

## HELIX, SHADOW BOUNDARY AND MINIMAL SUBMANIFOLDS

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ABSTRACT. We give conditions for the shadow boundary of a Riemannian submanifold  $M$  to be regular. We prove that a helix hypersurface is ruled. By studying some relations between these natural submanifolds, we show that a minimal helix shadow boundary hypersurface of  $M$  is totally geodesic in  $M$ .

### 1. Introduction

Let  $N$  be a Riemannian manifold and let  $M \subset N$  be a Riemannian submanifold. Let us assume that  $Y : M \rightarrow TN$  is a vector field along  $M$ . We say that  $M$  is a helix submanifold with respect to  $Y$  when the angle between each tangent space of  $M$  and  $Y$  is constant, equivalently, the tangent component of  $Y$  with respect to  $M$  has constant length. The shadow boundary of  $M$  with respect to  $Y$  consists of those points in  $M$  where  $Y$  is tangent to  $M$ . Because such definitions are so general, it is natural to restrict the vector field. In this work, we will assume that  $Y$  is parallel with respect to the submanifold.

In the joint work with Di Scala [5], we investigated helix submanifolds of Euclidean spaces. In particular, we obtained a local classification of helix hypersurfaces. In [6], Dillen and Munteanu classified helix surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  with respect to the parallel global vector field in the direction of  $\mathbb{R}$  and where  $\mathbb{H}^2$  is the hyperbolic plane. The authors called them constant angle surfaces. The authors of [7] give the corresponding classification for helix surfaces in  $\mathbb{S}^2 \times \mathbb{R}$ , where  $\mathbb{S}^2$  is the standard unitary sphere.

In the context of Affine Differential Geometry, Blaschke classified convex analytic surfaces with planar shadow boundaries; see [12], p. 61. In [4], Choe gives the definition of shadow boundary of Riemannian submanifolds, calling

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it horizon. Using the generalized Morse index theorem, he related this concept with the index of stability of a complete minimal surface in  $\mathbb{R}^3$ . More recently, Ghomi solved the shadow problem formulated by Wente. He used the very close concept of shadow. In my previous work [13], I studied shadow boundaries of Euclidean submanifolds.

In this manuscript, I offer a new perspective that goes beyond Riemannian ambients with a global parallel vector field. I will present four results in the context of those Riemannian submanifolds  $M \subset N$  that admits a parallel vector field  $Y$  along them. Let me explain them as follows. The helix and shadow boundary will be with respect to  $Y$ . Our first result is Theorem 2.1, which proves that if  $M$  is a helix hypersurface with respect to  $Y$ , then  $M$  is ruled: for every point in it passes a geodesic of the ambient  $N$  contained in  $M$ . This generalizes Lemma 2.5 in [5] where the ambient is an Euclidean space. See [6] and [7] for the case when the ambient is  $\mathbb{H}^2 \times \mathbb{R}$  and  $\mathbb{S}^2 \times \mathbb{R}$ , respectively. The next result, Theorem 3.1, gives a generic condition over the second fundamental form of  $M$ , for the shadow boundary to be regular, i.e., a submanifold. This extends Theorem 1.1 in [13], where the ambient is again an Euclidean space.

The third result, Theorem 3.2, shows that we can obtain helix submanifolds as a shadow boundary: If the shadow boundary is totally geodesic in  $M$ , then it is a helix submanifold. This property was the motivation to study helix submanifolds in this work. The second part proves that if a submanifold  $L \subset M$  is a helix orthogonal to  $Y$ , then it is contained in the shadow boundary if and only if it is totally geodesic in  $M$ . Theorem 1.2 in [13] is a consequence of this result. Finally, Theorem 4.1, says: If  $L \subset M$  is a shadow boundary, minimal in  $M$ , and it is a helix submanifold, then  $L$  is totally geodesic in  $M$ . In this last result, it is assumed that there is some technical condition over the mean curvature vector field of  $L \subset N$ .

## 2. Helix submanifolds

In this manuscript, we will work with  $C^\infty$  manifolds and  $C^\infty$  immersed Riemannian submanifolds. The manifold  $N$  will denote a connected Riemannian manifold with metric  $g$ . We denote the induced covariant derivative by  $\nabla$ .

The next definition is a natural extension of the concept of parallel vector field on a Riemannian manifold. The case when the submanifold has dimension one is well known. For higher dimensional submanifolds, I do not know the reference where to find it.

**DEFINITION 2.1.** Let  $M$  be a Riemannian immersed submanifold of  $N$  and let  $Y : M \rightarrow TN$  be a vector field along  $M$ . We will say that  $Y$  is a *parallel vector field along  $M$* , if  $\nabla_W Y = 0$  for every  $W \in TM$ . We will denote the set of all these vector fields by  $\mathfrak{X}_0(N, M)$ . We could call  $Y$ , also, an *extrinsic*

*parallel vector field.* If  $M$  is connected,  $Y$  is constant. So, we will assume that  $\|Y\| = 1$ .

