# **ON HOMOTOPY 3-SPHERES**<sup>1</sup>

#### BY

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A homotopy 3-sphere  $M^3$  is a compact, simply connected 3-manifold without boundary. After the work of Moise [6] and Bing [1]  $M^3$  possesses a triangulation. The Poincaré conjecture [9] states that every homotopy 3-sphere  $M^3$  is a 3-sphere. In this paper we prove three theorems, related to the Poincaré conjecture, about maps of a 3-sphere  $S^3$  onto  $M^3$  and about 1- and 2-spheres in  $M^3$ .

1. Theorems 1 and 2, concerning maps  $S^3 \to M^3$  and closed curves in  $M^3$ . From the work of Hurewicz [5], Part III, it follows that there exists a continuous map  $\varphi : S^3 \to M^3$  of degree 1 (where  $S^3$  means a 3-sphere). We shall prove that there exists an especially simple map of this kind.<sup>2</sup>

THEOREM 1. If  $M^3$  is a homotopy 3-sphere then there exists a simplicial map  $\gamma : S^3 \to M^3$  of degree 1 such that the singularities of  $\gamma$  (i.e. the closure of the set of those points  $p \in M^3$  for which  $\gamma^{-1}(p)$  consists of more than one point) lie in a (polyhedral, compact) handlebody in  $M^3$ .

One might consider this result as a step towards a proof of the Poincaré conjecture. Indeed, if it were possible to restrict the singularities of  $\gamma$  to a 3-cell in  $M^3$  instead of a handlebody the existence of a homeomorphism  $S^3 \to M^3$  would follow.

From Theorem 1 we may derive another aspect of the Poincaré problem by considering simple closed curves in  $M^3$ .

From the definition of simple connectedness it follows that every closed curve  $C^1 \subset M^3$  bounds a singular disk  $D^2 \subset M^3$ . If  $C^1$  is a tame, simple closed curve then one can find a  $D^2$  which is also tame and possesses only "normal" singularities (see [7], [8]), i.e. double curves in which two sheets of  $D^2$  pierce each other, triple points in which three sheets pierce each other, and branch points from each of which one or more double arcs originate; the triple points, the branch points, and the interiors of the double curves are disjoint from the boundary  $D^2$  of  $D^2$ , but the double curves may have end points in  $D^2$ .

As Bing [2] has proved,  $M^3$  is a 3-sphere if (and only if) every tame, simple closed curve  $C^1 \subset M^3$  lies in a (compact) 3-cell in  $M^3$ . The statement that  $C^1$  lies in a 3-cell  $D^3 \subset M^3$  is equivalent to the statement that  $C^1$  bounds a "knot projection cone"  $D^2$  in  $M^3$ , i.e. a (tame) singular disk whose singularities are one branch point P and double arcs originating from P, being pairwise

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<sup>&</sup>lt;sup>2</sup> Theorem 1 is a consequence of a "monotonic mapping theorem" announced by Moise in [6a]; however the proof is different from Moise' proof.

disjoint otherwise, and terminating in  $D^2$ . (A small neighborhood of a knot projection cone in  $M^3$  is always a 3-cell.) Hence one would prove the Poincaré conjecture if one could prove that every tame, simple closed curve  $C^1 \subset M^3$ bounds a knot projection cone in  $M^3$ . Theorem 2 of this paper (which may be considered as a corollary of Theorem 1) is a first step in this direction: it states that  $C^1$  always bounds a knot projection cone  $D^2$  with additional singularities that do not touch  $D^2 = C^1$ .

THEOREM 2. If  $C^1$  is a tame, simple closed curve in a homotopy 3-sphere  $M^3$  then there is a (tame) singular disk  $D^2 \subset M^3$  with  $D^2 = C^1$  such that  $D^2$  has the following singularities:

(a) One branch point P of multiplicity g (g may be zero) and g double arcs  $Q_1^1, \dots, Q_g^1$  (in each of which two sheets of  $D^2$  pierce each other), starting from P and ending at  $D^2$  with  ${}^{0}Q_i^{1} \subset {}^{0}D^2$  such<sup>3</sup> that the  $Q_i^{1} - P$ 's are pairwise disjoint.

(b) Closed double curves  $R_1^1, \dots, R_h^1$  (h may be zero) which may pierce themselves and the  $Q_i^1$ 's in triple points of  $D^2$ , but which are disjoint from  $D^2$ .

In the special case h = 0,  $D^2$  is a knot projection cone; in the case g = 0,  $D^2$  is a so called Dehn disk (see [8]). In the latter case it follows from Dehn's lemma (stated by Dehn [3] and proved by Papakyriakopoulos [8]) that there exists a (tame) disk  $D^{*2}$  with  $D^{*2} = C^1$  and  $h^* = 0$  (and also  $g^* = 0$ ). Now the question arises whether it follows in the general case ( $g \neq 0$ ) that there exists a (tame, singular) disk  $D^{*2}$  with  $D^{*2} = C^1$  and  $h^* = 0$  (and  $g^*$  arbitrary, not necessarily equal to g). An affirmative answer to this question would imply the Poincaré conjecture.

If one applies the methods for proving Dehn's lemma, as developed by Papakyriakopoulos [8] and later simplified by Shapiro and Whitehead [12], to this problem then one has to consider a small neighborhood  $D^3 \subset M^3$  of  $D^2$ , a covering of  $D^3$ , etc. Then all conclusions of the proof of Dehn's lemma in [12] apply to our problem as well, except in case (1) wherein the boundary  $D^3$  of  $D^3$  (or that of one of the neighborhoods in the coverings) consists of 2-spheres only: for case (1) it follows easily in dealing with Dehn's lemma that  $C^1$  bounds a nonsingular disk; however it seems to be difficult to prove for case (1) in dealing with our problem,  $g \neq 0$ , that  $C^1$  bounds a knot projection cone. Nevertheless I hope that someone will be able to fill this gap in the proof of the Poincaré conjecture.

2. Theorem 3, concerning 2-spheres in  $M^3$ . We obtain another aspect of the Poincaré problem if we consider 2-spheres in  $M^3$  instead of closed curves. If we remove the interior of a 3-cell  $C^3$  from  $M^3$  we get a so called homotopy 3-cell  $M^3_*$ . It follows from the Hurewicz theorem [5], Part II, that every 2-sphere in  $M^3_*$  may be homotopically deformed into one point.

Let us consider a 2-sphere  $F_0^2 \subset M_*^3$ , "topologically parallel" to the bound-

<sup>&</sup>lt;sup>3</sup> We denote the interior of a (tame) point set X by  ${}^{0}X$ , the boundary by X, and the closure by  $\overline{X}$  or  ${}^{-}X$ .

ary of  $M_*^3$ , i.e. such that  $F_0^2 + M_*^3$  bounds a 3-annulus  $F_0^3 \subset M_*^3$ . If one could prove that  $F_0^2$  can be deformed<sup>4</sup> into a 3-cell  $H^3 \subset M_*^3$  not only by a homotopy but also by an isotopy whose image is tame at each level then the Poincaré conjecture would follow (since it would follow that  $M_*^3$  is a 3-cell). It follows from the work of Smale [13] on regular homotopy that  $F_0^2$  can be deformed onto the boundary of a 3-cell in  $H^3$  in such a way that no branch points occur at any stage of the deformation. In order to go one step further in this direction we shall show that  $F_0^2$  can be deformed into  $H^3$  by especially simple homotopic deformations that take place in a special order.

First we have to define some special homotopic deformations. Let

$$\alpha: F'^2 \to M^3_*,$$

with the image  $\alpha(F'^2) \subset {}^0M^3_*$  denoted by  $F^2$ , be a continuous map, defining a (tame) 2-sphere with canonical singularities (i.e. normal double curves and triple points, but without branch points, see [8]). Let  $A'^2$  be a disk in  $F'^2$  whose image  $\alpha(A'^2)$  is also a (nonsingular) disk  $A^2$ . Let

$$A^{*2} \subset {}^{0}M^{3}_{*}$$

be another tame disk with  $A^{*2} \cap A^2 = A^* = A^{*2}$  such that  $A^2 + A^{*2}$  bounds a 3-cell  $K^3 \subset M^3_*$ . Now we consider a deformation  $\delta$  that changes  $\alpha$  into  $\alpha^*$  such that

$$\alpha^* | (F'^2 - {}^{0}A'^2) = \alpha | (F'^2 - {}^{0}A'^2)$$

and  $\alpha^* | A'^2$  is a homeomorphism onto  $A^{*2}$ . We call such a deformation *non-essential* if there exists an epi-homeomorphism

$$\zeta: M^3_* \to M^3_* \quad ext{with} \quad \zeta(F^2) \, = \, lpha^*(F'^2)$$

that is the identity outside a small neighborhood of  $K^3$ . We call  $\delta$  an *elementary deformation of type* 1, 2, or 3, respectively, if the surface defined by  $\alpha^*$  has only normal singularities and one of the following conditions holds (see Fig. 1):

*Type* 1. Either case (a)  $({}^{0}K^{3} \cap F^{2})$  is a disk  $B^{2}$  with  ${}^{\cdot}B^{2} \subset {}^{0}A^{*2}$ ; or case (b)  $({}^{0}K^{3} \cap F^{2})$  consists of two disks  $B^{2}$ ,  $C^{2}$  such that

$$B^2, C^2 \subset A^{*2}$$
  
 $B^0(B^2 \cap C^2) \subset K^3.$ 

and  $B^2 \cap C^2$  is an arc with

$$\xi: X'^2 \to M^3$$

is essentially determined by the image polyhedron  $\xi(X'^2)$ .

<sup>&</sup>lt;sup>4</sup> For convenience we shall use the word "deformation" not only for deformations of maps but also for deformations of polyhedra  $X \subset M^3$  (i.e. for changes of X into X\* such that there can be found homotopic maps  $\xi, \xi^* : X' \to M^3$  with  $\xi(X') = X, \xi^*(X') = X^*$ ). This is convenient since a surface with normal singularities, defined by a map

*Type 2.*  $({}^{0}K^{3} \cap F^{2})$  is a disk  $B^{2}$  such that each of the intersections  $B^{2} \cap A^{2}$  and  $B^{2} \cap A^{*2}$  consists of two disjoint arcs with

 ${}^{\scriptscriptstyle 0}({}^{\scriptscriptstyle 0}B^2 \sqcap A^2) \subset {}^{\scriptscriptstyle 0}A^2 \quad \text{and} \quad {}^{\scriptscriptstyle 0}({}^{\scriptscriptstyle 0}B^2 \sqcap A^{*2}) \subset {}^{\scriptscriptstyle 0}A^{*2}.$ 

*Type* 3. Either case (a)  $({}^{0}K^{3} \cap F^{2})$  is a disk  $B^{2}$  with  ${}^{B^{2}} \subset {}^{0}A^{2}$ ; or case (b)  $({}^{0}K^{3} \cap F^{2})$  consists of two disks  $B^{2}$ ,  $C^{2}$  such that  ${}^{B^{2}} \subset {}^{0}A^{2}$  and each of the intersections  $C^{2} \cap A^{2}$ ,  $C^{2} \cap A^{*2}$ ,  $C^{2} \cap B^{2}$  is an arc with

 ${}^{0}({}^{\cdot}C^{2} \mathsf{n} A^{2}) \subset {}^{0}A^{2}, \qquad {}^{0}({}^{\cdot}C^{2} \mathsf{n} A^{*2}) \subset {}^{0}A^{*2}, \qquad {}^{0}(C^{2} \mathsf{n} B^{2}) \subset {}^{0}C^{2}, {}^{0}B^{2}.$ 

We remark that an elementary deformation of type 1 (a or b) changes the image sphere  $F^2$  only in a small neighborhood (small with respect to  $F^2$ ) of an arc (connecting a point in  ${}^{0}A^2$  to a point in  ${}^{0}B^2 \cap {}^{0}C^2$ , respectively); a deformation<sup>4</sup> of type 2 changes  $F^2$  in a small neighborhood of a disk (whose boundary intersects each  $A^2$  and  $B^2$  in one arc). According to this one might say that a deformation of type i (i = 1, 2, 3) is essentially *i*-dimensional.

