

## ON THE EQUALITY OF ORDINARY AND SYMBOLIC POWERS OF IDEALS

ALINE HOSRY, YOUNGSU KIM AND JAVID VALIDASHTI

**ABSTRACT.** We consider the following question concerning the equality of ordinary and symbolic powers of ideals. In a regular local ring, if the ordinary and symbolic powers of a prime ideal are the same up to its height, then are they the same for all powers? We provide supporting evidence of a positive answer for classes of prime ideals defining monomial curves or rings of low multiplicities.

**1. Introduction.** Let  $R$  be a Noetherian local ring of dimension  $d$ , and let  $P$  be a prime ideal of  $R$ . For a positive integer  $n$ , the  $n$ th symbolic power of  $P$ , denoted by  $P^{(n)}$ , is defined as

$$P^{(n)} := P^n R_P \cap R = \{x \in R \mid \text{there exists an } s \in R \setminus P, sx \in P^n\}.$$

One readily sees from the definition that  $P^n \subseteq P^{(n)}$  for all  $n$ , but they may not be equal in general. Comparing the ordinary and symbolic powers of ideals is a subject of interest in both commutative algebra and algebraic geometry, see for instance [2, 8, 10–13, 15, 21]. In this paper, we are interested in criteria for the equality. In particular, we would like to know if  $P^n = P^{(n)}$  for all  $n$  up to some value implies that they are equal for all  $n$ . The following question was posed by Huneke in this regard.

**Question 1.1.** Let  $R$  be a regular local ring of dimension  $d$ , and let  $P$  be a prime ideal of height  $d - 1$ . If  $P^n = P^{(n)}$  for all  $n \leq d - 1$ , then is  $P^n = P^{(n)}$  for all  $n$ ?

An affirmative answer to Question 1.1 is equivalent to  $P$  being generated by a regular sequence [7]. Furthermore, it is equivalent to showing that if  $P^n = P^{(n)}$  for all  $n \leq d - 1$ , then the analytic spread of  $P$  is  $d - 1$ . This is not known even for the defining ideals of monomial

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curves  $\mathbf{k}[[t^{a_1}, \dots, t^{a_d}]]$  in embedding dimension 4. Huneke answered Question 1.1 positively in dimension 3, and in dimension 4 if  $R/P$  is Gorenstein [14, Corollaries 2.5, 2.6]. One would like to remove the Gorenstein assumption. There are supporting examples showing that the Gorenstein property of  $R/P$  might follow from  $P^2 = P^{(2)}$ . In fact, this is very close to a conjecture by Vasconcelos which states that if  $P$  is syzygetic and  $R/P$  and  $P/P^2$  are Cohen-Macaulay, then  $R/P$  is Gorenstein [23]. Note that, if  $P$  has height  $d - 1$ , then  $R/P$  is Cohen-Macaulay, and  $P/P^2$  being Cohen-Macaulay is equivalent to  $P^2 = P^{(2)}$ . Therefore, one is tempted to ask the following question.

**Question 1.2.** Let  $R$  be a regular local ring of dimension  $d$  and  $P$  a prime ideal of height  $d - 1$ . If  $P^2 = P^{(2)}$ , then is  $R/P$  Gorenstein?

Note that, by Huneke's result, [14, Corollary 2.6], if Question 1.2 has an affirmative answer, then so does Question 1.1 when dimension of  $R$  is 4. The converse of Question 1.2 is true in dimension 4 by Herzog [9]. Also, Question 1.2 has been answered positively for some classes of algebras [18], but it is not true in general (see, for instance, [18, 6.1]). In this paper, we consider the case where  $P$  is the defining ideal of a monomial curve  $\mathbf{k}[[t^{a_1}, \dots, t^{a_d}]]$  and we give an affirmative answer to Questions 1.1 and 1.2 when  $d = 4$  and  $\{a_i\}$  forms an arithmetic sequence. In higher dimensions, if  $\{a_i\}$  contains an arithmetic subsequence of length 5 in which the terms are not necessarily consecutive, we observe that  $P^2 \neq P^{(2)}$ , hence we have a positive answer to Questions 1.1 and 1.2. We extend these results to certain modifications of arithmetic subsequences. We also consider one-dimensional prime ideals  $P$  of a regular local ring  $R$  in general and we show that if  $R/P$  has low multiplicity, then Question 1.1 has a positive answer.

