

## Relative isoperimetric inequality on a curved surface

By

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### Abstract

Let  $C$  be a closed convex set on a complete simply connected surface  $S$  whose Gaussian curvature is bounded above by a nonpositive constant  $K$ . For a relatively compact subset  $\Omega \subset S \sim C$ , we obtain the sharp relative isoperimetric inequality  $2\pi\text{Area}(\Omega) - K\text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2$ . And we also have a similar partial result with positive Gaussian curvature bound.

### 1. Introduction

The classical isoperimetric inequality says that  $4\pi\text{Area}(\Omega) \leq \text{Length}(\partial\Omega)^2$  for a compact subset  $\Omega \subset \mathbb{R}^2$ . Equality holds if and only if  $\Omega$  is a disk. Many mathematicians have generalized this inequality. For example, the following isoperimetric inequality is well-known. For a domain  $\Omega$  in a complete surface  $S$  of Gaussian curvature bounded above by a constant  $K$ ,

$$(1.1) \quad 4\pi\text{Area}(\Omega) - K\text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega)^2.$$

Equality holds if and only if  $\Omega$  is a geodesic disk of constant Gaussian curvature  $K$  ([1], [2], [3], [5], [9], [11], see [10] for more references.).

Now we consider the relative isoperimetric problem. It is to find an isoperimetric region outside a closed convex set  $C$  in a Euclidean space or in a Riemannian manifold  $M$ . We study this problem in a smooth category. On that account we assume  $\partial C$  and  $\partial\Omega$  are smooth for a closed convex set  $C$  and a subset  $\Omega \subset S \sim C$ . One may then ask if the relative isoperimetric inequality similar to (1.1) holds. That is, given a complete simply connected surface  $S$  of Gaussian curvature bounded above by a constant  $K$ , a closed convex set  $C$  in  $S$ , and a relatively compact subset  $\Omega$  of  $S \sim C$ , does the inequality

$$(1.2) \quad 2\pi\text{Area}(\Omega) - K\text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2$$

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hold? And does equality hold if and only if  $\Omega$  is a geodesic half disk with constant Gaussian curvature  $K$  and  $\partial\Omega \sim \partial C$  is a geodesic semicircle?

Choe ([6]) proved (1.2) for a disk type domain  $\Omega \subset S \sim C$  with nonpositive Gaussian curvature, i.e.  $K = 0$ . In this article we will show that the inequality (1.2) holds for a relatively compact subset  $\Omega \subset S \sim C$  of Gaussian curvature bounded above by a nonpositive constant  $K$ . And we also prove a similar partial result with positive Gaussian curvature bound.

The author would like to thank Professor J. Choe for bringing this problem to his attention.

## 2. The case of constant Gaussian curvature

In this section we prove the relative isoperimetric inequality on a complete simply connected surface with constant Gaussian curvature  $K$ , for completeness. First we consider the case of  $K \leq 0$ .

**Theorem 2.1.** *Let  $C$  be a closed convex set in a complete simply connected surface  $S$  with constant Gaussian curvature  $K \leq 0$ . Then, for a relatively compact subset  $\Omega$  in  $S \sim C$  we have*

$$(2.1) \quad 2\pi \text{Area}(\Omega) - K \text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2,$$

where equality holds if and only if  $\Omega$  is a geodesic half disk and  $\partial\Omega \sim \partial C$  is a geodesic semicircle.

