

**$L_k$ -2-TYPE HYPERSURFACES IN HYPERBOLIC SPACES**

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**Abstract.** In this article, we study  $L_k$ -finite-type hypersurfaces  $M^n$  of a hyperbolic space  $\mathbb{H}^{n+1} \subset \mathbb{R}_1^{n+2}$ , for  $k \geq 1$ . In the 3-dimensional case, we obtain the following classification result. Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable hypersurface with constant  $k$ -th mean curvature  $H_k$ , which is not totally umbilical. Then  $M^3$  is of  $L_k$ -2-type if and only if  $M^3$  is an open portion of a standard Riemannian product  $\mathbb{H}^1(r_1) \times \mathbb{S}^2(r_2)$  or  $\mathbb{H}^2(r_1) \times \mathbb{S}^1(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ . In the  $n$ -dimensional case, we show that a hypersurface  $M^n \subset \mathbb{H}^{n+1}$ , with constant  $k$ -th mean curvature  $H_k$  and having at most two distinct principal curvatures, is of  $L_k$ -2-type if and only if  $M^n$  is an open portion of a Riemannian product  $\mathbb{H}^m(r_1) \times \mathbb{S}^{n-m}(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ , for some integer  $m \in \{1, \dots, n-1\}$ . In the case  $k = n-1$  we drop the condition on the principal curvatures of the hypersurface  $M^n$ , and prove that if  $M^n \subset \mathbb{H}^{n+1}$  is an orientable  $H_{n-1}$ -hypersurface of  $L_{n-1}$ -2-type then its Gauss-Kronecker curvature  $H_n$  is a nonzero constant.

## 1. INTRODUCTION

Submanifolds of finite type were introduced by B.Y. Chen, whose first results were gathered in his book [7] (see also [8]). Although the first definition was given for a compact submanifold in the Euclidean space, Chen extended the concept to non-compact submanifolds in Euclidean or pseudo-Euclidean spaces, [9, 10]. A detailed survey of the results on this subject, up to 1996, was given by Chen in [14], and in a recent article [15], the author provides a detailed account of recent development on the problems and conjectures about finite type submanifolds.

The Laplacian operator  $\Delta$  can be seen as the first one of a sequence of  $n$  operators  $L_0 = \Delta, L_1, \dots, L_{n-1}$ , where  $L_k$  stands for the linearized operator of the first variation

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of the  $(k + 1)$ -th mean curvature arising from normal variations of the hypersurface (see, for instance, [24]). These operators  $L_k$  are given by  $L_k(f) = \text{tr}(P_k \circ \nabla^2 f)$  for a smooth function  $f$  on  $M$ , where  $P_k$  denotes the  $k$ -th Newton transformation associated to the second fundamental form of the hypersurface and  $\nabla^2 f$  denotes the self-adjoint linear operator metrically equivalent to the Hessian of  $f$ .

As an extension of finite type theory, S.M.B. Kashani [17] introduced the notion of  $L_k$ -finite-type hypersurface in the Euclidean space. In general, a submanifold  $M^n$  in  $\mathbb{R}^m$  is said to be of  $L_k$ -finite-type if the position vector  $\psi : M^n \rightarrow \mathbb{R}^m$  of  $M^n$  into  $\mathbb{R}^m$  admits the following finite spectral decomposition

$$\psi = a + \psi_1 + \cdots + \psi_q, \quad L_k \psi_t = \lambda_t \psi_t,$$

where  $a$  is a constant vector,  $\lambda_t$  are constants and  $\psi_t$  are non-constant  $\mathbb{R}^m$ -valued maps on  $M^n$ . If all  $\lambda_t$ 's are mutually different,  $M^n$  is said to be of  $L_k$ - $q$ -type, and if one of  $\lambda_t$  is zero  $M^n$  is said to be of  $L_k$ -null- $q$ -type. Naturally, that definition is also valid for a pseudo-Riemannian submanifold  $M_t^n$  into the pseudo-Euclidean space  $\mathbb{R}_s^m$ .

In [21], the authors, by using results from [1], show that  $k$ -minimal Euclidean hypersurfaces and open portions of hyperspheres are the only  $L_k$ -1-type hypersurfaces in  $\mathbb{R}^{n+1}$ . As for hypersurfaces of  $L_k$ -2-type in  $\mathbb{R}^{n+1}$ , the authors show that if  $M^n$  is a hypersurface with at most two distinct principal curvatures, then (i)  $M^n$  is not of  $L_{n-1}$ -null-2-type (Theorem 3.5); and (ii)  $M^n$  is of  $L_k$ -null-2-type ( $k \neq n - 1$ ) if and only if  $M$  is locally isometric to a generalized cylinder (Theorems 3.11 and 3.12).

In [20], the authors study  $L_k$ -2-type hypersurfaces in a hypersphere  $\mathbb{S}^4 \subset \mathbb{R}^5$ . Since the case  $k = 0$  corresponds to the classical one, which has been well studied (see, e.g., [11], [12] and [16], among others), the authors concentrate in cases  $k = 1$  and  $k = 2$ , and show the following result:

**Theorem A.** *Let  $\psi : M^3 \rightarrow \mathbb{S}^4 \subset \mathbb{R}^5$  be an orientable  $H_k$ -hypersurface, which is not an open portion of a hypersphere. Then  $M^3$  is of  $L_k$ -2-type if and only if  $M^3$  is a Clifford tori  $\mathbb{S}^1(r_1) \times \mathbb{S}^2(r_2)$ ,  $r_1^2 + r_2^2 = 1$ , for appropriate radii, or a tube  $T^r(V^2)$  of appropriate constant radius  $r$  around the Veronese embedding  $V^2$  of the real projective plane  $\mathbb{R}P^2(\sqrt{3})$ .*

In this paper we extend this result to hypersurfaces in a hyperbolic space. The case  $k = 0$  was studied by Chen, [13], in the  $n$ -dimensional case. He proved (i) that every 2-type hypersurface of the hyperbolic space has nonzero constant mean curvature and constant scalar curvature, and (ii) that there exists no compact 2-type hypersurfaces in the hyperbolic space.

After a section devoted to preliminaries and basic results we proceed, in the third section, to compute some formulae required to present the examples. In section 4 we present the main results in dimension three, which we can gather in the following classification theorem:

**Theorem B.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H_k$ -hypersurface, which is not totally umbilical. Then  $M^3$  is of  $L_k$ -2-type if and only if  $M^3$  is a standard Riemannian product  $\mathbb{H}^1(r_1) \times \mathbb{S}^2(r_2)$  or  $\mathbb{H}^2(r_1) \times \mathbb{S}^1(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ .*

In the final section, we extend the previous result to  $n$ -dimensional hypersurfaces in the hyperbolic space  $\mathbb{H}^{n+1}$  as follows.

**Theorem C.** *Let  $\psi : M^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{R}_1^{n+2}$  be an orientable  $H_k$ -hypersurface and assume that  $M^n$  has at most two distinct principal curvatures. Then  $M^n$  is of  $L_k$ -2-type if and only if  $M^n$  is an open portion of  $\mathbb{H}^m(-\sqrt{1+r^2}) \times \mathbb{S}^{n-m}(r)$ , for some positive integer  $m$ ,  $1 \leq m \leq n-1$ , and for some positive number  $r$ .*

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## 2. PRELIMINARIES AND LEMMA

Let  $\mathbb{R}_1^5$  be the 5-dimensional Lorentzian space with the standard flat metric  $g$  given by

$$g = -dx_1^2 + \sum_{i=2}^5 dx_i^2,$$

where  $(x_1, \dots, x_5)$  is a rectangular coordinate system of  $\mathbb{R}_1^5$ . For a positive number  $r$  and a point  $c \in \mathbb{R}_1^5$  we denote by  $\mathbb{H}^4(c, -r)$  the (connected) hyperbolic space centered at  $c$  with radius  $r$ , which is embedded standardly in  $\mathbb{R}_1^5$  by

$$\mathbb{H}^4(c, -r) = \{x \in \mathbb{R}_1^5 \mid \langle x - c, x - c \rangle = -r^2, \text{ and } x_1 > 0\},$$

where  $\langle, \rangle$  denotes the Lorentzian inner product on  $\mathbb{R}_1^5$ . To simplify the notation, we write  $\mathbb{H}^4(-r) \equiv \mathbb{H}^4(0, -r)$  and  $\mathbb{H}^4 \equiv \mathbb{H}^4(0, -1)$ . We will also use  $\langle, \rangle$  to denote the flat metric  $g$ . Without loss of generality, we assume that  $c = 0$  and  $r = 1$ .

Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an isometric immersion of a connected orientable hypersurface  $M^3$  with Gauss map  $N$ . We denote by  $\nabla^0$ ,  $\overline{\nabla}$  and  $\nabla$  the Levi-Civita connections on  $\mathbb{R}_1^5$ ,  $\mathbb{H}^4$  and  $M^3$ , respectively. Then the Gauss and Weingarten formulae are given by [22]

$$\nabla_X^0 Y = \nabla_X Y + \langle SX, Y \rangle N + \langle X, Y \rangle \psi,$$

$$SX = -\overline{\nabla}_X N = -\nabla_X^0 N,$$

for all tangent vector fields  $X, Y \in \mathfrak{X}(M^3)$ , where  $S : \mathfrak{X}(M^3) \rightarrow \mathfrak{X}(M^3)$  stands for the shape operator (or Weingarten endomorphism) of  $M^3$ , with respect to the chosen orientation  $N$ .

As is well known, for every point  $p \in M^3$ ,  $S$  defines a linear self-adjoint endomorphism on the tangent space  $T_p M^3$ , and its eigenvalues  $\kappa_1(p)$ ,  $\kappa_2(p)$  and  $\kappa_3(p)$  are the principal curvatures of the hypersurface. The characteristic polynomial  $Q_S(t)$  of  $S$  is defined by

$$Q_S(t) = \det(tI - S) = (t - \kappa_1)(t - \kappa_2)(t - \kappa_3) = t^3 + a_1 t^2 + a_2 t + a_3,$$

where the coefficients of  $Q_S(t)$  are given by

$$a_1 = -(\kappa_1 + \kappa_2 + \kappa_3), \quad a_2 = \kappa_1 \kappa_2 + \kappa_1 \kappa_3 + \kappa_2 \kappa_3, \quad a_3 = -\kappa_1 \kappa_2 \kappa_3.$$

These coefficients can be expressed in terms of the traces of  $S^j$  as follows:

$$(1) \quad \begin{aligned} a_1 &= -\operatorname{tr}(S), \\ a_2 &= -\frac{1}{2}\operatorname{tr}(S^2) + \frac{1}{2}\operatorname{tr}(S)^2, \\ a_3 &= -\frac{1}{3}\operatorname{tr}(S^3) + \frac{1}{2}\operatorname{tr}(S^2)\operatorname{tr}(S) - \frac{1}{6}\operatorname{tr}(S)^3. \end{aligned}$$

The  $k$ -th mean curvature  $H_k$  or mean curvature of order  $k$  of  $M^3$  in  $\mathbb{H}^4$  is defined by

$$\binom{3}{k} H_k = (-1)^k a_k, \quad \text{with } H_0 = 1.$$

We say that  $M^3$  is an  $H_k$ -hypersurface if its  $k$ -th mean curvature  $H_k$  is constant. If  $H_{k+1} = 0$ , we then say that  $M^3$  is a  $k$ -minimal hypersurface; a 0-minimal hypersurface is nothing but a minimal hypersurface in  $\mathbb{H}^4$ .

The  $k$ -th Newton transformation of  $M^3$  is the operator  $P_k : \mathfrak{X}(M^3) \rightarrow \mathfrak{X}(M^3)$  defined by

$$P_k = \sum_{j=0}^k (-1)^j \binom{3}{k-j} H_{k-j} S^j = (-1)^k \sum_{j=0}^k a_{k-j} S^j.$$

In particular,

$$(2) \quad P_0 = I, \quad P_1 = 3HI - S, \quad P_2 = 3H_2I - S \circ P_1, \quad P_3 = H_3I - S \circ P_2.$$

Note that by Cayley-Hamilton theorem we have  $P_3 = 0$ . Let us recall that, for every point  $p \in M^3$ , each  $P_k(p)$  is also a self-adjoint linear operator on the tangent hyperplane  $T_p M$  which commutes with  $S(p)$ . Indeed,  $S(p)$  and  $P_k(p)$  can be simultaneously diagonalized: if  $\{e_1, e_2, e_3\}$  are the eigenvectors of  $S(p)$  corresponding to the eigenvalues  $\kappa_1(p)$ ,  $\kappa_2(p)$ ,  $\kappa_3(p)$ , respectively, then they are also the eigenvectors of  $P_k(p)$  with corresponding eigenvalues given by

$$\mu_k^i(p) = \sum_{\substack{i_1 < \dots < i_k \\ i_j \neq i}}^3 \kappa_{i_1} \cdots \kappa_{i_k}, \quad \text{for every } i = 1, 2, 3 \text{ and } k = 1, 2.$$

In particular,

$$\begin{aligned}\mu_1^1 &= \kappa_2 + \kappa_3, & \mu_1^2 &= \kappa_1 + \kappa_3, & \mu_1^3 &= \kappa_1 + \kappa_2, \\ \mu_2^1 &= \kappa_2 \kappa_3, & \mu_2^2 &= \kappa_1 \kappa_3, & \mu_2^3 &= \kappa_1 \kappa_2.\end{aligned}$$

According to [22, p. 86], the divergence of a vector field  $X$  is the differentiable function defined as the contraction of the operator  $\nabla X$ , where  $\nabla X(Y) := \nabla_Y X$ , that is,

$$\operatorname{div}(X) = C(\nabla X) = \operatorname{tr}(\nabla X) = \sum_{i,j} g^{ij} \langle \nabla_{E_i} X, E_j \rangle,$$

$\{E_i\}$  being any local frame of tangent vectors fields, where  $(g^{ij})$  represents the inverse of the metric  $(g_{ij}) = (\langle E_i, E_j \rangle)$ . For an operator  $T : \mathfrak{X}(M^3) \longrightarrow \mathfrak{X}(M^3)$  we have two divergences: one associated to the (1,1)-contraction  $C_1^1$ , and another associated to the metric contraction  $C_{12}$ ; the first contraction produces a 1-form and the second contraction produces a vector field. We consider here the second one, so that the divergence of an operator  $T$  will be the vector field  $\operatorname{div}(T) \in \mathfrak{X}(M^3)$  defined as

$$\operatorname{div}(T) = C_{12}(\nabla T) = \sum_{i,j} g^{ij} (\nabla_{E_i} T) E_j,$$

where  $\nabla T(X, Y) = (\nabla_X T)Y = \nabla_X(TY) - T(\nabla_X Y)$ .

In the following lemma (see [19] for details) we present some interesting properties of the Newton transformations. The proof of the first four is merely algebraic and straightforward.

**Lemma 1.** *The Newton transformations  $P_k$ ,  $k = 1, 2$ , satisfy the following properties:*

- (a)  $\operatorname{tr}(P_k) = c_k H_k$ ,
- (b)  $\operatorname{tr}(S \circ P_k) = c_k H_{k+1}$ ,
- (c)  $\operatorname{tr}(S^2 \circ P_1) = 9H H_2 - 3H_3$ ,
- (d)  $\operatorname{tr}(S^2 \circ P_2) = 3H H_3$ ,
- (e)  $\operatorname{tr}(\nabla_X S \circ P_k) = \binom{3}{k+1} \langle \nabla H_{k+1}, X \rangle$ ,
- (f)  $\operatorname{div}(P_k) = 0$ ,

where  $c_1 = 6$  and  $c_2 = 3$ .

Keeping in mind this lemma we obtain

$$\operatorname{div}(P_k(\nabla f)) = \operatorname{tr}(P_k \circ \nabla^2 f),$$

where  $\nabla^2 f : \mathfrak{X}(M^3) \longrightarrow \mathfrak{X}(M^3)$  denotes the self-adjoint linear operator metrically equivalent to the Hessian of  $f$ , given by  $\langle \nabla^2 f(X), Y \rangle = \langle \nabla_X(\nabla f), Y \rangle$ , for vector

fields  $X, Y \in \mathfrak{X}(M^3)$ . Associated to each Newton transformation  $P_k$ , we can define the second-order linear differential operator  $L_k : \mathcal{C}^\infty(M^3) \longrightarrow \mathcal{C}^\infty(M^3)$  by

$$(3) \quad L_k(f) = \text{tr}(P_k \circ \nabla^2 f).$$

An interesting property of  $L_k$  is the following:

$$(4) \quad L_k(fg) = gL_k(f) + fL_k(g) + 2 \langle P_k(\nabla f), \nabla g \rangle,$$

for every couple of differentiable functions  $f, g \in C^\infty(M^3)$ .