**2. Monomial curves.** Let  $a_1, \dots, a_d$  be an increasing sequence of positive integers with  $\gcd(a_1, \dots, a_d) = 1$ . Assume that the  $a_i$ 's generate a numerical semigroup non-redundantly. Consider the monomial curve

$$A = \mathbf{k}[[t^{a_1}, \dots, t^{a_d}]] \subset \mathbf{k}[[t]]$$

over a field  $\mathbf{k}$ , with maximal ideal  $\mathfrak{m}_A := (t^{a_1}, \dots, t^{a_d})A$ . Let  $R = \mathbf{k}[[x_1, \dots, x_d]]$  be a formal power series ring with maximal ideal  $\mathfrak{m} =$

$(x_1, \dots, x_d)R$ , and let  $P$  be the kernel of the homomorphism

$$\mathbf{k} [[x_1, \dots, x_d]] \longrightarrow \mathbf{k} [[t^{a_1}, \dots, t^{a_d}]]$$

obtained by mapping  $x_i$  to  $t^{a_i}$  for all  $i$ . Therefore,  $A$  is isomorphic to  $R/P$ . Note that  $P \subset \mathbf{m}^2$  because of the non-redundancy assumption on the  $a_i$ 's. We state the following well-known properties about monomial curves.

**Lemma 2.1.** *In the above setting,*

- (1) *The ideal  $t^{a_1}A$  is a minimal reduction of  $\mathbf{m}_A$ .*
- (2) *The Hilbert-Samuel multiplicity  $e(\mathbf{m}_A, A)$  of  $A$  is  $a_1$ .*
- (3) *The multiplicity  $e(\mathbf{m}_A, A)$  is at least  $d$ , i.e.,  $a_1 \geq d$ .*

For the third property above, we may assume that  $\mathbf{k}$  is an infinite field. Then by [1, Fact (1)], we have  $e(\mathbf{m}_A, A) \geq \lambda(\mathbf{m}_A/\mathbf{m}_A^2)$ , where  $\lambda(-)$  denotes the length, and observe that  $\lambda(\mathbf{m}_A/\mathbf{m}_A^2) = d$ , by the non-redundancy condition on the  $a_i$ 's. Note that the third property also follows from Theorem 3.1. We begin with the following result that describes the generators of  $P$  when  $d = 4$  and the set of exponents  $\{a_i\}$  forms an arithmetic sequence.

**Proposition 2.2.** *Let  $A$  be the monomial curve*

$$\mathbf{k} [[t^a, t^{a+r}, t^{a+2r}, t^{a+3r}]],$$

where  $a$  and  $r$  are positive integers that are relatively prime. Consider  $A$  as  $R/P$ , where  $R = \mathbf{k} [[x, y, z, w]]$  and  $P$  is the defining ideal of  $A$ . Then  $P$  is minimally generated by

$$\left\{ \begin{array}{ll} z^2 - yw, \; yz - xw, \; y^2 - xz, \; x^{k+r} - w^k, & \text{if } a = 3k \\ \\ z^2 - yw, \; yz - xw, \; y^2 - xz, \; x^{k+r}z - w^{k+1}, \\ x^{k+r}y - zw^k, \; x^{k+r+1} - yw^k, & \text{if } a = 3k + 1 \\ \\ z^2 - yw, \; yz - xw, \; y^2 - xz, \; x^{k+r+1} - zw^k, \\ x^{k+r}y - w^{k+1}, & \text{if } a = 3k + 2, \end{array} \right.$$

where  $k$  is a positive integer.

*Proof.* Since the numerical semigroup is non-redundantly generated,  $a$  is greater than or equal to 4 by Lemma 2. Thus  $k \geq 2$  if  $a = 3k$  and  $k \geq 1$  if  $a = 3k+1$  or  $3k+2$ . In each case, let  $I$  be the ideal generated by the above-listed elements and  $\mathfrak{m}$  the maximal ideal  $(x, y, z, w)$  of  $R$ . One can directly check that  $I \subset P$ . For all cases, we will use the following method to show that  $I = P$ . First, we show that  $(P, x) = (I, x)$ . Then it follows that  $P = I + x(P : x)$ . But  $(P : x) = P$ , since  $x$  is not in  $P$ . Thus,  $P = I + xP$ , which implies  $P = I$ , by Nakayama's lemma. To show  $(P, x) = (I, x)$ , let  $\tilde{I} = (I, x)$ . The short exact sequence