*Proof.* If each connected component  $D$  of  $\Omega$  is not a disk topologically, we fill it to get a disk type domain  $\hat{D} \subset S \sim C$ , using the simple connectivity of  $S$ . One can easily see that  $\text{Area}(D) \leq \text{Area}(\hat{D})$  and  $\text{Length}(\partial\hat{D}_i \sim \partial C) \leq \text{Length}(\partial D \sim \partial C)$ . Once we have the inequality (2.1) for  $\hat{D}$ , we can prove (2.1) for a relatively compact subset  $D \subset S \sim C$ , since we have

$$(2.2) \quad \begin{aligned} 2\pi \text{Area}(D) - K \text{Area}(D)^2 &\leq 2\pi \text{Area}(\hat{D}) - K \text{Area}(\hat{D})^2 \\ &\leq \text{Length}(\partial\hat{D} \sim \partial C)^2 \\ &\leq \text{Length}(\partial D \sim \partial C)^2. \end{aligned}$$

And if  $D$  is an annular domain surrounding  $C$ , then  $D \cup C$  satisfies the isoperimetric inequality (1.1) which automatically satisfies (2.1). Hence it is enough to show that the inequality (2.1) holds for a domain  $\Omega$  which is a disjoint union of disk type  $D$ 's. We assume that each  $D$  is a disk type domain. For each  $D \subset S \sim C$ , we obtain a new domain  $\tilde{D} \subset S$  by reflecting the convex hull of  $D$  about its geodesic boundary inside  $C$ . Let

$$\tilde{\Omega} = \cup(\tilde{D} \cup \text{the convex hull of } D).$$

Then from the classical isoperimetric inequality for a constantly curved surface  $\tilde{\Omega}$ , we have

$$(2.3) \quad 4\pi \text{Area}(\tilde{\Omega}) - K \text{Area}(\tilde{\Omega})^2 \leq \text{Length}(\partial\tilde{\Omega})^2.$$

Furthermore we know

$$2\text{Area}(\Omega) \leq \text{Area}(\tilde{\Omega}) \text{ and } 2\text{Length}(\partial\Omega \sim \partial C) \geq \text{Length}(\partial\tilde{\Omega}).$$

Applying these equalities to (2.3), we can see that a domain  $\Omega$  which is a disjoint union of disk type  $D$  satisfies the inequality (2.1). Equality occurs if and only if  $\tilde{\Omega}$  satisfies equality in (2.3). Hence  $\Omega$  is a geodesic half disk and  $\partial\Omega \sim \partial C$  is a geodesic semicircle. Therefore we obtain the above theorem.  $\square$

For  $K > 0$ , the proof of Theorem 2.1 doesn't work because the inequality (2.2) doesn't hold in this case. However, with more assumptions, we have a similar partial result as follows.

**Theorem 2.2.** *Let  $C$  be a closed convex set in a two dimensional sphere  $S^2(\frac{1}{\sqrt{K}}) \subset \mathbb{R}^3$  of radius  $\frac{1}{\sqrt{K}}$  with constant Gaussian curvature  $K > 0$ . Suppose that  $\Omega$  is a disk type domain in  $S^2(\frac{1}{\sqrt{K}}) \sim C$  and  $\Omega$  is contained in a hemisphere. Then we have*

$$2\pi\text{Area}(\Omega) - K\text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2,$$

where equality holds if and only if  $\Omega$  is a geodesic half disk and  $\partial\Omega \sim \partial C$  is a geodesic semicircle.

*Proof.* Use the reflection arguments as in the proof of the Theorem 2.1.  $\square$

### 3. The case of Gaussian curvature bounded above by a nonpositive constant

In 1933, Beckenbach and Radó [4] gave a proof of (1.1) by using subharmonic functions. This method was employed by Choe [6] to prove the relative isoperimetric inequality (1.2) holds for  $K = 0$ . We also apply this method to prove the following.

**Theorem 3.1.** *Let  $C$  be a closed convex set in a complete simply connected surface  $S$  with Gaussian curvature  $K_S$  bounded above by a nonpositive constant  $K$ . Then, for a relatively compact subset  $\Omega$  in  $S \sim C$  we have*

$$(3.1) \quad 2\pi\text{Area}(\Omega) - K\text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2$$

and equality holds if and only if  $\Omega$  is a geodesic half disk with constant Gaussian curvature  $K$  and  $\partial\Omega \sim \partial C$  is a geodesic semicircle.

