# FINITE SIMPLE GROUPS OF 2-RANK 3 WITH ALL 2-LOCAL SUBGROUPS 2-CONSTRAINED 

BY<br>Michael E. O'Nan<br>Introduction

In this paper we obtain the following:
Theorem. Let $G$ be a finite simple group of 2-rank 3 in which all 2-local subgroups are 2-constrained. Then $G$ is isomorphic to one of the groups $L_{2}(8), U_{3}(8)$, $S z(8)$, or $G_{2}(3)$.

Here to say that $G$ is of 2-rank 3 means that $G$ has an elementary abelian subgroup of order 8 but none of order 16. Alperin, Brauer, and Gorenstein have determined all simple groups of 2-rank 2.

We note also that Stroth has recently obtained this same result using a different method. In addition, Stroth has determined all finite groups of 2-rank 3 in which some 2-local subgroup is not 2-constrained.

The proof of this theorem is possibly more interesting than its statement. One way to prove the theorem is to use a recent theorem of Gorenstein and Lyons [8], to conclude that either $G$ is known, or $G$ possesses a nonsolvable 2-local subgroup $H$. Set $\bar{H}=H / O(H)$. If 7 divides the order of $\bar{H}$, then a theorem of Alperin yields the structure of $\bar{H}$. Other results then identify $G$. If 7 does not divide the order of $\bar{H}$, it is possible to show that $\bar{H} / O_{2}(\bar{H})$ is a subgroup of the automorphism group of $A_{5}$ or $A_{6}$, and that $O_{2}(\bar{H})$ is of restricted type. We do not employ this procedure. Rather we prove analogues of Glauberman's $Z J$-theorem. Essentially we prove four propositions which guarantee that $G$ has exactly two conjugacy classes of maximal 2-local subgroups. These are:

Proposition 1. Let $H$ be a 2-constrained group of 2 -rank 3 with $O(H)=1$. Suppose that 7 divides the order of $H$. Then, either
(1) $\mathrm{O}_{2}(\mathrm{H})$ is an abelian group or a Suzuki 2-group and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is of odd order, or
(2) $\mathrm{O}_{2}(\mathrm{H})$ is homocyclic abelian of rank 3, and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is isomorphic to $L_{3}(2)$.

As stated above, known results will identify $G$ if $H$ is a 2-local subgroup of $G$. When 7 divides the order of no 2-local of $G$, we wish to obtain a contradiction. The tools of this attempt are the following three propositions.

[^0]Proposition 2. Let $H$ be a 2-constrained group with $O(H)=1$ and $m_{2}(H)$ at most 3. Suppose that 5 divides the order of $H$. Let $S$ be a Sylow 2-subgroup of $H$. Then either
(1) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$, or
(2) $\mathrm{O}_{2}(\mathrm{H})$ is a Sylow 2-subgroup of $U_{3}(4)$, and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is a split extension of $Z_{15}$ by a cyclic group of order dividing 4.

Proposition 3. Let $H$ be a 2-constrained group of 2-rank 3 with $O(H)=1$. Let $S$ be a Sylow 2-subgroup of $H$. Suppose that $3^{2}$ divides the order of $H$. Then, either
(1) $\mathrm{O}_{2}(\mathrm{H})$ is a Suzuki 2-group, and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ has odd order, or
(2) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$.

Proposition 4. Let $H$ be a 2-constrained group of 2-rank 3 and suppose that $O(H)=1$. Suppose that $H$ has order $2^{a} 3$, and let $S$ be a Sylow 2-subgroup of $H$. Then either
(1) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$, or
(2) $A_{4} \subseteq H \subseteq S_{4} \times Z_{2}$, or
(3) $S$ has a unique normal fours subgroup $V$ and, moreover, $V$ is normal in $H$.

After this the result follows fairly easily. Interestingly, no fusion analysis is used.

## Section 1

In this section we obtain some structural results about 2-constrained groups of 2 -rank 3. We begin by limiting the prime factors of their orders. The first several lemmas serve to bound the 2-rank of certain sections of 2-groups of 2-rank 3.

Lemma 1.1. Let $Q$ be a 2-group having an elementary abelian subgroup $A$ of order $2^{2}$ such that $A$ is contained in $Z(Q)$ and $Q / A$ is elementary abelian of order $2^{2 k+1}$ with $k$ an integer. Then:
(1) $Q$ has an abelian subgroup $B$ of order $2^{3}$ such that $B$ contains $A$ and $C_{Q}(B)$ is of index at most 2 in $Q$.
(2) $Q$ has an abelian subgroup of order $2^{k+3}$.
(3) $m(Q)$ is at least $k+1$.

Proof. For (1), take $j$ in $A, j \neq 1$. Set $\bar{Q}=Q /\langle j\rangle$ and $\bar{A}=A /\langle j\rangle$. As $\bar{Q} / \bar{A}$ has order $2^{2 k+1}$, the classification of extra-special groups implies that $Z(\bar{Q} / \bar{A})$ properly contains $\bar{A}$. Thus, there is an element $t$ in $Q-A$ such that if $g$ is in $Q, t^{g}=t$ or $t j$. Then, with $B=\langle A, t\rangle$, (1) follows.

For (2), we use induction. The result is clear if $k=0$. Otherwise, take $B$ as
in (1). Then, there is a subgroup $Q_{1}$ of $Q$ with $B$ in $Z\left(Q_{1}\right)$ and $Q_{1} / A$ of order $2^{2 k}$. In $\bar{Q}_{1}=Q_{1} / A$, take $\bar{T}_{1}$ a complement to $\bar{B}$ and take $T_{1}$ the preimage of $\bar{T}_{1}$ in $Q_{1}$. Then, $T_{1} / A$ has order $2^{2 k-1}$. By induction, $T_{1}$ has an abelian subgroup $S$ of order $2^{k+2}$. Then, $S B$ is abelian of order $2^{k+3}$, and (2) follows. Thus, $S B / A$ is elementary abelian of rank $k+1$, and it follows that $m(S B)$ and $m(Q)$ are at least $k+1$. Thus, (3) follows.

Lemma 1.2. Let $Q$ be a 2-group with $m(Q) \leq 3$. Suppose that there is a subgroup $A$ of $Z(Q)$ with $A$ and $Q / A$ elementary abelian. Then, $Q / A$ has order at most $2^{6}$.

Proof. If $A$ has order $2^{3}$, this follows by Lemma 2.2 of [13]. If $A$ has order $2^{2}$, the lemma follows from Lemma 1.1. If $A$ is of order 2 , it results from the classification of extra-special 2-groups.

If $Q$ is a 2-group having a subgroup $A$ in its center such that $A$ and $Q / A$ are elementary abelian, we define a mapping $q: Q / A \rightarrow A$ as follows: if $x$ is in $Q$, $q(x A)=x^{2}$. Since $A$ is central and elementary abelian, $q$ is well defined. $q$ is called the squaring map. It is well known that $q$ determines the structure of $Q$. Since $(x y)^{2}=x^{2} y^{2}[x, y]$, the function $b(x, y)$ from $Q / A \times Q / A$ into $A$, defined by $b(x, y)=q(x)+q(y)+q(x+y)$, is bilinear.

Lemma 1.3. Let $Q$ be a 2-group with $A$ central in $Q$ and $A$ and $Q / A$ elementary abelian. Let $q$ be the squaring map from $Q / A$ into $A$. Let $B$ be a subgroup of $Q / A$ having order at least $2^{3}$. Then $\sum_{x \in B} q(x)=0$.

Proof. If $B$ has order $2^{3}$, this follows immediately from, and indeed is equivalent to, the statement that $b(x, y)$ is bilinear. If $B$ is of larger order, take $B_{0}$ of index 4 in $B$. Let $B_{1}, B_{2}$, and $B_{3}$ be the subgroups of index 2 in $B$ which contain $B_{0}$. Then by induction,

$$
\sum_{x \in B_{1}} q(x)+\sum_{x \in B_{2}} q(x)+\sum_{x \in B_{3}} q(x)=0 .
$$

But $\sum_{x \in B_{i}} q(x)=\sum_{x \in B_{0}} q(x)+\sum_{x \in B_{i}-B_{0}} q(x)$, and the result follows by substituting.