$$0 \longrightarrow R/(\tilde{I} : y) \xrightarrow{\cdot y} R/\tilde{I} \longrightarrow R/(\tilde{I}, y) \longrightarrow 0$$

yields the length equation  $\lambda_R(R/\tilde{I}) = \lambda_R(R/(\tilde{I} : y)) + \lambda_R(R/(\tilde{I}, y))$ . Since  $R/P$  is Cohen-Macaulay and the image of the ideal  $(x)$  in  $R/P$  is a minimal reduction of  $\mathfrak{m}/P$  by Lemma 2.1, we have

$$a = e(\mathfrak{m}, R/P) = \lambda_R(R/(P, x)) \leq \lambda_R(R/\tilde{I}).$$

Thus, it is enough to show

$$\lambda_R(R/(\tilde{I} : y)) + \lambda_R(R/(\tilde{I}, y)) \leq a.$$

If  $a = 3k$ , then  $\tilde{I} = (x, z^2 - yw, yz, y^2, w^k)$ . Therefore,  $(\tilde{I}, y) = (x, y, z^2, w^k)$  and the ideal  $\tilde{I} : y$  contains the ideal  $(x, y, z, w^k)$ . Thus,

$$\begin{aligned} & \lambda_R(R/(\tilde{I} : y)) + \lambda_R(R/(\tilde{I}, y)) \\ & \leq \lambda_R(R/(x, y, z, w^k)) + \lambda_R(R/(x, y, z^2, w^k)) \\ & \leq k + 2k = a. \end{aligned}$$

If  $a = 3k+1$ , then  $\tilde{I} = (x, z^2 - yw, yz, y^2, w^{k+1}, zw^k, yw^k)$ . Hence,  $(x, y, z, w^k) \subset \tilde{I} : y$  and  $(\tilde{I}, y) = (x, y, z^2, zw^k, w^{k+1})$ . Note that  $\lambda_R(R/(x, y, z^2, zw^k, w^{k+1})) = 2k+1$  and  $\lambda_R(R/(x, y, z, w^k)) = k$ . Thus,

$$\lambda_R(R/(\tilde{I} : y)) + \lambda_R(R/(\tilde{I}, y)) \leq k + (2k+1) = a.$$

If  $a = 3k+2$ , then  $\tilde{I} = (x, z^2 - yw, yz, y^2, zw^k, w^{k+1})$ . Therefore,  $(x, y, z, w^{k+1}) \subset \tilde{I} : y$  and  $(\tilde{I}, y) = (x, y, z^2, zw^k, w^{k+1})$ . Similar to the previous case,  $\lambda_R(R/(x, y, z^2, zw^k, w^{k+1}))$  is  $2k+1$  and  $\lambda_R(R/(x, y, z, w^{k+1})) = k+1$ . Hence, we obtain

$$\lambda_R(R/(\tilde{I} : y)) + \lambda_R(R/(\tilde{I}, y)) \leq (k+1) + (2k+1) = a.$$

To show that  $P$  is minimally generated by the listed elements in each case, we can compute  $\mu(P) = \lambda_R(P/\mathfrak{m}P)$ . In fact, if we let  $\overline{R} = R/xR$ , then

$$\begin{aligned}\mu(P\overline{R}) &= \lambda_R(P\overline{R}/\mathfrak{m}P\overline{R}) = \lambda_R(P + (x)/\mathfrak{m}P + (x)) \\ &= \lambda_R(P/\mathfrak{m}P + P \cap (x)).\end{aligned}$$

But  $P \cap (x) = x(P : x) = xP \subset \mathfrak{m}P$ . Thus  $\mu(P\overline{R}) = \mu(P)$ . Therefore, to compute the minimal number of generators in each case, we can go modulo  $(x)$  first. If  $a = 3k$ , we will show in Theorem 2.3 that  $P^2 \neq P^{(2)}$ ; hence,  $P$  is not a complete intersection ideal. Thus, it cannot have a fewer number of generators than 4. If  $a = 3k + 2$ , we will show in Theorem 2.3 that  $P$  is generated by the 4 by 4 Pfaffians of a 5 by 5 skew-symmetric matrix. Hence by the Buchsbaum-Eisenbud structure theorem for height 3 Gorenstein ideals in [6],  $P$  is minimally generated by the listed elements in this case. Thus, we only need to deal with the case  $a = 3k + 1$ , where one can check directly that the ideal  $P\overline{R}$  is minimally generated by  $z^2 - yw, yz, y^2, w^{k+1}, zw^k, yw^k$ .  $\square$