THEOREM 3. Let  $M_*^3$  be a homotopy 3-cell and  $\alpha_0 : F'^2 \to M_*^3$  an embedding of a 2-sphere, topologically parallel to  $M_*^3$ . Then  $\alpha_0$  can be deformed step by step into maps  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$  of  $F'^2$  into  $M_*^3$  such that the following holds:

(a)  $\alpha_i$  (i = 1, 2, 3) is obtained from  $\alpha_{i-1}$  by a finite sequence of elementary deformations of type *i* and non-essential deformations.

(b) The image  $\alpha_3(F'^2)$  lies in a 3-cell  $H^3 \subset {}^0M^3_*$ .

The two essential points of this theorem (which are not immediate consequences of Smale's results [13]) are (1) the order in which the deformations take place and (2) that no deformations are used that move the surface over a triple point.

We remark without proof: If it were possible to avoid the deformations of type 1b (i.e. to avoid triple points) or to avoid the deformations of type 2 then this would imply the Poincaré conjecture; this would hold even if  $H^3$  were not a 3-cell, but homeomorphic to any compact subset of euclidean 3-space with connected boundary.

**3.** Sketch of the proofs. The theorems are proved by considering deformations of singular 2-spheres in a homotopy 3-cell  $M_*^3$ . We start with an embedding

$$\beta_0: F_0^{\prime 3} \to M^3_*$$

of a 3-annulus  $F_0^{\prime 3}$  into  $M_*^3$  such that one boundary sphere  $S'^2$  of  $F_0^{\prime 3}$  is mapped onto  $M_*^3$  and the other boundary sphere  $F_0^{\prime 2}$  onto the 2-sphere  $F_0^2 = \alpha_0(F'^2)$ . Now we deform  $F_0^2$  into a 3-cell  $H^3 \subset {}^0M_*^3$  in the simplest way we can find. To do this we choose a simple cell-decomposition  $\Gamma$  of the homotopy 3-sphere  $M^3 = M_*^3 + C^3$  ( $C^3$  being a 3-cell with  $C^3 \cap M_*^3 = C^3 = M_*^3$ ) into one vertex  $E^0$ , r elements  $E_i^1$ ,  $E_i^2$  ( $i = 1, \dots, r$ ) of each dimension 1 and 2, and one open 3-cell  $E^3$  containing  $C^3$ . Then we choose a neighborhood  $J^3$  of the 2-skeleton  $G^2$  of  $\Gamma$ , and we may assume that our initial 3-annulus  $\beta_0(F_0'^3)$  is  $M_*^3 - {}^0J^3$ , hence  $F_0^2 = J^3$ . Now we use the fact that  $M_*^3$  is simply connected by taking a collection of r singular disks, bounded by the 1-skeleton  $G^1$  of  $\Gamma$  (that consists of the r loops  $\bar{E}_i^1$  with the common vertex  $E^0$ ); these disks with the boundary point  $E^0$  in common form a "fan"  $V^2$  with singularities. We can choose  $V^2$  such that its only singularities are pairwise disjoint double arcs  $A_i^1$  ( $j = 1, \dots, s$ , as depicted in Fig. 2). Now we contract  $V^2$ , changing it only within small neighborhoods  $A_i^3$  of the  $A_i^1$ 's, onto a nonsingular fan  $V_*^2$ , a small neighborhood  $H^3$  of which is a 3-cell; that means we deform the 1-skeleton  $G^1$  into the 3-cell  $H^3$ . We carry out corresponding deformations (see footnote 4) of the 2-skeleton  $G^2$  onto a "singular 2-skeleton"  $G_{\#}^2$  and of its neighborhood  $J^3$  onto a singular polyhedron  $J_{\#}^3$ ; and we change the map  $\beta_0$ correspondingly into a map  $\beta_I : F_I^{\prime 3} \to M_{\#}^3$  with  $\beta_I \mid S'^2 = \beta_0 \mid S'^2$ . All the deformations of  $G^2$ ,  $J^3$  take place in the  $A_j^3$ 's.  $H^3 + \bigcup_{j=1}^s A_j^3$  is a handlebody  $K^3$ . The corresponding deformations of  $F_0^2$  onto  $F_1^2$  are of type 1a only.

Now we have to deform the rest of  $F_I^2$  into  $H^3$ . First we remark that  $J_{\mathscr{S}}^3$ may be decomposed into a neighborhood  $T_{*}^{3}$  of the deformed 1-skeleton  $V_{*}^{2}$ and into r "prismatic", singular 3-cells  $P_{\$i}^3$  (being prismatic neighborhoods of middle parts of the deformed  $E_i^{2}$ 's), such that  $T_{\mathscr{K}}^{\overline{i}} \subset {}^{0}H^{\overline{i}}$ . That means, that part of  $F_I^2$  lying outside of  $H^3$  lies in the "top" and "bottom" disks of the  $P_{\#i}^3$ 's. The boundaries of the top and bottom disks of  $P_{\$i}^3$  may be joined by an arc  $W_i^1 \subset F_I^2 \cap {}^0H^3$  and by an arc  $W_{P_i}^3 \subset P_{\#i}^3$ ; the so obtained 1-spheres  $W_i^1 + W_{P_i}^1$ bound singular disks  $W_i^2 \subset {}^{0}H^3$ . We can choose these  $W_i^2$ 's such that their only singularities are double arcs and that singular, prismatic neighborhoods  $W_i^3$  of them fit properly to  $F_I^2$  and to the  $P_{\#i}^3$ 's. Then we expand the singular 3-annulus, defined by  $\beta_I$ , over these singular prisms  $W_i^3$  (denoting the changed  $\beta_I$  by  $\beta_{II}$ ; the corresponding deformation of  $F_I^2$  onto a singular 2-sphere  $F_{II}^2$ may be decomposed into deformations of type 1 (a and b) yielding a singular 2-sphere  $F_1^2$  (and a map  $\alpha_1$  according to Theorem 3) and after them deformations of type 2 yielding  $F_{II}^2$ . Now  $F_{II}^2$  contains "folds" around the  $P_{\$i}^3$ 's consisting of the top and bottom disks and joining disks (containing the  $W_{Pi}^{1}$ 's); so we can expand the singular 3-annulus over the  $P_{\#i}^3$ 's (denoting the changed  $\beta_{II}$  by  $\beta : F'^3 \to M_*^3$  with  $\beta \mid S'^2 = \beta_0 \mid S'^2$ ). The corresponding deformation of  $F_{II}^2$  yields  $F_3^2 \subset {}^0H^3$  (and  $\alpha_3$ ) and may be decomposed into deformations of type 2, yielding  $F_2^2$  (and  $\alpha_2$ ), and after them deformations of type 3 (a and b); this completes the proof of Theorem 3.

To prove Theorem 2 we observe that the complement  $M_*^3 - {}^0K^3$  of the handlebody  $K^3$  is covered one-to-one by  $\beta$ . So we deform the given curve  $C^1$ isotopically into a curve  $C_0^1 \subset M_*^3 - K^3$ ; then we choose a knot projection cone  $D'^2$  bounded by the knot  $\beta^{-1}(C_0^1)$  in the 3-annulus  $F'^3$ ; we bring about by small deformations the situation in which  $\beta(D'^2)$  has only normal singularities. Then  $D^2 = \beta(D'^2)$  has the demanded properties. Theorem 1 is proved by extending  $\beta$  to a 3-sphere  $S^3 \supset F'^3$ .

We remark: If it were possible to find the map

$$\beta: F'^3 \to M^3_*$$

(with  $\beta(F'^3 - S'^2) \subset {}^0H^3$ ) such that  $\beta \mid \beta^{-1}(M^3_* - H^3)$  is locally one-to-one then the Poincaré conjecture would follow by an easy conclusion. We would obtain such a map  $\beta$  if it were possible to deform the 3-annulus  $\beta_0(F'^3)$  onto  $\beta(F'^3)$  by "expansions" only. But in our procedure some of the very first deformations in the  $A_j^3$ 's (and only these) are not expansions, so we get certain surfaces in  $F'^3$  such that  $\beta$  is not locally one-to-one at (and only at) the points of these surfaces. ( $\beta$  maps these surfaces homeomorphically into  $K^3$ . Moreover it is possible to arrange our procedure such that these exceptional surfaces become disks.)

## I. Proof of Theorems 1 and 2

We prove Theorem 1 and 2 first. After this we shall prove Theorem 3 by consideration of some more details.

**4.** Preliminaries. Let  $M^3$  be a homotopy 3-sphere. After Moise [6] and Bing [1] there exists a triangulation of  $M^3$ . This means there exists a homotopy 3-sphere, homeomorphic to  $M^3$ , that is a (straight-lined, finite) polyhedron in a euclidean space  $\mathfrak{S}^n$  of sufficiently high dimension n. So we may assume for convenience and without loss of generality that  $M^3$  itself is a polyhedron in  $\mathfrak{S}^n$ . All point sets considered in the subsequent part of this paper are *polyhedral in*  $\mathfrak{S}^n$  in the sense of [10] (i.e. finite unions of straightlined, finite, convex, open cells in  $\mathfrak{S}^n$ ); they are denoted by capital roman letters, and their dimensions by upper indices. We use the notation  $X, \bar{X}, {}^0X$ for the *boundary, closure, interior* of X, respectively, and  $X - Y = X - (X \cap Y)$  for the *difference*.

By a decomposition of X we mean always a collection of finitely many pairwise disjoint point sets whose union is X. A decomposition  $\Delta$  is called a cell-decomposition, if the elements of  $\Delta$  are open cells such that for every two cells  $A, B \epsilon \Delta$  either  $A \cap B = \emptyset$  or  $A \subset B$  holds. We call a cell-decomposition  $\Delta$  a straight-lined triangulation if its elements are open, straight-lined simplices in  $\mathfrak{S}^n$  such that the open faces of each element are also elements of  $\Delta$ ; we call a cell-decomposition  $\Theta$  a triangulation in general if for each element  $A \epsilon \Theta$ the decomposition  $\Theta(\overline{A})$  of  $\overline{A}$ , consisting of all those elements of  $\Theta$  that lie in  $\overline{A}$ , is isomorphic to the decomposition of a simplex (of the same dimension as A) into its interior and its open faces.

By a (polyhedral) neighborhood of X in Y (as defined in [14]) we mean the closure of the simplex star of X in a second barycentric subdivision  $\Delta^{**}$  of a (general) triangulation  $\Delta$  of Y such that X is the union of elements of  $\Delta$ ; the neighborhood is called *small with respect to*  $Z | V | \cdots | W$  (see [4, Kap. I,2]) if  $Z \cap Y, V \cap Y, \cdots, W \cap Y$  are unions of elements of  $\Delta$ .

By an *arc*, *disk*, or 3-*cell* we mean, if not stated otherwise, a compact, nonsingular 1-, 2-, or 3-cell, respectively.

All maps considered in the subsequent part of this paper are *simplicial* maps in the sense of [11, p. 114]: a continuus map  $\alpha : A' \to B$  is called sim-

plicial if there exist straight-lined triangulations  $\Delta'$  of A' and  $\Delta$  of B such that  $\alpha$  maps each element of  $\Delta'$  linearly onto an element of  $\Delta$ .