*Proof.* As the same arguments of proof of Theorem 2.1, it is enough to show that the inequality (3.1) holds for a disk type  $\Omega \subset S \sim C$ . And we may assume that  $\partial\Omega$  meets  $\partial C$  perpendicularly, otherwise for sufficiently small  $\varepsilon > 0$ , we approximate  $\Omega$  with  $\Omega_\varepsilon$  satisfying that  $\partial\Omega_\varepsilon$  meets  $\partial C$  perpendicularly,  $\text{Area}(\Omega_\varepsilon) \rightarrow \text{Area}(\Omega)$  and  $\text{Length}(\Omega_\varepsilon) \rightarrow \text{Length}(\Omega)$  as  $\varepsilon \rightarrow 0$ . (In fact, we only approximate  $\Omega$  with  $\Omega_\varepsilon$  in an  $\varepsilon$ -ball  $B(p, \varepsilon)$  for each point  $p \in \partial(\Omega \cap \partial C)$ .)

Now let  $D \subset \mathbb{R}^2$  be a half disk with the diameter  $C_1 = \{(x, y) : x = 0, -1 \leq y \leq 1\}$  and the semicircle  $C_2 = \{(x, y) : x \geq 0, x^2 + y^2 = 1\}$  such that  $\partial D = C_1 \cup C_2$ . We consider the isothermal coordinates  $(x, y)$  of  $\Omega$  with a conformal map  $\varphi : D \rightarrow \Omega$  such that  $\varphi(C_1) = \partial\Omega \cap \partial C$ . Then the metric of  $\Omega$  is  $g = e^{2f}(dx^2 + dy^2)$  for some smooth function  $f$  on  $\Omega$ . Note that the Gaussian curvature  $K_\Omega = -e^{-2f}\Delta f \leq K$  by assumption.

Let  $h$  be the solution of the mixed boundary value problem on  $D$  satisfying

$$\begin{aligned} \Delta h + Ke^{2h} &= 0 && \text{in the interior of } D \\ h &= f && \text{on } C_2 \\ \frac{\partial h}{\partial \nu} &= 0 && \text{on } C_1, \end{aligned}$$

where  $\nu$  is the outward unit normal to  $C_1$ . We know the existence and regularity of the solution  $h$  of the above problem [7]. The convexity of  $C$  implies

$$(3.2) \quad \frac{\partial f}{\partial \nu} \leq 0 \quad \text{on } C_1.$$

(See [6] for the proof.) It should be mentioned that the inequality (3.2) does not depend on the Gaussian curvature of the surface  $\Omega$ .

Thus by invoking the maximum principle, we obtain

$$(3.3) \quad h \geq f \quad \text{on } D.$$

Let  $\tilde{D}$  be  $D$  equipped with the metric  $g = e^{2h}(dx^2 + dy^2)$ . Denote by  $\tilde{C}_1$  and  $\tilde{C}_2$  the parts of  $\partial\tilde{D}$  corresponding to  $C_1, C_2$ , respectively. Hence by the above inequality (3.3),

$$\text{Area}(\Omega) \leq \text{Area}(\tilde{D}).$$

And  $\text{Length}(\partial\Omega \sim \partial C) = \text{Length}(\tilde{C}_2)$ . On the other hands, by Theorem 2.1,

$$2\pi\text{Area}(\tilde{D}) - K\text{Area}(\tilde{D})^2 \leq \text{Length}(\tilde{C}_2)^2$$

Using the above relations and the assumption  $K \leq 0$ , we have

$$\begin{aligned} 2\pi\text{Area}(\Omega) &\leq 2\pi\text{Area}(\tilde{D}) \leq \text{Length}(\tilde{C}_2)^2 + K\text{Area}(\tilde{D})^2 \\ &\leq \text{Length}(\partial\Omega \sim \partial C)^2 + K\text{Area}(\Omega)^2 \end{aligned}$$

