

On the diffeomorphism groups of rational and ruled 4-manifolds*

By

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Abstract

Let $A(M)$ be the automorphism group of the middle homology of a smooth 4-manifold M and $D(M)$ be the subgroup induced by diffeomorphisms of M . In this paper we give explicit generators of $D(M)$ for rational and ruled 4-manifolds. We also prove the uniqueness of reduced forms for classes with minimal genus 0 and non-negative square.

1. Introduction

On a smooth 4-manifold M , each diffeomorphism induces an automorphism of the lattice of the second integral cohomology. Hence there is a natural map from the group of diffeomorphisms $\text{Diff}(M)$ to the automorphism group of the lattice $A(M)$. Let $D(M)$ be the image of this natural map. In other words, an automorphism is in $D(M)$ if it is realized by an orientation-preserving diffeomorphism.

Let $M = CP^2 \# n\overline{CP^2}$. If $n \leq 9$, there is the classical result of Wall ([10]) that $D(M)$ coincides with $A(M)$. While for $n > 9$, Friedman and Morgan ([1]) showed that $D(M)$ is a subgroup of $A(M)$ with infinite index via the Donaldson theory. To characterize $D(M)$, Friedman and Morgan introduced the complex concepts of P-cell and super P-cell. In [7], another characterization of $D(M)$ was given via K-symplectic cones. However it is still rather abstract. In particular, there is neither description of a generating set of $D(M)$, nor the coset space when $n > 9$. Thus the structure of $D(M)$ with $n > 9$ still remains mysterious.

Based on results on the minimal genus of a class with square -1 or -2 in [7], in this note we use a very simple argument to present an explicit and finite generating set of $D(M)$ for any n . The knowledge of minimal genus is also used to explicitly write down infinitely many cosets of $D(M)$ in $A(M)$ when $n > 9$. We are also able to determine the uniqueness of reduced representatives of spherical classes of nonnegative squares.

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For irrational ruled 4-manifolds, we give a similar presentation of $D(M)$.

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2. Spherical reflections, reduced classes and minimal genus

For any oriented, closed 4-manifold M and $\gamma \in H_2(M, \mathbb{Z})$ with $\gamma^2 = \gamma \cdot \gamma = \pm 1$ or ± 2 , there is an automorphism $R(\gamma)$ of the lattice called the reflection along γ ,

$$R(\gamma)\beta = \beta - \frac{2(\gamma \cdot \beta)}{\gamma \cdot \gamma} \gamma.$$

Notice that $R(\gamma) = R(-\gamma)$. The following is Lemma 2.5 in [7].

Lemma 2.1. *Suppose $\psi \in A(M)$ and $\gamma = \psi(\gamma_0)$. Then $R(\gamma) = \psi \circ R(\gamma_0) \circ \psi^{-1}$.*

Now suppose that M is smooth and γ is represented by a smoothly embedded sphere. Proposition 2.4 in Chapter III in [1] then says that $R(\gamma) \in D(M)$ when $\gamma \cdot \gamma = -1$ or -2 . Notice that, simply by looking at the opposite orientation of M , we still have $R(\gamma) \in D(M)$ when $\gamma \cdot \gamma = 1$ or 2 .

Let $M = CP^2 \# n\overline{CP^2}$. Let H be a generator of $H_2(CP^2; \mathbb{Z})$ and $E_i, 1 \leq i \leq n$, be a generator of the H_2 of each of the $\overline{CP^2}$. H, E_1, \dots, E_n are naturally considered as classes in $H^2(CP^2 \# n\overline{CP^2}; \mathbb{Z})$ and form a basis. We will call such a basis a standard basis and always assume such a basis is chosen.

Given such a basis, we introduce the following notations:

$$\begin{aligned} R_0 &= R(H), \\ R_i &= R(E_i), \quad 1 \leq i \leq n, \\ R_{ij} &= R(E_i - E_j), \quad 1 \leq i < j \leq n, \quad R_{ii} = \text{id}, \\ R_{123} &= R(H - E_1 - E_2 - E_3). \end{aligned}$$

According to Wall ([10]), an automorphism is called trivial if it is of the form $R_i, 1 \leq i \leq n$, or R_{ij} . It was shown in [10] that trivial automorphisms are in $D(M)$. In fact, R_i, R_{ij}, R_{123} are all in $D(M)$ as $H, E_i, E_i - E_j, H - E_1 - E_2 - E_3$ are all represented by embedded spheres.

