

## THE DISTRIBUTION OF POWERFUL INTEGERS

BY

A. IVIĆ AND P. SHIU.

### 1. Introduction and statement of results

Let  $k$  be a fixed integer greater than unity. A positive integer is said to be powerful if it contains only powers of primes as factors; more precisely, let  $G(k)$  denote the set of all positive integers with the property that if a prime  $p$  divides an element of  $G(k)$ , then  $p^k$  divides it also. In other words the set of powerful (or  $k$ -full) numbers  $G(k)$  contains numbers whose canonical representation is

$$n = a_1^k a_2^{k+1} \cdots a_k^{2k-1}, \tag{1.1}$$

and this representation is unique if we stipulate that  $a_2 \cdots a_k$  is square-free. If we set

$$f_k(n) = \begin{cases} 1 & n \in G(k) \\ 0 & n \notin G(k) \end{cases}, \quad F_k(s) = \sum_{n=1}^{\infty} f_k(n)n^{-s}, \tag{1.2}$$

it follows that for  $\text{Re } s > 1/k$ ,

$$F_k(s) = \prod_p (1 + p^{-ks} + p^{-(k+1)s} + \cdots) = \prod_p \left( 1 + \frac{p^{-ks}}{1 - p^{-s}} \right). \tag{1.3}$$

For  $x \geq 1$  we denote by  $A_k(x)$  the number of  $k$ -full integers not exceeding  $x$ , so that from (1.1) and (1.2) we have

$$A_k(x) = \sum_{n \leq x, n \in G(k)} 1 = \sum_{n \leq x} f_k(n) = \sum_{a_1^k a_2^{k+1} \cdots a_k^{2k-1} \leq x} \mu^2(a_2 \cdots a_k), \tag{1.4}$$

where  $\mu(n)$  is the Möbius function. For further factoring of (1.3) we note that for  $k \geq 2$  and  $K = \frac{1}{2}(3k^2 + k - 2)$  there are constants  $a_{r,k}$  ( $2k + 2 < r \leq K$ ) such that

$$\left( 1 + \frac{v^k}{1 - v} \right) (1 - v^k)(1 - v^{k+1}) \cdots (1 - v^{2k-1}) = 1 - v^{2k+2} + \sum_{r=2k+3}^K a_{r,k} v^r. \tag{1.5}$$

---

Received July 15, 1980.

This follows when we note that the product of the first two factors on the left-hand side equals

$$(1 - v + v^k)(1 + v + \dots + v^{k-1}) = 1 + v^{k+1} + v^{k+2} + \dots + v^{2k-1},$$

and multiplying out the remaining factors we obtain (1.5). If we substitute  $v = p^{-s}$  in (1.5) and take the product over all primes, then using (1.3) and the product representation  $\zeta(s) = \prod_p (1 - p^{-s})^{-1}$  ( $\text{Re } s > 1$ ) for the Riemann zeta function it follows that

$$\begin{aligned} F_k(s) &= \zeta(ks)\zeta((k+1)s) \cdots \zeta((2k-1)s) \prod_p \left( 1 - p^{-(2k+2)s} + \sum_{r=2k+3}^K a_{r,k} p^{-rs} \right) \\ &= \zeta(ks)\zeta((k+1)s) \cdots \zeta((2k-1)s)\zeta^{-1}((2k+2)s)\phi_k(s), \end{aligned} \tag{1.6}$$

where  $\phi_2(s) = 1$  and  $\phi_k(s)$  has a Dirichlet series with the abscissa of absolute convergence equal to  $1/(2k+3)$  if  $k > 2$ . Therefore we may write

$$F_k(s) = G_k(s)H_k(s), \tag{1.7}$$

where

$$H_k(s) = \sum_{n=1}^{\infty} h_k(n)n^{-s} = \zeta(ks)\zeta((k+1)s) \cdots \zeta((2k-1)s) \tag{1.8}$$

and

$$G_k(s) = \sum_{n=1}^{\infty} g_k(n)n^{-s} = \phi_k(s)/\zeta((2k+2)s) \tag{1.9}$$

is a Dirichlet series converging absolutely for  $\text{Re } s > 1/(2k+2)$ . From (1.7) we infer that

$$A_k(x) = \sum_{a_1^k a_2^{k+1} \cdots a_k^{2k-1} \leq x} \mu^2(a_2 \cdots a_k) = \sum_{mn \leq x} g_k(m)h_k(n), \tag{1.10}$$

so that  $A_k(x)$  is closely related to the unweighted sum

$$S_k(x) = \sum_{n \leq x} h_k(n) = \sum_{a_1^k a_2^{k+1} \cdots a_k^{2k-1} \leq x} 1, \tag{1.11}$$

where the summation is taken over positive integers  $a_1, \dots, a_k$ . Following standard procedures (e.g., the inversion formula for Dirichlet series used in Section 2) we may write

$$S_k(x) = \sum_{r=k}^{2k-1} C_{r,k} x^{1/r} + \Delta_k^*(x), \tag{1.12}$$

where

$$C_{r,k} = \prod_{j=k, j \neq r}^{2k-1} \zeta(j/r),$$

and  $\Delta_k^*(x)$  may be considered as an error term. If we define  $\rho_k^*$  as the infimum of all  $\rho$  satisfying

$$\Delta_k^*(x) \ll x^\rho \tag{1.13}$$

as  $x \rightarrow \infty$ , then an application of E. Landau's classical results concerning lattice point problems (see [16], [17]) gives, for  $k \geq 2$ ,