Let us observe that we are taking the derivative of the extrinsic vector field  $Y$  along tangent directions of the submanifold  $M$ . Equivalently,  $Y$  is parallel along some submanifold if and only if it is invariant under the parallel transport in  $N$  along curves contained in the submanifold (see Besse’s book [3], p. 282 for details in the case that  $Y$  is global). In the case that the manifold  $N$  admits a global parallel vector field  $Y$ , then the restriction of  $Y$  to any immersed submanifold of  $N$  is parallel along such submanifold. The conditions for the existence of a global parallel vector field  $Y$  on  $N$  are well known,  $N$  should be locally a Riemannian product with a factor locally isometric to  $\mathbb{R}$  (see the work of Welsh in [15] and [16]). To read more comments about this definition, see Remark 2.1.

The next definition is also a natural extension of the classic concept of general helix in  $\mathbb{R}^3$  which appears in a basic course of differential geometry: a curve in  $\mathbb{R}^3$  which makes constant angle with respect to a fixed direction. These kind of curves have been studied also when the ambient is a Riemannian or a Lorentzian three manifold (see [1] and [8]).

In the following definition, a helix submanifold might have higher dimension or codimension.

**DEFINITION 2.2.** Let  $M$  be a Riemannian submanifold of  $N$  and let  $Y \in \mathfrak{X}_0(N, M)$  be a parallel vector field along  $M$ . We say that  $M$  is a *helix submanifold*, of  $N$ , with respect to  $Y$  if the following function  $h : M \rightarrow \mathbb{R}$  is constant.

$$(1) \quad h(x) = \max\{g(w, Y(x)) \mid w \in T_x M, g(w, w) = 1\}.$$

Let us observe that

$$\begin{aligned} h(x) &= g\left(\frac{\tan(Y(x))}{(g(\tan(Y(x)), \tan(Y(x))))^{1/2}}, Y(x)\right) \\ &= (g(\tan(Y(x)), \tan(Y(x))))^{1/2}, \end{aligned}$$

where  $\tan(Y)$  is the orthogonal projection of  $Y$  on  $TM$ . So,  $M$  is a helix if and only if  $\tan(Y)$  has constant length, i.e., the angle  $0 \leq \tan^{-1}(h(x)) \leq \pi/2$  between  $TM$  and  $Y$  is constant. So an alternative name for a helix submanifold could be constant angle submanifold.

Any Riemannian manifold  $M$  can be isometrically immersed as a helix submanifold of the Euclidean space with angle  $\pi/2$ . So the interesting case is when the angle is not  $\pi/2$ .

Let us see some examples below:

(1) Two elementary examples: a circular cylinder and any cone of revolution in  $\mathbb{R}^3$  are helix submanifolds with respect to a constant vector field parallel to their axis. In [5], we described a method to construct, locally, any

immersed helix hypersurface in Euclidean space  $\mathbb{R}^n$ : they are ruled. For higher codimension, we have also a local characterization in particular, they can be nonorientable. See [6] and [7] for the local characterization and construction in the case of helix surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  and  $\mathbb{S}^2 \times \mathbb{R}$ , respectively. In these cases, the direction is the global parallel vector field induced the factor  $\mathbb{R}$ .

(2) Let  $M \subset N$  be a connected and totally geodesic submanifold. If  $Y \in \mathfrak{X}_0(N, M)$ , then  $M$  is a helix submanifold of  $N$  with respect to  $Y$ . To prove this, let us observe that  $TM$  and  $Y$  are invariant under parallel transport on  $N$ , along curves contained on  $M$ . So the angle between  $Y$  and  $TM$  is constant.

(3) We are going to see that the 2-dimensional torus is the only compact, connected, and orientable surface that can be immersed as a helix submanifold with angle different from  $\pi/2$ . Let  $M$  be a connected, orientable, and compact surface immersed in  $N$ . If  $M$  is a helix of  $N$ , then  $M$  is diffeomorphic to a torus or the angle is  $\pi/2$ .

Proof: Let us assume that  $M$  is a helix with respect to  $Y \in \mathfrak{X}_0(N, M)$ . If  $Y$  is orthogonal to  $M$ , we are done. Otherwise, by definition,  $\tan(Y)$  has nonzero constant length. Since  $M$  is compact and orientable, we conclude by Poincaré–Hopf’s theorem (see [10]) that  $M$  has zero Euler characteristic. This proves that  $M$  is a torus.

For general Riemannian hypersurfaces which are helix, we have the following result. We will call a Riemannian submanifold *ruled* if through each point of it, there is a geodesic of the ambient contained in the submanifold. The next result proves that any helix hypersurface is ruled.

**THEOREM 2.1.** *Let  $M$  be a connected hypersurface in a Riemannian manifold  $N$ . Let us assume that  $M$  is a helix submanifold of  $N$  with respect to  $Y \in \mathfrak{X}_0(N, M)$ , and the following will hold.*

(a) *If  $Y$  is orthogonal to  $M$  at some point, then  $M$  is totally geodesic submanifold of  $N$ .*

(b) *If  $Y$  is tangent to  $M$  at some point, then  $M$  is locally a Riemannian product  $\mathbb{R} \times M_2$ , and the integral curves of  $Y$  are geodesics in the ambient.*

(c) *If  $Y$  is transversal (nonorthogonal) to  $M$  at some point, then  $M$  is ruled.*

*Proof.* Let  $\nabla$  and  $\nabla^M$ , be the Levi–Civita connections of  $N$  and  $M$ , respectively, and let  $II(\cdot, \cdot)$  be the second fundamental form of  $M \subset N$ . Since  $M$  is a helix, the angle between  $Y$  and  $M$  is constant.

