## Research Article

# Image Matching Using Dimensionally Reduced Embedded Earth Mover's Distance

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Finding similar images to a given query image can be computed by different distance measures. One of the general distance measures is the Earth Mover's Distance (EMD). Although EMD has proven its ability to retrieve similar images in an average precision of around 95%, high execution time is its major drawback. Embedding EMD into  $L_1$  is a solution that solves this problem by sacrificing performance; however, it generates a heavily tailed image feature vector. We aimed to reduce the execution time of embedded EMD and increase its performance using three dimension reduction methods: sampling, sketching, and Dimension Reduction in Embedding by Adjustment in Tail (DREAT). Sampling is a method that randomly picks a small fraction of the image features. On the other hand, sketching is a distance estimation method that is based on specific summary statistics. The last method, DREAT, randomly selects an equally distributed fraction of the image features. We tested the methods on handwritten Persian digit images. Our first proposed method, sampling, reduces execution time by sacrificing the recognition performance. The sketching method outperforms sampling in the recognition, but it records higher execution time. The DREAT outperforms sampling and sketching in both the execution time and performance.

## 1. Introduction

One of the interesting problems in database communities is image retrieval from large databases. The fundamental issue is how to design a similarity measure in a manner that shows the concept of similarity between two images, because choosing a proper measure has considerable effects on image retrieval applications. Some of the similarity measures include the Earth Mover's Distance (EMD), Jeffrey's divergence, and Minkowski-form distance [1].

The EMD is a general and flexible metric that has desirable and striking properties for content-based image retrieval [2, 3]. This similarity measure, which applies to weighted point sets, measures the minimum amount of work needed to transform one set into another set by weight transportation. The most significant feature of EMD is that it quantifies perceptual similarity better than other types of distances used for image retrieval [2]. Although EMD can measure the exact distance between images, and by this measure we can retrieve the most similar images from a database, its execution time is problematic, and this similarity measure is very time consuming.

Another method, called embedded EMD to  $L_1$ , was proposed to solve the EMD problem. This method maps the image matrix to an  $L_1$  norm; therefore, instead of comparing 2-dimensional matrixes, we can compare 1-dimensional vectors. Although this idea is less time consuming, it produces distortion. Sometimes, an exact computation may be practically infeasible; in this situation, an approximation solution is helpful to find the exact result with some distortion. Both execution time and performance are important factors in image retrieval, and we should attempt to reduce distortion as much as possible. In this paper, we propose two methods to improve the performance of embedded EMD. The first method, sampling, reduces the time but decreases performance. In the next proposed method, sketching, we improve performance by sacrificing the time of execution. Finally, in the last method, by solving the problem of sampling, we improve the performance while reducing the execution time.

TABLE 1: Relationship between image's size and array's length.

|                             | $G_1$ | $G_2$     | $G_3$      | $G_4$      | $G_5$     |
|-----------------------------|-------|-----------|------------|------------|-----------|
|                             | $n^2$ | $n^{2}/4$ | $n^{2}/16$ | $n^{2}/64$ | $n^2/256$ |
| Number of elements in array | 256   | 64        | 16         | 4          | 1         |
| Side length                 | 1     | 2         | 4          | 8          | 16        |
|                             |       |           |            |            |           |

The remainder of this paper is organized as follows. In Section 2 we discuss related previous work. In Section 3 we describe our proposed technique. Section 4 provides the details of our proposed methods. Finally, we discuss the results and our conclusion in Sections 5 and 6.

## 2. Previous Work

The concept of Earth Mover Distance (EMD) was first explored in [4] to measure perceptual shape similarity. The use of EMD for computing similarity between images was later proposed in [5]. Since then, the EMD has become a trendy similarity measure in computer vision; it has been used effectively in various applications including color-based image retrieval systems, texture signatures [6], shape matching [7–9], and music score matching [10]. The EMD performs quite well in comparison with other similarity measures, such as the Jeffrey divergence and the Minkowski-form distance. In addition, the EMD can be used to measure the differences between vector fields [11].

Some authors in [2] have compared the EMD with other similarity measures and evaluated the retrieval performance of each. The results of the comparisons demonstrate that the EMD is more robust than other measures for the purpose of image retrieval because it matches similarity better than other distances.