Let  $C^3$  be a 3-cell in  $M^3$  and denote the homotopy 3-cell  $M^3 - {}^0C^3$  by  $M_*^3$ .

**5.** A simple cell-decomposition  $\Gamma$  of  $M^3$ . We can find a cell-decomposition  $\Gamma$  of  $M^3$  with the following properties:

(i)  $\Gamma$  contains just one 0-dimensional element, say  $E^0$ , and just one 3-dimensional element, say  $E^3$ .

(ii)  $C^3 \subset E^3$ .

(iii)  $\Gamma$  contains r elements, say  $E_1^1, \dots, E_r^1$ , of dimension 1 and r elements, say  $E_1^2, \dots, E_r^2$ , of dimension 2.

(iv) Each element  $E_i^1$  lies at least 2 times in the boundary of  $\bigcup_{j=1}^r E_j^2$  (i.e.: if  $U^3$  is a neighborhood of a point of  $E_i^1$  in  $M^3$ , which is small with respect to

$$|E_1^1| \cdots |E_r^1 E_1^2 \cdots |E_r^2|$$

then  ${}^{0}U^{3} \cap \bigcup_{j=1}^{r} E_{j}^{2}$  consists of at least 2 pairwise disjoint open disks).

*Proof of the assertion.*  $\Gamma$  may be found as follows:

Step 0. We take an arbitrary decomposition  $\Gamma_0$  of  $M^3$  into open cells.

Step 1. We delete, step by step, such 2-dimensional elements of  $\Gamma_0$  that separate two different 3-dimensional elements; this yields finally a decomposition  $\Gamma_1$  with only one 3-dimensional element (see [11]).

Step 2. Now we contract a maximal tree in the 1-skeleton of  $\Gamma_1$  into one point; this yields a decomposition  $\Gamma_2$  with property (i).

Step 3. If a 1-dimensional element  $E^1 \epsilon \Gamma_2$  lies just once in the boundary of a 2-dimensional element  $E^2 \epsilon \Gamma_2$  and does not lie in the boundary of any other 2-dimensional element of  $\Gamma_2$  then we delete both  $E^1$  and  $E^2$ ; repeating this operation as often as possible, we obtain a decomposition  $\Gamma_3$  with properties (i) and (iv).  $\Gamma_3$  possesses also property (iii) since the Euler characteristic of  $M^3$ is zero (see [11]).

Step 4. To obtain  $\Gamma$  we deform the 2-skeleton of  $\Gamma_3$  isotopically such that the deformed 2-skeleton lies in  $M^3 - C^3$ .

*Remark.* In the case r = 0,  $M^3$  is obviously a 3-sphere and we have nothing to prove. Therefore we may assume for the subsequent sections of this paper that  $r \neq 0$ . We denote the 1-skeleton  $\bigcup_{i=1}^{r} \overline{E}_{i}^{1}$  and the 2-skeleton  $\bigcup_{i=1}^{r} \overline{E}_{i}^{2}$  of  $\Gamma$  by  $G^1$ ,  $G^2$ , respectively.

6. The 1-skeleton  $G^1$  of  $\Gamma$  bounds a singular fan  $V^2$ . We assert: There exists a map

$$\zeta: V'^2 \to M^3_*,$$

with the image  $\zeta(V'^2) \subset {}^0M^3_*$  denoted by  $V^2$ , and with the following properties (see Fig. 2):

(i)  $V'^2$  consists of r disks  $V'_1^2, \dots, V'_r^2$ , possessing one common boundary

point  $E'^0$ , and otherwise being pairwise disjoint;  $V'^2$  is disjoint from  $M^3$ ,  $F'^2$ . (ii)  $V^2 = G^1$ .

(iii) The only singularities of  $V^2$  are pairwise disjoint, normal, double arcs  $A_1^1, \dots, A_s^1$  (s may be zero) such that each of the two connected components  $A_j'^1, A_j''^1$  of  $\zeta^{-1}(A_j^1)$  possesses just one boundary point in  $V'^2 - E'^0$  and otherwise lies in  ${}^0V'^2$  (for all  $j = 1, \dots, s$ ).

(iv) The arcs  $A_j^1$   $(j = 1, \dots, s)$  intersect  $G^2 - G^1$  at most in isolated piercing points,  $V^2$  intersects  $G^2 - G^1$  at most in piercing curves whose intersection and self-intersection points are the piercing points  $A_j^1 \cap (G^2 - G^1)$ .

(v)  $\zeta^{-1}({}^{-1}\{V^2 \cap [G^2 - G^1]\})$  is disjoint from  $V'^2 - E'^0$ , i.e. a connected component of

$$\zeta^{-1}(V^2 \cap [G^2 - G^1])$$

is either a 1-sphere or an open arc whose boundary lies in

$$E'^0 + \bigcup_{j=1}^s [(A'^1_j + A''_j) \cap V'^2]$$

(see Fig. 3).

Proof of the assertion. Step 0. Since  $M^3_*$  is simply connected there exists a map  $\zeta_0: V^{\prime 2} \to M^3_*$  with properties (i) and (ii).

Step 1. From  $\zeta_0$  we can obtain by small deformations (by a similar procedure as described in [7]) a map  $\zeta_I : V'^2 \to M_*^3$ , also with properties (i), (ii), such that the only singularities of  $V_I^2 = \zeta_I(V'^2)$  are normal double curves, triple points, and branch points of multiplicity 1 (see [8]), and such that the triple points, the branch points, and the interiors of the double curves lie in  ${}^0V_I^2$ , and that  $E^0$  is no double point.

Step 2. Now we consider the set  $D_I$  of all double points (not including the triple points) of  $V_I^2$ , and we remove, step by step, all those connected components  $D_{I1}^1, \dots, D_{Id}^1$  of  $D_I$  that are disjoint from  $V_I^2$ . To do this we can find an arc  $C_k^1 \subset V_I^2$  that joins a point of  $V_I^2 - (E^0 + D_I)$  to a point of a component  $D_{Ik}^1$  (provided that  $d \neq 0$ ) such that  ${}^0C_k^1 \cap \overline{D}_I$ ,  ${}^0C_k^1 \cap V_I^2 = \emptyset$ ; then we remove  $D_{Ik}^1$  (without introducing a new component of that kind) by a deformation of  $\zeta_I$  (see Fig. 4) that changes  $V_I^2$  only in a neighborhood of  $C_k^1$ , and so on. In this way we obtain finally after d deformations a map  $\zeta_{II}: V'^2 \to M_*^3$ .

Step 3. Now we can remove the triple points of  $V_{II}^2 = \zeta_{II}(V'^2)$  by deformations of  $\zeta_{II}$  that change  $V_{II}^2$  only in neighborhoods of double arcs of  $V_{II}^2$  that join the triple points to  $V_{II}^2 - E^0$ . Further we can remove the branch points by cuts along those double arcs of  $V_{II}^2$  that join the branch points to  $V_{II}^2 - E^0$ . This yields a map

$$\zeta_{III}: V'^2 \to M^3_*,$$

with  $\zeta_{III}(V'^2)$  denoted by  $V_{III}^2$ , such that the set  $D_{III}$  of double points of  $V_{III}^2$  consists of pairwise disjoint arcs  $D_{III1}^1, \dots, D_{IIIe}^1$ .

Step 4. If one of the components of the inverse image of  $D_{IIIk}^1$ —say  $D_{IIIk}'^1$  is disjoint from  $V'^2$ , then we choose an arc  $C'_k \subset V'^2$ , joining a point of  ${}^0D_{IIIk}'^1$  to

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a point of

$$V'^{2} - [E'^{0} + \zeta_{III}^{-1}(D_{III})],$$

with  ${}^{0}C'_{k}{}^{1} \cap \zeta_{III}^{-1}(D_{III})$ ,  ${}^{0}C'_{k}{}^{1} \cap V'^{2} = \emptyset$ , and we remove  $D'_{IIIk}{}^{1}$  by a deformation of  $\zeta_{III}$  (similar to Step 2) that changes  $V^{2}_{III}$  only in a neighborhood of  $\zeta_{III}(C'_{k}{}^{1})$ ; and so on. This yields finally a map

$$\zeta_{IV}: V'^2 \to M^3_*$$

with the properties (i), (ii), and (iii).

Step 5. From  $\zeta_{IV}$  we obtain by small deformations a map

$$\zeta_V: V^{\prime 2} \to M^3_*,$$

with  $\zeta_V(V'^2)$  denoted by  $V_V^2$ , having the properties (i),  $\cdots$ , (iv).

Step 6. From  $\zeta_V$  we obtain, by deformations that change  $V_V^2$  only in a small neighborhood of  $V_V^2 = G^1$ , a map  $\zeta : V'^2 \to M_3^*$  with the required properties.

7. Neighborhoods  $A_j^3$  of the double arcs  $A_j^1$  of  $V^2$ . Let  $A_1^3, \ldots, A_s^3$  be pairwise disjoint neighborhoods of  $A_1^1, \cdots, A_s^1$ , respectively, in  $M_*^3$ , which are small with respect to  $G^2 | V^2$  (see Fig. 5a).

 $A_j^3 \cap G^1$  consists of two disjoint arcs; we denote them by  $K_j^1$ ,  $L_j^1$ . The closures of the connected components of  $(A_j^3 \cap V^2) - A_j^1$  are two disks; we denote them by  $V_{\kappa_j}^2$ ,  $V_{L_j}^2$  such that

$$K_j^1 \subset {}^{\cdot}V_{Kj}^2, \qquad L_j^1 \subset {}^{\cdot}V_{Lj}^2.$$

We choose a neighborhood  $A_j^2$  of  $A_j^1$  in  $V_{\kappa_j}^2$ , which is small with respect to  $G^2$ , and we denote the nonsingular fan  $(V^2 - \bigcup_{j=1}^s A_j^2)$  by  $V_*^2$ .

We denote the nonsingular ran  $(V - O_{j=1}A_j)$  by  $V_*$ . We denote those connected components of  $A_j^3 \cap G^2$  that contain  $K_j^1$ ,  $L_j^1$ , respectively, by  $K_j^2$ ,  $L_j^2$ . The closures of the connected components of  $K_j^2 - K_j^1$ and  $L_j^2 - L_j^1$  are disks  $K_{j1}^2$ ,  $\cdots$ ,  $K_{jt_j}^2$  and  $L_{j1}^2$ ,  $\cdots$ ,  $L_{ju_j}^2$ , respectively. Those connected components of  $A_j^3 \cap G^2$  that are different from  $K_j^2$ ,  $L_j^2$  are disks  $N_{j1}^2$ ,  $\cdots$ ,  $N_{jv_j}^2$  ( $v_j$  may be zero). We arrange the notation such that the disks  $K_{j1}^2$ ,  $\cdots$ ,  $K_{jt_j}^2$  lie around  $K_j^1$  in the order of the enumeration and such that  $V_{K_j}^2$  lies in this order between  $K_{jt_j}^2$ , and  $K_{j1}^2$ .

8. A small neighborhood  $J^3$  of the 2-skeleton  $G^2$  and its complementary 3-annulus  $F_0^3$ . Let  $T^3$  be a neighborhood of  $G^1$  in  $M_*^3$ , which is small with respect to

$$G^2 | V^2 | A_1^3 | \cdots | A_s^3 | A_1^2 | \cdots | A_s^2;$$

Let  $J^3$  be a neighborhood of  $G^2$  in  $M^3_*$ , which is small with respect to

$$T^3 | V^2 | A_1^3 | \cdots | A_s^3 | A_1^2 | \cdots | A_s^2$$
.