(a) We have that  $Y$  is parallel along  $M$  and orthogonal to  $M$ . So  $M$  is a totally geodesic submanifold of  $N$ .

(b) Let us observe that  $Y$  is a parallel vector field on  $M$ , then by Welsh’s work in [15],  $M$  is locally isometric to a Riemannian product. The integral curves of  $Y$  are geodesics in  $M$ , i.e.,  $\nabla_Y^M Y = 0$ . By Remark 2.1,  $II(Y, Y) = 0$ . So

the integral curves of  $Y$  are also geodesics in the ambient:  $\nabla_Y Y = \nabla_Y^M Y + II(Y, Y)$ .

(c) In this case,  $Y$  is transversal to  $M$  in any point. Let  $Y_0 = \tan(Y)$ ,  $Y_1 = \text{nor}(Y)$  be the orthogonal projection of  $Y$  into  $TM$  and  $TM^\perp$ , respectively. Let  $\alpha \subset M$  be an integral curve of  $Y_0$ , i.e.,  $\dot{\alpha}(t) = Y_0(\alpha(t))$ . First, we want to prove that the integral curves of  $Y_0 = \tan(Y)$  are geodesics in  $M$ , i.e.,  $\nabla_{Y_0}^M Y_0 = 0$ . Since  $Y$  is parallel along  $M$ ,  $0 = \nabla_X Y = \nabla_X Y_0 + \nabla_X Y_1$ . Gauss and Weingarten formulas say that  $\nabla_X Y_0 = \nabla_X^M Y_0 + II(X, Y_0)$  and  $\nabla_X Y_1 = -A_{Y_1}(X)$ , where  $A_{Y_1}$  is the shape operator, and  $X \in TN$ . Taking the tangent and normal components of  $\nabla_X Y$ , we have that  $\nabla_X^M Y_0 - A_{Y_1}(X) = 0$  and  $II(X, Y_0) = 0$ . Finally, let us see that  $A_{Y_1}(Y_0) = 0$ . In particular, Weingarten implies that  $g(\nabla_{Y_0} Y_1, X) = -g(A_{Y_1}(Y_0), X) = -g(Y_1, II(Y_0, X)) = 0$ . This proves that  $\nabla_{Y_0} Y_1$  has not tangent component. So  $A_{Y_1}(Y_0) = 0$ , and therefore,  $\nabla_{Y_0}^M Y_0 = A_{Y_1}(Y_0) = 0$ , i.e.,  $\alpha$  is geodesic in  $M$ . Finally, let us see that these integral lines of  $Y_0$  are geodesics in  $N$ . Equivalently, we have to verify that  $Y$  is orthogonal to  $\nabla_{\dot{\alpha}} \dot{\alpha}$ .

$$0 = \frac{d}{dt}g(\dot{\alpha}, Y_0(\alpha)) = \frac{d}{dt}g(\dot{\alpha}, Y(\alpha)) = g(\nabla_{\dot{\alpha}} \dot{\alpha}, Y) + g(\dot{\alpha}, \nabla_{\dot{\alpha}} Y) = g(\nabla_{\dot{\alpha}} \dot{\alpha}, Y),$$

where  $\nabla_{\dot{\alpha}} Y = 0$  because  $Y$  is parallel along  $M$ . Since  $\alpha$  is geodesic in  $M$ ,  $\nabla_{\dot{\alpha}} \dot{\alpha}$  is orthogonal to  $M$ . Thus, the latter equality implies that  $0 = g(\nabla_{\dot{\alpha}} \dot{\alpha}, Y) = g(\nabla_{\dot{\alpha}} \dot{\alpha}, Y_1)$ . To finish, let us observe that  $TN = TM \oplus \langle Y_1 \rangle$ , because  $Y$  transversal to the hypersurface  $M$ . So,  $\nabla_{\dot{\alpha}} \dot{\alpha} = 0$ . Therefore, the integral lines of  $Y_0$  (the tangent component of  $Y$ ) are geodesics in  $N$ . □

In [5], we proved this result when the ambient is an Euclidean space with its standard metric. The similar result is contained in [6] and [7] for the case of helix surfaces in  $\mathbb{H}^2 \times \mathbb{R}$  and  $\mathbb{S}^2 \times \mathbb{R}$ , respectively. So, this first theorem says that these helix surfaces are foliated by geodesics in its ambient,  $\mathbb{H}^2 \times \mathbb{R}$  or  $\mathbb{S}^2 \times \mathbb{R}$ .

**More comments and examples about extrinsic parallel vector fields and helix submanifolds.**

REMARK 2.1. Let us analyze what happens when  $Y \in \mathfrak{X}_0(N, M)$  is tangent or orthogonal to  $M$ . Let  $\nabla^M$  be the Riemannian induced connection on  $M$ . Let  $II_x : T_x M \times T_x M \rightarrow T_x M^\perp$  be the second fundamental form of  $M \subset N$  at  $x \in M$ . Finally, let  $\nabla^\perp$  be the induced normal connection on  $TM^\perp$ .

