# SEMISIMPLICITY OF 2-GRADED LIE ALGEBRAS, II 

BY<br>D. Ž. DJoković and G. Hochschild

1. Introduction

As in [2], we consider finite-dimensional graded Lie algebras over a field $F$ of characteristic 0 . The grading is by integers mod 2 and enters into the defining identities as follows. Let $L_{0}$ and $L_{1}$ be the homogeneous components of the 2-graded Lie algebra $L$, let $x \in L_{\alpha}, y \in L_{\beta}, z \in L$. Then $[x, y]$ lies in $L_{\alpha+\beta}$,

$$
[x, y]=-(-1)^{\alpha \beta}[y, x]
$$

and

$$
[x,[y, z]]=[[x, y], z]+(-1)^{\alpha \beta}[y,[x, z]]
$$

We call such a Lie algebra semisimple if all its finite-dimensional 2-graded modules are semisimple, i.e., have the property that every homogeneous submodule has a homogeneous module complement.

It has been made apparent in [2] that the requirement that a 2-graded Lie algebra be semisimple in this representation-theoretical sense is a very severe restriction, ruling out all the examples that come to mind first, excepting, of course, the ordinary semisimple Lie algebras $L$ with $L_{1}=(0)$. The main result we obtain here is the complete determination of all semisimple 2-graded Lie algebras over an algebraically closed field $F$ of characteristic 0 . It turns out that the sole example given in [2] is the first member of an infinite sequence of scmisimple, oddly generated and simple 2 -graded Lie algebras $L(n)$, which are obtained in a natural way from the ordinary simple Lie algebras of type $C_{n}$. This is the symplectic sequence given in Section 4 below. In the algebraically closed case, every semisimple 2-graded Lie algebra is a direct sum of members of this sequence and ordinary semisimple Lie algebras. In view of this result, the general theory given in Sections 2 and 3 below essentially completes its life cycle right here.

From the viewpoint of classical representation theory of Lie algebras, the feature singling out the type $C_{n}$ as the only possibility in the above is that it is the only type in which the extremal (highest) roots are divisible by 2 in the group of weights. This is seen quite clearly in the proof of Proposition 3.2.

It should be emphasized that almost all questions concerning simple, not necessarily semisimple, oddly generated 2-graded Lie algebras are still open. We merely exhibit two interesting new sequences of examples in Section 5.

## 2. A semisimplicity criterion

Let $F$ be a field of characteristic 0 , and let $R=R_{0}+R_{1}$ be a 2 -graded $F$-algebra. Let $A$ and $B$ be 2-graded $R$-modules, and let $\operatorname{Hom}_{F}(A, B)$ denote the $F$-space of all $F$-linear maps from $A$ to $B$. The 2-gradings of $A$ and $B$ define a 2-grading of $\operatorname{Hom}_{F}(A, B)$, where $\operatorname{Hom}_{F}(A, B)_{0}$ consists of the degree preserving maps (i.e., of the morphisms of the category of 2-graded $F$-spaces), while $\operatorname{Hom}_{F}(A, B)_{1}$ consists of the $F$-linear maps sending $A_{0}$ into $B_{1}$ and $A_{1}$ into $B_{0}$.

Suppose that $S=S_{0}$ is an $F$-subalgebra of $R_{0}$. We denote by $\operatorname{Hom}_{S}(A, B)$ the homogeneous subspace of $\operatorname{Hom}_{F}(A, B)$ consisting of the elements $f$ such that $f(s \cdot a)=s \cdot f(a)$ for every $s$ in $S$ and every $a$ in $A$.

Finally, we define the homogeneous $F$-subspace $\operatorname{Hom}_{R}(A, B)$ of $\operatorname{Hom}_{F}(A, B)$ as follows. The component $\operatorname{Hom}_{R}(A, B)_{\eta}$ consists of the elements $f$ of $\operatorname{Hom}_{F}(A, B)_{\eta}$ such that

$$
f(r \cdot a)=(-1)^{\eta \rho} r \cdot f(a)
$$

for all elements $a$ of $A$ and all elements $r$ of $R_{\rho}$. Clearly, $\operatorname{Hom}_{R}(A, B) \subset$ $\operatorname{Hom}_{S}(A, B)$, and the morphisms of the category of 2-graded $R$-modules are the elements of $\operatorname{Hom}_{R}(A, B)_{0}$.