Then  $M^3_* - {}^0\!J^3$  is a 3-annulus  $F^3_0$ .

We denote  $T^3 \cap J^3$  by  $T^3_J$ , and the two connected components of  $T^3_J \cap A^3_j$  $(j = 1, \dots, s)$  by  $T^3_{Kj}$ ,  $T^3_{Lj}$  (see Fig. 5b) such that  $K^1_j \subset T^3_{Kj}$  and  $L^1_j \subset T^3_{Lj}$ . Further we denote the connected components of  $J^3 \cap A_j^3$  by  $K_j^3, L_j^3, N_{j1}^3, \cdots, N_{jv_j}^3$ where

$$K_j^2 \subset K_j^3$$
,  $L_j^2 \subset L_j^3$ ,  $N_{jm}^2 \subset N_{jm}^3$   $(m = 1, \dots, v_j)$ 

and the connected components of  $(K_j^3 - T_{Kj}^3)$  and  $(L_j^3 - T_{Lj}^3)$  by  $K_{j1}^3, \dots, K_{jt_j}^3$ and  $L_{j1}^3$ ,  $\cdots$ ,  $L_{ju_j}^3$ , respectively, where

$$K_{jk}^2 \cap K_{jk}^3 \neq \emptyset \ (k = 1, \dots, t_j) \text{ and } L_{j1}^2 \cap L_{j1}^3 \neq \emptyset \ (1 = 1, \dots, u_j).$$

Those  $t_j - 1$  connected components of  $(A_j^3 - K_j^3)$  that are disjoint from  $V_{\kappa_j}^2$ are 3-cells  $F_{Kj1}^3$ ,  $\cdots$ ,  $F_{Kjt_j-1}^3$  in  $F_0^3$  (see Fig. 5b).

The connected components of  $(J^3 - T_J^3)$  are r 3-cells; we denote them by  $P_1^3, \dots, P_r^3$  where  $E_i^2 \cap P_i^3 \neq \emptyset$   $(i = 1, \dots, r)$ , and we denote the disks  $E_i^2 \cap P_i^3$ by  $P_i^2$ . Then  $P_i^3$  can be represented as cartesian product  $P_i^2 \times I^1$ , where  $I^1$  is the interval  $-1 \leq x \leq +1$ , such that

(i)  $P_i^2$  is the central disk, i.e.  $p \times 0 = p$  for all  $p \in P_i^2$ ;

(ii) the top and bottom disks are the connected components of  $P_j^3 \cap J^3$ , i.e.  $(P_i^2 \times 1) + (P_i^2 \times -1) = P_i^3 \cap J^3$ ; (iii) the polyhedra  $A_j^3$ ,  $V^2$ ,  $A_j^2$  intersect  $P_i^3$  "prismatically", i.e.:

$$A_{j}^{3} \cap P_{i}^{3} = (A_{j}^{3} \cap P_{i}^{2}) \times I^{1}, \ V^{2} \cap P_{i}^{3} = (V^{2} \cap P_{i}^{2}) \times I^{1}, \ A_{i}^{2} \cap P_{i}^{3} = (A_{i}^{2} \cap P_{i}^{2}) \times I^{1}.$$

Let  $F_0^{\prime 3}$  be a 3-annulus, disjoint from  $M^3$ ,  $V^{\prime 2}$ ,  $F^{\prime 2}$ , and let

$$\beta_0: F_0^{\prime 3} \to M^3_*$$

be a homeomorphism with the image  $\beta_0(F_0^{\prime 3}) = F_0^3$ . We denote the boundary 2-spheres  $\beta_0^{-1}(J^3)$  and  $\beta_0^{-1}(M^3)$  of  $F_0^{\prime 3}$  by  $F_0^{\prime 2}$  and  $S^{\prime 2}$ , respectively. (We may bring about by isotopic deformations the situation in which  $\beta_0(F_0^{\prime 2}) = \alpha_0(F^{\prime 2})$ with  $\alpha_0$  the embedding given in Theorem 3.)

9. Deformations in the  $A_j^3$ 's that take  $G^1$  onto the boundary of the nonsingular fan  $V_*^2$ . We denote the 3-cell  $K_j^3 + \bigcup_{k=1}^{t_j-1} F_{K_jk}^3$  (see Fig. 5b) by  $Q_j^3$ , and choose a neighborhood  $Q_{*j}^3$  of  $(A_j^3 - Q_j^3)$  in  $(A_j^3 - Q_j^3)$ , which is small with respect to  $G^2 | V^2 | A_j^2 | T^3 | T_j^3 | J^3$ , such that (with respect to the product representation introduced in Sec. 8)

$${}^{-}({}^{0}Q_{*j}^{3} \cap P_{i}^{3}) = {}^{-}(Q_{*j}^{3} \cap P_{i}^{2}) \times I^{1} \qquad (i = 1, \cdots, r).$$

Then we denote the 3-cell  $[A_j^3 - (Q_j^3 + Q_{*j}^3)]$  by  $O_j^3$  and the disks  $O_j^3 \cap Q_j^3$  and  $O_j^3 \cap Q_{*j}^3$  by  $O_j^2$  and  $O_{*j}^2$ , respectively.

Now we can find an epi-homeomorphism  $\delta_j: Q_j^3 \to Q_j^3 + O_j^3$  with the following properties (see Fig. 5):

(i)  $\delta_{j} | ( Q_{j}^{3} - O_{j}^{2} ) = \text{identity}; \delta_{j}(O_{j}^{2}) = O_{\#j}^{2}.$ (ii)  $\delta_{j}(K_{j}^{1}) = (K_{j}^{1} - A_{j}^{2}) + (A_{j}^{2} - K_{j}^{1}).$ (iii)  $\delta_{j}(K_{jk}^{2})$  intersects  $L_{j}^{1}$  in just one point and intersects each disk  $O_{j}^{2},$   $V_{Lj}^{2}, L_{j1}^{2}, \dots, L_{juj}^{2}, N_{j1}^{2}, \dots, N_{jv_{j}}^{2}$  in just one arc (for all  $k = 1, \dots, t_{j}$ );  $\delta_j({}^{0}K_{jk}^2)$  is disjoint from  $V_{Kj}^2$ .

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(iv) The neighborhood  $\delta_j(T^3_{\kappa_j})$  of  $\delta_j(K^1_j)$  in  $A^3_j$  is small with respect to  $T^3_{L_j} | V^2 | L^3_{j_1} | \cdots | L^3_{j_{u_j}} | N^3_{j_1} | \cdots | N^3_{j_{v_j}}$  and intersects  $O^2_j$  in just two disjoint disks.

(v) The intersections of  $\delta_j(K_{jk}^3)$ ,  $\delta_j(K_{jk}^2)$   $(k = 1, \dots, t_j)$ , and  $\delta_j(T_{Kj}^3)$  with  $L_{j1}^3$   $(1 = 1, \dots, u_j)$  and  $N_{jm}^3$   $(m = 1, \dots, v_j)$  (see also Fig. 6) can be written as cartesian products, using the product representation of the  $P_i^{3}$ introduced in Sec. 8; the same holds for the polyhedra

$$\begin{split} & \delta_j^{-1}(L_{j1}^3 \sqcap \delta_j(K_{jk}^3)), \qquad \delta_j^{-1}(L_{j1}^2 \sqcap \delta_j(K_{jk}^3)), \qquad \delta_j^{-1}(N_{jm}^3 \sqcap \delta_j(K_{jk}^3)), \\ & \delta_j^{-1}(N_{jm}^2 \sqcap \delta_j(K_{jk}^3)), \qquad \delta_j^{-1}(T_{Lj}^3 \sqcap \delta_j(K_{jk}^3)), \qquad \delta_j^{-1}(V_{Lj}^2 \sqcap \delta_j(K_{jk}^3)). \end{split}$$

Let  $\eta: J^3 \to M^3_*$  be the map defined by

(a) 
$$\eta \mid {}^{-}(J^{3} - \bigcup_{j=1}^{s} K_{j}^{3}) = \text{identity},$$
  
(b)  $\eta \mid K_{j}^{3} = \delta_{j} \mid K_{j}^{3} \text{ (for all } j = 1, \cdots, s),$ 

and denote the images  $\eta(J^3), \eta(G^1), \eta(G^2), \eta(T_J^3), \eta(P_i^3)$  by  $J_{\$}^3, G_{\$}^1, G_{\$}^2, T_{\$J}^3, O_{\$i}^3$ ,

respectively. Obviously we have  $G_{\sharp}^{1} = {}^{\prime}V_{\ast}^{2}$ . Now we denote  $\beta_{0}^{-1}(O_{j}^{2})$  by  $O_{j}^{\prime 2}$ , and we choose *s* pairewise disjoint 3-cells  $O_{1}^{\prime 3}, \dots, O_{s}^{\prime 3}$  (see Fig. 7) that are disjoint from  $M^{3}, V^{\prime 2}, F^{\prime 2}, {}^{0}F_{0}^{\prime 3}$  such that  $O_{j}^{\prime 3} \cap F_{0}^{\prime 3} = O_{j}^{\prime 2}$ ; then we denote  $F_{0}^{\prime 3} + \bigcup_{j=1}^{s} O_{j}^{\prime 3}$  by  $F_{I}^{\prime 3}$ , and we choose a map

 $\beta_I: F_I^{\prime 3} \to M^3_*$ 

with the following properties:

 $k = 1, \cdots, t_j$ 

(III)  $\beta_I | O_j^{\prime 3}$  is an epi-homeomorphism of  $O_j^{\prime 3}$  onto  $O_j^3$ .

We remark that the map  $\beta_I$  is locally one-to-one, except for the "reflection disks"  $O_j^{\prime 2}$ , i.e. if p is a point of  $F_i^{\prime 3}$  and if  $U^{\prime 3}$  is a sufficiently small neighborhood of p in  $F_I^{\prime 3}$  then  $\beta_I \mid U^{\prime 3}$  is a homeomorphim if and only if  $p \notin \bigcup_{j=1}^s O_j^{\prime 2}$ .

10.  $G_{\$}^{1}$  and its neighborhood  $T_{\$J}^{3}$  lie in a 3-cell  $H^{3}$ . Let  $H^{3}$  be a neighborhood of  $V_*^2 + T_{*J}^3$  in  $M_*^3$ , which is small with respect to

$$G_{\#}^2 \mid V^2 \mid J_{\#}^3 \mid A_1^3 \mid \cdots \mid A_s^3 \mid O_1^2 \mid \cdots \mid O_s^2$$

that intersects the  $P_{\#i}^3$  is prismatically, i.e.:  $\eta^{-1}(H^3 \cap P_{\#i}^3)$   $(i = 1, \dots, r)$  can be written as cartesian product using the product representation of the  $P_i^{3}$ introduced in Sec. 8 (compare Fig. 11a).

11. Arcs  $W_i^1$  in  $J^3 \cap T_J^3$  joining top and bottom disks of the prisms  $P_i^3$ .  $T_J^3$ a handlebody of genus r. The intersection  $J^3 \cap T^3_J$  is a 2-sphere with 2r holes, denoted by  $T^2$ .

