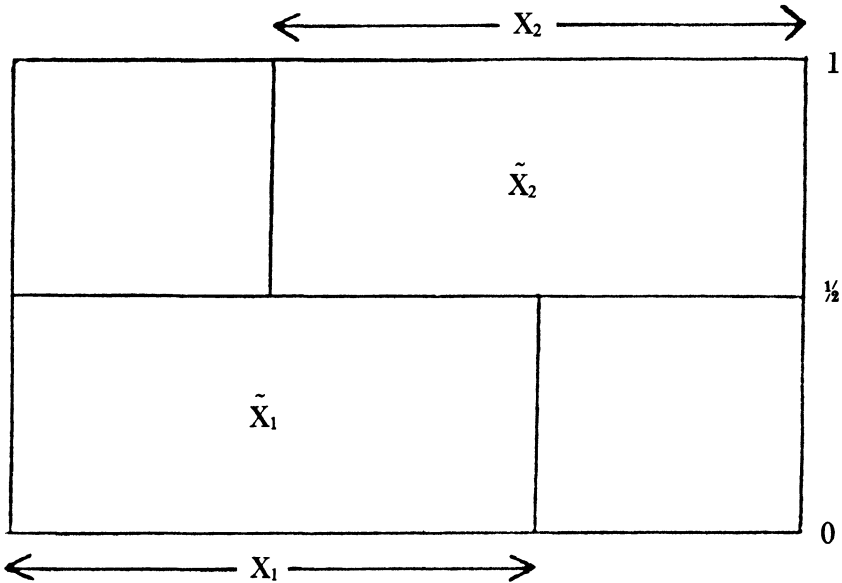
**PROOF.** We may write  $M = X \times Q$ , for some polyhedron  $X$ . Now let  $\beta$  be the open cover of Lemma 2.1 and for any integer  $m$  consider the composition,

$$f: X \times I^m \hookrightarrow X \times Q \xrightarrow{f} Y \times K.$$

If  $m$  is large enough, then  $f$  is a  $p^{-1}(\beta)$ -equivalence over  $D \times K$ . Thus

by Lemma 2.1 we may choose  $m$  large enough so that there is a subdivision  $X \times I^m = X_1 \cup X_2$  and a proper map  $f: X \times I^m \rightarrow Y \times K$  satisfying properties (1)–(4) stated there.

Consider the following subset of  $X \times I^{m+1}$ ,  $\tilde{X} = \tilde{X}_1 \cup \tilde{X}_2$ , where  $\tilde{X}_1 = X_1 \times [0, 1/2]$  and  $\tilde{X}_2 = X_2 \times [1/2, 1]$ . Here is a picture of  $X \times I^{m+1}$ .



It is not hard to construct a *PL* retraction  $r: X \times I^{m+1} \rightarrow \tilde{X}$  with contractible point inverses such that the  $X \times I^m$ -coordinates are moved as little as we please. Recalling the canonical factorization  $X \times Q = X \times I^{m+1} \times Q_{m+2}$ , we get a *CE* map  $r \times id: X \times Q \rightarrow \tilde{X} \times Q_{m+2}$ . By [3, p. 103] we can find a homeomorphism  $h: X \times Q \rightarrow \tilde{X} \times Q_{m+2}$  as close to  $r \times id$  as we want. Our required  $g: M \rightarrow Y \times K$  is defined by the composition

$$X \times Q \xrightarrow{h} \tilde{X} \times Q_{m+2} \xrightarrow{\text{proj}} \tilde{X} \xrightarrow{\text{proj}} X \times I^m \xrightarrow{f} Y \times K.$$

$M_1$  and  $M_2$  are defined by  $M_i = h^{-1}(\tilde{X}_i \times Q_{m+2})$ . By [3, p. 54] we conclude that  $M_1$ ,  $M_2$  and  $M_1 \cap M_2$  are  $Q$ -manifolds. Clearly  $M_1 \cap M_2$  is a  $Z$ -set in  $M_1$  and in  $M_2$ .

Because  $h$  is a homomorphism, our required properties (2)–(4) are obviously satisfied. For (5) we know that  $g$  is close to

$$X \times Q \xrightarrow{\text{proj}} X \times I^m \xrightarrow{f} Y \times K,$$

and we know that  $f$  is  $p^{-1}(\alpha)$ -homotopic to  $f|X \times I^m$ .

**3. Proof of Theorem 1.** In what follows  $B$  will be any  $Q$ -manifold and  $p: E \rightarrow B$  will be a fiber bundle with fiber a compact ANR  $F$  for which  $\pi_1$  of each component is free abelian. It will simplify matters to write  $B = B_1 \times Q$ , where  $B_1$  is a polyhedron, and then consider the fiber bundle  $p_1: E \xrightarrow{p} B \xrightarrow{\text{proj}} B_1$ . This has fiber  $F \times Q$ , which is a compact  $Q$ -manifold by Edwards' ANR Theorem. Since the factorization  $B = B_1 \times Q$  can be chosen so that each  $\{b\} \times Q$  has small diameter, it will suffice to prove Theorem 1 with the fiber bundle  $p: E \rightarrow B$  replaced by  $p_1: E \rightarrow B_1$ . This means that in the statement of Theorem 1 we may assume that  $B$  is a polyhedron and the fiber is a compact  $Q$ -manifold,  $F$ . We first treat the compact case.

**PROOF OF THEOREM 1 ( $B$  COMPACT).** The procedure is to induct on  $\dim B$ . If  $\dim B = 0$ , we just use the fact that any homotopy equivalence between compact  $Q$ -manifolds whose fundamental group is free abelian must be homotopic to a homeomorphism [3, p. 56]. Passing to the inductive step let  $\dim B = n$  and assume that the result is true for all  $(n - 1)$ -dimensional base spaces. Choose a fine subdivision of  $B$  and let  $B_1$  be the  $(n - 1)$ -skeleton of  $B$ . Without loss of generality, assume that  $B - B_1$  is a single  $n$ -cell. Let  $B = B_1 \cup \Delta$ , where  $\Delta$  is an  $n$ -simplex whose combinatorial interior,  $B - B_1$ , is denoted by  $R^n$  (euclidean  $n$ -space). We let  $rB^n = [-r, r]^n \subset R^n$ , with  $1B^n = B^n$ , and  $\partial B^n$ ,  $\dot{B}^n$  denote the boundary and interior, respectively, of  $B^n$ .