The 2 -graded $R$-module $B$ may be viewed naturally as a 2 -graded $S$-module. If $K$ is any 2 -graded $S$-module, we have the functor $\operatorname{Hom}_{S}(K$,$) from the$ category of 2 -graded $R$-modules to the category of 2 -graded $F$-spaces. On the other hand, we consider the 2 -graded $R$-module $R \otimes_{S} K$ and the functor $\operatorname{Hom}_{R}\left(R \otimes_{S} K,\right)$. As in the usual ungraded case, these two functors are naturally equivalent. The isomorphism $\operatorname{Hom}_{S}(K, B) \rightarrow \operatorname{Hom}_{R}\left(R \otimes_{S} K, B\right)$ is as follows. If $f$ belongs to $\operatorname{Hom}_{S}(K, B)_{\eta}$ then the corresponding element $f^{\prime}$ of $\operatorname{Hom}_{R}\left(R \otimes_{S} K, B\right)_{\eta}$ is characterized by $f^{\prime}(r \otimes k)=(-1)^{\eta \rho} r \cdot f(k)$ for every $k$ in $K$ and every $r$ in $\boldsymbol{R}_{\boldsymbol{\rho}}$. The inverse map is obtained in the evident way from the canonical map $K \rightarrow R \otimes_{S} K$.

Now let us consider an exact sequence

$$
(0) \rightarrow U \rightarrow V \rightarrow W \rightarrow(0)
$$

in the category of 2-graded $R$-modules. Assume that this sequence is split when viewed as an exact sequence in the category of 2 -graded $S$-modules. Then the induced sequence

$$
(0) \rightarrow \operatorname{Hom}_{S}(K, U) \rightarrow \operatorname{Hom}_{S}(K, V) \rightarrow \operatorname{Hom}_{S}(K, W) \rightarrow(0)
$$

in the category of 2-graded $F$-spaces is exact. Because of the above natural equivalence of functors, this implies that the sequence
$(0) \rightarrow \operatorname{Hom}_{R}\left(R \otimes_{S} K, U\right) \rightarrow \operatorname{Hom}_{R}\left(R \otimes_{S} K, V\right) \rightarrow \operatorname{Hom}_{R}\left(R \otimes_{S} K, W\right) \rightarrow(0)$ is exact.

Now let $L$ be a 2-graded Lie algebra over $F$. Let $R$ be the universal enveloping algebra $\mathscr{U}(L)$, and let $S$ be the universal enveloping algebra $\mathscr{U}\left(L_{0}\right)$. As usual, we identify 2 -graded $L$-modules with 2 -graded $\mathscr{U}(L)$-modules. Let $A$ and $B$ be

2-graded $L$-modules. Then $\operatorname{Hom}_{F}(A, B)$ has a 2 -graded $L$-module structure, as follows. For $x$ in $L_{p}$ and $f$ in $\operatorname{Hom}_{F}(A, B)_{\eta}$, the transform $x \cdot f$ in $\operatorname{Hom}_{F}(A, B)_{\eta+\rho}$ is given by

$$
(x \cdot f)(a)=x \cdot f(a)-(-1)^{\eta \rho} f(x \cdot a)
$$

If $y$ is an element of $L_{\sigma}$, one must verify that

$$
x \cdot(y \cdot f)-(-1)^{\rho \sigma} y \cdot(x \cdot f)=[x, y] \cdot f
$$

We leave this verification to the reader. It is clear from the definitions that $\operatorname{Hom}_{R}(A, B)$ coincides with the $L$-annihilated $\operatorname{part} \operatorname{Hom}_{F}(A, B)^{L}$ of $\operatorname{Hom}_{F}(A, B)$, and that $\operatorname{Hom}_{S}(A, B)=\operatorname{Hom}_{F}(A, B)^{L_{0}}$.

We regard $F$ as a trivial $L$-module, with $L \cdot F=(0)$, choosing the 2-grading such that $F=F_{0}$. As in [2], we let $\otimes_{0}$ indicate tensoring with respect to $S=\mathscr{U}\left(L_{0}\right)$. Now we are fully prepared for the following semisimplicity criterion.

Theorem 2.1. Let L be a finite-dimensional 2-graded Lie algebra over the field $F$ of characteristic 0 . Then $L$ is semisimple if and only if the following two conditions are satisfied. (1) $L_{0}$ is semisimple. (2) There is an element $u_{0}$ in $\left(\mathscr{U}(L)_{0} \otimes_{0} F\right)^{L}$ whose canonical image in $F$ is not 0 .

Proof. Condition (2) is evidently equivalent to the condition that the exact sequence

$$
(0) \rightarrow L \mathscr{U}(L) \otimes_{0} F \rightarrow \mathscr{U}(L) \otimes_{0} F \rightarrow F \rightarrow(0)
$$

coming from the trivial $\mathscr{U}(L)$-module structure of $F$ be split as a sequence in the category of 2 -graded $L$-modules. This makes it evident that condition (2) is necessary. We know from Theorem 4.3 of [2] that condition (1) is necessary.

