

ON GENERATING SUBGROUPS OF THE AFFINE GROUP ON THE PLANE BY PAIRS OF INFINITESIMAL TRANSFORMATIONS*

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ABSTRACT. Let G be a Lie group with Lie algebra g and let X and Y be elements of g . If every element of G can be written as a product of elements taken alternately from $\exp(tX)$ and $\exp(tY)$, X and Y are said to generate G . A classification will be obtained here of all Lie subgroups of the affine group acting on the plane; for each such group, necessary and sufficient conditions will be found that a pair of elements in the Lie algebra generate the group. All but three subgroups of the affine group can be so generated.

I. Introduction. The real affine group $A(2)$ acting on the plane is the set of all transformations from \mathbb{R}^2 to \mathbb{R}^2 of the form $v \rightarrow Av + \ell$, where $A \in GL(2, \mathbb{R})$ and $\ell \in \mathbb{R}^2$. From now on denote such a transformation by $\langle A, \ell \rangle$. Then $\langle A, \ell \rangle \circ \langle B, m \rangle = \langle AB, \ell + Am \rangle$. The Lie algebra $a(2)$ of $A(2)$ consists of all $\langle A, \ell \rangle$ with $A \in M_2(\mathbb{R})$ and $\ell \in \mathbb{R}^2$; $[\langle A, \ell \rangle, \langle B, m \rangle] = \langle AB - BA, Am - B\ell \rangle$. We shall determine all Lie subalgebras of $a(2)$ up to conjugacy, and thereby all connected Lie subgroups of $A(2)$ up to conjugacy.

A connected Lie group G is generated by a pair of one-parameter subgroups if every element of G can be written as a finite product of elements chosen alternately from the two one-parameter subgroups. This happens just in case the Lie algebra of G is generated by the corresponding pair of infinitesimal transformations, because the set of all such finite products is an arcwise connected subgroup of G and so a Lie subgroup by Yamabe's theorem [4]. It is known that all connected subgroups of the Moebius group $w = (\alpha z + \beta)/(\nu z + \zeta)$, α, β, ν , and ζ complex, can be generated by an appropriate pair of infinitesimal transformations with the exception of the group $w = \alpha z + \beta$, $\alpha > 0$ [2]. This group is also a subgroup of $A(2)$; we will show that all subgroups of $A(2)$, with the exception of this group and two others, can be generated by a suitable pair of infinitesimal transformations.

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II. Subalgebras of $M_2(R)$.

THEOREM 1. *Let g be a subalgebra of $M_2(R)$. Then g is conjugate to precisely one of the following:*

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| (1) $\{0\}$ | (2) $R \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}$ where $ \lambda \leq 1$ |
| (3) $R \begin{pmatrix} \lambda & -1 \\ 1 & \lambda \end{pmatrix}$ where $0 \leq \lambda$ | (4) $R \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ |
| (5) $R \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ | (6) $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \right\}$ |
| (7) $\left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \right\}$ | (8) $\left\{ \begin{pmatrix} a & -b \\ b & a \end{pmatrix} \right\}$ |
| (9) $\left\{ \begin{pmatrix} \lambda a & b \\ 0 & (\lambda + 1)a \end{pmatrix} \right\}$ where $\lambda \in R$ | (10) $\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \right\}$ |
| (11) $\mathfrak{sl}(2, R)$ | (12) $M_2(R)$. |

PROOF. The rational canonical form theorem implies that each one-dimensional g is conjugate to an algebra listed in (2) through (5).

LEMMA. *If $g \subset M_2(R)$ is isomorphic to $R \oplus R$, g contains the identity matrix I .*

PROOF. Suppose not and let e and f generate g . Choose $h \in M_2(R)$ so $\{e, f, I, h\}$ is a basis for $M_2(R)$. Then $\mathfrak{sl}(2, R) = [M_2(R), M_2(R)]$ is generated by $[e, h]$ and $[f, h]$, although it is three dimensional.

Assume that g is isomorphic to $R \oplus R$ and choose e so e and I generate g ; after suitable conjugation we may suppose that e is one of the matrices listed in (2) through (5); after subtracting a suitable multiple of I , we may suppose that e is one of $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$, $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$. Thus g is conjugate to 6, 7, or 8; no two of these algebras are conjugate because every matrix in 6 is diagonalizable and every matrix in 7 has at least one real eigenvalue.

If g is two dimensional and non-abelian, g has a basis $\{e, f\}$ so $[e, f] = e$. Notice that $\text{tr } e = 0$; after suitable conjugation, then, $e = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, or $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$. Let $f = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$; $[e, f]$ is then $\begin{pmatrix} 0 & 2b \\ -2c & 0 \end{pmatrix}$, $\begin{pmatrix} -b-c & a-d \\ a-d & b+c \end{pmatrix}$, or $\begin{pmatrix} c & d-a \\ 0 & -c \end{pmatrix}$; this can equal e only if $e = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ and $f = \begin{pmatrix} a & b \\ 0 & a+1 \end{pmatrix}$, so g is conjugate to an algebra listed in (9). Distinct λ 's give distinct conjugacy classes of algebras, for if g is conjugate to $\left\{ \begin{pmatrix} \lambda a & b \\ 0 & (\lambda + 1)a \end{pmatrix} \right\}$, g contains an element with two distinct eigenvalues one unit apart, and these eigenvalues must be λ and $\lambda + 1$.

Suppose $\dim g = 3$ and $I \notin g$. Then $g \oplus RI = M_2(R)$ and $\mathfrak{sl}(2, R) = [M_2(R), M_2(R)] \subset [g, g] \subset g$, so $\mathfrak{sl}(2, R) = g$.

Finally suppose $\dim g = 3$ and $I \in g$. Then $\mathfrak{sl}(2, R) \cap g$ is a two dimensional Lie algebra and so conjugate to one of 6, 7, 8, 9; since any algebra conjugate to $\mathfrak{sl}(2, R) \cap g$ is contained in $\mathfrak{sl}(2, R)$, $\mathfrak{sl}(2, R) \cap g$ is conjugate to $\begin{pmatrix} -a/2 & b \\ 0 & a/2 \end{pmatrix}$ and g is conjugate to 10.