Consider the restriction,

$$f = f| (pf)^{-1}(R^n) : (pf)^{-1}(R^n) \rightarrow p^{-1}(R^n),$$

which is a proper map that is a  $p$ -small equivalence over  $p^{-1}((r + 2)B^n)$ , for some large  $r$ . (By a  $p$ -small equivalence we mean a  $p^{-1}(\gamma)$ -equivalence, for some fine  $\gamma$ . This will eliminate the need to add up a number of estimates.) If we factor  $F = K \times Q$ , for some compact polyhedron  $K$ , then  $p^{-1}(R^n) = R^n \times K \times Q$ . By Lemma 2.2 we have a subdivision,  $(pf)^{-1}(R^n) = M_1 \cup M_2$ , and a proper map  $g: (pf)^{-1}(R^n) \rightarrow p^{-1}(R^n)$  such that

- (1)  $M_1 \cap M_2$  is a  $Q$ -manifold which is a  $Z$ -set in  $M_1$  and in  $M_2$ ,
- (2)  $g| M_1: M_1 \rightarrow p^{-1}(rB^n)$  is a  $p$ -small equivalence,
- (3)  $g| M_1 \cap M_2: M_1 \cap M_2 \rightarrow p^{-1}(\partial rB^n)$  is a  $p$ -small equivalence,
- (4)  $g| M_2: M_2 \rightarrow p^{-1}(R^n - r\dot{B}^n)$  is a  $p$ -small equivalence over  $p^{-1}((r + 1)B^n - r\dot{B}^n)$ ,
- (5)  $g$  is  $p$ -small homotopic to  $f$ .

By using the (estimated) Homotopy Extension Theorem [5, Proposition 2.1] we may assume that  $g = f$  over  $p^{-1}(R^n - (r+2)\dot{B}^n)$ , and  $g$  is  $p$ -small homotopic to  $\tilde{f}$  rel  $(pf)^{-1}(R^n - (r+2)\dot{B}^n)$ . Then  $g$  extends to  $\tilde{g}: M \rightarrow E$  so that  $\tilde{g} = f$  over  $p^{-1}(B - R^n)$ . We conclude that  $\tilde{g}$  is  $p$ -small homotopic to  $f$ . Let  $\tilde{M}_2 = M_2 \cup (M - (pf)^{-1}(R^n))$  and use [5, Proposition 3.2] to conclude that  $\tilde{g}|_{\tilde{M}_2}: \tilde{M}_2 \rightarrow p^{-1}(B - r\dot{B}^n)$  is a  $p$ -small equivalence. (Proposition 3.2 of [5] is a result which enables one to sew together  $\alpha$ -equivalences.)

Let  $s: B - r\dot{B}^n \rightarrow B_1$  be a small CE retraction and let  $\tilde{s}: p^{-1}(B - r\dot{B}^n) \rightarrow p^{-1}(B_1)$  be a CE retraction which covers  $s$ . Consider the composition

$$\tilde{s}\tilde{g}: \tilde{M}_2 \rightarrow p^{-1}(B - r\dot{B}^n) \rightarrow p^{-1}(B_1),$$

which must be a  $p$ -small equivalence for  $r$  large. By our inductive assumption we have  $\tilde{s}\tilde{g}$   $p$ -small homotopic to a homeomorphism  $h_2: \tilde{M}_2 \rightarrow p^{-1}(B_1)$ . Let  $h_0: M_1 \cap \tilde{M}_2 \rightarrow p^{-1}(\partial rB^n)$  be a homeomorphism which is  $p$ -small homotopic to  $g|_{M_1 \cap M_2}: M_1 \cap M_2 \rightarrow p^{-1}(\partial rB^n)$ . By [3, p. 30] we may correct  $h_2$  to get a homeomorphism  $\tilde{h}_2: \tilde{M}_2 \rightarrow p^{-1}(B_1)$  which is  $p$ -small homotopic to  $h_2$  and which agrees with  $h_0$  on  $M_1 \cap M_2$ .

We have a  $p$ -small homotopy  $\tilde{h}_2 \simeq h_2 \simeq \tilde{s}\tilde{g}|_{\tilde{M}_2} \simeq \tilde{g}|_{\tilde{M}_2} \simeq f|_{\tilde{M}_2}$ , because there is a  $p$ -small homotopy  $\tilde{s} \simeq id$ . By the (estimated) homotopy Extension Theorem we can construct a  $p$ -small homotopy of  $f$  to  $f': M \rightarrow E$ , where  $f' = \tilde{h}_2$  on  $\tilde{M}_2$  and  $f'(M_1) \subset p^{-1}(rB^n)$ . We know that there is a  $p$ -small homotopy of  $f'|_{M_1}$  to  $g|_{M_1}: M_1 \rightarrow p^{-1}(rB^n)$ . This implies that  $f'|_{M_1}: M_1 \rightarrow p^{-1}(rB^n)$  is a homotopy equivalence. This means that  $f'|_{M_1}: M_1 \rightarrow p^{-1}(rB^n)$  is homotopic to a homeomorphism  $h_1: M_1 \rightarrow p^{-1}(rB^n)$  rel  $M_1 \cap \tilde{M}_2$ . Then  $\tilde{h}_2$  and  $h_1$  piece together to define a homeomorphism  $h: M \rightarrow E$  which is  $p$ -small homotopic to  $f$ .

PROOF OF THEOREM 1 ( $B$  ARBITRARY). Write  $B = B_1 \cup B_2 \cup \dots$ , where the  $B_i$  are compact subpolyhedra such that  $B_i \cap B_j = \emptyset$  for  $|i - j| \geq 2$ . By repeatedly applying Lemma 2.2 to the interiors of the cells in  $\dot{B}_{2i}$ , in order of decreasing dimension, we can carve out of  $M$  a closed  $Q$ -manifold  $N_{2i} \subset M$  and a proper map  $f_{2i}: N_{2i} \rightarrow p^{-1}(B - \dot{B}_{2i})$  such that  $f_{2i}$  is a  $p$ -small equivalence and  $f_{2i}$  is  $p$ -small homotopic to  $f|_{N_{2i}}$ . In fact,  $N_{2i}$  can be constructed to contain  $(pf)^{-1}(B - \dot{B}_{2i})$  in its interior, for any compact neighborhood  $\tilde{B}_{2i}$  of  $B_{2i}$ . Arrange the  $B_i$  so that each  $B_i \cap B_{i+1}$  is collared in  $B_i$  and  $B_{i+1}$ . Let us write  $N_{2i} = R_{2i} \cup S_{2i}$ , where  $f_{2i}(R_{2i}) \subset B_1 \cup \dots \cup B_{2i-1}$ ,  $f_{2i}(S_{2i}) \subset B_{2i+1} \cup B_{2i+2} \cup \dots$ . Then  $R_{2i}$  and  $S_{2i}$  are disjoint  $Q$ -manifolds such that  $R_{2i}$  is compact. Moreover, there is a  $p$ -small equivalence  $g_{2i}: R_{2i} \rightarrow p^{-1}(B_1 \cup \dots \cup$

$B_{2i-1}$ ) which is  $p$ -small homotopic to  $f|_{R_{2i}}$ .