To have equality in (3.1), we notice that  $h = f$  on  $D$ . In other words,  $D$  has constant Gaussian curvature  $K$ . Hence by Theorem 2.1, equality holds if and only if  $\Omega$  is a geodesic half disk with the constant Gaussian curvature  $K$  and  $\partial\Omega \sim \partial C$  is a geodesic semicircle. □

**4. The case of Gaussian curvature bounded above by positive constant**

For a nonnegatively curved surface  $S$ , we cannot get the inequality (1.2) in general. For example, we consider a flat cylinder  $S^1 \times [0, \infty)$  glued with a

lower hemisphere  $S^2_-$  along its boundary  $S^1$  in  $\mathbb{R}^3$ . If we take  $S^2_-$  as a convex set  $C$  and  $\Omega = S^1 \times [0, t]$  for  $t > 0$  satisfying  $\Omega \cap C = S^1$ . Then it is easy to see that Gauss curvature  $K = 1$  but (1.2) does not hold. However, under additional conditions, we have the following theorem.

**Theorem 4.1.** *Let  $C$  be a closed convex set in a complete simply connected surface  $S$  with its Gaussian curvature  $K_S$  bounded above by a positive constant  $K$ . Suppose that for a disk type domain  $\Omega \subset S \sim C$ . Then we have*

$$2\pi \text{Area}(\Omega) - K \text{Area}(\Omega)^2 \leq \text{Length}(\partial\Omega \sim \partial C)^2$$

and equality holds if and only if  $\Omega$  is a geodesic half disk with constant Gaussian curvature  $K$  and  $\partial\Omega \sim \partial C$  is a geodesic semicircle.

*Proof.* We will use Bandle’s method ([3]). As in the proof of Theorem 3.1, we consider the isothermal coordinate of  $\Omega$  with a conformal map  $\varphi : D \rightarrow \Omega$  such that  $\varphi(C_1)$  is contained in  $\partial C$ . The curvature assumption is

$$K_S = -e^{-2f} \Delta f \leq K.$$

Thus we have

$$\Delta f + Ke^{2f} \geq 0.$$

Put  $k = f - h$ , where  $h$  is the solution of the mixed boundary value problem on  $D$ :

$$(4.1) \quad \begin{aligned} \Delta h &= 0 && \text{in the interior of } D \\ h &= f && \text{on } C_2 \\ \frac{\partial h}{\partial \nu} &= 0 && \text{on } C_1. \end{aligned}$$

We know the existence and smoothness of the solution  $h$  of the above problem ([7]). Then by the definition of  $k$  and (3.2) we get

$$(4.2) \quad \begin{aligned} \Delta k + Ke^{2k}e^{2h} &\geq 0 && \text{in the interior of } D \\ k &= 0 && \text{on } C_2 \end{aligned}$$

$$(4.3) \quad \frac{\partial k}{\partial \nu} \leq 0 \quad \text{on } C_1.$$

Now let  $\overline{D}$  be  $D$  equipped with the metric  $ds^2 = e^{2h}(dx^2 + dy^2)$ . Recall that  $\overline{D}$  is flat by (4.1).

Let  $D(t) = \{x \in D : k(x) > t\}$ . Define

$$t_1 = \inf_{x \in D} k(x), \quad t_2 = \sup_{x \in D} k(x), \quad a(t) = \int_{D(t)} e^{2h} dv, \quad \text{and } A = \int_D e^{2h} dv,$$

where  $dv$  is an area form in  $D$ .