THEOREM 2. *Every connected Lie subgroup of $GL(2, R)$ is conjugate to precisely one of the following:*

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| (1) $\{I\}$ | (2) $\left\{ \begin{pmatrix} e^t & 0 \\ 0 & e^{\lambda t} \end{pmatrix} \right\}$ where $ \lambda \leq 1$ |
| (3) $\left\{ e^{\lambda t} \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} \right\}$ | (4) $\left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \right\}$ |

where $0 \leq \lambda$

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| (5) $\left\{ e^t \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \right\}$ | (6) $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a > 0, b > 0 \right\}$ |
| (7) $\left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a > 0 \right\}$ | (8) $\left\{ a \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} \mid a > 0 \right\}$ |
| (9) $\left\{ \begin{pmatrix} a^\lambda & b \\ 0 & a^{\lambda+1} \end{pmatrix} \mid a > 0 \right\}$ | (10) $\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \mid a > 0, d > 0 \right\}$ |

where $\lambda \in R$

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| (11) $\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc = 1 \right\}$ | (12) $\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc > 0 \right\}$. |
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PROOF. An immediate consequence of Theorem 1.

III. Subalgebras of $a(2)$. Let g be a subalgebra of $a(2)$; define $g_0 = \{A \in M_2(R) \mid \text{there exists } \ell \in R^2 \text{ such that } \langle A, \ell \rangle \in g\}$ and $V = \{\ell \in R^2 \mid \langle 0, \ell \rangle \in g\}$. Then g_0 is a subalgebra of $M_2(R)$ and V is a subspace of R^2 ; $g_0(V) \subset V$ because $[\langle A, \ell \rangle, \langle 0, m \rangle] = \langle 0, Am \rangle$. The following sequence is exact:

$$0 \rightarrow V \rightarrow g \rightarrow g_0 \rightarrow 0$$

Notice that conjugation of $\langle A, \ell \rangle \in a(2)$ by $\langle B, m \rangle \in A(2)$ yields $\langle BAB^{-1}, B\ell - BAB^{-1}m \rangle$. In particular g_0 becomes Bg_0B^{-1} and V becomes BV .

THEOREM 3. *The pair $\{g_0, V\}$ is conjugate to precisely one of the following:*

- (a) $\{g_0, \{0\}\}$ where g_0 is one of the algebras listed in Theorem 1
- (b) $\{g_0, R^2\}$ where g_0 is one of the algebras listed in Theorem 1
- (c) $\{g_0, R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})\}$ where g_0 is one of $\{0\}$, $R(\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix})$, $R(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$, $R(\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix})$, $R(\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix})$, $\{\begin{smallmatrix} a & 0 \\ 0 & b \end{smallmatrix}\}$, $\{\begin{smallmatrix} \lambda a & b \\ 0 & \lambda+1 \end{smallmatrix}\}$, $\{\begin{smallmatrix} a & b \\ 0 & a \end{smallmatrix}\}$, $\{\begin{smallmatrix} a & b \\ 0 & d \end{smallmatrix}\}$
- (d) $\{R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})_\lambda, R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})\}$ or $\{R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})_\lambda, R(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix})\}$ for $|\lambda| < 1$.

PROOF. We can assume that g_0 is one of the algebras listed in Theorem 1. The condition $g_0(V) \subset V$ puts no restriction on V if $\dim V = 0$ or 2 ; when $\dim V = 1$, V can be arbitrary if $g = \{0\}$ or $R(\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix})$, $V = R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$ or $R(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix})$ if $g = R(\begin{smallmatrix} 1 & 0 \\ 0 & \lambda \end{smallmatrix}) - 1 \leq \lambda < 1$ or $\{\begin{smallmatrix} a & 0 \\ 0 & b \end{smallmatrix}\}$, $V = R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$ if $g = R(\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix})$, $R(\begin{smallmatrix} 0 & 1 \\ 0 & 1 \end{smallmatrix})$, $\{\begin{smallmatrix} \lambda a & b \\ 0 & \lambda+1 \end{smallmatrix}\}$, $\{\begin{smallmatrix} a & b \\ 0 & a \end{smallmatrix}\}$, or $\{\begin{smallmatrix} a & b \\ 0 & d \end{smallmatrix}\}$, and otherwise no one-dimensional V will work. When $g_0 = \{0\}$ or $R(\begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix})$, $Bg_0B^{-1} = g_0$ for all B ; applying an appropriate B to V we can assume $V = R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$. If $g_0 = \{\begin{smallmatrix} a & 0 \\ 0 & b \end{smallmatrix}\}$ or $R(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$, $(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})g_0(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})^{-1} = g_0$ and $(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}) = R(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix})$; thus V can be taken to be $R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$. If $g_0 = R(\begin{smallmatrix} 1 & 0 \\ 0 & \lambda \end{smallmatrix})$ for $|\lambda| < 1$ and $Bg_0B^{-1} = g_0$, $B = (\begin{smallmatrix} a & 0 \\ 0 & b \end{smallmatrix})$ so $R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$ and $R(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix})$ are not conjugate.

Choose a subspace V_1 of R^2 so $V \oplus V_1 = R^2$. Whenever $A \in g_0$, there is a unique $\varphi(A) \in V_1$ so $\langle A, \varphi(A) \rangle \in g$. Clearly $g = \{\langle A, \varphi(A) + \lambda \rangle \mid A \in g_0, \lambda \in V\}$.

Notice that $\varphi : g_0 \rightarrow V_1$ is linear. Let $P : R^2 \rightarrow V_1$ be the obvious projection map. Then $\varphi([A, B]) = P\{A\varphi(B) - B\varphi(A)\}$ since $[\langle A, \varphi(A) \rangle, \langle B, \varphi(B) \rangle] = \langle [A, B], A\varphi(B) - B\varphi(A) \rangle$.

Conjugation of g by $\langle I, m \rangle$ leaves g_0 and V fixed and converts $\langle A, \varphi(A) \rangle$ to $\langle A, \varphi(A) - Am \rangle$. Hence we may replace $\varphi(A)$ by $P\{\varphi(A) - Am\}$ and obtain a conjugate algebra.