First, let us see the case when  $M$  has codimension one. Let us assume that  $Y : M \rightarrow TN$  is a parallel vector field along  $M$ . If  $Y$  is tangent to  $M$  (i.e.,  $Y \in \mathfrak{X}(M)$ ), then  $Y$  is a parallel vector field on  $M$ . If  $Y$  is orthogonal to  $M$ , then it is parallel with respect to the normal connection  $\nabla^\perp$  on  $TM^\perp$ . Let us observe that the converse assertions are false. But they are true if we add some extra conditions.

Let  $X : M \rightarrow TM$  be a parallel vector field on  $M$  (i.e.,  $\nabla^M X = 0$ ) and let us assume that for every  $x \in M$ ,  $X(x)$  is in the relative nullity of  $II_x$  (i.e.  $II_x(X(x), \cdot) = 0$ ). Then  $X$  is parallel along  $M$ , i.e.,  $\nabla_W Z = 0$  for every  $W \in T_x M$ .

Now, let us see what happens when  $Z : M \rightarrow TM^\perp$  satisfies  $\nabla^\perp Z = 0$  (i.e.,  $Z$  is normal parallel), and for every  $x \in M$ ,  $g(Z(x), II_x(\cdot, \cdot)) = 0$ . Then  $Z$  is parallel along  $M$ . These properties can be proved by using the Gauss and Weingarten's formulas. The extra conditions are sufficient and necessary for  $T$  and  $Z$  be parallel vector fields along  $M$ .

These observations tell us that to be a parallel vector field along a submanifold is a strong condition. This is supported also by the next property, whose proof is standard.

Let  $M \subset N$  be a Riemannian submanifold of codimension  $r$ . Let  $X_j : M \rightarrow TN$ ,  $j = 1, \dots, r$ , be parallel vector fields along  $M$  such that, for every  $x \in M$ ,  $\{X_1(x), \dots, X_r(x)\}$  is a basis of  $T_x M^\perp$ . Then  $M$  is a totally geodesic submanifold of  $N$ .

**PROPOSITION 2.1.** *If  $M$  is a compact helix of  $N = \mathbb{R} \times M_2$  with respect to  $X = \partial_t$ , then  $X$  is orthogonal to  $M$ .*

*Proof.* Since  $M$  is compact, the projection  $\pi_1$  of  $M$  into  $\mathbb{R}$  is compact so the set  $\pi_1(M) \subset \mathbb{R}$  has a maximum denoted by  $t_0$ . Let  $x \in M$  be such that  $\pi_1(x) = t_0$ . It is standard to see that  $t_0 \times M_2 = \pi_1^{-1}(t_0)$  is tangent to  $M$  in  $x$ . We deduce from this that  $T_x M \subset T_x(t_0 \times M_2)$ . Let us observe that  $X$  is orthogonal to  $t_0 \times M_2$ , then,  $X$  is orthogonal to  $M$  at  $x$ . Since  $M$  is a helix,  $X$  is orthogonal to  $M$ .  $\square$

In general, a compact helix submanifold  $M$ , with respect to a global parallel vector field  $X$  on  $N$ , is not necessarily orthogonal to  $X$ : Let  $N = \mathbb{S}^1 \times \mathbb{S}^1 \times \mathbb{S}^1$  be the standard 3-dimensional flat torus. Let us take  $M := \mathbb{S}^1 \times \mathbb{S}^1 \times \{t\}$ , and let  $Y$  be any global parallel vector field of  $N$  nonorthogonal to  $M$ .

**EXAMPLE 2.1.** Let us consider a connected hypersurface  $M$  in  $N = \mathbb{R}^{n+1}$ . Let  $Y \in \mathfrak{X}(N)$  be a constant vector field. If  $M$  is a helix submanifold of  $N$ , then:

- (a)  $M$  is contained in a hyperplane (orthogonal to  $Y$ ) of  $N$  when  $Y$  is orthogonal to  $M$ ,
- (b)  $M$  is not compact (otherwise,  $Y$  would be orthogonal to  $M$ ),
- (c)  $M$  is orientable (nor( $Y$ ) induces an orientation),
- (d)  $M$  has zero Gauss–Kronecker curvature (the Gauss map of  $M$  is singular).

If  $M \subset \mathbb{R}^{n+1}$  is not a hypersurface, but is compact, we can conclude that  $M$  is contained in a hyperplane orthogonal to  $Y$ .

To finish this section, let me ask the following natural question.

First, we need to consider the following property: Let us take  $N = \mathbb{R}^3$  and let  $v \in N$  be a nonzero vector. If  $M^2 \subset N$  is a complete minimal surface which is a helix with respect to  $Y = v$ , then  $M$  is a plane.

Proof: By Example 2.1,  $M$  has zero Gauss–Kronecker curvature, but in dimension 2 it is the Gaussian curvature. Since  $M$  is minimal, it easy to see that  $M$  is a plane.

The latter argument is not valid if  $N = \mathbb{R}^{n+1}$ , with  $n \geq 3$ : In  $\mathbb{R}^4$ , there are minimal hypersurfaces with zero Gauss–Kronecker curvature (see [11]), like  $M^3 = M' \times \mathbb{R}$ , where  $M'$  is minimal in  $\mathbb{R}^3$ .

QUESTION 2.1. If  $M^n \subset N^{n+1}$  is minimal and a helix submanifold, is it a totally geodesic submanifold of  $N$ ?