We assert: There can be found r pairwise disjoint arcs  $W_1^1, \dots, W_r^1 \subset T^2$ such that (for all  $i = 1, \dots, r$ )

(i)  ${}^{0}W_{i}^{1} \subset {}^{0}T^{2}$ ;  ${}^{*}W_{i}^{1} = p_{i} \times {}^{*}I^{1}$  (using the product representation of the  $P_{i}^{3}$ 's introduced in Sec. 8) with  $p_{i}$  an arbitrary point in  ${}^{*}P_{i}^{2} - \bigcup_{j=1}^{s}A_{j}^{3}$ ; we denote the arc  $p_i \times I^1$  by  $W_{Pi}^1$ ;

(ii) if  $S_i^1 \subset {}^0T_J^3$  is a 1-sphere, topologically parallel to  $W_i^1 + W_{P_i}^1$ , i.e.: such that there exists an annulus in  $T_J^3$  with boundary curves  $S_i^1$  and  $W_i^1 + W_{P_i}^1$ , then  $S_i^1$  is homologous to 0 mod 2 in  $M_*^3 - (W_i^1 + W_{Pi}^1)$ .

We denote the arc  $\eta(W_i^1)$  by  $W_{\#i}^1$ . There exists just one connected component of  $\beta_I^{-1}(W_{\sharp i}^1)$ —we denote it by  $W_i^{\prime 1}$ —such that  $\beta_I(W_i^{\prime 1}) = W_{\sharp i}^1$ ; and  $W_i^{\prime 1} \subset F_I^{\prime 3}$ .

Proof of the assertion. First we remark that the 1-spheres  $P_1^2, \dots, P_r^2$  form a 1-dimensional homology basis mod 2 of  $T_J^3$  (if we identify the chains mod 2 with the corresponding polyhedra). If  $P_1^2, \dots, P_r^2$  were homologously dependent mod 2 it would follow that there exists a surface in  $T_J^3$  with boundary some of the  $P_i^{2}$ 's; this surface could be completed by the corresponding disks  $P_i^2$  to a closed surface, non-separating in  $M_*^3$ ; but this is impossible since  $M_*^3$  is a homotopy 3-cell.

We choose an arbitrary system of pairwise disjoint arcs

$$W_1^{*1}, \cdots, W_r^{*1} \subset T^2$$

fulfilling condition (i). Now  $W_i^{*1} + W_{Pi}^1$   $(i = 1, \dots, r)$  is homologous mod 2 in  $T_J^3$  to a linear combination  $\sum_{k=1}^r c'_{ik} P_k^2$  with coefficients  $c'_{ik} = 0$  or 1. If  $c'_{ii} = 0$  then we take  $W_i^1 = W_i^{*1}$ . If  $c'_{ii} \neq 0$  then to obtain  $W_i^1$  we take a small neighborhood  $N_i^2$  of  $P_i^2 \times 1$  in  $T^2$  and replace the arc  $W_i^{*1} \cap N_i^2$  by another arc in  $N_i^2$  with the same boundary points such that  $W_i^1 + W_{Pi}^1$  is homologous mod 2 to  $W_i^{*1} + W_{P_i}^1 + P_i^2$  in  $T_J^3$ . Now the  $W_i^{1}$ 's fulfill condition (ii) also. For every  $i = 1, \dots, r$  there exists a surface in  $T_J^3$  whose boundary consists of  $S_i^1$  and some of the  $P_k^2$ 's, except  $P_i^2$ , and whose interior lies in  ${}^{0}T_J^3$ ; this surface can be completed by the corresponding  $P_k^2$ 's to a surface  $B_i^2$  in  $M_*^3 - (W_i^1 + W_{P_i}^1)$ that is bounded by  $S_i^1$  only.

12. Singular disks  $W_{\$i}^2$  in  $H^3$  corresponding to the arcs  $W_{\$i}^1$ . Let  $W_1^{\prime 2}, \dots, W_r^{\prime 2}$  be r pairwise disjoint disks that are disjoint from  $M^3, {}^0F_I^{\prime 3}, F^{\prime 2}, V^{\prime 2}$ such that

$$W_i^{\prime 2} \cap F_I^{\prime 3} = W_i^{\prime 2} \cap F_I^{\prime 3} = W_i^{\prime 1}$$
 (for all  $i = 1, \dots, r$ ).

We denote  $W_{i}^{\prime 2} - {}^{0}W_{i}^{\prime 1}$  by  $W_{P_{i}}^{\prime 1}$ , and  $\bigcup_{i=1}^{r} W_{i}^{\prime 2}$  by  $W^{\prime 2}$ .

Now we assert: There exists a map  $\vartheta : W'^2 \to H^3$ , with the image  $\vartheta(W'^2) \subset {}^{0}H^3$ denoted by  $W_{\mathscr{B}}^2$ , and with the following properties: (i)  $\vartheta \mid W_i^{\prime 1} = \beta_I \mid W_i^{\prime 1} \text{ and } \vartheta(W_{Pi}^{\prime 1}) = W_{Pi}^1 \text{ (for all } i = 1, \dots, r).$ 

(ii) The only singularities of  $W_{\#}^2$  are pairwise disjoint, normal, double arcs (ii) The only singulations of W is the pairwise aligned, non-tail, abusic lates  $B_1^1, \dots, B_b^1$  (b may be zero) such that each of the two connected components  $B_f^{\prime 1}, B_f^{\prime 1}$  of  $\vartheta^{-1}(B_f^1)$  possesses just one boundary point in  $\bigcup_{i=1}^r {}^0W_i^{\prime 1}$  and otherwise lies in  ${}^0W'^2$  (for all  $f = 1, \dots, b$ ).  $W^2$  intersects the  $P_{\$i}^3$  prismatically. (iii) There exists a neighborhood  $U'^2$  of  ${}^{\cdot}W'^2$  in  $W'^2$  such that  $\vartheta({}^{0}U'^2) \subset {}^{0}T_{\$j}^3$ .

Proof of the assertion. Step 0. Since  $W_{\$i}^{1} + W_{Pi}^{1} \subset {}^{0}H^{3}$  (for all  $i = 1, \dots, r$ ) there exists a map  $\vartheta_{0} : W'^{2} \to H^{3}$  with property (i).

Step 1. As in the proof of Sec. 6, steps 1 to 5, we can derive from  $\vartheta_0$  a map  $\vartheta_I : W'^2 \to H^3$  with properties (i), (ii).

Step 2. We choose pairwise disjoint neighborhoods  $N_1^3, \dots, N_r^3$  of the 1-spheres  $W_{\sharp i}^1 + W_{Pi}^1$  in  $H^3$ , which are small with respect to  $T_{\sharp J}^3 | \vartheta_I(W'^2)$ . The intersection  $N_i^3 \cap \vartheta_I(W'_i^2)$  consists of a 1-sphere  $N_i^1$ , topologically parallel to  $W_{\sharp i}^1 + W_{Pi}^1$ , and of an even number  $n_i$  of meridian circles of  $N_i^3$  each of which pierces  $N_i^1$  in just one point. Now we choose an oriented 1-sphere  $X_i^1$  in  $N_i^3 \cap {}^0T_{\sharp J}^3$ , topologically parallel to  $W_{\sharp i}^1 + W_{Pi}^1$ , and an oriented meridian circle  $Y_i^1$  of  $N_i^3$  that intersects  $X_i^1$  in just one point; we denote the homology classes of  $X_i^1$  and  $Y_i^1$  in  $N_i^3$  by  $\mathfrak{x}_i$  and  $\mathfrak{y}_i$ , respectively. Then the homology class  $\mathfrak{n}_i$ of the properly oriented 1-sphere  $N_i^1$  is  $\mathfrak{n}_i = \mathfrak{x}_i + w_i\mathfrak{y}_i$ .

Now we need the fact that the coefficients  $w_i$  are even numbers. To prove this we show that both  $N_i^1$  and  $X_i^1$  are homologous  $0 \mod 2$  in  $M_*^3 - (W_{\#i}^1 + W_{Pi}^1)$ :

(1)  $N_i^1$  bounds a 2-dimensional polyhedron  $D_i^2 \subset \vartheta_I(W_i^{\prime 2})$  that intersects  $W_{\sharp i}^1 + W_{P_i}^1$  in the even number  $n_i$  of piercing points. From  $D_i^2$  we remove  $n_i$  disks, being the intersections of  $D_i^2$  with a small neighborhood  $U_i^3$  of  $W_{\sharp i}^1 + W_{P_i}^1$  in  $N_i^3$ , and replace them by  $\frac{1}{2}n_i$  annuli in  $U_i^3$  such that we obtain a 2-dimensional polyhedron bounded by  $N_i^1$  and disjoint from  $W_{\sharp i}^1 + W_{P_i}^1$ .

(2)  $(\eta \mid T_J^3)^{-1}(X_i^1)$  is a 1-sphere  $S_i^1 \subset {}^0T_J^3$  and there exists an annulus  $B_i^{*2}$ with boundary curves  $S_i^1$  and  $W_i^1 + W_{P_i}^1$  and with  ${}^0B_i^{*2} \subset {}^0T_J^3$ . On the other hand  $S_i^1$  bounds a surface  $B_i^2$  in  $J^3 - (W_i^1 + W_{P_i}^1)$  as constructed in the proof of Sec. 11 which can be chosen disjoint from  ${}^0B_i^{*2}$ . We can bring about by small deformations the situation in which  $\eta(B_i^2 + B_i^{*2})$  has normal double curves but no branch points (since  $\eta$  is locally one-to-one). Therefore (and since  $\eta \mid B_i^{*2}$  is one-to-one)  $\eta(B_i^2)$  intersects the boundary curve  $W_{\sharp i}^1 + W_{P_i}^1$  of  $\eta(B_i^2 + B_i^{*2})$  in an even number of piercing points. From  $\eta(B_i^2)$  we obtain, as in (1), a 2-polyhedron disjoint from  $W_{\sharp i}^1 + W_{P_i}^1$  with boundary  $X_i^1$ .

If  $w_i \neq 0$  (for some  $i = 1, \dots, r$ ) then we choose a point in  ${}^{0}W_{\#i}^{1}$ , which is no double point of  $\vartheta_I(W'^2)$ , and a neighborhood  $R_i^3$  of this point in  $N_i^3$  which is small with respect to  $\vartheta_I(W'^2) | W_{\#i}^1$ . We denote the disk  $R_i^3 \cap \vartheta_I(W'^2)$  by  $W_{Ri}^2$ . In  ${}^{0}R_i^3$  we choose a disk  $R_i^2$  (see Fig. 8) such that  $R_i^2 \cap W_{\#i}^1$  is one arc  $R_i^1$ , such that  ${}^{0}R_i^2 \cap {}^{0}W_{Ri}^2$  is an open arc one of whose boundary points lies in  ${}^{*}R_i^2 - R_i^1$  and the other one in  $W_{Ri}^1 - R_i^1$ , and such that  $[(W_{Ri}^2 + R_i^2) \cap {}^{0}R_i^3]$ is an unknotted chord in  $R_i^3$ . Then we choose an epi-homeomorphism

$$\lambda_i: R^3_i \to R^3_i$$

with  $\lambda_i | R_i^3 = \text{identity and } \lambda([(W_{Ri}^2 + R_i^2) \cap R_i^3]) = W_{\#i}^1 \cap R_i^3 \text{ and a map}$  $\vartheta_{II} : W'^2 \to H^3$ 

with

and

$$artheta_{II} \mid [W'^2 - artheta_I^{-1}(W^2_{Ri})] = artheta_I \mid [W'^2 - artheta_I^{-1}(W^2_{Ri})]$$
  
 $artheta_{II}(artheta_I^{-1}(W^2_{Ri})) = \lambda_i(W^2_{Ri} + R_i^{-2}).$ 

Now let  $N_{IIi}^3$  be a neighborhood of  $W_{\#i}^1 + W_{Pi}^1$  in  $N_i^3$ , being small with respect to  $\vartheta_{II}(W'^2) \mid T^3_{\#J}$ . Then  ${}^{0}N^3_{IIi} \cap \vartheta_{II}(W'^2)$  consists of a 1-sphere  $N^1_{IIi}$ , topologically parallel to  $W_{\#i}^1 + W_{Pi}^1$ , and of  $n_i + 2$  meridian circles of  $N_{IIi}^3$ . The homology class  $\mathfrak{n}_{IIi}$  of the properly oriented  $N^1_{IIi}$  in  $N^3_i - {}^0N^3_{IIi}$  is

$$\mathfrak{n}_{IIi} = \mathfrak{x}_{IIi} + (w_i \pm 2)\mathfrak{y}_{IIi}$$

with  $\mathfrak{g}_{IIi}$ ,  $\mathfrak{y}_{IIi}$  the homology classes of  $X_i^1$ ,  $Y_i^1$ , respectively, in  $N_i^3 - {}^0N_{IIi}^3$ . The sign in the coefficient  $w_i \pm 2$  depends on the choice of  $R_i^2$  (see Fig. 8). So we can derive by  $\frac{1}{2}\sum_{i=1}^{r} w_i$  operations of the kind described a map

$$\vartheta_*: W'^2 \to H^3$$

such that (under analogous notation) the curve  $N_{*i}^{1}$  is homologous to  $X_{*i}^{1}$  in  $N_i^3 - {}^0N_{*i}^3$  (for all  $i = 1, \dots, r$ ).