If $g_0 = \{0\}$, $\varphi = 0$. If $g_0 = Re$ where $e = (\begin{smallmatrix} 1 & 0 \\ 0 & \lambda \end{smallmatrix})$ $0 < |\lambda| \leq 1$, $(\begin{smallmatrix} 1 & -1 \\ 0 & -\lambda \end{smallmatrix})$ $0 \leq \lambda$ or $(\begin{smallmatrix} 1 & 1 \\ 0 & 1 \end{smallmatrix})$, m can be found so $\varphi(e) = em$ since $\det e \neq 0$. Thus after conjugation $\varphi = 0$. If $I \in g_0$ we can suppose $\varphi(I) = 0$ since I is nonsingular; whenever $A \in g_0$, $0 = \varphi([I, A]) = P\{\varphi(A)\} = \varphi(A)$, so $\varphi = 0$. If $g_0 = \mathfrak{sl}(2, R)$, $\varphi = 0$ unless $V = \{0\}$ and $V_1 = R^2$. In this case let $e = (\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$, $f = (\begin{smallmatrix} 0 & 1 \\ 0 & 0 \end{smallmatrix})$, $g = (\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix})$; as usual we may assume $\varphi(e) = 0$. Then $2\varphi(f) = \varphi[e, f] = (\begin{smallmatrix} 1 & 0 \\ 0 & 0 \end{smallmatrix})\varphi(f)$, so $\varphi(f) = 0$. Similarly $-2\varphi(g) = \varphi[e, g] = (\begin{smallmatrix} 0 & 1 \\ 0 & -1 \end{smallmatrix})\varphi(g)$, so $\varphi(g) = 0$ and $\varphi = 0$. If $g_0 = \{\begin{smallmatrix} \lambda a & b \\ 0 & \lambda+1 \end{smallmatrix}\}$, let $e = (\begin{smallmatrix} \lambda & 0 \\ 0 & \lambda+1 \end{smallmatrix})$, $f = (\begin{smallmatrix} 0 & b \\ 0 & 0 \end{smallmatrix})$. Suppose $\lambda \neq 0, -1$, or -2 . Then $\det(\begin{smallmatrix} \lambda & 0 \\ 0 & \lambda+1 \end{smallmatrix}) \neq 0$ and we can suppose $\varphi(e) = 0$; $-\varphi(f) = \varphi[e, f] = P\{(\begin{smallmatrix} \lambda & 0 \\ 0 & \lambda+1 \end{smallmatrix})\varphi(f)\}$. If $V = \{0\}$ and $\varphi(f) = (\begin{smallmatrix} v_1 \\ v_2 \end{smallmatrix})$, $(\begin{smallmatrix} 1+\lambda & v_1 \\ 2+\lambda & v_2 \end{smallmatrix}) = 0$ so $v_1 = v_2 = 0$ and $\varphi = 0$. If $V = R(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix})$

let $V_1 = R_1^{(0)}$ and $\varphi(f) = \begin{pmatrix} 0 \\ v \end{pmatrix}$; then $-\begin{pmatrix} 0 \\ v \end{pmatrix} = \langle \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}, v \rangle$ and $v = 0$, so $\varphi = 0$. In short, φ can be taken to be zero unless $g_0 = R_1^{(0 \ 0)}$, $R_1^{(0 \ 1)}$, $\{\begin{pmatrix} 0 & b \\ 0 & a \end{pmatrix}\}$, $\{\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}\}$, or $\{\begin{pmatrix} 2a & b \\ 0 & a \end{pmatrix}\}$. The following five lemmas complete the classification.

LEMMA 1. *Let $g_0 = R_1^{(0 \ 0)}$. If $V = R_1^{(0)}$ or R^2 , φ can be taken to be zero. If $V = \{0\}$ or $R_1^{(0)}$, $\varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ can be taken to be 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.*

PROOF. If $Bg_0B^{-1} = g_0$, $B = \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}$; such a B preserves all possible V . Conjugation of $\langle \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \rangle$ by $\langle \begin{pmatrix} a & 0 \\ 0 & d \end{pmatrix}, m \rangle$ yields $\langle \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, (\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} m) \rangle$. Clearly a , d , and m can be chosen to make the second part of this expression equal 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$. We are interested in the projection of this term on V_1 ; since $V_1 = R^2$, $R_1^{(0)}$, $R_1^{(0)}$, and $\{0\}$ when $V = \{0\}$, $R_1^{(0)}$, $R_1^{(0)}$, and R^2 , the lemma follows.

LEMMA 2. *Let $g_0 = R_1^{(0 \ 1)}$. If $V = R^2$, $\varphi = 0$. If $V = \{0\}$ or $R_1^{(0)}$, $\varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ can be taken to be 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.*

PROOF. If $Bg_0B^{-1} = g_0$, $B = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$; such a B preserves all possible V . Conjugation of $\langle \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \rangle$ by $\langle \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, m \rangle$ yields $\langle \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix}, (\begin{pmatrix} 0 & b \\ 0 & d \end{pmatrix} \varphi\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} - \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} m) \rangle$. Clearly $a = d$ and m can be chosen to make the second part of this expression equal 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.

LEMMA 3. *Let $g_0 = \{\begin{pmatrix} 0 & b \\ 0 & a \end{pmatrix}\}$. If $V = R_1^{(0)}$ or R^2 , φ can be taken to be zero. If $V = \{0\}$, $\varphi\begin{pmatrix} 0 & b \\ 0 & a \end{pmatrix}$ can be taken to be 0 or $\begin{pmatrix} a \\ 0 \end{pmatrix}$.*

PROOF. Let $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $f = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$, $\varphi(e) = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$, $\varphi(f) = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}$. If $V = \{0\}$, $\begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \varphi(e) = \varphi[e, f] = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} -v_2 \\ w_2 \end{pmatrix}$, so $v_1 = w_2$ and $v_2 = 0$. If $V = R_1^{(0)}$, let $V_1 = R_1^{(0)}$; then $v_1 = w_1 = 0$ and $\begin{pmatrix} 0 \\ -v_2 \end{pmatrix} = \varphi(e) = \varphi[e, f] = P\{\begin{pmatrix} -w_2 \\ v_2 \end{pmatrix}\} = \begin{pmatrix} 0 \\ -v_2 \end{pmatrix}$, so $v_2 = 0$.