Now suppose that conditions (1) and (2) are satisfied. Let (0) $\rightarrow A \rightarrow B \rightarrow$ $C \rightarrow(0)$ be an exact sequence of finite-dimensional 2 -graded $L$-modules. It is clear that our definition of $\operatorname{Hom}_{F}(A, B)$ as a 2 -graded $L$-module makes $\operatorname{Hom}_{F}(C$,$) a functor from the category of 2-graded L$-modules to itself. Since $F$ is a field, this functor is exact. Therefore, applying $\operatorname{Hom}_{F}(C$,$) to$ our above sequence, we obtain the following exact sequence in the category of 2-graded $L$-modules

$$
(0) \rightarrow \operatorname{Hom}_{F}(C, A) \rightarrow \operatorname{Hom}_{F}(C, B) \rightarrow \operatorname{Hom}_{F}(C, C) \rightarrow(0) .
$$

Since $L_{0}$ is semisimple, this sequence is split as a sequence of $L_{0}$-modules. In other words, it is split as a sequence in the category of 2 -graded $S$-modules. From our introductory discussion in this section, we know that therefore the sequence obtained by applying the functor $\operatorname{Hom}_{R}\left(R \otimes_{0} F\right.$, ) is exact. Since condition (2) is satisfied, we may identify the trivial $L$-module $F$ with a direct 2 -graded $R$-module summand of $R \otimes_{0} F$. This implies that the functor $\operatorname{Hom}_{R}(F, \quad)$ has the same exactness property as the functor $\operatorname{Hom}_{R}\left(R \otimes_{0} F, \quad\right)$. Clearly, for every 2 -graded $L$-module $U$, we have $\operatorname{Hom}_{R}(F, U) \approx U^{L}$. Hence,
applying the functor $\operatorname{Hom}_{R}(F, \quad)$ to our above sequence, we find that the sequence

$$
(0) \rightarrow \operatorname{Hom}_{F}(C, A)^{L} \rightarrow \operatorname{Hom}_{F}(C, B)^{L} \rightarrow \operatorname{Hom}_{F}(C, C)^{L} \rightarrow(0)
$$

is exact. In particular, the map $\operatorname{Hom}_{F}(C, B)^{L} \rightarrow \operatorname{Hom}_{F}(C, C)^{L}$ is surjective. Let $I$ denote identity map $C \rightarrow C$. This is evidently an element of $\operatorname{Hom}_{F}(C, C)_{0}^{L}$, and therefore is the image of an element $f$ of $\operatorname{Hom}_{F}(C, B)_{0}^{L}$. Thus, $f$ is a morphism of 2-graded $L$-modules $C \rightarrow B$ whose composite with the given morphism $B \rightarrow C$ is the identity map $C \rightarrow C$. The existence of such a morphism $f$ means precisely that the given sequence $(0) \rightarrow A \rightarrow B \rightarrow C \rightarrow(0)$ is split as a sequence of 2-graded $L$-modules. We have shown that conditions (1) and (2) imply that $L$ is semisimple, so that Theorem 2.1 is now established.

The trivial part of Theorem 2.1, namely, the necessity of condition (2) gives the following very useful necessary condition for semisimplicity.

Proposition 2.2. Let L be a semisimple 2-graded Lie algebra over the field $F$, and let a be a nonzero element of $L_{1}$. Then $[a, a] \neq 0$.

Proof. Suppose that $0 \neq a_{1} \in L_{1}$ and $\left[a_{1}, a_{1}\right]=0$. Choose elements $a_{2}, \ldots, a_{n}$ in $L_{1}$ so that $\left(a_{1}, \ldots, a_{n}\right)$ is an $F$-basis of $L_{1}$. Then 1 and the monomials $a_{i_{1}} \cdots a_{i_{q}}$ with $i_{1}<\cdots<i_{q}$ constitute a free right $\mathscr{U}\left(L_{0}\right)$-basis of $\mathscr{U}(L)$ (cf. [2, Section 2]). Let $u$ be an element of $\mathscr{U}(L) \otimes_{0} F$ whose canonical image in $F$ is 1 . Then $u$ is the canonical image of an element $v$ of $\mathscr{U}(L)$ that has the form

$$
v=1+x+a_{1} y
$$

where $x$ is a linear combination of basis elements $a_{i_{1}} \cdots a_{i_{q}}$ with $1<i_{1}$, and $y$ is such a linear combination plus an element of $F$. Since $\left[a_{1}, a_{1}\right]=0$, we have $a_{1} a_{1}=0$ in $\mathscr{U}(L)$, whence $a_{1} v=a_{1}+a_{1} x$. This is a nonzero $F$-linear combination of elements of our $\mathscr{U}\left(L_{0}\right)$-basis of $\mathscr{U}(L)$, whence $a_{1} \cdot u \neq 0$. Thus, condition (2) of Theorem 2.1 is not satisfied, contradicting the assumption that $L$ is semisimple. This proves Proposition 2.2.