### 3. FIRST FORMULAS AND EXAMPLES

First we will calculate  $L_k$  acting on the coordinate components of the immersion  $\psi$ , that is, a function given by  $\langle \psi, e \rangle$ , where  $e \in \mathbb{R}_1^5$  is an arbitrary fixed vector. An easy computation shows that

$$(5) \quad \nabla \langle \psi, e \rangle = e^\top = e - \langle N, e \rangle N + \langle \psi, e \rangle \psi,$$

where  $e^\top \in \mathfrak{X}(M^3)$  denotes the tangential component of  $e$ . Taking covariant derivative in (5), and using the Gauss and Weingarten formulae, we obtain

$$(6) \quad \nabla_X \nabla \langle \psi, e \rangle = \nabla_X e^\top = \langle N, e \rangle SX + \langle \psi, e \rangle X,$$

for every vector field  $X \in \mathfrak{X}(M^3)$ . Finally, by using (3) and Lemma 1, we obtain

$$(7) \quad L_k \langle \psi, e \rangle = c_k H_{k+1} \langle N, e \rangle + c_k H_k \langle \psi, e \rangle.$$

This expression allows us to extend operator  $L_k$  to vector functions  $F = (f_1, \dots, f_5)$ ,  $f_i \in \mathcal{C}^\infty(M^3)$ , as follows:  $L_k F := (L_k f_1, \dots, L_k f_5)$ . Then  $L_k \psi$  can be computed as

$$(8) \quad L_k \psi = c_k H_{k+1} N + c_k H_k \psi,$$

where  $\{e_1, \dots, e_5\}$  stands for an orthonormal basis in  $\mathbb{R}_1^5$ .

Now, we will compute  $L_k N$ , and in order to do that we are going to compute the operator  $L_k$  acting on the coordinate functions of the Gauss map  $N$ , that is, the functions  $\langle N, e \rangle$  where  $e \in \mathbb{R}_1^5$  is an arbitrary fixed vector. A straightforward computation yields

$$\nabla \langle N, e \rangle = -S e^\top,$$

that jointly with the Weingarten formula and (6), leads to

$$\nabla_X \nabla \langle N, e \rangle = -(\nabla_{e^\top} S)X - \langle N, e \rangle S^2 X - \langle \psi, e \rangle SX,$$

for every tangent vector field  $X$ . This equation, combined with (3) and Lemma 1, yields

$$(9) \quad \begin{aligned} L_k \langle N, e \rangle &= -\text{tr}(\nabla_{e^\top} S \circ P_k) - \langle N, e \rangle \text{tr}(S^2 \circ P_k) - \langle \psi, e \rangle \text{tr}(S \circ P_k) \\ &= -\binom{3}{k+1} \langle \nabla H_{k+1}, e \rangle - \text{tr}(S^2 \circ P_k) \langle N, e \rangle - c_k H_{k+1} \langle \psi, e \rangle, \end{aligned}$$

which is equivalent to

$$L_k N = -\binom{3}{k+1} \nabla H_{k+1} - \text{tr}(S^2 \circ P_k) N - c_k H_{k+1} \psi.$$

On the other hand, equations (4) and (7) lead to

$$\begin{aligned} L_k^2 \langle \psi, e \rangle &= c_k H_{k+1} L_k \langle N, e \rangle + L_k(c_k H_{k+1}) \langle N, e \rangle + 2c_k \langle P_k(\nabla H_{k+1}), \nabla \langle N, e \rangle \rangle \\ &\quad + c_k H_k L_k \langle \psi, e \rangle + L_k(c_k H_k) \langle \psi, e \rangle + 2c_k \langle P_k(\nabla H_k), \nabla \langle \psi, e \rangle \rangle, \end{aligned}$$

and by using again (7) and (9) we get

$$\begin{aligned} L_k^2 \langle \psi, e \rangle &= -c_k \binom{3}{k+1} H_{k+1} \langle \nabla H_{k+1}, e \rangle - 2c_k \langle (S \circ P_k)(\nabla H_{k+1}), e \rangle + 2c_k \langle P_k(\nabla H_k), e \rangle \\ &\quad + \left[ c_k L_k(H_{k+1}) - (\text{tr}(S^2 \circ P_k) - c_k H_k) c_k H_{k+1} \right] \langle N, e \rangle \\ &\quad + \left[ -c_k^2 H_{k+1}^2 + c_k^2 H_k^2 + c_k L_k(H_k) \right] \langle \psi, e \rangle. \end{aligned}$$

Finally, we obtain

$$(10) \quad \begin{aligned} L_k^2 \psi &= -\frac{c_k}{2} \binom{3}{k+1} \nabla H_{k+1}^2 - 2c_k (S \circ P_k)(\nabla H_{k+1}) + 2c_k P_k(\nabla H_k) \\ &\quad + \left[ c_k L_k(H_{k+1}) - (\text{tr}(S^2 \circ P_k) - c_k H_k) c_k H_{k+1} \right] N \\ &\quad + \left[ -c_k^2 H_{k+1}^2 + c_k^2 H_k^2 + c_k L_k(H_k) \right] \psi. \end{aligned}$$

**Example 1.**  $k$ -minimal  $H_k$ -hypersurfaces in  $\mathbb{H}^4$  are of  $L_k$ -1-type or  $L_k$ -null-1-type. In fact, from (8) we obtain that  $L_k \psi = \lambda \psi$ , with  $\lambda = c_k H_k$ , and then  $M^3$  is of  $L_k$ -1-type if  $H_k \neq 0$ ; otherwise,  $M^3$  is of  $L_k$ -null-1-type.

**Example 2.** Non-flat totally umbilical hypersurfaces in  $\mathbb{H}^4$  are of  $L_k$ -1-type. As is well known, totally umbilical hypersurfaces in  $\mathbb{H}^4$  are obtained as the intersection of  $\mathbb{H}^4$  with a hyperplane of  $\mathbb{R}_1^5$ , and the causal character of the hyperplane determines the type of the hypersurface. More precisely, let  $a \in \mathbb{R}_1^5$  be a non-zero constant vector with  $\langle a, a \rangle \in \{1, 0, -1\}$ , and take the differentiable function  $f_a : \mathbb{H}^4 \subset \mathbb{R}_1^5 \rightarrow \mathbb{R}$  defined by

$f_a(x) = \langle x, a \rangle$ . It is not difficult to see that for every  $\tau \in \mathbb{R}$  with  $\langle a, a \rangle + \tau^2 = \delta^2 > 0$ , the set

$$M_\tau = f_a^{-1}(\tau) = \{x \in \mathbb{H}^4 \mid \langle x, a \rangle = \tau\}$$

is a totally umbilical hypersurface in  $\mathbb{H}^4$ , with Gauss map

$$N(x) = \frac{1}{\delta} (a + \tau x),$$

and shape operator

$$(11) \quad SX = -\frac{\tau}{\delta} X.$$

It is easy to see, from (11), that  $M_\tau$  has constant mean curvature  $H = -\tau/\delta$  and constant Gauss-Kronecker curvature  $K = -1 + H^2 = -\langle a, a \rangle \delta^{-2}$ . Therefore,  $H_k$  and  $H_{k+1}$  are also nonzero constants.

Now we will consider all different possibilities:

- (i) If  $\langle a, a \rangle = -1$ , then  $|\tau| > 1$ ,  $K = 1/(\tau^2 - 1)$  is positive, and  $M_\tau \equiv \mathbb{S}^3(\sqrt{\tau^2 - 1})$ .
- (ii) If  $\langle a, a \rangle = 0$ , then  $\tau \neq 0$ ,  $K = 0$ , and  $M_\tau \equiv \mathbb{R}^3$ .
- (iii) If  $\langle a, a \rangle = 1$ , then  $K = -1/(\tau^2 + 1)$  is negative, and  $M_\tau \equiv \mathbb{H}^3(-\sqrt{\tau^2 + 1})$ .

Bearing (8) in mind we find that  $L_k \psi = \lambda \psi + b$ , where  $\lambda = c_k H^k (1 - H^2)$  and  $b = c_k H^{k+1} \delta^{-1} a$ . We distinguish three cases:

- (i) If  $H = 0$ , then  $M^3$  is of  $L_k$ -null-1-type.
- (ii) If  $|H| = 1$ , then  $\langle a, a \rangle = 0$  and  $M^3$  is flat.
- (iii) Otherwise,  $\lambda \neq 0$  and we can write

$$\psi = \psi_0 + \psi_1, \quad \psi_0 = -\frac{b}{\lambda} \quad \text{and} \quad \psi_1 = \psi + \frac{b}{\lambda},$$

where  $\psi_0$  is constant and  $L_k \psi_1 = \lambda \psi_1$ . Therefore,  $M^3$  is  $L_k$ -1-type in  $\mathbb{R}_1^5$ .

The following proposition shows that the hypersurfaces exhibited in Examples 1 and 2 are the only hypersurfaces in  $\mathbb{H}^4$  of  $L_k$ -1-type in  $\mathbb{R}_1^5$ .