The main idea behind the EMD metric is as follows. Suppose that each image is a set of colored points in 2dimensional space. The minimum amount of work needed to transform one set into another set is defined as the distance of two set points. In recent years, a low-distortion embedding of EMD into  $L_1$  has been developed [12]; although the empirical results show that this distortion is much smaller than what had been estimated previously, the embedding steps themselves decrease the complexity of computing similarity between two images. Other authors [9] have reported on the complexities of querying the time and space of an exact EMD versus an embedded EMD for shape similarity. In this work, we demonstrate how to reduce the complexity of the computing correspondence between two images that are mapped to an  $L_1$  norm by dimension reduction.

The most similar work in this area is that of Grauman and Darrell [9], who show a contour matching algorithm that quickly quantifies the minimum weight matching between sets of descriptive local features using the embedding of the Earth Mover's Distance (EMD) into a normed space. Their method achieves an increase in speed of four orders of magnitude over the exact method at the cost of only a 4% reduction in accuracy.

## **3. Dimension Reductions in** $L_1$

In modern image retrieval applications, the data is sometimes not only very large relative to the physical memory or even to the disk, but also highly sparse. Accordingly, computing the embedded  $L_1$  on large-scale sparse data can be challenging and time consuming. Various projection methods have been suggested for speeding up these computations. Dimension reduction in the  $L_1$  norm has many applications in information retrieval. The authors of [13] show that dimension reduction by sampling from  $L_1$  does not produce poor results. Additionally, by estimating distances in  $L_1$  from random samples, the original  $L_1$  distances can be recovered. Sampling methods become more important with increasingly large collections [14] because we can use the same set of random samples to estimate any  $L_1$  pairwise distances [15], whereas measuring exact pairwise distances is often too time consuming or sometimes infeasible; however, random sampling often performs poorly when most of the samples are zeros [13]. Additionally, in strictly heavy-tailed data, the estimation errors are sometimes very large.

As another choice of random projection, various sketching algorithms have become popular. In general, a sketching algorithm outperforms random sampling, although random sampling is much more flexible [15]. In the sketching method, after scanning the data, we compute specific summary statistics, and then repeat this step k times.

3.1. Procedures of Sampling and Sketching. Suppose we have a database of *n* images and we want to compare a particular image with this database. To do so, we need a measurement; this is when we use EMD. Consider that we have 2 images with high similarity, for example, in Figures 1 and 2 apples with spots in different positions.

In this situation, the EMD of two spots in these images is computed as follows.

Euclidean distance between

- (i) 1st pixels:  $\sqrt{(8-9)^2 + (12-8)^2} = \sqrt{15}$ ,
- (ii) 2nd pixels:  $\sqrt{(8-9)^2 + (13-9)^2} = \sqrt{15}$ ,
- (iii) 3rd pixels:  $\sqrt{(9-10)^2 + (12-8)^2} = \sqrt{15}$ ,
- (iv) 4th pixels:  $\sqrt{(9-10)^2 + (13-9)^2} = \sqrt{15}$ .

Therefore, the EMD of two spots is  $\sqrt{15} + \sqrt{15} + \sqrt{15} + \sqrt{15} = 4\sqrt{15} = 15.5$ .

In the EMD metric, Euclidean distances between all weighted point sets are computed and then the minimum distance between each pair of point sets can be found. There are different methods to solve this type of weighted matching problems; in our case we use the "Hungarian" method [16–19]. This method finds the minimum distances between each pair of points in two images with *n* points in  $O(n^3)$  arithmetic operations; therefore, the typical EMD is very time consuming, which is the biggest drawback for EMD. Another drawback is that when two weighted point sets have unequal total weights, EMD is not an appropriate metric; however,

|           |              |   |                              |   |              | In | $L_1$ -vector (H | EEMI     | ) methods)     |   |              |   |                              |   |      |
|-----------|--------------|---|------------------------------|---|--------------|----|------------------|----------|----------------|---|--------------|---|------------------------------|---|------|
|           | $G_1$        |   | $G_2$                        |   | $G_3$        |    | $G_4$            |          | $G_5$          |   | $G_6$        |   | $G_7$                        |   |      |
|           | $\downarrow$ |   | $\downarrow$                 |   | $\downarrow$ |    | $\downarrow$     |          | $\downarrow$   |   | $\downarrow$ |   | $\downarrow$                 |   |      |
| Elements: | 4096         | + | 1024                         | + | 256          | +  | 64               | +        | 16             | + | 4            | + | 1                            | = | 5461 |
|           |              |   |                              |   |              | ]  | In sample and    | d ske    | tch vector     |   |              |   |                              |   |      |
| Elements: |              |   |                              |   |              |    | 10%              | of $L_1$ | vector = $546$ | 5 |              |   |                              |   |      |
|           |              |   |                              |   |              |    | In DRE           | AT ve    | ector          |   |              |   |                              |   |      |
|           | 10% of $G_1$ |   | 10% of <i>G</i> <sub>2</sub> |   | 10% of $G_3$ |    | 10% of $G_4$     |          | 10% of $G_5$   |   | 10% of $G_6$ |   | 10% of <i>G</i> <sub>7</sub> |   |      |
|           | $\downarrow$ |   | $\downarrow$                 |   | $\downarrow$ |    | $\downarrow$     |          | $\downarrow$   |   | $\downarrow$ |   | $\downarrow$                 |   |      |
| Elements: | 409          | + | 102                          | + | 25           | +  | 6                | +        | 1              | + | 4            | + | 1                            | = | 548  |