## 3. Implications of simplicity

Proposition 3.1. Suppose that $L$ is a semisimple 2-graded F-Lie algebra having no homogeneous ideals other than (0) and $L$. Then $L_{1}$ is simple (or (0)) as an $L_{0}$-module, and $L_{0}$ is simple (or (0)).

Proof. By [2, Theorem 4.3], $L_{0}$ is semisimple as an ordinary Lie algebra, and $\left[L_{0}, L_{1}\right]=L_{1}$. We assume that $L_{1} \neq(0)$, because otherwise there is nothing to prove. Then we have also $L_{0} \neq(0)$. Now $L_{1}+\left[L_{1}, L_{1}\right]$ is clearly a nonzero homogeneous ideal of $L$, whence $\left[L_{1}, L_{1}\right]=L_{0}$.

Let $U$ be any nonzero ideal of $L_{0}$, and put $A=L_{1}^{U}$. First, we show that $A=(0)$. Clearly, $A$ is an $L_{0}$-submodule of $L_{1}$, so that $L_{1}$ is a direct $L_{0}$-module
$\operatorname{sum} A+M$, with $[U, M]=M$. We have

$$
[A, M]=[A,[U, M]]=[U,[A, M]] \subset U
$$

whence $[[A, M], A]=(0)$. On the other hand, $[[M, M], A]=(0)$, because it is contained in both $A$ and $M$. Since

$$
L_{0}=\left[L_{1}, L_{1}\right]=[A, A]+[M, M]+[A, M]
$$

it follows that

$$
A=\left[L_{0}, A\right]=[[A, A], A]
$$

Now $[[A, A], M]=(0)$, because it is contained in both $M$ and $A$. Hence we have

$$
[A, M]=[[A, A],[A, M]] \subset[A, A]
$$

and it is now clear that $[A, A]+A$ is a homogeneous ideal of $L$. If this coincided with $L$, we would get the contradiction $U=\left[L_{0}, U\right]=[[A, A], U]=$ (0). Therefore, we must have $A=(0)$, i.e., $L_{1}^{U}=(0)$.

Now let $S$ be any nonzero simple $L_{0}$-submodule of $L_{1}$. Make a direct $L_{0^{-}}$ module decomposition $L_{1}=S+T$. As above, $[[S, S], T]=(0) . \quad$ By Proposition 2.2, $[S, S] \neq(0)$. By the above, with $U=[S, S]$, we have $T=(0)$ so that $L_{1}=S$. Thus, we have shown that $L_{1}$ is simple as an $L_{0}$-module.

In showing that $L_{0}$ is simple, let us first deal with the case where $F$ is algebraically closed. Suppose that $L_{0}$ is the direct sum $X+Y$ of two nonzero ideals $X$ and $Y$. Since $L_{1}$ is simple as an $L_{0}$-module, with $L_{1}^{X}=(0)=L_{1}^{Y}$, and $F$ is algebraically closed, it follows from standard basic theory of semisimple $F$ algebra modules that $L_{1}$ is a tensor product module $A \otimes B$, where $Y \cdot A=$ $(0)=A^{X}$ and $X \cdot B=(0)=B^{Y}$. By decomposing $A$ and $B$ into weight spaces with respect to Cartan subalgebras of $X$ and $Y$, respectively, we see that there are nonzero elements $a$ in $A, b$ in $B, x$ in $X, y$ in $Y$, and $\alpha, \beta$ in $F$, such that $x \cdot a=\alpha a$ and $y \cdot b=\beta b$. Let $u$ be the element $a \otimes b$ of $L_{1}$. By Proposition 2.2, we have $[u, u] \neq 0$. On the other hand, $[x,[u, u]]=2 \alpha[u, u] \in X$, whence $[u, u]$ is a nonzero element of $X$. Similarly, operating with $y$, we see that $[u, u]$ is a nonzero element of $Y$. This contradicts the assumption $X \cap Y=$ (0). Therefore, $L_{0}$ is simple.