**Proposition 2.**  *$k$ -minimal  $H_k$ -hypersurfaces in  $\mathbb{H}^4$  and open portions of a non-flat totally umbilical hypersurface in  $\mathbb{H}^4$  are the only  $L_k$ -1-type hypersurfaces in  $\mathbb{H}^4$ .*

*Proof.* Let  $M^3$  be a  $L_k$ -1-type hypersurface in  $\mathbb{H}^4$ , then its position vector  $\psi$  can be put as  $\psi = \psi_0 + \psi_1$ , where  $\psi_0$  is a constant vector and  $L_k \psi_1 = \lambda \psi_1$ . Hence we deduce  $L_k \psi = \lambda \psi + b$ , with  $b = -\lambda \psi_0$ . From (8) we get

$$b = c_k H_{k+1} N + (c_k H_k - \lambda) \psi,$$



and taking covariant derivative here we obtain

$$0 = -c_k H_{k+1} S X + (c_k H_k - \lambda) X + c_k X(H_{k+1}) N + c_k X(H_k) \psi,$$

for every vector field  $X \in \mathfrak{X}(M^3)$ . The previous equation implies that  $H_k$  and  $H_{k+1}$  are both constant. If  $H_{k+1} \neq 0$  then we get  $SX = \mu X$ , for a certain constant  $\mu$ , i.e.  $M^3$  is totally umbilical, and then the result follows from Example 2. ■

**Example 3.** Standard Riemannian products  $\mathbb{H}^1(r_1) \times \mathbb{S}^2(r_2)$  and  $\mathbb{H}^2(r_1) \times \mathbb{S}^1(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ , are hypersurfaces in  $\mathbb{H}^4$  of  $L_k$ -2-type in  $\mathbb{R}_1^5$ .

For a positive number  $r$ , let us denote  $M_m^3(r) = \mathbb{H}^m(-\sqrt{1+r^2}) \times \mathbb{S}^{3-m}(r) \subset \mathbb{H}^4$ ,  $m = 1, 2$ . In the case  $m = 1$ , observe that the hypersurface  $M_1^3(r)$  is defined by the equation

$$M_1^3(r) = \{x \in \mathbb{H}^4 \mid x_3^2 + x_4^2 + x_5^2 = r^2\},$$

and its Gauss map is given by

$$N(x) = \left( \frac{r}{\sqrt{1+r^2}} x_1, \frac{r}{\sqrt{1+r^2}} x_2, \frac{\sqrt{1+r^2}}{r} x_3, \frac{\sqrt{1+r^2}}{r} x_4, \frac{\sqrt{1+r^2}}{r} x_5 \right).$$

Then its principal curvatures in  $\mathbb{H}^4$  are

$$\kappa_1 = \frac{-r}{\sqrt{1+r^2}} \quad \text{and} \quad \kappa_2 = \kappa_3 = \kappa_4 = \frac{-\sqrt{1+r^2}}{r}.$$

Hence we get

$$H_1 = -\frac{2+3r^2}{3r\sqrt{1+r^2}}, \quad H_2 = \frac{1+3r^2}{3r^2}, \quad H_3 = -\frac{\sqrt{1+r^2}}{r}.$$

If we put  $\psi_1 = (x_1, x_2, 0, 0, 0)$  and  $\psi_2 = (0, 0, x_3, x_4, x_5)$ , then  $\psi = \psi_1 + \psi_2$  and by using (8) we obtain:

- (a)  $L_0 \psi_1 = \lambda_1 \psi_1$  and  $L_0 \psi_2 = \lambda_2 \psi_2$ , where  $\lambda_1 = \frac{1}{1+r^2}$  and  $\lambda_2 = -\frac{2}{r^2}$ . Therefore,  $M_1^3(r)$  is of  $L_0$ -2-type in  $\mathbb{R}_1^5$  for any  $r$  (see [11, Example 1]).
- (b)  $L_1 \psi_1 = \lambda_1 \psi_1$  and  $L_1 \psi_2 = \lambda_2 \psi_2$ , where  $\lambda_1 = -\frac{2}{r\sqrt{1+r^2}}$  and  $\lambda_2 = \frac{2(1+2r^2)}{r^3\sqrt{1+r^2}}$ . Therefore,  $M_1^3(r)$  is of  $L_1$ -2-type in  $\mathbb{R}_1^5$  for any  $r$ .
- (c)  $L_2 \psi_1 = \lambda_1 \psi_1$  and  $L_2 \psi_2 = \lambda_2 \psi_2$ , where  $\lambda_1 = \frac{1}{r^2}$  and  $\lambda_2 = -\frac{2}{r^2}$ . Therefore,  $M_1^3(r)$  is of  $L_2$ -2-type in  $\mathbb{R}_1^5$  for any  $r$ .

In the case  $m = 2$ , note that the hypersurface  $M_2^3(r)$  is defined by the equation

$$M_2^3(r) = \{x \in \mathbb{H}^4 \mid x_4^2 + x_5^2 = r^2\}.$$

In this case, the Gauss map on  $M_2^3(r)$  in  $\mathbb{H}^4$  is given by

$$N(x) = \left( \frac{r}{\sqrt{1+r^2}} x_1, \frac{r}{\sqrt{1+r^2}} x_2, \frac{r}{\sqrt{1+r^2}} x_3, \frac{\sqrt{1+r^2}}{r} x_4, \frac{\sqrt{1+r^2}}{r} x_5 \right),$$

and its principal curvatures in  $\mathbb{H}^4$  are

$$\kappa_1 = \kappa_2 = \frac{-r}{\sqrt{1+r^2}} \quad \text{and} \quad \kappa_3 = \frac{-\sqrt{1+r^2}}{r}.$$

Consequently, we get

$$H_1 = -\frac{1+3r^2}{3r\sqrt{1+r^2}}, \quad H_2 = \frac{2+3r^2}{3(1+r^2)}, \quad H_3 = -\frac{r}{\sqrt{1+r^2}}.$$

If we put as before  $\psi_1 = (x_1, x_2, x_3, 0, 0)$  and  $\psi_2 = (0, 0, 0, x_4, x_5)$ , then  $\psi = \psi_1 + \psi_2$  and by using (8) we obtain:

- (a)  $L_0\psi_1 = \lambda_1\psi_1$  and  $L_0\psi_2 = \lambda_2\psi_2$ , where  $\lambda_1 = \frac{2}{1+r^2}$  and  $\lambda_2 = -\frac{1}{r^2}$ . Therefore,  $M_2^3(r)$  is of  $L_0$ -2-type in  $\mathbb{R}_1^5$  for any  $r$  (see [11, Example 1]).
- (b)  $L_1\psi_1 = \lambda_1\psi_1$  and  $L_1\psi_2 = \lambda_2\psi_2$ , where  $\lambda_1 = -\frac{2(1+2r^2)}{r(1+r^2)^{3/2}}$  and  $\lambda_2 = \frac{2}{r\sqrt{1+r^2}}$ . Therefore,  $M_2^3(r)$  is of  $L_1$ -2-type in  $\mathbb{R}_1^5$  for any  $r$ .
- (c)  $L_2\psi_1 = \lambda_1\psi_1$  and  $L_2\psi_2 = \lambda_2\psi_2$ , where  $\lambda_1 = \frac{2}{1+r^2}$  and  $\lambda_2 = -\frac{1}{1+r^2}$ . Therefore,  $M_2^3(r)$  is of  $L_2$ -2-type in  $\mathbb{R}_1^5$  for any  $r$ .

#### 4. THE THREE-DIMENSIONAL CASE

Let us suppose that a hypersurface  $M^3$  in  $\mathbb{H}^4$  is of  $L_k$ -2-type in  $\mathbb{R}_1^5$ , that is, its position vector  $\psi$  can be written as follows

$$\psi = a + \psi_1 + \psi_2, \quad L_k\psi_1 = \lambda_1\psi_1, \quad L_k\psi_2 = \lambda_2\psi_2,$$

where  $a$  is a constant vector in  $\mathbb{R}_1^5$  and  $\psi_1, \psi_2$  are  $\mathbb{R}_1^5$ -valued non-constant differentiable functions defined on  $M^3$ .

It is easy to see that  $L_k\psi = \lambda_1\psi_1 + \lambda_2\psi_2$  and  $L_k^2\psi = \lambda_1^2\psi_1 + \lambda_2^2\psi_2$ , and thus

$$L_k^2\psi = (\lambda_1 + \lambda_2)L_k\psi - \lambda_1\lambda_2(\psi - a).$$

By using (8) we get

$$\begin{aligned} L_k^2\psi &= \lambda_1\lambda_2a^\top + [(\lambda_1 + \lambda_2)c_kH_{k+1} + \lambda_1\lambda_2\langle N, a \rangle]N \\ &\quad + [(\lambda_1 + \lambda_2)c_kH_k - \lambda_1\lambda_2 - \lambda_1\lambda_2\langle \psi, a \rangle]\psi, \end{aligned}$$

that, jointly with (10), yields the following equations of  $L_k$ -2-type,

$$(12) \quad \lambda_1 \lambda_2 a^\top = -\frac{c_k}{2} \binom{3}{k+1} \nabla H_{k+1}^2 - 2c_k (S \circ P_k)(\nabla H_{k+1}) + 2c_k P_k(\nabla H_k),$$

$$(13) \quad \lambda_1 \lambda_2 \langle N, a \rangle = c_k L_k(H_{k+1}) - (\text{tr}(S^2 \circ P_k) - c_k H_k + \lambda_1 + \lambda_2) c_k H_{k+1},$$

$$(14) \quad \lambda_1 \lambda_2 \langle \psi, a \rangle = c_k^2 H_{k+1}^2 - (c_k H_k - \lambda_1)(c_k H_k - \lambda_2) - c_k L_k(H_k).$$

In [13], the author shows that if  $M^n$  is a hypersurface of the hyperbolic space  $\mathbb{H}^{n+1}$  with constant mean curvature and constant scalar curvature, then  $M^n$  is either of 1-type or of 2-type. He also proves that every 2-type hypersurface of the hyperbolic space has nonzero constant mean curvature and constant scalar curvature.