If $Bg_0B^{-1} = g_0$, $B = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$; such a B preserves all possible V . Conjugation of $\langle e, \varphi(e) \rangle$ and $\langle f, \varphi(f) \rangle$ by $\langle \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, m \rangle$ yields $\langle \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix}, (\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \varphi(e) - \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} m) \rangle$ and $\langle \begin{pmatrix} 0 & b/d \\ 0 & 0 \end{pmatrix}, (\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \varphi(f) - \begin{pmatrix} 0 & b/d \\ 0 & 0 \end{pmatrix} m) \rangle$. If $V = R_1^{(0)}$, we are only interested in the projection of the second parts of these expressions on $R_1^{(0)}$; since $\varphi(e) = 0$, the projection of $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \varphi(e) - \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} m$ is automatically zero; clearly $a = d$, $b = 0$, and m can be chosen to make the projection of $\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \varphi(f) - \begin{pmatrix} 0 & b/d \\ 0 & 0 \end{pmatrix} m$ vanish. If $V = \{0\}$, let $\tilde{\varphi}$ be the conjugate of φ ;

$$\begin{aligned} \tilde{\varphi}(e) &= \frac{d}{a} \tilde{\varphi} \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} = \frac{d}{a} \left\{ \begin{pmatrix} ab \\ 0d \end{pmatrix} \begin{pmatrix} v_1 \\ 0 \end{pmatrix} \right. \\ &\quad \left. - \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \right\} = \begin{pmatrix} dv_1 - m_2 \\ 0 \end{pmatrix}, \end{aligned}$$

$$\begin{aligned}\tilde{\varphi}(f) &= \tilde{\varphi} \begin{pmatrix} 0 & b/d \\ 0 & 1 \end{pmatrix} - \frac{b}{d} \tilde{\varphi}(e) = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} w_1 \\ v_1 \end{pmatrix} \\ &\quad - \begin{pmatrix} 0 & b/d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} - \begin{pmatrix} bv_1 - b/d m_2 \\ 0 \end{pmatrix} = \begin{pmatrix} aw_1 \\ dv_1 - m_2 \end{pmatrix}.\end{aligned}$$

Clearly a, d , and m_2 can be chosen to make $\tilde{\varphi}(e) = 0$ and $\tilde{\varphi}(f)$ either 0 or $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$.

LEMMA 4. *Let $g_0 = \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}$. If $V = \{0\}$ or R^2 , φ can be taken to be zero. If $V = R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $\varphi\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}$ can be taken to be 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.*

PROOF. Let $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $f = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$, $\varphi(e) = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$, $\varphi(f) = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}$. If $V = \{0\}$, $-\begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = -\varphi(e) = \varphi[e, f] = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} - \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} w_2 - v_1 \\ 0 \end{pmatrix}$, so $w_2 = v_2 = 0$. Conjugation by $\langle I, \begin{pmatrix} w_1 \\ v_1 \end{pmatrix} \rangle$ converts $\langle e, \varphi(e) \rangle$ and $\langle f, \varphi(f) \rangle$ to $\langle e, 0 \rangle$ and $\langle f, 0 \rangle$, so φ can be taken to be zero.

If $V = R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, let $V_1 = R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$; then $v_1 = w_1 = 0$ and $-\begin{pmatrix} 0 \\ v_2 \end{pmatrix} = \varphi[e, f] = P\{(\begin{smallmatrix} w_2 \\ 0 \end{smallmatrix})\} = 0$, so $v_2 = 0$. If $Bg_0B^{-1} = g_0$, $B = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$. Conjugation of $\langle e, 0 \rangle$ and $\langle f, \varphi(f) \rangle$ by $\langle \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \rangle$ yields $\langle \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix}, -\begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \rangle$ and $\langle \begin{pmatrix} 1 & -b/a \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 0 \\ w_2 \end{pmatrix} - \begin{pmatrix} 1 & -bd \\ 0 & 0 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \rangle$; we are only interested in the projection of the second parts of these expressions on $R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$, so $\tilde{\varphi}(e) = 0$, $\tilde{\varphi}(f) = \begin{pmatrix} 0 \\ dw_2 \end{pmatrix}$. Clearly d can be chosen so $\tilde{\varphi}(f) = 0$ or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.

LEMMA 5. *Let $g_0 = \begin{pmatrix} 2a & b \\ 0 & a \end{pmatrix}$. If $V = R^2$, φ is zero. If $V = \{0\}$ or $R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $\varphi\begin{pmatrix} 2a & b \\ 0 & a \end{pmatrix}$ can be taken to be 0 or $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.*

PROOF. Let $e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $f = \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}$. Since f is non-singular, $\varphi(f)$ can be taken to be zero; let $\varphi(e) = \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$. If $V = \{0\}$, $-\begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = -\varphi(e) = \varphi[e, f] = -\begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} -2v_1 \\ -v_2 \end{pmatrix}$, so $v_1 = 0$. If $V = R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, let $V_1 = R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$; then $v_1 = 0$.

If $Bg_0B^{-1} = g_0$, $B = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$. Conjugation of $\langle e, \varphi(e) \rangle$ and $\langle f, 0 \rangle$ by $\langle \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}, \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \rangle$ yields respectively

$$\begin{aligned}&\left\langle \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 0 \\ v_2 \end{pmatrix} - \begin{pmatrix} 0 & a/d \\ 0 & 0 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \right\rangle, \\&\left\langle \begin{pmatrix} 2 & -b/d \\ 0 & 1 \end{pmatrix}, -\begin{pmatrix} 2 & -b/d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} m_1 \\ m_2 \end{pmatrix} \right\rangle.\end{aligned}$$

If $V = \{0\}$,

$$\tilde{\varphi}(e) = \begin{pmatrix} \frac{bd}{a} v_2 - m_2 \\ \frac{d^2}{a} v_2 \end{pmatrix}, \quad \tilde{\varphi}(f) = \begin{pmatrix} -2m_1 + \frac{b^2}{a} v_2 \\ \frac{bd}{a} v_2 - m_2 \end{pmatrix}.$$

Clearly a, b, d, m_1 , and m_2 can be chosen so $\tilde{\varphi}(e) = 0$ or $\binom{0}{1}$ and $\tilde{\varphi}(f) = 0$. If $V = R\binom{1}{0}$, we are only interested in the projections of the above expressions on $R\binom{0}{1}$, so $\tilde{\varphi}(e) = \binom{0}{(d^2/a)v_2}$ and $\tilde{\varphi}(f) = \binom{0}{(bd/a)v_2 - m_2}$; clearly a, b, d , and m_2 can be chosen so $\tilde{\varphi}(e) = 0$ or $\binom{0}{1}$ and $\tilde{\varphi}(f) = 0$.