Lemma 1.4. Let $P$ be a 2-group and $f$ an automorphism of $P$ of odd prime order $p$. Let $T$ be a minimal f-invariant subgroup of $P$ on which $f$ acts nontrivially.
(1) If the smallest nonzero positive integer $k$ such that $p$ divides $2^{k}-1$ is odd, then $T$ is elementary abelian of rank $k$.
(2) If $p=3, T$ is elementary abelian of order $2^{2}$ or $Q_{8}$.
(3) If $p=5, T$ is elementary abelian of order $2^{4}, Q_{8} * D_{8}$, or is isomorphic to a Sylow 2-subgroup of $U_{3}(4)$.

Proof. First suppose that $T$ is elementary abelian. Let $k$ be the smallest nonzero positive integer such that $p$ divides $2^{k}-1$. Then it is well known and, in any case, follows easily from Schur's lemma [6, p. 76] that $T$ has order $2^{k}$.

Next suppose that $T$ is not elementary abelian. Then, $T$ is special by [6, p.

183], and $f$ acts irreducibly of $T / Z(T)$ and centralizes $Z(T)$. By the first paragraph $T / Z(T)$ has order $2^{k}$. Moreover, if $B$ is of index 2 in $Z(T), T / B$ is extraspecial. As $Z(T) \neq 1$, it follows that $k$ is even. Thus, (1) follows.

If $p=3$, then $\langle f\rangle$ is transitive on $(T / Z(T))^{\#}$ and centralizes $Z(T)$. Thus, all elements of $T$ have the same square $j$ in $T$. Thus, $T /\langle j\rangle$ is elementary abelian. Since $T$ is special, $Z(T)=\langle j\rangle$.

If $p=5$, then $\langle f\rangle$ has 3 orbits on $(T / Z(T))^{\#}$. Therefore, at most 3 elements of $Z(T)$ are squares in $T$. In addition, by Lemma 1.3 , if exactly 3 elements of $Z(T)$ are squares in $T$, the sum of the 3 elements is 0 . Thus, there is a subgroup $V$ of $Z(T)$ such that $V$ has order at most 4 and $V$ contains the squares of all elements of $T$. Thus, $T / V$ is elementary abelian, and so $V=Z(T)$. Then, by [7, Lemma 3.9], (3) follows.

Lemma 1.5. Let $C$ be a critical subgroup of a 2-group $P$. Set $A=[C, C]$ and let $C_{0}$ be the preimage of $\Omega_{1}(C / A)$. Then:
(1) $A$ and $C_{0}$ are characteristic in $P$.
(2) $A$ and $C_{0} / A$ are elementary abelian.
(3) $A$ is contained in $Z(P)$.
(4) If $f$ is an automorphism of $P$ of odd order and $f$ centralizes $C_{0}, f=1$.
(5) Letf be an automorphism of $P$ of odd prime order $p$. Let $k$ be the smallest positive integer such that $p$ divides $2^{k}-1$. Suppose that $k$ is odd and $f$ centralizes $A$. Then, $C_{0}$ has rank at least $m(A)+k$.

Proof. (1) and (2) are immediate from the properties of the critical subgroup [6, p. 185]. By that reference, $[P, C] \subseteq Z(C)$. Thus, if $x \in P$, and $y, z \in C$, $y^{x}=y a$ and $z^{x}=z b$, with $a, b \in Z(C)$. Therefore, $[y, z]^{x}=\left[y^{x}, z^{x}\right]=$ $[y a, z b]=[y, z]$. Thus, (3) follows. (4) follows from the properties of the critical subgroup and $[6$, p. 178]. To prove (5), let $B$ be a minimal $f$-invariant subgroup of $C_{0}$ on which $f$ acts nontrivially. By Lemma 1.4, $B$ is elementary abelian of rank $k$. Since $f$ centralizes $A \subseteq Z(P), A B$ is elementary abelian of $\operatorname{rank} m(A)+k$.

Lemma 1.6. Let $P$ be a 2-group of rank at most 3. Then, the odd part of the order of Aut $(P)$ divides $3^{4} \cdot 5 \cdot 7$.

Proof. Take $C, C_{0}$, and $A$ as in Lemma 1.5. By Lemma 1.2, $C_{0} / A$ has order at most $2^{6}$. From the order of $L_{6}(2)$, the lemma follows providing we eliminate the possibilities that $7^{2}$ or 31 divides the order of Aut $(P)$. In the latter case, however, an element $f$ of order 7 or 31 centralizes $A$, contrary to Lemma 1.5 (5) and $m(P) \leq 3$.

We shall next obtain some fairly precise structural information for 2 constrained groups of 2-rank 3.

Proposition 1. Let $H$ be a 2-constrained group of 2-rank 3 with $O(H)=1$. Suppose that 7 divides the order of $H$. Then, either
(1) $\mathrm{O}_{2}(\mathrm{H})$ is an abelian group of a Suzuki 2-group, and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is of odd order, or
(2) $\mathrm{O}_{2}(\mathrm{H})$ is homocyclic abelian of rank 3, and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is isomorphic to $L_{3}(2)$.

Proof. Set $P=O_{2}(H)$. Take $f$ in $H$ of order 7. Since $H$ is 2-constrained, $f$ does not centralize $P$. First we show that $f$ does not centralize $Z(P)$. Indeed, suppose that $f$ centralizes $Z(P)$. Let $B$ be a minimal $f$-invariant subgroup of $P$ on which $f$ acts nontrivially. By Lemma $1.4, B$ is elementary abelian of order 8 , and by choice $B \cap Z(P)=1$. Thus, $B \cdot Z(P)$ has rank at least 4 , a contradiction.

Set $A=\Omega_{1}(Z(P))$. By the above $|A| \geq 8$. Since $m(P)=3, A$ contains all involutions of $P$, and $P$ is a Suzuki 2 -group or is abelian. By a result of Higman [11], in the first case $P$ has order $2^{6}$ or $2^{9}$.

Next we show that $P$ is a Sylow 2 -subgroup of $C_{H}(A)$.
If $P$ is a Suzuki 2 -group of order $2^{6}$, then the squaring map from $P / A$ into $A$ is one-one. Thus, if $d \in C_{H}(A), d$ centralizes $P / A$. By Burnside's theorem, it follows that $C_{H}(A)$ is a 2-group. Thus, $C_{H}(A) \subseteq P=O_{2}(H)$. A similar proof is valid when $P$ is abelian. Lastly, suppose that $P$ is a Suzuki 2-group of order $2^{9}$. By Lemma 1.6, 7 does not divide the order of $C_{H}(A)$. Thus, by the SchurZassenhaus theorem, $f$ normalizes some Sylow 2-subgroup $S$ of $C_{H}(A)$. Since $m(S)=3, A$ contains all involutions of $S$. Thus, it follows that $S$ is a Suzuki 2-group. Since $S$ has order at most $2^{9}, S=P$.

Now $H / C_{H}(A)$ is some subgroup of $L_{3}(2)$ of order divisible by 7. If $H / C_{H}(A)$ is of even order, it follows that $H / C_{H}(A)$ is isomorphic to $L_{3}(2)$. Then, (2) follows by a theorem of Alperin [1]. Suppose then that $H / C_{H}(A)$ is of odd order. Then, since $P$ is a Sylow 2-subgroup of $C_{H}(A), H / P$ is of odd order, and (1) follows.

Next we obtain analogous results for 2-constrained groups of order divisible by 3 or 5 . In the following if $Q$ is a 2 -group, Aut* $(Q)$ will denote the group Aut $(Q) / O_{2}$ (Aut $\left.(Q)\right)$. Consequently, if $H$ is a 2 -constrained group with $O(H)=1$ and $Q$ is a normal and self-centralizing 2-subgroup of $H$, then $H / O_{2}(H)$ is a section of Aut* $(Q)$.