### 3. Shadow boundary and helix

The next definition was used by Choe. In [4], he gives the definition of shadow boundary of Riemannian submanifolds calling it horizon. He used this concept to study the stability index of complete minimal surfaces in  $\mathbb{R}^3$ .

Blaschke used the name of shadow boundaries for the case of convex analytic surfaces in  $\mathbb{R}^3$ .

DEFINITION 3.1. Let  $M$  be a Riemannian immersed submanifold of  $N$ , and let  $Y : M \rightarrow TN$  be a parallel vector field along  $M$  (i.e.  $Y \in \mathfrak{X}_0(N, M)$ ). The *shadow boundary* of  $M$  with respect to  $Y$  is the following subset of  $M$ .

$$(2) \quad S\partial(M, Y) = \{x \in M \mid Y(x) \in T_x M\}.$$

The shadow boundary is a natural subset of  $M$ , it is the locus where the extrinsic vector field  $Y$  is tangent to  $M$ . In general, this subset  $S\partial(M, Y) \subset M$  is closed, so, if  $M$  is compact it is also compact. This subset,  $S\partial(M, Y)$ , is not always a submanifold of  $M$ . It may be empty (when  $Y$  is nowhere tangent to  $M$ ), or equal to  $M$  (when  $Y$  is anywhere tangent to  $M$ ). See Example 3.1 below for other property of shadow boundaries.

Finally, when  $N = \mathbb{R}^n$ , any constant vector field  $Y$  on  $N$  is parallel along any submanifold. In this context, if  $M$  is a compact submanifold, the shadow boundary of  $M$  is nonempty, with respect to any such vector field  $Y$ .

The second fundamental form of  $M \subset N$  at  $x \in M$  is a symmetric bilinear tensor, which we denote by  $II_x : T_x M \times T_x M \rightarrow T_x M^\perp$ . So  $II_x$  is a bilinear application for every  $x \in M$ .

Let  $Y$  be a parallel vector field along  $M$ . Let  $x \in M$  be a point such that  $Y(x) \in T_x M$ . Then we can consider the following linear application:

$$II(Y(x), \cdot) : T_x M \rightarrow T_x M^\perp.$$

If this transformation is surjective, we will say that  $II(Y(x), \cdot)$  is surjective. In particular, if  $\text{cod}M = 1$ , the latter condition is equivalent to  $II(Y(x), \cdot) \neq 0$ .

**THEOREM 3.1.** *Let  $M$  be a submanifold of dimension  $n$  and codimension  $k$  in  $N$ , with  $n \geq k$ . Let  $Y$  be a parallel vector field along  $M$ . If  $II(Y(y), \cdot)$  is surjective for every  $y \in S\partial(M, Y)$ , then  $S\partial(M, Y)$  is a submanifold of dimension  $n - k$  in  $M$ .*

*Proof.* Let  $\nabla$  be the covariant derivative of  $N$ . Let us take  $p \in S\partial(M, Y)$ , and let  $U \subset M$  be a open neighborhood of  $p$ . Our goal is to verify that  $S\partial(M, Y) \cap U$  is a submanifold of  $M$ .

Let  $\xi_j : U \rightarrow TU^\perp, j = 1, \dots, k$ , be a basis of orthonormal vector fields ( $U$  is such that there exist these vector fields). Let us consider the next function  $F : U \rightarrow \mathbb{R}^k$ , given by

$$F(x) = (g(Y(x), \xi_1(x)), \dots, g(Y(x), \xi_k(x))).$$

It is clear that  $F^{-1}(0) = S\partial(M, Y) \cap U$ . We are going to prove that  $0 \in \mathbb{R}^k$  is a regular value of  $F$ . We need verify that for every  $x \in S\partial(M, Y) \cap U, F_{*x} : T_x M \rightarrow \mathbb{R}^k$  is surjective. Let  $(y_1, \dots, y_n)$  be local coordinates in  $U$ . Let us calculate the next derivatives in these coordinates,  $\frac{\partial F}{\partial y_l} = (\frac{\partial}{\partial y_l} g(Y(x), \xi_1(x)), \dots, \frac{\partial}{\partial y_l} g(Y(x), \xi_k(x)))$ , for every  $1 \leq l \leq n$ . Since  $Y$  is parallel,

$$\frac{\partial}{\partial y_l} g(Y(x), \xi_j(x)) = g(\nabla_{\partial y_l} Y, \xi_j) + g(Y, \nabla_{\partial y_l} \xi_j) = g(Y, \nabla_{\partial y_l} \xi_j).$$

Let us apply Weingarten's formula, which says that  $\nabla_{\partial y_l} \xi_j = -A_{\xi_j}(\partial y_l) + \nabla_{\partial y_l}^\perp \xi_j$ . In conclusion,

$$\frac{\partial}{\partial y_l} g(Y(x), \xi_j(x)) = g(Y, -A_{\xi_j}(\partial y_l)) = -g(II(Y, \partial y_l), \xi_j(x)),$$

for every  $x \in S\partial(M, Y), 1 \leq j \leq k$ , and  $1 \leq l \leq n$ .