Now let us consider the general case. Assume that $L_{0}=X+Y$, as above. Let $T$ be an algebraically closed field containing $F$. Since $L_{0} \otimes_{F} T$ is semisimple, it therefore follows from Theorem 2.1 that $L \otimes_{F} T$ is semisimple as a 2 -graded Lie algebra over $T$. Clearly, $\left(L \otimes_{F} T\right)_{0}$ is the direct sum of the two nonzero ideals $X \otimes_{F} T$ and $Y \otimes_{F} T$. By the above, the simple components of $L \otimes_{F} T$ have the simple components of $\left(L \otimes_{F} T\right)_{0}$ as their degree 0 parts. Therefore, $L \otimes_{F} T$ is a direct 2-graded Lie algebra sum $U+V$, where $U_{0}=X \otimes_{F} T$ and $V_{0}=Y \otimes_{F} T$. If both $U_{1}$ and $V_{1}$ are ( 0 ) then $L_{1}=$ (0). Therefore, we may suppose that $U_{1} \neq(0)$. Now we have $\left[V_{0}, U_{1}\right]=(0)$, whence $\left(L_{1} \otimes_{F} T\right)^{Y} \neq(0)$. Clearly, this implies that $L_{1}^{Y} \neq(0)$, which contradicts what we have found in proving the first part of our proposition. The proof of Proposition 3.1 is now complete.

Proposition 3.2. If the base field $F$ is algebraically closed, then the simple Lie algebra $L_{0}$ of Proposition 3.1 is of the symplectic type $C_{n}(n=1,2, \ldots)$.

Proof. Let $\mu$ denote the highest weight of the simple $L_{0}$-module $L_{1}$, and let $u$ be a nonzero element belonging to the weight subspace $\left(L_{1}\right)_{\mu}$ of $L_{1}$. By Proposition 2.2, $[u, u]$ is a nonzero element of $L_{0}$. Clearly, it belongs to the root subspace $\left(L_{0}\right)_{2 \mu}$ of $L_{0}$. Since $\left[L_{1}, L_{1}\right]=L_{0}$, it is clear that $2 \mu$ is therefore the largest root of $L_{0}$. Thus, a necessary condition for $L_{0}$ is that its largest root be divisible by 2 in the group of weights, for any choice of a Cartan subalgebra and ordering of the roots.

The following facts are easily collected from the tables given at the end of [1]. In all of the exceptional types $G_{2}, F_{4}, E_{6}, E_{7}, E_{8}$, in $B_{n}$ for $n>2$, and in $D_{n}$ for $n>3$, the largest root is listed as one of the fundamental weights. In $A_{n}$ for $n>1$, the largest root is the sum of the first and the last fundamental weights.

Since the fundamental weights constitute a free basis of the group of weights, all these types are thus ruled out. This leaves only $C_{n}$ for $n=1,2, \ldots$ (note that $\left.A_{1}=B_{1}=C_{1}, B_{2}=C_{2}\right)$.

## 4. The symplectic sequence

The standard representations of the ordinary simple Lie algebras of type $C_{n}$ give rise to an infinite sequence of semisimple (and simple) 2-graded Lie algebras $L(n)$ such that $L(n)_{0}$ is the ordinary simple Lie algebra of type $C_{n}$. Let us recall the standard representation of $C_{n}$.

Let $V$ be an $F$-space of dimension $2 n(n=1,2, \ldots)$. Choose an $F$-basis $\left(a_{1}, \ldots, a_{n}, b_{1}, \ldots, b_{n}\right)$ of $V$, and let $\pi$ be the skew symmetric nondegenerate bilinear form on $V \times V$ such that $\pi\left(a_{i}, a_{j}\right)=0=\pi\left(b_{i}, b_{j}\right)$ for all $i$ and $j$, while $\pi\left(a_{i}, b_{j}\right)$ is equal to 1 if $i=j$ and equal to 0 otherwise. Let $L_{0}$ be the Lie algebra of all those linear endomorphisms of $V$ which annihilate $\pi$, i.e., the elements of $L_{0}$ are the linear endomorphisms $e$ such that $\pi(e(u), v)+\pi(u, e(v))=0$ for all elements $u$ and $v$ of $V$. Then $L_{0}$ is a simple Lie algebra of type $C_{n}$, and $V$ is the standard simple $L_{0}$-module. We define $L_{1}$ to be the $L_{0}$-module $V$. Thus, for $x$ in $L_{0}$ and $v$ in $V$, the Lie product $[x, v]$ is defined as $x(v)$.

Now let $u$ and $v$ be elements of $V$. We must define $[u, v]$ as an element of $L_{0}$. The definition is actually obtained in the usual way, using the isomorphism between $V$ and its dual coming from $\pi$. Explicitly, we define $[u, v]$ to be the linear endomorphism of $V$ given by