TABLE 2: Number of vector elements in four methods.

| <br>_ | - |  |
|-------|---|--|
|       |   |  |

TABLE 3: Some samples of handwritten Persian digit images.

| Class    | No. of images | Digit    | Correct shape |              |                | Samples      |         |          |
|----------|---------------|----------|---------------|--------------|----------------|--------------|---------|----------|
| Class    | No. of images | Digit    | Correct shape | 1            | 2              | 3            | 4       | 5        |
| Class 1  | 432           | Zero     | ٠             | 0            | 0              | •            | 4       | ۰        |
| Class 2  | 1500          | One      | ١             | )            | )              | )            | 1       | )        |
| Class 3  | 1067          | Two      | ۲             | ۲            | ۲              | r            | ۲       | 4        |
| Class 4  | 256           | Three    | ٣             | 3            | ۴              | ٣            | r       | r        |
| Class 5  | 173           | Four (1) | ۴             | re           | 1 <sup>C</sup> | 4            | $r \in$ | ٤        |
| Class 6  | 22            | Four (2) | ٤             | ٤            | ٤              | E            | ٤       | ٤        |
| Class 7  | 180           | Five     | ۵             | 0            | 0              | 0            | 2       | 0        |
| Class 8  | 138           | Six (1)  | 6             | 3            | 5              | 4            | 9       | 4        |
| Class 9  | 31            | Six (2)  | ٦             | ٦            | 7              | ٦            | 7       | 7        |
| Class 10 | 713           | Seven    | ٧             | $\checkmark$ | $\vee$         | $\checkmark$ | $\vee$  | Y        |
| Class 11 | 150           | Eight    | ٨             | $\wedge$     | ٨              | $\wedge$     | N       | $\wedge$ |
| Class 12 | 657           | Nine     | ٩             | 9            | 9              | 9            | 9       | 9        |

it is desirable for robust matching to allow point sets with different total weights and cardinalities [18]. On the other hand, approximation is a good idea because usually exact computation is practically infeasible and an approximate solution can help to find the exact solution more efficiently.

In implementing EMD, in order to embed two sets of contour features with different total weights, we simulate equal weights by eliminating the appropriate number of random points from the larger weight set. For example, in Figure 2, when points are sampled uniformly from the contours of two images of Persian number 3 with a size of  $64 \times 64$  pixels, the first image has 124 points, while the second image has 131 points. Therefore, the first image has 13 more points than the second one, and 13 points are randomly chosen from its contour to be eliminated.

The next part of the application is implementing embedded EMD into  $L_1$ . We formally show how to construct an embedded EMD into  $L_1$ . A boundary of  $\sqrt{\log n}$  on any  $L_1$  embedding distortion has been defined [20], where *n* is the number of pixels in the width or height of image (width and height of image are equal). We embed the minimum weight matching of contour features into  $L_1$  via the EMD embedding of [12, 21]. To embed EMD into  $L_1$ , we put bitmap image in a grid whose size is twice bigger than that of the original image and shift grid randomly upon the image. Afterwards, we map pixels of the new image (which are all 0 or 1) to elements of an array in a special orientation starting from the first pixel in the left-top bit of the image to its last pixel in the rightbottom bit. The rest of the array should be set after some computation. For example, in the embedding of a  $16\times16$ image,  $G_1$  is the first grid and it includes 256 elements, each of which has a side length equal to 1. The first 256 elements of the array are set with these elements. In the next step, we add each of the 4 neighbouring elements in  $G_1$  and place the