Combining the above results, we obtain:

THEOREM 4. *Let g be a subalgebra of $a(2)$. Then g is conjugate to precisely one of the following:*

A. $\{\langle A, 0 \rangle \mid A \in g_0\}$ or $\{\langle A, \ell \rangle \mid A \in g_0, \ell \in R^2\}$ where g_0 is one of the following

1. $\{0\}$
2. $R \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}$ where $|\lambda| \leq 1$
3. $R \begin{pmatrix} \lambda & -1 \\ 1 & \lambda \end{pmatrix}$ where $0 \leq \lambda$
4. $R \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$
5. $R \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$
6. $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \right\}$
7. $\left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \right\}$
8. $\left\{ \begin{pmatrix} a & -b \\ b & a \end{pmatrix} \right\}$
9. $\left\{ \begin{pmatrix} \lambda a & b \\ 0 & (\lambda + 1)a \end{pmatrix} \right\}$ where $\lambda \in R$
10. $\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \right\}$
11. $\mathfrak{A}(2, R)$
12. $M_2(R)$.

B. $\{\langle A, \ell \rangle \mid A \in g_0, \ell \in V\}$ where $\{g_0, V\}$ is one of

13. $0, R \begin{pmatrix} 1 & \\ & 0 \end{pmatrix}$
14. $R \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}, R \begin{pmatrix} 1 & \\ & 0 \end{pmatrix}$
where $|\lambda| \leq 1$
15. $R \begin{pmatrix} 1 & 0 \\ 0 & \lambda \end{pmatrix}, R \begin{pmatrix} 0 & \\ & 1 \end{pmatrix}$
where $|\lambda| < 1$
16. $R \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, R \begin{pmatrix} 1 & \\ & 0 \end{pmatrix}$
17. $R \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, R \begin{pmatrix} 1 & \\ 0 & 0 \end{pmatrix}$
18. $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \right\}, R \begin{pmatrix} 1 & \\ 0 & 0 \end{pmatrix}$
19. $\left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \right\}, R \begin{pmatrix} 1 & \\ 0 & 0 \end{pmatrix}$
20. $\left\{ \begin{pmatrix} \lambda a & b \\ 0 & (\lambda + 1)a \end{pmatrix} \right\}, R \begin{pmatrix} 1 & \\ 0 & 0 \end{pmatrix}$
where $\lambda \in R$
21. $\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \right\}, R \begin{pmatrix} 1 & \\ 0 & 0 \end{pmatrix}$

C.

22. $\left\{ \left\langle \begin{pmatrix} r & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 \\ r \end{pmatrix} \right\rangle \mid r \in R \right\}$
23. $\left\{ \left\langle \begin{pmatrix} 0 & r \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 \\ r \end{pmatrix} \right\rangle \mid r \in R \right\}$
24. $\left\{ \left\langle \begin{pmatrix} 0 & r \\ 0 & s \end{pmatrix}, \begin{pmatrix} s \\ 0 \end{pmatrix} \right\rangle \mid r, s \in R \right\}$
25. $\left\{ \left\langle \begin{pmatrix} 2r & s \\ 0 & r \end{pmatrix}, \begin{pmatrix} 0 \\ s \end{pmatrix} \right\rangle \mid r, s \in R \right\}$
26. $\left\{ \left\langle \begin{pmatrix} r & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} s \\ r \end{pmatrix} \right\rangle \mid r, s \in R \right\}$
27. $\left\{ \left\langle \begin{pmatrix} 0 & r \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} s \\ r \end{pmatrix} \right\rangle \mid r, s \in R \right\}$
28. $\left\{ \left\langle \begin{pmatrix} r & s \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} t \\ r \end{pmatrix} \right\rangle \mid r, s, t \in R \right\}$
29. $\left\{ \left\langle \begin{pmatrix} 2r & s \\ 0 & r \end{pmatrix}, \begin{pmatrix} t \\ s \end{pmatrix} \right\rangle \mid r, s, t \in R \right\}$

THEOREM 5. *Let G be a connected Lie subgroup of $A(2)$. Then G is conjugate to precisely one of the following:*

A. $\{\langle A, 0 \rangle \mid A \in G_0\}$ or $\{\langle A, \ell \rangle \mid A \in G_0, \ell \in R^2\}$ where G_0 is one of the following,

1. $\{I\}$
2. $\left\{ \begin{pmatrix} e^t & 0 \\ 0 & e^{at} \end{pmatrix} \right\}$ where $|\lambda| \leq 1$
3. $\left\{ e^{\lambda t} \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} \right\}$
where $\lambda \geq 0$
4. $\left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \right\}$
5. $\left\{ e^t \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \right\}$
6. $\left\{ \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a > 0, b > 0 \right\}$
7. $\left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a > 0 \right\}$
8. $\left\{ a \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} \mid a > 0 \right\}$
9. $\left\{ \begin{pmatrix} a^\lambda & b \\ 0 & a^{\lambda+1} \end{pmatrix} \mid a > 0 \right\}$
where $\lambda \in R$
10. $\left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \mid a > 0, d > 0 \right\}$
11. $\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc = 1 \right\}$
12. $\left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid ad - bc \neq 0 \right\}$