Definition 2.2. For $CP^2 \# n\overline{CP^2}$, a class $\xi = aH - \sum_{i=1}^n b_i E_i$ is called reduced if

$$b_1 \geq b_2 \geq \dots \geq b_n \geq 0, \quad a \geq b_1 + b_2 + b_3.$$

If $n \leq 2$, it is understood that $b_i = 0$ for $i \geq n$ in the last condition.

Definition 2.3. For $M = CP^2 \# n\overline{CP^2}$, let $D_1(M)$ be the group generated by

$$R_0, \quad R_{123}, \quad R_i, \quad 1 \leq i \leq n, \quad R_{1j}, \quad 2 \leq j \leq n.$$

Since $R_{1i}(E_i - E_j) = E_1 - E_j$, by Lemma 2.1, we have

Lemma 2.4. R_{ij} is generated by R_{1i} and R_{1j} .

Consequently, $D_1(M)$ is actually the subgroup of $D(M)$ generated by trivial automorphisms, R_0 and R_{123} .

Definition 2.5. We say a class has a reduced form if it is equivalent to a reduced class under the action of $D_1(CP^2 \# n\overline{CP^2})$.

It is proved in [6] that for any n , each class with nonnegative square has a reduced form. The following result in [7] concerning the existence of a reduced form for a class with square -1 or -2 is crucial.

Proposition 2.6. Let $M = CP^2 \# n\overline{CP^2}$. Let C be a class with square -1 or -2 .

1. If C has a reduced form, then C has positive minimal genus.
 2. If C does not have a reduced form, then C has minimal genus 0.
- 2(a). If C has square -1 , C is equivalent to E_1 if $b^-(M) \neq 2$; when $b^-(M) = 2$, another possibility is that it is characteristic, and equivalent to $H - E_1 - E_2$.
- 2(b). If C has square -2 , C is equivalent to $E_1 - E_2$ if $b^-(M) \neq 3$; when $b^-(M) = 3$, another possibility is that it is characteristic, and equivalent to $H - E_1 - E_2 - E_3$.

3. Rational manifolds

We call a smooth, closed, orientable 4-manifold M a rational manifold if it is diffeomorphic to $S^2 \times S^2$ or $CP^2 \# n\overline{CP^2}$.

It is well known that $D(CP^2 \# 2\overline{CP^2})$ is generated by $R(H - E_1 - E_2)$, R_0 , R_1, R_2 and R_{12} ; $D(CP^2 \# \overline{CP^2})$ is generated by R_0 and R_1 ; and $D(CP^2)$ is generated by R_0 . For $S^2 \times S^2$, let $x = [S^2 \times pt]$ and $y = [pt \times S^2]$ be the generators of $H_2(S^2 \times S^2, \mathbb{Z})$. Then $A(S^2 \times S^2) = D(S^2 \times S^2) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$ is generated by the reflections along $x + y$ and $x - y$. Our first main result says that the same phenomenon is valid for all rational manifolds.

Theorem 3.1. For $M = CP^2 \# n\overline{CP^2}$ with $n \geq 3$, we have $D(M) = D_1(M)$. Consequently, for any rational 4-manifold M , $D(M)$ is finitely generated by reflections along minimal genus 0 classes with squares ± 1 or ± 2 .

Proof. For any $\sigma \in D(CP^2 \# n\overline{CP^2})$, by Proposition 2.6, there exists an element σ_1 in $D_1(CP^2 \# n\overline{CP^2})$ such that $\sigma_1 \sigma E_n = E_n$ or a reduced class. If the latter would happen, then the minimal genus of $\sigma_1 \sigma E_n$ is positive by Proposition 2.6, a contradiction to the fact that it should be zero. Hence $\sigma_1 \sigma E_n = E_n$, and $E_{n-1} \cdot E_n = 0$ implies $\sigma_1 \sigma E_{n-1} = aH - b_1 E_1 - \dots - b_{n-1} E_{n-1}$. The same argument shows that there is an element $\sigma_2 \in D_1(CP^2 \# (n-1)\overline{CP^2})$ such that $\sigma_2 \sigma_1 \sigma E_{n-1} = E_{n-1}$. Continuing in this way, we will have $\sigma_1, \sigma_2, \dots, \sigma_{n-3}$ such that $\sigma_i \in D_1(CP^2 \# (n-i+1)\overline{CP^2})$, and

$$\sigma_i \sigma_{i-1} \cdots \sigma_1 \sigma E_{n-i+1} = E_{n-i+1}$$

Obviously

$$\sigma_{n-3}\sigma_{n-4}\cdots\sigma_1\sigma E_i = E_i, \text{ for } i = 4, 5, \dots, n$$