| letter | Correct shape |    | samples |      |    |   |  |  |  |
|--------|---------------|----|---------|------|----|---|--|--|--|
| Actici | Correct shape | 1  | 2       | 3    | 4  | 5 |  |  |  |
| Cha    | Ş             | C  | S.      | S.   | 20 | E |  |  |  |
| a      | 5             | Ze | 2.      | 3    | S  | 3 |  |  |  |
| ha     | ژ             | ?  | 7       | 5    | j  | 1 |  |  |  |
| a      | ز             | Ĵ  | >       | ĵ    | j  | 5 |  |  |  |
| a      | ت             | Ë  | 0       | 5    | ت  | Ĵ |  |  |  |
| a      | ث             | ت  | 2       | - in | Č. | ċ |  |  |  |
| a      | ف             | ÷  | ف       | ت    | ف  | ف |  |  |  |
| iha    | ق             | Ö  | ā       | 5    | ē  | õ |  |  |  |

TABLE 4: Some samples of handwritten Persian letter images.

| <b>function</b> Calculate_L <sub>1</sub> (image)  |
|---|
| begin   |
| Scale image to $64 \times 64$   |
| Initialize an image matrix, original_img, of $64 \times 64$   |
| Initialize an image matrix, imageMtrx, of $(2 \times 64) \times (2 \times 64)$  |
| Set imageMtrx to original_img   |
| Initialize $U_1$ , a random number between 0 and 64   |
| Initialize $V_1$ , a random number between 0 and 64   |
| Shift each position in imageMtrx to position $(U_1, V_1)$   |
| Set $G_1$ array to pixels of imageMtrx  |
| //Create $L_1$ _vector of image matrix including $G_1$ array followed by $G_2$ array,, followed by $G_n$ array (as in Figure 3) |
| Initialize $L_1$ -vector to null  |
| $L_1$ -vector = $G_1$   |
| for i = 1  to  6  |
|   |
| Set $G_{i+1}$ to sum of each 4-neighbour elements of $G_i$ multiplied by side length $L_1$ -vector = $L_1$ -vector + $G_{i+1}$  |
| end for   |
| <b>return</b> L <sub>1</sub> _vector  |
| end   |
| function Calculate_Embedded EMD(image 1, image 2)   |
| begin   |
| Initialize $L_1$ -vector 1  |
| Initialize $L_1$ -vector 2  |
| Initialize EEMD   |
| Set $L_1$ -vector 1 to Calculate $L_1$ (image 1)  |
| Set $L_1$ -vector 2 to Calculate $L_1$ (image 2)  |
| Subtract each pair of corresponding elements of $L_1$ -vector 1 and $L_1$ -vector 2   |
| Add all subtractions into EEMD and display it   |
| end   |
|   |

| т |  |
|---|--|
|   |  |

| Original image          | 1st process<br>Crop white margin | 2nd process                             | 3rd process<br>Get contour |     | orocess<br>ots randomly  |
|-------------------------|----------------------------------|---|----------------------------|-----|--|
| Width: 77<br>Height: 95 | Citip winte margin               | Resize image<br>Width: 64<br>Height: 64 | Black spots                |     | pots: 150  |
| •                       | Width: 20, height: 23            | e                                       | $\bigcirc$                 | 284 | $\bigcirc$   |
| 1                       | Width:13, height: 35             |   | 0                          | 246 | 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1   |
| ۲                       | Width: 18, height: 39            | く                                       | $\mathcal{T}$              | 287 | And a star   |
| 7                       | Width: 34, height: 41            | 3                                       | L.                         | 265 | and the second s |
| ¢                       | Width: 22, height: 43            | ←                                       | F                          | 359 | S.   |
| 6                       | Width: 33, height: 50            | 2                                       | 0                          | 438 | and all a second and a second a second a second a second a   |
| 4                       | Width: 29, height: 43            | 4                                       | V                          | 334 | C.P  |
| $\checkmark$            | Width: 36, height: 42            | $\checkmark$                            | $\checkmark$               | 292 | 15 mart  |
| ^                       | Width: 29, height: 43            | $\mathbf{\wedge}$                       | $\wedge$                   | 322 |  |
| ٩                       | Width: 28, height: 39            | ٩                                       | Ŷ                          | 342 | R  |
| ٤                       | Width: 25, height: 36            | ٤                                       | E                          | 350 | Slavar-  |
| 7                       | Width: 34, height: 43            | 7                                       |                            | 272 |  |

TABLE 5: Preprocessing of some test images.

results in the corresponding elements of  $G_2$ , which will be the next 64 elements in the array. In the 3rd step, we add each of the 4 neighbouring elements in  $G_2$  and place the results in the corresponding elements of  $G_3$ , which will be the next 16 elements in the array. We continue this process until we have just one element,  $G_5$ , which will be the last element of the array. In Figure 3, you can observe the embedding of an image's pixels to an array in  $L_1$ .