Using the compact case already established, let  $h_{2i}: R_{2i} \rightarrow p^{-1}(B_1 \cup \dots \cup B_{2i-1})$  be a homeomorphism which is  $p$ -small homotopic to  $g_{2i}$  and therefore  $p$ -small homotopic to  $f|_{R_{2i}}$ . For each  $i$  let  $A_i = h_{2i+2}^{-1}p^{-1}(B_i \cap B_{i+1})$ , which is a bicollared compact  $Q$ -manifold in  $M$ . The  $A_i$  naturally subdivide  $M$  into compact  $Q$ -manifolds  $M_i$  so that

- (1)  $M = M_1 \cup M_2 \cup \dots$ ,
- (2)  $M_i \cap M_j = \emptyset$  for  $|i - j| \geq 2$ ,
- (3)  $M_i \cap M_{i+1} = A_i$ ,
- (4)  $A_i$  is a  $Z$ -set in  $M_i$  and  $M_{i+1}$ .

Moreover, it is easy to see that  $f$  is  $p$ -small homotopic to a map  $f': M \rightarrow E$  such that  $f' = h_{2i+2}$  on  $A_i$  and  $f'|_{M_i}: M_i \rightarrow p^{-1}(B_i)$  is a  $p$ -small equivalence.

Now proceeding as in the proof of the compact case we can find a  $p$ -small homotopy of  $f'|_{M_i}: M_i \rightarrow p^{-1}(B_i)$  rel  $A_{i-1} \cup A_i$  to a homeomorphism of  $M_i$  onto  $p^{-1}(B_i)$ . These homeomorphisms then piece together to give our desired homeomorphism of  $M$  to  $E$ .

**4. Proof of Theorem 2.** We will first need to establish two lemmas. The Hurewicz fibrations which appear in these results have total spaces which are only separable metric spaces. All other spaces are locally compact.

**LEMMA 4.1.** *Let  $p: E \rightarrow B$  be an approximate fibration between ANRs and let  $q: \mathcal{E} \rightarrow B$  be any Hurewicz fibration such that the fibers and the total space are homotopy equivalent to countable complexes. If there is a homotopy equivalence  $h: E \rightarrow \mathcal{E}$  for which  $qh \simeq p$ , then for every open cover  $\alpha$  of  $B$  there are maps  $E \xrightarrow{f} \mathcal{E}$  such that  $fg$  is  $q^{-1}(\alpha)$ -homotopic to  $id$  and  $gf$  is  $p^{-1}(\alpha)$ -homotopic to  $id$ . (We call  $f$  an approximate fiber homotopy equivalence.)*

**REMARKS ON PROOF.** In case  $E \rightarrow B$  is also a Hurewicz fibration, the given homotopy  $qh \simeq p$  enables us to homotop  $h$  to a fiber preserving map  $f: E \rightarrow \mathcal{E}$ . (This means that  $qf = p$ .) By [4, Theorem 2.2] we conclude that  $f$  is a fiber homotopy equivalence (f.h.e). If  $g: \mathcal{E} \rightarrow E$  is a fiber homotopy inverse of  $f$ , then  $f$  and  $g$  fulfill our requirements.

In the general case  $E \rightarrow B$  is only assumed to be an approximate fibration, but we can still homotop  $h$  to a fiber preserving map  $f: E \rightarrow \mathcal{E}$ . However  $f$  cannot be a f.h.e. in all cases. There is a "delooping trick" which enables one to construct a map  $g: \mathcal{E} \rightarrow E$  which is approximately fiber preserving, and so that there are homotopies  $fg \simeq id$ ,  $gf \simeq id$  which are approximately fiber preserving [9]. It is clear that such maps  $f$  and  $g$  fulfill our requirements.



LEMMA 4.2. *If  $q: \mathcal{E} \rightarrow B$  is any Hurewicz fibration over an ANR whose fibers are homotopy equivalent to  $S^1$  and whose total space is homotopy equivalent to a countable complex, then  $\mathcal{E}$  is f.h.e. to a fiber bundle over  $B$  with fibers  $S^1$ .*

PROOF. First assume that  $B$  is a polyhedron. By inductively working our way through the skeleta of  $B$  our problem is quickly reduced to the following: If  $q: \mathcal{E} \rightarrow \Delta$  is a Hurewicz fibration over an  $n$ -cell and  $f: q^{-1}(\partial\Delta) \rightarrow E$  is a f.h.e. to an  $S^1$ -fiber bundle  $E \rightarrow \partial\Delta$  then  $f$  extends to a f.h.e.  $\tilde{f}: \mathcal{E} \rightarrow \tilde{E}$ , where  $\tilde{E} \rightarrow \Delta$  is an  $S^1$ -fiber bundle extending  $E \rightarrow \partial\Delta$ . It is well-known that the inclusion of the homeomorphisms of  $S^1$  into the self-homotopy equivalences of  $S^1$  is a homotopy equivalence. From this we conclude that the bundle  $E \rightarrow \partial\Delta$  is trivial and  $f$  extends in the required manner.