Notice that  $a(t)$  is a decreasing function with  $a(t_1) = A$  and  $a(t_2) = 0$ . Since  $k$  is a smooth function and  $e^{2h}$  is a positive function, we have the function  $t(a)$  which is the inverse function of  $a(t)$ . Then we define

$$H(a) = K \int_{D(t(a))} e^{2f} dv = K \int_0^a e^{2t(l)} dl.$$

By the co-area formula for  $D(t) \subset D$ ,

$$\frac{da}{dt} = - \int_{\partial D(t) \sim C_1} \frac{e^{2h}}{|\nabla k|} ds \quad \text{for almost all } t > t_1.$$

Applying the Schwarz inequality, we obtain

$$(4.4) \quad \left( \int_{\partial D(t) \sim C_1} e^h ds \right)^2 \leq \int_{\partial D(t) \sim C_1} \frac{e^{2h}}{|\nabla k|} ds \int_{\partial D(t) \sim C_1} |\nabla k| ds.$$

By (4) and (4.4) we have

$$(4.5) \quad -\frac{da}{dt} \geq \frac{(\int_{\partial D(t) \sim C_1} e^h ds)^2}{\int_{\partial D(t) \sim C_1} |\nabla k| ds}.$$

Now we use the divergence theorem to get

$$\int_{D(t)} \Delta k dv = \int_{\partial D(t)} \frac{\partial k}{\partial \nu} ds = \int_{C_1(t)} \frac{\partial k}{\partial \nu} ds + \int_{C_2(t)} \frac{\partial k}{\partial \nu} ds \leq \int_{C_2(t)} \frac{\partial k}{\partial \nu} ds,$$

where  $C_1(t) = C_1 \cap \partial D(t)$ ,  $C_2(t) = \partial D(t) \sim C_1(t)$  and  $\nu$  is the outward unit normal to  $\partial D(t)$ . In the last inequality we applied the inequality (4.3). Note that  $\frac{\partial k}{\partial \nu} = \langle \nabla k, \nu \rangle$  and  $\nu = \frac{-\nabla k}{|\nabla k|}$  on  $\partial D(t)$ . Therefore,

$$(4.6) \quad \begin{aligned} \int_{D(t)} \Delta k dv &\leq \int_{C_2(t)} \frac{\partial k}{\partial \nu} ds = - \int_{C_2(t)} |\nabla k| ds, \\ \int_{\partial D(t) \sim \partial C} |\nabla k| ds &= \int_{C_2(t)} |\nabla k| ds \\ &\leq - \int_{D(t)} \Delta k dv \leq H(a(t)) \text{ using (4.2)}. \end{aligned}$$

Furthermore we know the classical relative isoperimetric inequality on a complete simply connected flat surface  $S_o$ , i.e., for any domain  $\varphi(D(t)) \subset S_o$ ,

$$(4.7) \quad 2\pi \int_{D(t)} e^{2h} \leq \left( \int_{\partial D(t) \sim \partial C_1} e^h ds \right)^2$$

By the inequalities (4.5), (4.6), and (4.7),

$$(4.8) \quad -\frac{da}{dt} \geq \frac{2\pi a}{H(a)} \quad \text{for } t_1 < t < t_2.$$

Note that in the above equalities,  $t(a)$  is locally Lipschitz on  $(0, a(t_1))$  ([3], Lemma 4). Therefore  $H(a)$  is differentiable for almost all  $a$  in  $(0, a(t_1))$ . Since

$$\frac{dH(a)}{da} = H'(a) = \frac{d}{da} \left( K \int_{D(t(a))} e^{2f} dv \right) = \frac{d}{da} \left( K \int_0^a e^{2t(l)} dl \right) = K e^{2t(a)}$$

and  $H''(a) = 2K e^{2t(a)} \frac{dt}{da} \leq 0$ ,

we get  $\frac{H'}{H''} = \frac{1}{2} \frac{da}{dt}$ . Thus by (4.8),

$$H'H \geq -\pi a H''.$$

In other words

$$\left( aH'(a) - H(a) + \frac{H^2(a)}{2\pi} \right)' \geq 0 \quad \text{for } 0 < a < a(t_1).$$

We integrate above inequality between  $a = 0$  and  $a = a(0)$  to give

$$a(0)H'(a(0)) - H(a(0)) + \frac{H^2(a(0))}{2\pi} + H(0) - \frac{H^2(0)}{2\pi} \geq 0.$$