Lemma 1.7. Let $Q$ be a 2-group with $m(Q) \leq 3$. Suppose that $Q / Z(Q)$ is elementary abelian. Set $A=\Omega_{1}(Z(Q))$.

Suppose that $Q$ admits an automorphism $f$ of order 5 and an automorphism $g$ of odd order which does not centralize $A$. Take $T=[Q, f]$ and $R=C_{Q}(f)$. Then:
(1) $Q=T R$ and $[T, R]=1$.
(2) $T$ is isomorphic to a Sylow 2-subgroup of $U_{3}(4)$.
(3) $T \cap R=Z(T)=[T, T]$.
(4) Aut* (Q) has abelian Sylow 2-subgroups.
(5) Either
(a) $Q=T$ or $T \times Z_{2}$, or
(b) $R$ and $A T$ are characteristic in $Q$ and, moreover, there is an element $j$ in $A-Z(T)$ such that $\langle j\rangle$ is characteristic in $Q$.

Proof. Since $Q / Z(Q)$ is elementary abelian, $[Q, Q] \subseteq A$. Take $Q_{0}$ to be the preimage in $Q$ of $\Omega_{1}(Q / A)$. By Lemma 1.2, $m\left(Q_{0} / A\right)$ is at most 6. Therefore, $m(Q / Z(Q))$ is at most 6 .

Take $T$ to be a minimal $f$-invariant subgroup of $Q$ on which $f$ acts nontrivially. Using Lemma 1.4 and $m(Q) \leq 3$, it follows that $T$ is isomorphic to $Q_{8} * D_{8}$ or a Sylow 2-subgroup of $U_{3}(4)$.

Since $m(Q) \leq 3, f$ centralizes $Z(Q)$. Since $T$ is special, $Z(T)=\Phi(T)$. Since $\Phi(Q) \subseteq Z(Q), Z(T) \subseteq Z(Q)$. Since $Z(T)$ is elementary, $Z(T) \subseteq A$. Since $f$ centralizes $Z(Q)$ and $C_{T}(f)=Z(T), T \cap Z(Q)=Z(T)$.

Since $m(Q / Z(Q)) \leq 6, T$ covers $[\bar{Q}, f]$, where $\bar{Q}=Q / Z(Q)$. Thus, $[Q, f] \subseteq$ $T \cdot Z(Q)$. Since $f$ centralizes $Z(Q),[Q, f]=T$. By $[6, \mathrm{p} .18], T$ is normal in $Q$. Set $R=C_{Q}(f)$. Then, by [6, p. 180], $Q=T R$.

We claim next that $R$ centralizes $T$.
Recall that if $L$ is a group, $K$ a normal subgroup of $L$, and $x$ an element of $L$, then $\left|C_{L / K}(\bar{x})\right| \leq\left|C_{L}(x)\right|$.

Take $x$ in $R$. Then, $C_{T}(x)$ is $f$-invariant. Since $f$ acts irreducibly on $T / Z(T)=\bar{T}, x$ centralizes $\bar{T}$. Since $\left|C_{T}(x)\right| \geq\left|C_{\bar{T}}(x)\right|, C_{T}(x)$ has order at least $2^{4}$. Since $C_{T}(x)$ is $f$-invariant, $C_{T}(x)=T$, and (1) follows.

Next suppose that $T$ is isomorphic to $Q_{8} * D_{8}$. Then, if $A$ has order $2^{3}$, take $t$ an involution of $T-Z(T)$. Then, $\langle t, A\rangle$ has order 16 and is elementary abelian, in contradiction to $m(Q) \leq 3$. Thus, $|A| \leq 4$. As $g$ does not centralize $A,|A|=4$ and $C_{A}(g)=1$. Since $Z(T) \subseteq A, Z(T)^{g} \neq Z(T)$. But both $T$ and $T^{g}$ are normal in $Q$. Therefore, if $T \cap T^{g} \neq 1, Z(T) \subseteq T^{g}$. But then, as $Z(T) \subseteq Z(Q), Z(T)=Z(T)^{g}$, a contradiction. Thus, $T \cap T^{g}=1$, and $T T^{g}$ has rank 4, a contradiction. Thus, (2) follows. Since $T$ is special and $R$ centralizes $T$, (3) is immediate.

Next we shall show that if $Z(T)=A$, then $T=Q$. So we suppose that $Z(T)=A$ and $Q$ properly contains $T$.

Since $T / Z(T)$ is elementary abelian and $Z(T) \subseteq A, T \subseteq Q_{0}$. Moreover, $\Phi(Q) \subseteq Z(Q)$ and $T \cap Z(Q)=Z(T)$. Thus, in the group $Q / A$ no element of $T / A$ is a square. Thus, $T$ is properly contained in $Q_{0}$.

First we show that $Q_{0}$ admits no automorphism $h$ of order 3 such that $h$ acts freely on $\bar{Q}_{0}=Q_{0} / A$. Indeed, if so $\bar{Q}_{0}$ has order $2^{6}$. Now $Q_{0}$ has a subgroup $Q_{1}$ with $A \subset Q_{1}$ and $Q_{1} / A$ of order $2^{5}$. By Lemma 1.1, there is an involution $j$ in $Q_{1}-A$. Let $\bar{V}$ be a minimal $h$-invariant subgroup of $\bar{Q}_{0}$ which contains $\bar{j}$. Then, the preimage of $\bar{V}$ is elementary abelian of order 16, a contradiction.

Since $g$ does not centralize $A$ and $A$ is of order 4, we may assume without loss that $g$ has order a power of 3 . If $g$ has order 9 , then both $g$ and $g^{3}$ act freely on $\bar{Q}_{0}$, in contradiction to the last paragraph. Thus, $g$ has order 3 and $C_{\bar{Q}_{0}}(g) \neq 1$. Since $C_{A}(g)=1, A C_{Q_{0}}(g)$ is elementary abelian. Consequently, $C_{Q_{0}}(g)$ has order 2. It follows that $Q / A$ is abelian of type ( $2,2,2,2,2^{k}$ ).

Consequently, $R / A$ is cyclic of order $2^{k}$, and $R$ is abelian of type ( $2,2,2^{k}$ ) or $\left(2,2^{k+1}\right)$. Now $R$ centralizes $T$ and $Q=R T$. Since $R$ is abelian, $R=Z(Q)$. Thus, if $R$ is of type $\left(2,2,2^{k}\right)$, we have a contradiction to the fact that $A$ is of
rank 2. If $R$ is of type $\left(2,2^{k+1}\right)$ and $k \neq 0, g$ does not act freely on $A$, again a contradiction. Thus, $Q=T$.

In the remainder of the proof, then, we assume that $A$ properly contains $Z(T)$. If $R=A$, the $Q=T \times Z_{2}$. Thus, we suppose that $R$ properly contains $A$, and prove (b) of (5).

Set $R_{0}=R \cap Q_{0}$. Then, $R_{0} \cap T=Z(T)$, and $\bar{Q}_{0}$ is a direct sum of $\bar{T}$ and $\bar{R}_{0}$. Since $A \subseteq Z(Q)$, all involutions of $Q$ lie in $A$.

Next we show that if $x \in Q_{0}-(T A)$, then $x^{2} \notin Z(T)$. First, suppose that $x \in R_{0}-A$. If $x^{2} \in Z(T)$, there is an element $y^{2}$ of $T-Z(T)$ with $y^{2}=x^{2}$. Then, $(x y)^{2}=x^{2} y^{2}=1$, and $x y \notin A$, a contradiction. Next suppose that $x \in Q_{0}-(T A)$. Then, $x=a b$ with $a$ in $T$ and $b$ in $R_{0}-A$. Thus, $x^{2}=a^{2} b^{2}$. Now $a^{2} \in Z(T)$ and $b^{2} \notin Z(T)$. So $x^{2} \notin Z(T)$.