For the general case of an ANR base let  $B_1$  be a polyhedron for which there is a homotopy equivalence  $h: B_1 \rightarrow B$ . Form the following diagram:

$$\begin{array}{ccccccc}
 E_1 & \xrightarrow{h_3} & E & \xrightarrow{h_2} & \mathcal{E}_1 & \xrightarrow{h_1} & \mathcal{E} \\
 q_3 \downarrow & & q_2 \downarrow & & q_1 \downarrow & & q \downarrow \\
 B & \xrightarrow{h^{-1}} & B_1 & \xrightarrow{id} & B_1 & \xrightarrow{h} & B
 \end{array}$$

The last rectangle is a pull-back diagram. Thus  $q_1: \mathcal{E}_1 \rightarrow B_1$  is a Hurewicz fibration over a polyhedron and  $h_1$  is a homotopy equivalence for which  $qh_1 = hq_1$ . (To see that  $h_1$  is a homotopy equivalence use the five lemma and the homotopy sequences of  $q_1$  and  $q$ .) In the middle rectangle  $q_2: E \rightarrow B_1$  is an  $S^1$ -fiber bundle and  $h_2$  is a f.h.e., which follows from the special case above. The first rectangle is also a pull-back diagram, where  $h^{-1}$  is a homotopy inverse of  $h$ . Then  $E_1 \rightarrow B$  is an  $S^1$ -fiber bundle over  $B$  and there is a homotopy equivalence  $h_4: E_1 \rightarrow \mathcal{E}$  for which  $qh_4 \simeq q_3$  (Let  $h_4 = h_1h_2h_3$ .) Just as in Lemma 4.1,  $h_4$  must be homotopic to a f.h.e. ■

PROOF OF THEOREM 2. 1. If  $q: \mathcal{E} \rightarrow B$  is the mapping path fibration of the map  $p: E \rightarrow B$ , then there is a homotopy equivalence  $h: E \rightarrow \mathcal{E}$  such that  $qh \simeq p$  [17, p. 99]. We can use Lemma 4.1 to construct an approximate f.h.e.  $f: E \rightarrow \mathcal{E}$ . Since the fibers of  $p: E \rightarrow B$  are shape equivalent to  $S^1$  we conclude that the fibers of  $q: \mathcal{E} \rightarrow B$  are homotopy equivalent to  $S^1$ . Thus by Lemma 4.2 there is an  $S^1$ -fiber bundle  $q_1: E_1 \rightarrow B$  and a f.h.e.  $h_1: \mathcal{E} \rightarrow E_1$ . Then  $h_1h: E \rightarrow E_1$  is a  $q_1^{-1}(\alpha)$ -

equivalence which is homotopic to an approximate fiber preserving homeomorphism  $g: E \rightarrow E_1$ , by Theorem 1. Thus  $q_1g: E \rightarrow B$  is a fiber bundle projection which is close to  $p$ . 2. With the given conditions it follows from [4, Theorem 4] that the mapping path fibration of  $p$  is f.h.e. to a fiber bundle  $q_1: E_1 \rightarrow B$  with fiber a compact  $Q$ -manifold whose fundamental group is free abelian. By Theorem 1 we conclude that there is a homeomorphism  $g: E \rightarrow E_1$  which is approximately fiber preserving. Then proceed as in 1.

3. If  $B$  is a 2-dimensional polyhedron, then it follows from [4, Theorem 3] that the mapping path fibration of  $p$  is f.h.e. to a fiber bundle with fiber a simply connected compact  $Q$ -manifold. Then proceed as in 1. If  $B$  is only assumed to be homotopy equivalent to a 2-dimensional polyhedron, then we can use pull-back diagrams as in the proof of Lemma 4.2 to prove that the mapping path fibration of  $p$  is still f.h.e. to a fiber bundle with fiber a simply connected compact  $Q$ -manifold. (The use of these pull-back diagrams avoids the unnecessary assumption in [4, Theorem 3] that  $B$  be simple homotopy equivalent to a 2-dimensional polyhedron.)

4. We proceed as in 3.

5. **Some Lemmas for Theorem 3.** In this section we establish two results which will be needed for the proof of Theorem 3. These results, the Handle Lemma and Handle Theorem, are generalizations of two similarly-named results from [5]. It turns out that the proofs of these generalizations are quite similar to the proofs given in [5]. Thus we will assume that the reader is familiar with [5], and our duty will be to describe only the necessary changes in proofs.

Here is some notation which we will need for our Handle Lemma. Let  $V^{m+1}$  be a topological manifold,  $n = m + k \geq 6$ , and let  $f: V \rightarrow B^k \times R^m \times S^1$  be a proper map such that  $\partial V = f^{-1}(\partial B^k \times R^m \times S^1)$  and  $f$  is a homeomorphism over  $(B^k - \frac{1}{2}\dot{B}^k) \times R^m \times S^1$ .  $p$  will denote  $\text{proj}: B^k \times R^m \times S^1 \rightarrow B^k \times R^m$ .

**HANDLE LEMMA.** *For every  $\epsilon > 0$  there exists a  $\delta > 0$  so that if  $f$  is a  $p^{-1}(\delta)$ -equivalence over  $B^k \times 3B^m \times S^1$  and  $m \geq 1$ , then*

(1) *there exists a  $p^{-1}(\epsilon)$ -equivalence  $F: B^k \times R^m \times S^1 \rightarrow B^k \times R^m \times S^1$  such that  $F = \text{id}$  over  $[(B^k - \frac{5}{6}\dot{B}^k) \times R^m \cup B^k \times (R^m - 4\dot{B}^m)] \times S^1$ .*

(2) *there exists a homeomorphism  $\varphi: f^{-1}(U) \rightarrow F^{-1}(U)$  such that  $F\varphi = f|_{f^{-1}(U)}$ , where  $U = [(B^k - \frac{5}{6}\dot{B}^k) \times R^m \cup B^k \times 2B^m] \times S^1$ .*

**REMARKS.** (1) The reader will notice that the only difference between the above statement and the statement of the Handle Lemma of [5] is the extra  $S^1$ -factor.

(2)  $\delta$  depends only on  $n$  and  $\epsilon$ . It is calculated with respect to the standard metric on  $B^k \times R^m$ .