B. $\{\langle A, \ell \rangle \mid A \in G_0, \ell \in V\}$ where $\{G_0, V\}$ is one of

$$13. \{I\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right) \quad 14. \left\{\left(\begin{smallmatrix} e^t & 0 \\ 0 & e^{\lambda t} \end{smallmatrix}\right)\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right) \text{ where } |\lambda| \leq 1$$

$$15. \left\{\left(\begin{smallmatrix} e^t & 0 \\ 0 & e^{\lambda t} \end{smallmatrix}\right)\right\}, R\left(\begin{smallmatrix} 0 \\ 1 \end{smallmatrix}\right) \quad 16. \left\{\left(\begin{smallmatrix} 1 & t \\ 0 & 1 \end{smallmatrix}\right)\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$$

where $|\lambda| < 1$

$$17. \left\{e^t \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}\right\} R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$$

$$18. \left\{\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \mid a > 0, b > 0\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$$

$$19. \left\{\begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a > 0\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$$

$$20. \left\{\begin{pmatrix} a^\lambda & b \\ 0 & a^{\lambda+1} \end{pmatrix} \mid a > 0\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right) \text{ where } \lambda \in \mathbb{R}$$

$$21. \left\{\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \mid a > 0, d > 0\right\}, R\left(\begin{smallmatrix} 1 \\ 0 \end{smallmatrix}\right)$$

C.

$$22. \left\{\left\langle \begin{pmatrix} e^t & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 \\ t \end{pmatrix} \right\rangle \mid t \in \mathbb{R}\right\}$$

$$23. \left\{\left\langle \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} t^2/2 \\ t \end{pmatrix} \right\rangle \mid t \in \mathbb{R}\right\}$$

$$24. \left\{\left\langle \begin{pmatrix} 1 & t \\ 0 & e^u \end{pmatrix}, \begin{pmatrix} u \\ 0 \end{pmatrix} \right\rangle \mid t, u \in \mathbb{R}\right\}$$

$$25. \left\{\left\langle \begin{pmatrix} t^2 & tu \\ 0 & t \end{pmatrix}, \begin{pmatrix} u^2/2 \\ u \end{pmatrix} \right\rangle \mid t > 0, u \in \mathbb{R}\right\}$$

$$26. \left\{\left\langle \begin{pmatrix} e^t & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} u \\ t \end{pmatrix} \right\rangle \mid t, u \in \mathbb{R}\right\}$$

$$27. \left\{\left\langle \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} u \\ t \end{pmatrix} \right\rangle \mid t, u \in \mathbb{R}\right\}$$

$$28. \left\{\left\langle \begin{pmatrix} e^t & u \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} v \\ t \end{pmatrix} \right\rangle \mid t, u, v \in \mathbb{R}\right\}$$

$$29. \left\{\left\langle \begin{pmatrix} t^2 & tu \\ 0 & t \end{pmatrix}, \begin{pmatrix} v \\ u \end{pmatrix} \right\rangle \mid t > 0, u, v \in \mathbb{R}\right\}$$

IV. Generation of subalgebras of $M_2(\mathbb{R})$ by pairs of infinitesimal transformations.

THEOREM 6. Let $X, Y \in M_2(\mathbb{R})$.

a. If X and Y have no common complex eigenvector and $\text{tr } X \neq 0$ or $\text{tr } Y \neq 0$, X and Y generate $M_2(\mathbb{R})$

- b. If X and Y have no common complex eigenvector and $\text{tr } X = \text{tr } Y = 0$, X and Y generate $\mathfrak{A}(2, R)$
- c. If X and Y have a common complex eigenvector and $X, Y, [X, Y]$ are linearly independent, X and Y generate a subalgebra conjugate to $\left\{ \begin{pmatrix} \lambda & b \\ 0 & a \end{pmatrix} \right\}$
- d. Otherwise X and Y generate a subalgebra of dimension ≤ 2
- e. All subalgebras of $M_2(R)$ can be generated by appropriate X and Y .

PROOF. If g is an algebra listed in Theorem 1 other than $\mathfrak{A}(2, R)$ and $M_2(R)$, there is a complex vector v such that $Av = \lambda v$ for all $A \in g$. Consequently if X and Y have no common complex eigenvector, they generate a subalgebra conjugate to $\mathfrak{A}(2, R)$ or $M_2(R)$. But both of these subalgebras are self-conjugate. The rest of the theorem follows immediately.

REMARK. If g is a subalgebra of $M_2(R)$ and X and Y belong to g , the above theorem gives a satisfactory necessary and sufficient condition that X and Y generate g , since the generation problem is trivial when $\dim g \leq 2$.

V. Generation of subalgebras of $\mathfrak{A}(2)$ by pairs of infinitesimal transformations. Let g be a subalgebra of $\mathfrak{A}(2)$, X and Y elements of g . We seek a simple necessary and sufficient condition that X and Y generate g . The problem is trivial when $\dim g \leq 2$; if $\dim g = 3$, X and Y generate g just in case X, Y , and $[X, Y]$ are linearly independent. When $g \rightarrow g_0$ is an isomorphism, the problem was solved in the previous section. Referring to Theorem 4, we are left with algebras 6 through 12 when $V = R^2$ and 21.

THEOREM 7. Let $g = \{ \langle A, \lambda \rangle \mid A \in g_0, \lambda \in R^2 \}$ where $g_0 = R \begin{pmatrix} \lambda & -1 \\ 1 & -\lambda \end{pmatrix}$, $0 \leq \lambda$, $\left\{ \begin{pmatrix} a & -b \\ b & a \end{pmatrix} \right\}$, $\mathfrak{A}(2, R)$, or $M_2(R)$. Let $X = \langle A, \lambda \rangle$ and $Y = \langle B, m \rangle$ belong to g . Then X and Y generate g if and only if

- (1) A and B generate g_0
- (2) The equations $Av = \lambda$ and $Bv = m$ cannot be simultaneously solved for v ; equivalently A is nonsingular and $m \neq BA^{-1}\lambda$ or B is nonsingular and $\lambda \neq AB^{-1}m$ or A and B are singular and one of $\lambda \notin \text{range } A$, $m \notin \text{range } B$.

Moreover, such a pair always exists.

PROOF. The first condition is obviously necessary. If v satisfies the second condition, conjugation of X and Y by $\langle I, v \rangle$ produces $\langle A, 0 \rangle$ and $\langle B, 0 \rangle$, so X and Y generate a subalgebra conjugate to $\{ \langle A, 0 \rangle \mid A \in g_0 \}$.