Now we can show that $A T$ is characteristic in $Q$.
Let $h$ be an automorphism of $Q$. If $Z(T)^{h}=Z(T)$, then all elements of $T^{h}$ have squares lying in $Z(T)$. Thus, by the last paragraph, $T^{h} \subseteq A T$. Since $A^{h}=A,(A T)^{h}=A T$. Thus, we may suppose that $Z(T)^{h} \neq Z(T)$. Since $A$ has order $2^{3}, C=Z(T) \cap Z(T)^{h}$ is of order 2 . As $\bar{Q}_{0}$ has order at most $2^{6}$, $\bar{T} \cap \bar{T}^{h}$ has order at least $2^{2}$. Therefore, there is a subgroup $\bar{V}$ of $\bar{T}$ such that $\bar{V}$ has order 4 and all squares of elements in $\bar{V}$ lie in $C$. It follows that $T$ has a subgroup $D$ which is quaternion of order 8 . But this implies that $T / C$ has an elementary abelian subgroup of order 8 , namely $\langle Z(\bar{T}), \bar{D}\rangle$. This contradicts the fact that $T / C$ is isomorphic to $Q_{8} * D_{8}$.

Since $R=C_{Q}(A T)$, it follows that $R$ also is characteristic in $Q$. Thus, $R_{0}=R \cap Q_{0}$ is characteristic in $Q$. If $R_{0} / A$ has order 2 , then $R_{0}$ is abelian of type (4,2,2) and clearly a $j$ as in (5) exists. If $R_{0} / A$ has order $2^{2}$, one of the following holds:
(a) Three distinct elements of $A-Z(T)$ are squares in $R_{0}$ and a unique element $j$ of $A-Z(T)$ is not a square in $R_{0}$.
(b) One element of $A-Z(T)$ is a square in two cosets of $R_{0} / A$ and a unique element $j$ of $A-Z(T)$ is a square in one coset of $R_{0} / A$.
(c) A single element $j$ of $A-Z(T)$ is a square in $R_{0}$.

Thus, in all cases (5) follows.
To prove (4), observe that Aut* $(A T)$ is a split extension of $Z_{15}$ by $Z_{4}$, Aut* $(R)$ is some subgroup of $S_{3}$, and Aut* $(Q)$ is a subgroup of the direct product of Aut* $(A T)$ and Aut* $(R)$.

We now have:
Proposition 2. Let $H$ be a 2-constrained group with $O(H)=1$ and $m_{2}(H)$ at most 3. Suppose that 5 divides the order of H. Let $S$ be a Sylow 2-subgroup of H. Then either
(1) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$, or
(2) $\mathrm{O}_{2}(H)=T$ is a Sylow 2-subgroup of $U_{3}(4)$, and $\mathrm{H} / \mathrm{O}_{2}(H)$ is a split extension of $Z_{15}$ by a cyclic group of order at most 4 .

Proof. Set $P=O_{2}(H)$ and take $C$ and $C_{0}$ as in Lemma 1.5. Set $A=$ $\Omega_{1}\left(Z\left(C_{0}\right)\right)$. Since $H$ is 2-constrained, $B=\Omega_{1}(Z(S))$ is contained in $P$. Since $C$ is critical, $B \subseteq C_{0}$ and $B \subseteq A$. Take $f$ in $H$ of order 5. Now if all elements of odd order act trivially on $A$, then $B$ is contained in the center of $H$. Since $B$ is characteristic in $S$, the lemma follows with $D=B$. Thus, we may suppose that some element $g$ of odd order in $H$ acts nontrivially on $A$. Thus, the group $C$ satisfies the hypotheses of the previous lemma.

First suppose that $C$ does not satisfy (5) (a) of the previous lemma. Take $R$ and $T$ as in that lemma.

By the lemma, $A=\langle Z(T), j\rangle$. By Lemma 1.5(3), $[C, C] \subseteq Z(P)$. Since $Z(T)=[T, T] \subseteq[C, C], Z(T) \subseteq Z(P)$. Also, as $\langle j\rangle \operatorname{char} C, j \in Z(P)$. Thus, all involutions of $P$ lie in $A$. We claim that $A$ is characteristic in $S$. By (4) of the last lemma, $H / P$ has abelian Sylow 2-subgroups. Thus, $S / P$ is abelian, and $P$ contains the commutator subgroup $S^{\prime}$ of $S$. Let $h$ be an automorphism of $S$. Since $Z(T) \subseteq S^{\prime}, Z(T)^{h} \subseteq S^{\prime} \subseteq P$. Thus, $Z(T)^{h} \subseteq A$. Since $\langle j\rangle$ char $C$ and $C \triangleleft S, j \in B$. Since $B$ char $S, j^{h}$ lies in $B$. As $B \subseteq A, j^{h} \in A$. Since $A$ is generated by $Z(T)$ and $j, A^{h}=A$. Thus, $A$ is characteristic in $S$. Since $\langle j\rangle$ char $C, j$ lies in $Z(H)$. Thus, the proposition follows with $D=A$.

Thus, in the remainder of this proof we may suppose that $C=T$ or $T \times Z_{2}$, where $T$ is a Sylow 2-subgroup of $U_{3}(4)$. Since Aut* $(C)$ is an extension of $Z_{15}$ by $Z_{4}$, we may choose an element $g$ of order 3 in $H$ so that $g$ commutes with $f$.

First we shall show that $C_{P}(f)=A$. Set $E=C_{P}(f)$. Since $T=[C, f], T$ is $E$-invariant. Then, an argument of the last lemma shows that $E$ centralizes $T$. Thus, $E / A$ acts on $A$ and centralizes $Z(T)$. On the other hand, $C=T A$ and $C$ is self-centralizing, as $C$ is critical in $P$. Consequently, $E / A$ acts faithfully on $A$ while centralizing $Z(T)$. Thus, $E / A$ has order $2^{2}$ at most. Since $g$ centralizes $f$, $E$ is $g$-invariant. Since $g$ does not centralize $Z(T), g$ acts faithfully on $E / A$. Thus, $E / A$ has order exactly $2^{2}$. Set $\bar{E}=E / Z(T)$. Then, $\bar{E}$ is isomorphic to $Q_{8}$ or is elementary abelian of order 8 .

In the first case, $\bar{E}=\langle\bar{x}, \bar{y}\rangle$, with $\bar{x}$ and $\bar{y}$ of order 4. Then, the preimages of $\bar{x}$ and $\bar{y}$ are each contained in abelian groups of order 16 which contain $A$. So $A$ is contained in $Z(E)$, a contradiction if $E \supset A$. In the second case, it follows easily that $[\bar{E}, g]$ has as preimage in $E$ an abelian group $V$ of type (4, 4). But $T$ also possesses an abelian group $U$ of type (4, 4). Moreover, $V$ and $U$ commute and intersect in $Z(T)$. Therefore, $V U$ has rank 4 , a contradiction. It follows that $C_{P}(f)=A$.

Next we show that $A \subseteq Z(P)$. Since $A$ is abelian of order at most $8, C_{P}(A)$ has index at most 8 in $P$. Since $f$ is of order $5, f$ centralizes $P / C_{P}(A)$. Thus, $P=C_{P}(A) C_{P}(f)$, and so $P=C_{P}(A)$.

Now $C=T A$. Suppose first that $A=Z(T)$. Then $C=T$, and we shall show that $P=T$. From this, conclusion (2) of the proposition follows.

Now $C_{Z(T)}(g)=1$ and $Z(T)$ contains all involutions of $T$. Thus, $C_{T}(g)=1$. Let $F=C_{P}(g)$. Then $F$ is $f$-invariant, since $f$ centralizes $g$. Since $F \cap A=1$, $C_{F}(f)=1$. Thus, if $F \neq 1, m(F)$ is at least 4 , a contradiction. So $F=1$.

By [4, p. 90], $P$ has class at most 2. Since $Z(P)$ is $f$-invariant of rank at most $3, Z(P)$ is centralized by $f$. Consequently, $Z(P)=Z(T)=A$. Thus, $\bar{P}=$ $P / Z(T)$ is abelian of rank at most 6. Since $C_{\bar{P}}(f)=1, \bar{P}$ has rank 4. Since $T=[P, f], \bar{P}$ is elementary abelian and $P=T$.