Our goal in this section is to prove similar results for operators  $L_1$  and  $L_2$ .

**Theorem 3.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H_2$ -hypersurface. If  $M^3$  is of  $L_2$ -2-type then the Gauss-Kronecker curvature  $H_3$  is a nonzero constant.*

*Proof.* Let  $\{E_1, E_2, E_3\}$  be a local orthonormal frame of principal directions of  $S$  such that  $SE_i = \kappa_i E_i$  for every  $i = 1, 2, 3$ , and consider the open set

$$\mathcal{U}_3 = \left\{ p \in M^3 \mid \nabla H_3^2(p) \neq 0 \right\}.$$

Let us suppose that  $\mathcal{U}_3$  is not empty. Since we are assuming that  $M^3$  is of  $L_2$ -2-type and  $H_2$  is constant, then by taking covariant derivative in (14) we have  $\lambda_1 \lambda_2 a^\top = 9 \nabla H_3^2$ , and putting this into (12) yields

$$(15) \quad (S \circ P_2)(\nabla H_3^2) = -\frac{7}{2} H_3 \nabla H_3^2 \quad \text{on } \mathcal{U}_3.$$

Since  $P_3 = 0$  then  $S \circ P_2 = H_3 I$  and so  $(S \circ P_2)(\nabla H_3^2) = H_3 \nabla H_3^2$ , that jointly with (15) implies  $H_3 \nabla H_3^2 = 0$  on  $\mathcal{U}_3$ , which is not possible. ■

We want to extend the previous theorem for the operator  $L_1$ ; next theorem is an intermediate step.

Recall that a hypersurface  $M^n$  immersed in either the Euclidean space  $\mathbb{R}^{n+1}$ , the sphere  $\mathbb{S}^{n+1}$  or the hyperbolic space  $\mathbb{H}^{n+1}$ , is called *isoparametric* if all the principal curvatures  $\kappa_i$  are constant functions; this is equivalent to saying that all the mean curvatures  $H_i$  are constant functions. An isoparametric hypersurface of the Euclidean space can have at most two distinct principal curvatures, and it must be an open portion of a hyperplane, hypersphere or spherical cylinder  $\mathbb{S}^k(r) \times \mathbb{R}^{n-k}$  (see e.g. [26, 25]). A similar result holds for  $\mathbb{H}^{n+1}$ : an isoparametric hypersurface must be an open part of a totally umbilical hypersurface or hyperbolic cylinder  $\mathbb{H}^m(r_1) \times \mathbb{S}^{n-m}(r_2)$  (see [3]). However, the classification of isoparametric hipersurfaces in the sphere  $\mathbb{S}^{n+1}$  turns out to be much more complicated, as Elie Cartan showed (see [4, 5, 6]).

**Theorem 4.** *Let  $M^3$  be an orientable  $H_k$ -hypersurface of the hyperbolic space  $\mathbb{H}^4$ , which is not totally umbilical, and consider the following three conditions:*

- (a)  $H_{k+1}$  is a nonzero constant.
- (b)  $\text{tr}(S^2 \circ P_k)$  is constant.
- (c)  $M^3$  is of  $L_k$ -2-type.

*Then any two conditions imply the third one.*

*Proof.* First, we show that conditions a) and b) imply condition c). From Lemma 1 we obtain that  $M^3$  is an isoparametric hypersurface; since  $M^3$  is not totally umbilical then  $M^3$  is a hyperbolic cylinder, and then the claim follows from Example 3.

Secondly, we show that conditions a) and c) imply condition b). By taking covariant differentiation in equation (13), and bearing (14) in mind, we find

$$c_k H_{k+1} X(\text{tr}(S^2 \circ P_k)) = -\lambda_1 \lambda_2 X(\langle N, a \rangle) = \lambda_1 \lambda_2 \langle SX, a^\top \rangle = 0,$$

that is,  $\text{tr}(S^2 \circ P_k)$  is constant on  $M^3$ .

Finally, we show that conditions b) and c) imply condition a). In the case  $k = 2$ , the proof follows directly from Theorem 3. To prove the claim in the case  $k = 1$ , let us consider the open set

$$\mathcal{U}_2 = \{p \in M^3 \mid \nabla H_2^2(p) \neq 0\},$$

and assume that it is not empty. Since  $H$  is constant, by taking covariant derivative in (14) we obtain that  $\lambda_1 \lambda_2 a^\top = 36 \nabla H_2^2$ . Using this in (12) we get

$$(16) \quad (S \circ P_1)(\nabla H_2^2) = -\frac{15}{2} H_2 \nabla H_2^2 \quad \text{on } \mathcal{U}_2,$$

that jointly with equation (2) leads to  $P_2(\nabla H_2^2) = \frac{21}{2} H_2 \nabla H_2^2$ . Now, by applying the operator  $S$  on both sides, we have

$$(17) \quad (S \circ P_2)(\nabla H_2^2) = \frac{21}{2} H_2 S(\nabla H_2^2).$$

Since  $P_3 = 0$  we get  $S \circ P_2 = H_3 I$ , and then  $(S \circ P_2)(\nabla H_2^2) = H_3 \nabla H_2^2$ , that jointly with (17) implies

$$S(\nabla H_2^2) = \frac{2H_3}{21H_2} \nabla H_2^2.$$

Without loss of generality, let us assume that  $E_1$  is parallel to  $\nabla H_2^2$ , i.e. the principal curvature  $\kappa_1 = \frac{2H_3}{21H_2}$ . Then we have

$$(S \circ P_1)(\nabla H_2^2) = \kappa_1 \mu_1^1 \nabla H_2^2 = \frac{2H_3}{21H_2} \left(3H - \frac{2H_3}{21H_2}\right) \nabla H_2^2,$$

that jointly with (16) yields the following equation,

$$6615 H_2^3 + 252 H H_2 H_3 - 8 H_3^2 = 0.$$

From Lemma 1 we have that  $3H_3 = 9HH_2 - \text{tr}(S^2 \circ P_1)$ , and then the previous equation can be rewritten as follows

$$6615 H_2^3 + 684 H^2 H_2^2 - 68 H \text{tr}(S^2 \circ P_1) H_2 - \frac{8}{9} \text{tr}(S^2 \circ P_1) = 0.$$

In other words,  $H_2$  is a root of a polynomial with constant coefficients, and so  $H_2$  has to be constant, which is a contradiction. ■

An interesting consequence of the last theorem is the following result.

**Theorem 5.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H_2$ -hypersurface. If  $M$  is of  $L_2$ -2-type then  $M^3$  is an isoparametric hypersurface.*

*Proof.* From Theorem 3 we get that  $H_3$  is a nonzero constant, and then Theorem 4 yields that  $\text{tr}(S^2 \circ P_2)$  is constant. Now we use Lemma 1(d) to deduce that the mean curvature  $H$  is constant, and this concludes the proof. ■

Since the isoparametric hypersurfaces of the hyperbolic space  $\mathbb{H}^4 \subset \mathbb{R}_1^5$  are well known, the following result is clear.

**Theorem 6.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H_2$ -hypersurface, which is not totally umbilical. Then  $M^3$  is of  $L_2$ -2-type if and only if  $M^3$  is a standard Riemannian product  $\mathbb{H}^1(r_1) \times \mathbb{S}^2(r_2)$  or  $\mathbb{H}^2(r_1) \times \mathbb{S}^1(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ .*

Now, we state the main result of this section.