CHANGES IN PROOF. Here is the Main Diagram which has to be constructed. Note that this is obtained from the corresponding diagram of [5] by multiplying everything by  $S^1$ .

$$\begin{array}{ccc}
 B^k \times R^m \times S^1 & \xrightarrow{F} & B^k \times R^m \times S^1 \\
 \uparrow j \times id & & \uparrow j \times id \\
 B^k \times R^m \times S^1 & \xrightarrow{F'} & B^k \times R^m \times S^1 \\
 \downarrow id \times e^m \times id & & \downarrow \\
 B^k \times T^m \times S^1 & \xleftarrow{h} W_3 \xrightarrow{f_3} & B^k \times T^m \times S^1 \\
 & \uparrow & \uparrow id \times e^m \times id \\
 & W_2 & \xrightarrow{f_2} (B^k \times T^m - \dot{D}^m) \times S^1 \\
 & \downarrow & \downarrow \\
 & W_1 & \xrightarrow{f_1} [B^k \times T^m - (\frac{2}{3} B^k \times \{x_0\})] \times S^1 \\
 & \uparrow & \uparrow \\
 & W_0 & \xrightarrow{f_0} B^k \times T_0^m \times S^1 \\
 i_0 \downarrow & & \downarrow id \times i \times id \\
 V & \xrightarrow{f} & B^k \times R^m \times S^1
 \end{array}$$

1. **Construction of  $W_0$ .** Just as in [5],  $W_0$  is the fiber product of  $f$  and  $id \times i \times id$ . It easily follows from [5] that for any compactum  $C$  in  $B^k \times T_0^m$  and any  $\delta_0 > 0$ ,  $\delta$  can be chosen small enough so that  $f_0$  is a  $p^{-1}(\delta_0)$ -equivalence over  $C \times S^1$ . (We have in mind the compactum  $C = B^k \times Y_3$  as defined in [5].) Also  $p$  will be used to denote projection of  $B^k \times T_0^m \times S^1$  to  $B^k \times T_0^m$ .

II. **Construction of  $W_1$ .** Just as in [5],  $W_1$  is formed so that  $f_1$  is a  $p^{-1}(\delta_1)$ -equivalence over

$$[B^k \times T^m - \frac{3}{4} \dot{B}^k \times (T^m - Y_2)] \times S^1.$$

III. **Construction of  $W_2$ .** This is the first step in which there is a significant variation from the corresponding step in [5]. Consider the open set

$$G = \left[ \frac{4}{5} \quad \dot{B}^k \times (T^m - Y_1) \right] - \left[ \frac{3}{4} \quad B^k \times (T^m - \dot{Y}_2) \right],$$

which we identify with  $S^{n-1} \times R$ . If  $\delta_1$  is small enough, then  $f_1$  restricts to a proper map

$$f_1|_{f_1^{-1}(S^{n-1} \times R \times S^1)} : f_1^{-1}(S^{n-1} \times R \times S^1) \rightarrow S^{n-1} \times R \times S^1$$

which is a  $p^{-1}(\delta_1)$ -equivalence over  $S^{n-1} \times [-2, 2] \times S^1$ . By Theorem 6.10 of [5] there is a codimension 1, bicollared, compact submanifold  $S$  of  $f_1^{-1}(S^{n-1} \times (-1, 1) \times S^1)$  such that  $S$  separates  $f_1^{-1}(S^{n-1} \times \{-1\} \times S^1)$  from  $f_1^{-1}(S^{n-1} \times \{1\} \times S^1)$  and  $f_1|_S : S \rightarrow S^{n-1} \times R \times S^1$  is a homotopy equivalence.

**ASSERTION.**  $S$  is homeomorphic to  $S^{n-1} \times S^1$ .

**PROOF.** We have a homotopy equivalence,

$$S \xrightarrow{f_1} S^{n-1} \times R \times S^1 \xrightarrow{\text{proj}} S^{n-1} \times S^1.$$

Since  $\dim S \geq 6$  it follows from the Fibered Theorem of [7] that

$$S \xrightarrow{f_1} S^{n-1} \times R \times S^1 \xrightarrow{\text{proj}} S^1$$

is homotopic to a fiber bundle projection  $p : S \rightarrow S^1$ . The fiber of this map is  $S^{n-1}$  and the characteristic map of the bundle  $p : S \rightarrow S^1$  is a homeomorphism  $w : S^{n-1} \rightarrow S^{n-1}$ , which must be homotopic to  $id$  because  $S$  is homotopy equivalent to a trivial bundle over  $S^1$ . Therefore  $w$  is isotopic to  $id$  (see [12, p. 34]). This means that the bundle  $p : S \rightarrow S^1$  is trivial and therefore  $S \simeq S^{n-1} \times S^1$ .

Define an  $n$ -ball by

$$D^n = \frac{3}{4} B^k \times (T^m - \dot{Y}_2)$$

and let  $W_2$  be the closure of the component of  $W_1 - S$  containing  $f_1^{-1}(Y_0 \times S^1)$ . Our map  $f_2 : W_2 \rightarrow (B^k \times T^m - \dot{D}^n) \times S^1$  is defined by  $f_2 = f_1|_{W_2}$ .

IV. **Construction of  $W_3$ .**  $W_3$  is constructed from  $W_2$  by attaching a copy of  $B^n \times S^1$  to  $W_2$  along  $S$ .  $W_3$  is a compact  $(n+1)$ -manifold which is homotopy equivalent to  $B^k \times T^m \times S^1$ . Just as in [5] we can construct a  $p^{-1}(\delta_3)$ -equivalence  $f_3: W_3 \rightarrow B^k \times T^m \times S^1$  which agrees with  $f_1$  over

$$[(B^k - \frac{5}{6}\dot{B}^k) \times T^m \cup B^k \times Y_0] \times S^1.$$

$\delta_3$  can be made as small as we please by making  $\delta_1$  small.

V. **Construction of  $h$ .** It follows from [16, p. 280] that there is a homeomorphism  $h: W_3 \rightarrow B^k \times T^m \times S^1$  which agrees with  $f_3$  over  $(B^k - (5/6)\dot{B}^k) \times T^m \times S^1$  and which is homotopic to  $f_3 \text{ rel } f_3^{-1}((B^k - (5/6)\dot{B}^k) \times T^m \times S^1)$ .

VI. **Construction of  $F'$ .** In this step we also encounter a significant variation from the corresponding step in [5]. Let  $\tilde{F}: B^k \times R^m \times S^1 \rightarrow B^k \times R^m \times S^1$  be the covering of  $f_3 h^{-1}$  which is the  $id$  on  $(B^k - (5/6)\dot{B}^k) \times R^m \times S^1$ . Since  $f_3 h^{-1} \simeq id$  it follows that  $\tilde{F}$  is bounded. If  $\delta_3$  is small, then  $\tilde{F}$  must be a  $p^{-1}(\epsilon_1)$ -equivalence for a small  $\epsilon_1$ . Note that the homotopy  $f_3 h^{-1} \simeq id$  also implies that there is a bounded homotopy  $\tilde{F} \simeq id \text{ rel } (B^k - (5/6)\dot{B}^k) \times R^m \times S^1$ . By using this homotopy of  $\tilde{F}$  to  $id$  only in the  $S^1$ -factor we can homotop  $\tilde{F}$  to a map  $F': B^k \times R^m \times S^1 \rightarrow B^k \times R^m \times S^1$  such that /

- (1)  $F' = \tilde{F}$  over  $[(B^k - (5/6)\dot{B}^k) \times R^m \cup B^k \times 4B^m] \times S^1$ ,
- (2)  $pF' = p\tilde{F}$ ,
- (3)  $qF' = q$  over a neighborhood of  $\infty$ , where  $q = \text{proj}: B^k \times R^m \times S^1 \rightarrow S^1$ .