Conversely, suppose 1 and 2 hold; then X and Y generate a sub-

algebra \tilde{g} such that $\tilde{g}_0 = g_0$. If $\tilde{V} = R^2$, $\tilde{g} = g$; otherwise $\tilde{V} = \{0\}$ by Theorem 3. Then \tilde{g} is conjugate to $\{\langle A, 0 \rangle \mid A \in g_0\}$ by Theorem 4. Let $\langle C, w \rangle \in A(2)$ induce this conjugation; then X and Y become $\langle CAC^{-1}, C\ell - CAC^{-1}w \rangle$ and $\langle CBC^{-1}, Cm - CBC^{-1}w \rangle$, so $C\ell - CAC^{-1}w = 0$, $Cm - CBC^{-1}w = 0$; since C is nonsingular, $A(C^{-1}w) = \ell$, $B(C^{-1}w) = m$.

If A is nonsingular, the vector v obviously exists just in case $m = BA^{-1}v$. If A and B generate g_0 and both are singular, g_0 must be $\mathfrak{sl}(2, R)$ or $M_2(R)$, so A and B must have rank 1 and $\text{Ker } A \cap \text{Ker } B = \{0\}$. Suppose $\ell \in \text{range } A$ and $m \in \text{range } B$. Let $Av = \ell$ and suppose that v_1 generates $\text{Ker } A$; then $A(v + \lambda v_1) = \ell$; since $v_1 \notin \text{Ker } B$, Bv_1 generates the range of B and λ exists such that $B(v + \lambda v_1) = m$.

The existence of a generating pair is clear.

THEOREM 8. *Let $g = \{\langle \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}, \ell \rangle \mid \ell \in R^2\}$. Let $X = \langle A, \ell \rangle$ and $Y = \langle B, m \rangle$ belong to g . Then X and Y generate g if and only if*

- (1) *A and B are linearly independent,*
- (2) *$Am - B\ell$ belongs to neither $R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ nor $R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.*

Moreover, g can always be generated by such a pair.

PROOF. These conditions are necessary. For example, suppose $Am - B\ell \in R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$. Then the subspace of g generated by X, Y , and $[X, Y] = \langle 0, Am - B\ell \rangle$ is a subalgebra, so X and Y generate an algebra of dimension at most 3.

Conversely suppose the above conditions hold. Then X and Y generate an algebra \tilde{g} with $\tilde{g}_0 = \{\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix}\}$; it is enough to prove that $\tilde{V} = R^2$. At any rate \tilde{V} is invariant under \tilde{g}_0 and so equal to $\{0\}$, $R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$, or R^2 . But $[\langle A, \ell \rangle, \langle B, m \rangle] = \langle 0, Am - B\ell \rangle$ and $Am - B\ell \notin R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ or $R\begin{pmatrix} 0 \\ 1 \end{pmatrix}$.

The above conditions are satisfied by $X = \langle \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, 0 \rangle$ and $Y = \langle \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rangle$.

THEOREM 9. *Let $g = \{\langle A, \ell \rangle \mid A \in g_0, \ell \in R^2\}$ where $g_0 = \{\begin{pmatrix} a & b \\ 0 & a \end{pmatrix}\}$ or $\{\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}\}$. Let $X = \langle A, \ell \rangle$ and $Y = \langle B, m \rangle$ belong to g . Then X and Y generate g if and only if*

- (1) *A and B generate g_0 ,*
- (2) *$Am - B\ell \notin R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$.*

Moreover, g can always be generated by such a pair.

PROOF. These conditions are necessary. Indeed suppose $Am - B\ell \in R\begin{pmatrix} 1 \\ 0 \end{pmatrix}$. If $g_0 = \{\begin{pmatrix} a & b \\ 0 & a \end{pmatrix}\}$, the subspace generated by X, Y , and $\langle 0, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$ is a subalgebra of dimension at most 3. If $g_0 = \{\begin{pmatrix} a & b \\ 0 & d \end{pmatrix}\}$, the subspace generated by X, Y , $\langle \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, 0 \rangle$ and $\langle 0, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$ is a subalgebra of dimension at most 4.

Conversely suppose 1 and 2 hold; then X and Y generate an algebra \tilde{g} with $\tilde{g}_0 = g_0$. It is enough to prove that $\tilde{V} = R^2$; at any rate, \tilde{V} is invariant under g_0 and so $\{0\}$, $R(\binom{1}{0})$, or R^2 . If $g_0 = \{(\binom{a}{0} \binom{b}{a})\}$, $Am - B\ell \in \tilde{V}$, so \tilde{V} is not $\{0\}$ or $R(\binom{1}{0})$. If $g_0 = \{(\binom{a}{0} \binom{b}{a})\}$, \tilde{g} contains $\langle(\binom{1}{0} \binom{0}{1})\rangle$, $\langle(\binom{s_1}{s_2})\rangle$ and $[X, Y] = \langle(\binom{0}{0} \binom{t_1}{t_2})\rangle$ for some s_1, s_2, t_1 , and t_2 ; we are assuming $t_2 \neq 0$. Thus \tilde{g} contains the bracket of these two elements, $\langle 0, (\binom{t_1}{t_2}) - (\binom{ts_2}{0}) \rangle$, and \tilde{V} is not $\{0\}$ or $R(\binom{1}{0})$.

If $g_0 = \langle(\binom{a}{0} \binom{b}{a})\rangle$, the conditions are satisfied by $X = \langle(\binom{1}{0} \binom{0}{1}), 0\rangle$ and $Y = \langle(\binom{0}{0} \binom{1}{0}), (\binom{0}{1})\rangle$. If $g_0 = \{(\binom{a}{0} \binom{b}{a})\}$ the conditions are satisfied by $X = \langle(\binom{0}{0} \binom{1}{1}), 0\rangle$ and $Y = \langle(\binom{1}{0} \binom{0}{0}), (\binom{0}{1})\rangle$.

THEOREM 10. Let $g = \{(\langle(\binom{\lambda a}{0} \binom{b}{(\lambda+1)a})\rangle, \ell) \mid a, b \in R, \ell \in R^2\}$ for $\lambda \in R$. Let $X = \langle A, \ell \rangle$ and $Y = \langle B, m \rangle$ belong to g . Then X and Y generate g if and only if

- (1) A and B are linearly independent,
- (2) $(A - \beta)m - (B + \alpha)\ell \notin R(\binom{1}{0})$ where $[A, B] = \alpha A + \beta B$.