$$\frac{k-1}{k(3k-1)} \leq \rho_k^* \leq \frac{1}{k+2}. \tag{1.14}$$

From (1.10) and (1.12) it is seen that the asymptotic formula for  $A_k(x)$  may be written as

$$A_k(x) = \gamma_{0,k} x^{1/k} + \gamma_{1,k} x^{1/(k+1)} + \dots + \gamma_{k-1,k} x^{1/(2k-1)} + \Delta_k(x), \tag{1.15}$$

where, for  $i = 0, 1, \dots, k-1$ ,

$$\gamma_{i,k} = \operatorname{Res}_{s=1/(k+i)} F_k(s) s^{-1} = C_{k+i,k} \phi_k(1/(k+i)) / \zeta((2k+2)/(k+i)),$$

and  $\Delta_k(x)$  may be considered as an error term. The estimation of  $\Delta_k(x)$  will be the main goal of this paper, and in analogy with (1.13) we define  $\rho_k$  to be the infimum of all  $\rho$  satisfying

$$\Delta_k(x) \ll x^\rho \tag{1.16}$$

as  $x \rightarrow \infty$ .

The investigation of powerful numbers began in 1935, when P. Erdős and G. Szekeres [4] proved in an elementary way that  $\rho_k \leq 1/(k+1)$  for  $k \geq 2$ . Their result was sharpened in 1958 by P. Bateman and E. Grosswald [1], who proved that  $\rho_2 \leq \frac{1}{6}$ ,  $\rho_3 \leq \frac{7}{46}$ ,  $\rho_k \leq 1/(k+2)$  for  $k \geq 2$  and

$$\rho_k \leq \max(r/k(r+2), 1/(k+r+1)), \quad r = [\sqrt{2k}], \quad k \geq 4. \tag{1.17}$$

Further improvements may be found in the work of E. Krätzel [15], whose results include the estimate

$$\rho_k \leq 1/(k+H(k)), \quad \sqrt{\frac{8k}{3}} < H(k) < \left(1 + \sqrt{\frac{7}{3}}\right) \sqrt{\frac{8k}{3}}, \tag{1.18}$$

which is valid if  $k$  is sufficiently large. The sharpest results for  $3 \leq k \leq 5$  were obtained by A. Ivić in [9] (further improvements in [11]), where he proved

$$\begin{aligned} \rho_3 &\leq \frac{655}{4643} = 0.1410 \dots, \\ \rho_4 &\leq \frac{257}{2072} = 0.1240 \dots \\ \rho_5 &\leq \frac{6656613}{6227997} = 0.1068 \dots \end{aligned} \tag{1.19}$$

It was also proved in [9] that  $\rho_k \leq 1/2k$  for  $k > 2$  if the (so far unproved) Lindelöf hypothesis that  $\zeta(\frac{1}{2} + it) \ll t^\epsilon$  holds.

For small values of  $k$  we shall improve on existing bounds for  $\rho_k$  by proving the following result.

THEOREM 1.

$$\rho_3 \leq \frac{263}{2052} = 0.128167 \dots, \quad \rho_4 \leq \frac{3091}{25981} = 0.118971 \dots,$$

$$\rho_5 \leq \frac{1}{10}, \quad \rho_6 \leq \frac{1}{12}, \quad \rho_7 \leq \frac{1}{14}.$$

We conjecture that  $\rho_k \leq 1/2k$ , and apart from the absence of suitable power moments for the zeta function, our methods would give this for  $k \leq 13$ . The proof of values for  $\rho_k$  when  $k = 5, 6, 7$  will be given by complex integration, while the values of  $\rho_3$  and  $\rho_4$  will follow from an estimate for the general three-dimensional problem. If  $1 \leq a \leq b \leq c$  are integers, then we have

$$D(a, b, c; x) = \sum_{n_1^a n_2^b n_3^c \leq x} 1$$

$$= \zeta(b/a)\zeta(c/a)x^{1/a} + \zeta(a/b)\zeta(c/b)x^{1/b}$$

$$+ \zeta(a/c)\zeta(b/c)x^{1/c} + \Delta(a, b, c; x), \tag{1.20}$$

where  $\Delta(a, b, c; x)$  may be regarded as an error term, and the main terms are evaluated most conveniently by residues. In case some of the numbers  $a, b, c$  are equal, the main terms are obtained by taking the appropriate limit. It will be seen from Lemma 1 of Section 2 that  $\Delta_3(x)$  is essentially of the same order of magnitude as  $\Delta(3, 4, 5; x)$ , so that  $\rho_3 \leq \frac{262}{2052}$  is a special case of the following.

THEOREM 2. *If  $a, b, c$  are integers such that  $1 \leq a < b \leq c, c \leq a + b, 92b \leq 171a$  or if  $(a, b, c) = (1, 2, 2)$ , then as  $x \rightarrow \infty$  we have*

$$\Delta(a, b, c; x) \ll x^{263/171(a+b+c)} \log^2 x. \tag{1.21}$$

We shall prove Theorem 2 in Section 3, where some applications and remarks concerning (1.21) are given, and we devote Section 4 to certain additive problems concerning powerful numbers, focusing our attention on

$$B_{k,m}(x) = \sum_{n \leq x} R_{k,m}(n),$$

where  $R_{k,m}(n)$  is the number of ways  $n$  can be written as a sum of  $m$   $k$ -full numbers.