**Theorem 7.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H_k$ -hypersurface. If  $M$  is of  $L_k$ -2-type then  $H_{k+1}$  is a nonzero constant.*

*Proof.* Case  $k = 0$  is shown in [13] and case  $k = 2$  has been proved in Theorem 3, so we can assume  $k = 1$ . Let us consider  $\{E_1, E_2, E_3\}$  a local orthonormal frame of principal directions of  $S$  such that  $SE_i = \kappa_i E_i$  for every  $i = 1, 2, 3$ . Let us define the open set

$$\mathcal{U}_2 = \{p \in M^3 \mid \nabla H_2^2(p) \neq 0\},$$

and suppose that  $\mathcal{U}_2$  is not empty. Since we are assuming that  $M^3$  is  $L_1$ -2-type and  $H$  is constant, then equation (14) leads to

$$(18) \quad \lambda_1 \lambda_2 a^\top = 36 \nabla H_2^2.$$

Using this equation in (12) we have that  $(S \circ P_1)(\nabla H_2^2) = -\frac{15}{2} H_2 \nabla H_2^2$  on  $\mathcal{U}_2$ , and substituting this into (2) we obtain

$$(19) \quad P_2(\nabla H_2^2) = \frac{21}{2} H_2 \nabla H_2^2 \quad \text{on } \mathcal{U}_2.$$

The vector field  $\nabla H_2^2$  can be written as  $\nabla H_2^2 = E_1(H_2^2)E_1 + E_2(H_2^2)E_2 + E_3(H_2^2)E_3$ , and then

$$P_2(\nabla H_2^2) = E_1(H_2^2)\mu_2^1 E_1 + E_2(H_2^2)\mu_2^2 E_2 + E_3(H_2^2)\mu_2^3 E_3.$$

Therefore equation (19) is equivalent to

$$(20) \quad E_i(H_2^2) \left( \mu_2^i - \frac{21}{2} H_2 \right) = 0 \quad \text{on } \mathcal{U}_2,$$

for every  $i = 1, 2, 3$ . An immediate and important consequence of this equation is that  $E_i(H_2^2) = 0$  for some  $i$ . Otherwise, we deduce that

$$\text{tr}(P_2) = \mu_2^1 + \mu_2^2 + \mu_2^3 = \frac{63}{2} H_2,$$

that jointly with Lemma 1 leads to  $H_2 = 0$  on  $\mathcal{U}_2$ , which is a contradiction.

Bearing in mind the previous consequence, and without loss of generality, we have to analyze the following two possible cases.

**Case 1.**  $E_1(H_2^2) \neq 0$ ,  $E_2(H_2^2) \neq 0$  and  $E_3(H_2^2) = 0$ .

From (20) we have  $\mu_2^1 = \mu_2^2 = \frac{21}{2} H_2$ , then  $(\kappa_1 - \kappa_2)\kappa_3 = 0$ , and therefore  $\kappa_1 = \kappa_2$ . Observe that  $\kappa_i \neq 0$  for all  $i$ , otherwise  $H_2 = 0$ . It is easy to see that

$$\kappa_2 \kappa_3 = \mu_2^1 = \frac{21}{2} H_2 = \frac{7}{2} (\kappa_2^2 + 2\kappa_2 \kappa_3),$$

and so  $7\kappa_2 + 12\kappa_3 = 0$ . On the other hand, we know that  $3H = 2\kappa_2 + \kappa_3$  and then we get that the principal curvatures  $\kappa_2$  and  $\kappa_3$  are constant. So  $H_2$  is also constant, which can not be possible.

**Case 2.**  $E_1(H_2^2) \neq 0$ ,  $E_2(H_2^2) = 0$  and  $E_3(H_2^2) = 0$ .

We know that  $3H_2 = \kappa_1 \mu_1^1 + \mu_2^1$  and  $\mu_2^1 = \frac{21}{2} H_2$  (see (20)), then we have

$$(21) \quad H_2 = \frac{2}{15} (\kappa_1^2 - 3H\kappa_1) \quad \text{and} \quad H_2^2 = p(\kappa_1),$$

where  $p(x) = \left(\frac{2}{15}\right)^2 (x^4 - 6Hx^3 + 9H^2x^2)$ . Observe that  $H \neq 0$ ; otherwise,  $\kappa_2 + \kappa_3 = -\kappa_1$  and from (21) we get  $\kappa_2 \kappa_3 = \frac{7}{5} \kappa_1^2$ . Then  $\kappa_2$  and  $\kappa_3$  are the roots of the equation  $t^2 + \kappa_1 t + \frac{7}{5} \kappa_1^2 = 0$ , but this is not possible since the discriminant of this equation is negative.

We claim that

$$(22) \quad E_1(H_2^2) = p'(\kappa_1)E_1(\kappa_1),$$

$$(23) \quad \lambda_1 \lambda_2 \langle \psi, a \rangle = 36 p(\kappa_1) + A_0,$$

$$(24) \quad \lambda_1 \lambda_2 \langle N, a \rangle = q(\kappa_1) + B_0,$$

where  $q(x) = -\left(\frac{4}{5}\right)^2 \left(\frac{4}{5}x^5 - \frac{9H}{2}x^4 + 6H^2x^3\right)$ , and  $A_0, B_0$  are two constants. First, (22) and (23) follow directly from (21) and (14), respectively. On the other hand, bearing (18) in mind we find that

$$\begin{aligned} X(\lambda_1\lambda_2 \langle N, a \rangle) &= -\lambda_1\lambda_2 \langle SX, a^\top \rangle = -36\kappa_1 \langle X, \nabla H_2^2 \rangle \\ &= -36\kappa_1 X(H_2^2) = X(q(\kappa_1)), \end{aligned}$$

for any tangent vector field  $X$ , and this implies equation (24).

Now, by taking covariant differentiation in (18) in the direction of an arbitrary tangent vector field  $X$ , we have

$$\lambda_1\lambda_2 \nabla_X a^\top = 36X(E_1(H_2^2))E_1 + 36E_1(H_2^2)\nabla_X E_1,$$

that jointly with (6) yields

$$(25) \quad 36E_1(H_2^2)\nabla_X E_1 = -36X(E_1(H_2^2))E_1 + \lambda_1\lambda_2(\langle N, a \rangle SX + \langle \psi, a \rangle X),$$

or equivalently

$$\begin{aligned} (26) \quad & 36E_1(H_2^2) \langle \nabla_X E_1, E_i \rangle \\ &= -36X(E_1(H_2^2))\delta_{1i} + \lambda_1\lambda_2(\langle N, a \rangle \kappa_i + \langle \psi, a \rangle) \langle X, E_i \rangle, \end{aligned}$$

for  $i = 1, 2, 3$ . If we take  $X = E_1$ , then (26) reduces to the following equations

$$\begin{aligned} 36E_1(E_1(H_2^2)) &= \lambda_1\lambda_2(\langle N, a \rangle \kappa_1 + \langle \psi, a \rangle), \\ E_1(H_2^2) \langle \nabla_{E_1} E_1, E_i \rangle &= 0, \quad i = 2, 3. \end{aligned}$$

From the last equation we conclude that  $\nabla_{E_1} E_1 = 0$ , that is, the integral curves of  $E_1$  on  $\mathcal{U}_2$  are geodesics of  $M^3$ .

Let  $X$  be a tangent vector field orthogonal to  $E_1$ . Then equation (26) for  $i = 1$  leads to  $X(E_1(H_2^2)) = 0$  and thus (25) yields

$$(27) \quad 36E_1(H_2^2)\nabla_X E_1 = \lambda_1\lambda_2(\langle N, a \rangle SX + \langle \psi, a \rangle X), \quad \forall X \perp E_1.$$

From the Codazzi equation  $(\nabla_{E_j} S)E_1 = (\nabla_{E_1} S)E_j$ , we get

$$E_1(\kappa_j) = (\kappa_1 - \kappa_j) \langle \nabla_{E_j} E_1, E_j \rangle, \quad j = 2, 3,$$

that jointly with (27) for  $X = E_j$  yields

$$\begin{aligned} & 36E_1(H_2^2)E_1(\kappa_j) \\ &= (\kappa_1 - \kappa_j) [\lambda_1\lambda_2 \langle N, a \rangle \kappa_j + \lambda_1\lambda_2 \langle \psi, a \rangle] \\ &= -\lambda_1\lambda_2 \langle N, a \rangle \kappa_j^2 + \lambda_1\lambda_2 \langle N, a \rangle \kappa_1 \kappa_j - \lambda_1\lambda_2 \langle \psi, a \rangle \kappa_j + \lambda_1\lambda_2 \langle \psi, a \rangle \kappa_1. \end{aligned}$$

Last equation implies

$$36E_1(H_2^2)(E_1(\kappa_2) + E_1(\kappa_3)) = -\lambda_1\lambda_2 \langle N, a \rangle (\kappa_2^2 + \kappa_3^2) + \lambda_1\lambda_2 \langle N, a \rangle \kappa_1(\kappa_2 + \kappa_3) \\ - \lambda_1\lambda_2 \langle \psi, a \rangle (\kappa_2 + \kappa_3) + 2\lambda_1\lambda_2 \langle \psi, a \rangle \kappa_1,$$

that is,

$$36E_1(H_2^2)E_1(3H - \kappa_1) = -\lambda_1\lambda_2 \langle N, a \rangle (\text{tr}(S^2) - \kappa_1^2) + \lambda_1\lambda_2 \langle N, a \rangle \kappa_1(3H - \kappa_1) \\ - \lambda_1\lambda_2 \langle \psi, a \rangle (3H - \kappa_1) + 2\lambda_1\lambda_2 \langle \psi, a \rangle \kappa_1.$$