**Theorem 2.3.** *Let  $A$  be the monomial curve  $\mathbf{k}[[t^a, t^{a+r}, t^{a+2r}, t^{a+3r}]]$ , where  $a$  and  $r$  are positive integers that are relatively prime. Regard  $A$  as  $R/P$ , where  $R = \mathbf{k}[[x, y, z, w]]$  and  $P$  is the defining ideal of  $A$ .*

- (1) *If  $a = 3k$  or  $3k + 1$ , then  $R/P$  is not Gorenstein and  $P^2 \neq P^{(2)}$ .*
- (2) *If  $a = 3k + 2$ , then  $R/P$  is Gorenstein,  $P^2 = P^{(2)}$  and  $P^3 \neq P^{(3)}$ .*

*Proof.* If  $a = 3k$ , then one can see that  $P$  contains the 2 by 2 minors of

$$M = \begin{bmatrix} x & y & z \\ y & z & w \\ zw^{k-1} & x^{k+r} & yx^{k+r-1} \end{bmatrix}.$$

Let  $D = \det(M)$ . Note that  $D \notin P^2$ , since  $D$  is not in  $P^2$  modulo  $(x, y)$ . We will show that  $D \in P^{(2)}$ . We have  $\det(\text{adj}(M)) \cdot D = D^3$ , where  $\text{adj}(M)$  is the adjoint matrix of  $M$ . Note that  $D \neq 0$ ; for example, it is not zero modulo  $(x, y)$ . Thus  $D^2 = \det(\text{adj}(M))$ . But  $\det(\text{adj}(M)) \in P^3$ , since the entries of  $\text{adj}(M)$  are in  $P$ . Hence,  $D^2 \in P^3$ . Therefore, the image of  $D^2$  in the associated graded ring  $G_P := \text{gr}_{PR_P}(R_P)$  is zero. Note that  $G_P$  is a domain as  $R_P$  is a regular local ring. Hence, the image of  $D$  is zero in  $G_P$ , which shows that the image of  $D$  in the localization  $R_P$  is in  $P^2R_P$ , i.e.,  $D \in P^{(2)}$ . One

could also directly show that  $w \cdot \det(M) \in P^2$ ; hence,  $\det(M) \in P^{(2)}$ , as  $w$  is not in  $P$ . Now by Herzog's theorem [9, Satz 2.8], we conclude that  $R/P$  is not Gorenstein. Since, in Proposition 2.2, we have shown that  $P$  is minimally generated by 4 elements, we could also use the Buchsbaum-Eisenbud structure theorem for height 3 Gorenstein ideals in [6], or Bresinsky's result in [3] which states that if a monomial curve in dimension 4 is Gorenstein, then  $P$  is minimally generated by 3 or 5 elements.

If  $a = 3k + 1$ , then  $P$  contains the 2 by 2 minors of

$$M = \begin{bmatrix} x & y & z \\ y & z & w \\ zw^{k-1} & w^k & x^{k+r} \end{bmatrix}.$$

With a similar argument as in the previous case, one can show that  $\det(M) \in P^{(2)} \setminus P^2$ . Thus by Herzog's result,  $R/P$  is not Gorenstein.

If  $a = 3k + 2$ , then by Proposition 2.2, one can see that  $P$  is generated by the  $4 \times 4$  Pfaffians of

$$M = \begin{bmatrix} 0 & -w^k & 0 & x & y \\ w^k & 0 & x^{k+r} & y & z \\ 0 & -x^{k+r} & 0 & z & w \\ -x & -y & -z & 0 & 0 \\ -y & -z & -w & 0 & 0 \end{bmatrix}.$$

Thus, by the Buchsbaum-Eisenbud structure theorem for height 3 Gorenstein ideals in [6], we obtain that  $R/P$  is Gorenstein and  $P$  is minimally generated by the 5 listed elements in Proposition 2.2. Hence,  $P^2 = P^{(2)}$  by Herzog's result [9, Satz 2.8], and  $P^3 \neq P^{(3)}$  by Huneke's result [14, Corollary 2.6], as  $P$  is not a complete intersection ideal.  $\square$

**Corollary 2.4.** *Question 1.1 and Question 1.2 have affirmative answers for monomial curves as in Theorem 2.3.*

Now we consider monomial curves in higher dimensions.