In concluding this section, let us make the following two remarks. Firstly, from (1.7), (1.8) and (1.9) it is seen that  $\rho_k^* < 1/(2k + 2)$  (at present known by [21] to hold only for  $k = 2$ ) would give

$$\Delta_k(x) \ll x^{1/(2k+2)} \exp(-c_k \delta(x)), \tag{1.22}$$

where  $c_k > 0, \delta(x) = \log^{3/5} x \cdot (\log \log x)^{-1/5}$ . The case  $k = 2$  was settled by Bateman and Grosswald in [1]. The general estimate in the case  $\rho_k^* <$

$1/(2k + 2)$  could be obtained following their proof [1], or it could be obtained directly by applying the convolution theorem of [10]. Thus, apart from unproved conjectures like Riemann’s or Lindelöf’s, the estimate (1.22) appears to be the limit of present methods, since there is no way to remove  $1/\zeta((2k + 2)s)$  from the product representation (1.6) of  $F_k(s)$ .

Another remark is that, for  $k \geq 3$ , the line  $\text{Re } s = 0$  is a natural boundary for the function  $G_k(s)$  given by (1.9). To see this we need a lemma of T. Estermann [5] which states that, for small  $x$ ,

$$1 - x + x^k = \prod_{n=1}^{\infty} (1 - x^n)^{l_k(n)}. \tag{1.23}$$

Here  $l_k(n)$  is an integer given by

$$l_k(n) = \frac{1}{n} \sum_{ab=n} \mu(a) \sum_{r=1}^k \lambda_r^b,$$

where  $\lambda_1, \lambda_2, \dots, \lambda_k$  are the roots of  $\lambda^k - \lambda^{k-1} + 1 = 0$ . From the product representation of  $F_k(s)$  and (1.5) we have

$$G_k(s) = \frac{\zeta(s)}{H_k(s)} \prod_p (1 - p^{-s} + p^{-ks}),$$

so that from (1.23) we see that  $G_k(s)$  can be written as an infinite product of the Riemann zeta-functions. For example, we have

$$(1, 0, -1, -1, -1, 0, 0, 1, 1, 1, 0, 0, -1, -1, 0, 0, 1, 1, 1, 0, -1, -2, -2, -1, 1, 3, \dots)$$

for the sequence  $(l_3(n))$ , so that

$$G_3(s) = \frac{\zeta(13s)\zeta(14s)\zeta(21s)\zeta^2(22s)\zeta^2(23s)\zeta(24s) \cdots}{\zeta(8s)\zeta(9s)\zeta(10s)\zeta(17s)\zeta(18s)\zeta(19s)\zeta(25s)\zeta^3(26s) \cdots}.$$

If we assume the truth of the Riemann hypothesis we can deduce easily that the zeros of  $G_k(s)$  ( $k \geq 3$ ) are dense in the line  $\text{Re } s = 0$ . If we follow the proof of the main theorem in Estermann’s paper we can give an unconditional proof using only simple zero-density estimates for the Riemann zeta-function. We shall not require this result in our proofs of the theorems, and shall therefore omit the proof.

### 2. Proof of Theorem 1

In this section we shall prove Theorem 1, except for bounds for  $\rho_3$  and  $\rho_4$  which will follow from Theorem 2. We require first the following result,

LEMMA 1. *If, as  $x \rightarrow \infty$ ,*

$$\Delta_k^*(x) \ll x^{\eta_k} \log^{\lambda_k} x \tag{2.1}$$

for  $1/(2k + 2) \leq \eta_k < 1/(2k - 1)$  and  $\lambda_k \geq 0$ , where  $\Delta_k^*(x)$  is given by (1.12), then

$$\Delta_k(x) \ll x^{\eta_k} \log^{\lambda_k} x \tag{2.2}$$

for  $\Delta_k(x)$  defined by (1.15), where  $\lambda'_k = \lambda_k$  for  $1/(2k + 2) < \eta_k < 1/(2k - 1)$ , and  $\lambda'_k = \lambda_k + 1$  for  $\eta_k = 1/(2k + 2)$ .

*Proof.* The proof of this simple and useful result is essentially given in [1] and [9], but we shall give a sketch for the sake of completeness. From (1.10) and (1.11) we have

$$\begin{aligned} A_k(x) &= \sum_{m \leq x} g_k(m) \sum_{n \leq x/m} h_k(n) \\ &= \sum_{r=k}^{2k-1} C_{r,k} x^{1/r} \sum_{m \leq x} g_k(m) m^{-1/r} + \sum_{m \leq x} g_k(m) \Delta_k^*(x/m), \end{aligned} \tag{2.3}$$

where we have used (1.12) for  $S_k(x) = \sum_{n \leq x} h_k(n)$ . From (1.9) and the fact that  $\phi_k(s)$  converges absolutely for  $\text{Re } s > 1/(2k + 3)$  we infer that

$$\sum_{n \leq x} g_k(n) \ll x^{1/(2k+2)},$$

whence by partial summation

$$\sum_{m \leq x} g_k(m) m^{-1/r} = G_k(1/r) + \sum_{m > x} g_k(m) m^{-1/r} = G_k(1/r) + O(x^{1/(2k+2)-1/r}). \tag{2.4}$$

Substituting (2.4) in (2.3) we obtain

$$\begin{aligned} A_k(x) &= \sum_{r=k}^{2k-1} C_{r,k} G_k(1/r) x^{1/r} + O(x^{1/(2k+2)}) + \sum_{m \leq x} g_k(m) \Delta_k^*(x/m) \\ &= \gamma_{0,k} x^{1/k} + \gamma_{1,k} x^{1/(k+1)} + \dots + \gamma_{k-1,k} x^{1/(2k-1)} + O(x^{\eta_k} \log^{\lambda_k} x) \end{aligned} \tag{2.5}$$

with

$$\gamma_{i,k} = C_{k+i,k} G_k(1/(k+i)) = C_{k+i,k} \phi_k(1/(k+i)) / \zeta((2k+2)/(k+i)),$$

since

$$\sum_{m \leq x} g_k(m) \Delta_k^*(x/m) \ll x^{\eta_k} \log^{\lambda_k} x \sum_{m \leq x} |g_k(m)| m^{-\eta_k} \ll x^{\eta_k} \log^{\lambda_k} x,$$

because the second sum above is  $O(\log x)$  if  $\eta_k = 1/(2k + 2)$  and it is bounded if  $\eta_k > 1/(2k + 2)$ .