$$
[u, v](w)=\pi(v, w) u+\pi(u, w) v
$$

A direct check shows that $[u, v]$ indeed belongs to $L_{0}$ (i.e., annihilates $\pi$ ). Since $[u, v]=[v, u]$, there is an $F$-linear map $\eta: S^{2}\left(L_{1}\right) \rightarrow L_{0}$, where $S^{2}\left(L_{1}\right)$ denotes the homogeneous component of degree 2 of the symmetric algebra built over $L_{1}$, such that $\eta(u v)=[u, v]$ for all elements $u$ and $v$ of $L_{1}$. A part of the Jacobi identity for 2-graded Lie algebras says that $\eta$ is a homomorphism of $L_{0}-$ modules. This is verified directly, as follows. Let $x$ be an element of $L_{0}$. Then,
in $S^{2}\left(L_{1}\right)$, we have $x \cdot(u v)=x(u) v+u x(v)$. Hence, with $w$ in $V$,

$$
\begin{aligned}
\eta(x \cdot(u v))(w) & =\pi(v, w) x(u)+\pi(x(u), w) v+\pi(x(v), w) u+\pi(u, w) x(v) \\
& =x(\pi(v, w) u+\pi(u, w) v)+\pi(x(v), w) u+\pi(x(u), w) v \\
& =x([u, v](w))-\pi(v, x(w)) u-\pi(u, x(w)) v \\
& =x([u, v](w))-[u, v](x(w)) \\
& =[x,[u, v]](w) \\
& =[x, \eta(u v)](w)
\end{aligned}
$$

Thus we have, indeed, $\eta(x \cdot(u v))=[x, \eta(u v)]$.
The remaining part of the Jacobi identity says that, for $u, v$ and $w$ in $L_{1}$, we should have

$$
[\eta(u v), w]+[\eta(v w), u]+[\eta(w u), v]=0
$$

(cf. [2, Section 4]). This is seen immediately from the definitions, using that $\pi$ is skew symmetric. Now we have established that $L$ is a 2 -graded Lie algebra. Since $\eta$ is a nonzero $L_{0}$-module homomorphism and since $L_{0}$ is simple as an $L_{0}$-module, $\eta$ is surjective. The dimensions of $S^{2}\left(L_{1}\right)$ and $L_{0}$ are both equal to $n(2 n+1)$. Therefore, $\eta$ is actually an isomorphism.

An ideal of $L$ is an $L_{0}$-submodule of $L$. Since the $L_{0}$-module $L$ is the direct sum of the two nonisomorphic simple $L_{0}$-modules $L_{0}$ and $L_{1}$, an ideal must therefore be one of ( 0 ), $L, L_{0}, L_{1}$. Clearly, $L_{0}$ and $L_{1}$ are not ideals of $L$. Therefore, $L$ is simple, in the sense that its only ideals (homogeneous or not) are (0) and $L$. As we know from [2, Section5], this does not imply that $L$ is semisimple (in our representation-theoretical sense).

We shall now use the criterion of Theorem 2.1 in order to prove that $L$ is semisimple. It suffices to exhibit an element $u_{0}$, as in condition (2) of Theorem 2.1. Working in $\mathscr{U}(L)$, put $t_{i}=a_{i} b_{i} \in \mathscr{U}(L)_{0}$. Let $u_{0}$ be the canonical image in $\mathscr{U}(L) \otimes_{0} F$ of the element

$$
\left(1-t_{1}\right)\left(3-t_{2}\right) \cdots\left(2 n-1-t_{n}\right)
$$

of $\mathscr{U}(L)_{0}$. Since the canonical image of $u_{0}$ in $F$ is not zero (being the product of the odd integers from 1 to $2 n-1$ ), it remains only to show that $u_{0}$ is annihilated by every element of $L$. In order to see this, we examine some commutation relations in $\mathscr{U}(L)$, as follows.

First, let us note that if $u, v$ and $w$ are elements of $L_{1}$ then, in $\mathscr{U}(L)$, we have $u v+v u=[u, v]$, etc., whence

$$
u v w-v w u=[u, v] w-v[u, w] .
$$

In particular,

$$
u t_{j}-t_{j} u=\left[u, a_{j}\right] b_{j}-a_{j}\left[u, b_{j}\right] .
$$

We have $\left[u, a_{j}\right] b_{j}=\left[\left[u, a_{j}\right], b_{j}\right]+b_{j}\left[u, a_{j}\right]$. Hence we have

$$
u t_{j}=t_{j} u+u+\pi\left(u, b_{j}\right) a_{j}+b_{j}\left[u, a_{j}\right]-a_{j}\left[u, b_{j}\right] .
$$

Now let $i$ be an index other than $j$. Then this gives

$$
a_{i} t_{j}=t_{j} a_{i}+a_{i}+b_{j}\left[a_{i}, a_{j}\right]-a_{j}\left[a_{i}, b_{j}\right]
$$

and

$$
b_{i} t_{j}=t_{j} b_{i}+b_{i}+b_{j}\left[b_{i}, a_{j}\right]-a_{j}\left[b_{i}, b_{j}\right]
$$