If  $w_i = 0$  (for all  $i = 1, \dots, r$ ) then we choose  $\vartheta_* = \vartheta_I$ , etc.

Step 3. From  $\vartheta_*$  we can obtain by deformations (that change  $\vartheta_*(W'^2)$ ) only in the  $N^3_{*i}$ 's) a map  $\vartheta: W'^2 \to H^3$  with the demanded properties (i), (ii), (iii).

13. Deformation over prismatic neighborhoods of the singular disks  $W_{\sharp i}^2$ . The map  $\vartheta$  can be extended to a map  $\tilde{\vartheta}: W'^3 \to H^3$ , with  $\tilde{\vartheta}(W'^3) \subset {}^{0}H^3$  denoted by  $W_{\$}^{3}$ , such that (see Fig. 9) the following hold:

(i)  $W'^3$  may be represented as cartesian product  $W'^2 \times I^1_*$  where  $I^1_*$  means an interval  $-1 \leq x_* \leq 1$ , with  $p \times 0 = p$  for all  $p \in W'^2$ , and  $W'^3$  is disjoint from  $M^3$ ,  $F'^2$ ,  $V'^2$ . We denote the components  $W'^2_i \times I^1_*$  of  $W'^3$  by  $W'^3_i$ . (ii)  $W'^3_i \cap F'^3_i = W'^3_i \cap F'^3_i = W'^1_i \times I^1_*$  with

$$\widetilde{\vartheta} \mid (\cdot W_i^{\prime 3} \cap \cdot F_i^{\prime 3}) \, = \, eta_I \mid (\cdot W_i^{\prime 3} \cap \cdot F_i^{\prime 3}).$$

 $W_{\#}^{3}$  and the  $P_{\#i}^{3}$ 's intersect each other prismatically, i.e.: (iii)

$$\eta^{-1}(W^3_{\star} \cap P^3_{\star}) \ = \ \{[\eta^{-1}(W^3_{\star} \cap P^3_{\star})] \ \cap \ P^2_i\} \ \times \ I^1$$

and

$$\tilde{\vartheta}^{-1}(W^{3}_{\$} \cap P^{3}_{\$i}) = \{ [\tilde{\vartheta}^{-1}(W^{3}_{\$} \cap P^{3}_{\$i})] \cap W^{2} \} \times I^{1}_{\ast}$$

(using the product representations introduced in Sec.8 and in (i), respectively).

(iv) If p is a point of  $W_{\mathscr{S}}^3$ ,  $\vartheta^{-1}(p)$  is either one or two points. The set B of all double points of  $W_{\sharp}^{3}$  is disjoint from the disks  $\tilde{\vartheta}(W_{Pi}^{\prime 1} \times I_{\ast}^{1})$   $(i = 1, \dots, r)$ and is prismatic, i.e.

$$ilde{artheta}^{-1}(B)\,=\,[ ilde{artheta}^{-1}(B)\,\,{\sf n}\,\,W'^2]\, imes\,I^1_*\,,$$

(using the same product representation as in (i)).

We denote the 3-annulus  $F_{I}^{\prime 3} + W^{\prime 3}$  by  $F_{II}^{\prime 3}$  and we define a map

$$\beta_{II}: F_{II}^{\prime 3} \to M^3_*$$

such that  $\beta_{II} \mid F_I^{\prime 3} = \beta_I \mid F_I^{\prime 3}$  and  $\beta_{II} \mid W^{\prime 3} = \tilde{\vartheta}$ .

14. Deformation over the prisms  $P_{*i}^3$ . In  $F_{II}^{\prime 3} - S^{\prime 2}$  there are 2r pairwise disjoint disks  $P_{+i}^{\prime 2}$ ,  $P_{-i}^{\prime 2}$   $(i = 1, \dots, r)$  mapping onto the top and bottom disks of the  $P_{\sharp i}^3$ , i.e. such that  $\beta_{II}(P_{\pm i}^{\prime 2}) = \eta(P_i^2 \times \pm 1)$ . Now we choose r pairwise disjoint 3-cells  $P'_1^3, \dots, P'_r^3$ , disjoint from  $M^3, F'^2, V'^2$ , such that

$$P_i'^3 \cap F_{II}'^3 = P_i'^3 \cap F_{II}'^3 = P_{+i}'^2 + P_{-i}'^2 + (W_{Pi}'^1 \times I_*^1)$$

(being a disk, for all  $i = 1, \dots, r$ ); and we choose epi-homeomorphisms

$$\kappa_i: P_i^{\prime 3} \to P_i^3$$

such that  $\eta_i \cdot \varkappa_i | (\cdot P_i^{\prime 3} \cap \cdot F_{II}^{\prime 3}) = \beta_{II} | (\cdot P_i^{\prime 3} \cap \cdot F_{II}^{\prime 3}).$ 3-annulus  $F_{II}^{\prime 3} + \bigcup_{i=1}^{r} P_i^{\prime 3}$  by  $F^{\prime 3}$  and we define a map Finally we denote the

$$\beta: F'^3 \to M^3_*$$

such that  $\beta \mid F_{II}^{\prime 3} = \beta_{II}$  and  $\beta \mid P_i^{\prime 3} = \eta_i \cdot \kappa_i$ . We denote the handlebody  $H^3 + \bigcup_{j=1}^s A_j^3$  by  $K^3$  and  $\beta^{-1}(K^3 \cap \beta(F'^3))$  by  $K'^3$ . We remark that  $\beta(F'^3 - S'^2) \subset {}^0H^3$  and that

$$\beta \mid (F'^3 - K'^3) : (F'^3 - K'^3) \rightarrow (M^3_* - K^3)$$

is an epi-homeomorphism. Moreover  $\beta$  is locally one-to-one, except on the <sup>8</sup> surfaces  $(O'_{j} \cap P'^{3})$ ; it is locally three-to-one on the arcs  $(O'_{j} \cap P'^{3})$  and locally two-to-one otherwise on  $(O'_{i}^{3} \cap {}^{0}F'^{3})$ .

**15.** Conclusion. There can be found an epi-homeomorphism  $\lambda : M^3 \to M^3$ such that the image  $C_0^1 = \lambda(C^1)$  of the given curve  $C^1$  lies in  ${}^0M_*^3 - K^3$ . Then we choose a knot projection cone  $D'^2 \subset F'^3$  with  $D'^2 = \beta^{-1}(C_0^1)$ . We can choose  $D'^2$  such that  $\beta \mid D'^2$  is locally one-to-one. Further we can bring about by small deformations the situation in which the singularities of the image  $\beta(D^2)$ Then  $D^2 = \lambda^{-1}(\beta(D'^2))$  possesses the demanded properties. are normal. This proves Theorem 2.

We choose two disjoint 3-cells  $C'^3$ ,  $C''^3$  with

$$C'^{3} \cap F'^{3} = S'^{2} = C'^{3}, \qquad C''^{3} \cap F'^{3} = F'^{3} - S'^{2} = C''^{3},$$

an epi-homeomorphism

$$\beta': C'^3 \to C^3$$

with  $\beta' \mid {S'}^2 = \beta \mid {S'}^2$ , and a map

$$\beta'': C''^3 \to H^3$$

with  $\beta'' \mid (F'^3 - S'^2) = \beta \mid (F'^3 - S'^2)$ . Then  $F'^3 + C'^3 + C''^3$  is a 3-sphere  $S^3$  and the map  $\gamma : S^3 \to M^3$ , composed of  $\beta, \beta', \beta''$ , has the demanded properties. This proves Theorem 1.

## II. Proof of Theorem 3

We bring about (by isotopic deformations) the situation in which the 2-sphere  $J^3 = \beta_0(F_0^{\prime 2})$  (see Sec. 8) is equal to the image  $F_0^2 = \alpha_0(F^{\prime 2})$  under the given embedding  $\alpha_0$ . We denote the 2-spheres

 $F_{I}^{\prime 3} - S^{\prime 2}, \quad F_{II}^{\prime 3} - S^{\prime 2}, \quad F^{\prime 3} - S^{\prime 2}$ 

by  $F_{I}^{\prime 2}$ ,  $F_{II}^{\prime 2}$ ,  $F_{III}^{\prime 2}$ , respectively, and we choose epi-homeomorphisms  $\mu_0$ ,  $\mu_I$ ,  $\mu_{II}$ ,  $\mu_{II}$  of  $F^{\prime 2}$  onto  $F_0^{\prime 2}$ ,  $F_I^{\prime 2}$ ,  $F_{II}^{\prime 2}$ ,  $F_{III}^{\prime 2}$ , respectively, such that  $\alpha_0 = (\beta_0 | F_0^{\prime 2}) \cdot \mu_0$  and

 $\mu_{[i]}^{-1} \mid (F_{[i]}^{\prime 2} \cap F_{[i-1]}^{\prime 2}) = \mu_{[i-1]}^{-1} \mid (F_{[i]}^{\prime 2} \cap F_{[i-1]}^{\prime 2}) \quad (\text{for } [i] = I, II, III)$ 

We denote the maps

 $(\beta_I \mid F_I^{\prime 2}) \cdot \mu_I, \qquad (\beta_{II} \mid F_{II}^{\prime 2}) \cdot \mu_{II}, \qquad (\beta \mid F_{III}^{\prime 2}) \cdot \mu_{III},$ 

defining singular 2-spheres in  $M_*^3$ , by  $\alpha_I$ ,  $\alpha_{II}$ ,  $\alpha_3$ , respectively. Now  $\alpha_3$  fulfills already the condition (b) of Theorem 3, and it remains to show that the deformation from  $\alpha_0$  to  $\alpha_3$ , which may be derived from the proof of Theorem 1, 2, can be decomposed into a sequence of elementary deformations, according to condition (a).

16. Decomposing the deformations in the  $A_j^3$ 's. The deformation from  $\alpha_0$  to  $\alpha_I$ , changing the 2-sphere  $F_0^2$  in the  $A_j^3$ 's (see Sec. 9), can be decomposed into a sequence of  $\sum_{j=1}^{s} t_j \cdot (u_j + 2v_j)$  elementary deformations of type 1a, intermixed with nonessential deformations, (see Fig. 5).