Next suppose that $A$ has order $2^{3}$. Let $j$ be an involution of $S$. Now $H / P$ is a subgroup of the semidirect product of $Z_{15}$ by $Z_{4}$. Thus, any involution of $H / P$ centralizes $\bar{g}$, the homomorphic image of $g$ in $H / P$. Thus, $\bar{j}=j P$ centralizes $\bar{g}$. From the action of $g$ on $A$, it follows that $j$ centralizes $A$. Consequently, $j \in A$. Thus, $A$ contains all involutions of $S$. Clearly, $A \cap Z(H) \neq 1$, and the proposition follows with $D=A$.

Lemma 1.8. (1) Let $P$ be a 2-group of rank at most 3. Suppose that Aut ( $P$ ) has an abelian subgroup $B$ of type $(3,3)$. Let $b_{1}, b_{2}, b_{3}$ represent 3 distinct cyclic subgroups of $B$ of order 3 , and suppose that for $i=1,2,3, C_{P}\left(b_{i}\right)$ is of rank 1. Then, $\Omega_{1}\left(C_{P}\left(b_{i}\right)\right) \subseteq Z(P)$, for $i=1,2,3$.
(2) Let $P$ be a 2-group of rank at most 3. Suppose that $P$ admits a group $B$ of automorphisms of order 9 which does not centralize $\Omega_{1}(Z(P))$. Then, $\Omega_{1}(Z(P))$ has order $2^{3}$.

Proof. For (1), we proceed by induction on the order of $P$. By Burnside's theorem, $B$ acts faithfully on $\bar{P}$, the Frattini factor group of $P$. Then there are $B$-submodules $V_{1}$ and $V_{2}$ of $\bar{P}$, with $V_{1}$ and $V_{2}$ of order 4 , and $\bar{P}=V_{1} \oplus V_{2} \oplus$ $U$, where $U$ is $B$-invariant. Let $R_{1}$ be the preimage of $V_{1}+U$ and $R_{2}$ be that of $V_{2}+U$. Since $C_{P}\left(b_{i}\right)$ has rank $1, \Omega_{1}\left(C_{P}\left(b_{i}\right)\right)$ lies in $R_{1}$ and $R_{2}$. Now if $B$ acts faithfully on $R_{1}$ and $R_{2}$,

$$
\Omega_{1}\left(C_{P}\left(b_{i}\right)\right) \subseteq Z\left(R_{1}\right) \cap Z\left(R_{2}\right) \subseteq Z(P)
$$

Therefore, it suffices to treat the case in which some element of $B$, say $b$, centralizes $R_{1}$, where $b \neq 1$. Let $Q_{0}$ be a minimal $b$-invariant subgroup of $P$ on which $b$ acts nontrivially. Then $Q_{0}$ is isomorphic to an elementary abelian group of order 4 or $Q_{8}$. Then $Q_{0}$ covers $V_{2}$, and so $P=R_{1} Q_{0}$. Since $b$ centralizes $R_{1}, Q_{0}=[P, b]$. It follows that $Q_{0}$ is normal in $P$. Now if $Q_{0}$ is quaternion of order 8 , the unique involution $j$ of $Q_{0}$ is central in $P$. Since $Q_{0}=[P, b], B$ normalizes $Q_{0}$ and centralizes $j$. Thus, $j$ lies in $C_{P}\left(b_{i}\right)$ for all $i$, and (1) follows. Thus, we may suppose that $Q_{0}$ is elementary abelian of order 4. Then, as both $R_{1}$ and $Q_{0}$ are normal subgroups of $P$, it follows that $P=R_{1} \times$ $Q_{0}$. Since $P$ has 2-rank 3 or less, $R_{1}$ has rank 1. Since $P$ admits a group of automorphisms of type (3,3), $R_{1}$ is isomorphic to $Q_{8}$. Thus, $\Omega_{1}\left(R_{1}\right)$ is contained in $Z(P)$ and centralizes $b_{1}, b_{2}, b_{3}$.

Next we prove (2). Let $A=\Omega_{1}(Z(P))$. If (2) fails, $A$ has order $2^{2}$. If $B$ is of type ( 3,3 ), some $b$ in $B^{\#}$ centralizes $A$, but $B$ itself does not centralize $A$. Then, if $b_{1}, b_{2}, b_{3}$ represent the remaining cyclic subgroups of $B, C_{A}\left(b_{i}\right)=1$. Since
$m(P)$ is at most $3, C_{P}\left(b_{i}\right)$ must be of rank 1 . Now the first part gives a contradiction.

Thus, we may suppose that $B$ is cyclic of order 9 . Take $C$ and $C_{0}$ as in Lemma 1.3. Then, $\bar{C}_{0}=C_{0} / C_{0}^{\prime}$ has order at most $2^{6}$. Since $\bar{C}_{0}$ admits the action of $B$, $\bar{C}_{0}$ has rank exactly 6 and $B$ acts irreducibly on $\bar{C}_{0}$. Now $A$ is contained in $C$ and $C_{0}$, as $C$ is critical. Since $B$ is irreducible on $\bar{C}_{0}, A$ is contained in $C_{0}^{\prime}$. Thus, $C_{0}^{\prime}$ has order at least $2^{2}$. If $C_{0}^{\prime}$ has order exactly $2^{2}$, then there is some involution $j$ in $C_{0}-C_{0}^{\prime}$. Let $g$ generate the subgroup of $B$ or order 3. Then, the preimage of a minimal $g$-invariant subgroup of $\bar{C}_{0}$ which contains $\bar{j}$ is elementary of order 16, a contradiction. Consequently, $C_{o}^{\prime}$ has order 8. Lemma 1.5 now implies that $C_{0}^{\prime}$ is contained in $Z(P)$, and the result follows.

Lemma 1.9. Let $Q$ be a 2-group with $m(Q)=3$ and suppose that $Z(Q)$ contains an elementary abelian group $A$ of order $2^{3}$ such that $Q / A$ is elementary abelian. Let L be the subgroup of Aut $(Q)$ which centralizes $A$. Then:
(1) If $L$ has an abelian subgroup of type $(3,3,3)$, then $Q$ is a direct product of 3 copies of $Q_{8}$.
(2) L contains no extra-special group of order $3^{3}$ and exponent 3 .

Proof. First suppose that $B$ is a subgroup of $L$ of type (3, 3, 3). By Lemma 1.2 and the action of $B, \bar{Q}=Q / A$ has order $2^{6}$. Also, $\bar{Q}=V_{1} \oplus V_{2} \oplus V_{3}$, where $V_{1}, V_{2}$, and $V_{3}$ are $B$-invariant of order $2^{2}$ and there are elements $b_{1}, b_{2}, b_{3}$ of $B$ such that $\left[\bar{Q}, b_{i}\right]=V_{i}$. Now if $Q_{i}$ is a minimal $b_{i}$-invariant subgroup of $Q$ on which $b_{i}$ acts nontrivially, $Q_{i}$ is either elementary abelian of order $2^{2}$ or a quaternion group of order 8 .

In the first case, since $b_{i}$ centralizes $A, Q_{i} A$ has rank 5, a contradiction. Therefore, $Q_{i}$ is quaternion of order 8 and $Q_{i}$ covers $V_{i}$. Consequently, $Q_{i}=\left[Q, b_{i}\right]$ and $Q_{i}$ is a normal subgroup of $Q$. Moreover, $Q_{i}$ is $b_{j}$-invariant. Now if $i \neq j$, $Q_{i} \cap Q_{j}$ is contained in $A$. Thus, $b_{i}$ centralizes $Q_{j}$, if $i \neq j$. Then, as $Q_{j}=$ [ $\left.Q, b_{j}\right]$, and $b_{j}$ centralizes $Q_{i}, Q_{j}$ centralizes $Q_{i}$. Thus, $Q_{i} Q_{j}$ is isomorphic to $Q_{8} * Q_{8}$ or $Q_{8} \times Q_{8}$. In the first case, some involution of $Q$ does not lie in $A$, a contradiction. Thus, $Q_{1} Q_{2}=Q_{8} \times Q_{8}$, and $Q_{3}$ centralizes $Q_{1} Q_{2}$. If $\left(Q_{1} Q_{2}\right) \cap Q_{3} \neq 1$, there is an element $x$ in $Q_{3}$ and an element $y$ in $Q_{1} Q_{2}$ with $x^{2}=y^{2} \neq 1$. Then $(x y)^{2}=1$, and $x y$ is not in $A$, a contradiction. Thus, (1) follows.