Now, we are ready to see that the next matrix

$$(F_{*x})_{jl} = -(g(II(Y, \partial y_l), \xi_j(x)))$$

has rank  $k$ . Let us assume that the row vectors are linearly dependent, i.e., we have the following condition  $\sum_{j=1}^k a_j g(II(Y, \partial y_l), \xi_j(x)) = 0$ , for every  $1 \leq l \leq n$ , and where  $a_j \in \mathbb{R}$  are constants. We can rewrite this expression as

$$g\left(II(Y, \partial y_l), \sum_{j=1}^k a_j \xi_j(x)\right) = 0,$$

for every  $1 \leq l \leq n$ . Since  $II(Y, \cdot)$  is surjective,  $\sum_{j=1}^k a_j \xi_j(x) = 0$ , therefore  $a_j = 0$ . Which proves that  $0$  regular value of  $F$ . Then we can conclude that  $F^{-1}(0) \cap U$  is a submanifold  $U$  of dimension  $n - k$ . □

A special case of Theorem 3.1 is when  $\dim N = 2 \dim M$ . The conclusion in this situation is that  $S\partial(M, Y)$  is a discrete subset of  $M$ . So, if  $M$  were compact,  $S\partial(M, Y)$  would be a finite set of points in  $M$ .

In [13], we proved that when  $M^n \subset \mathbb{R}^{n+1}$  has nowhere zero Gauss–Kronecker curvature, then for every  $v \in \mathbb{R}^{n+1}$ ,  $S\partial(M, v)$  is a submanifold of  $M$  of codimension one.

In the particular case of surfaces in  $\mathbb{R}^3$ , the smoothness of the shadow boundary is investigated in [9].

We need to recall the next basic concept of submanifolds. Let  $L \subset N$  be a Riemannian submanifold. Let us take  $x \in L$ , then  $L$  is called a *totally geodesic submanifold of  $N$ , at the point  $x$* , if every geodesic  $\gamma$  of  $L$  through  $x$  satisfies  $\nabla_{\dot{\gamma}}\dot{\gamma}|_x = 0$ .

In her work on Affine Differential Geometry [14], Schwenk used conditions similar to those in first part of the next theorem. The second part was the original motivation to consider helix submanifolds in this work.

**THEOREM 3.2.** *Let  $M^n \subset N^{n+k}$  ( $n \geq 2$ ) be a submanifold of codimension  $k$  ( $k \geq 0$ ). Let  $L$  be a hypersurface of  $M$ , which is nowhere totally geodesic of  $N$ , and let us take  $Y \in \mathfrak{X}_0(N, M)$ . If  $Y$  is orthogonal to  $L$ , then  $L \subset S\partial(M, Y)$  if and only if  $L$  is a totally geodesic submanifold of  $M$ . If  $L \subset S\partial(M, Y)$  is a totally geodesic submanifold of  $M$  and  $Y$  is not orthogonal to  $L$ , then  $L$  is a helix submanifold of  $N$  with respect to  $Y$ .*

*Proof.* ( $\implies$ ) Let us take  $x \in L$ , since  $\dim(T_x L^\perp \cap T_x M) = 1$  and by hypothesis,  $Y(x) \in T_x L^\perp \cap T_x M$ , we obtain that  $\langle Y(x) \rangle = T_x L^\perp \cap T_x M$ . Therefore, we have the following equality for every  $x \in L$ ,

$$(3) \quad T_x M = T_x L \oplus (T_x L^\perp \cap T_x M) = T_x L \oplus \langle Y(x) \rangle.$$

Let  $\gamma \subset L$  be a geodesic and let  $x \in \gamma$  be any point. Hence,  $\nabla_{\dot{\gamma}}\dot{\gamma}$  is orthogonal to  $L$ , i.e.,  $\nabla_{\dot{\gamma}}\dot{\gamma} \in T_x L^\perp$ . Let us prove that  $\gamma$  is a geodesic of  $M$ . By equality (3), we just have to verify that  $\nabla_{\dot{\gamma}}\dot{\gamma}$  is orthogonal to  $Y(x)$ : We know that  $g(Y(\gamma(t)), \dot{\gamma}) = 0$ , this implies that

$$g(Y(\gamma(t)), \nabla_{\dot{\gamma}}\dot{\gamma}) + g(\nabla_{\dot{\gamma}}Y(\gamma(t)), \dot{\gamma}) = \frac{d}{dt}g(Y(\gamma(t)), \dot{\gamma}) = 0.$$

Then  $\nabla_{\dot{\gamma}}\dot{\gamma}$  is orthogonal to  $M$ , so  $\gamma$  is a geodesic of  $M$ .

( $\impliedby$ ) In this implication, we will assume that  $k = 1$ . We have to see that  $Y(x) \in T_x M$ , for every  $x \in L$ . Since  $L$  is not a totally geodesic submanifold of  $N$  at  $x$ , there exists a geodesic  $\gamma$  of  $L$  through  $x$  with  $\nabla_{\dot{\gamma}}\dot{\gamma}|_x \neq 0$ . By hypothesis,  $\gamma$  is also a geodesic of  $M$ . So,  $\nabla_{\dot{\gamma}}\dot{\gamma} \in (T_\gamma M)^\perp$ . Let us prove that  $Y(x)$  is orthogonal to  $\nabla_{\dot{\gamma}}\dot{\gamma}$ . For this, let us observe that  $g(\dot{\gamma}, Y(x)) = 0$ . Therefore,

$$g(Y(\gamma(t)), \nabla_{\dot{\gamma}}\dot{\gamma}) + g(\nabla_{\dot{\gamma}}Y(\gamma(t)), \dot{\gamma}) = \frac{d}{dt}g(Y(\gamma(t)), \dot{\gamma}) = 0.$$

But  $\nabla_{\dot{\gamma}}Y(\gamma(t)) = 0$ , because  $Y$  is parallel along  $L$ . Since  $M$  is of codimension one,  $g(Y(\gamma(t)), \nabla_{\dot{\gamma}}\dot{\gamma}) = 0$  implies that  $Y(x) \in T_x M$ .