We denote the connected components of the (prismatic) intersections

$$\eta(K_{jk}^3) \cap L_{jl}^3$$
  $(j = 1, \dots, s; k = 1, \dots, t_j; l = 1, \dots, u_j)$ 

under current enumeration by  $C_1^3$ ,  $\cdots$ ,  $C_c^3$  and the connected components of

$$\eta(K_{jk}^3) \cap N_{jm}^3 \qquad (m = 1, \cdots, v_j)$$

by  $D_1^3, \dots, D_d^3$ . Further we denote that connected component of  $\eta^{-1}(C_g^3)$  $(g = 1, \dots, c)$  that is different from  $C_g^3$  by  $C_g'^3$ , and that connected component of  $\eta^{-1}(D_h^3)$   $(h = 1, \dots, d)$  that is different from  $D_h^3$  by  $D_h'^3$ . Finally we denote the intersections of the  $C_g^3, C_g'^3, D_h^3, D_h'^3$ 's with the  $P_i^2$ 's (see Fig. 11a) by  $C_g^2, C_g'^2,$  $D_h^2, D_h'^2$ , respectively, and the intersections of the  $K_{jk}^3, L_{jl}^3$ 's with the  $P_i^2$ 's by  $K_{Pjk}^2, L_{Pjl}^2$ , respectively.

17. Decomposing the deformations over  $W_{\mathscr{F}}^3$ . We can bring about by small deformations the situation in which the singular discs  $W_{\mathscr{F}_i}^2$  and their prismatic neighbourhood  $W_{\mathscr{F}_i}^3$  (as constructed in Secs. 11, 12, 13) are in a "normal position" with respect to the singular 2-sphere  $F_I^2 = \alpha_I(F'^2)$  and to the singular disks  $P_{\mathscr{F}_i}^2$ , etc., i.e. such that the following conditions hold:

(i)  $F_I^2$ ,  $H^3$ , the  $A_J^3$ 's, and the  $P_{\#i}^2$ 's intersect  $W_{\#}^3$  prismatically with respect to the product representation introduced in Sec. 13.

We denote  $\tilde{\vartheta}(\vartheta^{-1}(p_i) \times I^1_*)$  by  $P^1_i$  (Fig. 9).

(ii)  $\eta^{-1}(W_{\$}^2 \cap P_{\$}^2)$   $(i = 1, \dots, r)$  is disjoint from those connected components of  $K_{Pjk}^2 \cap \eta^{-1}(H^3 \cap P_{\$j}^3)$  and  $L_{Pjl}^2 \cap \eta^{-1}(H^3 \cap P_{\$j}^3)$   $(j = 1, \dots, s;$ 

 $k = 1, \dots, t_j; l = 1, \dots, u_j$  that contain the arcs  $K_{Pjk}^2 \cap P_i^2, L_{Pjl}^2 \cap P_i^2$ respectively, in their boundaries (see Fig. 11a).

Now we carry out the deformation of  $\alpha_I$  into  $\alpha_{II}$  in three steps:

Step 1. Let  $B'^{3}_{f}(f = 1, \dots, b)$  (see Fig. 10) be that connected component of  $\tilde{\vartheta}^{-1}(B^3)$  that contains  $B'_f$ . We choose pairwise disjoint neighborhoods  $B'_{*f}^3$ of the  $B'^{3}$ , s in  $W'^{3}$ , which are small with respect to  $\tilde{\vartheta}^{-1}(F_{I}^{2} \cap W_{\mathscr{K}}^{3})| \tilde{\vartheta}^{-1}(B^{3})$  and which are cartesian products in the product representation introduced in Sec. 13. Now we deform  $F_I^2$  over the 3-cells  $\tilde{\vartheta}(\hat{B}_{*f}^{\prime 3})$  which can be done by a sequence of elementary deformations of type 1a. We denote the map so obtained from  $\alpha_I$  by  $\alpha_{I*}$  and  $(W'^2 - \bigcup_{f=1}^b \dot{B}_{*f}^{\prime 3})$  by  $W_{*}^{\prime 2}$ . Now we have to deform  $F_{I*}^2 = \alpha_{I*}(F'^2)$  over the remaining nonsingular 3-cells  $\tilde{\vartheta}(W_*'^2 \times I_*^1)$ .

Step 2. In  $W_{*}^{\prime 2}$  we choose pairwise disjoint arcs  $X_{1}^{1}, \dots, X_{x}^{1}$  (see Fig. 10) with  ${}^{\hat{0}}X_m^1 \subset {}^{0}W_*^{\prime 2}$  that join points of

$$W_{*}^{\prime 2} - \bigcup_{i=1}^{r} W_{Pi}^{\prime 1}$$

to points of

$$\vartheta^{-1}(F_{I^{*}}^{2} \cap W_{\#}^{2}) \cap {}^{0}W_{*}^{\prime 2}$$

such that

every double point of  $\vartheta^{-1}(F_{I*}^2 \cap W_{*}^2) \cap {}^0W_{*}^{\prime 2}$  is end point of one arc  $X_m^1$ , (a)

(b) every connected component of  $\vartheta^{-1}(F_{I*}^2 \cap W_{*}^2) \cap W_{*}^{\prime 2}$  contains at least one end point of an arc  $X_m^1$ ,

(c) the  $X_m^1$ 's intersect  $\vartheta^{-1}(F_{I*}^2 \cap W_{*}^2) \cap W_{*}^{\prime 2}$  in isolated piercing points that are no double points of  $\vartheta^{-1}(F_{I*}^2 \cap W_{*}^2) \cap {}^{0}W_{*}^{\prime 2}$ , (d) the points  $\vartheta(X_{m}^1 \cap W_{*}^{\prime 2})$  are no double points of  $F_{I*}^2$ .

Now we choose pairwise disjoint neighborhoods  $X_m^2$  of the  $X_m^1$ 's in  $W_*^{\prime 2}$ , which are small with respect to  $\vartheta^{-1}(F_{I*}^2 \cap W_{*}^2)$ . Then we deform  $F_{I*}^2$  over the 3-cells  $\tilde{\vartheta}(X_m^2 \times I_*^1)$  which can be done by a sequence of elementary deformations of type 1a and 1b. According to the notation used in Theorem 3 we denote the map so obtained from  $\alpha_{I*}$  by  $\alpha_1$  and  $\alpha_1(F'^2)$  by  $F_1^2$ . Further we denote  $(W'_*^2 - \bigcup_{m=1}^x X_m^2)$  by  $W'_{**}^2$ .

Step 3. Finally we deform  $F_1^2$  over the remaining 3-cells  $\tilde{\vartheta}(W_{**}^{\prime 2} \times I_*^1)$ . This can be done by a sequence of elementary deformations of type 2 (and may be nonessential deformations) since the curves  $\vartheta^{-1}(F_1^2 \cap W_{*}^2) \cap W_{**}^{\prime 2}$  are nonsingular, pairwise disjoint, open arcs with boundary points in

$$W_{**}^{\prime 2} - \bigcup_{i=1}^{r} W_{Pi}^{\prime 1}$$
.

By this we obtain from  $\alpha_1$  the map  $\alpha_{II}$ .

18. Decomposing the deformations over the  $P_{\Re i}^3$ 's. We carry out the deformation of  $\alpha_{II}$  into  $\alpha_3$  in four steps (see Fig. 11).

Step 1. Let  $Q_i^1$  be a neighborhood of a point  $\epsilon P_i^1$  in  $P_i^2 - {}^0P_i^1$  which is small

with respect to  $\eta^{-1}(F_{II}^2 \cap P_{\$i}^2)$  and let  $Y_i^1 = P_i^2 - {}^0Q_i^1$ . Further we choose a neighborhood  $Y_i^2$  of  $Y_i^1$  in  $P_i^2$ , which is small with respect to

$$\eta^{-1}(H^3 \cap P^2_{\$i}) | \eta^{-1}(F^2_{II} \cap P^2_{\$i}) | \bigcup_{j,k=1}^{s,tj} K^2_{Pjk}$$

and intersecting the disks  $C_g^2$ ,  $C_g^{\prime 2}$ ,  $D_h^{\prime 2}$  prismatically, i.e. such that

$$\eta^{-1}(\eta(\boldsymbol{Y}_i^2\times\boldsymbol{I}^1))\,=\,[\eta^{-1}(\eta(\boldsymbol{Y}_i^2\times\boldsymbol{I}^1))\,\,\mathbf{n}\,P_i^2]\,\,\mathbf{X}\,\boldsymbol{I}$$

(using the product representation introduced in Sec. 8). Then we deform  $F_{II}^2$  over the 3-cells  $\eta(Y_1^2 \times I^1)$  which can be done by a sequence of elementary deformations of type 2 (and may be nonessential deformations). We denote the map so obtained from  $\alpha_{II}$  by  $\alpha_{II*}$ , and  $\alpha_{II*}(F'^2)$  by  $F_{II*}^2$ , further  $(P_i^2 - Y_i^2)$  by  $P_{*i}^2$  (see Fig. 11b), the image  $\eta(P_{*i}^2)$  by  $P_{\#i}^2$ , and the intersections of  $K_{Pjk}^2$ ,  $L_{Pjl}^2$  with the  $P_{*i}^2$ 's by  $K_{*jk}^2$ ,  $L_{*jl}^2$ , respectively. Further we denote the set of double points of

$$\eta(\bigcup_{i=1}^r P_{*i}^2 \times I^1)$$

by  $D_*$  and the connected components of

$$\eta^{-1}(D_{st})$$
 n  $igcup_{i=1}^r P_{st i}^2$ 

by  $C_{*g}^2$ ,  $C_{*g}'^2$ ,  $D_{*h}^2$ ,  $D_{*h}'^2$  such that  $C_{*g}^2 \subset C_g^2$ ,  $C_{*g}'^2 \subset C_g'^2$ ,  $D_{*h}^2 \subset D_h^2$ ,  $D_{*h}'^2 \subset D_h'$ ,  $(g = 1, \dots, c; h = 1, \dots, d)$ .

Step 2. We choose pairwise disjoint arcs  $Y_{i_1}^1, \dots, Y_{i_{y_1}}^1$  (see Fig. 11b) in  $P_{*i}^*$  with  ${}^{0}Y_{i_f}^1 \subset {}^{0}P_{*i}^2$  ( $f = 1, \dots, y_i$ ) that join points of  $Y_1^2$  to points in  ${}^{0}P_{*i}^2 - \eta^{-1}(F_{II*}^2 \cap P_{*i}^2)$ , and we choose pairwise disjoint neighborhoods  $Y_{i_f}^2$  of the  $Y_{i_f}^1$ 's in  $P_{*i}^2$ , which are small with respect to  $\eta^{-1}(F_{II*}^2 \cap P_{*i}^2) | \bigcup_{j,k=1}^{s,t} K_{*jk}^2$  such that, with the notation  $P_{**i}^2 = (P_{*i}^2 - \bigcup_{j=1}^{y} Y_{i_f}^2)$ , the following hold:

(i) The arcs  $Y_{if}^1$  intersect the curves  $\lceil \eta^{-1}(F_{II*}^2 \cap P_{**i}^2) \cap {}^0P_{*i}^2 \rceil$  in isolated piercing points that are no double points (and no boundary points) of that curves.

(ii) The arcs  $Y_{ij}^1$  are disjoint from the disks  $C_{*g}^2$ ,  $C_{*g}'^2$ ,  $D_{*h}^2$   $(g = 1, \dots, c; h = 1, \dots, d)$  and from the arcs  $(K_{*jk}^2 \cap P_{*i}^2)(j = 1, \dots, s; k = 1, \dots, t_j)$  and intersect the disks  $D_{*h}'^2$  prismatically, i.e. such that

$$\eta(Y_{if}^{1} \cap D_{*h}^{\prime 2}) = [\eta(Y_{if}^{1} \cap D_{*h}^{\prime 2}) \cap D_{*h}^{2}] \times I^{1}$$

using the product representation introduced in Sec. 8. The  $Y_{if}^2$ 's intersect the  $D_{*h}^{\prime 2}$ 's also prismatically.