Next take $B$ in $L$ to be extra-special of order $3^{3}$ and exponent 3. Again by the action of $B, \bar{Q}$ has order $2^{6}$. Let $q$ be a quadratic form on $\bar{Q}$ preserved by $B$. We shall show that $q$ is uniquely determined.

Note that $B$ has one orbit on $(\bar{Q})^{\#}$ of length 27 and all remaining orbits of length 9. Moreover, $B$ is irreducible. It follows that $q$ is nondegenerate (if $q \neq 0$ ), and the orthogonal group determined by $q$ is $O_{6}^{-}(2)$, whose commutator subgroup is isomorphic to $\operatorname{PSp}(4,3)$ and has Sylow 3-subgroup $Z_{3}$ wr $Z_{3}$. It follows quickly that the Sylow 3-subgroup of $O_{6}^{-}(2)$ has one conjugacy class of subgroups isomorphic to $B$, and moreover such a $B$ is transitive on the 27 iso-
tropic vectors with respect to $q$. Thus, the zeros of $q$ are precisely the points of $\bar{Q}$ in the orbit of $B$ of length 27 . Consequently, $q$ is uniquely determined.

Now if $q$ is the squaring map from $Q / A$ into $A$, and $e_{1}, e_{2}, e_{3}$ is a basis for $A$, $q(x)=q_{1}(x) e_{1}+q_{2}(x) e_{2}+q_{3}(x) e_{3}$, where $q_{1}, q_{2}, q_{3}$ are quadratic forms on $Q / A$. By the above, $q_{1}=q_{2}=q_{3}$. Thus, there is some involution in $Q-A$, contrary to $m(Q)=3$.

The following involves a calculation in a known group and the proof will be omitted.

Lemma 1.10. Let $L$ be a subgroup of $L_{6}(2)$ of order $2^{a} 3^{b}$ with $O_{2}(L)=1$. Then, $L$ is a subgroup of $S_{3}$ wr $S_{3}$ or $G U(3,2)$, the latter being a split extension of an extra-special group of order $3^{3}$ and exponent 3 by $G L(2,3)$.

Lemma 1.11. Let $H$ be a 2-constrained group of 2-rank 3 in which $O(H)=1$. Suppose that $A=\Omega_{1}\left(Z\left(O_{2}(H)\right)\right)$ is of order $2^{3}$ and $H / C_{H}(A)$ is isomorphic to $Z_{3}$ or $S_{3}$. Let $S$ be a Sylow 2-subgroup of $H$. Then, either

$$
A_{4} \subseteq H \subseteq Z_{2} \times S_{4}
$$

or there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and

$$
D \cap Z(H) \neq 1
$$

Proof. Set $P=O_{2}(H)$ and let $R$ be a Sylow 2-subgroup of $C_{H}(A)$ which is contained in $S$. Then, by hypothesis, $R$ has index at most 2 in $S$. Moreover, $A$ contains all involutions in $R$.

First suppose that $A$ lies in the Frattini subgroup of $S$ and let $h$ be an automorphism of $S$. Then, also $A^{h}$ is contained in the Frattini subgroup of $S$. Since $R$ is of index $2, A^{h} \subseteq R$. Thus, $A^{h}=A$, and the lemma follows with $D=A$.

Thus, we may suppose that $A \nsubseteq \Phi(S)$. Then, $A \nsubseteq \Phi(P)$. First, suppose that $A \cap \Phi(P)=V$ has order 4. Then, $V$ is characteristic in $P$ and normal in $H$. By the action of $H / C_{H}(A)$ on $A, A=\langle j\rangle \oplus V$, where $j$ is central in $H$.

Take $h$ an automorphism of $S$. Then, as $V \subseteq \Phi(S), V^{h} \subseteq \Phi(S) \subseteq R$. Since $A$ contains all involution of $R, V^{h} \subseteq A$. Also, as $j$ lies in $Z(S), j^{h}$ lies in $Z(S)$. Since $Z(S) \subseteq P$, as $H$ is 2-constrained, it follows that $j^{h} \in P$. As $A=\langle V, j\rangle$, $A^{h}=A$. The lemma follows with $D=A$.

Thus, we may suppose that $A \cap \Phi(P)$ has order 2 at most. Then $P=V \times L$, where $L$ is of rank 1. First, suppose that $L$ has order at least 8 and set $\langle j\rangle=$ $D=\Omega_{1}(L)$. Since $j$ is the only square in $P, D$ char $P$. Thus $j$ lies in $Z(H)$. Moreover, if $h$ is an automorphism of $S, L^{h} \cap P$ has order at least 4 and $j$ is a square in $L^{h} \cap P$. Thus, $D^{h}=D$, and the lemma follows. When $|L| \leq 4$, the treatment is similar and not difficult.

Proposition 3. Let $H$ be a 2-constrained group of 2 -rank 3 with $O(H)=1$. Let $S$ be a Sylow 2-subgroup of $H$. Suppose that $3^{2}$ divides the order of $H$. Then either
(1) $\mathrm{O}_{2}(\mathrm{H})$ is a Suzuki 2-group and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is of odd order, or
(2) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$.

Proof. Set $P=O_{2}(H)$ and $A=\Omega_{1}(Z(P))$. If 5 or 7 divides the order of $H$, (1) or (2) follows. So we may suppose that $H$ has order $2^{a} 3^{b}$. Let $C$ and $C_{0}$ be as in Lemma 1.5. Then $C_{0} / A$ and $C / Z(C)$ are elementary abelian of order at most $2^{6}$. By $\left[6\right.$, p. 185], $[P, C] \subseteq Z(C)$. It follows that $O_{2}(H / P)=1$. Then, using Lemma 1.10, it follows that $H / P$ is a subgroup of $S_{3}$ wr $S_{3}$ or $G U(3,2)$.

Set $E=\Omega_{1}(Z(S))$. Since $H$ is 2-constrained, $E \subseteq A$. If all elements of $H$ of odd order act trivially on $A$, (2) follows with $D=E$. So some 3-element $f$ of $H$ does not centralize $A$. By Lemma 1.8, $A$ is of order $2^{3}$. Thus, $H / C_{H}(A)$ is some subgroup of $S_{4}$ of order divisible by 3 .

First suppose that $H / C_{H}(A)$ contains $A_{4}$. Then by the structure of $H / P$ there is a subgroup $B$ of order $3^{3}$ in $H$, where $B$ centralizes $A$ and is of exponent 3. By Lemma 1.9, $C_{0}=Q_{8} \times Q_{8} \times Q_{8}$. Let $j_{1}, j_{2}, j_{3}$ be the three involutions lying in the direct factors of $C_{0}$. The Krull-Schmidt theorem implies that Aut ( $C_{0}$ ) permutes $j_{1}, j_{2}, j_{3}$. Thus, $j_{1} j_{2} j_{3}$ is fixed by Aut $\left(C_{0}\right)$, contrary to $A_{4} \subseteq$ $H / C_{H}(A)$.

Now the proposition follows from Lemma 1.11.
Proposition 4. Let $H$ be a 2 -constrained group of 2 -rank 3 and suppose that $O(H)=1$. Suppose that $H$ has order $2^{a} 3$, and let $S$ be a Sylow 2-subgroup of $H$. Then either
(1) there is a characteristic subgroup $D$ of $S$ with $D$ normal in $H$ and $D \cap Z(H) \neq 1$, or
(2) $A_{4} \subseteq H \subseteq S_{4} \times Z_{2}$, or
(3) $S$ has a unique normal fours subgroup $V$ with $V$ normal in $H$.