Moreover we have  $H'(a(0)) = K$  and  $H(0) = 0$ . Therefore,

$$a(0) - M_0 + \frac{KM_0^2}{2\pi} \geq 0, \quad \text{where } M_0 = \frac{H(a(0))}{K}.$$

On the other hands, in the domain  $D \sim D(0)$  where  $k$  is nonpositive we have the following inequality:

$$\int_{D \sim D(0)} e^{2h} dv \geq \int_{D \sim D(0)} e^{2f} dv$$

i.e.,

$$A - a(0) \geq M - M_0,$$

where  $M = \int_D e^{2f} dv = \text{Area}(\Omega)$  and we recall  $A = \int_D e^{2h} dv = \text{Area}(\bar{D})$ .

We obtain

$$A - M + \frac{KM^2}{2\pi} \geq 0.$$

Hence

$$2\pi A - 2\pi M + KM^2 \geq 0.$$

And by the relative isoperimetric inequality in flat surfaces, we obtain

$$2\pi \text{Area}(\bar{D}) \leq \text{Length}(\partial\Omega \sim \partial C)^2.$$

Thus we have

$$2\pi \text{Area}(\Omega) \leq \text{Length}(\partial\Omega \sim \partial C)^2 + K \text{Area}(\Omega)^2.$$

Equality occurs only if  $k$  satisfies equality in (4.2). It follows that  $\Delta f + Ke^{2f} = 0$ . Hence  $\Omega$  has constant Gaussian curvature  $K$ . By Theorem 2.2, equality holds if and only if  $\Omega$  is a geodesic half disk with constant Gaussian curvature  $K$  and  $\partial\Omega \sim \partial C$  is a geodesic semicircle.  $\square$

## 5. Remarks

Howard ([8]) proved the Sobolev inequality

$$(5.1) \quad 4\pi \int_S f^2 + \left( \int_S |f|^2 dA \right)^2 \leq \left( \int_S \|\nabla f\| dA \right)^2,$$

where  $S$  is a complete simply connected surface with Gaussian curvature  $K_S \leq -1$  and  $f$  is a compactly supported function of bounded variation on  $S$ . By the coarea formula ([8]) for functions of bounded variation on a surface  $S$ , the last term of (5.1) can be written as follows,

$$\int_S \|\nabla f\| dA = \int_0^\infty \mathcal{H}^1(\partial\{x \in S : |f(x)| \geq t\}) dt,$$

where  $\mathcal{H}^1$  is the one dimensional Hausdorff measure. We say that a function  $f$  on  $S \sim C$  has a *relatively compact support* if the support of  $f$  is a compact subset in the relative topology on  $S \sim C$ . Using Howard's argument ([8]), we get the relative Sobolev inequality corresponding to the relative isoperimetric inequality (3.1) as follows.

**Theorem 5.1.** *Let  $S$  be a complete two dimensional simply connected Riemannian manifold with Gaussian curvature  $K_S \leq K \leq 0$ , and  $C$  its closed convex subset. Then*

$$2\pi \int_{S \sim C} f^2 dA - K \left( \int_{S \sim C} |f| dA \right)^2 \leq \left( \int_{S \sim C} \|\nabla f\| dA \right)^2$$

for every relatively compactly supported function  $f$  of bounded variation on  $S \sim C$ . Equality holds if and only if up to a set of measure zero,  $f$  is  $c\chi_D$  where  $c$  is a constant and  $D$  is a geodesic half disk with constant Gaussian curvature  $K$  and  $\partial D \sim \partial C$  is a geodesic semicircle.

Howard showed the inequality (5.1) for a compactly supported function  $f$  of bounded variation on  $S$ , but in our Theorem 5.1, the function  $f$  may not vanish on  $\partial C$ . It is sufficient that  $f$  is compactly supported in the relative topology on  $S \sim C$  for a closed convex set  $C \subset S$ .

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