From (1) and (21) we have that  $\text{tr}(S^2) = 9H^2 - \frac{3}{5}H\kappa_1 - \frac{4}{5}\kappa_1^2$ . By using this and (22), last equation can be written as

$$(28) \quad 36p'(\kappa_1)[E_1(\kappa_1)]^2 \\ = -\frac{1}{5}\lambda_1\lambda_2 \langle N, a \rangle (4\kappa_1^2 + 3H\kappa_1 - 45H^2) + 3\lambda_1\lambda_2 \langle \psi, a \rangle (H - \kappa_1).$$

On the other hand, a direct computation shows

$$(29) \quad 36^2[p'(\kappa_1)E_1(\kappa_1)]^2 = 36^2[E_1(H_2^2)]^2 = 36^2 \langle \nabla H_2^2, \nabla H_2^2 \rangle = \lambda_1^2\lambda_2^2|a^\top|^2 \\ = \lambda_1^2\lambda_2^2|a|^2 - (\lambda_1\lambda_2 \langle N, a \rangle)^2 + (\lambda_1\lambda_2 \langle \psi, a \rangle)^2.$$

From equations (28) and (29), and taking into account (23) and (24), we find a polynomial  $T(x)$  with constant coefficients given by

$$(30) \quad T(x) = [q(x) + B_0]^2 - [36p(x) + A_0]^2 \\ - \frac{36}{5}[q(x) + B_0](4x + 15H)(x - 3H)p'(x) \\ + 108[36p(x) + A_0](H - x)p'(x) - \lambda_1^2\lambda_2^2|a|^2,$$

and satisfying  $T(\kappa_1) = 0$ . Therefore,  $\kappa_1$  is locally constant on  $\mathcal{U}_2$ , and so  $H_2$  is also constant, which is a contradiction with the definition of  $\mathcal{U}_2$ . This finishes the proof. ■

An interesting consequence is the following result, similar to Theorem 5.

**Theorem 8.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H$ -hypersurface. If  $M^3$  is of  $L_1$ -2-type then  $M^3$  is an isoparametric hypersurface.*

*Proof.* From Theorem 7 we get that  $H_2$  is a non-zero constant, and then Theorem 4 yields that  $\text{tr}(S^2 \circ P_1)$  is constant. Now we use Lemma 1(c) to deduce that the Gauss-Kronecker curvature  $H_3$  is constant, and this concludes the proof. ■

Bearing in mind Theorems 8 and 4, and the classification of isoparametric hypersurfaces in the hyperbolic space  $\mathbb{H}^4$ , the following result, that extends Theorem 6, is clear.



**Theorem 9.** *Let  $\psi : M^3 \rightarrow \mathbb{H}^4 \subset \mathbb{R}_1^5$  be an orientable  $H$ -hypersurface, which is not totally umbilical. Then  $M^3$  is of  $L_1$ -2-type if and only if  $M^3$  is a standard Riemannian product  $\mathbb{H}^1(r_1) \times \mathbb{S}^2(r_2)$  or  $\mathbb{H}^2(r_1) \times \mathbb{S}^1(r_2)$ , with  $-r_1^2 + r_2^2 = -1$ .*

## 5. THE $n$ -DIMENSIONAL CASE

Let  $\psi : M^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{R}_1^{n+2}$  denote an isometric immersion of an orientable hypersurface  $M^n$  in the hyperbolic space  $\mathbb{H}^{n+1} \equiv \mathbb{H}^{n+1}(0, -1)$ . The goal of this section is to classify  $L_k$ -2-type hypersurfaces with constant  $k$ -th mean curvature  $H_k$  and having at most two distinct principal curvatures.

Suppose that  $\psi$  is of  $L_k$ -2-type, then we can write

$$\psi = a + \psi_1 + \psi_2, \quad L_k \psi_1 = \lambda_1 \psi_1, \quad L_k \psi_2 = \lambda_2 \psi_2,$$

where  $a \in \mathbb{R}_1^{n+2}$  is a constant vector and  $\psi_1, \psi_2 : M^n \rightarrow \mathbb{R}_1^{n+2}$  are non-constant differentiable functions.

Performing calculations similar to those made in Sections 3 and 4, the following equations can be obtained:

$$(31) \quad \lambda_1 \lambda_2 a^\top = -\frac{c_k}{2} \binom{n}{k+1} \nabla H_{k+1}^2 - 2c_k (S \circ P_k)(\nabla H_{k+1}) + 2c_k P_k(\nabla H_k),$$

$$(32) \quad \lambda_1 \lambda_2 \langle N, a \rangle = c_k L_k(H_{k+1}) - (\text{tr}(S^2 \circ P_k) - c_k H_k + \lambda_1 + \lambda_2) c_k H_{k+1},$$

$$(33) \quad \lambda_1 \lambda_2 \langle \psi, a \rangle = c_k^2 H_{k+1}^2 - (c_k H_k - \lambda_1)(c_k H_k - \lambda_2) - c_k L_k(H_k),$$

where  $c_k = (n-k) \binom{n}{k} = (k+1) \binom{n}{k+1}$ .

The following example exhibits hypersurfaces of  $L_k$ -2-type in the hyperbolic space  $\mathbb{H}^{n+1}$ .

**Example 4.** For each positive number  $r$  and each integer  $m$ ,  $1 \leq m \leq n-1$ , let  $M_m^n(r)$  be the  $n$ -dimensional submanifold of  $\mathbb{R}_1^{n+2}$  defined by

$$M_m^n(r) = \left\{ (x_1, \dots, x_{n+2}) \mid -x_1^2 + \sum_{i=2}^{m+1} x_i^2 = -1 - r^2, \sum_{j=m+2}^{n+2} x_j^2 = r^2 \right\}.$$

It is well known that  $M_m^n(r)$  is a complete and non-compact hypersurface of the hyperbolic space  $\mathbb{H}^{n+1}$ ; in fact,  $M_m^n(r)$  is isometric to the standard Riemannian product  $\mathbb{H}^m(-\sqrt{1+r^2}) \times \mathbb{S}^{n-m}(r)$ .

The Gauss map of  $M_m^n(r)$  in  $\mathbb{H}^{n+1}$  is given by

$$N(x) = \left( \frac{r}{\sqrt{1+r^2}} x_1, \dots, \frac{r}{\sqrt{1+r^2}} x_{m+1}, \frac{\sqrt{1+r^2}}{r} x_{m+2}, \dots, \frac{\sqrt{1+r^2}}{r} x_{n+2} \right),$$

and then  $M_m^n(r)$  has two constant distinct principal curvatures given by

$$\kappa_1 = \cdots = \kappa_m = \frac{-r}{\sqrt{1+r^2}} \quad \text{and} \quad \kappa_{m+1} = \cdots = \kappa_n = \frac{-\sqrt{1+r^2}}{r}.$$

Hence, the mean curvature  $H_k$  is constant for every  $k$ .

If we put  $\psi_1 = (x_1, \dots, x_{m+1}, 0, \dots, 0)$  and  $\psi_2 = (0, \dots, 0, x_{m+2}, \dots, x_{n+2})$ , then  $\psi = \psi_1 + \psi_2$  and, by using (7), we obtain  $L_k \psi_1 = \lambda_1 \psi_1$  and  $L_k \psi_2 = \lambda_2 \psi_2$ , where

$$\lambda_1 = \frac{c_k}{\sqrt{1+r^2}} \left( r H_{k+1} + \sqrt{1+r^2} H_k \right) \quad \text{and} \quad \lambda_2 = \frac{c_k}{r} \left( \sqrt{1+r^2} H_{k+1} + r H_k \right).$$

Therefore,  $M_m^n(r)$  is a hypersurface of  $L_k$ -2-type of the hyperbolic space  $\mathbb{H}^{n+1}$ .

Now, we are ready to prove the following classification result.

**Theorem 10.** *Let  $\psi : M^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{R}_1^{n+2}$  be an orientable  $H_k$ -hypersurface and assume that  $M^n$  has at most two distinct principal curvatures. Then  $M^n$  is of  $L_k$ -2-type if and only if  $M^n$  is an open portion of  $M_m^n(r)$ , for some positive integer  $m$ ,  $1 \leq m \leq n-1$ , and for some positive number  $r$ .*

*Proof.* Let us assume that  $M^n$  is a hypersurface of  $L_k$ -2-type. Let  $\kappa_1$  and  $\kappa_2$  denote the principal curvatures of  $M^n$ , with multiplicities  $m$  and  $n-m$ , respectively. Consider  $\{E_1, E_2, \dots, E_n\}$  a local orthonormal frame of principal directions of  $S$  such that  $SE_i = \kappa_1 E_i$ , for  $i = 1, \dots, m$ , and  $SE_j = \kappa_2 E_j$ ,  $j = m+1, \dots, n$ . Without loss of generality, we can distinguish two cases according to the multiplicity  $m$ .