Proof. Let $P=O_{2}(H)$. Then $H / P$ is isomorphic to $S_{3}$ or $Z_{3}$. Set $A=$ $\Omega_{1}(Z(P)), B=\Omega_{1}(Z(S))$. Then $B \subseteq A$. If $|A|=2$, (1) holds with $D=B$. If $|A|=2^{3}$, (1) or (2) follows by Lemma 1.11.

Thus, we may assume that $|A|=2^{2}$. Set $V=A$. Take $f$ in $H$ for order 3. If $f$ centralizes $V$, (1) holds with $D=B$. So we suppose that $f$ does not centralize $V$.

If $V \nsubseteq \Phi(P)$, then the action of $f$ implies that $V \cap \Phi(P)=1$. Thus, $P=$ $V \times L$, with $m(L) \leq 1$. Since $m(Z(P))=2, L=1$, and (2) holds.
Thus, in the remainder we assume that $V \subseteq \Phi(P)$. We suppose that $S$ has a normal fours group $U$ with $U \neq V$ and derive a contradiction from this.

First suppose that $U$ does not centralize $V$. Then, $U \nsubseteq P$. Since $B \subseteq V$, but $V \nsubseteq Z(S)$, it follows that $|B|=2$. Since $U$ and $V$ are normal in $S, B=U \cap V$. It follows that $[S, U] \subseteq B,[S, V] \subseteq B$. Thus, $[S,\langle U, V\rangle] \subseteq B$, and $\langle U, V\rangle$ is dihedral. Set $L=C_{S}(\langle U, V\rangle)$. Then it follows by [6, p. 195] that $S=\langle U, V\rangle \cdot L$. Clearly, $L$ is normal in $S$ and $L \cap\langle U, V\rangle=B$. Thus, $S / L$ is elementary abelian of order $2^{2}$. It follows that $V$ is not contained in the Frattini subgroup of $P$, a contradiction. Thus, we may suppose that $[U, V]=$ 1 , and $P \supseteq U$.

Let $E=\langle U, V\rangle$. Then, $E$ is elementary abelian of order 8 . First we show that $f$ normalizes $E$. Let $F=\left\langle E, E^{f}, E^{f^{2}}\right\rangle$, and suppose that $E \neq F$. Since $E / V$ lies in $Z(P / V), F / V$ has order $2^{3}$ at most.

If $F / V$ has order $2^{2}$, then $f$ acts freely on $F$. Since there is an involution in $F-V, F$ is elementary abelian of order 16 , a contradiction. Thus, $F / V$ has order $2^{3}$. Let $L=[F, f]$. It follows as before that $L$ is abelian of type (4, 4), as $f$ acts freely on $L$. Also, $C_{F}(f)$ has order exactly 2 . Thus, some involution $j$ of $F-L$ centralizes $f$. Now the involution $j$ does not centralize $L$. For if so, it follows that $F$ is abelian and $E=\Omega_{1}(F)$ is normalized by $f$. Since $C_{L}(j)$ is $f$-invariant, $\left|C_{\mathrm{L}}(j)\right|=4$. Thus, $j$ and all involutions of $F-L$ have four conjugates in $F$. Thus, we have a contradiction to the fact that $F$ contains the normal fours subgroups $U$ and $V$, not both of which lie in $L$. Therefore, $f$ normalizes $E$.

Thus, $E$ is normal in $H$. Since $V$ lies in $Z(P)$ and $C_{P}(U)$ has index at most 2 in $P, C_{P}(E)$ has index at most 2 in $P$. If $C_{P}(E)$ has index exactly 2 in $P$, then in the group $H / C_{P}(E), \bar{f}$ normalizes and so centralizes an element of order 2. This contradicts the structure of the group $L_{3}(2)$. Thus, $C_{P}(E)=P$, contrary to $m(Z(P))=2$. Thus, it follows that $V$ is the unique normal fours subgroup of $S$.

## Section 2

Now let $G$ be a finite simple group all of whose 2-local subgroups are 2constrained, and suppose that the 2 -rank of $G$ is exactly 3 . Then a theorem of Gorenstein and Walter [9], together with a theorem of Aschbacher [2], imply that if $H$ is any 2-local subgroup of $G$, then $O(H)=1$.

Now let $H$ be some 2-local subgroup of $G$. If the order of $H$ is divisible by 7, Proposition 1 yields the structure of $H$. First suppose that $H$ satisfies the first conclusion of that proposition. Then $H=N_{G}\left(O_{2}(H)\right)$ and $H / O_{2}(H)$ is of odd order. Then $H$ contains a Sylow 2-subgroup of $G$. From the structure of $O_{2}(H)$ it follows that $O_{2}(H)$ contains an elementary abelian 2-subgroup which is strongly closed in $O_{2}(H)$ with respect to $G$. By a theorem of Goldschmidt [5], $G$ is isomorphic to one of the groups $L_{2}(8), U_{3}(8)$, or $S z(8)$. Next if $H$ satisfies the second conclusion of Proposition 1, then $O_{2}(H)$ is a homocyclic abelian group and $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is isomorphic to $L_{3}(2)$. When $\mathrm{O}_{2}(\mathrm{H})$ is of exponent 4 or more, then the result of [12] shows that $G$ is known. However, not all 2-local subgroups of $G$ are 2-constrained. If, on the other hand, $O_{2}(H)$ is elementary abelian, a theorem of Harada [10] and a theorem of Gorenstein-Harada [7] imply that $G$ is isomorphic to the group $G_{2}(3)$. Thus, for the remainder of this section we assume that 7 divides the order of no 2-local subgroup of $G$, and from this we derive a contradiction.

Lemma 2.1. Let L be a maximal 2-local subgroup of $G$ having Sylow 2-subgroup $S$. Then $S$ is a Sylow 2-subgroup of $G$ and either
(1) $L=C_{G}(j)$, for some involution $j$ of $S$, or
(2) $S$ has a unique normal fours subgroup $V, L=N_{G}(V)$, and $|L|=2^{k} 3$.

Proof. Set $P=O_{2}(L)$. By Lemma 1.6, the odd part of the order of $L$ divides $3^{4} 5$.

First suppose that 5 divides the order of $L$. Proposition 2 is then applicable to $L$. In the second conclusion to that proposition, $P$ is isomorphic to a Sylow 2-subgroup of $U_{3}(4)$ and $Z(P)$ is normal in $L$. Thus, $L=N_{G}(Z(P))$. Now $S / P$ is abelian and so it follows that $Z(P)$ contains all involutions of the commutator subgroup $S^{\prime}$ of $S$. Thus, $Z(P)$ is characteristic in $S$, and so $S$ is a Sylow 2 -subgroup of $G$. Clearly, $S$ has sectional 2-rank 4, and a contradiction results applying a theorem of Gorenstein-Harada [7].

Thus, the first conclusion of Proposition 2 is valid in $L$. Therefore, there is a characteristic subgroup $D$ of $S$ with $D$ normal in $L$ and $D \cap Z(L) \neq 1$. By its maximality, $L=N_{G}(D)$. Thus, as $D$ is characteristic in $S, S$ is a Sylow 2subgroup of $G$, and (1) follows.

If $3^{2}$ divides the order of $L$, (1) follows as above, using Proposition 3. Then, if exactly 3 divides the order of $L$, Proposition 4 guarantees that (1) or (2) holds, unless $L$ is some subgroup of $Z_{2} \times S_{4}$. But then $G$ has a self-centralizing subgroup of order 8, and a theorem of Harada [10] yields a contradiction as before.

If $L=S$, then clearly $S$ is a Sylow 2-subgroup of $G$. What we have proved above shows that $S$ is not a maximum 2-local, unless all 2-local subgroups of $G$ are 2 -groups. But in the last case, Frobenius' theorem shows that $G$ is nonsimple.