Hence, $\sigma_{n-3}\sigma_{n-4}\cdots\sigma_1\sigma$ is in $A(CP^2\#\overline{3CP^2})$. By [11], $A(CP^2\#\overline{3CP^2}) = D_1(CP^2\#\overline{3CP^2})$. Thus $\sigma \in D_1(CP^2\#\overline{nCP^2})$ and the proof is complete. \square

Remark 3.2. Notice that the proof of Theorem 3.1 does not rely on the previous characterizations of $D(M)$ in [1] and [7].

Remark 3.3. For $n \leq 9$, Wall [11] showed that the full automorphism group $A(M)$ has the same description and that enabled him to identify $D(M)$ with $A(M)$ in this case.

For the infiniteness of index of $D(CP^2\#\overline{nCP^2})$ in $A(CP^2\#\overline{nCP^2})$ with $n > 9$, the following result tell us how easy it is to find elements in $A(CP^2\#\overline{nCP^2})$ but not in $D(CP^2\#\overline{nCP^2})$, and how “big” the index is.

Theorem 3.4. Let $M = CP^2\#\overline{nCP^2}$ and γ be a reduced class with square -1 or -2 . Then the reflection $R(\gamma)$ is not in $D(CP^2\#\overline{nCP^2})$. Moreover, For a a positive integer, let

$$\xi_a = a \left(3H - \sum_{i=1}^9 E_i \right) - E_{10}, \quad \eta_a = a \left(3H - \sum_{i=1}^9 E_i \right) - E_{10} - E_{11}.$$

Then, if $a \neq a'$,

1. ξ_a and $\xi_{a'}$ are in different cosets if $n \geq 10$;
2. $\eta_a, \eta_{a'}, \xi_a$ and $\xi_{a'}$ are in different cosets if $n \geq 11$.

Proof. Observe that if an automorphism takes $\pm E_i$ to a reduced class, then it cannot be in $D(M)$ by Proposition 2.6.

If γ is reduced and has square -1 or -2 , and $b_l > 0$ and $b_{l+1} = 0$, then

$$R(\gamma)E_l = E_l + \frac{2b_l}{|\gamma^2|}\gamma$$

is still reduced. Therefore $R(\gamma)$ is not in $D(M)$.

Notice that this applies to the classes ξ_a which have square -1 and η_a which have square -2 . Now we further show the corresponding reflections are in different cosets. This follows from

$$\begin{aligned} R(\xi_{a'})R(\xi_a)(-E_{10}) &= \xi_{2(a'-a)}, \\ R(\eta_{a'})R(\eta_a)(-E_{11}) &= (a' - a) \left(3H - \sum_{i=1}^9 E_i \right) - E_{11}, \\ R(\eta_{a'})R(\xi_a)(E_{11}) &= \xi_{a'}. \end{aligned}$$

\square

For classes with square 1 or 2, we have,

Proposition 3.5. *Let $M = CP^2 \# n \overline{CP^2}$ with $n \geq 9$ and γ be a reduced class with square 1 or 2. Then $R(\gamma)$ is not in $D(M)$ if $b_3 > 0$.*

Proof. We use the same observation as above.

Since b_3 is assumed to be positive, so $b_1 > 0$. If $a > b_1 + b_2 + b_3$, then $R(\gamma)(-E_1)$ is reduced.

Now assume that $a = b_1 + b_2 + b_3$. First of all, it is impossible to have $b_1 = b_2 = \dots = b_n$ as n is assumed to be at least 9.

Suppose there is an k with $3 \leq k < n$ such that $b_k > b_{k+1} > 0$. Then $R(\gamma)(-E_{k+1})$ is reduced.