**Case 1.**  $m = 1$ .

Let us consider the open set

$$\mathcal{U}_{k+1} = \left\{ p \in M^n \mid \nabla H_{k+1}^2(p) \neq 0 \right\}.$$

Our goal is to show that  $\mathcal{U}_{k+1}$  is empty. Otherwise, since  $M^n$  is a  $L_k$ -2-type hypersurface and the mean curvature  $H_k$  is constant, by taking covariant derivative in (33) we obtain  $\lambda_1 \lambda_2 a^\top = c_k^2 \nabla H_{k+1}^2$ , that jointly with (31) yields

$$(34) \quad (S \circ P_k)(\nabla H_{k+1}^2) = -\frac{c_k(2k+3)}{2(k+1)} H_{k+1} \nabla H_{k+1}^2 \quad \text{on } \mathcal{U}_{k+1}.$$

From the inductive definition of  $P_{k+1} = \binom{n}{k+1} H_{k+1} I - S \circ P_k$  and (34) we obtain

$$(35) \quad P_{k+1}(\nabla H_{k+1}^2) = D_k H_{k+1} \nabla H_{k+1}^2 \quad \text{on } \mathcal{U}_{k+1},$$

where  $D_k = \frac{2k+5}{2} \binom{n}{k+1}$ . The vector field  $\nabla H_{k+1}^2$  can be written as  $\nabla H_{k+1}^2 = \sum_{i=1}^n \langle \nabla H_{k+1}^2, E_i \rangle E_i$ , and then we get

$$P_{k+1}(\nabla H_{k+1}^2) = \sum_{i=1}^n \langle \nabla H_{k+1}^2, E_i \rangle \mu_{k+1}^i E_i.$$

Hence, Eq. (35) is equivalent to

$$\langle \nabla H_{k+1}^2, E_i \rangle (\mu_{k+1}^i - D_k H_{k+1}) = 0 \quad \text{on } \mathcal{U}_{k+1},$$

for every  $i = 1, \dots, n$ . Therefore, for every  $i$  such that  $\langle \nabla H_{k+1}^2, E_i \rangle \neq 0$  we get

$$\mu_{k+1}^i = D_k H_{k+1}.$$

We will distinguish two cases: (a)  $\langle \nabla H_{k+1}^2, E_1 \rangle \neq 0$ , and (b)  $\langle \nabla H_{k+1}^2, E_i \rangle \neq 0$  for some  $i > 1$ .

(a) First, let us suppose that  $\langle \nabla H_{k+1}^2, E_1 \rangle \neq 0$ . Then, we get

$$\mu_{k+1}^1 = D_k H_{k+1} = \frac{2k+5}{2} \mu_{k+1} = \frac{2k+5}{2} (\kappa_1 \mu_k^1 + \mu_{k+1}^1).$$

This equation, bearing in mind that  $\binom{n}{k} H_k = \mu_k = \kappa_1 \mu_{k-1}^1 + \mu_k^1$ , leads to

$$(36) \quad -(2k+3) \mu_{k+1}^1 \mu_{k-1}^1 = (2k+5) \left( \binom{n}{k} H_k - \mu_k^1 \right) \mu_k^1.$$

Now, by using that  $\mu_j^1 = \binom{n-1}{j} \kappa_2^j$  for  $j \in \{1, \dots, n-1\}$ , we can rewrite (36) as follows

$$A \kappa_2^k + B = 0,$$

where  $A$  and  $B$  are two nonzero constants. Therefore,  $\kappa_2$  is constant. This implies, since  $H_k$  is constant, that the principal curvature  $\kappa_1$  is constant, and so  $H_{k+1}$  is also constant, which is a contradiction.

(b) Now, suppose that  $\langle \nabla H_{k+1}^2, E_i \rangle \neq 0$  for some  $i > 1$ . Then, we get

$$\kappa_1 \mu_k^{1,i} + \mu_{k+1}^{1,i} = \mu_{k+1}^i = D_k H_{k+1} = \frac{2k+5}{2} (\kappa_1 \mu_k^1 + \mu_{k+1}^1).$$

It is not difficult to see that this equation is equivalent to

$$\binom{n-2}{k} \kappa_1 + \binom{n-2}{k+1} \kappa_2 = \frac{2k+5}{2} \left( \binom{n-1}{k} \kappa_1 + \binom{n-1}{k+1} \kappa_2 \right).$$

In other words,  $C \kappa_1 = D \kappa_2$ , where  $C$  and  $D$  are two nonzero constants given by

$$C = \frac{3-n(2k+3)}{2(n-1)} \binom{n-1}{k} \quad \text{and} \quad D = \frac{n(2k+3)-1}{2(n-1)} \binom{n-1}{k+1}.$$

By direct computation, we find that

$$C \binom{n}{k} H_k = \left[ \binom{n-1}{k} C + \binom{n-1}{k-1} D \right] \kappa_2^k.$$

Therefore,  $\kappa_2$  is constant. As before, this implies that the  $(k+1)$ -th mean curvature  $H_{k+1}$  is also constant, which is not possible.

**Case 2.**  $1 < m < n-1$  (i.e. the multiplicities of two principal curvatures are greater than one).

Without loss of generality, suppose that  $\kappa_1, \kappa_2 \neq 0$ . By using a standard reasoning involving the Codazzi equations, we deduce that  $E_i(\kappa_1) = 0$ , for  $i = 1, \dots, m$ , and  $E_j(\kappa_2) = 0$ , for  $j = m+1, \dots, n$ . Since the number of distinct principal curvatures is two, the distribution corresponding to each principal curvature is smooth and integrable (see, e.g., [2, Paragraph 16.10] and [23]). Hence, we deduce that each principal curvature  $\kappa_i$  is constant on each integral submanifold of the corresponding distribution of the space of principal vectors  $V(\kappa_i)$  (see [23]). Therefore,  $M^n$  is locally isometric to the Riemannian product  $M_1 \times M_2$ , where  $M_i$  is the maximal integral submanifold corresponding to the distribution of the space  $V(\kappa_i)$  (see, e.g., [18, p. 182]).

Since  $H_k$  is constant on the hypersurface  $M_1 \times M_2$  and  $\kappa_1$  is constant on  $M_1$ , we deduce that  $\kappa_2$  is also constant on  $M_1$ . Similarly, the constancy of  $H_k$  and  $\kappa_2$  on  $M_2$  implies that  $\kappa_1$  is also constant on  $M_2$ . In other words, the principal curvatures  $\kappa_1$  and  $\kappa_2$  are constant on the whole hypersurface, and so  $H_{k+1}$  is also constant, which is a contradiction.

In conclusion, the mean curvatures  $H_k$  and  $H_{k+1}$  of the hypersurface  $M^n$  are constant. Since  $M^n$  has at most two distinct principal curvatures, we get that  $M^n$  is an isoparametric hypersurface of the hyperbolic space. Bearing in mind the classification of isoparametric hypersurfaces in  $\mathbb{H}^{n+1}$  (see [3]), we deduce that  $M^n$  is an open portion of  $M_m^n(r)$ , for some positive integer  $m$ ,  $1 \leq m \leq n-1$ , and for some positive number  $r$ . ■

In the case  $k = n-1$  we can drop the condition on the principal curvatures of the hypersurface  $M^n$ .

**Theorem 11.** *Let  $\psi : M^n \rightarrow \mathbb{H}^{n+1} \subset \mathbb{R}_1^{n+2}$  be an orientable  $H_{n-1}$ -hypersurface. If  $M^n$  is of  $L_{n-1}$ -2-type then its Gauss-Kronecker curvature  $H_n$  is a nonzero constant.*

*Proof.* Let us suppose that  $H_n$  is non constant and consider the nonempty open set

$$\mathcal{U}_n = \left\{ p \in M^n \mid \nabla H_n^2(p) \neq 0 \right\}.$$

Since  $M^n$  is of  $L_{n-1}$ -2-type and  $H_{n-1}$  is constant, by taking covariant derivative in (33) we have  $\lambda_1 \lambda_2 a^\top = c_{n-1}^2 \nabla H_n^2$ , and by putting this into Eq. (31) we obtain

$$(37) \quad (S \circ P_{n-1})(\nabla H_n^2) = -\frac{2n+1}{2} H_n \nabla H_n^2 \quad \text{on } \mathcal{U}_n.$$

Since  $P_n = 0$ , we deduce  $S \circ P_{n-1} = H_n I$ , and so  $S \circ P_{n-1}(\nabla H_n^2) = H_n \nabla H_n^2$ , that jointly with (37) implies  $H_n \nabla H_n^2 = 0$  on  $\mathcal{U}_n$ , which can not be possible. Therefore,  $H_n$  is constant and nonzero. ■

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