Lemma 1 is therefore proved; we have not considered the case  $\eta_k < 1/(2k + 2)$ , since this would lead to (1.22), as remarked in Section 1.

We now proceed with the proof of Theorem 1 supposing  $4 < k < 8$ . By Lemma 1 it will be sufficient to prove  $\Delta_k^*(x) \ll x^{1/2k+\varepsilon}$ , but we remark that taking more care we could obtain  $\Delta_k^*(x) \ll x^{1/2k} \log^c x$ , with explicit  $c = c(k) \geq 0$ . The classical method of contour integration is applied to the function  $H_k(s)$ , which is regular except for simple poles at

$$s = 1/k, 1/(k + 1), \dots, 1/(2k - 1).$$

Proceeding similarly as in [24, Lemma 3.12], we have, for  $x$  half a large odd integer, and  $b > 1/k$ ,

$$\sum_{n \leq x} h_k(n) = (2\pi i)^{-1} \int_{b-iT}^{b+iT} H_k(s)x^s s^{-1} ds + O(x^b T^{-1}(b - 1/k)^{-1}) + O(\phi(2x)x^{1/k}T^{-1} \log x). \tag{2.6}$$

Here  $\phi(x)$  denotes a non-decreasing positive function for which  $h_k(n) = O(\phi(n))$ , so that from the definition of  $h_k(n)$  it is seen that one may take  $\phi(x) = x^\varepsilon$  for any  $\varepsilon > 0$ . Therefore for fixed  $1 > b > 1/k$ ,  $\varepsilon > 0$ ,

$$\sum_{n \leq x} h_k(n) = (2\pi i)^{-1} \int_{b-iT}^{b+iT} H_k(s)x^s s^{-1} ds + O(x^{1/k+\varepsilon}T^{-1}) + O(x^b T^{-1}). \tag{2.7}$$

Moving the line of integration to  $\text{Re } s = 1/2k$ , we obtain, by the residue theorem,

$$(2\pi i)^{-1} \int_{b-iT}^{b+iT} H_k(s)x^s s^{-1} ds = \sum_{r=k}^{2k-1} \text{Res}_{s=1/r} H_k(s)x^s s^{-1} + (2\pi i)^{-1}(I_1 + I_2 + I_3), \tag{2.8}$$

where

$$\begin{aligned} I_1 &= \int_{1/2k-iT}^{1/2k+iT} H_k(s)x^s s^{-1} ds, \\ I_2 &= \int_{b-iT}^{1/2k-iT} H_k(s)x^s s^{-1} ds, \\ I_3 &= \int_{1/2k+iT}^{b+iT} H_k(s)x^s s^{-1} ds. \end{aligned} \tag{2.9}$$

We have

$$I_1 \ll x^{1/2k} \int_1^T \prod_{r=k}^{2k-1} |\zeta(r/2k + rit)| t^{-1} dt + x^{1/2k}, \tag{2.10}$$

and we now proceed to estimate

$$I_4 = \int_1^T \prod_{r=k}^{2k-1} |\zeta(r/2k + rit)| dt, \tag{2.11}$$

by repeated use of the Cauchy-Schwarz inequality for integrals, giving the detailed proof for  $k = 7$ , and omitting the easier cases  $k = 5$  and  $k = 6$ . For

this we shall need the following power moments for the zeta-function:

$$\int_1^T |\zeta(\frac{1}{2} + it)|^4 dt \ll T \log^4 T, \quad \int_1^T |\zeta(\sigma + it)|^4 dt \ll T, \quad \sigma > \frac{1}{2}, \quad (2.12)$$

$$\int_1^T |\zeta(\sigma + it)|^8 dt \ll T^{1+\epsilon} \quad \text{for } \sigma \geq \frac{5}{8}, \quad (2.13)$$

$$\int_1^T |\zeta(\sigma + it)|^{16} dt \ll T^{1+\epsilon} \quad \text{for } \sigma \geq \frac{13}{16}. \quad (2.14)$$