VII. **Construction of  $j$ .** This goes exactly as in [5].

VIII. **Construction of  $F$ .** This also goes exactly as in [5]. This is one small catch. The verification that  $F$  is a  $p^{-1}(\epsilon)$ -equivalence is more complicated than what occurs in [5]. For details, the reader should consult Step C in the proof of the Handle Lemma of [2].

IX. **Construction of  $\varphi$ .** This goes exactly as in [5].

For the Handle Theorem we use the same notation as in the Handle Lemma.

**HANDLE THEOREM.** *For every  $\epsilon > 0$  there exists a  $\delta > 0$  so that if  $f$  is a  $p^{-1}(\delta)$ -equivalence over  $B^k \times 3B^m \times S^1$ , then there exists a proper map  $\tilde{f}: V \rightarrow B^k \times R^m \times S^1$  such that*

- (1)  $\tilde{f}$  is a  $p^{-1}(\epsilon)$ -equivalence over  $B^k \times 2.5B^m \times S^1$ ,
- (2)  $\tilde{f} = f$  over  $[(B^k - 2/3\dot{B}^k) \times R^m \cup B^k \times (R^m - 2\dot{B}^m)] \times S^1$ ,
- (3)  $\tilde{f}$  is a homeomorphism over  $B^k \times B^m \times S^1$ .

PROOF. In [5] this result was established in the case that  $S^1 = \{\text{point}\}$ . The main ingredients of proof were a Handle Lemma and the following fact: *If  $W^n$  is a compact manifold and  $g: W^n \rightarrow B^n$  is a homotopy equivalence which is a homeomorphism from  $\partial W$  to  $\partial B^n$ , then  $g|_{\partial W}$  extends to a homeomorphism  $\tilde{g}: W \rightarrow B^n$ .*

Using the Handle Lemma of this paper we can repeat the proof given in [5] provided that we have the following result: *If  $W^{n+1}$  is a compact manifold and  $g: W \rightarrow B^n \times S^1$  is a homotopy equivalence which is a homeomorphism from  $\partial W$  to  $\partial B^n \times S^1$ , then  $g|_{\partial W}$  extends to a homeomorphism.* But this is established in [16, p. 280].

6. **Proof of Theorem 3.** As in § 5 we can rely on [5] for most of our details. Let  $f: M \rightarrow E$  be a  $p^{-1}(\beta)$ -equivalence which is a homeomorphism from  $\partial M$  to  $\partial E$ . Just as in the proof of the  $\alpha$ -Approximation Theorem of [5] we can use the Handle Theorem of § 5 to construct a homeomorphism  $g: M \rightarrow E$  which is  $p^{-1}(\alpha_1)$ -close to  $f$  and which agrees with  $f$  on  $\partial M$ . This requires an induction over small handles in  $B$ , and  $\alpha_1$  can be chosen fine by choosing  $\beta$  fine. We have to do a little more work to get a homeomorphism which is  $p^{-1}(\alpha)$ -homotopic to  $f$ .

Consider the map  $fg^{-1}: E \rightarrow E$ , which is  $p^{-1}(\alpha_1)$ -close to  $id$ . Then  $fg^{-1}$  is easily seen to be  $p^{-1}(\alpha_2)$ -homotopic to a fiber-preserving map  $k: E \rightarrow E$ , where  $\alpha_2$  can be chosen fine by choosing  $\beta$  fine. Now  $k$  is a homotopy equivalence which is fiber preserving. By [4, Theorem 2.2] we conclude that  $k$  is a f.h.e. Just as in the proof of Lemma 4.2 we see that  $k$  is fiber homotopic to a fiber preserving homeomorphism  $k_1: E \rightarrow E$ . Then  $h = k_1g: M \rightarrow E$  is a homeomorphism which is  $p^{-1}(\beta)$ -homotopic to  $f$ .

7. **Proof of Theorem 4.** We proceed as in the proof of Theorem 2. By Lemmas 4.1 and 4.2 there is a fiber bundle  $q: E_1 \rightarrow B$  which fiber  $S^1$  and an approximate f.h.e.  $f: E \rightarrow E_1$ . It is easy to adjust  $f$  so that  $f|_{\partial E}: \partial E \rightarrow \partial E_1$  is a f.h.e. Since  $f|_{\partial E}$  is a f.h.e. between bundles with fiber  $S^1$ , then  $f|_{\partial E}$  is fiber homotopic to a fiber preserving homeomorphism  $f_0: \partial E \rightarrow \partial E_1$ . Then  $f$  can be homotoped to an approximate f.h.e.  $f': E \rightarrow E_1$  so that  $f'|_{\partial E} = f_0$ . Using Theorem 3 there is a homeomorphism  $h: E \rightarrow E_1$  so that  $qh$  is close to  $qf'$  and  $h|_{\partial E}$  is fiber preserving. Then  $qh: E \rightarrow B$  is a fiber bundle projection which equals  $p$  on  $\partial E$  and which is  $p^{-1}(\alpha)$ -homotopic to  $p$  rel  $\partial E$ .

8. **Proof of Theorem 5.** The following handle lemma is the main step in the proof of Theorem 5. This should be compared with the Handle Lemma 4.1 of [13].

LEMMA 8.1. *Let  $h: B^k \times R^m \times R^2 \rightarrow B^k \times R^m \times R^2$  be an open embedding,  $k + m \geq 5$ , such that  $h = id$  on  $B^k \times R^m \times \{0\}$  and  $h$  is fiber preserving on  $(B^k - 1/2 \dot{B}^k) \times R^m \times R^2$  (i.e.,*

$h(\{x\} \times R^2) \subset [x] \times R^2$ , for all  $x \in (B^k - 1/2 \dot{B}^k) \times R^m$ . Then there exists a homeomorphism  $f: B^k \times R^m \times R^2 \rightarrow B^k \times R^m \times R^2$  such that

- (1)  $f = \text{id}$  on  $(B^k \times R^m \times \{0\}) \cup (\partial B^k \times R^m \times R^2)$ ,
- (2)  $f$  has compact support,
- (3)  $fh$  is fiber preserving on some neighborhood of  $B^k \times \{0\} \times \{0\}$ .