The length of array is the sum of all the grids' lengths. So, in our example length of the array is 341 which is approximately equal to  $2 \times 16^2$ . As a result, length of the embedding vector is  $2\Delta^2$ . Table 1 shows the relationship between the size of an image, side length, and the number of each grid's elements in an array.

Pseudocode 1 describes the embedded EMD technique.

Finding the EMD of two images with this method has a complexity of  $O(n^2)$ , because, for the mapping to  $L_1$ , the vectors are of length  $O(n^2)$ . Therefore, finding the  $L_1$  of two vectors, that is, vector A and B as in Figure 4, can be done in  $O(n^2)$ , which is better than  $O(n^3)$  in exact EMD. The  $L_1$ mapping is defined as

$$L_{1}(A, B) = |A_{0} - B_{0}| + |A_{1} - B_{1}| + \cdots + |A_{i} - B_{i}| + \cdots + |A_{2x}^{2} - B_{2x}^{2}|.$$
(1)

Note that the exact EMD has a complexity of  $O(n^3)$ , which is the complexity of the Hungarian algorithm used for its implementation, and that the embedding EMD to  $L_1$ , which computes an approximation instead of the exact EMD, reduces the complexity to  $O(n^2)$ . We propose two techniques

```
 \begin{array}{l} \mbox{function Calculate_Sampling(image 1, image 2)} \\ \mbox{begin} \\ & \mbox{Initialize } L_1\mbox{-vector 1} \\ & \mbox{Initialize } L_1\mbox{-vector 2} \\ & \mbox{Initialize sampling} L_1\mbox{-Vector 1} \\ & \mbox{Initialize sampling} L_1\mbox{-Vector 2} \\ & \mbox{Initialize sampling} EMD \\ & \mbox{Set } L_1\mbox{-vector 1 to Calculate} L_1(image 1) \\ & \mbox{Set } L_1\mbox{-vector 2 to Calculate} L_1(image 2) \\ & \mbox{Select 10\% indexes of } L_1\mbox{-vector 1 randomly} \\ & \mbox{Put the elements of selected indexes of } L_1\mbox{-vector 2 into sampling} L_1\mbox{-Vector 2} \\ & \mbox{Subtract each pair of corresponding elements in sampling} L_1\mbox{-Vector 1 and sampling} L_1\mbox{-Vector 2} \\ & \mbox{Add all subtractions into sampling} EMD and display it \\ & \mbox{end} \end{array}
```

#### PSEUDOCODE 2

function Calculate\_Sketching (image 1, image 1) begin Initialize  $L_1$ -vector 1 Initialize  $L_1$ -vector 2 Initialize Sketching Vector Length as 10% of  $L_1$ -vector 1 length Initilize Sketching\_Mtrx as L<sub>1</sub>-vector 1 length x Sketching Vector Length randomly // Sketching\_Mtrx is as in Figure 6 Initialize sketching\_Vector 1 Initialize sketching\_Vector 2 Initialize sketching\_EMD Set  $L_1$ -vector 1 to Calculate\_ $L_1$ (image 1) Set  $L_1$ -vector 2 to Calculate\_ $L_1$ (image 2) **for** i = 1 to Sketching Vector Length Multiply each pair of corresponding elements in row i of Sketching\_Mtrx and  $L_1$ \_vector 1 Put the sum of multiplications in sketching\_Vector 1 Multiply each pair of corresponding elements in row i of Sketching\_Mtrx and L1\_vector 2 Put the sum of multiplications in sketching\_Vector 2 end for Subtract each pair of corresponding elements in sketching\_Vector 1 and sketching\_Vector 2 Add all subtractions into sketching\_EMD and display it end

Pseudocode 3

to reduce the complexity of EMD to O(n) by using dimension reduction in the  $L_1$ , sampling, and sketching. Concept of the dimension reduction technique from n to predetermined N-dimensional space is based on linear transformation, for example, elements of transformation 2-dimensional matrix Ato a 1-dimensional vector [22].

Sampling is an option for dimension reduction in any norm (e.g.,  $L_1$  or  $L_2$ ). In fact, using this technique, distances in  $L_1$  or  $L_2$  from random samples can be estimated by a simple scaling [13, 22]. Although it is a simple and popular method to approximate distances, it does not guarantee accuracy. In this method, as it is shown in Figure 5, we randomly pick k (out of D) columns from the image matrix A and image matrix B. We subtract them and set the results as a corresponding element in the sample vector. Finally, we sum all of the elements of the sample vector and call the result the sampling EMD of two images *A* and *B*.