The estimates (2.12) are to be found in 7.5 and 7.6 of [24], (2.13) was recently proved by D. R. Heath-Brown [7], and (2.14) follows with  $\alpha = \frac{1}{2}$  from Theorem 7.9 of [24] when one uses

$$\int_1^T |\zeta(\frac{1}{2} + it)|^{16} dt \ll T^{8/3},$$

which follows trivially from  $\int_1^T |\zeta(\frac{1}{2} + it)|^{12} dt \ll T^2 \log^{17} T$ , proved by D. R. Heath-Brown [6]. We obtain then, for  $k = 7$ ,

$$I_4 \leq \prod_{r=7}^8 \left( \int_1^T |\zeta(r/14 + rit)|^4 dt \right)^{1/4} \prod_{r=9}^{11} \left( \int_1^T |\zeta(r/14 + rit)|^8 dt \right)^{1/8},$$

$$\prod_{r=12}^{13} \left( \int_1^T |\zeta(r/14 + rit)|^{16} dt \right)^{1/16} \ll T^{1+\epsilon}, \quad (2.15)$$

when we apply (2.12), (2.13), and (2.14), since  $\frac{9}{14} > \frac{5}{8}$  and  $\frac{12}{14} > \frac{13}{16}$ , so that integrating by parts

$$I_1 \ll x^{1/2k} T^\epsilon. \quad (2.16)$$

The integrals  $I_2$  and  $I_3$  are estimated in the same fashion; we give the details only for  $I_3$ . With  $b = 1/k + \epsilon$  we obtain

$$I_3 \ll \int_{1/2k}^{1/k+\epsilon} x^\sigma T^{-1} \prod_{r=k}^{2k-1} |\zeta(r\sigma + irT)| d\sigma. \quad (2.17)$$

If we use  $\zeta(\sigma + it) \ll t^{(1-\sigma)/3} \log t$ ,  $t \geq t_0$ ,  $\frac{1}{2} \leq \sigma \leq 1$  (see [24]), then it follows that

$$I_2 + I_3 \ll x^{1/k+\epsilon} T^q = x^{1/k+\epsilon} T^{(k-11)/12+k\epsilon} \ll 1 \quad (2.18)$$

where

$$q = \frac{1}{3} \sum_{r=k}^{2k-1} \left( 1 - \frac{r}{2k} \right) + k\epsilon - 1$$

if  $4 < k \leq 10$  and  $T = T(x)$  is sufficiently large. Using more refined estimates for the order of the zeta function in the critical strip, we could also obtain (2.18) for  $k = 11, 12$  and  $13$ . Our result that  $\rho_k \leq 1/2k$  for  $4 < k < 8$  will follow from Lemma 1, (2.7), (2.8), (2.16) and (2.18) if one chooses  $T = T(x)$  sufficiently large. For the estimates of  $\rho_3$  and  $\rho_4$  the reader is referred to Section 3.

### 3. The general three-dimensional divisor problem

Let now  $\Delta(a, b, c; x)$  denote the error term in the general three-dimensional divisor problem, as defined by (1.20), where  $1 \leq a \leq b \leq c$  are fixed integers, and for brevity we set  $d = a + b + c$ . In the same paper [4] where the investigation of powerful numbers was initiated, Erdős and Szekeres investigated the asymptotic formula for the number of non-isomorphic abelian groups whose order does not exceed  $x$ . Subsequent authors successfully carried on this research (see [21], [22], [23]), and the problem can be reduced to the estimation of  $\Delta(1, 2, 3; x)$ . A useful formula for  $\Delta(1, 2, 3; x)$  was discovered by P. G. Schmidt in [22], involving sums with the function

$$\psi(x) = x - [x] - \frac{1}{2}. \tag{3.1}$$

Following Schmidt’s method of proof, the following generalization of his result may be obtained (see [11] for a proof):

LEMMA 2. *If  $1 \leq a < b \leq c, b \leq 2a$  are integers, then*

$$\Delta(a, b, c; x) = - \sum_{(u, v, w)} S_{u, v, w}(x) + O(x^{1/d}), \tag{3.2}$$

where  $d = a + b + c$ ,

$$S_{u, v, w}(x) = \sum_{n \leq x^{1/d}} \sum_{n < m \leq (xn^{-w})^{1/(u+v)}} \psi((xm^{-v}n^{-w})^{1/u}), \tag{3.3}$$

and  $(u, v, w)$  is any permutation of  $(a, b, c)$ .

We may write

$$S_{u, v, w}(x) \ll \max_{M, N} |S_{u, v, w}(x; M, N)| \log^2 x$$

where the maximum is taken over  $M, N$  satisfying  $N \leq x^{1/d}, N \leq 2M$ , and  $M^{u+v}N^w \leq x$ , and

$$S_{u, v, w}(x; M, N) = \sum_{M < m \leq 2M, N < n \leq 2N, m^{u+v}n^w \leq x, m > n} \psi((xm^{-v}n^{-w})^{1/u}). \tag{3.5}$$

To estimate the above sum we shall apply the following result of B. R. Srinivasan [23, Theorem 5], which enabled him to prove

$$\Delta(1, 2, 3; x) \ll x^{105/407} \log^2 x,$$

and which we state as follows:

LEMMA 3.

$$S_{u,v,w}(x; M, N) \ll (F^{1/2-\theta} M^{3/4-\theta/2} N^{5/4-3\theta/2})^{1/(3/2-\theta)} + F^{1/4} M^{1/4} N + F^{-1/2} MN, \tag{3.6}$$

where  $F = (xM^{-v}N^{-w})^{1/u}$ ,  $\theta \leq \frac{33}{250}$ .