Suppose there is an k with $3 \leq k < n$ such that $b_1 \geq b_2 > b_3 = \dots = b_k$ and $b_{k+1} = 0$, then $R(\gamma)(-E_3)$ can be written as

$$a'H - b'_1E_1 - b'_2E_2 - (b'_3 + 1)E_3 - b'_3 \sum_{i=4}^k E_i,$$

where $b'_1 \geq b'_2 > b'_3$ and $a' = b'_1 + b'_2 + b'_3$. Hence

$$R(H - E_1 - E_2 - E_3)R(\gamma)(-E_3) = a''H - b''_1E_1 - b''_2E_2 - b''_3E_3 - b'_3 \sum_{i=4}^k E_i$$

satisfies:

$$\begin{aligned} a'' &= b'_1 + b'_2 + b'_3 - 1, \\ b''_1 &= b'_1 - 1, \\ b''_2 &= b'_2 - 1, \\ b''_3 &= b'_3. \end{aligned}$$

Therefore

$$R(H - E_1 - E_2 - E_3)R(\gamma)(-E_3)$$

is reduced.

Similarly, if there is an k with $3 \leq k < n$ such that $b_1 \geq b_2 > b_3 = \dots = b_k$ and $b_{k+1} = 0$, then

$$R(H - E_1 - E_2 - E_3)R(\gamma)(-E_2)$$

is reduced. □

The uniqueness of reduced form is an interesting and difficult question^{*1}. The only known result is Theorem 2.3 in [11]: any class with positive square in $H_2(CP^2 \# 2\overline{CP^2}; \mathbb{Z})$ has a unique reduced form.

^{*1}This has recently been answered affirmatively in [4].

The result of Wall can be generalized to include the classes with square zero by the following argument. If $aH - b_1E_1 - b_2E_2$ is reduced with $a^2 = b_1^2 + b_2^2$, then there are integers $t \geq s \geq 0$ and $k > 0$ such that

$$a = k(t^2 + s^2), \quad b_1 = \max\{k(t^2 - s^2), 2kts\}, \quad b_2 = \min\{k(t^2 - s^2), 2kts\}$$

$a \geq b_1 + b_2$ implies $2s^2 \geq 2ts$. This, together with $t \geq s \geq 0$, implies $s = 0$ or $s = t$. Therefore, reducedness implies $b_2 = 0$ and $a = b_1$.

We prove the following general result concerning uniqueness.

Theorem 3.6. *For $M = CP^2 \# n\overline{CP^2}$, a minimal genus 0 class with nonnegative square has a unique reduced form in the following list:*

1. $2H$,
2. $(k + 1)H - kE_1, \quad k \geq 0$,
3. $(k + 1)H - kE_1 - E_2, \quad k \geq 1$,
4. $kH - kE_1, \quad k \geq 0$.

Proof. Let $\xi = aH - b_1E_1 - \dots - b_nE_n$ be a reduced minimal genus 0 class with $\xi^2 \geq 0$ and $b_n > 0$. Let $\xi' = aH - b_1E_1 - \dots - b_nE_n - E_{n+1} - \dots - E_{n+s}$ with $s = \xi^2 + 2$. Then ξ' is a minimal genus 0 class with square -2 . In particular, $R(\xi')$ is defined and lies in $D(CP^2 \# (n + s)\overline{CP^2})$. Now we calculate that

$$R(\xi')E_{n+s} = \xi' + E_{n+s}.$$

If $n > 2$, $\xi' + E_{n+s}$ would be reduced with square -1 . Then, by Proposition 2.6, its minimal genus would be positive. This contradiction leads to the conclusion that $n \leq 2$.

By [3], [5] and [6], the reduced minimal genus 0 classes in $CP^2 \# 2\overline{CP^2}$ with nonzero squares are just the following classes: 1). $2H$, 2). $(k + 1)H - kE_1, k \geq 0$, 3). $(k + 1)H - kE_1 - E_2, k \geq 1$, 4). $kH - kE_1, k \geq 0$. By just looking at the squares and divisibility, we see that all the classes listed in 1)–4) are in different orbits under the action of $D(CP^2 \# 2\overline{CP^2})$. So, for minimal genus 0 classes with nonnegative square, its reduced form is unique. \square

Remark 3.7. Theorem 3.6 immediately implies many things about minimal genus 0 classes, e.g. it implies Theorem 4.2 and part of Theorem D in [7].