PROOF. Without loss of generality we may assume that  $h(\{x\} \times \partial(tB^2)) = \{x\} \times \partial(tB^2)$  for all  $x \in (B^k - 1/2 \dot{B}^k) \times R^m$  and all  $t$ . To see this we first use the Kister-Mazur result to construct a new open embedding  $h_1: B^k \times R^m \times R^2 \rightarrow B^k \times R^m \times R^2$  for which

- (1)  $h_1 = \text{id}$  on  $B^k \times R^m \times \{0\}$ ,
- (2)  $h_1$  is fiber preserving on  $(B^k - 1/2 \dot{B}^k) \times R^m \times R^2$ ,
- (3)  $h_1(\{x\} \times R^2) = \{x\} \times R^2$ , for all  $x \in (3/4 B^k - 2/3 \dot{B}^k) \times R^m$ ,
- (4)  $h_1 = h$  on  $1/2 B^k \times R^m \times R^2$ .

By the Kneser result we may assume that each restriction,

$$h_1|_{\{x\} \times R^2}: \{x\} \times R^2 \rightarrow \{x\} \times R^2,$$

lies in the orthogonal group  $O(2)$ , for all  $x \in (3/4 B^k - 2/3 \dot{B}^k) \times R^m$ . This implies that  $h_1(\{x\} \times \partial(tB^2)) = \{x\} \times \partial(tB^2)$ , for all  $x \in (3/4 B^k - 2/3 \dot{B}^k) \times R^m$ . Then all we have to do is establish our result for the restriction,

$$h_1|_{3/4 B^k \times R^m \times R^2}: 3/4 B^k \times R^m \times R^2 \rightarrow 3/4 B^k \times R^m \times R^2.$$

By a *squeeze* we will mean an open embedding  $s: B^k \times 2\dot{B}^m \times R^2 \rightarrow B^k \times 2\dot{B}^m \times R^2$  which is of the form

$$s(x, y) = (x, \theta(x) \cdot y),$$

where  $\theta: B^k \times 2\dot{B}^m \rightarrow (0, 1]$  is a map which sends  $B^k \times B^m$  to 1 and for which  $\lim \{\theta(x) | x \rightarrow B^k \times \partial(2B^m)\} = 0$ . Note that squeezes  $s$  can be chosen so that

$$hs(B^k \times 2\dot{B}^m \times 2B^2) \subset B^k \times 2\dot{B}^m \times R^2.$$

Now choose squeezes  $s_i$  along with associated maps  $\theta_i$ ,  $1 \leq i \leq 5$ , so that

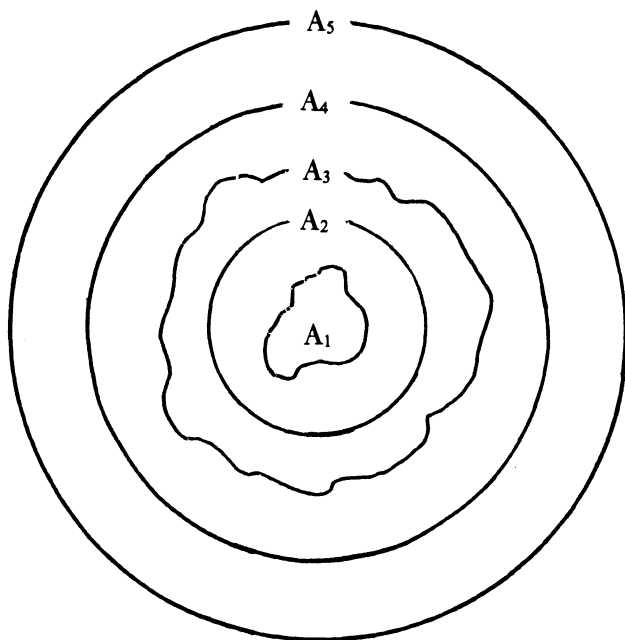
- (1)  $\theta_4 = 1/2 \theta_5$ ,
- (2)  $\theta_3 = \theta_4$  on  $\partial B^k \times 2\dot{B}^m$ ,
- (3)  $hs_i(B^k \times 2\dot{B}^m \times B^2) \subset s_{i+1}(B^k \times 2\dot{B}^m \times B^2)$ , for  $i = 1$  and  $3$ ,
- (4)  $s_2(B^k \times 2\dot{B}^m \times B^2) \subset hs_3(B^k \times 2\dot{B}^m \times B^2)$ .

Let

$$A_i = s_i(B^k \times 2\dot{B}^m \times B^2), \quad \text{for } i = 2, 4, 5,$$

$$A_i = hs_i(B^k \times 2\dot{B}^m \times B^2), \quad \text{for } i = 1, 3.$$

Here is a picture.



Let  $r : A_5 - \mathring{A}_2 \rightarrow A_5 - \mathring{A}_4$  be the natural fiber preserving retraction. ( $\mathring{A}_i$  = topological interior,  $Bd(A_i)$  = topological boundary,  $\partial A_i$  = combination boundary.) By restriction, this induces a retraction

$$\bar{r} : A_5 - \mathring{A}_3 \rightarrow A_5 - \mathring{A}_4.$$

ASSERTION 1.  $\bar{r} \simeq \text{id rel } A_5 - \mathring{A}_4$ .

PROOF. If  $u : h^{-1}(A_3 - \mathring{A}_1) \rightarrow h^{-1}(Bd(A_3))$  is the natural fiber preserving retraction, then the retraction

$$huh^{-1} : A_3 - \mathring{A}_1 \rightarrow Bd(A_3)$$

induces a retraction  $v : A_5 - \mathring{A}_1 \rightarrow A_5 - \mathring{A}_3$ . If  $r_t : r \simeq \text{id rel } A_5 - \mathring{A}_4$  is the natural fiber preserving homotopy, then  $ur_t|_{A_5 - \mathring{A}_3} : \bar{r} \simeq \text{id}$  is our desired homotopy.