**Theorem 2.5.** *Let  $A$  be the monomial curve  $k[[t^{a_1}, \dots, t^{a_d}]]$ . Consider  $A$  as  $R/P$ , where  $R = k[[x_1, \dots, x_d]]$  and  $P$  is the defining ideal*

of  $A$ . If  $\{a_i\}$  has an arithmetic subsequence of length 5, whose terms are not necessarily consecutive, then  $P^2 \neq P^{(2)}$ .

*Proof.* If  $\{a_i\}$  has an arithmetic subsequence  $\{b_1, \dots, b_5\}$  of length 5, without loss of generality we may assume that  $x_1, \dots, x_5$  correspond to  $t^{b_1}, \dots, t^{b_5}$ . Then, one can see that  $P$  contains the 2 by 2 minors of

$$M = \begin{bmatrix} x_1 & x_2 & x_3 \\ x_2 & x_3 & x_4 \\ x_3 & x_4 & x_5 \end{bmatrix}.$$

We observe that  $\det(M) \notin P^2$ , since  $\det(M)$  is a polynomial of degree 3 and the generators of  $P^2$  have degree at least 4 as  $P \subset \mathfrak{m}^2$ . Also note that  $\det(M) \neq 0$ ; for example, it is not zero modulo  $(x_2, x_3)$ . Thus, by a similar argument as in the proof of Theorem 2.3, one can show that  $\det(M) \in P^{(2)}$ .  $\square$

**Corollary 2.6.** *Question 1.1 and Question 1.2 have positive answers for monomial curves as in Theorem 2.5.*

Using a result of Morales [20, Lemma 3.2], we can extend Theorems 2.3 and 2.5 to a larger class of monomial curves. As before, let  $A$  be the monomial curve  $\mathbf{k}[[t^{a_1}, \dots, t^{a_d}]]$ . In the following, we will not assume any particular order on the  $a_i$ 's. Write  $A$  as  $R/P$ , where  $R$  is  $\mathbf{k}[[x_1, \dots, x_d]]$  and  $P$  is the defining ideal of  $A$ . For a positive integer  $c$ , relatively prime to  $a_1$ , let  $\tilde{A}$  be the modified monomial curve  $\mathbf{k}[[t^{a_1}, t^{ca_2}, \dots, t^{ca_d}]]$ . Note that  $a_1, ca_2, \dots, ca_d$  non-redundantly generate their numerical semigroup too. Write  $\tilde{A}$  as  $\tilde{R}/\tilde{P}$ , where  $\tilde{R}$  denotes  $\mathbf{k}[[x_1, \dots, x_d]]$  and  $\tilde{P}$  is the defining ideal of  $\tilde{A}$ . Consider  $\tilde{R}$  as an  $R$ -module via the map  $\phi : R \rightarrow \tilde{R}$  that sends  $x_1$  to  $x_1^c$  and fixes  $x_i$  for all  $i \neq 1$ . For a polynomial  $f(x_1, \dots, x_d) \in R$ , let  $\tilde{f}$  be the polynomial  $f(x_1^c, \dots, x_d)$ .

**Lemma 2.7** [20].  *$\tilde{R}$  is a faithfully flat extension of  $R$ . Moreover,  $P\tilde{R} \cap R = P$  and  $\tilde{P} = P\tilde{R}$ . In fact,  $f \in P$  if and only if  $\tilde{f} \in \tilde{P}$ , and if  $\{g_i\}$  is a minimal generating set for  $P$ , then  $\{\tilde{g}_i\}$  is a minimal generating set for  $\tilde{P}$ . In addition, for all positive integers  $k$ ,  $f \in P^k$*

*if and only if  $\tilde{f} \in \tilde{P}^k$ , and  $f \in P^{(k)}$  if and only if  $\tilde{f} \in \tilde{P}^{(k)}$ , i.e.,  $P^k \cap R = P^{(k)}$  and  $\tilde{P}^{(k)} \cap R = P^{(k)}$ .*

Using Lemma 2.7, we obtain the following extension of Theorems 2.3 and 2.5.