4. Irrational ruled manifolds

Let Σ be a Riemann surface of positive genus, and N be the nontrivial S^2 -bundle over Σ . Then, up to diffeomorphisms, $\Sigma \times S^2$ and N are the only minimal irrational 4-manifold. Any non-minimal irrational ruled 4-manifold is diffeomorphic to $N \# n\overline{CP^2}$ with $n \geq 1$. Let U, T, E_1, \dots, E_n be the standard basis of $H_2(N \# n\overline{CP^2}; \mathbb{Z})$, where U is represented by a section with $U^2 = 1$, and T is represented by a fiber of N as an S^2 -bundle.

Notice that for all irrational ruled 4-manifolds: $\Sigma \times S^2$ and $N\#n\overline{CP^2}$, $n = 0, 1, \dots$, there is, up to sign, a unique primitive minimal genus 0 class f with square zero, i.e. the class $[pt \times S^2]$ or T respectively. Let $A^f(M) \subset A(M)$ be the subgroup preserving f up to sign. Then $D(M) \subset A^f(M)$, as minimal genus are preserved under diffeomorphisms^{*2}.

It is easy to see that, for $M = \Sigma \times S^2$ or N , $A(M) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$, and $D(M) = A^f(M) \cong \mathbb{Z}_2$ is generated by $-id$ (see e.g. [8]). Since the only spherical class for $S \times S^2$ and N have square zero, $-id$ cannot be a reflection along spherical class with squares equal to ± 1 or ± 2 .

For $M = N\#n\overline{CP^2}$, let $-Id_N \in A(M)$ such that $-Id_N(U) = -U$, $-Id_N(T) = -T$ but $-Id_N(E_i) = E_i$, $i = 1, \dots, n$. Then, since $-id \in D(N)$, it follows from Lemma 2 in [10] that $-Id_N \in D(M)$.

Proposition 4.1. For $n \geq 2$, the group $D(N\#n\overline{CP^2})$ is generated by

$$-Id_N, \quad R(T - E_1 - E_2), \quad R_i, 1 \leq i \leq n, \quad R_{1j}, 1 < j \leq n.$$

While, $D(N\#\overline{CP^2}) \cong \mathbb{Z}_2 \times (\mathbb{Z}_2 * \mathbb{Z}_2)$ is generated by $-Id_N, R_1$ and $R(T - E_1)$, where \times and $*$ means direct and free product respectively.

Proof. First observe that the only minimal genus 0 classes with square -1 are of the form $bT \pm E_i$ for some i . To further proceed we will need two lemmas.

Lemma 4.2. Let $M = N\#n\overline{CP^2}$. Let $D'(M)$ be the subgroup of $D(M)$ generated by $R(bT - E_1), R_1$ if $n = 1$, and

$$R(bT - E_j), R_i, R(T + E_i - E_j), R_{1j}, i \geq 1, j > 1,$$

if $n \geq 2$. Then for any $\sigma \in D(M)$, there exists a $\sigma' \in D'(M)$ such that $\sigma'\sigma$ preserves each E_i .

Proof. We first look at the case $n = 1$. In this case we have $\sigma E_1 = bT \pm E_1$. Since E_1 is not characteristic, and $bT \pm E_1$ is characteristic if and only if b is odd, we have $b = 2b_1$ for some $b_1 \in \mathbb{Z}$; let $\sigma_1 = id$, if $c_1 = 1$, and $\sigma_1 = R(E_1)$, if $c_1 = -1$, then $R(b_1T - E)\sigma_1$ maps $bT - c_1E_1$ to E_1 .

For $n \geq 2$, $\sigma E_n = bT - c_iE_i$, there are two possibilities for b : odd and even. Let $\sigma_1 = id$, if $c_i = 1$, and $\sigma_1 = R(E_i)$, if $c_i = -1$; $\sigma_2 = id$, if $i = 1$, and $\sigma_2 = R_{1i}$, if $i > 1$. Then if b is even, $R_{1n}R((b/2)T - E_1)\sigma_2\sigma_1$ maps σE_n to E_n . If b is odd, $R(T + E_1 - E_n)R([b/2]T - E_1)\sigma_2\sigma_1$ maps σE_n to E_n . Therefore, we can find an automorphism σ_3 in the group generated by $R(bT - E_1), R_i, R(T + E_1 - E_j), R_{1j}, i \geq 1, j > 1$, such that

$$\begin{aligned} \sigma_3\sigma E_i &= E_i, & \text{for } i \geq 2 \\ \sigma_3\sigma E_1 &= cT - E_1 \end{aligned}$$

^{*2}This can be also seen if we observe that f corresponds to a generator of the image of the cup product on H^1 in H^2 .