Finally, let us prove the second part of the theorem. If  $Y(x) \in T_x L$ , for every  $x \in L$ , then  $L$  is a helix. Otherwise, there exist  $p \in L$  such that  $Y(p) \notin T_p L$ . So,

$$(4) \quad T_p L \oplus \langle Y(p) \rangle \subset T_p M.$$

We are going to verify that the angle between  $T_x L$  and  $Y(x)$  is constant, for every  $x$  in  $L$ . Let  $\gamma$  be any geodesic of  $L$  from  $p$  to  $x$ , hence, it is also geodesic of  $M$ . Now, let us consider the parallel transport  $\tau$  in  $M$ , along  $\gamma$ , from  $p$  to  $x$ . Therefore,  $\tau: T_p M \rightarrow T_x M$  is an isometry. So,  $\tau$  transforms the latter equation (4), in  $T_x L \oplus \langle Y(x) \rangle \subset T_x M$ . Since the parallel transport is an isometry, the angle between  $T_x L$  and  $Y(x)$  is equal to the angle between  $T_p L$  and  $Y(p)$ .  $\square$

In Theorem 3.2, the condition that  $L$  is not totally geodesic in  $N$  at any point is important to prove that  $L \subset S\partial(M, Y)$ . We can see this with the next example:  $N = \mathbb{R}^n$ ,  $M$  a hyperplane,  $L$  a linear subspace of codimension one in  $M$ . Finally, let  $Y = v$  be any constant vector field orthogonal to  $M$ . In this example, the relation  $L \subset S\partial(M, Y)$  is false.

EXAMPLE 3.1. Let us consider the next property of shadow boundaries, which could be useful to study the shadow of higher codimensional submanifolds. Let  $M$  be the Riemannian product  $M_1 \times M_2$ , of two submanifolds  $M_1 \subset N_1$  and  $M_2 \subset N_2$ . Let us take  $Y = (Y_1, Y_2)$  where  $Y_j \in \mathfrak{X}_0(N_j, M_j)$ . Then

$$S\partial(M, Y) = S\partial(M_1, Y_1) \times S\partial(M_2, Y_2).$$

Let us apply this to the submanifold  $M = S^1 \times S^1 \subset \mathbb{R}^2 \times S^2$ . We can see that the only possibilities for  $S\partial(M, Y)$  are  $S^1 \times S^1$ ,  $\{p, -p\} \times S^1$  or  $\emptyset$ , where  $p \in S^1$ .

#### 4. Minimal shadow boundaries

We need the next lemma, which is due to Chen, see [2].

Let us recall that the mean curvature vector of a Riemannian submanifold  $L$  of  $N$ , is the trace of the second fundamental form of  $L \subset N$ . When this vector field is constant zero, we say that  $L$  is minimal in  $N$ .

LEMMA 4.1 (Chen's lemma). *Let  $L^n$  be a submanifold of  $M^s$ , where  $M$  is a submanifold of  $N^m$ . Then  $L$  is minimal in  $M$  if and only if the mean curvature vector field of  $L \subset N$  is orthogonal to  $M$ .*

LEMMA 4.2. *Let  $M^n \subset N$  be a Riemannian immersed submanifold and let  $L^{n-1} \subset M$  be a submanifold such that  $L \subset S\partial(M, Y)$ , where  $Y$  is parallel along  $M$  and transverse to  $L$ . Let  $H$  be the mean curvature vector field of  $L \subset N$ . Then  $L$  is minimal in  $M$  if and only if  $g(H, Y) = 0$ .*

*Proof.* By hypothesis  $Y(x) \in T_xM$  for every  $x \in L$ . By Lemma 4.1, if  $L$  is minimal in  $M$  then  $H$  is orthogonal to  $M$ . So  $H(x)$  is orthogonal to  $Y(x)$ , i.e.,  $g(H, Y) = 0$ .

Now, let us assume that  $g(H, Y) = 0$ . By definition,  $H$  is orthogonal to  $L$ . To apply Lemma 4.1, we need prove that  $H$  is orthogonal to  $M$ . Since  $Y$  is transversal to  $L$ ,  $T_xM = T_xL \oplus \langle Y(x) \rangle$  for every  $x \in L$ . Now it is clear that  $H$  is orthogonal to  $M$ . Then  $L$  is minimal in  $M$ . □

We will say that a Riemannian submanifold  $L \subset N$  has *exhaustive mean curvature vector* at the point  $p \in L$ , if  $T_pL \subset V_p$ , where  $V_p$  is the vector subspace of  $T_pN$  generated by the following set: vectors in  $T_pN$  that are obtained by the parallel transport in  $N$  of the mean curvature vectors  $H(x)$  (for every  $x \in L$ ), along curves in  $L$  from  $x$  to  $p$ . For example, a closed hypersurface  $L$  of an Euclidean ambient satisfies this condition, at any point, because the parallel transport in such ambient is just a translation, and the mean curvature vector of a compact  $L$  is nonconstant zero.