**Corollary 2.8.** *If Question 1.1 has an affirmative answer for a monomial curve  $A$ , then it also has an affirmative answer for the monomial curve  $\tilde{A}$ . In particular, Question 1.1 has an affirmative answer for successive modifications of the monomial curves as in Theorems 2.3 and 2.5 in the sense of Morales.*

*Proof.* If  $\tilde{P}^n = \tilde{P}^{(n)}$  for all positive integers  $n \leq d - 1$ , then by Lemma 2.7, we obtain that  $P^n = P^{(n)}$  for all  $n \leq d - 1$ . Thus, by hypothesis,  $P$  is a complete intersection and hence, by Lemma 2.7, we obtain that  $\tilde{P}$  is a complete intersection.  $\square$

**3. Low multiplicities.** Let  $R$  be a regular local ring with maximal ideal  $\mathfrak{m}$  and of dimension  $d$ . Let  $P$  be a prime ideal of height  $d - 1$ . We will show that Question 1.1 has an affirmative answer when the Hilbert-Samuel multiplicity  $e(R/P)$  is sufficiently small.

**Theorem 3.1.** *Let  $R$  be a regular local ring with maximal ideal  $\mathfrak{m}$  and of dimension  $d$ . Assume  $P$  is a prime ideal of height  $d - 1$  such that  $P \subset \mathfrak{m}^2$ . Then  $P^n \neq P^{(n)}$  for a positive integer  $n$ , if*

$$e(R/P) < \prod_{r=0}^{d-2} \frac{2n+r}{n+r}.$$

*Proof.* We may assume the residue field of  $R$  is infinite, see for instance [16, Lemma 8.4.2]. Thus, as  $R/P$  has dimension one, there exists an  $x \in R$  whose image in  $R/P$  is a minimal reduction of  $\mathfrak{m}/P$ . Note that  $x$  cannot be in  $\mathfrak{m}^2$  by Nakayama's lemma; hence,  $R/(x)$  is regular. Recall that, in a regular local ring  $S$  with maximal ideal  $\mathfrak{n}$  and of dimension  $k$ ,  $\lambda_S(S/\mathfrak{n}^n) = \binom{n+k-1}{k}$  for all positive integers  $n$ . Therefore, since  $P^n \subset \mathfrak{m}^{2n}$ , we have  $\lambda_R(R/(P^n, x)) \geq \lambda_R(R/(\mathfrak{m}^{2n}, x)) = \binom{2n+d-2}{d-1}$ . On the other hand, since  $R/P$  is a one-

dimensional Cohen-Macaulay ring, using the associativity formula for multiplicities, we obtain

$$\begin{aligned}\lambda_R(R/(P^{(n)}, x)) &= e((x), R/P^{(n)}) \\ &= \lambda_{R_P}(R_P/P^n R_P) \cdot e((x), R/P) \\ &= \binom{n+d-2}{d-1} \cdot e(R/P).\end{aligned}$$

The multiplicity bound in the statement is equivalent to

$$\binom{n+d-2}{d-1} \cdot e(R/P) < \binom{2n+d-2}{d-1}.$$

Therefore,  $\lambda_R(R/(P^{(n)}, x)) < \lambda_R(R/(P^n, x))$ . Thus,  $P^n$  and  $P^{(n)}$  cannot be the same.  $\square$

One can easily observe that the multiplicity bound in Theorem 3.1 is increasing with respect to  $n$ . Thus, letting  $n = d - 1$ , we obtain the largest bound that guarantees  $P^{d-1} \neq P^{(d-1)}$ . Therefore, we have the following corollary.

**Corollary 3.2.** *Under the assumptions of Theorem 3.1, Question 1.1 has a positive answer provided*

$$e(R/P) < \prod_{r=0}^{d-2} \frac{2d+r-2}{d+r-1}.$$

Note that the multiplicity bound in Corollary 3.2 grows at least exponentially with respect to  $d$ , since each term of the product is greater than  $3/2$ .

The next corollary is an application of Theorem 3.1 in the case of monomial curves in embedding dimension 4.