Since $E_1 + E_2 + \cdots + E_n$ is not characteristic, and $\sigma_3\sigma(E_1 + E_2 + \cdots + E_n) = cT - E_1 + E_2 + \cdots + E_n$ is characteristic if and only if c is odd, we see that c must be even. Thus

$$R((c/2)T - E_1)\sigma_3\sigma E_i = E_i, \text{ for } i = 1, \dots, n.$$

□

Lemma 4.3. For $n \geq 1$, if $\sigma \in A^f(N\#\overline{nCP^2})$ takes E_i to E_i , for $i = 1, \dots, n$. Then $\sigma = \text{id}$ or $-\text{Id}_N$.

Proof. Write $\sigma(U) = cU + dT$ and $\sigma(T) = \epsilon T$ with $\epsilon = \pm 1$. We have $d = 0$ and $c = \epsilon$, since

$$1 = U \cdot T = \sigma(U) \cdot \sigma(T) = cb, \quad 1 = \sigma(U) \cdot \sigma(U) = c^2 + 2cd.$$

Hence $\sigma = \text{id}$ or $-\text{Id}_N$.

□

By direct calculations, we find that $R(bT - E_1)$ sends (U, T, E_1) to

$$(U + 2b^2T - 2bE_1, \quad T, \quad 2bT - E_1),$$

and $R(aT - E_1)R_1R(bT - E_1)$ sends (U, T, E_1) to

$$(U + 2(a+b)^2T - 2(a+b)E_1, \quad T, \quad 2(a+b)T - E_1).$$

Since they both preserve $E_i, i \geq 2$, we have

$$R(aT - E_1)R_1R(bT - E_1) = R((a+b)T - E_1).$$

From this, we have, for $m \geq 2$,

$$R(mT - E_1) = R(T - E_1)R_1R(T - E_1)R_1 \cdots R(T - E_1)R_1R(T - E_1),$$

with $R(T - E_1)$ appearing on the right side m times. And, for $m \leq -1$, we have

$$R(mT - E_1) = R_1R(T - E_1)R_1R(T - E_1) \cdots R_1R(T - E_1)R_1,$$

with $R(T - E_1)$ appearing on the right side $-m$ times.

Therefore $R(bT - E_1)$ is generated by $R(T - E_1)$ and R_1 . Since $a \neq b$ implies $R(aT - E_1) \neq R(bT - E_1)$, the group generated by $R(T - E_1)$ and R_1 is the free product of \mathbb{Z}_2 and \mathbb{Z}_2 . Since $-\text{Id}_N$ is in the center of $D(N\#\overline{CP^2})$, we have

$$D(N\#\overline{CP^2}) \cong \mathbb{Z}_2 \times (\mathbb{Z}_2 * \mathbb{Z}_2).$$

For $n \geq 2$, we have

$$R(T - E_1 - E_2)(T - E_1) = E_2.$$

Thus by Lemma 2.1, $R(T - E_1)$ is generated by $R(T - E_1 - E_2)$ and R_2 . Thus $R(bT - E_1)$ is generated by R_1, R_2 and $R(T - E_1 - E_2)$.

Now, look at $R(T + E_1 - E_j)$ with $j > 1$. Observe that

$$R_1 R_{2j}(T + E_1 - E_j) = T - E_1 - E_2.$$

Thus by Lemma 2.1, $R(T + E_1 - E_j)$ is generated by $R(T - E_1 - E_2)$ and $R_{2j}, j > 1$. The proof of the proposition is now complete by Lemma 2.2. \square

Notice that the proof is rather elementary. It does not use the deep result Proposition 2.6.

It is remarked in [2] that $D(M) = A^f(M)$. This result was not used in the proof of Proposition 4.1. In fact we can also directly derive this.