We are now ready to prove Theorem 2. Since  $N \ll M$  in  $S_{u,v,w}(x; M, N)$ , the condition  $c \leq a + b$  of Theorem 2 gives

$$(MN)^{d/2} \leq M^{u+v} N^w \leq x. \tag{3.7}$$

The conditions  $c \leq a + b$ ,  $92b \leq 171a$  ensure  $3u - d/2 \geq 3a - d/2 \geq 0$ , and so we obtain

$$F^{1/4} M^{1/4} N = (x(M^4 N^8)^{u/2} (M^{u+v} N^w)^{-1})^{1/4u} \ll (x(MN)^{3u-d/2})^{1/4u} \ll x^{3/2d}, \tag{3.8}$$

and similarly

$$F^{-1/2} MN \ll x^{3/2d} = x^{3/2(a+b+c)}. \tag{3.9}$$

With  $\theta = \frac{33}{250}$ , the first term on the right-hand side of (3.6) becomes

$$\begin{aligned} (F^{92} M^{171} N^{263})^{1/342} &= (x^{92} (MN)^{263u} (M^{u+v} N^w)^{-92})^{1/342u} \\ &\ll (x^{92} (MN)^{263u-46d})^{1/342u} \\ &\ll (x^{526u/d})^{1/342u} = x^{263/171(a+b+c)}, \end{aligned} \tag{3.10}$$

since  $263u - 46d \geq 263a - 46(a + b + c) \geq 0$  if  $(a, b, c) = (1, 2, 2)$  or if  $c \leq a + b$ ,  $92b \leq 171a$ . Formula (1.21) follows from the above estimates since  $\frac{3}{2} < \frac{263}{171}$ .

With  $(a, b, c) = (3, 4, 5)$ , we obtain

$$\Delta(3, 4, 5; x) \ll x^{263/2052} \log^2 x,$$

which in view of Lemma 1 gives  $\rho_3 \leq \frac{263}{2052}$ .

Finally to prove  $\rho_4 \leq \frac{3091}{25981}$  we use a result of [9] based on the work of E. Krätzel [15]. If  $\Delta(\bar{a}_{k,m}; x)$  denotes the error term in the asymptotic formula for

$$\sum_{n_0^k n_1^{k+1} \cdots n_m^{k+m} \leq x} 1$$

and if  $\Delta(\bar{a}_{k,m}; x) \ll x^{\alpha_{k,m}}$ , then (2.11) of [9] gives, with the exponent pair  $(\frac{2}{7}, \frac{4}{7})$ ,

$$\alpha_{k,m} = \frac{2 + k\alpha_{k,m-1}}{5k + 2m - 2k(k+m)\alpha_{k,m-1}}$$

if  $27k\beta_{k,m} \leq 14 + 13k\alpha_{k,m-1}$ , where  $\beta_{k,m}$  is precisely defined in [9]. From Lemma 1 it is seen that  $\rho_4$  is essentially  $\alpha_{4,3}$  and using Theorem 2 we obtain

$\alpha_{4,2} \leq \frac{263}{2563}$  which gives (after verifying that  $108\beta_{4,3} \leq 14 + 56\alpha_{4,2}$ )

$$\rho_4 \leq \frac{1 + 2\alpha_{4,2}}{13 - 28\alpha_{4,2}} \leq \frac{3091}{25981} = 0.118971 \dots,$$

as claimed.

Under suitable conditions on  $a, b, c$  one can replace  $\frac{263}{191}$  in the exponent of (1.21) with

$$(5 + 6\lambda_0 - 6\lambda_1)/(3 + 2\lambda_0 - 2\lambda_1),$$

where  $(\lambda_0, \lambda_1)$  is any two-dimensional exponent pair satisfying  $3\lambda_0 + \lambda_1 \leq \frac{1}{2}$  (for the definition and properties of two-dimensional exponent pairs see [22]). If  $(\frac{1}{12}, \frac{1}{4})$  were a two-dimensional exponent pair, then one would obtain a sharpening of Theorem 2 in the form

$$\Delta(a, b, c; x) \ll x^{3/2(a+b+c)} \log^2 x. \tag{3.11}$$

However, (3.11) certainly cannot hold for arbitrary values of  $a, b, c$ , since E. Krätzel has shown in [14] that

$$\Delta(a, b, c; x) = \Omega(x^{1/2(a+b)}), \quad c > 2(a + b), \tag{3.12}$$

where as usual  $\Omega(f(x))$  is the negation of  $o(f(x))$  as  $x \rightarrow \infty$ . E. Landau’s classical theorems on lattice point problems (see [16], [17]) yield

$$\Delta(a, b, c; x) \ll x^{1/2a}, \quad \Delta(a, b, c; x) = \Omega(x^{1/(a+b+c)}). \tag{3.13}$$

The estimate  $\Delta(a, b, c; x) \ll x^{1/2a}$  can also be obtained easily (with a factor  $\log^{3/2} x$ ) following our proof of Theorem 1, and it supersedes the conjectured estimate (3.11) if  $b + c < 2a$ .

We may further remark that Theorem 2 gives

$$\Delta(1, 2, 2; x) \ll x^{263/855} \log^2 x \ll x^{0.3076024}, \tag{3.14}$$

which is an improvement of

$$\Delta(1, 2, 2; x) \ll x^{577/1740} \ll x^{0.3316092}, \tag{3.15}$$

proved in [11]. As shown in [11], (3.15) gives for some suitable constant  $d_k \geq 0$

$$A_k(x, h) = \sum_{x < n \leq x+h, a(n)=k} 1 = (d_k + o(1))h, \quad h \geq x^{581/1744} \log x, \tag{3.16}$$

where  $k$  is fixed,  $x \rightarrow \infty$  and  $a(n)$  is the number of non-isomorphic abelian groups of order  $n$ . By the method of proof of [11], the estimate (3.14) would improve the range for  $h$  in (3.16) to

$$h \geq x^{877/2653} \log^c x, \quad c \geq 5, \quad \frac{877}{2653} = 0.3305 \dots$$