In order to get the best or, at least, near to the EEMD method, we tested different sampling rates, for example 5%, 20%, 30%, and above the whole vector. Finally, we found that 10% is the best sampling rate. Therefore, we randomly select 10% of elements from  $L_1$  vector that will generate just 546 elements.

Sampling EMD is displayed in Pseudocode 2.

Sketching is another option for dimension reduction. In this method, after scanning the data, we multiply the original data of image matrix A and image matrix B by a random matrix R which has either a 0 or 1 for each element, and the subtraction of the resulting matrices forms one element of the sketch vector. We repeat this step k times. The sum of all

```
function Calculate_DREAT(image 1, image 2)
begin
  Initialize L_1_vector 1
  Initialize L_1_vector 2
  Initialize index Vector
  Initialize DREAT_Vector 1
  Initialize DREAT_Vector 2
  Initialize DREAT_EMD
  Set L_1-vector 1 to Calculate_L_1(image 1)
  Set L_1-vector 2 to Calculate_L_1(image 2)
  For i = 1 to 7
  Select 10% indexes of G_i randomly
  Put the selected indexes in index Vector
  end for
  Select all elements of L_1-vector 1 whose indexes are in index Vector
  Put the elements in DREAT_Vector 1
  Select all elements of L_1-vector 2 whose indexes are in index Vector
  Put the elements in DREAT_Vector 2
  Subtract each pair of corresponding elements in DREAT_Vector 1 and DREAT_Vector 2
  Add all subtractions into DREAT_EMD and display it
end
```



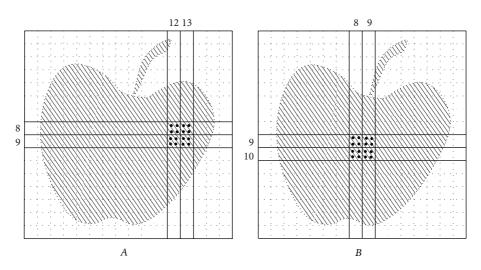


FIGURE 1: Two figures with high similarity.

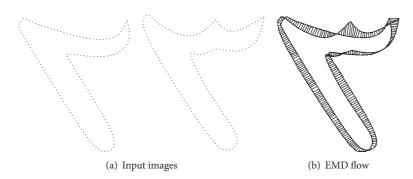


FIGURE 2: Computation of dissimilarity between two input images in Euclidean space and their corresponding EMD flow.

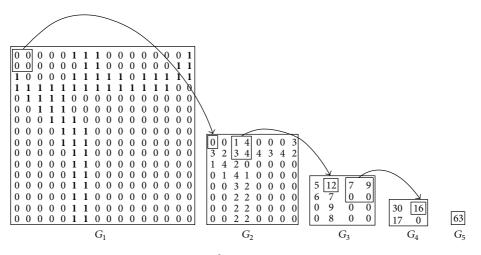


FIGURE 3: Mapping of a  $16 \times 16$  image into a vector.

| Doc no. | Relevance | Precision points |
|---------|-----------|------------------|
| 588     | Yes       | P = 1/1 = 1      |
| 589     | No        |                  |
| E76     | Vaa       | D - 2/2 - 0.667  |

TABLE 6: Example of average precision calculation.

| 2  | 589 | No  |                 |
|----|-----|-----|-----------------|
| 3  | 576 | Yes | P = 2/3 = 0.667 |
| 4  | 590 | No  |                 |
| 5  | 986 | Yes | P = 3/5 = 0.6   |
| 6  | 592 | No  |                 |
| 7  | 984 | No  |                 |
| 8  | 988 | Yes | P = 4/8 = 0.5   |
| 9  | 578 | Yes | P = 5/9 = 0.556 |
| 10 | 985 | No  |                 |

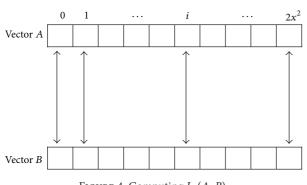


FIGURE 4: Computing  $L_1(A, B)$ 

elements of the sketch vector is what we call the sketching EMD. Sketching method is illustrated in Figure 6.

Pseudocode 3 shows sketching method.