Proposition 4.4 ([2]). *For $M = N\#n\overline{CP^2}$, $\sigma \in A(M)$ is in $D(M)$ if and only if $\sigma T = \pm T$, i.e. $D(M) = A^f(M)$.*

Proof. We have remarked that $D(M) \subset A^f(M)$. Now if $\sigma \in A(M)$ and $\sigma T = \pm T$. By Lemma 4.2, there is an element σ' in $D(N\#n\overline{CP^2})$ such that $\sigma' \sigma E_i = E_i, 1 \leq i \leq n$. Then, since $\sigma' \sigma(T) = \sigma'(\pm T) = \pm T$, we have that $\sigma' \sigma$ is either id or $-\text{Id}_N$ by Lemma 4.3. \square

Remark 4.5. It is interesting to compare $D(N\#n\overline{CP^2})$ with $D(CP^2\#2\overline{CP^2})$. In [11], it is proved that the following automorphisms:

$$\begin{array}{rcccccc} & & \alpha & & \beta & & \gamma & & \delta \\ E_1 & \rightarrow & E_2 & & -2E_1 - E_2 - 2H & & E_2 & & -E_1 \\ E_2 & \rightarrow & -E_1 & & -E_1 - 2E_2 - 2H & & E_1 & & -E_2 \\ H & \rightarrow & H & & 2E_1 + 2E_2 + 3H & & H & & -H \end{array}$$

generate $D(CP^2\#2\overline{CP^2})$ with defining relations:

$$\begin{aligned} \alpha^4 = \beta^2 = \gamma^2 = \delta^2 = 1, & \quad \gamma\alpha\gamma = \alpha^{-1}, \quad \gamma\beta\gamma = \beta, \\ \delta\alpha\delta = \alpha, & \quad \delta\beta\delta = \beta, \quad \delta\gamma\delta = \gamma. \end{aligned}$$

We can restate this result of Wall in the following form:

$$D(CP^2\#2\overline{CP^2}) \cong \mathbb{Z}_2 \times (\mathbb{Z}_2 \times (\mathbb{Z}_2 * \mathbb{Z}_4)),$$

where the generators from left to right are $\delta, \gamma, \beta, \alpha$, and the semidirect product \times is given by the homomorphism $h : \mathbb{Z}_2 \rightarrow \text{Auto}(\mathbb{Z}_2 * \mathbb{Z}_4)$ with

$$\gamma^h(\beta) = \beta, \quad \gamma^h(\alpha) = \alpha^{-1}$$

Results similar to Theorem 3.4 for $N\#n\overline{CP^2}$ are easy to obtain. We are satisfied with the following statement.

Theorem 4.6. *For $M = N\#n\overline{CP^2}, n \geq 1, D(M) = A^f(M)$ is a subgroup of $A(M)$ with infinite index.*

Proof. Let $H' = U, E'_0 = U - T$. Then in the basis $H', E'_0, E_1, \dots, E_n$, for $\xi = aH' - bE'_0 - b_1E_1 - \dots - b_nE_n, \xi^2 = a^2 - b^2 - b_1^2 - \dots - b_n^2$. So there is a natural isomorphism of $A(N\#n\overline{CP^2})$ and $A(CP^2\#(n+1)\overline{CP^2})$ in virtue of this basis and the standard basis of $CP^2\#(n+1)\overline{CP^2}$. Thus, by the uniqueness of the reduced class in an orbit of classes with square zero under the action of $A(CP^2\#2\overline{CP^2})$ which we proved in the introduction, any primitive class $\xi = aH' - bE'_0 - b_1E_1$, with $a^2 = b^2 + b_1^2$ is equivalent to $H' - E'_0 = T$ via the action of $A(N\#CP^2)$. There are infinite coprime pairs $(s, t) \in \mathbb{Z}$ with $s \geq t > 0$. For any such a pair, let $\xi(s, t) = (s^2 + t^2)H' - (s^2 - t^2)E'_0 - 2stE_1$. Then there is an element $A(s, t)$ in $A(N\#CP^2)$ which sends T to $\xi(s, t)$. Suppose $A(s_1, t_1)$ and $A(s_2, t_2)$ are in the same right coset of $D(N\#n\overline{CP^2})$ in $A(N\#n\overline{CP^2})$, i. e. there are $B_1, B_2 \in D(N\#n\overline{CP^2})$ such that

$$A(s_1, t_1)B_1 = A(s_2, t_2)B_2$$

Since $B_iT = \pm T$, we have then $A(s_1, t_1)T = \pm A(s_2, t_2)T$, i.e.

$$\xi(s_1, t_1) = \pm \xi(s_2, t_2)$$

Therefore, $(s_1, t_1) = (s_2, t_2)$. This shows that $(s_1, t_1) \neq (s_2, t_2)$ implies $A(s_1, t_1)$ and $A(s_2, t_2)$ are in the different right cosets. \square

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