**4. Additive problems involving powerful numbers**

Let  $q_{k,n}$  denote the  $n$ th element of  $G(k)$ . The weak asymptotic formula

$$A_k(x) = \sum_{n \leq x, n \in G(k)} 1 = \gamma_{0,k} x^{1/k} + O_k(x^{1/(k+1)}) \tag{4.1}$$

implies, for  $x = q_{k,n}$ ,

$$n = \gamma_{0,k} q_{k,n}^{1/k} + O_k(q_{k,n}^{1/(k+1)}), \tag{4.2}$$

and therefore

$$q_{k,n} = (n/\gamma_{0,k})^k + O_k(n^{(k^2+k-1)/(k+1)}), \tag{4.3}$$

where  $O_k$  means that the implied constant depends on  $k$ , and, by (1.15) and (1.3),

$$\gamma_{0,k} = \prod_p \left( 1 + \frac{1 - p^{(1-k)/k}}{p^{(k+1)/k} - p} \right). \tag{4.4}$$

Defining

$$R_{k,m}(n) = \sum_{x_1 + \dots + x_m = n, x_1, \dots, x_m \in G(k)} 1 \tag{4.5}$$

as the number of ways  $n$  can be written as a sum of  $m$   $k$ -powerful numbers (0 and 1 are considered as  $k$ -powerful) it is seen from (4.3) that the summatory function

$$B_{k,m}(x) = \sum_{n \leq x} R_{k,m}(n) \tag{4.6}$$

may be written as

$$B_{k,m}(x) = \sum_{x_1 + \dots + x_m \leq x, x_1, \dots, x_m \in G(k)} 1 = \sum'_{n_1^k + \dots + n_m^k \leq Y} 1, \tag{4.7}$$

where

$$Y = \gamma_{0,k}^k x + O_{k,m}(x^{(k^2+k-1)/(k^2+k)}), \tag{4.8}$$

and  $\sum'$  denotes summation over non-negative integers  $n_1, \dots, n_m$ .

Our main goal is an asymptotic formula for  $B_{k,m}(x)$ , and from (4.7) it is seen that this problem is transformed into the well-known problem of determining the number of lattice points in certain well-defined multi-dimensional regions. It is well known (see [25], [12]) that  $B_{k,m}(x)$  is approximated by the volume of the corresponding region, so that as  $x \rightarrow \infty$ , we have

$$B_{k,m}(x) \sim C_{k,m} x^{m/k}, \tag{4.9}$$

where  $C_{k,m}$  may be explicitly evaluated. In case  $k = 2$  we have

$$\gamma_{0,2} = \zeta(\frac{3}{2})/\zeta(3) = 2.1732 \dots,$$

and from the asymptotic formula (see [25], [26], [2])

$$\sum_{n_1^2 + \dots + n_m^2 \leq x} 1 = \frac{\pi^{m/2} x^{m/2}}{\Gamma(m/2 + 1)} + O_m(x^{c_m}), \tag{4.10}$$

where  $c_2 < \frac{1}{3}$ ,  $c_3 < \frac{3}{4}$ ,  $c_m = m/2 - 1$  for  $m \geq 4$ , we obtain

$$B_{2,m}(x) = \frac{\zeta^m(\frac{3}{2})\pi^{m/2} x^{m/2}}{2^m \zeta^m(3)\Gamma(m/2 + 1)} + O_m(x^{(m^2+m-1)/(2m+2)}). \tag{4.11}$$

Therefore, on the average there are  $(\zeta(\frac{3}{2})/\zeta(3))^m$  more representations of an integer as a sum of  $m$  square-full numbers, than as a sum of  $m$  non-negative integer squares. Similarly from (4.7), (4.8), and the asymptotic formula for

$$\sum_{n_1^k + n_2^k \leq x} 1$$

(see [13]), we obtain

$$B_{k,2}(x) = \prod_p \left( 1 + \frac{1 - p^{(1-k)/k}}{p^{(k+1)/k} - p} \right)^2 \cdot \frac{\Gamma^2(k + 1/k)}{\Gamma(k + 2/k)} x^{2/k} + O_k(x^{2(k^2+k-1)/(k^3+k^2)}) \tag{4.12}$$

The above result for  $k = 2$  was obtained in [8] by an application of an additive theorem of V. Tašbaev [19, pp. 102–104]; the same theorem would improve the error term in (4.12) to  $O_k(x^{(2k+1)/(k^2+k)})$ , and it may be mentioned that starting from  $B_{2,2}(x)$  and proceeding inductively an improvement for the error term in (4.11) may be also obtained.

From (4.12) it is seen that for  $k > 2$  there exist arbitrarily large integers which are not representable as a sum of two  $k$ -powerful numbers. In case  $k = 2$  the density of integers which are a sum of two square-full numbers is zero according to P. Erdős, and in the other direction it was proved recently by R. Odoni [18] that the number of integers not exceeding  $x$  which are a sum of two square-full numbers is much greater than

$$x(\log x)^{-1/2} \exp(C \log \log x / \log \log \log x)$$

for some constant  $C > 0$  and  $x > x_0$ . A problem similar to Waring’s may be proposed: find an integer  $M(k)$  such that all but finitely many numbers  $n$  are a sum of  $M(k)$  numbers from  $G(k)$ . Since a perfect  $k$ th power certainly belongs to  $G(k)$ , it follows from the work on Waring’s problem that  $M(k)$  is finite for all  $k \geq 2$ . P. Erdős conjectured that  $M(k) = k + 1$ . In particular, this conjecture asserts  $M(2) = 3$ , and it seems that all numbers except 7, 15, 23, 87, 111 and 119 are a sum of three square-full numbers (this was verified for  $n \leq 32761$ ). Integers not representable as a sum of three squares are numbers of the form  $4^a(8K + 7)$ , therefore only integers of the form  $8K + 7$  are possibly not representable as a sum of three square-full numbers, since if  $n = 4^a(8K + 7)$ ,