3.2. Procedures of DREAT. Based on the sampling and sketching experiments, the images'  $L_1$  vectors are heavily tailed where there are many zero elements in former grids and many nonzero elements in latter grids. In the sampling method, we choose samples of the  $L_1$  vector and apply EMD to the samples instead of the whole vector; therefore, the execution time is reduced. However, the problem is that all elements of vector are sampled at the same rate.

When we go through the vector, most data in the initial sections, such as  $G_1$  and  $G_2$ , contain almost all zeros when compared with the latter sections, such as  $G_3$ ,  $G_4$ , and  $G_5$ . We considered this fact to be a heavy-tailed vector. As a result, when we apply the sampling method, the vector might by chance contain almost all zeros, which is meaningless. That is the reason why we need to create a method that will select an equal portion of samples from each part of the grid instead of randomly sampling from the whole.

We called the proposed method as the Dimension Reduction in Embedding by Adjustment in Tail (DREAT), a method that hybrids both the sampling and sketching. For example, suppose we want to select 10% of a vector as a sample vector. In the original sampling method we randomly selected elements of the vector, but, in the DREAT method, we selected only 10% of the elements of each grid part,  $G_n$ . In this way, we can select the same portion of all parts of the vector, not only among early elements that have many zeros but also among latter elements with large numbers that are required for recognition.

Table 2 shows the comparison between the number of vector elements in sampling, sketching, and DREAT methods. In DREAT method, we select 10% elements from each grid part because it will produce a number of vector elements that are near to the ones produced by the sampling and sketching methods. Therefore, by using similar idea of adjusting the heavy-tailed vector that we used in the sketching method and combining it with the sampling method we can improve the accuracy without increase of the running time.

This can be expressed in Pseudocode 4.

## 4. Experiments

In this work, we tested 5 methods: exact EMD, embedded EMD, sampling, sketching, and DREAT. Our image dataset includes bitmap images from Amirkabir University of Iran [23]. The images are scanned from handwritten Persian letters

 $\frac{n}{1}$ 

|              | MAP  |          |          | Percentag | e of first correct | recognition |          |           |
|--------------|------|----------|----------|-----------|--------------------|-------------|----------|-----------|
|              | WIAI | 1st pos. | 2nd pos. | 3rd pos.  | 4th pos.           | 5th pos.    | 6th pos. | >6th pos. |
| Exact EMD    | 0.97 | 0.99     | 0.01     |           | _                  | _           | _        | _         |
| Embedded EMD | 0.85 | 0.90     | 0.01     | 0.02      | 0.03               | 0.01        | 0.01     | 0.02      |
| Sampling     | 0.59 | 0.58     | 0.09     | 0.02      | 0.05               | 0.03        | 0.01     | 0.23      |
| Sketching    | 0.87 | 0.89     | 0.04     | 0.02      | 0.01               | _           | 0.01     | 0.03      |
| DREAT        | 0.91 | 0.91     | 0.06     | 0.02      | _                  | _           | _        | 0.01      |

TABLE 7: Results of 5 methods.

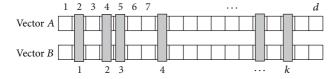
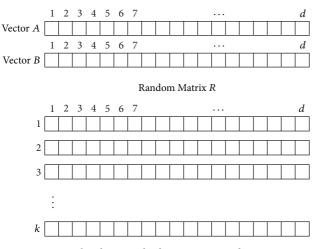
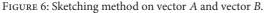


FIGURE 5: Sampling method on vector A and vector B.





and digits. The dataset includes 47 classes which are divided into two parts. The first part of the dataset consists of 35 classes including letter images and the second part of the dataset consists of 12 classes including digit images.

In the dataset, each image is named based on a combination of class number and running number. The first part of image name is its class number as listed in Table 3. Similarity is measured by comparing between the class number of a test image and the queried image. In our work, we only use the second part of the dataset that consists of 12 classes of 5319 handwritten Persian digit images. In Table 3, some of digit images are shown.

We did not use the letter images because Persian letters are very similar in handwritten shape even for a human reader. As some samples are shown in Table 4, letters that have two or three dots are similar to other handwritten letters with a dot. For example in the first sample of Table 4, letter "Cha" is very similar to letter "Ja" because the dots of letter "Cha" stick to each other and they look like one dot. Similarly, letter "Zha" is similar to letter "Za" in some cases. In this case, the similarity measurement will produce a high distortion. Since that is not a focus of this work, we excluded all the letters.