Multiplying the second relation by $a_{i}$ from the left and then substituting for the resulting $a_{i} t_{j}$ the right-hand side of the last equation but one, we obtain
$t_{i} t_{j}=t_{j} t_{i}+t_{i}+b_{j}\left[a_{i}, a_{j}\right] b_{i}-a_{j}\left[a_{i}, b_{j}\right] b_{i}+t_{i}+a_{i} b_{j}\left[b_{i}, a_{j}\right]-a_{i} a_{j}\left[b_{i}, b_{j}\right]$
Next, we note that

$$
\left[a_{i}, a_{j}\right] b_{i}=a_{j}+b_{i}\left[a_{i}, a_{j}\right] \quad \text { and } \quad\left[a_{i}, b_{j}\right] b_{i}=b_{j}+b_{i}\left[a_{i}, b_{j}\right]
$$

Hence we have

$$
b_{j}\left[a_{i}, a_{j}\right] b_{i}-a_{j}\left[a_{i}, b_{j}\right] b_{i}=b_{j} a_{j}+b_{j} b_{i}\left[a_{i}, a_{j}\right]-t_{j}-a_{j} b_{i}\left[a_{i}, b_{j}\right]
$$

and

$$
t_{i} t_{j}=t_{j} t_{i}+2\left(t_{i}-t_{j}\right)+d_{i j}
$$

where $d_{i j}$ lies in $\mathscr{U}(L) L_{0}$. We shall not need the precise expression for $d_{i j}$ (as obtained from the above), but only the following fact. Let $V_{k}$ be the $F$-subspace $F a_{k}+F b_{k}$ of $L_{1}$. Let $\left[V_{i}, V_{j}\right]$ be the $F$-subspace of $L_{0}$ spanned by the elements $[u, v]$ with $u$ in $V_{i}$ and $v$ in $V_{j}$. Then $d_{i j}$ lies in $\left[a_{j}, b_{j}\right]+\mathscr{U}(L)\left[V_{i}, V_{j}\right]$.

It follows immediately from this last result that, for every $q$ in $F$, and in particular for every integer $q$, we have

$$
\left(q-t_{i}\right)\left(q+2-t_{j}\right)-\left(q-t_{j}\right)\left(q+2-t_{i}\right) \in\left[a_{j}, b_{j}\right]+\mathscr{U}(L)\left[V_{i}, V_{j}\right]
$$

Now observe that if neither $i$ nor $j$ is equal to $k$ then, in $\mathscr{U}(L)$, every element of [ $V_{i}, V_{j}$ ] commutes with every element of $V_{k}$. It follows from this and the last result that, if $\sigma$ is any permutation of $(1, \ldots, n)$, the image in $\mathscr{U}(L) \otimes_{0} F$ of

$$
\left(1-t_{\sigma(1)}\right) \cdots\left(2 n-1-t_{\sigma(n)}\right)
$$

coincides with $u_{0}$.
Since $\left[L_{1}, L_{1}\right]=L_{0}$, it suffices to prove that $u_{0}$ is annihilated by every element of $L_{1}$. Therefore, it suffices to show that $a_{i} \cdot u_{0}=0=b_{i} \cdot u_{0}$ for every $i$. Because of the above symmetry with respect to permutations of the indices, it is clear that it suffices to prove that $u_{0}$ is annihilated by $a_{1}$ and $b_{1}$. It is easy to verify directly that both $a_{1}\left(1-t_{1}\right)$ and $b_{1}\left(1-t_{1}\right)$ lie in $\mathscr{U}(L)\left[V_{1}, V_{1}\right]$. Since the elements of [ $V_{1}, V_{1}$ ] commute with the elements of every $V_{k}$ with $k>1$, it follows immediately that

$$
V_{1}\left(1-t_{1}\right) \cdots\left(2 n-1-t_{n}\right) \subset \mathscr{U}(L)\left[V_{1}, V_{1}\right] \subset \mathscr{U}(L) L_{0}
$$

whence $V_{1} \cdot u_{0}=(0)$. This completes the proof that $L$ is semisimple.
We note that the case $n=1$ is the unique lowest dimensional odd (i.e., generated by $L_{1}$ ) semisimple 2 -graded Lie algebra, whose simple modules have been determined explicitly in [2, Section 6].

Theorem 4.1. Let $F$ be an algebraically closed field of characteristic 0 , and let $L$ be a finite-dimensional 2-graded Lie algebra over $F$. Then $L$ is semisimple if and only if it is a direct sum of 2-graded Lie algebras each of which is either a member of the symplectic sequence or an ordinary simple Lie algebra.