(iii) If  $Z^1$  is a connected component of  $[\eta^{-1}(F_{II*}^2 \cap P_{**i}^2) \cap {}^0P_{**i}^2]$  then one of the following cases holds (see Fig. 12):

case a.  $Z^1$  is an arc (that is either disjoint from the disks  $C^2_{*g}$ ,  $C'^2_{*g}$ ,  $D^2_{*h}$ ,  $D'^2_{*h}$  or lies in the boundary of one disk  $C^2_{*g}$ ,  $C'^2_{*g}$ , or  $D'^2_{*h}$ ).

case b.  $Z^1$  consists of two arcs, piercing each other in one point, and is disjoint from the disks  $C^2_{*g}$ ,  $C'^2_{*g}$ ,  $D^2_{*h}$ ,  $D'^2_{*h}$ .

case c.  $Z^1$  consists of two arcs  $Z_1^1$ ,  $Z_2^1$  lying in the boundary of one disk  $D_{*h}^{\prime 2}$ , and of one arc  $Z_3^1$  that pierces  $Z_1^1$  and  $Z_2^1$  each in one point.

case d.  $Z^1$  consists of the boundary of one disk  $D^2_{*h}$  and of an arbitrary number of pairwise disjoint arcs that intersect  $D^2_{*h}$  each in one arc (and  $D^2_{*h}$  each in two points).

Then we deform  $F_{II*}^2$  over the 3-cells  $\eta(Y_{if}^2 \times I^1)$   $(i = 1, \dots, r; f = 1, \dots, y_i)$  which can be done by a sequence of elementary deformations of type 2 (and may be nonessential deformations). According to the notation used in Theorem 3 we denote the map so obtained from  $\alpha_{II*}$  by  $\alpha_2$  and  $\alpha_2(F'^2)$  by  $F_2^2$ . Further we denote the intersections of the disks  $K_{*jk}^2$  with the  $P_{**i}^2$ 's by  $K_{**jk}^2$ .

Step 3. Now we deform  $F_2^2$  over the 3-cells  $\eta(K_{**jk}^2 \times I^1)$   $(j = 1, \dots, s;$  $k = 1, \dots, t_j)$  which can be done by a sequence of elementary deformations of type 3a and 3b and nonessential deformations. We denote the map so obtained from  $\alpha_2$  by  $\alpha_{2*}$  and  $\alpha_{2*}(F'^2)$  by  $F_{2*}^2$ .

Step 4. The remaining parts  $\eta([P_{**i}^2 - \bigcup_{j,k=1}^{s,t_j} K_{**jk}^2] \times I^1)$  of the  $P_{*i}^3$  are nonsingular 3-cells, and we can deform  $F_{2*}^2$  over them by a sequence of elementary deformations of type 3a and 3b (and may be nonessential deformations). By this we obtain from  $\alpha_{2*}$  the map  $\alpha_3$ .

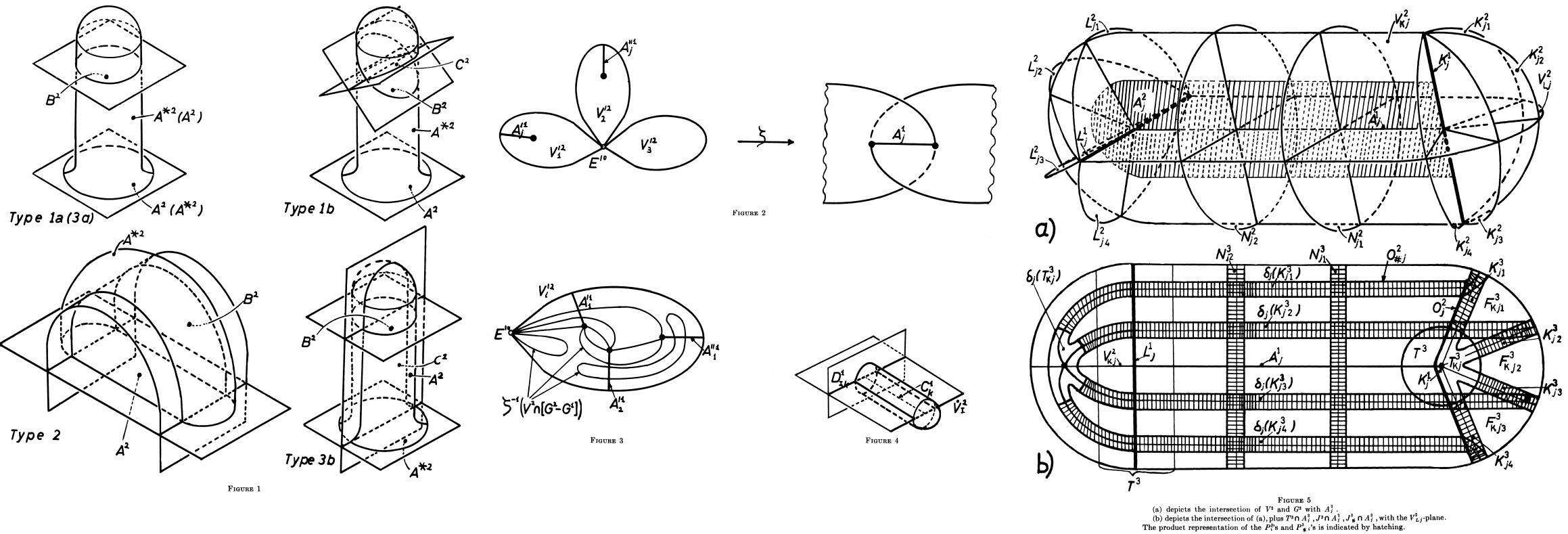
19. Conclusion. The maps  $\alpha_1$  and  $\alpha_2$ , as obtained in Sec. 17, Step 2, and Sec. 18, Step 2, respectively, and the map  $\alpha_3$  possess the demanded properties, and Theorem 3 is proved.

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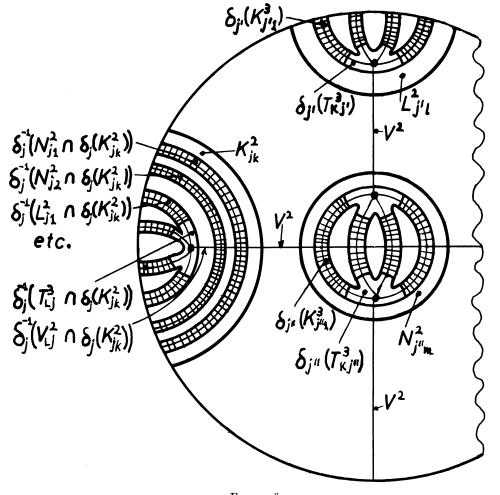
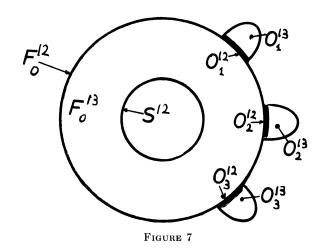


FIGURE 6

Intersections of  $K_{jk}^2$ ,  $L_{i'l}^2$ ,  $N_{i''m}^2$   $(j, j', j'' = 1, \dots, s; k = 1, \dots, t_j; l = 1, \dots, u_{j'}; m = 1, \dots, v_{j''})$ , etc. with  $P_i^2$ .



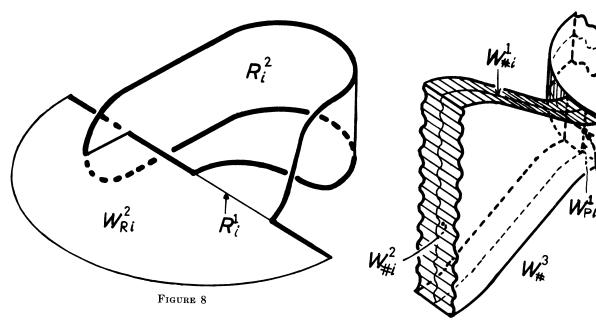


FIGURE 9

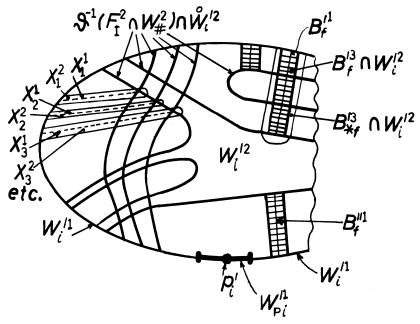


FIGURE 10

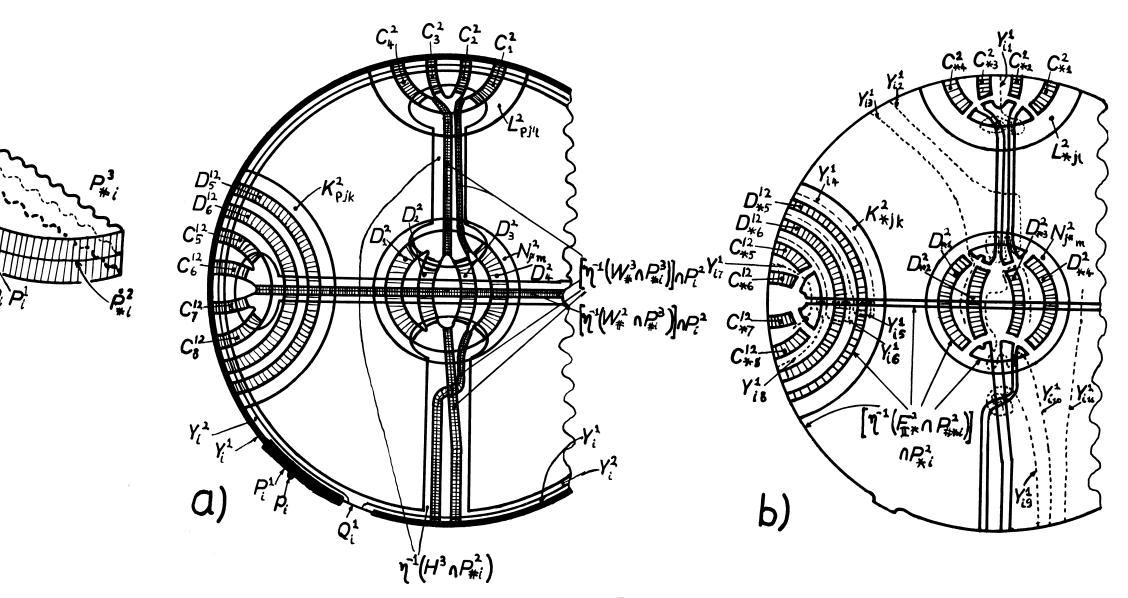


FIGURE 11 Compare with Figure 6. (a) depicts  $P_i^2$ . (b) depicts  $P_{\bullet_i}^2$ .

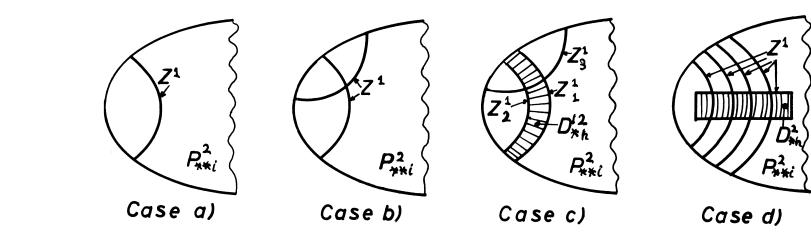


FIGURE 12