Note that  $s_5^{-1}$  gives a homeomorphism of  $A_5 - \mathring{A}_4$  onto  $B^k \times 2\dot{B}^m \times (B^2 - 1/2\dot{B}^2)$ . Then define

$$g = s_5^{-1}\bar{r} : A_5 - \mathring{A}_3 \rightarrow B^k \times 2\dot{B}^m \times (B^2 - 1/2\dot{B}^2).$$



The restriction  $g|Bd(A_3)$  takes  $Bd(A_3)$  to  $B^k \times 2\dot{B}^m \times \partial(1/2 B^2)$ , and therefore we have a map,

$$g_1: B^k \times 2\dot{B}^m \times \partial B^2 \xrightarrow{hs_3} Bd(A_3) \xrightarrow{g} B^k \times 2\dot{B}^m \times \partial(1/2 B^2).$$

If the  $s_i$  squeeze everything sufficiently close to  $B^k \times 2\dot{B}^m \times \{0\}$ , then  $g_1$  is nearly fiber preserving. Also  $g_1| \partial B^k \times 2\dot{B}^m \times \partial B^2$  is fiber preserving. By performing a nearly fiber preserving homotopy we can adjust  $g_1$  slightly rel  $\partial B^k \times 2\dot{B}^m \times \partial B^2$  so that it is fiber preserving. Since the inclusion of the homeomorphisms of  $\partial B^2$  into the homotopy equivalences of  $\partial B^2$  is a homotopy equivalence, we conclude that there is a nearly fiber preserving homotopy  $g_1 \simeq g_2$  rel  $\partial B^k \times 2\dot{B}^m \times \partial B^2$ , where  $g_2$  is a fiber preserving homeomorphism. Using the (estimated) Homotopy Extension Theorem, there is a nearly fiber preserving homotopy  $g \simeq \bar{g}$  rel  $\partial(A_5 - A_3)$ , where  $\bar{g}$  is a map of  $A_5 - \dot{A}_3$  to  $B^k \times 2\dot{B}^m \times (B^2 - 1/2 \dot{B}^2)$  which agrees with  $g$  on  $\partial(A_5 - A_3)$  and which agrees with  $g_2(hs_3)^{-1}$  on  $Bd(A_3)$ . Thus  $\bar{g}| \partial(A_5 - \dot{A}_3)$  is a homeomorphism of  $\partial(A_5 - \dot{A}_3)$  onto  $\partial(B^k \times 2\dot{B}^m \times (B^k - 1/2 \dot{B}^k))$ .

If all the  $s_i$  squeeze everything sufficiently close to  $B^k \times 2\dot{B}^m \times \{0\}$ , the map  $\bar{g}$  is easily seen to be a  $p^{-1}(\alpha)$ -equivalence, for a fine open cover  $\alpha$  of  $B^k \times 2\dot{B}^m \times [0, 1]$ . (Here  $p$  is the projection of  $B^k \times 2\dot{B}^m \times (B^2 - 1/2 \dot{B}^2)$  to  $B^k \times 2\dot{B}^m \times [0, 1]$ , where  $B^2 - 1/2 \dot{B}^2$  is naturally homeomorphic to  $[0, 1] \times S^1$ .) Using Theorem 3 there is a homeomorphism  $k: A_5 - \dot{A}_3 \rightarrow B^k \times 2\dot{B}^m \times (B^2 - 1/2 \dot{B}^2)$  which is  $p$ -close to  $\bar{g}$  and such that  $k = \bar{g}$  on  $\partial(A_5 - \dot{A}_3)$ . Then  $w = s_5 k: A_5 - \dot{A}_3 \rightarrow A_5 - \dot{A}_4$  is a homeomorphism which is *id* on  $\partial(A_5 - A_4)$ .

**ASSERTION 2.**  $w|Bd(A_3): Bd(A_3) \rightarrow Bd(A_4)$  extends to a homeomorphism  $\tilde{w}: A_3 \rightarrow A_4$  which is the identity on  $(B^k \times 2\dot{B}^m \times \{0\}) \cup hs_3(\partial B^k \times 2\dot{B}^m \times B^2)$ .

**PROOF.**  $w$  is the composition

$$Bd(A_3) \xrightarrow{(hs_3)^{-1}} B^k \times 2\dot{B}^m \times \partial B^2 \xrightarrow{g_2} B^k \times 2\dot{B}^m \times \partial(1/2 B^2) \xrightarrow{s_5} Bd(A_4).$$

The first and third maps have obvious extensions, and the middle map  $g_2$  has an extension by coning.

Now  $w$  and  $\tilde{w}$  piece together to give a homeomorphism  $\alpha: A_5 \rightarrow A_5$  such that

- (1)  $\alpha| \partial A_5 = id$ ,
- (2)  $\alpha| B^k \times 2\dot{B}^m \times \{0\} = id$ ,
- (3)  $\alpha hs_3| B^k \times 2\dot{B}^m \times B^2$  is fiber preserving,
- (4)  $\alpha$  extends to a homeomorphism  $\bar{\alpha}: \bar{A}_5 \rightarrow \bar{A}_5$  via the identity, where  $\bar{A}_5 =$  topological closure.

(Condition (4) is true because the homeomorphism  $k$  is  $p$ -close to  $\bar{g}$ .) Then  $\alpha$  extends via the identity to our required map  $f$ .

PROOF OF THEOREM 5. Theorem 5 follows from Lemma 8.1 in a standard way. Cover  $M$  by  $\{R_i^n\}_{i=1}^\infty$ , a star-finite collection of coordinate-charts,  $R_i^n \equiv R^n$ . Then each  $R_i^n$  has a normal microbundle in  $N$ , and the idea is to use Lemma 8.1 to mesh them together. We can choose  $\{R_i^n\}$  so that it has a refinement  $\{C_i\}$ , where  $C_i \subset R_i^n$  is compact and  $M = \bigcup_{i=1}^\infty C_i$ . So we only need to mesh the normal microbundles together over the  $C_i$ .

Looking at  $R_1^n$  and  $R_2^n$ , we have normal microbundles  $\nu_i: E_i \rightarrow R_i^n$ ,  $i = 1, 2$ , where the  $E_i$  are open subsets of  $N$ . By inductively applying Lemma 8.1 over handles in  $R_1^n$  we can construct a normal microbundle  $\nu: E \rightarrow U$ , where  $U \subset R_1^n \cup R_2^n$  is an open set containing  $C_1 \cup C_2$ . This is essentially the inductive step in the construction of a global normal microbundle. Details are left to the reader.

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