$a \geq 1$ , then  $8K + 7 = x^2 + y^2 + 2z^2$ , where  $x, y, z$  are integers (see [3]) and therefore

$$n = (2^a x)^2 + (2^a y)^2 + 8(2^{a-1} z)^2,$$

which is a sum of three square-full numbers. By considering various quadratic forms it may be shown that certain types of integers are a sum of three square-full numbers. For instance, if  $N = 25n$ , the only case when  $N$  might not be a sum of three square-full numbers is when  $n = 8K + 7$ . But in this case  $n = x^2 + y^2 + 5z^2$ , since (see [3]) this quadratic form represents integers not of the form  $4^a(8L + 3)$ . Thus we have  $N = (5x)^2 + (5y)^2 + 5^3 z^2$ , which is a sum of three square-full numbers.

## REFERENCES

1. P. T. BATEMAN and E. GROSSWALD, *On a theorem of Erdős and Szekeres*, Illinois. J. Math., vol. 2 (1958), pp. 88–98.
2. JING-RUN CHEN, *Improvement on the asymptotic formulas for the number of lattice points in a region of three dimensions II*, Sci. Sinica, vol. 12 (1963), pp. 751–764.
3. L. E. DICKSON, *Modern elementary theory of numbers*, sixth ed., Chicago, 1965.
4. P. ERDÖS and G. SZEKERES, *Über die Anzahl der Abelschen Gruppen gegebener Ordnung und über ein verwandtes zahlentheoretisches Problem*, Acta Sci. Math. (Szeged), vol. 7 (1935), pp. 95–102.
5. T. ESTERMANN, *On certain functions represented by Dirichlet series*, Proc. London Math. Soc. (2), vol. 27 (1928), pp. 435–448.
6. D. R. HEATH-BROWN, *The twelfth power moment of the Riemann zeta function*. Quart. J. Math. Oxford (2), vol. 19 (1978), pp. 443–462.
7. ———, “The mean values of the Riemann zeta function” in *Recent progress in analytic number theory*, Vol. 1, Edited by H. Halberstam and C. Hooley, Academic Press, London, 1981.
8. A. IVIĆ, *An asymptotic formula for the elements of a semigroup of integers*, Mat. Vesnik (Belgrade), vol. 10 (25) (1973), pp. 255–257.
9. ———, *On the asymptotic formulas for powerful numbers*, Publ. Inst. Math. (Belgrade), vol. 23 (37) (1978), pp. 85–94.
10. ———, *A convolution theorem with application to some divisor problems*, Publ. Inst. Math. (Belgrade), vol. 24 (38) (1978), pp. 67–78.
11. ———, *On the number of finite non-isotropic abelian groups in short intervals*, Math. Nachr., Bd, 101 (1981), pp. 257–271.
12. E. KRÄTZEL, *Identitäten für die Anzahl der Gitterpunkte in bestimmten Bereichen*, Math. Nachr., vol. 36 (1968), pp. 181–191.
14. ———, *Teilerprobleme in drei Dimensionen*, Math. Nachr., vol. 42 (1969), pp. 275–288.
15. ———, *Zahlen  $k$ -ter Art*, Amer. J. Math., vol. 94 (1972), pp. 309–328.
16. E. LANDAU, *Über die Anzahl der Gitterpunkte in gewissen Bereichen (Zweite Abhandlung)*, Nachr. Ges. Wiss. Göttingen, 1915, pp. 209–243.
17. ———, *Über die Anzahl der Gitterpunkte in gewissen Bereichen (Vierte Abhandlung)*, Nachr. Ges. Wiss. Göttingen, 1924, pp. 137–150.
18. R. W. K. ODONI, *On a problem of Erdős on sums of two square-full numbers*, Acta Arith., to appear.
19. A. G. POSTNIKOV, *Introduction to analytic number theory* (Russian) Moscow, 1971.
20. K. PRACHAR, *Primzahlverteilung*, Berlin, 1957.
21. H.-E. RICHERT, *Über die Anzahl Abelscher Gruppen gegebener Ordnung I*, Math. Z., vol. 56 (1952), pp. 21–32.

22. P. G. SCHMIDT, *Zur Anzahl Abelscher Gruppen gegebener Ordnung*, J. Reine Angew. Math., vol. 229 (1968), pp. 34–42.
23. B. R. SRINIVASAN, *On the number of abelian groups of a given order*, Acta Arith., vol. 23 (1973), pp. 195–205.
24. E. C. TITCHMARSH, *The theory of the Riemann zeta-function*, Clarendon Press, Oxford, 1951.
25. A. WALFISZ, *Gitterpunkte in mehrdimensionalen Kugeln*, Monografie Matematyczne 33, Warszawa, 1957.
26. A. WALFISZ and A. WALFISZ, “Über Gitterpunkte in mehrdimensionalen Kugeln IV” in *Number theory and analysis* (Papers in Honor of E. Landau), New York, 1969, pp. 307–333.

UNIVERSITETA U BEOGRADU

BEOGRAD, YUGOSLAVIA

LOUGHBOROUGH UNIVERSITY OF TECHNOLOGY

LOUGHBOROUGH, LEICESTERSHIRE, ENGLAND