**Corollary 3.3.** *Let  $A = k[[t^{a_1}, t^{a_2}, t^{a_3}, t^{a_4}]]$ . Consider  $A$  as  $R/P$ , where  $R = k[[x, y, z, w]]$  and  $P$  is the defining ideal of  $A$ . If  $a_1 = 4$  or 5, then  $P^3 \neq P^{(3)}$ . Therefore, Question 1.1 has a positive answer in this case.*

*Proof.* Apply Theorem 3.1 for  $n = 3$  and  $d = 4$ . On the one hand  $e(R/P) = a_1 \leq 5$ , and on the other hand the multiplicity bound reduces to 5.6. Hence,  $P^3 \neq P^{(3)}$ .  $\square$

We remark that, by Corollary 2.8, Question 1.1 has an affirmative answer for successive modifications of the monomial curves as in Corollary 3.3 in the sense of Morales.

**4. Remarks.** We end this paper with some remarks and observations on equality of the ordinary and symbolic powers of ideals.

*Remark 4.1.* The multiplicity bound in Theorem 3.1 approaches  $2^{d-1}$  as  $n$  tends to infinity. Thus, if  $e(R/P) < 2^{d-1}$ , then  $P^n \neq P^{(n)}$  for  $n$  large. Hence, if Question 1.1 has a positive answer and  $P^n = P^{(n)}$  for all  $n \leq d-1$ , then  $e(R/P) \geq 2^{d-1}$ . This is consistent with the conclusion of Question 1.1, that  $P$  is a complete intersection. To see this, suppose  $P$  is generated by a regular sequence  $a_1, \dots, a_{d-1}$  and  $x$  is a minimal reduction of  $\mathfrak{m}/P$  in  $R/P$ . Then, by [19, Theorem 14.9], we have

$$\begin{aligned} e(\mathfrak{m}, R/P) &= \lambda_R(R/(P, x)) = \lambda_R(R/(a_1, \dots, a_d)) \\ &\geq \prod_{i=1}^d \text{ord}_{\mathfrak{m}}(a_i) \geq 2^{d-1}, \end{aligned}$$

where  $a_d = x$ . Note that  $\text{ord}_{\mathfrak{m}}(x) = 1$  and  $\text{ord}_{\mathfrak{m}}(a_i) \geq 2$  for  $i = 1, \dots, d-1$ , as we are assuming  $P \subset \mathfrak{m}^2$ .

*Remark 4.2.* We know that if  $P^n = P^{(n)}$  for  $n$  large, then  $P$  is a complete intersection [7]. The conclusion is also true if  $P^n = P^{(n)}$  for infinitely many  $n$ , see for instance Brodmann's result on the stability of associated primes of  $R/P^n$  in [4]. This can also be obtained by using superficial elements, at least when  $R$  has infinite residue field and  $P$  has positive grade. If  $P^n = P^{(n)}$  for infinitely many  $n$ , then one can show that  $P^n = P^{(n)}$  for  $n$  large. To see this, let  $x \in P$  be a superficial element, in the sense that  $P^{n+1} : x = P^n$  for  $n$  large, see [16, 8.5.7]. Hence, if there exists an element  $b \in P^{(n)} \setminus P^n$ , then we have  $xb \in P^{(n+1)} \setminus P^{n+1}$  for  $n$  large.

*Remark 4.3.* If  $P^n = P^{(n)}$  for  $n$  large, then the analytic spread of  $P$  is at most  $d-1$  [5]. We note that this can also be seen via  $\varepsilon$ -multiplicity for one-dimensional primes. For a prime ideal  $P$  of height  $d-1$ , we

have

$$H_{\mathfrak{m}}^0(R/P^n) = P^{(n)}/P^n,$$

where the left hand side is the zero-th local cohomology of  $R/P^n$  with support in  $\mathfrak{m}$ . Thus, if  $P^n = P^{(n)}$  for  $n$  large, then  $\varepsilon$ -multiplicity of  $P$  is zero, where

$$\varepsilon(P) = \limsup_n \frac{d!}{n^d} \cdot \lambda_R(H_{\mathfrak{m}}^0(R/P^n)).$$

Hence, by [17, Theorem 4.7] or [22, Theorem 4.2], the analytic spread of  $P$  is at most  $d - 1$ .

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DEPARTMENT OF MATHEMATICS AND STATISTICS, NOTRE DAME UNIVERSITY-LOUAIZE, P.O. BOX: 72, ZOUK MIKAEL, ZOUK MOSBEH, LEBANON  
**Email address:** ahosry@ndu.edu.lb

DEPARTMENT OF MATHEMATICS, PURDUE UNIVERSITY, WEST LAFAYETTE, IN 47907  
**Email address:** kim455@purdue.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN, IL 61801  
**Email address:** jvalidas@illinois.edu