If all 2-local subgroups of $G$ are solvable, $G$ is known by a theorem of Gorenstein and Lyons [8], and a contradiction results. We take $H$ to be a nonsolvable 2-local subgroup of $G$, and $S$ a Sylow 2-subgroup of $H$. Without loss we may suppose that $H$ is a maximal 2-local subgroup of $G$. Then the last lemma implies that $S$ is a Sylow 2 -subgroup of $G$.

Lemma 2.2. If $t$ is an involution of $Z(S), H=C_{G}(t)$.
Proof. Since $H$ is nonsolvable, 5 divides the order of $H$. Let $B=\Omega_{1}(Z(S))$, $P=O_{2}(H)$, and take $C$ a critical subgroup of $P$. If all elements of $H$ of odd order centralize $B$, the lemma follows. Otherwise, by Lemma 1.7, the structure of $C$ is known. It follows that Aut $(C)$ is solvable, a contradiction.

Now if all maximal 2-local subgroups of $G$ are conjugate to $H, G$ has a strongly embedded subgroup, and Bender's theorem [3] gives a contradiction. Thus, we may suppose that there is a maximal 2-local subgroup $M$ with $M$ not conjugate to $H$. By Lemma 2.1, and conjugating if necessary, we may suppose that $S$ is contained in $M$. By Lemma 2.1 and $2.2, S$ has a unique normal fours subgroup $V$, where $V$ is normal in $M$, and $M$ has order $2^{k} 3$. Let $P=O_{2}(H)$ and $R=O_{2}(M)$. Let $C$ be the critical subgroup of $P$, and take $C_{0}$ as in Lemma 1.5.

Lemma 2.3. (1) $Z(P)$ is cyclic.
(2) $C_{0}$ is isomorphic to $Q_{8} * D_{8}, Q_{8} * D_{8} * Z_{4}$, or $Q_{8} * D_{8} * D_{8}$.
(3) $V$ is contained in $C_{0}$.
(4) $\mathrm{H} / \mathrm{O}_{2}(\mathrm{H})$ is isomorphic to $S_{5}$.
(5) $V$ is not contained in the Frattini subgroup of $P$.

Proof. First we claim that $H$ has no elementary abelian normal 2-subgroup of order 4 or greater. Indeed, let $E$ be such an elementary abelian normal subgroup of $H$. Since $E$ is normal in $S, E$ contains a normal fours subgroup of $S$. Since $S$ has a unique normal fours group $V$, it follows that $V \subseteq E$. Since $E$ has order 8 at most, $E$ is centralized by an element of $H$ of order 5 . Thus, $V$ is centralized by some element of order 5 . This contradicts the fact that $M=$ $N_{G}(V)$ has order $2^{k} 3$, and the claim follows.

Now it follows that $Z(P), Z\left(C_{0}\right)$, and $C_{0}^{\prime}$ are all cyclic. Also $C_{0} / C_{0}^{\prime}$ is elementary abelian of order at most $2^{6}$. Then the classification of extra-special groups and the fact that Aut $\left(C_{0}\right)$ is of order divisible by 5 gives the above structure for $C_{0}$.

Let $j$ be the unique involution in $Z\left(C_{0}\right)$. Now the number of fours subgroups of $C_{0}$ which contain $j$ is 5,15 , or 27 . according to the structure of $C_{0}$. In particular, this number is odd. Thus, one fours group of the above is normalized by $S$. It follows then that $V$ lies in $C_{0}$, yielding (3).

Now Aut* $\left(C_{0}\right)$ is a subgroup of $O_{6}^{-}(2)=\operatorname{Aut}(P S p(4,3))$. Thus, $L=$ $H / O_{2}(H)$ is a subgroup of $O_{6}^{-}(2)$ and is nonsolvable. Moreover, $O_{2}(L)=1$. Consequently, $L$ is $A_{5}, S_{5}$, or contains some subgroup isomorphic to $A_{6}$.

On the other hand, observe that since $M=N_{G}(V)$ is not contained in $H$, $N_{H}(V)=S$.

Suppose first that $K=A_{6}$ is contained in $L$. Let $X$ be the orbit of $L$ on the 27 or fewer fours subgroups of $C_{0}$ which are conjugate to $V$. Since $S$ fixes $V$, $|X|$ is odd. Since $|X| \leq 27$, every element of $X$ is fixed by some element of $K$ of odd order $\neq 1$, contrary to $N_{H}(V)=S$. Thus, $L$ is $A_{5}$ or $S_{5}$. If $L$ is $A_{5}$, then $S$ is normalized by an element of $H$ of order 3. Since $V$ is characteristic in $S, V$ is normalized by an element of $H$ or order 3, a contradiction. So (4) follows.

Suppose $V \subseteq \Phi(P)$. Then if $g$ is any element of $H, V^{g} \subseteq \Phi(P)$. As $V$ is normal in $P$, so is $V^{g}$. Therefore, $V$ and $V^{g}$ commute. Thus, the normal closure of $V$ in $H$ is abelian, contrary to the above.

Lemma 2.4. $|S| \leq 2^{8}$.
Proof. Recall $P=O_{2}(H)$ and $R=O_{2}(M)$. Now $|S: P|=2^{3}$, and $|S: R| \leq 2$. By the last lemma, $V \subseteq P$, but $V \nsubseteq Z(P)$. Moreover, $R=C_{S}(V)$. Thus, $V$ is not central in $S$, and so $|S: R|=2$. Thus, $|R: P \cap R|=2^{2}$. Let $f$ be an element of $M$ of order 3.

Now $V \nsubseteq \Phi(P)$ implies that $V \nsubseteq \Phi(R \cap P)$. So there exist $j_{1}, j_{2} \in V^{\#}$, $j_{1} \neq j_{2}$ such that $j_{1}, j_{2} \notin \Phi(R \cap P)$. Then, $j_{1}^{f}, j_{2}^{f} \notin \Phi\left(R \cap P^{f}\right)$. Therefore,

$$
j_{1}, j_{2}, j_{1}^{f}, j_{2}^{f} \notin \Phi\left(R \cap P \cap P^{f}\right) .
$$

Since $f$ acts freely on $V$, it follows that $V \cap \Phi\left(R \cap P \cap P^{f}\right)=1$. But $R \cap P$ is normal in $R$ as $P$ is normal in $S$. Therefore, $R \cap P^{f}$ is normal in $R$. Thus, $R \cap P \cap P^{f}$ is normal in $R$. Consequently, $\Phi\left(R \cap P \cap P^{f}\right)$ is normal in $R$. Thus, if $\Phi\left(R \cap P \cap P^{f}\right) \neq 1$, there is an involution $j$ in $Z(R)-V$. Thus, there
is an abelian subgroup $A$ of order $2^{3}$ in $Z(R)$. Since $|S: R|=2$, it follows that $Z(S)$ is not cyclic. But $Z(S) \subseteq Z(P)$, and so $Z(P)$ is not cyclic, a contradiction. Thus, it follows that $R \cap P \cap P^{f}$ is elementary abelian. Consequently, $\left|R \cap P \cap P^{f}\right| \leq 2^{3}$.

On the other hand, $|R: P \cap R|=2^{2}$ implies that $\left|R: R \cap P^{f}\right|=2^{2}$. Thus,

$$
\left|R: R \cap P \cap P^{f}\right| \leq 2^{4}
$$

Thus, $|R| \leq 2^{7}$, and the lemma follows.
We now obtain a final contradiction. Since $H / O_{2}(H)=S_{5}, O_{2}(H)$ has order at most $2^{5}$. By Lemma 2.3, $O_{2}(H)=C_{0}=Q_{8} * D_{8}$. Moreover, $O_{2}(H)$ has exactly 5 subgroups of type $(2,2)$. Consequently, every subgroup of $O_{2}(H)$ of type $(2,2)$ is normalized by some nonidentity element of $H$ of odd order. This contradicts $N_{H}(V)=S$.

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