We divided our dataset into two parts: reference images and test images. The reference set includes 100 images that we randomly selected from the dataset and tested them on the rest of the dataset. In other terms, we remove these 100 reference images from test images' part. So, we will only find similar images to these reference images not exact ones. For each reference image, we applied the 5 methods and calculated EMD. We then computed the average precision (AP) and placed the results in a table. Finally, we computed the mean average precision (MAP) for all 100 reference images for each method; the results are shown in Table 5.

In each method, some preprocessing steps should be performed. The first step, which is common to all methods, is cropping the white margin of the image. Then, in the next step, the image should be resized to a particular size  $64 \times 64$ . As a result of pre-processing, we have some images with the same features.

In the first method, exact EMD, what we need is the contour of the image as well as the same number of spots for all of the images. Therefore, 2 additional steps are necessary in this method: getting the contour of the image and removing the additional spots randomly until the same number of spots is achieved, which should be 150 spots.

However, for the methods of embedded EMD, sampling, and sketching, we need the whole image not just its contour. Therefore, preprocessing steps in these methods are only up to the second step. Preprocessing of some of the images is illustrated in Table 5.

### 5. Results

We computed the mean average precision (MAP) values for the results of 5 different methods applied to 100 query images. Average precision (AP) is the average of the precision values at the points at which each relevant document is retrieved. Precision is defined as

$$Precision = \frac{number of relevant documents retrieved}{total number of documents retrieved}.$$
(2)

For example in Table 6 it can be clearly seen that 5 out of 10 documents are relevant; thus, the AP is computed as

AP: 
$$\frac{(1+0.667+0.6+0.5+0.556)}{5} = 0.665.$$
 (3)

|              | Image query 1: | Image query 2: | Image query 3: | Average for 100 images | Total for 100 images |
|--------------|----------------|----------------|----------------|------------------------|----------------------|
|              | mm:ss          | mm:ss          | mm:ss          | mm:ss                  | hh:mm                |
| Exact EMD    | 22:45          | 23:11          | 22:57          | 22:57                  | 36:41                |
| Embedded EMD | 9:18           | 9:51           | 9:39           | 9:36                   | 16:00                |
| Sampling     | 9:10           | 9:13           | 9:11           | 9:11                   | 15:18                |
| Sketching    | 11:06          | 11:20          | 11:14          | 11:13                  | 18:41                |
| DREAT        | 8:45           | 9:09           | 9:02           | 8:58                   | 14:56                |

TABLE 8: Time execution of 3 reference images and estimation for 100 images.

Information retrieval systems are frequently judged by their mean average precision (MAP). MAP is the average of the average precision values for a set of queries; it is a performance evaluation measure of information retrieval. Using this measure, we are able to retrieve top-ranked images that are mostly relevant.

The results of all of our experiments are presented in Tables 7 and 8. We compute the AP, which is the average precision of relevant retrieved images among the 10 topranked images of the test image sets. In Table 7, the average AP of 100 test images for each method is in the second column. In columns 3 to 8 the percentages of first correct recognition in the first to sixth positions are shown. In the last column, the percentages of first correct recognition in the seventh position and beyond are shown.

In Table 8, the execution times of 5 methods for 3 randomly selected test images are shown. In the fifth column we estimate an average execution time, and in the last column we estimate the execution time for 100 test images for each method. As can be seen in this table, exact EMD has the highest execution time, and embedded EMD reduces this time by half. We can reduce this time by using our proposed methods. The last method, DREAT, achieves the lowest execution time.

## 6. Conclusion

DREAT is a method that hybrids both the sampling and sketching. In this paper, it shows its usefulness in dimension reduction of sparse and heavy-tailed data. As can be seen in the results, the exact EMD has a MAP value of 0.97; the MAP value is the average of relevant retrieved images among the 10 top-ranked images of 100 images. Although this method is excellent for measuring image similarity, its execution time is very high. By using embedded EMD, a MAP value of 0.85 can be achieved in half the time of exact EMD. Our first proposed method, sampling, reduces the time of execution, but it achieves the poorest MAP value of 0.59. Our second method, sketching, improves the MAP to 0.87 by sacrificing the execution time. Our last method, DREAT, has the lowest execution time and produces one of the best MAP values, which is 0.91.

In general, the results show that dimension reduction techniques like those in this paper, are useful for improving the processing time and matching. DREAT, especially, combines sketching and sampling where it converts sketches of the data into conditional random samples online in the estimation stage, with the sample size being determined retrospectively. The improvement to the EEMD is useful for overcoming problems with heavily tailed feature vectors.

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