Proof. All that remains to be shown is that if $L$ is as in Proposition 3.2 then it is a member of the symplectic sequence (the sufficiency of our condition is clear from Theorem 4.1 of [2]). Let $L(n)$ be the member of the symplectic sequence such that $L(n)_{0}=L_{0}$. The proof of Proposition 3.2 has shown that, as an $L_{0}$-module, $L_{1}$ is determined up to isomorphisms by $L_{0}$. Therefore, we may identify $L_{1}$ with $L(n)_{1}$. Let $\eta_{n}$ and $\eta$ denote the $L_{0}$-module homomorphisms $S^{2}\left(L_{1}\right) \rightarrow L_{0}$ of $L(n)$ and $L$, respectively. Since each of these is an isomorphism and since $L_{0}$ is simple, we must have $\eta=c \eta_{n}$, where $c$ is a nonzero element of $F$. Choose an element $d$ in $F$ such that $d^{2}=c$. Then the map $L \rightarrow L(n)$ that coincides with the identity map on $L_{0}$ and with the scalar multiplication by $d$ on $L_{1}$ is clearly an isomorphism of 2-graded Lie algebras. This establishes Theorem 4.1.

## 5. Other simple 2-graded Lie algebras

Let us call a 2-graded Lie algebra $L$ simple if its only homogeneous ideals are $(0)$ and $L$. The classification of these is probably quite difficult. The most natural family of such 2-graded Lie algebras has been briefly discussed in [2, Section 5]. The fact that they are not semisimple is now seen immediately from Proposition 2.2.

We shall describe two sequences of simple 2-graded Lie algebras that arise in an interesting way from the classical type $A_{n}$. Let $n$ be a positive integer, and let $V$ be an $(n+1)$-dimensional vector space over the field $F$ of characteristic 0 . Let $L_{0}$ be the simple Lie algebra of all linear endomorphisms of trace 0 of $V$. Let $S^{2}(V)$ and $E^{2}(V)$ denote the homogeneous components of degree 2 of the symmetric and exterior, respectively, algebras built on $V$. We regard these as $L_{0}$-modules in the natural way. Let ${ }^{\circ}$ indicate dual space (and $L_{0}$-module), and let $L_{1}$ be the direct sum of the $L_{0}$-modules $S^{2}(V)$ and $E^{2}(V)^{\circ}$. Define the linear map $\eta: S^{2}\left(L_{1}\right) \rightarrow L_{0}$, indicated also by writing $\eta(u v)=[u, v]$, as follows:

$$
\left[S^{2}(V), S^{2}(V)\right]=(0)=\left[E^{2}(V)^{\circ}, E^{2}(V)^{\circ}\right]
$$

Next, let $f$ be an element of $E^{2}(V)^{\circ}$, and let $a, b, x$ be elements of $V$. Let $a b$ denote the canonical image of $a \otimes b$ in $S^{2}(V)$, and let $a * x$ and $b * x$ denote the canonical images of $a \otimes x$ and $b \otimes x$ in $E^{2}(V)$. Then the bracketing with $f$ is defined so that

$$
[a b, f](x)=f(a * x) b+f(b * x) a=[f, a b](x)
$$

It is easy to verify that the map $\eta$ so defined is indeed an $L_{0}$-module homomorphism $S^{2}\left(L_{1}\right) \rightarrow L_{0}$. Since $L_{0}$ is simple, it follows from the evident fact that $\eta \neq 0$ that $\eta$ is surjective. In order to verify that we have now the structure of
an odd 2-graded Lie algebra, it suffices to show that, for all elements $u, v, w$ in $L_{1}$, one has

$$
[[u, v], w]+[[v, w], u]+[[w, u], v]=0 .
$$

This verification is somewhat lengthy, but automatic. The fact that $L$ is simple is easily established, using that $L_{0}$ is simple and that $S^{2}(V)$ and $E^{2}(V)$ are simple $L_{0}$-modules.

The other sequence of simple 2-graded Lie algebras is obtained from the same $V$ and $L_{0}$, but with $L_{1}$ the direct sum of $S^{2}(V)^{\circ}$ and $E^{2}(V)$. As above, only the mixed brackets are different from 0 , and the critical part of the definition of $\eta$ is as follows. Let $g$ be an element of $S^{2}(V)^{\circ}$, and let $a, b, x$ be elements of $V$. Then

$$
[g, a * b](x)=g(a x) b-g(b x) a=[a * b, g](x)
$$

The required verifications are very similar, in the two cases.

## References

1. N. Bourbaki, Groupes et algèbres de Lie, Ch. 6, Hermann, Paris, 1968.
2. G. Hochschild, Semisimplicity of 2-graded Lie algebras, Illinois J. Math., vol. 20 (1976), pp. 107-123 (this issue).

Waterloo, Ontario
University of California
Berkeley, California