**THEOREM 4.1.** *Let  $M \subset N$  be a Riemannian immersed submanifold and let us take  $Y \in \mathfrak{X}_0(N, M)$ . Let  $L \subset S\partial(M, Y)$  be a transversal helix hypersurface of  $M$  with respect to  $Y$ , and let us assume that  $L$  has exhaustive mean curvature vector in  $N$ . If  $L$  is minimal in  $M$ , then  $L$  is a totally geodesic in  $M$ .*

*Proof.* Let  $H$  be the mean curvature vector field of  $L \subset N$ . Let  $p \in L$  be a point such that the mean curvature vector  $H$ , of  $L \subset N$ , is exhaustive at  $p$ . Let  $V_p$  be the vector subspace of  $T_pN$  generated by the next set: vectors obtained by parallel transport, in  $N$ , of the mean curvature vectors  $H(x)$  of  $L \subset N$ , with  $x \in L$ . The parallel transport is along curves contained in  $L$  from  $x$  to the point  $p$ .

The main goal will be to see that  $Y$  is orthogonal to  $L$ . It is important to use the equation  $T_pL \subset V_p$ , which follows by definition of an exhaustive mean curvature vector. Then the initial step is to prove that  $Y$  is orthogonal to  $V_p$ . We can apply Lemma 4.2 ( $L$  is minimal in  $M$ ) to deduce that  $\langle H(q), Y(q) \rangle = 0$ , for every  $q \in L$ , i.e.,  $Y(q)$  is orthogonal to  $H(q)$ .

The vector field  $Y$  is invariant under parallel transport in  $N$  along curves contained in  $M$ , and in particular, along curves in  $L$ . Therefore,  $Y(p)$  is orthogonal to  $V_p$ . Since  $T_pL \subset V_p$ ,  $Y(p)$  is orthogonal to  $T_pL$ . But  $L$  is a helix with respect to  $Y$ , so the angle between  $TM$  and  $Y$  is constant, i.e.,  $Y(q)$  is orthogonal to  $T_qL$ , for every  $q \in L$ .

Finally, we can apply first part of Theorem 3.2, which says that if  $L \subset S\partial(M, Y)$  and  $Y$  is orthogonal to  $L$ , then  $L$  is a totally geodesic submanifold of  $M$ . □

**EXAMPLE 4.1.** We are going to construct a hypersurface  $M$  of  $N = \mathbb{R}^{n+2}$ , which contains a minimal submanifold in some shadow boundary.

Let  $L^n \subset \mathbb{R}^{n+2}$  be a submanifold and let  $Y = v \in \mathbb{R}^{n+2} - \{0\}$  be a vector such that:

- $v$  is transverse to  $L : v \notin T_x L$  for every  $x \in L$ ,
- $\langle H, v \rangle = 0$ , where  $H$  is the mean curvature vector field of  $L \subset N$ .
- $L_{\varepsilon, v} = \{y = x + tv \in \mathbb{R}^{n+2} \mid x \in L, |t| < \varepsilon\}$  is a submanifold, where  $\varepsilon = \varepsilon(x)$  denotes a positive smooth function of  $L$ .

Then  $L$  is a minimal submanifold of  $M := L_{\varepsilon, v}$ . If  $L$  is compact,  $\varepsilon$  can be a constant function. Proof: this is consequence of Lemma 4.2. We should verify the hypothesis of such theorem. By hypothesis, the mean curvature vector of  $L \subset N$  is orthogonal to  $Y$ . Finally, since  $M = L_{\varepsilon, v}$  is a “ruled” neighborhood of  $L$  in direction  $v$ ,  $S\partial(M, v) = L_{\varepsilon, v} = M$ , and then  $L \subset S\partial(M, v)$ .

We want to finish with the following question. Let  $M$  be a compact hypersurface of  $N = \mathbb{R}^{n+1}$  transversal to a constant vector field  $Y$  on  $N$ . Let us assume that  $L$  is a hypersurface in  $M$ , such that  $L \subset S\partial(M, Y)$  and  $L$  is contained in a hyperplane  $H$  of  $N$  (so  $L$  is a hypersurface of  $H$ ). But every closed hypersurface  $L$  of  $H$  has exhaustive mean curvature vector in  $H$ . So there exists  $p \in L$  and a subspace  $V_p \subset T_p H$  such that  $T_p L \subset V_p$  (where  $V_p$  is as in the definition of exhaustive mean curvature vector). Since  $H$  is totally geodesic in  $N$ , the parallel transport in  $H$  of vectors  $w$  in  $TH$  coincides with the parallel transport of  $w$  in  $N$ . By the same reason, the mean curvature vector of  $L \subset H$  coincides with the mean curvature vector of  $L \subset N$ . Then  $L$  has exhaustive mean curvature vector in  $N$ . By the latter theorem, if  $L$  is minimal in  $M$ ,  $L$  is totally geodesic in  $M$ . Our final question will be important, in which  $L$  is not contained in a hyperplane or with a nonexhaustive mean curvature vector.

QUESTION 4.1. Does a closed hypersurface in  $\mathbb{R}^{n+1}$  with some minimal and nontotally geodesic shadow boundary  $L$  exist?

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