Moreover g can be generated by such a pair unless $\lambda = -2$.

PROOF. If $(A - \beta)m - (B + \alpha)\ell \in R(\binom{1}{0})$, the subspace generated by X, Y , and $\langle 0, (\binom{1}{0}) \rangle$ is a subalgebra of dimension at most 3.

Conversely suppose these conditions hold and let X and Y generate \tilde{g} ; then $\tilde{g}_0 = \{(\langle(\binom{\lambda a}{0} \binom{b}{(\lambda+1)a})\rangle)$ and it is sufficient to show that $\tilde{V} = R^2$; since \tilde{V} is invariant under \tilde{g}_0 , $\tilde{V} = \{0\}$, $R(\binom{1}{0})$, or R^2 . But \tilde{g} contains $[X, Y] - \alpha X - \beta Y = \{0, (A - \beta)m - (B + \alpha)\ell\}$, so $\tilde{V} = R^2$.

If $\lambda \neq -2$, let $X = \langle(\langle(\binom{\lambda}{0} \binom{0}{\lambda+1})\rangle, 0)\rangle$, $Y = \langle(\binom{2c}{0} \binom{d}{c}), (\binom{\ell_x}{\ell_y})\rangle$ and notice that the above conditions hold. If $\lambda = -2$, notice that

$$\left[\left(\binom{2a}{0} \binom{b}{a} \right), \left(\binom{2c}{0} \binom{d}{c} \right) \right] = \begin{pmatrix} 0 & ad - bc \\ 0 & 0 \end{pmatrix} = -c \begin{pmatrix} 2a & b \\ 0 & a \end{pmatrix} + a \begin{pmatrix} 2c & d \\ 0 & c \end{pmatrix}$$

and

$$\begin{aligned} & \left\{ \left(\binom{2a}{0} \binom{b}{a} \right) - a \right\} \begin{pmatrix} m_x \\ m_y \end{pmatrix} - \left\{ \left(\binom{2c}{0} \binom{d}{c} \right) - c \right\} \begin{pmatrix} \ell_x \\ \ell_y \end{pmatrix} \\ &= \begin{pmatrix} am_x + bm_y - c\ell_x - d\ell_y \\ 0 \end{pmatrix}. \end{aligned}$$

THEOREM 11. Let $g = \{(\langle(\binom{a}{0} \binom{b}{a}), (\binom{c}{0})\rangle)\}$. Let $X = \langle A, \ell \rangle$ and $Y = \langle B, m \rangle$ belong to g . Then X and Y generate g if and only if

- (1) A and B generate $\{(\binom{a}{0} \binom{b}{a})\}$,
- (2) $Am - B\ell \neq 0$.

Moreover, g can always be generated by such a pair.

PROOF. If $Am - B\ell = 0$, the subspace generated by X, Y , and $\langle(\binom{0}{0} \binom{1}{0}), 0\rangle$ is a subalgebra of dimension at most 3.

Conversely suppose these conditions hold and let X and Y generate \tilde{g} ; then $\tilde{g}_0 = \{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \}$ and it is enough if $\tilde{V} \neq \{0\}$. But \tilde{g} contains $\langle \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} s & 0 \\ 0 & 0 \end{pmatrix} \rangle$ and $[X, Y] = \langle \begin{pmatrix} 0 & \delta \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & u \end{pmatrix} \rangle$ for some s, t, u ; we are assuming $u \neq 0$. Thus \tilde{g} contains the bracket of these two elements, $\langle 0, \begin{pmatrix} 0 & 0 \\ 0 & u \end{pmatrix} \rangle$, and $\tilde{V} \neq \{0\}$.

The conditions are satisfied by $X = \langle \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, 0 \rangle$ and $Y = \langle \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$.

THEOREM 12. *Every connected subgroup of $A(2)$ not conjugate to $\{ \langle \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \ell \rangle \mid a > 0, \ell \in R^2 \}$, $\{ \langle \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} c \\ 0 \end{pmatrix} \rangle \mid a > 0, b, c \in R \}$, or $\{ \langle \begin{pmatrix} a^2 & b \\ 0 & a \end{pmatrix}, \ell \rangle \mid a > 0, b \in R, \ell \in R^2 \}$ can be generated by an appropriate pair of infinitesimal transformations.*

PROOF. It suffices to consider the three dimensional g on the list in Theorem 4. Every non-abelian three dimensional Lie algebra can be generated by appropriate X and Y except the Lie algebra $\{ \langle \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \ell \rangle \mid a \in R, \ell \in R^2 \}$ [1]; we should show that only two algebras on our list are isomorphic to this algebra. It is easier to proceed directly; we already know that 1 through 12 can be generated if $V = \{0\}$. If $V = R^2$ pick $X = \langle 0, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rangle$; let $Y = \langle \begin{pmatrix} 0 & 0 \\ 0 & \lambda \end{pmatrix}, 0 \rangle$ in case 2 if $\lambda \neq 1$, $\langle \begin{pmatrix} 1 & -1 \\ 0 & \lambda \end{pmatrix}, 0 \rangle$ in case 3 if $\lambda \neq 1$, $\langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, 0 \rangle$ in case 4, and $\langle \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, 0 \rangle$ in case 5. In case 3 when $\lambda = 1$ let $X = \langle 0, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rangle$, $Y = \langle \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}, 0 \rangle$. In case 18 let $X = \langle \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, 0 \rangle$, $Y = \langle \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$. In case 19 let $X = \langle \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, 0 \rangle$, $Y = \langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$. In case 20 when $\lambda \neq -1$ let $X = \langle \begin{pmatrix} \lambda & 0 \\ 0 & \lambda+1 \end{pmatrix}, 0 \rangle$, $Y = \langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \rangle$. In case 28 let $X = \langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, 0 \rangle$, $Y = \langle \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \rangle$; in case 29 let $X = \langle \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, 0 \rangle$, $Y = \langle \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rangle$.

REMARK. Notice that the only subgroup of $A(2)$ conjugate to $G = \{ \langle \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, \ell \rangle \mid a > 0, \ell \in R^2 \}$ is G itself.

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